

## WORKPAPERS INDEX

<b>Client:</b>	TMG	<b>Prepared by:</b>	ORL
<b>End Client:</b>	AGN SUPERANNUATION FUND	<b>Period:</b>	30/06/2021

<i>Ref</i>	<i>Detail</i>	
	Closing report	
A	Client Instructions	<input checked="" type="checkbox"/>
B	Financial Statements	<input checked="" type="checkbox"/>
C	Tax Return	<input checked="" type="checkbox"/>
D	Prior year information	<input checked="" type="checkbox"/>
E	Preparation Documents	<input checked="" type="checkbox"/>
F	Source Documents	<input checked="" type="checkbox"/>





# A. CLIENT INSTRUCTIONS





CLIENT has rolled over the funds to a retail fund. This will be a final return. Funds were retained in the Morgans trading account pending receipt of the insurance & then the balances were rolled over.

**\$3,616.14 (F23)**

## QUERY NOTIFICATION

**Client:** TMG  
**End Client:** AGN Super Fund 2021 - Wind up  
**Year end:** 30/06/2021  
**Date:** 27/04/2022



No	Account	Description	Client response
1	Wind up	Please provide wind up date.	
2	Roll out amounts	Regarding final roll out amounts, please provide fund's roll over information ((ABN, Full name, USI, SPIN, Member Account Number and Address) for both members.	Australian Retirement Trust (formerly Sunsuper) - see attached email & screen shot
3	Suspense	<p>Please confirm if \$3,616.14 balance in <b>F23</b> Morgan ledger (trading account pending receipt) should be recorded as transfer out to other funds.</p> <p>If yes, please advise this amount should be rolled out from <u>which member</u>?</p> <p>If not, please advise treatment for this amount.</p>	Apply to Giulie. See Attached Members Calculation
4	Suspense	<p>Please confirm if \$134,566.58 (18/05/2021 - to morgans financial) withdrawn from <b>F23</b> Macquarie 9516 were correctly identified as roll out to other funds.</p> <p>If yes, please also confirm treatment for this amount: roll out firstly from Arthur, then balance from Giuliana.</p> <p>Otherwise, please advise further.</p>	Rolled over to Sunsuper now Australian Retirement Trust - ABN 98 503 137 921 USI 98 503 137 921 001; Roll over to Arthur First then Giulie

## QUERY NOTIFICATION

**Client:** TMG  
**End Client:** AGN Super Fund 2021 - Wind up  
**Year end:** 30/06/2021  
**Date:** 27/04/2022



No	Account	Description	Client response
5	Income	<p>Please provide 2021 annual tax statements for distributions received below:            +\$179 from MGG            +\$243.67 from NBI            +\$171.38 from PGG            Please note that interim tax report in Morgans portfolio (01/07/2020-18/02/2021) did not show tax components for these income.</p> <p>If not, please provide HIN &amp; post code in order to download statements.            Otherwise, please confirm if these income should be recorded as 100% NPP.</p>	Treat as 100% NPP
6	Expense	<p>Please confirm if there was any Accounting fee/ Audit fee/ Wind up fee to be recorded.</p> <p>If yes, please provide tax invoice(s)            Please also confirm treatment (off set roll out amounts or NCC 50/50) as we did not see payment from fund's bank.</p>	Audit Fee of \$330 - treat as non concessional split 50/50 = <b>\$165*2</b>

**SMSF**

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**From:** Nick Valassakis <NickV@thematadorgroup.com.au>  
**Sent:** Monday, June 13, 2022 12:29 PM  
**To:** SMSF  
**Subject:** RE: AGN Super Fund 2021 - Wind up - Job Query Uploaded

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Sorry – please make wind up date 30 June 2021

Nick

Kind Regards,  
**Nicholas Valassakis**  
Director



Suite 10, Montague Corporate Park  
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**From:** SMSF [mailto:smsf@odyssey-resources.com]  
**Sent:** Monday, 13 June 2022 2:57 PM  
**To:** Nick Valassakis <NickV@thematadorgroup.com.au>  
**Subject:** RE: AGN Super Fund 2021 - Wind up - Job Query Uploaded

Hi Nicholas,

Regarding the above fund, we've noticed that the query item no.1 (re wind up date) has not been attended to.

Could you please advise?

Thank you and we look forward to hearing from you.

Kind regards,



### SMSF Administration Division

**Odyssey Accountants**

Australian Accounting and Tax Outsourcing Specialists

Phone: 1300 312 491

[www.odyssey-resources.com](http://www.odyssey-resources.com)

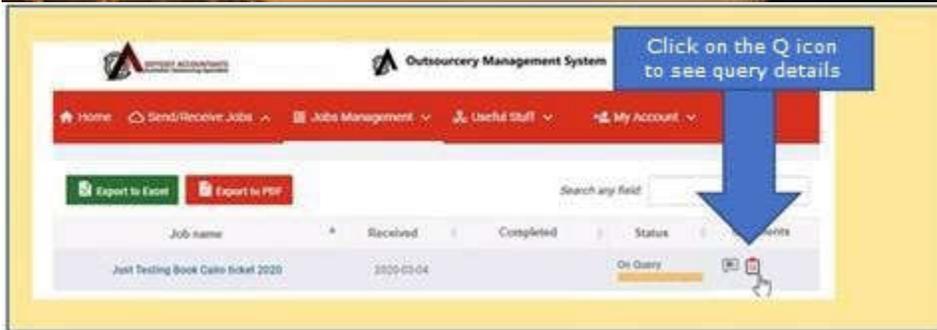


**From:** SMSF [mailto:smsf@odyssey-resources.com]  
**Sent:** Wednesday, April 27, 2022 4:04 PM  
**To:** [nickv@thematadorgroup.com.au](mailto:nickv@thematadorgroup.com.au)  
**Subject:** TMG: AGN Super Fund 2021 - Wind up - Job Query Uploaded

Dear Nicholas,

We've uploaded the queries to this job to the website, so you can access them anytime you need. To access the query, simply click on [this link](#).

Otherwise, at any time, you can login to the OMS and go to the Jobs Status page. The query is accessed by the Query icon (see image below).



We've also attached a copy of the queries in this email.

We would appreciate if you would attend to these queries as soon as possible.

Please do not hesitate to contact us should you have any further questions regarding this email or the queries.

Kind regards,

**NOTICE:** Please note that Odyssey office will be closed on **Monday 2<sup>nd</sup> May** and **Tuesday 3<sup>rd</sup> May 2022** for national Liberation Day and international Labor Day, and will re-open on Wednesday 4<sup>th</sup> May 2022.



**SMSF Administration Division**

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**Nick Valassakis**

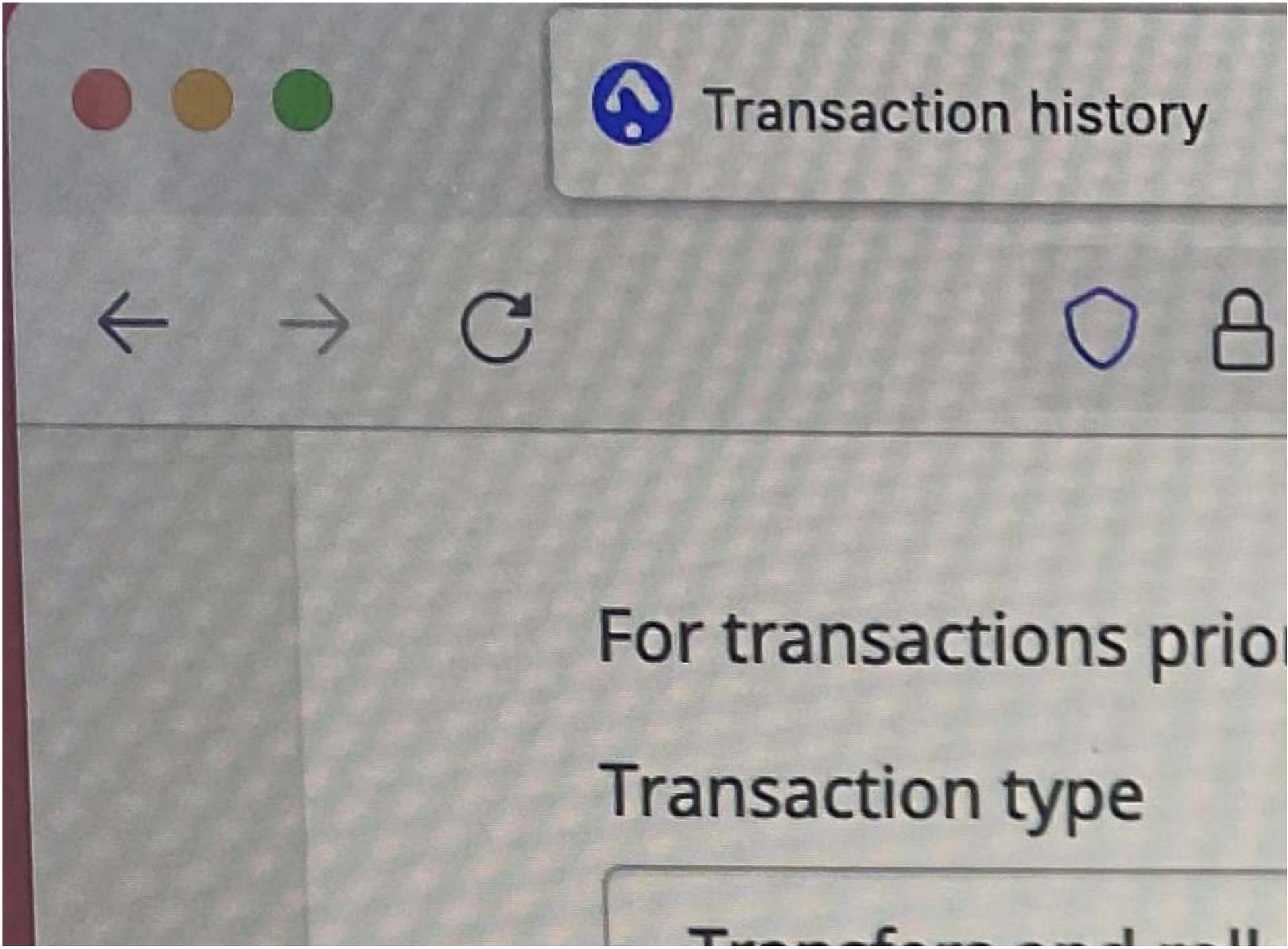
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**From:** Giulie Nicolas <giulie43@hotmail.com>  
**Sent:** Thursday, 9 June 2022 2:09 PM  
**To:** Nick Valassakis  
**Subject:** AGN Super

Hi Nick,  
These are the transactions from AGN Super fund into our Sunsuper or Australian Retirement Trust it is now called.

Kind Regards,

Giulie



ew History BOOKMARKS TOOLS

 Member Online | Australian Retir X



https://m

**UPDATE**

Sent from my iPhone



## B. FINANCIAL STATEMENTS

**AGN SUPERANNUATION FUND**

**FINANCIAL STATEMENTS**

**AND REPORTS**

**FOR THE PERIOD 1 JULY 2020 TO 30 JUNE 2021**

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The Matador Group  
Po Box 3249  
South Brisbane Bc QLD 4101

**AGN SUPERANNUATION FUND  
FINANCIAL STATEMENTS INDEX**

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Statement of Financial Position  
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Statement of Taxable Income  
Trustee Minute/Resolution  
Members Statements  
Investment Movement Report  
Investment Income Report  
Investment Disposals Summary Report  
Detailed Purchase and Disposal Report  
Trial Balance Report (WP)

**AGN SUPERANNUATION FUND**  
**STATEMENT OF FINANCIAL POSITION AS AT 30 JUNE 2021**

	2021	2020
	\$	\$
<b>Investments</b>		
Shares in Listed Companies (Australian)	-	76,561.22
Units in Listed Unit Trusts (Australian)	-	22,380.40
	-	98,941.62
<b>Other Assets</b>		
Macquarie Cash Management Account #9516	-	16,977.81
Distributions Receivable	-	268.38
Income Tax Refundable (Note 7)	-	4,061.69
	-	21,307.88
<b>Total Assets</b>	-	120,249.50
<b>Represented by:</b>		
<b>Liability for Accrued Benefits (Notes 2, 3, 4)</b>		
Nicolas, Arthur (Accumulation)	-	47,223.79
Nicolas, Giuliana Rita (Accumulation)	-	73,025.71
	-	120,249.50

Fund wind  
up as at  
30/06/2021

**AGN SUPERANNUATION FUND**  
**OPERATING STATEMENT**  
**FOR THE YEAR ENDED 30 JUNE 2021**

	2021	2020
	\$	\$
<b>Income</b>		
Capital Gains/(Losses) - Taxable	(4,654.68)	(10,011.07)
Capital Gains/(Losses) - Non Taxable	3,389.15	852.88
Capital Gains - Tax Deferred Write Backs	(74.23)	-
Distributions Received	594.05	1,086.93
Dividends Received	2,650.00	14,221.09
Employer Contributions - Concessional	-	2,000.00
Increase in Market Value of Investments (Note 5)	19,418.42	-
Interest Received	132.15	71.28
Member/Personal Contributions - Non Concessional (Undeducted)	330.00	-
Other Income	18.56	29.27
	<u>21,803.42</u>	<u>8,250.38</u>
<b>Expenses</b>		
Accountancy Fees	-	1,615.00
ATO Supervisory Levy	-	259.00
Auditor's Remuneration	330.00	385.00
Decrease in Market Value of Investments (Note 5)	-	13,192.43
Investment Expenses	-	581.40
Life Insurance Premiums - Preserved	2,034.04	4,606.78
Portfolio Management Fees	896.20	1,679.11
Transfers Out - Preserved/Taxable	81,324.06	-
Transfers Out - Preserved/Tax Free	57,448.52	-
	<u>142,032.82</u>	<u>22,318.72</u>
<b>Benefits Accrued as a Result of Operations before Income Tax</b>	(120,229.40)	(14,068.34)
<b>Income Tax (Note 7)</b>		
Income Tax Expense	20.10	1,267.65
Prior Years Under/Over Provision for Income Tax	-	(0.02)
	<u>20.10</u>	<u>1,267.63</u>
<b>Benefits Accrued as a Result of Operations</b>	<u>(120,249.50)</u>	<u>(15,335.97)</u>

**AGN SUPERANNUATION FUND**  
**STATEMENT OF CASH FLOWS**  
**FOR THE YEAR ENDED 30 JUNE 2021**

	2021	2020
	\$	\$
<b>Cash Flows from Operating Activities</b>		
<b>Contributions</b>		
Employer	-	2,000.00
	-	2,000.00
<b>Fund Transfers</b>		
Transfers out	(138,772.58)	-
	(138,772.58)	-
<b>Operating Income</b>		
Distributions Received	519.82	818.55
Dividends Received	2,650.00	14,221.09
Interest Received	132.15	71.28
Other Income	18.56	29.27
	3,320.53	15,140.19
<b>Operating Expenses</b>		
Accountancy Fees	-	(1,615.00)
Auditor's Remuneration	-	(385.00)
Investment Expenses	-	(581.40)
Life Insurance Premiums - Preserved	(2,034.04)	(4,606.78)
Portfolio Management Fees	(896.20)	(1,679.11)
Tax Paid	4,041.59	(4,031.44)
	1,111.35	(12,898.73)
<b>Net cash provided by (used in) operating activities (Note 8)</b>	(134,340.70)	4,241.46
<b>Cash Flows from Investing Activities</b>		
Proceeds from Disposal of Investments	130,728.68	25,328.45
Distributions Receivable	268.38	273.44
Shares in Listed Companies (Australian)	(13,708.40)	(22,722.69)
Units in Listed Unit Trusts (Australian)	74.23	(7,020.00)
<b>Net cash provided by (used in) investing activities</b>	117,362.89	(4,140.80)
<b>Net Increase/(Decrease) in Cash Held</b>	<b>(16,977.81)</b>	<b>100.66</b>
Cash at beginning of reporting period	16,977.81	16,877.15
<b>Cash at end of reporting period (Note 9)</b>	<b>F5 0.00</b>	<b>16,977.81</b>

**AGN SUPERANNUATION FUND**  
**NOTES TO THE FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 30 JUNE 2021**

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**1. Summary of Significant Accounting Policies**

The trustees have prepared the financial statements on the basis that the superannuation fund is a non-reporting entity because there are no users dependent on general purpose financial statements. The financial statements are therefore special purpose financial statements that have been prepared in order to meet the requirements of the Superannuation Industry (Supervision) Act 1993 and associated Regulations, the trust deed of the fund and the needs of members.

The financial statements have been prepared on a cash basis unless stated otherwise and are based on historical costs, except for investments which have been measured at market value.

The following significant accounting policies, which are consistent with the policies applied in the previous period unless otherwise stated, have been adopted in the preparation of the financial statements.

The financial statements were authorised for issue by the trustees/ directors of the trustee company.

**a. Measurement of Investments**

The fund initially recognises:

- i. an investment when it controls the future economic benefits expected to flow from the asset. For financial assets, the trade date is considered to be the date on which control of the future economic benefits attributable to the asset passes to the Fund; and
- ii. a financial liability on the date it becomes a party to the contractual provisions of the instrument.

Investments of the fund have been measured at market values, which refers to the amount that a willing buyer could reasonably be expected to pay to acquire an asset from a willing seller if the following assumptions are made:

- that the buyer and the seller deal with each other at arm's length in relation to the sale;
- that the sale occurred after proper marketing of the asset; and
- that the buyer and the seller acted knowledgeably and prudentially in relation to the sale.

Market value has been determined as follows:

- i. shares and other securities listed on the Australian Securities Exchange by reference to the relevant market quotations at the end of the reporting period;
- ii. units in managed funds by reference to the unit redemption price at the end of the reporting period;
- iii. fixed-interest securities by reference to the redemption price at the end of the reporting period;
- iv. unlisted investments are stated at trustees' assessment based on estimated market value at balance date or where necessary, an external valuer's opinion; and
- v. investment properties at the trustees' assessment of the market value or where necessary a qualified independent valuer's opinion at the end of reporting period.

Financial liabilities, such as trade creditors and other payables, are measured at the gross value of the outstanding balance at the end of the reporting period. The trustees have determined that the gross value of the fund's financial liabilities is equivalent to their market values. Any remeasurement changes in the gross values of non-current financial liabilities (including liabilities for members' accrued benefits) are recognised in the operating statement in the periods in which they occur.

**b. Cash and Cash Equivalents**

Cash and cash equivalents include cash on hand and at call, deposits with banks and short-term, highly liquid investments that are readily convertible to cash and subject to an insignificant risk of change in value.

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**AGN SUPERANNUATION FUND**  
**NOTES TO THE FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 30 JUNE 2021**

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**c. Revenue**

Revenue is recognised to the extent that it is probable that the economic benefits will flow to the Fund and the revenue can be reliably measured. Revenue is recognised at the fair value of the consideration received or receivable.

**Interest revenue**

Interest revenue is recognised in respect of fixed-interest securities, and cash and cash equivalent balances. Interest revenue is recognised as it accrues.

**Dividend revenue**

Dividend revenue is recognised when the dividend has been paid or, in the case of dividend reinvestment schemes, when the dividend is credited to the benefit of the fund.

**Rental revenue**

Rental revenue arising from operating leases on investment properties is recognised upon receipt.

**Distribution revenue**

Distributions from unit trusts and managed funds are recognised as at the date the unit value is quoted ex-distributions and, if not received at the end of the reporting period, are reflected in the statement of financial position as a receivable at net market value.

**Remeasurement changes in market values**

Remeasurement changes in the market values of assets are recognised as income and determined as the difference between the market value at year-end or consideration received (if sold during the year) and the market value as at the prior year-end or cost (if acquired during the period).

**Contributions**

Contributions and transfers in are recognised when the control and the benefits from the revenue have been attained and are recorded by the Fund, gross of any taxes, in the period to which they relate.

**d. Liability for Accrued Benefits**

The liability for accrued benefits represents the fund's present obligation to pay benefits to members and beneficiaries and has been calculated as the difference between the carrying amount of the assets and the carrying amount of the other payables and income tax liabilities as at the end of the reporting period.

**e. Goods and Services Tax (GST)**

Revenues, expenses and assets are recognised net of the amount of GST, except where the amount of GST incurred is not recoverable from the Australian Taxation Office (ATO).

The net amount of GST recoverable from, or payable to, the ATO is included with other receivables or other payables in the statement of financial position.

**f. Critical Accounting Estimates and Judgements**

The preparation of financial statements requires the trustees to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets and liabilities, income and expenses. Actual results may differ from these estimates.

Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in

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**AGN SUPERANNUATION FUND**  
**NOTES TO THE FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 30 JUNE 2021**

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the period in which the estimate is revised and in any future period affected.

**2. Liability for Accrued Benefits**

Changes in the Liability for Accrued Benefits are as follows:

	2021	2020
	\$	\$
Liability for Accrued Benefits at beginning of period	120,249.50	135,585.47
Add:		
Benefits Accrued as a Result of Operations	(120,249.50)	(15,335.97)
- Adjustment of Deferred Tax Liability /Deferred Tax Asset	-	-
	<hr/>	<hr/>
Liability for Accrued Benefits at end of period	<hr/> <u>-</u>	<hr/> <u>120,249.50</u>

**3. Vested Benefits**

Vested benefits are benefits which are not conditional upon continued membership of the fund (or any other factor other than resignation from the fund) and include benefits which members were entitled to receive had they terminated their fund membership as at the reporting date.

	2021	2020
	\$	\$
Vested Benefits	<hr/> <u>-</u>	<hr/> <u>120,249.50</u>

**4. Guaranteed Benefits**

No guarantees have been given in respect of any part of the liability for accrued benefits.

**5. Changes in Market Values**

Investments and other assets of the fund are valued at the end of the reporting period as described in Note 1 - Summary of Significant Accounting Policies. A detailed schedule of investments is attached to these financial statements. A summary of the change in Market Values is as follows:

	2021	2020
	\$	\$
Shares in Listed Companies (Australian)	17,278.82	(9,377.83)
Units in Listed Unit Trusts (Australian)	2,139.60	(3,814.60)
	<hr/>	<hr/>
	<hr/> <u>19,418.42</u>	<hr/> <u>(13,192.43)</u>

**6. Funding Arrangements**

The employer and members contributed to the fund a

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**AGN SUPERANNUATION FUND**  
**NOTES TO THE FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 30 JUNE 2021**

percentage of the gross salaries of the employees who were members of the fund as follows:

	2021	2020
	\$	\$
Employer		
Members		

**7. Income Tax**

Income Tax is payable by the superannuation fund at the rate of 15% on the contributions received and the income of the fund. There has been no change in the Income Tax rate during the year.

The Income Tax payable by the superannuation fund has been calculated as follows:

	2021	2020
	\$	\$
Benefits accrued as a result of operations before income tax	(120,229.40)	(14,068.34)
Prima facie income tax on accrued benefits	(18,034.41)	(2,110.25)
Add/(Less) Tax Effect of:		
Distributions Received	11.13	(61.68)
Increase in Market Value of Investments	(2,912.76)	-
Member/Personal Contributions - Non Concessional (Undeducted)	(49.50)	-
Decrease in Market Value of Investments	-	1,978.86
Transfers Out - Preserved/Taxable	12,198.61	-
Transfers Out - Preserved/Tax Free	8,617.28	-
Accounting (Profits)/Losses on Sale of Investments	189.83	1,373.73
Other	(.08)	86.99
	18,054.51	3,377.90
Income Tax Expense	20.10	1,267.65

Income tax expense comprises:

Income Tax Payable/(Refundable)	(589.86)	(2,763.79)
Imputed Credits	609.96	4,031.19
Foreign Credits	-	.25
	20.10	1,267.65

**8. Reconciliation of Net Cash provided by Operating Activities to Benefits Accrued from Operations after Income Tax**

**AGN SUPERANNUATION FUND**  
**NOTES TO THE FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 30 JUNE 2021**

	2021	2020
	\$	\$
Benefits accrued from operations after income tax	(120,249.50)	(15,335.97)
Add/(Less) non cash amounts included in benefits accrued from operations		
Capital Gains/(Losses) - Taxable	4,654.68	10,011.07
Capital Gains/(Losses) - Non Taxable	(3,389.15)	(852.88)
Distributions Received	-	(268.38)
Increase in Market Value of Investments	(19,418.42)	-
Member/Personal Contributions - Non Concessional (Undeducted)	(330.00)	-
ATO Supervisory Levy	-	259.00
Auditor's Remuneration	330.00	-
Decrease in Market Value of Investments	-	13,192.43
Income Tax Expense	20.10	1,267.65
Prior Years Under/Over Provision for Income Tax	-	(.02)
Other non cash items	4,041.59	(4,031.44)
	<u>(14,091.20)</u>	<u>19,577.43</u>
Net cash provided by operating activities	<u>(134,340.70)</u>	<u>4,241.46</u>

**9. Reconciliation of Cash**

For the purpose of the statement of cash flows, cash includes cash on hand and in banks. Cash at the end of the reporting period as shown in the statement of cash flows is reconciled to the related item in the Statement of Financial Position or Statement of Net Assets as follows:

	2021	2020
	\$	\$
Cash	<u>-</u>	<u>16,977.81</u>

## AGN SUPERANNUATION FUND TRUSTEES DECLARATION

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The trustees have determined that the fund is not a reporting entity and that these special purpose financial statements should be prepared in accordance with the accounting policies described in Note 1 to the financial statements.

The trustees declare that:

- i. the financial statements and notes to the financial statements for the year ended 30 June 2021 present fairly, in all material respects, the financial position of the superannuation fund at 30 June 2021 and the results of its operations for the year ended on that date in accordance with the accounting policies described in Note 1 to the financial statements;
- ii. the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the trust deed; and
- iii. the operation of the superannuation fund has been carried out in accordance with its trust deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 and associated Regulations during the year ended 30 June 2021.

Specifically, the trustees declare that:

- in accordance with s 120 of the Superannuation Industry (Supervision) Act 1993, no individual trustee has been or is a disqualified person;
- the fund has satisfactory title to all assets, all assets are unencumbered and free from charge as prescribed by s 50 of the Superannuation (Supervision) Act 1993 and reg 13.14 of the Superannuation Industry (Supervision) Regulations 1994; and
- to the knowledge of the trustees, there have been no events or transactions subsequent to the balance date which could have a material impact on the fund. Where such events have occurred, the effect of such events has been accounted and noted in the fund's financial statements

Signed in accordance with a resolution of the trustees by:

Arthur Nicolas  
Trustee

Giuliana Rita Nicolas  
Trustee

DATED:    /    /

**AGN SUPERANNUATION FUND  
COMPILATION REPORT TO THE MEMBER(S) OF AGN SUPERANNUATION FUND**

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We have compiled the accompanying special purpose financial statements of AGN SUPERANNUATION FUND, which comprise the statement of financial position as at 30 June 2021, the operating statement for the year then ended, a summary of significant accounting policies and other explanatory notes. The specific purpose for which the special purpose financial statements have been prepared is set out in Note 1 to the financial statements.

*The Responsibility of the Trustee(s)*

The Trustee (s) of the AGN SUPERANNUATION FUND is solely responsible for the information contained in the special purpose financial statements, the reliability, accuracy and completeness of the information and for the determination that the financial reporting framework used is appropriate to meet their needs and for the purpose that the financial statements were prepared.

*Our Responsibility*

On the basis of information provided by the Trustee(s), we have compiled the accompanying special purpose financial statements in accordance with the financial reporting framework as described in Note 1 to the financial statements and APES 315: Compilation of Financial Information.

We have applied our expertise in accounting and financial reporting to compile these financial statements in accordance with the financial reporting framework described in Note 1 to the financial statements. We have complied with the relevant ethical requirements of APES 110: Code of Ethics for Professional Accountants.

*Assurance Disclaimer*

Since a compilation engagement is not an assurance engagement, we are not required to verify the reliability, accuracy or completeness of the information provided to us by management to compile these financial statements. Accordingly, we do not express an audit opinion or a review conclusion on these financial statements.

The special purpose financial statements were compiled exclusively for the benefit of the directors of the trustee company who are responsible for the reliability, accuracy and completeness of the information used to compile them. We do not accept responsibility for the contents of the special purpose financial statements.

of

Dated:     /     /

**AGN SUPERANNUATION FUND  
STATEMENT OF TAXABLE INCOME  
FOR THE YEAR ENDED 30 JUNE 2021**

		2021
		\$
Benefits Accrued as a Result of Operations before Income Tax	<b>B4</b>	(120,229.00)
<b>Less:</b>		
Increase in Market Value of Investments	<b>E5</b>	(19,418.00)
Member/Personal Contributions - Non Concessional (Undeducted)	<b>A4#6</b>	(165.00)
Member/Personal Contributions - Non Concessional (Undeducted)	<b>A4#6</b>	(165.00)
Non Taxable Distributions Received	<b>E2</b>	74.00
Other Non Taxable Items		(2.00)
		(19,676.00)
		(139,905.00)
<b>Add:</b>		
Transfers Out - Preserved/Taxable	<b>E7</b>	32,621.00
Transfers Out - Preserved/Taxable	<b>E7</b>	48,703.00
Transfers Out - Preserved/Tax Free	<b>E7</b>	22,592.00
Transfers Out - Preserved/Tax Free	<b>E7</b>	34,857.00
Accounting Capital Losses	<b>B26</b>	1,266.00
		140,039.00
<b>Taxable Income</b>		<b>134.00</b>
<b>Tax Payable on Taxable Income</b>		<b>20.10</b>
<b>Less:</b>		
Imputed Credits	<b>F15</b>	609.96
		609.96
<b>Income Tax Payable/(Refund)</b>		<b>(589.86)</b>
<b>Add/Less:</b>		
Supervisory levy		259.00
Supervisory levy adjustment for wound up funds		(259.00)
<b>Total Amount Due or Refundable</b>		<b>(589.86)</b>

**MEMORANDUM OF RESOLUTIONS OF  
ARTHUR NICOLAS AND GIULIANA RITA NICOLAS  
ATF AGN SUPERANNUATION FUND**

---

ADOPT FINANCIAL  
STATEMENT ATO RESOLUTION

It was resolved that the financial statements would be prepared as special purpose financial statements as, in the opinion of the trustee(s), the superannuation fund is a non-reporting entity and therefore is not required to comply with all Australian Accounting Standards.

It was further resolved that the financial statements and notes to the financial statements of the superannuation fund in respect of the year ended 30 June 2021 thereon be adopted.

TRUSTEE'S DECLARATION:

It was resolved that the trustee's declaration of the superannuation fund be signed.

ANNUAL RETURN:

Being satisfied that the fund had complied with the requirements of the Superannuation Industry (Supervision) Act 1993 (SISA) and Regulations during the year ended 30 June 2021, it was resolved that the annual return be approved, signed and lodged with the Australian Taxation Office.

INVESTMENT STRATEGY:

The allocation of the fund's assets and the fund's investment performance over the financial year were reviewed and found to be within acceptable ranges outlined in the investment strategy. After considering the risk, rate of return and liquidity of the investments and the ability of the fund to discharge its existing liabilities, it was resolved that the investment strategy continues to reflect the purposes and circumstances of the fund and its members. Accordingly, no changes in the investment strategy were required.

INSURANCE COVER:

The trustee(s) reviewed the current life and total and permanent disability insurance coverage on offer to the members and resolved that the current insurance arrangements were appropriate for the fund.

ALLOCATION OF INCOME:

It was resolved that the income of the fund would be allocated to the members based on their average daily balance (an alternative allocation basis may be percentage of opening balance).

AUDITORS

It was resolved that

Anthony Boys

of

Po Box 3376  
Rundle Mall  
Adelaide South SA 5000

act as auditors of the Fund for the next financial year.

TAX AGENTS

It was resolved that

The Matador Group

act as tax agents of the Fund for the next financial year.

TRUSTEE STATUS:

Each of the trustee(s) confirmed that they are qualified to act as trustee(s) of the fund and that they are not disqualified persons as defined by s 120 of the SISA.

CONTRIBUTIONS RECEIVED:

It was resolved that the contributions during the year be allocated to members on the basis of the schedule provided by the principal Fund employer.

ACCEPTANCE OF ROLLOVERS

The trustee has ensured that any roll-over made to the Fund, meets the requirements of the Fund's deed and does not breach the superannuation laws in relation to:

- 1. making roll-over between Funds; and,
- 2. breaching the Fund or the member investment strategy.

The trustee has reviewed the roll-over and received advice that the roll-over is in accordance with the Trust Deed and the rules of the Fund and the superannuation laws. As such the trustee has resolved to accept the roll-over on behalf of the member.

CLOSURE:

Signed as a true record –

..... /...../.....  
 Arthur Nicolas

..... /...../.....  
 Giuliana Rita Nicolas

**Member's Statement**  
**AGN SUPERANNUATION FUND**

**MR ARTHUR NICOLAS**  
**16 SOUTHVIEW CRESCENT**  
**CARINDALE QLD 4152**

The Trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2021 and for the reporting period 1 July 2020 to 30 June 2021.

<b>Your Details</b>		<b>Your Balance</b>	
Date of Birth	31 May 1960	<b>Total Benefits</b>	
Tax File Number	Provided	Comprising:	
Date Joined Fund	27 September 2016	- Preserved	
Service Period Start Date	1 June 1986	- Restricted Non Preserved	
Date Left Fund		- Unrestricted Non Preserved	
Member Mode	Accumulation	Including:	
Account Description	Accumulation	- Tax Free Component	
Current Salary		- Taxable Component	
Vested Amount			
Insured Death Benefit			
Total Death Benefit			
Disability Benefit			
Nominated Beneficiaries			

<b>Your Detailed Account</b>	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2020	47,223.79			47,223.79
<u>Add: Increases to Member's Account</u>				
<u>During the Period</u>				
Concessional Contributions				
Non-Concessional Contributions	165.00	A4#6		165.00
Other Contributions				
Govt Co-Contributions				
Employer Contributions - No TFN				
Proceeds of Insurance Policies				
Share of Net Income/(Loss) for period	7,951.39			7,951.39
Transfers in and transfers from reserves				
	8,116.39			8,116.39
	55,340.18			55,340.18
<u>Less: Decreases to Member's Account</u>				
<u>During the Period</u>				
Benefits/Pensions Paid				
Contributions Tax				
Income Tax	127.71			127.71
No TFN Excess Contributions Tax				
Division 293 Tax				
Excess Contributions Tax				
Refund Excess Contributions				
Insurance Policy Premiums Paid				
Management Fees				
Share of fund expenses				
Transfers out and transfers to reserves	55,212.47	E7		55,212.47
	55,340.18			55,340.18
<b>Member's Account Balance at 30/06/2021</b>				

Reference: AGNS0001 / 501

**Availability of Other Fund Information**

Other information about the Fund is available at your request from the Trustee. If you would like any further information, please contact the Trustee.

**Trustee's Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. Every effort has been made by the Trustee to ensure the accuracy and completeness of this Statement. The Trustee does not accept any liability for any error, omission or misprint. All amounts shown in relation to benefits do not take into account any amounts which may be withheld to satisfy the requirements imposed by the Income Tax Assessment Act 1936.

Signed by all the trustees of the fund

Arthur Nicolas  
Trustee

Giuliana Rita Nicolas  
Trustee

Statement Date:    /    /

**Member's Statement**  
**AGN SUPERANNUATION FUND**

**MRS GIULIANA RITA NICOLAS**  
**16 SOUTHVIEW CRESCENT**  
**CARINDALE QLD 4152**

The Trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2021 and for the reporting period 1 July 2020 to 30 June 2021.

<b>Your Details</b>		<b>Your Balance</b>	
Date of Birth	23 March 1958	<b>Total Benefits</b>	
Tax File Number	Provided	Comprising:	
Date Joined Fund	27 September 2016	- Preserved	
Service Period Start Date	1 June 1986	- Restricted Non Preserved	
Date Left Fund		- Unrestricted Non Preserved	
Member Mode	Accumulation	Including:	
Account Description	Accumulation	- Tax Free Component	
Current Salary		- Taxable Component*	
Vested Amount			
Insured Death Benefit	\$826,875.00		
Total Death Benefit	\$826,875.00		
Disability Benefit			
Nominated Beneficiaries			

\*Your withdrawal benefit would include a Tax Free Component of \$0.00 and a Taxable Component of \$0

<b>Your Detailed Account</b>	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2020	73,025.71			73,025.71
<u>Add: Increases to Member's Account</u>				
<u>During the Period</u>				
Concessional Contributions				
Non-Concessional Contributions	165.00	<b>A4#6</b>		165.00
Other Contributions				
Govt Co-Contributions				
Employer Contributions - No TFN				
Proceeds of Insurance Policies				
Share of Net Income/(Loss) for period	12,295.83			12,295.83
Transfers in and transfers from reserves				
	<u>12,460.83</u>			<u>12,460.83</u>
	85,486.54			85,486.54
<u>Less: Decreases to Member's Account</u>				
<u>During the Period</u>				
Benefits/Pensions Paid				
Contributions Tax				
Income Tax	(107.61)			(107.61)
No TFN Excess Contributions Tax				
Division 293 Tax				
Excess Contributions Tax				
Refund Excess Contributions				
Insurance Policy Premiums Paid	2,034.04	<b>F25</b>		2,034.04
Management Fees				
Share of fund expenses				
Transfers out and transfers to reserves	83,560.11	<b>E7</b>		83,560.11
	<u>85,486.54</u>			<u>85,486.54</u>
<b>Member's Account Balance at 30/06/2021</b>				

Reference: AGNS0001 / 502

**Availability of Other Fund Information**

Other information about the Fund is available at your request from the Trustee. If you would like any further information, please contact the Trustee.

**Trustee's Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. Every effort has been made by the Trustee to ensure the accuracy and completeness of this Statement. The Trustee does not accept any liability for any error, omission or misprint. All amounts shown in relation to benefits do not take into account any amounts which may be withheld to satisfy the requirements imposed by the Income Tax Assessment Act 1936.

Signed by all the trustees of the fund

Arthur Nicolas  
Trustee

Giuliana Rita Nicolas  
Trustee

Statement Date:    /    /

**AGN SUPERANNUATION FUND**  
**INVESTMENT MOVEMENT REPORT AT 30 JUNE 2021**

Investment	Opening Balance		Additions		Disposals			Closing Balance		
	Units	Cost	Units	Cost	Units	Cost	Prof/(Loss)	Units	Cost	Market
<b>Cash/Bank Accounts</b>										
Macquarie Cash Management Account #9516		16,977.81		133,131.15		(150,108.96)			0.00	
		16,977.81		133,131.15		(150,108.96)			0.00	
<b>Shares in Listed Companies (Australian)</b>										
Arcor Limited (AMC)	800.0000	12,722.71			(800.0000)	(12,722.71)	(1,179.64)			
BKI Investment Company Limited (BKI)	7,500.0000	9,960.09			(7,500.0000)	(9,960.09)	1,209.19			
Bell Financial Group Limited (BFG)	10,000.0000	10,137.50			(10,000.0000)	(10,137.50)	8,601.25			
Boral Limited (BLD)	2,000.0000	12,650.00			(2,000.0000)	(12,650.00)	(2,688.88)			
Commonwealth Bank of Australia (CBA)	150.0000	12,327.71			(150.0000)	(12,327.71)	584.45			
National Aust Bank Ltd Capital Notes 5 (NABPH)			60.0000	6,000.00	(60.0000)	(6,000.00)	106.05			
National Australia Bank Limited (NAB)	176.0000	2,490.40			(176.0000)	(2,490.40)	1,796.08			
National Australia Bank Limited (NABPB)			60.0000	6,146.00	(60.0000)	(6,146.00)	(146.00)			
Universal Store Holdings Limited (UNI)			750.0000	2,850.00	(750.0000)	(2,850.00)	977.50			
Viva Energy Group Limited (VEA)	5,040.0000	15,000.00		(1,287.60)	(5,040.0000)	(13,712.40)	(4,740.29)			
Westpac Banking Corporation (WBC)	600.0000	18,551.63			(600.0000)	(18,551.63)	(5,388.15)			
		93,840.04		13,708.40		(107,548.44)	(868.44)			
<b>Units in Listed Unit Trusts (Australian)</b>										
Magellan Global Fund Closed Class (MGF)	5,000.0000	7,500.00			(5,000.0000)	(7,500.00)	981.75			
NB Global Corporate Income Trust (NBI)	5,000.0000	10,000.00			(5,000.0000)	(10,000.00)	(605.97)			
Partners Group Global	3,510.0000	7,020.00			(3,510.0000)	(7,020.00)	(772.87)			

**AGN SUPERANNUATION FUND**  
**INVESTMENT MOVEMENT REPORT AT 30 JUNE 2021**

Investment	Opening Balance		Additions		Disposals			Closing Balance		
	Units	Cost	Units	Cost	Units	Cost	Prof/(Loss)	Units	Cost	Market
Income Fund (PGG)		24,520.00				(24,520.00)	(397.09)			
		135,337.85		146,839.55		(282,177.40)	(1,265.53)		0.00	

**AGN SUPERANNUATION FUND**  
**INVESTMENT INCOME REPORT AT 30 JUNE 2021**

Investment	Add							Less				Taxable Income (incl Cap Gains)	Indexed Capital Gains	Discounted Capital Gains*	Other Capital Gains*	Taxable Capital Gains	
	Total Income	Franked Amount	Unfranked Amount	Franking Credits	Foreign Credits	TFN Credits	Tax Free	Tax Exempt	Tax Deferred	Expenses	Capital Gains Disc.*						GST
<b>Cash/Bank Accounts</b>																	
Macquarie Cash Management Account #9516	132.15												132.15				
	132.15												132.15				
<b>Shares in Listed Companies (Australian)</b>																	
Ancor Limited (AMC)	260.40												260.40				
BKI Investment Company Limited (BKI)	399.00	399.00		171.00									570.00				
Bell Financial Group Limited (BFG)	400.00	400.00		171.43									571.43				
Commonwealt Bank of Australia (CBA)	147.00	147.00		63.00									210.00				
National Australia Bank Limited (NAB)	172.80	172.80		74.06									246.86				
National Australia Bank Limited (NABPB)	70.44	70.44		30.19									100.63				
Viva Energy Group Limited (VEA)	404.40	48.00	356.40	20.57									424.97				
Westpac Banking Corporation	186.00	186.00		79.71									265.71				
* Includes Foreign Capital Gains																	

**AGN SUPERANNUATION FUND**  
**INVESTMENT INCOME REPORT AT 30 JUNE 2021**

Investment				Add			Less					Taxable Income (incl Cap Gains)	Indexed Capital Gains	Discounted Capital Gains*	Other Capital Gains*	Taxable Capital Gains	
	Total Income	Franked Amount	Unfranked Amount	Franking Credits	Foreign Credits	TFN Credits	Tax Free	Tax Exempt	Tax Deferred	Expenses	Capital Gains Disc.*						GST
(WBC)	2,040.04	1,423.24	356.40	609.96									2,650.00				
<b>Units in Listed Unit Trusts (Australian)</b>																	
NB Global	243.67		243.67										243.67				
Corporate Income Trust (NBI)																	
Partners Group Global Income Fund (PGG)	97.15		171.38						(74.23)				171.38				
	340.82		415.05						(74.23)				415.05				
	2,513.01	1,423.24	771.45	609.96					(74.23)				3,197.20				

\* Includes Foreign Capital Gains

**AGN SUPERANNUATION FUND**  
**DETAILED INVESTMENT DISPOSALS REPORT AT 30 JUNE 2021**

Date	Investment	Disposal Method	Units Sold	Purchase Cost	Cost Base Adjustments	Adjusted Cost Base	Consideration	Notional Gain Realised	Total Prof/(Loss)	Taxable Prof/(Loss)	Non Taxable Prof/(Loss)	Accounting Prof/(Loss)
<b>Shares in Listed Companies (Australian)</b>												
<u>Amcor Limited (AMC)</u>												
12/02/2021	Disposal	Other	800.0000	12,722.71		12,722.71	11,543.07		(1,179.64)	(1,179.64)		(1,179.64)
			800.0000	12,722.71		12,722.71	11,543.07		(1,179.64)	(1,179.64)		(1,179.64)
<u>BKI Investment Company Limited (BKI)</u>												
12/02/2021	Disposal	Other	7,500.0000	9,960.09		9,960.09	11,169.28		1,209.19	1,209.19		1,209.19
			7,500.0000	9,960.09		9,960.09	11,169.28		1,209.19	1,209.19		1,209.19
<u>Bell Financial Group Limited (BFG)</u>												
12/02/2021	Disposal	Discounted	10,000.0000	10,137.50		10,137.50	18,738.75		8,601.25	5,734.17	2,867.08	8,601.25
			10,000.0000	10,137.50		10,137.50	18,738.75		8,601.25	5,734.17	2,867.08	8,601.25
<u>Boral Limited (BLD)</u>												
12/02/2021	Disposal	Other	2,000.0000	12,650.00		12,650.00	9,961.12	<b>F17-18</b>	(2,688.88)	(2,688.88)		(2,688.88)
			2,000.0000	12,650.00		12,650.00	9,961.12		(2,688.88)	(2,688.88)		(2,688.88)
<u>Commonwealth Bank of Australia (CBA)</u>												
12/02/2021	Disposal	Discounted	150.0000	12,327.71		12,327.71	12,912.16		584.45	389.63	194.82	584.45
			150.0000	12,327.71		12,327.71	12,912.16		584.45	389.63	194.82	584.45
<u>National Aust Bank Ltd Capital Notes 5 (NABPH)</u>												
12/02/2021	Disposal	Other	60.0000	6,000.00		6,000.00	6,106.05		106.05	106.05		106.05
			60.0000	6,000.00		6,000.00	6,106.05		106.05	106.05		106.05
<u>National Australia Bank Limited (NAB)</u>												
12/02/2021	Disposal	Other	176.0000	2,490.40		2,490.40	4,286.48		1,796.08	1,796.08		1,796.08
			176.0000	2,490.40		2,490.40	4,286.48		1,796.08	1,796.08		1,796.08
<u>National Australia Bank Limited (NABPB)</u>												
17/12/2020	Disposal	Other	60.0000	6,146.00		6,146.00	6,000.00		(146.00)	(146.00)		(146.00)
			60.0000	6,146.00		6,146.00	6,000.00		(146.00)	(146.00)		(146.00)
<u>Universal Store Holdings Limited (UNI)</u>												
23/12/2020	Disposal	Other	750.0000	2,850.00		2,850.00	3,827.50		977.50	977.50		977.50

**AGN SUPERANNUATION FUND**  
**DETAILED INVESTMENT DISPOSALS REPORT AT 30 JUNE 2021**

Date	Investment	Disposal Method	Units Sold	Purchase Cost	Cost Base Adjustments	Adjusted Cost Base	Consideration	Notional Gain Realised	Total Prof/(Loss)	Taxable Prof/(Loss)	Non Taxable Prof/(Loss)	Accounting Prof/(Loss)
			750.0000	2,850.00		2,850.00	3,827.50		977.50	977.50		977.50
	<u>Viva Energy Group Limited (VEA)</u>											
12/02/2021	Disposal	Other	5,040.0000	13,712.40		13,712.40	8,972.11		(4,740.29)	(4,740.29)		(4,740.29)
			5,040.0000	13,712.40		13,712.40	8,972.11		(4,740.29)	(4,740.29)		(4,740.29)
	<u>Westpac Banking Corporation (WBC)</u>											
12/02/2021	Disposal	Other	600.0000	18,551.63		18,551.63	13,163.48		(5,388.15)	(5,388.15)		(5,388.15)
			600.0000	18,551.63		18,551.63	13,163.48		(5,388.15)	(5,388.15)		(5,388.15)
				107,548.44		107,548.44	106,680.00		(868.44)	(3,930.34)	3,061.90	(868.44)
	<b>Units in Listed Unit Trusts (Australian)</b>											
	<u>Magellan Global Fund Closed Class (MGF)</u>											
12/02/2021	Disposal	Discounted	5,000.0000	7,500.00		7,500.00	8,481.75		981.75	654.50	327.25	981.75
			5,000.0000	7,500.00		7,500.00	8,481.75		981.75	654.50	327.25	981.75
	<u>NB Global Corporate Income Trust (NBI)</u>											
12/02/2021	Disposal	Other	5,000.0000	10,000.00		10,000.00	9,394.03		(605.97)	(605.97)		(605.97)
			5,000.0000	10,000.00		10,000.00	9,394.03		(605.97)	(605.97)		(605.97)
	<u>Partners Group Global Income Fund (PGG)</u>											
12/02/2021	Disposal	Other	3,510.0000	7,020.00	74.23	6,945.77	6,172.90		(772.87)	(772.87)		(847.10)
			3,510.0000	7,020.00	74.23	6,945.77	6,172.90		(772.87)	(772.87)		(847.10)
				24,520.00	74.23	24,445.77	24,048.68		(397.09)	(724.34)	327.25	(471.32)
				132,068.44	74.23	131,994.21	130,728.68		(1,265.53)	(4,654.68)	3,389.15	(1,339.76)

**AGN SUPERANNUATION FUND**  
**DETAILED PURCHASE AND DISPOSAL REPORT**  
**AT 30 JUNE 2021**

Date	Details	Units	Unit Price	Amount
<b>Shares in Listed Companies (Australian)</b>				
<b><u>Amcor Limited (AMC)</u></b>				
12/02/2021	Disposal of 800.0000 unit(s) purchased on 11/06/2019 in Amcor Limited (AMC)	(800.0000)	15.9034	(12,722.71)
		(800.0000)		(12,722.71)
<b><u>BKI Investment Company Limited (BKI)</u></b>				
12/02/2021	Disposal of 7,500.0000 unit(s) purchased on 17/03/2020 in BKI Investment Company Limited (BKI)	(7,500.0000)	1.3280	(9,960.09)
		(7,500.0000)		(9,960.09)
<b><u>Bell Financial Group Limited (BFG)</u></b>				
12/02/2021	Disposal of 10,000.0000 unit(s) purchased on 02/11/2018 in Bell Financial Group Limited (BFG)	(10,000.0000)	1.0138	(10,137.50)
		(10,000.0000)		(10,137.50)
<b><u>Boral Limited (BLD)</u></b>				
12/02/2021	Disposal of 2,000.0000 unit(s) purchased on 04/06/2018 in Boral Limited (BLD)	(2,000.0000)	6.3250	(12,650.00)
		(2,000.0000)		(12,650.00)
<b><u>Commonwealth Bank of Australia (CBA)</u></b>				
12/02/2021	Disposal of 150.0000 unit(s) purchased on 27/06/2017 in Commonwealth Bank of Australia (CBA)	(150.0000)	82.1847	(12,327.71)
		(150.0000)		(12,327.71)
<b><u>National Aust Bank Ltd Capital Notes 5 (NABPH)</u></b>				
17/12/2020	NABPH	60.0000	100.0000	6,000.00 <b>F11</b>
12/02/2021	Disposal of 60.0000 unit(s) purchased on 17/12/2020 in National Aust Bank Ltd Capital Notes 5 (NABPH)	(60.0000)	100.0000	(6,000.00)
		0.0000		0.00
<b><u>National Australia Bank Limited (NAB)</u></b>				
12/02/2021	Disposal of 176.0000 unit(s) purchased on 04/06/2020 in National Australia Bank Limited (NAB)	(176.0000)	14.1500	(2,490.40)
		(176.0000)		(2,490.40)
<b><u>National Australia Bank Limited (NABPB)</u></b>				
31/07/2020	13212677 BGHT 60 NABPB @ 100.6000	60.0000	102.4333	6,146.00 <b>F9/ F17</b>
17/12/2020	Disposal of 60.0000 unit(s) purchased on 31/07/2020 in National Australia Bank Limited (NABPB)	(60.0000)	102.4333	(6,146.00)
		0.0000		0.00
<b><u>Universal Store Holdings Limited (UNI)</u></b>				
18/11/2020	13418368 BGHT 750 UNIYY @ 3.8000	750.0000	3.8000	2,850.00 <b>F10/ F18</b>
23/12/2020	Disposal of 750.0000 unit(s) purchased on 18/11/2020 in Universal Store Holdings Limited (UNI)	(750.0000)	3.8000	(2,850.00)

**AGN SUPERANNUATION FUND**  
**DETAILED PURCHASE AND DISPOSAL REPORT**  
**AT 30 JUNE 2021**

Date	Details	Units	Unit Price	Amount
		0.0000		0.00
<b><u>Viva Energy Group Limited (VEA)</u></b>				
13/10/2020	VEA CAP OCT20 001253003321 and Share Consolidation included CGT date: 18/07/2018			(1,287.60) <b>F35</b>
12/02/2021	Disposal of 5,040.0000 unit(s) purchased on 18/07/2018 in Viva Energy Group Limited (VEA)	(5,040.0000)	2.7207	(13,712.40)
		(5,040.0000)		(15,000.00)
<b><u>Westpac Banking Corporation (WBC)</u></b>				
12/02/2021	Disposal of 600.0000 unit(s) purchased on 30/05/2017 in Westpac Banking Corporation (WBC)	(600.0000)	30.9194	(18,551.63)
		(600.0000)		(18,551.63)
<b><u>Units in Listed Unit Trusts (Australian)</u></b>				
<b><u>Magellan Global Fund Closed Class (MGF)</u></b>				
12/02/2021	Disposal of 5,000.0000 unit(s) purchased on 11/10/2017 in Magellan Global Fund Closed Class (MGF)	(5,000.0000)	1.5000	(7,500.00)
		(5,000.0000)		(7,500.00)
<b><u>NB Global Corporate Income Trust (NBI)</u></b>				
12/02/2021	Disposal of 5,000.0000 unit(s) purchased on 19/09/2018 in NB Global Corporate Income Trust (NBI)	(5,000.0000)	2.0000	(10,000.00)
		(5,000.0000)		(10,000.00)
<b><u>Partners Group Global Income Fund (PGG)</u></b>				
12/02/2021	Disposal of 3,510.0000 unit(s) purchased on 20/09/2019 in Partners Group Global Income Fund (PGG)	(3,510.0000)	2.0000	(7,020.00)
		(3,510.0000)		(7,020.00)
				(\$118,360.04)

## AGN SUPERANNUATION FUND

Trial Balance at 30/06/2021

Printed: Wednesday 15 June, 2022 @ 09:40:41

Last Year	Account	Account Name	Units	Debits \$	Credits \$
	<b>235</b>	<b>Capital Gains/(Losses) - Taxable</b>			
	235/002	Westpac Banking Corporation (WBC)		5,388.15	
	235/003	Amcor Limited (AMC)		1,179.64	
	235/004	Commonwealth Bank of Australia (CBA)			389.63
6,323.84	235/011	National Australia Bank Limited (NAB)			1,796.08
	235/013	Boral Limited (BLD)		2,688.88	
	235/014	Viva Energy Group Limited (VEA)		4,740.29	
	235/015	Bell Financial Group Limited (BFG)			5,734.17
(1,705.77)	235/016	Nextdc Limited (NXT)			
	235/017	NB Global Corporate Income Trust (NBI)		605.97	
6,783.00	235/018	McMillan Shakespeare Limited (MMS)			
	235/019	Partners Group Global Income Fund (PGG)		772.87	
(1,390.00)	235/020	Aerometrex Limited (AMX)			
	235/021	BKI Investment Company Limited (BKI)			1,209.19
	235/022	Universal Store Holdings Limited (UNI)			977.50
	235/023	National Australia Bank Limited (NABPB)		146.00	
	235/024	National Aust Bank Ltd Capital Notes 5 (NABPH)			106.05
	235/025	Magellan Global Fund Closed Class (MGF)			654.50
	<b>236</b>	<b>Capital Gains/(Losses) - Non Taxable</b>			
	236/004	Commonwealth Bank of Australia (CBA)			194.82
	236/015	Bell Financial Group Limited (BFG)			2,867.08
(852.88)	236/016	Nextdc Limited (NXT)			
	236/025	Magellan Global Fund Closed Class (MGF)			327.25
	<b>238</b>	<b>Distributions Received</b>			
(337.00)	238/002	Magellan Global Trust (MGG)			179.00
(543.25)	238/003	NB Global Corporate Income Trust (NBI)			243.67
(206.68)	238/004	Partners Group Global Income Fund (PGG)			97.15
	<b>239</b>	<b>Dividends Received</b>			
(685.71)	239/002	Westpac Banking Corporation (WBC)			265.71
(553.80)	239/003	Amcor Limited (AMC)			260.40
(923.57)	239/004	Commonwealth Bank of Australia (CBA)			210.00
(948.58)	239/008	National Australia Bank Limited (NAB)			246.86
(558.57)	239/010	Boral Limited (BLD)			
(402.86)	239/011	Viva Energy Group Limited (VEA)			424.97
(1,142.86)	239/012	Bell Financial Group Limited (BFG)			571.43
(9,005.14)	239/014	McMillan Shakespeare Limited (MMS)			
	239/016	BKI Investment Company Limited (BKI)			570.00
	239/018	National Australia Bank Limited (NABPB)			100.63
	<b>242</b>	<b>Employer Contributions -</b>			

## AGN SUPERANNUATION FUND

Trial Balance at 30/06/2021

Printed: Wednesday 15 June, 2022 @ 09:40:41

Last Year	Account	Account Name	Units	Debits \$	Credits \$
		<b>Concessional</b>			
(1,000.00)	242/001	Nicolas, Arthur			
(1,000.00)	242/002	Nicolas, Giuliana Rita			
	247	Increase in Market Value of Investments			19,418.42
	<b>250</b>	<b>Interest Received</b>			
(71.28)	250/001	Macquarie Cash Management Account #9516			132.15
	<b>261</b>	<b>Member/Personal Contributions - Non Concessional (Undeducted)</b>			
	261/001	Nicolas, Arthur			165.00
	261/002	Nicolas, Giuliana Rita			165.00
	<b>265</b>	<b>Other Income</b>			
(29.27)	265/001	Rebate of Adviser Commission			18.56
1,615.00	301	Accountancy Fees			
259.00	304	ATO Supervisory Levy			
385.00	307	Auditor's Remuneration		330.00	
13,192.43	330	Decrease in Market Value of Investments			
	<b>375</b>	<b>Investment Expenses</b>			
581.40	375/022	MMS investment expense			
	<b>390</b>	<b>Life Insurance Premiums - Preserved</b>			
4,606.78	390/002	Nicolas, Giuliana Rita		2,034.04	
1,679.11	398	Portfolio Management Fees		896.20	
	<b>460</b>	<b>Transfers Out - Preserved/Taxable</b>			
	460/001	Nicolas, Arthur		32,620.97	
	460/002	Nicolas, Giuliana Rita		48,703.09	
	<b>461</b>	<b>Transfers Out - Preserved/Tax Free</b>			
	461/001	Nicolas, Arthur		22,591.50	
	461/002	Nicolas, Giuliana Rita		34,857.02	
1,267.65	485	Income Tax Expense		20.10	
(0.02)	486	Prior Years Under/Over Provision for Income Tax			
(15,335.97)	490	Profit/Loss Allocation Account			120,249.50
	<b>501</b>	<b>Nicolas, Arthur (Accumulation)</b>			
(28,974.56)	501/001	Opening Balance - Preserved/Taxable			24,797.29
(22,426.50)	501/002	Opening Balance - Preserved/Tax Free			22,426.50
(1,000.00)	501/011	Employer Contributions - Concessional			
	501/016	Member/Personal Contributions - Preserved			165.00
4,391.73	501/031	Share of Profit/(Loss) - Preserved/Taxable			7,951.39
150.00	501/051	Contributions Tax - Preserved			
635.54	501/053	Income Tax - Preserved/Taxable		127.71	
	501/091	Transfers Out - Preserved/Taxable		32,620.97	
	501/092	Transfers Out - Preserved/Tax Free		22,591.50	
	<b>502</b>	<b>Nicolas, Giuliana Rita (Accumulation)</b>			
(49,492.39)	502/001	Opening Balance - Preserved/Taxable			38,333.69
(34,692.02)	502/002	Opening Balance - Preserved/Tax Free			34,692.02
(1,000.00)	502/011	Employer Contributions - Concessional			
	502/016	Member/Personal Contributions -			165.00

## AGN SUPERANNUATION FUND

Trial Balance at 30/06/2021

Printed: Wednesday 15 June, 2022 @ 09:40:41

Last Year	Account	Account Name	Units	Debits \$	Credits \$
		Preserved			
7,069.83	502/031	Share of Profit/(Loss) - Preserved/Taxable			12,295.83
150.00	502/051	Contributions Tax - Preserved			
332.09	502/053	Income Tax - Preserved/Taxable			107.61
	502/091	Transfers Out - Preserved/Taxable		48,703.09	
	502/092	Transfers Out - Preserved/Tax Free		34,857.02	
4,606.78	502/130	Life Insurance Premiums - Preserved/Taxable		2,034.04	
16,977.81	604	Macquarie Cash Management Account #9516			
	<b>618</b>	<b>Distributions Receivable</b>			
172.00	618/002	Magellan Global Trust (MGG)			
48.55	618/003	NB Global Corporate Income Trust (NBI)			
47.83	618/004	Partners Group Global Income Fund (PGG)			
	<b>776</b>	<b>Shares in Listed Companies (Australian)</b>			
10,770.00	776/002	Westpac Banking Corporation (WBC)			
11,584.00	776/003	Amcor Limited (AMC)			
10,413.00	776/004	Commonwealth Bank of Australia (CBA)			
3,206.72	776/008	National Australia Bank Limited (NAB)			
7,580.00	776/010	Boral Limited (BLD)			
10,920.00	776/011	Viva Energy Group Limited (VEA)			
11,700.00	776/012	Bell Financial Group Limited (BFG)			
10,387.50	776/016	BKI Investment Company Limited (BKI)			
	<b>782</b>	<b>Units in Listed Unit Trusts (Australian)</b>			
8,250.00	782/003	NB Global Corporate Income Trust (NBI)			
5,405.40	782/004	Partners Group Global Income Fund (PGG)			
8,725.00	782/005	Magellan Global Fund Closed Class (MGF)			
	<b>850</b>	<b>Income Tax Payable</b>			
30.25	850/001	Income Tax Payable			609.96
4,031.19	850/002	Imputed Credits		609.96	
0.25	850/003	Foreign and Other Tax Credits			
0.00				299,119.01	299,119.01

Current Year Profit/(Loss): \$(120,229.40)

ODYSSEY ODYSSEY ODYSSEY  
Odyssey Odyssey Odyssey  
Odyssey Odyssey Odyssey



# C. TAX RETURN

# Self-managed superannuation fund annual return **2021**

## Who should complete this annual return?

Only self-managed superannuation funds (SMSFs) can complete this annual return. All other funds must complete the *Fund income tax return 2021* (NAT 71287).

- ❗ The *Self-managed superannuation fund annual return instructions 2021* (NAT 71606) (the instructions) can assist you to complete this annual return.
- ➔ The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via ABR.gov.au or complete the Change of details for superannuation entities form (NAT 3036).

## To complete this annual return

- Print clearly, using a BLACK pen only.
  - Use BLOCK LETTERS and print one character per box.
- S M I T #   S T
- Place  in ALL applicable boxes.

- ➔ Postal address for annual returns:

**Australian Taxation Office**  
**GPO Box 9845**  
 [insert the name and postcode  
 of your capital city]

For example;

**Australian Taxation Office**  
**GPO Box 9845**  
**SYDNEY NSW 2001**

## Section A: Fund information

**1 Tax file number (TFN)**

- ➔ To assist processing, write the fund's TFN at the top of pages 3, 5, 7 and 9.

- ❗ The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the Declaration.

**2 Name of self-managed superannuation fund (SMSF)**

AGN SUPERANNUATION FUND

**3 Australian business number (ABN)** (if applicable)

**4 Current postal address**

PO BOX 3249

Suburb/town

SOUTH BRISBANE BC

State/territory

QLD

Postcode

4101

**5 Annual return status**

Is this an amendment to the SMSF's 2021 return?

**A** No  Yes

Is this the first required return for a newly registered SMSF?

**B** No  Yes

Tax File Number

**6 SMSF auditor**

Auditor's name

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name

Other given names

SMSF Auditor Number

Auditor's phone number

Postal address

Suburb/town

State/territory

Postcode

Date audit was completed **A**  /  /

Was Part A of the audit report qualified? **B** No  Yes

Was Part B of the audit report qualified? **C** No  Yes

If Part B of the audit report was qualified, have the reported issues been rectified? **D** No  Yes

**7 Electronic funds transfer (EFT)**

We need your self-managed super fund's financial institution details to pay any super payments and tax refunds owing to you.

**A Fund's financial institution account details**

This account is used for super contributions and rollovers. Do not provide a tax agent account here.

Fund BSB number  Fund account number

Fund account name

I would like my tax refunds made to this account.  Go to C.

**B Financial institution account details for tax refunds**

This account is used for tax refunds. You can provide a tax agent account here.

BSB number  Account number

Account name

**C Electronic service address alias**

Provide the electronic service address alias (ESA) issued by your SMSF messaging provider. (For example, SMSFdataESAAlias). See instructions for more information.

Tax File Number

**8 Status of SMSF** Australian superannuation fund **A** No  Yes  Fund benefit structure **B**  Code

Does the fund trust deed allow acceptance of the Government's Super Co-contribution and Low Income Super Amounts? **C** No  Yes

**9 Was the fund wound up during the income year?**

No  Yes  If yes, provide the date on which the fund was wound up  <sup>Day</sup> /  <sup>Month</sup> /  <sup>Year</sup> Have all tax lodgment and payment obligations been met? No  Yes

**10 Exempt current pension income**

Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

To claim a tax exemption for current pension income, you must pay at least the minimum benefit payment under the law. Record exempt current pension income at Label A.

No  Go to Section B: Income.

Yes  Exempt current pension income amount **A** \$

Which method did you use to calculate your exempt current pension income?

Segregated assets method **B**

Unsegregated assets method **C**  Was an actuarial certificate obtained? **D** Yes

Did the fund have any other income that was assessable?

**E** Yes  Go to Section B: Income.

No  Choosing 'No' means that you do not have any assessable income, including no-TFN quoted contributions. Go to Section C: Deductions and non-deductible expenses. (Do **not** complete Section B: Income.)

If you are entitled to claim any tax offsets, you can list these at Section D: Income tax calculation statement.

Tax File Number Provided

**Section B: Income**

**Do not complete this section** if all superannuation interests in the SMSF were supporting superannuation income streams in the retirement phase for the **entire year**, there was **no** other income that was assessable, and you **have not** realised a deferred notional gain. If you are entitled to claim any tax offsets, you can record these at Section D: Income tax calculation statement.

**11 Income**

Did you have a capital gains tax (CGT) event during the year? **G** No  Yes

If the total capital loss or total capital gain is greater than \$10,000 or you elected to use the transitional CGT relief in 2017 and the deferred notional gain has been realised, complete and attach a *Capital gains tax (CGT) schedule 2021*.

Have you applied an exemption or rollover? **M** No  Yes  Code

Net capital gain **A** \$

Gross rent and other leasing and hiring income **B** \$

Gross interest **C** \$

Forestry managed investment scheme income **X** \$

Gross foreign income **D1** \$  Net foreign income **D** \$  Loss

Australian franking credits from a New Zealand company **E** \$

Transfers from foreign funds **F** \$  Number

Gross payments where ABN not quoted **H** \$

Gross distribution from partnerships **I** \$  Loss

**Calculation of assessable contributions**

Assessable employer contributions **R1** \$

plus Assessable personal contributions **R2** \$

plus **\*\*No-TFN-quoted contributions** **R3** \$

(an amount must be included even if it is zero)

less Transfer of liability to life insurance company or PST **R6** \$

\*Unfranked dividend amount **J** \$

\*Franked dividend amount **K** \$  **F15**

\*Dividend franking credit **L** \$

\*Gross trust distributions **M** \$  Code

**Assessable contributions** **R** \$   
(**R1** plus **R2** plus **R3** less **R6**)

**Calculation of non-arm's length income**

\*Net non-arm's length private company dividends **U1** \$

plus \*Net non-arm's length trust distributions **U2** \$

plus \*Net other non-arm's length income **U3** \$

\*Other income **S** \$  Code

\*Assessable income due to changed tax status of fund **T** \$

**Net non-arm's length income** **U** \$   
(subject to 45% tax rate) (**U1** plus **U2** plus **U3**)

#This is a mandatory label.  
\*If an amount is entered at this label, check the instructions to ensure the correct tax treatment has been applied.

**GROSS INCOME** **W** \$  Loss   
(Sum of labels **A** to **U**)

Exempt current pension income **Y** \$

**TOTAL ASSESSABLE INCOME** **V** \$  Loss   
(**W** less **Y**)

**B4**

Tax File Number Provided

## Section C: Deductions and non-deductible expenses

### 12 Deductions and non-deductible expenses

- Under 'Deductions' list all expenses and allowances you are entitled to claim a deduction for. Under 'Non-deductible expenses', list all other expenses or normally allowable deductions that you cannot claim as a deduction (for example, all expenses related to exempt current pension income should be recorded in the 'Non-deductible expenses' column).

	DEDUCTIONS		NON-DEDUCTIBLE EXPENSES
Interest expenses within Australia	<b>A1</b> \$ <input style="width: 150px;" type="text"/>		<b>A2</b> \$ <input style="width: 150px;" type="text"/>
Interest expenses overseas	<b>B1</b> \$ <input style="width: 150px;" type="text"/>		<b>B2</b> \$ <input style="width: 150px;" type="text"/>
Capital works expenditure	<b>D1</b> \$ <input style="width: 150px;" type="text"/>		<b>D2</b> \$ <input style="width: 150px;" type="text"/>
Decline in value of depreciating assets	<b>E1</b> \$ <input style="width: 150px;" type="text"/>		<b>E2</b> \$ <input style="width: 150px;" type="text"/>
Insurance premiums – members	<b>F1</b> \$ <input style="width: 150px;" type="text" value="2,034"/>	<div style="color: red; font-weight: bold; font-size: 2em;">B4</div> <div style="color: red; font-size: 2em;">↑</div>	<b>F2</b> \$ <input style="width: 150px;" type="text"/>
SMSF auditor fee	<b>H1</b> \$ <input style="width: 150px;" type="text" value="330"/>		<b>H2</b> \$ <input style="width: 150px;" type="text"/>
Investment expenses	<b>I1</b> \$ <input style="width: 150px;" type="text"/>		<b>I2</b> \$ <input style="width: 150px;" type="text"/>
Management and administration expenses	<b>J1</b> \$ <input style="width: 150px;" type="text" value="896"/>		<b>J2</b> \$ <input style="width: 150px;" type="text"/>
Forestry managed investment scheme expense	<b>U1</b> \$ <input style="width: 150px;" type="text"/>		<b>U2</b> \$ <input style="width: 150px;" type="text"/>
Other amounts	<b>L1</b> \$ <input style="width: 150px;" type="text"/>	Code <input style="width: 20px;" type="text"/>	<b>L2</b> \$ <input style="width: 150px;" type="text"/>
Tax losses deducted	<b>M1</b> \$ <input style="width: 150px;" type="text"/>		

**TOTAL DEDUCTIONS**

**N** \$

(Total **A1** to **M1**)

**TOTAL NON-DEDUCTIBLE EXPENSES**

**Y** \$

(Total **A2** to **L2**)

**#TAXABLE INCOME OR LOSS**

**O** \$  Loss

(TOTAL ASSESSABLE INCOME *less* TOTAL DEDUCTIONS)

**TOTAL SMSF EXPENSES**

**Z** \$

(**N** *plus* **Y**)

#This is a mandatory label.

Tax File Number Provided

### Section D: Income tax calculation statement

**#Important:**

Section B label **R3**, Section C label **O** and Section D labels **A, T1, J, T5** and **I** are mandatory. If you leave these labels blank, you will have specified a zero amount.

#### 13 Calculation statement

Please refer to the *Self-managed superannuation fund annual return instructions 2021* on how to complete the calculation statement.

#Taxable income	<b>A \$</b>	<input type="text" value="134"/>
<i>(an amount must be included even if it is zero)</i>		
#Tax on taxable income	<b>T1 \$</b>	<input type="text" value="20.10"/>
<i>(an amount must be included even if it is zero)</i>		
#Tax on no-TFN-quoted contributions	<b>J \$</b>	<input type="text" value="0"/>
<i>(an amount must be included even if it is zero)</i>		

Gross tax **B \$**   
*(T1 plus J)*

Foreign income tax offset	<b>C1 \$</b>	<input type="text"/>
Rebates and tax offsets	<b>C2 \$</b>	<input type="text"/>
Non-refundable non-carry forward tax offsets	<b>C \$</b>	<input type="text"/>
<i>(C1 plus C2)</i>		

SUBTOTAL 1  
**T2 \$**   
*(B less C – cannot be less than zero)*

Early stage venture capital limited partnership tax offset	<b>D1 \$</b>	<input type="text"/>
Early stage venture capital limited partnership tax offset carried forward from previous year	<b>D2 \$</b>	<input type="text"/>
Early stage investor tax offset	<b>D3 \$</b>	<input type="text"/>
Early stage investor tax offset carried forward from previous year	<b>D4 \$</b>	<input type="text"/>
Non-refundable carry forward tax offsets	<b>D \$</b>	<input type="text"/>
<i>(D1 plus D2 plus D3 plus D4)</i>		

SUBTOTAL 2  
**T3 \$**   
*(T2 less D – cannot be less than zero)*

Complying fund's franking credits tax offset	<b>E1 \$</b>	<input type="text" value="609.96"/>
No-TFN tax offset	<b>E2 \$</b>	<input type="text"/>
National rental affordability scheme tax offset	<b>E3 \$</b>	<input type="text"/>
Exploration credit tax offset	<b>E4 \$</b>	<input type="text"/>
Refundable tax offsets	<b>E \$</b>	<input type="text" value="609.96"/>
<i>(E1 plus E2 plus E3 plus E4)</i>		

#TAX PAYABLE **T5 \$**   
*(T3 less E – cannot be less than zero)*

Section 102AAM interest charge  
**G \$**

**B13**

Tax File Number

Credit for interest on early payments – amount of interest	<b>H1 \$</b> <input type="text"/>
Credit for tax withheld – foreign resident withholding (excluding capital gains)	<b>H2 \$</b> <input type="text"/>
Credit for tax withheld – where ABN or TFN not quoted (non-individual)	<b>H3 \$</b> <input type="text"/>
Credit for TFN amounts withheld from payments from closely held trusts	<b>H5 \$</b> <input type="text"/>
Credit for interest on no-TFN tax offset	<b>H6 \$</b> <input type="text"/>
Credit for foreign resident capital gains withholding amounts	<b>H8 \$</b> <input type="text"/>
<b>Eligible credits</b>	<b>H \$</b> <input type="text"/>
	<i>(H1 plus H2 plus H3 plus H5 plus H6 plus H8)</i>

<b>#Tax offset refunds</b> (Remainder of refundable tax offsets)	<b>I \$</b> <input type="text" value="589.86"/>
	<i>(unused amount from label E – an amount must be included even if it is zero)</i>

PAYG instalments raised

**K \$**

Supervisory levy

**L \$**

Supervisory levy adjustment for wound up funds

**M \$**

Supervisory levy adjustment for new funds

**N \$**

<b>AMOUNT DUE OR REFUNDABLE</b> A positive amount at <b>S</b> is what you owe, while a negative amount is refundable to you.	<b>S \$</b> <input type="text" value="-589.86"/>
	<i>(T5 plus G less H less I less K plus L less M plus N)</i>



**B13**

#This is a mandatory label.

### Section E: Losses

#### 14 Losses

**!** If total loss is greater than \$100,000, complete and attach a *Losses schedule 2021*.

Tax losses carried forward to later income years **U \$**

Net capital losses carried forward to later income years **V \$**

**E1**

Tax File Number

Section F: Member information

MEMBER 1

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name  Other given names

Member's TFN  Date of birth  /  /

Contributions

OPENING ACCOUNT BALANCE \$

Refer to instructions for completing these labels.

Employer contributions **A** \$

ABN of principal employer **A1**

Personal contributions **B** \$

CGT small business retirement exemption **C** \$

CGT small business 15-year exemption amount **D** \$

Personal injury election **E** \$

Spouse and child contributions **F** \$

Other third party contributions **G** \$

Proceeds from primary residence disposal **H** \$

Receipt date **H1**  /  /

Assessable foreign superannuation fund amount **I** \$

Non-assessable foreign superannuation fund amount **J** \$

Transfer from reserve: assessable amount **K** \$

Transfer from reserve: non-assessable amount **L** \$

Contributions from non-complying funds and previously non-complying funds **T** \$

Any other contributions (including Super Co-contributions and Low Income Super Amounts) **M** \$

TOTAL CONTRIBUTIONS **N** \$

(Sum of labels **A** to **M**)

Other transactions

Allocated earnings or losses **O** \$

Loss

Accumulation phase account balance **S1** \$

Inward rollovers and transfers **P** \$

Retirement phase account balance - Non CDBIS **S2** \$

Outward rollovers and transfers **Q** \$

Retirement phase account balance - CDBIS **S3** \$

Lump Sum payments **R1** \$

Income stream payments **R2** \$

TRIS Count

CLOSING ACCOUNT BALANCE **S** \$

(**S1** plus **S2** plus **S3**)

Accumulation phase value **X1** \$

Retirement phase value **X2** \$

Outstanding limited recourse borrowing arrangement amount **Y** \$

B16

Tax File Number

**MEMBER 2**

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name

Other given names

Member's TFN  See the Privacy note in the Declaration.

Date of birth Day  / Month  / Year

**Contributions**

OPENING ACCOUNT BALANCE \$

**!** Refer to instructions for completing these labels.

Employer contributions

**A** \$

ABN of principal employer

**A1**

Personal contributions

**B** \$

CGT small business retirement exemption

**C** \$

CGT small business 15-year exemption amount

**D** \$

Personal injury election

**E** \$

Spouse and child contributions

**F** \$

Other third party contributions

**G** \$

Proceeds from primary residence disposal

**H** \$

Receipt date Day  / Month  / Year

**H1**

Assessable foreign superannuation fund amount

**I** \$

Non-assessable foreign superannuation fund amount

**J** \$

Transfer from reserve: assessable amount

**K** \$

Transfer from reserve: non-assessable amount

**L** \$

Contributions from non-complying funds and previously non-complying funds

**T** \$

Any other contributions (including Super Co-contributions and Low Income Super Amounts)

**M** \$

**TOTAL CONTRIBUTIONS N** \$  (Sum of labels **A** to **M**)

**Other transactions**

Allocated earnings or losses **O** \$

Loss

Accumulation phase account balance **S1** \$

Inward rollovers and transfers **P** \$

Retirement phase account balance - Non CDBIS **S2** \$

Outward rollovers and transfers **Q** \$

Retirement phase account balance - CDBIS **S3** \$

Lump Sum payments **R1** \$

Code

Income stream payments **R2** \$

Code

TRIS Count

**CLOSING ACCOUNT BALANCE S** \$  (**S1** plus **S2** plus **S3**)

Accumulation phase value **X1** \$

Retirement phase value **X2** \$

Outstanding limited recourse borrowing arrangement amount **Y** \$

**B18**

Tax File Number Provided

**Section H: Assets and liabilities**

**15 ASSETS**

**15a Australian managed investments**

Listed trusts **A** \$

Unlisted trusts **B** \$

Insurance policy **C** \$

Other managed investments **D** \$

**15b Australian direct investments**

Cash and term deposits **E** \$

**Limited recourse borrowing arrangements**

Australian residential real property

**J1** \$

Australian non-residential real property

**J2** \$

Overseas real property

**J3** \$

Australian shares

**J4** \$

Overseas shares

**J5** \$

Other

**J6** \$

Property count

**J7**

Debt securities **F** \$

Loans **G** \$

Listed shares **H** \$

Unlisted shares **I** \$

Limited recourse borrowing arrangements **J** \$

Non-residential real property **K** \$

Residential real property **L** \$

Collectables and personal use assets **M** \$

Other assets **O** \$

**B3**

**15c Other investments**

Crypto-Currency **N** \$

**15d Overseas direct investments**

Overseas shares **P** \$

Overseas non-residential real property **Q** \$

Overseas residential real property **R** \$

Overseas managed investments **S** \$

Other overseas assets **T** \$

**TOTAL AUSTRALIAN AND OVERSEAS ASSETS U** \$   
(Sum of labels **A** to **T**)

**15e In-house assets**

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year? **A** No  Yes  \$

Tax File Number

15f Limited recourse borrowing arrangements

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution? **A** No  Yes

Did the members or related parties of the fund use personal guarantees or other security for the LRBA? **B** No  Yes

16 LIABILITIES

Borrowings for limited recourse borrowing arrangements	<b>V1</b> \$ <input type="text"/>	
Permissible temporary borrowings	<b>V2</b> \$ <input type="text"/>	
Other borrowings	<b>V3</b> \$ <input type="text"/>	
	Borrowings	<b>V</b> \$ <input type="text"/>
Total member closing account balances (total of all <b>CLOSING ACCOUNT BALANCES</b> from Sections F and G)		<b>W</b> \$ <input type="text"/>
	Reserve accounts	<b>X</b> \$ <input type="text"/>
	Other liabilities	<b>Y</b> \$ <input type="text"/>
	<b>TOTAL LIABILITIES</b>	<b>Z</b> \$ <input type="text"/>

**B3**

Section I: Taxation of financial arrangements

17 Taxation of financial arrangements (TOFA)

Total TOFA gains **H** \$

Total TOFA losses **I** \$

Section J: Other information

Family trust election status

If the trust or fund has made, or is making, a family trust election, write the four-digit **income year specified** of the election (for example, for the 2020–21 income year, write **2021**). **A**

If revoking or varying a family trust election, print **R** for revoke or print **V** for variation, and complete and attach the *Family trust election, revocation or variation 2021*. **B**

Interposed entity election status

If the trust or fund has an existing election, write the earliest income year specified. If the trust or fund is making one or more elections this year, write the earliest income year being specified and complete an *Interposed entity election or revocation 2021* for each election. **C**

If revoking an interposed entity election, print **R**, and complete and attach the *Interposed entity election or revocation 2021*. **D**

Tax File Number **Section K: Declarations**

Penalties may be imposed for false or misleading information in addition to penalties relating to any tax shortfalls.

**Important**

Before making this declaration check to ensure that all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you leave labels blank, you will have specified a zero amount or the label was not applicable to you. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

**Privacy**

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However if you do not provide the TFN, the processing of this form may be delayed.

Taxation law authorises the ATO to collect information and disclose it to other government agencies. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**TRUSTEE'S OR DIRECTOR'S DECLARATION:**

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature

Date  /  /

**Preferred trustee or director contact details:**

Title: Mr  Mrs  Miss  Ms  Other

Family name

NICOLAS

First given name

ARTHUR

Other given names

Phone number

Email address

Non-individual trustee name (if applicable)

ABN of non-individual trustee

Time taken to prepare and complete this annual return  Hrs

**!** The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this annual return to maintain the integrity of the register. For further information, refer to the instructions.

**TAX AGENT'S DECLARATION:**

I declare that the *Self-managed superannuation fund annual return 2021* has been prepared in accordance with information provided by the trustees, that the trustees have given me a declaration stating that the information provided to me is true and correct, and that the trustees have authorised me to lodge this annual return.

Tax agent's signature

Date  /  /

**Tax agent's contact details**

Title: Mr  Mrs  Miss  Ms  Other

Family name

VALASSAKIS

First given name

NICHOLAS

Other given names

Tax agent's practice

THE MATADOR GROUP

Tax agent's phone number

07 32189888

Reference number

AGNS0001

Tax agent number

57280 008

# Capital gains tax (CGT) schedule

# 2021

**When completing this form**

- Print clearly, using a black or dark blue pen only.
  - Use BLOCK LETTERS and print one character in each box.
- |   |   |   |   |   |  |   |   |  |  |  |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|--|---|---|--|--|--|--|--|--|--|--|--|--|--|--|
| S | M | I | T | H |  | S | T |  |  |  |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|--|---|---|--|--|--|--|--|--|--|--|--|--|--|--|
- Do not use correction fluid or covering stickers.
  - Sign next to any corrections with your **full signature** (not initials).

- Use in conjunction with company, trust, fund income tax return or the self-managed superannuation fund annual return.
- Refer to the *Guide to capital gains tax 2021* available on our website at [ato.gov.au](http://ato.gov.au) for instructions on how to complete this schedule.

**Tax file number (TFN)**

**i** We are authorised by law to request your TFN. You do not have to quote your TFN. However, if you don't it could increase the chance of delay or error in processing your form.

**Australian business number (ABN)**

**Taxpayer's name**

AGN SUPERANNUATION FUND

**1 Current year capital gains and capital losses**

	Capital gain	Capital loss
Shares in companies listed on an Australian securities exchange	<b>A \$</b> <input type="text" value="13,275"/>	<b>K \$</b> <input type="text" value="14,143"/>
Other shares	<b>B \$</b> <input type="text"/>	<b>L \$</b> <input type="text"/>
Units in unit trusts listed on an Australian securities exchange	<b>C \$</b> <input type="text" value="982"/>	<b>M \$</b> <input type="text" value="1,379"/>
Other units	<b>D \$</b> <input type="text"/>	<b>N \$</b> <input type="text"/>
Real estate situated in Australia	<b>E \$</b> <input type="text"/>	<b>O \$</b> <input type="text"/>
Other real estate	<b>F \$</b> <input type="text"/>	<b>P \$</b> <input type="text"/>
Amount of capital gains from a trust (including a managed fund)	<b>G \$</b> <input type="text"/>	
Collectables	<b>H \$</b> <input type="text"/>	<b>Q \$</b> <input type="text"/>
Other CGT assets and any other CGT events	<b>I \$</b> <input type="text"/>	<b>R \$</b> <input type="text"/>
Amount of capital gain previously deferred under transitional CGT relief for superannuation funds	<b>S \$</b> <input type="text"/>	
<b>Total current year capital gains</b>	<b>J \$</b> <input type="text" value="14,257"/>	

Add the amounts at labels **K** to **R** and write the total in item 2 label **A** – **Total current year capital losses**.

**E1**

Tax File Number

**2 Capital losses**

Total current year capital losses **A** \$

Total current year capital losses applied **B** \$

Total prior year net capital losses applied **C** \$

Total capital losses transferred in applied  
(only for transfers involving a foreign bank branch or  
permanent establishment of a foreign financial entity) **D** \$

Total capital losses applied **E** \$

Add amounts at B, C and D.

E1

**3 Unapplied net capital losses carried forward**

Net capital losses from collectables carried forward to later income years **A** \$

Other net capital losses carried forward to later income years **B** \$

Add amounts at A and B and transfer the total  
to label V – Net capital losses carried forward  
to later income years on your tax return.

**4 CGT discount**

Total CGT discount applied **A** \$

**5 CGT concessions for small business**

Small business active asset reduction **A** \$

Small business retirement exemption **B** \$

Small business rollover **C** \$

Total small business concessions applied **D** \$

**6 Net capital gain**

Net capital gain **A** \$

1J less 2E less 4A less 5D (cannot be less than  
zero). Transfer the amount at A to label A – Net  
capital gain on your tax return.

Tax File Number

**7 Earnout arrangements**

Are you a party to an earnout arrangement? **A** Yes, as a buyer  Yes, as a seller  No   
(Print  in the appropriate box.)

**!** If you are a party to more than one earnout arrangement, copy and attach a separate sheet to this schedule providing the details requested here for each additional earnout arrangement.

How many years does the earnout arrangement run for? **B**

What year of that arrangement are you in? **C**

If you are the seller, what is the total estimated capital proceeds from the earnout arrangement? **D** \$

Amount of any capital gain or loss you made under your non-qualifying arrangement in the income year. **E** \$  /  <sup>LOSS</sup>

**!** **Request for amendment**

If you received or provided a financial benefit under a look-through earnout right created in an earlier income year and you wish to seek an amendment to that earlier income year, complete the following:

Income year earnout right created **F**

Amended net capital gain or capital losses carried forward **G** \$  /  <sup>LOSS</sup>

**8 Other CGT information required (if applicable)**

Small business 15 year exemption – exempt capital gains **A** \$  /  <sup>CODE</sup>

Capital gains disregarded by a foreign resident **B** \$

Capital gains disregarded as a result of a scrip for scrip rollover **C** \$

Capital gains disregarded as a result of an inter-company asset rollover **D** \$

Capital gains disregarded by a demerging entity **E** \$

Tax File Number

### Taxpayer's declaration

**!** If the schedule is not lodged with the income tax return you are required to sign and date the schedule.

#### Important

Before making this declaration check to ensure that all the information required has been provided on this form and any attachments to this form, and that the information provided is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the ATO. The income tax law imposes heavy penalties for false or misleading statements.

#### Privacy

Taxation law authorises the ATO to collect information and disclose it to other government agencies. This includes personal information of the person authorised to sign the declaration. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

*I declare that the information on this form is true and correct.*

Signature

Date

/  /

Contact name

Daytime contact number (include area code)



## D. PRIOR YEAR INFORMATION

## AGN SUPERANNUATION FUND

## Trial Balance at 30/06/2020

Printed: Tuesday 8 December, 2020 @ 10:46:45

Last Year	Account	Account Name	Units	Debits \$	Credits \$
	<b>235</b>	<b>Capital Gains/(Losses) - Taxable</b>			
723.67	235/003	Amcor Limited (AMC)			
1,644.08	235/006	Ramsay Health Care Limited (RHC)			
	235/011	National Australia Bank Limited (NAB)		6,323.84	
	235/016	Nextdc Limited (NXT)			1,705.77
	235/018	McMillan Shakespeare Limited (MMS)		6,783.00	
	235/020	Aerometrex Limited (AMX)			1,390.00
	<b>236</b>	<b>Capital Gains/(Losses) - Non Taxable</b>			
	236/016	Nextdc Limited (NXT)			852.88
	<b>238</b>	<b>Distributions Received</b>			
(300.00)	238/002	Magellan Global Trust (MGG)			337.00
(473.55)	238/003	NB Global Corporate Income Trust (NBI)			543.25
	238/004	Partners Group Global Income Fund (PGG)			206.68
	<b>239</b>	<b>Dividends Received</b>			
(2,417.13)	239/002	Westpac Banking Corporation (WBC)			685.71
(633.84)	239/003	Amcor Limited (AMC)			553.80
(923.57)	239/004	Commonwealth Bank of Australia (CBA)			923.57
(376.72)	239/006	Ramsay Health Care Limited (RHC)			
(1,131.42)	239/008	National Australia Bank Limited (NAB)			948.58
(655.71)	239/010	Boral Limited (BLD)			558.57
(411.43)	239/011	Viva Energy Group Limited (VEA)			402.86
(607.14)	239/012	Bell Financial Group Limited (BFG)			1,142.86
	239/014	McMillan Shakespeare Limited (MMS)			9,005.14
	<b>242</b>	<b>Employer Contributions - Concessional</b>			
	242/001	Nicolas, Arthur			1,000.00
	242/002	Nicolas, Giuliana Rita			1,000.00
(2,010.24)	247	Increase in Market Value of Investments			
	<b>250</b>	<b>Interest Received</b>			
(282.35)	250/001	Macquarie Cash Management Account #9516			71.28
(374.70)	250/002	DDH Graham (BOQ) Term Deposit			
	<b>265</b>	<b>Other Income</b>			
(52.42)	265/001	Rebate of Adviser Commission			29.27
1,815.00	301	Accountancy Fees		1,615.00	
259.00	304	ATO Supervisory Levy		259.00	
385.00	307	Auditor's Remuneration		385.00	
	330	Decrease in Market Value of Investments		13,192.43	
	<b>375</b>	<b>Investment Expenses</b>			
	375/022	MMS investment expense		581.40	
	<b>390</b>	<b>Life Insurance Premiums - Preserved</b>			
3,746.41	390/002	Nicolas, Giuliana Rita		4,606.78	
1,733.73	398	Portfolio Management Fees		1,679.11	
60.15	485	Income Tax Expense		1,267.65	
(0.01)	486	Prior Years Under/Over Provision for Income Tax			0.02
283.19	490	Profit/Loss Allocation Account			15,335.97
	<b>501</b>	<b>Nicolas, Arthur (Accumulation)</b>			

## AGN SUPERANNUATION FUND

## Trial Balance at 30/06/2020

Printed: Tuesday 8 December, 2020 @ 10:46:45

Last Year	Account	Account Name	Units	Debits \$	Credits \$
(27,679.96)	501/001	Opening Balance - Preserved/Taxable			28,974.56
(22,426.50)	501/002	Opening Balance - Preserved/Tax Free			22,426.50
	501/011	Employer Contributions - Concessional			1,000.00
(1,526.86)	501/031	Share of Profit/(Loss) - Preserved/Taxable		4,391.73	
	501/051	Contributions Tax - Preserved		150.00	
232.26	501/053	Income Tax - Preserved/Taxable		635.54	
	<b>502</b>	<b>Nicolas, Giuliana Rita (Accumulation)</b>			
(50,503.80)	502/001	Opening Balance - Preserved/Taxable			49,492.39
(34,692.02)	502/002	Opening Balance - Preserved/Tax Free			34,692.02
	502/011	Employer Contributions - Concessional			1,000.00
(2,562.88)	502/031	Share of Profit/(Loss) - Preserved/Taxable		7,069.83	
	502/051	Contributions Tax - Preserved		150.00	
(172.12)	502/053	Income Tax - Preserved/Taxable		332.09	
3,746.41	502/130	Life Insurance Premiums - Preserved/Taxable		4,606.78	
16,877.15	604	Macquarie Cash Management Account #9516		16,977.81	
	<b>618</b>	<b>Distributions Receivable</b>			
150.00	618/002	Magellan Global Trust (MGG)		172.00	
123.44	618/003	NB Global Corporate Income Trust (NBI)		48.55	
	618/004	Partners Group Global Income Fund (PGG)		47.83	
	<b>776</b>	<b>Shares in Listed Companies (Australian)</b>			
17,016.00	776/002	Westpac Banking Corporation (WBC)	600.0000	10,770.00	
12,952.00	776/003	Amcor Limited (AMC)	800.0000	11,584.00	
12,417.00	776/004	Commonwealth Bank of Australia (CBA)	150.0000	10,413.00	
10,688.00	776/008	National Australia Bank Limited (NAB)	176.0000	3,206.72	
10,240.00	776/010	Boral Limited (BLD)	2,000.0000	7,580.00	
12,660.00	776/011	Viva Energy Group Limited (VEA)	6,000.0000	10,920.00	
8,750.00	776/012	Bell Financial Group Limited (BFG)	10,000.0000	11,700.00	
12,980.00	776/013	Nextdc Limited (NXT)			
	776/016	BKI Investment Company Limited (BKI)	7,500.0000	10,387.50	
	<b>782</b>	<b>Units in Listed Unit Trusts (Australian)</b>			
8,925.00	782/002	Magellan Global Trust (MGG)	5,000.0000	8,725.00	
10,250.00	782/003	NB Global Corporate Income Trust (NBI)	5,000.0000	8,250.00	
	782/004	Partners Group Global Income Fund (PGG)	3,510.0000	5,405.40	
	<b>850</b>	<b>Income Tax Payable</b>			
(319.15)	850/001	Income Tax Payable		30.25	
1,875.92	850/002	Imputed Credits		4,031.19	
0.11	850/003	Foreign and Other Tax Credits		0.25	

**AGN SUPERANNUATION FUND**  
**Trial Balance at 30/06/2020**  
**Printed: Tuesday 8 December, 2020 @ 10:46:45**

---

Last Year	Account	Account Name	Units	Debits	Credits
				\$	\$
0.00				<u>174,278.68</u>	<u>174,278.68</u>

---

**Current Year Profit/(Loss): \$(14,068.34)**

# Self-managed superannuation fund annual return **2020**

## Who should complete this annual return?

Only self-managed superannuation funds (SMSFs) can complete this annual return. All other funds must complete the *Fund income tax return 2020* (NAT 71287).

- ❗ The *Self-managed superannuation fund annual return instructions 2020* (NAT 71606) (the instructions) can assist you to complete this annual return.
- ➡ The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via [ABR.gov.au](http://ABR.gov.au) or complete the Change of details for superannuation entities form (NAT 3036).

## To complete this annual return

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M / T # S T

- Place  in ALL applicable boxes.

- Postal address for annual returns:

**Australian Taxation Office**  
**GPO Box 9845**  
**[insert the name and postcode**  
**of your capital city]**

For example;

**Australian Taxation Office**  
**GPO Box 9845**  
**SYDNEY NSW 2001**

## Section A: Fund information

1 **Tax file number (TFN)**

- To assist processing, write the fund's TFN at the top of pages 3, 5, 7 and 9.

- ❗ The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the Declaration.

2 **Name of self-managed superannuation fund (SMSF)**

AGN SUPERANNUATION FUND

3 **Australian business number (ABN)** (if applicable)

4 **Current postal address**

PO BOX 3249

Suburb/town

SOUTH BRISBANE BC

State/territory

QLD

Postcode

4101

5 **Annual return status**

Is this an amendment to the SMSF's 2020 return?

A No  Yes

Is this the first required return for a newly registered SMSF?

B No  Yes

Tax File Number

**6 SMSF auditor**

Auditor's name

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name

Other given names

SMSF Auditor Number

Auditor's phone number

Postal address

Suburb/town

State/territory

Postcode

Date audit was completed **A**  /  /

Was Part A of the audit report qualified? **B** No  Yes

Was Part B of the audit report qualified? **C** No  Yes

If Part B of the audit report was qualified, have the reported issues been rectified? **D** No  Yes

**7 Electronic funds transfer (EFT)**

We need your self-managed super fund's financial institution details to pay any super payments and tax refunds owing to you.

**A Fund's financial institution account details**

This account is used for super contributions and rollovers. Do not provide a tax agent account here.

Fund BSB number

Fund account number

Fund account name

I would like my tax refunds made to this account.  Go to C.

**B Financial institution account details for tax refunds**

This account is used for tax refunds. You can provide a tax agent account here.

BSB number

Account number

Account name

**C Electronic service address alias**

Provide the electronic service address alias (ESA) issued by your SMSF messaging provider. (For example, SMSFdataESAAlias). See instructions for more information.

Tax File Number

**8 Status of SMSF** Australian superannuation fund **A** No  Yes  Fund benefit structure **B**  Code  
 Does the fund trust deed allow acceptance of the Government's Super Co-contribution and Low Income Super Amounts? **C** No  Yes

**9 Was the fund wound up during the income year?**  
 No  Yes  If yes, provide the date on which the fund was wound up  /  /  Have all tax lodgment and payment obligations been met? No  Yes

**10 Exempt current pension income**

Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

To claim a tax exemption for current pension income, you must pay at least the minimum benefit payment under the law. Record exempt current pension income at Label **A**.

No  Go to Section B: Income.

Yes  Exempt current pension income amount **A** \$

Which method did you use to calculate your exempt current pension income?

Segregated assets method **B**

Unsegregated assets method **C**  Was an actuarial certificate obtained? **D** Yes

Did the fund have any other income that was assessable?

**E** Yes  Go to Section B: Income.

No  Choosing 'No' means that you do not have any assessable income, including no-TFN quoted contributions. Go to Section C: Deductions and non-deductible expenses. (Do **not** complete Section B: Income.)

If you are entitled to claim any tax offsets, you can list these at Section D: Income tax calculation statement.

Tax File Number Provided

**Section B: Income**

**Do not complete this section** if all superannuation interests in the SMSF were supporting superannuation income streams in the retirement phase for the **entire year**, there was **no** other income that was assessable, and you **have not** realised a deferred notional gain. If you are entitled to claim any tax offsets, you can record these at Section D: Income tax calculation statement.

**11 Income**

Did you have a capital gains tax (CGT) event during the year? **G** No  Yes

If the total capital loss or total capital gain is greater than \$10,000 or you elected to use the transitional CGT relief in 2017 and the deferred notional gain has been realised, complete and attach a *Capital gains tax (CGT) schedule 2020*.

Have you applied an exemption or rollover? **M** No  Yes  Code

Net capital gain **A** \$

Gross rent and other leasing and hiring income **B** \$

Gross interest **C** \$

Forestry managed investment scheme income **X** \$

<b>D1</b> \$ <input type="text" value="1,228"/>	Gross foreign income	<b>D</b> \$ <input type="text" value="1,228"/>	Loss <input type="checkbox"/>
	Net foreign income		

Australian franking credits from a New Zealand company **E** \$

Transfers from foreign funds **F** \$  Number

Gross payments where ABN not quoted **H** \$

Gross distribution from partnerships **I** \$  Loss

**Calculation of assessable contributions**  
Assessable employer contributions  
**R1** \$

plus Assessable personal contributions  
**R2** \$

plus **\*\*No-TFN-quoted contributions**  
**R3** \$   
*(an amount must be included even if it is zero)*

less Transfer of liability to life insurance company or PST  
**R6** \$

\*Unfranked dividend amount **J** \$

\*Franked dividend amount **K** \$

\*Dividend franking credit **L** \$

\*Gross trust distributions **M** \$  Code

**Assessable contributions**  
**R** \$   
*(R1 plus R2 plus R3 less R6)*

**Calculation of non-arm's length income**  
\*Net non-arm's length private company dividends  
**U1** \$

plus \*Net non-arm's length trust distributions  
**U2** \$

plus \*Net other non-arm's length income  
**U3** \$

\*Other income **S** \$  Code

\*Assessable income due to changed tax status of fund **T** \$

**Net non-arm's length income**  
**U** \$   
*(subject to 45% tax rate)  
(U1 plus U2 plus U3)*

#This is a mandatory label.  
\*If an amount is entered at this label, check the instructions to ensure the correct tax treatment has been applied.

**GROSS INCOME** **W** \$  Loss   
*(Sum of labels A to U)*

Exempt current pension income **Y** \$

**TOTAL ASSESSABLE INCOME** **V** \$  Loss   
*(W less Y)*

Tax File Number

**Section C: Deductions and non-deductible expenses**

**12 Deductions and non-deductible expenses**

 Under 'Deductions' list all expenses and allowances you are entitled to claim a deduction for. Under 'Non-deductible expenses', list all other expenses or normally allowable deductions that you cannot claim as a deduction (for example, all expenses related to exempt current pension income should be recorded in the 'Non-deductible expenses' column).

<b>DEDUCTIONS</b>		<b>NON-DEDUCTIBLE EXPENSES</b>	
Interest expenses within Australia	<b>A1</b> \$ <input type="text"/>	<b>A2</b> \$ <input type="text"/>	
Interest expenses overseas	<b>B1</b> \$ <input type="text"/>	<b>B2</b> \$ <input type="text"/>	
Capital works expenditure	<b>D1</b> \$ <input type="text"/>	<b>D2</b> \$ <input type="text"/>	
Decline in value of depreciating assets	<b>E1</b> \$ <input type="text"/>	<b>E2</b> \$ <input type="text"/>	
Insurance premiums – members	<b>F1</b> \$ <input type="text" value="4,607"/>	<b>F2</b> \$ <input type="text"/>	
SMSF auditor fee	<b>H1</b> \$ <input type="text" value="385"/>	<b>H2</b> \$ <input type="text"/>	
Investment expenses	<b>I1</b> \$ <input type="text"/>	<b>I2</b> \$ <input type="text"/>	
Management and administration expenses	<b>J1</b> \$ <input type="text" value="3,553"/>	<b>J2</b> \$ <input type="text"/>	
Forestry managed investment scheme expense	<b>U1</b> \$ <input type="text"/>	<b>U2</b> \$ <input type="text"/>	
Other amounts	<b>L1</b> \$ <input type="text"/>	<b>L2</b> \$ <input type="text"/>	<input type="text" value="Code"/>
Tax losses deducted	<b>M1</b> \$ <input type="text"/>		

**TOTAL DEDUCTIONS**  
**N** \$   
 (Total **A1** to **M1**)

**TOTAL NON-DEDUCTIBLE EXPENSES**  
**Y** \$   
 (Total **A2** to **L2**)

**#TAXABLE INCOME OR LOSS** Loss   
**O** \$   
 (TOTAL ASSESSABLE INCOME less TOTAL DEDUCTIONS)

**TOTAL SMSF EXPENSES**  
**Z** \$   
 (N plus Y)

#This is a mandatory label.

Tax File Number

**Section D: Income tax calculation statement**

**#Important:**

Section B label **R3**, Section C label **O** and Section D labels **A, T1, J, T5** and **I** are mandatory. If you leave these labels blank, you will have specified a zero amount.

**13 Calculation statement**

Please refer to the *Self-managed superannuation fund annual return instructions 2020* on how to complete the calculation statement.

#Taxable income	<b>A \$</b>	<input type="text" value="8,451"/>
		<i>(an amount must be included even if it is zero)</i>
#Tax on taxable income	<b>T1 \$</b>	<input type="text" value="1,267.65"/>
		<i>(an amount must be included even if it is zero)</i>
#Tax on no-TFN-quoted contributions	<b>J \$</b>	<input type="text" value="0"/>
		<i>(an amount must be included even if it is zero)</i>
<b>Gross tax</b>	<b>B \$</b>	<input type="text" value="1,267.65"/>
		<i>(T1 plus J)</i>

Foreign income tax offset	<b>C1 \$</b>	<input type="text" value=".25"/>	Non-refundable non-carry forward tax offsets	<b>C \$</b>	<input type="text" value=".25"/>
Rebates and tax offsets	<b>C2 \$</b>	<input type="text"/>			<i>(C1 plus C2)</i>

**SUBTOTAL 1**

**T2 \$**

*(B less C – cannot be less than zero)*

Early stage venture capital limited partnership tax offset	<b>D1 \$</b>	<input type="text"/>	Non-refundable carry forward tax offsets	<b>D \$</b>	<input type="text"/>
Early stage venture capital limited partnership tax offset carried forward from previous year	<b>D2 \$</b>	<input type="text"/>			
Early stage investor tax offset	<b>D3 \$</b>	<input type="text"/>			
Early stage investor tax offset carried forward from previous year	<b>D4 \$</b>	<input type="text"/>			
			<b>SUBTOTAL 2</b>	<b>T3 \$</b>	<input type="text" value="1,267.40"/>
			<i>(T2 less D – cannot be less than zero)</i>		

Complying fund's franking credits tax offset	<b>E1 \$</b>	<input type="text" value="4,031.19"/>	Refundable tax offsets	<b>E \$</b>	<input type="text" value="4,031.19"/>
No-TFN tax offset	<b>E2 \$</b>	<input type="text"/>			
National rental affordability scheme tax offset	<b>E3 \$</b>	<input type="text"/>			
Exploration credit tax offset	<b>E4 \$</b>	<input type="text"/>			
			<i>(E1 plus E2 plus E3 plus E4)</i>		

**#TAX PAYABLE T5 \$**

*(T3 less E – cannot be less than zero)*

Section 102AAM interest charge

**G \$**

Tax File Number

Credit for interest on early payments – amount of interest	<b>H1 \$</b> <input type="text"/>
Credit for tax withheld – foreign resident withholding (excluding capital gains)	<b>H2 \$</b> <input type="text"/>
Credit for tax withheld – where ABN or TFN not quoted (non-individual)	<b>H3 \$</b> <input type="text"/>
Credit for TFN amounts withheld from payments from closely held trusts	<b>H5 \$</b> <input type="text"/>
Credit for interest on no-TFN tax offset	<b>H6 \$</b> <input type="text"/>
Credit for foreign resident capital gains withholding amounts	<b>H8 \$</b> <input type="text"/>
<b>Eligible credits</b>	<b>H \$</b> <input type="text"/>
	<i>(H1 plus H2 plus H3 plus H5 plus H6 plus H8)</i>

<b>*Tax offset refunds</b> (Remainder of refundable tax offsets)	<b>I \$</b> <input type="text" value="2,763.79"/>
	<i>(unused amount from label E – an amount must be included even if it is zero)</i>

**PAYG instalments raised**

**K \$**

**Supervisory levy**

**L \$**

**Supervisory levy adjustment for wound up funds**

**M \$**

**Supervisory levy adjustment for new funds**

**N \$**

<b>AMOUNT DUE OR REFUNDABLE</b> A positive amount at <b>S</b> is what you owe, while a negative amount is refundable to you.	<b>S \$</b> <input type="text" value="-2,504.79"/>
	<i>(T5 plus G less H less I less K plus L less M plus N)</i>

#This is a mandatory label.

**Section E: Losses**

**14 Losses**

**I** If total loss is greater than \$100,000, complete and attach a *Losses schedule 2020*.

Tax losses carried forward to later income years	<b>U \$</b> <input type="text"/>
Net capital losses carried forward to later income years	<b>V \$</b> <input type="text" value="11,526"/>

Tax File Number

Section F: Member information

MEMBER 1

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name  Other given names

Member's TFN  Date of birth Day  / Month  / Year

Contributions

**!** Refer to instructions for completing these labels.

Employer contributions **A** \$

ABN of principal employer **A1**

Personal contributions **B** \$

CGT small business retirement exemption **C** \$

CGT small business 15-year exemption amount **D** \$

Personal injury election **E** \$

Spouse and child contributions **F** \$

Other third party contributions **G** \$

Proceeds from primary residence disposal **H** \$

Receipt date **H1** Day  / Month  / Year

Assessable foreign superannuation fund amount **I** \$

Non-assessable foreign superannuation fund amount **J** \$

Transfer from reserve: assessable amount **K** \$

Transfer from reserve: non-assessable amount **L** \$

Contributions from non-complying funds and previously non-complying funds **T** \$

Any other contributions (including Super Co-contributions and Low Income Super Amounts) **M** \$

**TOTAL CONTRIBUTIONS N** \$  (Sum of labels **A** to **M**)

Other transactions

Accumulation phase account balance **S1** \$

Retirement phase account balance - Non CDBIS **S2** \$

Retirement phase account balance - CDBIS **S3** \$

TRIS Count

Allocated earnings or losses **O** \$

Inward rollovers and transfers **P** \$

Outward rollovers and transfers **Q** \$

Lump Sum payments **R1** \$

Income stream payments **R2** \$

Loss

Code

Code

**CLOSING ACCOUNT BALANCE S** \$  (**S1** plus **S2** plus **S3**)

Accumulation phase value **X1** \$

Retirement phase value **X2** \$

Outstanding limited recourse borrowing arrangement amount **Y** \$

Tax File Number

**MEMBER 2**

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name

Other given names

Member's TFN  Date of birth  /  /

See the Privacy note in the Declaration.

**Contributions**

OPENING ACCOUNT BALANCE \$

**!** Refer to instructions for completing these labels.

Employer contributions

**A** \$

ABN of principal employer

**A1**

Personal contributions

**B** \$

CGT small business retirement exemption

**C** \$

CGT small business 15-year exemption amount

**D** \$

Personal injury election

**E** \$

Spouse and child contributions

**F** \$

Other third party contributions

**G** \$

Proceeds from primary residence disposal

**H** \$

Receipt date Day Month Year

**H1**  /  /

Assessable foreign superannuation fund amount

**I** \$

Non-assessable foreign superannuation fund amount

**J**

Transfer from reserve: assessable amount

**K** \$

Transfer from reserve: non-assessable amount

**L** \$

Contributions from non-complying funds and previously non-complying funds

**T** \$

Any other contributions (including Super Co-contributions and Low Income Super Amounts)

**M** \$

**TOTAL CONTRIBUTIONS N** \$

(Sum of labels **A** to **M**)

**Other transactions**

Allocated earnings or losses

**O** \$

Loss

Inward rollovers and transfers

**P** \$

Outward rollovers and transfers

**Q** \$

Lump Sum payments

**R1** \$

Income stream payments

**R2** \$

Code

Code

Accumulation phase account balance

**S1** \$

Retirement phase account balance - Non CDBIS

**S2** \$

Retirement phase account balance - CDBIS

**S3** \$

TRIS Count

**CLOSING ACCOUNT BALANCE S** \$

(**S1** plus **S2** plus **S3**)

Accumulation phase value **X1** \$

Retirement phase value **X2** \$

Outstanding limited recourse borrowing arrangement amount **Y** \$

Tax File Number

Section H: **Assets and liabilities**

**15 ASSETS**

15a Australian managed investments

Listed trusts **A** \$

Unlisted trusts **B** \$

Insurance policy **C** \$

Other managed investments **D** \$

15b Australian direct investments

Cash and term deposits **E** \$

<b>Limited recourse borrowing arrangements</b>		Debt securities <b>F</b> \$ <input type="text"/>
Australian residential real property	<b>J1</b> \$ <input type="text"/>	Loans <b>G</b> \$ <input type="text"/>
Australian non-residential real property	<b>J2</b> \$ <input type="text"/>	Listed shares <b>H</b> \$ <input type="text" value="76,561"/>
Overseas real property	<b>J3</b> \$ <input type="text"/>	Unlisted shares <b>I</b> \$ <input type="text"/>
Australian shares	<b>J4</b> \$ <input type="text"/>	Limited recourse borrowing arrangements <b>J</b> \$ <input type="text"/>
Overseas shares	<b>J5</b> \$ <input type="text"/>	Non-residential real property <b>K</b> \$ <input type="text"/>
Other	<b>J6</b> \$ <input type="text"/>	Residential real property <b>L</b> \$ <input type="text"/>
Property count	<b>J7</b> <input type="text"/>	Collectables and personal use assets <b>M</b> \$ <input type="text"/>
		Other assets <b>O</b> \$ <input type="text" value="4,330"/>

15c Other investments

Crypto-Currency **N** \$

15d Overseas direct investments

Overseas shares **P** \$

Overseas non-residential real property **Q** \$

Overseas residential real property **R** \$

Overseas managed investments **S** \$

Other overseas assets **T** \$

**TOTAL AUSTRALIAN AND OVERSEAS ASSETS U** \$   
(Sum of labels **A** to **T**)

15e In-house assets

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year?

**A** No  Yes  \$

Tax File Number

15f Limited recourse borrowing arrangements

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution? **A** No  Yes

Did the members or related parties of the fund use personal guarantees or other security for the LRBA? **B** No  Yes

16 LIABILITIES

Borrowings for limited recourse borrowing arrangements	<b>V1</b> \$ <input type="text"/>	}	Borrowings	<b>V</b> \$	<input type="text"/>
Permissible temporary borrowings	<b>V2</b> \$ <input type="text"/>				
Other borrowings	<b>V3</b> \$ <input type="text"/>				
Total member closing account balances (total of all <b>CLOSING ACCOUNT BALANCES</b> from Sections F and G)				<b>W</b> \$	<input type="text" value="120,249"/>
				Reserve accounts	<b>X</b> \$ <input type="text"/>
				Other liabilities	<b>Y</b> \$ <input type="text"/>
<b>TOTAL LIABILITIES</b>				<b>Z</b> \$	<input type="text" value="120,249"/>

Section I: **Taxation of financial arrangements**

17 Taxation of financial arrangements (TOFA)

Total TOFA gains **H** \$

Total TOFA losses **I** \$

Section J: **Other information**

Family trust election status

If the trust or fund has made, or is making, a family trust election, write the four-digit **income year specified** of the election (for example, for the 2019-20 income year, write **2020**). **A**

If revoking or varying a family trust election, print **R** for revoke or print **V** for variation, and complete and attach the *Family trust election, revocation or variation 2020*. **B**

Interposed entity election status

If the trust or fund has an existing election, write the earliest income year specified. If the trust or fund is making one or more elections this year, write the earliest income year being specified and complete an *Interposed entity election or revocation 2020* for each election. **C**

If revoking an interposed entity election, print **R**, and complete and attach the *Interposed entity election or revocation 2020*. **D**

Tax File Number

Section K: **Declarations**

Penalties may be imposed for false or misleading information in addition to penalties relating to any tax shortfalls.

**Important**

Before making this declaration check to ensure that all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you leave labels blank, you will have specified a zero amount or the label was not applicable to you. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

**Privacy**

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However if you do not provide the TFN, the processing of this form may be delayed.

Taxation law authorises the ATO to collect information and disclose it to other government agencies. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**TRUSTEE'S OR DIRECTOR'S DECLARATION:**

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature

Date  /  /

**Preferred trustee or director contact details:**

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name  Other given names

Phone number

Email address

Non-individual trustee name (if applicable)

ABN of non-individual trustee

Time taken to prepare and complete this annual return  Hrs

**i** The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this annual return to maintain the integrity of the register. For further information, refer to the instructions.

**TAX AGENT'S DECLARATION:**

I declare that the *Self-managed superannuation fund annual return 2020* has been prepared in accordance with information provided by the trustees, that the trustees have given me a declaration stating that the information provided to me is true and correct, and that the trustees have authorised me to lodge this annual return.

Tax agent's signature

Date  /  /

**Tax agent's contact details**

Title: Mr  Mrs  Miss  Ms  Other

Family name

First given name  Other given names

Tax agent's practice

Tax agent's phone number

Reference number

Tax agent number

# Capital gains tax (CGT) schedule

# 2020

**When completing this form**

- Print clearly, using a black or dark blue pen only.
- Use BLOCK LETTERS and print one character in each box.

S	M	I	T	H		S	T										
---	---	---	---	---	--	---	---	--	--	--	--	--	--	--	--	--	--

- Do not use correction fluid or covering stickers.
- Sign next to any corrections with your **full signature** (not initials).

- Use in conjunction with company, trust, fund income tax return or the self-managed superannuation fund annual return.
- Refer to the *Guide to capital gains tax 2020* available on our website at [ato.gov.au](http://ato.gov.au) for instructions on how to complete this schedule.

**Tax file number (TFN)**

**!** We are authorised by law to request your TFN. You do not have to quote your TFN. However, if you don't it could increase the chance of delay or error in processing your form.

**Australian business number (ABN)**

**Taxpayer's name**

AGN SUPERANNUATION FUND

**1 Current year capital gains and capital losses**

	Capital gain		Capital loss
Shares in companies listed on an Australian securities exchange	<b>A \$</b> <input style="width: 100%;" type="text" value="3,949"/>		<b>K \$</b> <input style="width: 100%;" type="text" value="13,107"/>
Other shares	<b>B \$</b> <input style="width: 100%;" type="text"/>		<b>L \$</b> <input style="width: 100%;" type="text"/>
Units in unit trusts listed on an Australian securities exchange	<b>C \$</b> <input style="width: 100%;" type="text"/>		<b>M \$</b> <input style="width: 100%;" type="text"/>
Other units	<b>D \$</b> <input style="width: 100%;" type="text"/>		<b>N \$</b> <input style="width: 100%;" type="text"/>
Real estate situated in Australia	<b>E \$</b> <input style="width: 100%;" type="text"/>		<b>O \$</b> <input style="width: 100%;" type="text"/>
Other real estate	<b>F \$</b> <input style="width: 100%;" type="text"/>		<b>P \$</b> <input style="width: 100%;" type="text"/>
Amount of capital gains from a trust (including a managed fund)	<b>G \$</b> <input style="width: 100%;" type="text"/>		
Collectables	<b>H \$</b> <input style="width: 100%;" type="text"/>		<b>Q \$</b> <input style="width: 100%;" type="text"/>
Other CGT assets and any other CGT events	<b>I \$</b> <input style="width: 100%;" type="text"/>		<b>R \$</b> <input style="width: 100%;" type="text"/>
Amount of capital gain previously deferred under transitional CGT relief for superannuation funds	<b>S \$</b> <input style="width: 100%;" type="text"/>		
<b>Total current year capital gains</b>	<b>J \$</b> <input style="width: 100%;" type="text" value="3,949"/>		

Add the amounts at labels **K** to **R** and write the total in item **2** label **A** – **Total current year capital losses**.

Tax File Number

**2 Capital losses**

Total current year capital losses **A \$**

Total current year capital losses applied **B \$**

Total prior year net capital losses applied **C \$**

Total capital losses transferred in applied (only for transfers involving a foreign bank branch or permanent establishment of a foreign financial entity) **D \$**

Total capital losses applied **E \$**

Add amounts at B, C and D.

**3 Unapplied net capital losses carried forward**

Net capital losses from collectables carried forward to later income years **A \$**

Other net capital losses carried forward to later income years **B \$**

Add amounts at A and B and transfer the total to label V – Net capital losses carried forward to later income years on your tax return.

**4 CGT discount**

Total CGT discount applied **A \$**

**5 CGT concessions for small business**

Small business active asset reduction **A \$**

Small business retirement exemption **B \$**

Small business rollover **C \$**

Total small business concessions applied **D \$**

**6 Net capital gain**

Net capital gain **A \$**

1J less 2E less 4A less 5D (cannot be less than zero). Transfer the amount at A to label A – Net capital gain on your tax return.

Tax File Number

**7 Earnout arrangements**

Are you a party to an earnout arrangement? **A** Yes, as a buyer  Yes, as a seller  No   
(Print  in the appropriate box.)

**!** If you are a party to more than one earnout arrangement, copy and attach a separate sheet to this schedule providing the details requested here for each additional earnout arrangement.

How many years does the earnout arrangement run for? **B**

What year of that arrangement are you in? **C**

If you are the seller, what is the total estimated capital proceeds from the earnout arrangement? **D** \$

Amount of any capital gain or loss you made under your non-qualifying arrangement in the income year. **E** \$  /  <sup>LOSS</sup>

**!** **Request for amendment**

If you received or provided a financial benefit under a look-through earnout right created in an earlier income year and you wish to seek an amendment to that earlier income year, complete the following:

Income year earnout right created **F**

Amended net capital gain or capital losses carried forward **G** \$  /  <sup>LOSS</sup>

**8 Other CGT information required (if applicable)**

Small business 15 year exemption – exempt capital gains **A** \$  /  <sup>CODE</sup>

Capital gains disregarded by a foreign resident **B** \$

Capital gains disregarded as a result of a scrip for scrip rollover **C** \$

Capital gains disregarded as a result of an inter-company asset rollover **D** \$

Capital gains disregarded by a demerging entity **E** \$

Tax File Number

### Taxpayer's declaration

**!** If the schedule is not lodged with the income tax return you are required to sign and date the schedule.

#### Important

Before making this declaration check to ensure that all the information required has been provided on this form and any attachments to this form, and that the information provided is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the ATO. The income tax law imposes heavy penalties for false or misleading statements.

#### Privacy

Taxation law authorises the ATO to collect information and disclose it to other government agencies. This includes personal information of the person authorised to sign the declaration. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

*I declare that the information on this form is true and correct.*

Signature

Date

Day / Month / Year  
 /  /

Contact name

Daytime contact number (include area code)

**Member's Statement**  
**AGN SUPERANNUATION FUND**

**MR ARTHUR NICOLAS**  
**16 SOUTHVIEW CRESCENT**  
**CARINDALE QLD 4152**

The Trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2020 and for the reporting period 1 July 2019 to 30 June 2020.

<b>Your Details</b>		<b>Your Balance</b>	
Date of Birth	31 May 1960	<b>Total Benefits</b>	<b>\$47,223.79</b>
Tax File Number	Provided	Comprising:	
Date Joined Fund	27 September 2016	- Preserved	\$47,223.79
Service Period Start Date	1 June 1986	- Restricted Non Preserved	
Date Left Fund		- Unrestricted Non Preserved	
Member Mode	Accumulation	Including:	
Account Description	Accumulation	- Tax Free Component	\$22,426.50
Current Salary		- Taxable Component	\$24,797.29
Vested Amount	\$47,223.79		
Insured Death Benefit			
Total Death Benefit	\$47,223.79		
Disability Benefit			
Nominated Beneficiaries			

<b>Your Detailed Account</b>	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2019	51,401.06			51,401.06
<u>Add: Increases to Member's Account</u>				
<u>During the Period</u>				
Concessional Contributions	1,000.00			1,000.00
Non-Concessional Contributions				
Other Contributions				
Govt Co-Contributions				
Employer Contributions - No TFN				
Proceeds of Insurance Policies				
Share of Net Income/(Loss) for period	(4,391.73)			(4,391.73)
Transfers in and transfers from reserves				
	(3,391.73)			(3,391.73)
	48,009.33			48,009.33
<u>Less: Decreases to Member's Account</u>				
<u>During the Period</u>				
Benefits/Pensions Paid				
Contributions Tax	150.00			150.00
Income Tax	635.54			635.54
No TFN Excess Contributions Tax				
Division 293 Tax				
Excess Contributions Tax				
Refund Excess Contributions				
Insurance Policy Premiums Paid				
Management Fees				
Share of fund expenses				
Transfers out and transfers to reserves				
	785.54			785.54
<b>Member's Account Balance at 30/06/2020</b>	<b>47,223.79</b>			<b>47,223.79</b>

Reference: AGNS0001 / 501

**Member's Statement**  
**AGN SUPERANNUATION FUND**

**MRS GIULIANA RITA NICOLAS**  
**16 SOUTHVIEW CRESCENT**  
**CARINDALE QLD 4152**

The Trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2020 and for the reporting period 1 July 2019 to 30 June 2020.

<b>Your Details</b>		<b>Your Balance</b>	
Date of Birth	23 March 1958	<b>Total Benefits</b>	<b>\$73,025.71</b>
Tax File Number	Provided	Comprising:	
Date Joined Fund	27 September 2016	- Preserved	\$73,025.71
Service Period Start Date	1 June 1986	- Restricted Non Preserved	
Date Left Fund		- Unrestricted Non Preserved	
Member Mode	Accumulation	Including:	
Account Description	Accumulation	- Tax Free Component	\$34,692.02
Current Salary		- Taxable Component	\$38,333.69
Vested Amount	\$73,025.71		
Insured Death Benefit	\$826,875.00		
Total Death Benefit	\$899,900.71		
Disability Benefit			
Nominated Beneficiaries			

<b>Your Detailed Account</b>	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2019	84,184.41			84,184.41
<u>Add: Increases to Member's Account</u>				
<u>During the Period</u>				
Concessional Contributions	1,000.00			1,000.00
Non-Concessional Contributions				
Other Contributions				
Govt Co-Contributions				
Employer Contributions - No TFN				
Proceeds of Insurance Policies				
Share of Net Income/(Loss) for period	(7,069.83)			(7,069.83)
Transfers in and transfers from reserves				
	(6,069.83)			(6,069.83)
	78,114.58			78,114.58
<u>Less: Decreases to Member's Account</u>				
<u>During the Period</u>				
Benefits/Pensions Paid				
Contributions Tax	150.00			150.00
Income Tax	332.09			332.09
No TFN Excess Contributions Tax				
Division 293 Tax				
Excess Contributions Tax				
Refund Excess Contributions				
Insurance Policy Premiums Paid	4,606.78			4,606.78
Management Fees				
Share of fund expenses				
Transfers out and transfers to reserves				
	5,088.87			5,088.87
<b>Member's Account Balance at 30/06/2020</b>	<b>73,025.71</b>			<b>73,025.71</b>

Reference: AGNS0001 / 502



# E. PREPARATION DOCUMENTS

**AGN SUPERANNUATION FUND**  
**CGT OPTIMISER REPORT FOR THE PERIOD 1 JULY 2020 TO 30 JUNE 2021**

Date	Investment Details	Units	Percent	Taxable	Non Taxable	Total
<b>Indexation Method</b>						
<b>Total</b>						0
<b>Discounted Method</b>						
12/02/2021	Bell Financial Group Limited (BFG)	10,000.00	100.00	5,734.17	2,867.08	
12/02/2021	Commonwealth Bank of Australia (CBA)	150.00	100.00	389.63	194.82	
12/02/2021	Magellan Global Fund Closed Class (MGF)	5,000.00	100.00	654.50	327.25	
<b>Total</b>						10,167
<b>Other Method</b>						
23/12/2020	Universal Store Holdings Limited (UNI)	750.00	100.00	977.50		
12/02/2021	BKI Investment Company Limited (BKI)	7,500.00	100.00	1,209.19		
12/02/2021	National Aust Bank Ltd Capital Notes 5 (NABPH)	60.00	100.00	106.05		
12/02/2021	National Australia Bank Limited (NAB)	176.00	100.00	1,796.08		
<b>Total</b>						4,089
<b>Losses</b>						
01/07/2020	Capital Losses from previous years		100.00	(11,526.00)	<b>D10</b>	
17/12/2020	National Australia Bank Limited (NABPB)	60.00	100.00	(146.00)		
12/02/2021	Amcor Limited (AMC)	800.00	100.00	(1,179.64)		
12/02/2021	Boral Limited (BLD)	2,000.00	100.00	(2,688.88)		
12/02/2021	NB Global Corporate Income Trust (NBI)	5,000.00	100.00	(605.97)		
12/02/2021	Partners Group Global Income Fund (PGG)	3,510.00	100.00	(772.87)		
12/02/2021	Viva Energy Group Limited (VEA)	5,040.00	100.00	(4,740.29)		
12/02/2021	Westpac Banking Corporation (WBC)	600.00	100.00	(5,388.15)		
<b>Total</b>						(27,048)
<b>CGT Discount</b>						0
<b>Net Capital Gain/(Loss)</b>						<b>(\$12,792)</b>
<b>Estimated Exempt Capital Gains</b>						<b>\$0</b>



AGN SUPERANNUATION FUND

PROFIT/LOSS ON DISPOSAL OF INVESTMENT AT 12 FEBRUARY 2021

**Asset Details**

Account Code 782/004  
 Asset Partners Group Global Income Fund (PGG)  
 Date Sold 12 February 2021

**Transactions Details**

Transaction Date	Transaction Type	Units	Cost	Cost Base Adjust^	Adjusted Cost Base	CPI Purchase	CPI Sale	CGT Cost Base	Consideration	Method	Taxable Profit/(Loss)*	Non Taxable Profit/(Loss)*
20/09/2019	Purchase	3,510.0000	7,020.00	74.23	6,945.77			6,945.77	6,172.90	Other *	(772.87)	
		3,510.0000	7,020.00	74.23	6,945.77			6,945.77	6,172.90		(772.87)	

^ Tax adjustments include deferred tax and tax free components.

\* Best/selected method

Transaction Date	Transaction Type	Income	Tax Deferred	Building Dpn	Tax Free	CPI Income	CPI Sale	Indexed Tax Deferred
17/06/2020		24.8600	74.23					74.23
		24.8600	74.23					74.23

## AGN SUPERANNUATION FUND

## PROFIT/LOSS ON DISPOSAL OF INVESTMENT AT 12 FEBRUARY 2021

**Asset Details**

Account Code 782/004  
 Asset Partners Group Global Income Fund (PGG)  
 Date Sold 12 February 2021

**Disposal Details**

		Profit/(Loss) Summary	<u>Taxable</u>	<u>Non Taxable</u>	<u>Total</u>
Units Sold	3,510.0000	- Indexation Method			
Original Cost	7,020.00	- Discounted Method			
Consideration	6,172.90	- Other Method*	(772.87)		(772.87)
Total Tax Deferred^					
- Tax Deferred and Tax Exempt	74.23				
- Tax Free	0.00				
Building Depreciation		(Building depreciation and Notional capital gain are not included in the calculations or journal entries but as an adjustment to Taxable Capital Profits/(Losses))			
Notional Capital Gain	0.00				
Total Profit/(Loss)	(772.87)				

For assets acquired before 21 September 1999 and held for 12 months or more, a fund can calculate capital gains using either the Indexation Method or the Discounted Method. For assets acquired after 21 September 1999 and held for 12 months or more, a fund can only calculate capital gains using the Discounted Method. For assets held less than 12 months, neither the Indexation Method or Discounted Method can be used. These disposals generate "Other" Capital Gains/Losses.

**Journal Entry**

This Journal Entry assumes the consideration received has been posted to the Disposal of Investments Proceeds Account (A/c: 491)

Account Description	Account	Units	Debit	Credit	Tax Deferred	Indexed Capital Gain
Disposal of Investments Proceeds Account	491		6,172.90			
Partners Group Global Income Fund (PGG)	782/004	3,510.0000		7,020.00		
Taxable Profit/(Loss)	235/019		772.87			
Non Taxable Profit/(Loss)	236/019					
Distributions Received	238/004		74.23		74.23	

\* Best/selected method

^ Tax adjustments include deferred tax and tax free components

**AGN SUPERANNUATION FUND**  
**INVESTMENT CHANGE REPORT AT 30 JUNE 2021**

Investment	This Year			Last Year			Market Change	
	Units	Cost	Market	Units	Cost	Market	Change	Percent
<b>Cash/Bank Accounts</b>								
Macquarie Cash Management Account #9516					16,977.81	16,977.81	(16,977.81)	(100.00%)
					16,977.81	16,977.81	(16,977.81)	(100.00%)
<b>Shares in Listed Companies (Australian)</b>								
Ancor Limited (AMC)	800.0000				12,722.71	11,584.00	(11,584.00)	(100.00%)
BKI Investment Company Limited (BKI)	7,500.0000				9,960.09	10,387.50	(10,387.50)	(100.00%)
Bell Financial Group Limited (BFG)	10,000.0000				10,137.50	11,700.00	(11,700.00)	(100.00%)
Boral Limited (BLD)	2,000.0000				12,650.00	7,580.00	(7,580.00)	(100.00%)
Commonwealth Bank of Australia (CBA)	150.0000				12,327.71	10,413.00	(10,413.00)	(100.00%)
National Australia Bank Limited (NAB)	176.0000				2,490.40	3,206.72	(3,206.72)	(100.00%)
Viva Energy Group Limited (VEA)	5,040.0000				15,000.00	10,920.00	(10,920.00)	(100.00%)
Westpac Banking Corporation (WBC)	600.0000				18,551.63	10,770.00	(10,770.00)	(100.00%)
					93,840.04	76,561.22	(76,561.22)	(100.00%)
<b>Units in Listed Unit Trusts (Australian)</b>								
Magellan Global Fund Closed Class (MGF)	5,000.0000				7,500.00	8,725.00	(8,725.00)	(100.00%)
NB Global Corporate Income Trust (NBI)	5,000.0000				10,000.00	8,250.00	(8,250.00)	(100.00%)
Partners Group Global Income Fund (PGG)	3,510.0000				7,020.00	5,405.40	(5,405.40)	(100.00%)
					24,520.00	22,380.40	(22,380.40)	(100.00%)
					135,337.85	115,919.43	(115,919.43)	(100.00%)

**Increase in NV = \$135,337.85 - \$115,919.43 = \$19,418.42**

**AGN SUPERANNUATION FUND**  
**GENERAL LEDGER FOR THE PERIOD 01/07/2020 TO 30/06/2021**  
**FROM ACCOUNT 250/000 TO 250/999 - ENTRIES: ALL**  
**Printed: Wednesday 15 June, 2022 @ 10:16:41**

Date	Ref	Type	Units	Debits \$	Credits \$	Balance \$
<b><u>250</u>      <u>Interest Received</u></b>						
<b><u>250/001</u>      <u>Macquarie Cash Management Account #9516</u></b>						
31/07/2020	1	Bank Statement			3.75	(3.75)
		Narration: MACQUARIE CMA INTEREST PAID				
31/08/2020	1	Bank Statement			2.88	(6.63)
		Narration: MACQUARIE CMA INTEREST PAID				
30/09/2020	1	Bank Statement			2.71	(9.34)
		Narration: MACQUARIE CMA INTEREST PAID				
30/10/2020	1	Bank Statement			2.41	(11.75)
		Narration: MACQUARIE CMA INTEREST PAID				
30/11/2020	1	Bank Statement			2.25	(14.00)
		Narration: MACQUARIE CMA INTEREST PAID				
31/12/2020	1	Bank Statement			5.14	(19.14)
		Narration: MACQUARIE CMA INTEREST PAID				
29/01/2021	1	Bank Statement			4.72	(23.86)
		Narration: MACQUARIE CMA INTEREST PAID				
26/02/2021	1	Bank Statement			17.53	(41.39)
		Narration: MACQUARIE CMA INTEREST PAID				
31/03/2021	1	Bank Statement			35.92	(77.31)
		Narration: MACQUARIE CMA INTEREST PAID				
30/04/2021	1	Bank Statement			34.78	(112.09)
		Narration: MACQUARIE CMA INTEREST PAID				
18/05/2021	1	Bank Statement			20.06	(132.15)
		Narration: MACQUARIE CMA INTEREST PAID				
Total Debits:						
Total Credits:				<b>\$132.15</b>		
Current Year Profit/(Loss):				<b>N/A</b>		

**AGN SUPERANNUATION FUND**  
**GENERAL LEDGER FOR THE PERIOD 01/07/2020 TO 30/06/2021**  
**FROM ACCOUNT 460/000 TO 461/999 - ENTRIES: ALL**  
**Printed: Wednesday 15 June, 2022 @ 10:19:54**

Date	Ref	Type	Units	Debits	Credits	Balance
				\$	\$	\$
<b>460</b>		<b><u>Transfers Out - Preserved/Taxable</u></b>				<b>Total \$81,324.06</b>
<b>460/001</b>		<b><u>Nicolas, Arthur</u></b>				
30/06/2021	300621	Journal - Cash		32,620.97	<b>E12</b>	32,620.97
		Narration: Rollover to External Fund - Preserved - Taxable				
<b>460/002</b>		<b><u>Nicolas, Giuliana Rita</u></b>				
30/06/2021	300621	Journal - Cash		48,703.09	<b>E19</b>	48,703.09
		Narration: Rollover to External Fund - Preserved - Taxable				
<b>461</b>		<b><u>Transfers Out - Preserved/Tax Free</u></b>				<b>Total \$51,448.52</b>
<b>461/001</b>		<b><u>Nicolas, Arthur</u></b>				
30/06/2021	300621	Journal - Cash		22,591.50	<b>E12</b>	22,591.50
		Narration: Rollover to External Fund - Preserved - Tax Free				
<b>461/002</b>		<b><u>Nicolas, Giuliana Rita</u></b>				
30/06/2021	300621	Journal - Cash		34,857.02	<b>E19</b>	34,857.02
		Narration: Rollover to External Fund - Preserved - Tax Free				
		Total Debits:		<b>\$138,772.58</b>		
		Total Credits:				
		Current Year Profit/(Loss):		<b>N/A</b>		
<b>492</b>		<b><u>ETP Clearing Account</u></b>				
23/02/2021	1	Bank Statement		3,616.14		3,616.14
		AIA AUSTRALIA . 16927059 24/02/21			<b>F23</b>	
18/05/2021	1	Bank Statement		134,566.58		138,182.72
		FUNDS TFR TO MORGANS FINANCIAL LIMI				
30/06/2021	300621	Journal - Cash			83,560.11	<b>E19</b>
		Rollover to External Fund - Nicolas, Giuliana Rita - 502				54,622.61
30/06/2021	300621	Journal - Cash			55,212.47	<b>E12</b>
		Rollover to External Fund - Nicolas, Arthur - 501				(589.86)
30/06/2021	0	Journal - Non Cash		589.86	<b>B13</b>	0.00
		2021 tax				
		Total Debits:		<b>\$138,772.58</b>		
		Total Credits:		<b>\$138,772.58</b>		
		Current Year Profit/(Loss):		<b>N/A</b>		

# Superannuation lump sum pre-payment statement

Warning: This form has been designed to assist you to prepare the Australian Tax Office's Superannuation lump sum pre-payment statement. It cannot be lodged with the Australian Tax Office and should not be given to fund members.

## Part 1 - SUPERANNUATION PROVIDER TO COMPLETE

### Section A: Superannuation provider details

#### 1 Superannuation fund, ADF, RSA or annuity provider name

AGN SUPERANNUATION FUND

#### 2 Postal address

16 SOUTHVIEW CRESCENT  
CARINDALE QLD 4152

#### 3 Australian business number (ABN) or withholder payer number

33477560837

#### 4 Authorised contact person

ARTHUR NICOLAS

#### 5 Daytime phone number

### Section B: Member's details

#### 6 Full name

Title MR

Family Name

NICOLAS

First given name

Other given names

ARTHUR

#### 7 Postal address

16 SOUTHVIEW CRESCENT  
CARINDALE QLD 4152

#### 8 Date of birth

31/05/1960

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**Section C: Superannuation lump sum payment details**
**9 Lump sum payment is calculated to this date**

**10 Superannuation lump sum components**

Taxable component

Taxed element	\$	32,620.97
---------------	----	-----------

Untaxed element	\$	
-----------------	----	--

Tax-free component	\$	22,591.50
--------------------	----	-----------

KiwiSaver tax-free component	\$	
------------------------------	----	--

<b>Total amount</b>	\$	55,212.47
---------------------	----	-----------

**11 Preservation amounts of the superannuation lump sum**

Preserved amount	\$	55,212.47
------------------	----	-----------

Restricted non-preserved amount	\$	
---------------------------------	----	--

Unrestricted non-preserved amount	\$	
-----------------------------------	----	--

<b>Total amount</b>	\$	55,212.47
---------------------	----	-----------

---

**Section D: Superannuation provider's signature**
**12 Date the statement is issued to the member**

**13 Member is to return statement by**

**14 Superannuation fund's, ADF's, RSA's or annuity provider's signature**


Date

**Section E: Cash amount****1 Pay me a gross cash amount of:**\$ 

I understand that this amount  
may be subject to tax

**!** You may wish to speak with a tax professional or your superannuation fund, ADF, RSA or annuity provider to make sure you are aware of your tax obligations and superannuation roll over options.

**Section F: Rollover payment****2 Roll over my payment to: (provide the full name of fund, RSA or annuity provider)****3 Fund ABN****4 Superannuation fund, ADF, RSA or annuity provider postal address:****5 Member account number****6 Roll over an amount of:**\$ **Section G: Member's declaration**

*I authorise my superannuation lump sum to be paid as instructed on this statement.*

ARTHUR NICOLAS

Signature

Date

# Rollover benefits statement

Complete this form if:

- you are a trustee of a super fund, and
- a member asks you to roll over a benefit payment for them.

**!** If a member asks you to roll over parts of their entitlement to more than one fund, you must complete separate statements for each rollover payment.

**Warning:** This form has been designed to assist you to prepare the Australian Tax Office's Rollover benefits statement. It cannot be lodged with the Australian Tax Office and should not be given to fund members.

## Section A: Receiving fund

1 Australian business number (ABN)

98503137921

2 Fund name

AUSTRALIAN RETIREMENT TRUST

3 Postal address

GPO BOX 2924  
BRISBANE QLD 4001

4 You must provide at least one of the receiving fund's numbers below :

(a) Unique superannuation identifier (USI)

98 503 137 921 001

(b) Member client identifier

## Section B: Member's details

5 Tax File Number (TFN)

483047429

6 Full name

Title

MR

Family Name

NICOLAS

First given name

Other given names

ARTHUR

7 Residential address

16 SOUTHVIEW CRESCENT  
CARINDALE QLD 4152

8 Date of birth

31/05/1960

9 Sex

M

10 Daytime phone number (include area code)

11 Email address (if applicable)

**Section C: Rollover transaction details****12 Service period start date**

01/06/1986

**13 Tax components**

Tax-free component

\$ 22,591.50

\$22,426.5 D20 + \$165 A4#6

KiwiSaver tax-free component

\$

Taxable component:

Element taxed in the fund

\$ 32,620.97

Element untaxed in the fund

\$

**Tax components TOTAL**

\$ 55,212.47

**14 Preservation amounts**

Preserved amount

\$ 55,212.47

KiwiSaver preserved amount

\$

Restricted non-preserved amount

\$

Unrestricted non-preserved amount

\$

**Preservation amounts TOTAL**

\$ 55,212.47

**B16****Section D: Non-complying funds****15 Contributions made to a non-complying fund on or after 10 May 2006**

\$

**Section E: Transferring fund****16 Fund ABN**

33477560837

**17 Fund name**

AGN SUPERANNUATION FUND

**18 Contact name**

ARTHUR NICOLAS

**19 Daytime phone number** (include area code)

Telephone No

**20 Email address** (if applicable)**Signature of authorised person**

Date

/ /

You do not need to send a copy of the statement to the ATO however, you must keep a copy for your records for a period of five years.

**PAYG Payment Summary - Superannuation Lump Sum****Payment summary for year ending 30 June 2021**

**Warning: This form has been designed to assist you to prepare the Australian Tax Office's PAYG Payment Summary Statement. It cannot be lodged with the Australian Tax Office and should not be given to fund members.**

**Payee Details**

Payee's surname or family name

NICOLAS

Payee's given name(s)

ARTHUR

Payee's address

16 SOUTHVIEW CRESCENT

CARINDALE QLD 4152

Date of birth

31/05/1960

**NOTICE TO PAYEE** If this payment summary shows an amount in the total tax withheld box, you must lodge a tax return. If no tax was withheld, you may still have to lodge a tax return. If you have already lodged your tax return, you may need to lodge an amendment request. For more information about this payment summary, lodging your tax return or an amendment request, you can : - visit [www.ato.gov.au](http://www.ato.gov.au) - refer to TaxPack - phone 13 28 61

Date of payment

30/06/2021

Payee's Tax File Number

483047429

**Total Tax withheld**

\$

Taxable component

Taxed element

32,620

Untaxed element

Tax free component

22,591

KiwiSaver tax-free component

Death benefit

Type of death benefit

**Payer Details**

Payer's ABN or Withholder Payer Number

33477560837

Branch Number

Payer's Name

AGN SUPERANNUATION FUND

Signature of authorised person

Date

/ /

# Superannuation lump sum pre-payment statement

Warning: This form has been designed to assist you to prepare the Australian Tax Office's Superannuation lump sum pre-payment statement. It cannot be lodged with the Australian Tax Office and should not be given to fund members.

## Part 1 - SUPERANNUATION PROVIDER TO COMPLETE

### Section A: Superannuation provider details

#### 1 Superannuation fund, ADF, RSA or annuity provider name

AGN SUPERANNUATION FUND

#### 2 Postal address

16 SOUTHVIEW CRESCENT  
CARINDALE QLD 4152

#### 3 Australian business number (ABN) or withholder payer number

33477560837

#### 4 Authorised contact person

ARTHUR NICOLAS

#### 5 Daytime phone number

### Section B: Member's details

#### 6 Full name

Title MRS

Family Name

NICOLAS

First given name

Other given names

GIULIANA RITA

#### 7 Postal address

16 SOUTHVIEW CRESCENT  
CARINDALE QLD 4152

#### 8 Date of birth

23/03/1958

**Section C: Superannuation lump sum payment details****9 Lump sum payment is calculated to this date**

30/06/2021

**10 Superannuation lump sum components**

Taxable component

Taxed element	\$	48,703.09
---------------	----	-----------

Untaxed element	\$	
-----------------	----	--

Tax-free component	\$	34,857.02
--------------------	----	-----------

KiwiSaver tax-free component	\$	
------------------------------	----	--

<b>Total amount</b>	\$	83,560.11
---------------------	----	-----------

**11 Preservation amounts of the superannuation lump sum**

Preserved amount	\$	83,560.11
------------------	----	-----------

Restricted non-preserved amount	\$	
---------------------------------	----	--

Unrestricted non-preserved amount	\$	
-----------------------------------	----	--

<b>Total amount</b>	\$	83,560.11
---------------------	----	-----------

**Section D: Superannuation provider's signature****12 Date the statement is issued to the member**

/ /

**13 Member is to return statement by**

/ /

**14 Superannuation fund's, ADF's, RSA's or annuity provider's signature**

--

Date

/ /

---

**Section E: Cash amount**

1 Pay me a gross cash amount of:

I understand that this amount  
may be subject to tax

 You may wish to speak with a tax professional or your superannuation fund, ADF, RSA or annuity provider to make sure you are aware of your tax obligations and superannuation roll over options.

---

**Section F: Rollover payment**

2 Roll over my payment to: (provide the full name of fund, RSA or annuity provider)

3 Fund ABN

4 Superannuation fund, ADF, RSA or annuity provider postal address:

5 Member account number

6 Roll over an amount of:

---

**Section G: Member's declaration**

*I authorise my superannuation lump sum to be paid as instructed on this statement.*

GIULIANA RITA  
NICOLAS

Signature

Date

# Rollover benefits statement

Complete this form if:

- you are a trustee of a super fund, and
- a member asks you to roll over a benefit payment for them.

**!** If a member asks you to roll over parts of their entitlement to more than one fund, you must complete separate statements for each rollover payment.

**Warning:** This form has been designed to assist you to prepare the Australian Tax Office's Rollover benefits statement. It cannot be lodged with the Australian Tax Office and should not be given to fund members.

## Section A: Receiving fund

1 Australian business number (ABN)

98503137921

2 Fund name

AUSTRALIAN RETIREMENT TRUST

3 Postal address

GPO BOX 2924  
BRISBANE QLD 4001

4 You must provide at least one of the receiving fund's numbers below :

(a) Unique superannuation identifier (USI)

98 503 137 921 001

(b) Member client identifier

## Section B: Member's details

5 Tax File Number (TFN)

490708444

6 Full name

Title

MRS

Family Name

NICOLAS

First given name

Other given names

GIULIANA RITA

7 Residential address

16 SOUTHVIEW CRESCENT  
CARINDALE QLD 4152

8 Date of birth

23/03/1958

9 Sex

F

10 Daytime phone number (include area code)

11 Email address (if applicable)

**Section C: Rollover transaction details****12 Service period start date**

01/06/1986

**13 Tax components**

Tax-free component

\$ 34,857.02

\$34,692.02 D21 + \$165 A4#6

KiwiSaver tax-free component

\$

Taxable component:

Element taxed in the fund

\$ 48,703.09

Element untaxed in the fund

\$

**Tax components TOTAL**

\$ 83,560.11

**14 Preservation amounts**

Preserved amount

\$ 83,560.11

KiwiSaver preserved amount

\$

Restricted non-preserved amount

\$

Unrestricted non-preserved amount

\$

**Preservation amounts TOTAL**

\$ 83,560.11

**B18****Section D: Non-complying funds****15 Contributions made to a non-complying fund on or after 10 May 2006**

\$

**Section E: Transferring fund****16 Fund ABN**

33477560837

**17 Fund name**

AGN SUPERANNUATION FUND

**18 Contact name**

ARTHUR NICOLAS

**19 Daytime phone number** (include area code)

Telephone No

**20 Email address** (if applicable)**Signature of authorised person**

Date

/ /

You do not need to send a copy of the statement to the ATO however, you must keep a copy for your records for a period of five years.

**PAYG Payment Summary - Superannuation Lump Sum****Payment summary for year ending 30 June 2021**

**Warning: This form has been designed to assist you to prepare the Australian Tax Office's PAYG Payment Summary Statement. It cannot be lodged with the Australian Tax Office and should not be given to fund members.**

**Payee Details**

Payee's surname or family name

NICOLAS

Payee's given name(s)

GIULIANA RITA

Payee's address

16 SOUTHVIEW CRESCENT

CARINDALE QLD 4152

Date of birth

23/03/1958

**NOTICE TO PAYEE** If this payment summary shows an amount in the total tax withheld box, you must lodge a tax return. If no tax was withheld, you may still have to lodge a tax return. If you have already lodged your tax return, you may need to lodge an amendment request. For more information about this payment summary, lodging your tax return or an amendment request, you can : - visit [www.ato.gov.au](http://www.ato.gov.au) - refer to TaxPack - phone 13 28 61

Date of payment

30/06/2021

Payee's Tax File Number

490708444

**Total Tax withheld**

\$

Taxable component

Taxed element

48,703

Untaxed element

Tax free component

34,857

KiwiSaver tax-free component

Death benefit

Type of death benefit

**Payer Details**

Payer's ABN or Withholder Payer Number

33477560837

Branch Number

Payer's Name

AGN SUPERANNUATION FUND

Signature of authorised person

Date

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## F. SOURCE DOCUMENTS

**BSB** 182-512 **Account Number** 979059516 **Account Name** ARTHUR NICOLAS & GIULIANA RITA NICOLAS PORTFOLIO ACCOUNT



## Cash Management Account Transaction Listing

From 01/07/2020 to 12/05/2021

Date	Category	Details	Debit (\$)	Credit (\$)	Balance (\$)
<b>Apr 2021</b>					
Apr 30		ATO ATO001000014987821		2,504.79	134,546.52
Apr 30		MACQUARIE CMA INTEREST PAID		34.78	132,041.73
<b>Mar 2021</b>					
Mar 31		MACQUARIE CMA INTEREST PAID		35.92	132,006.95
<b>Feb 2021</b>					
Feb 26		MACQUARIE CMA INTEREST PAID		17.53	131,971.03
Feb 25		BKI DIVIDEND 88002535727		150.00	131,953.50
Feb 23		AIA AUSTRALIA . 16927059 24/02/21	5,650.18		131,803.50
Feb 16		PARTNERS GROUP G S00101954099		23.98	137,453.68
Feb 16		13610220 SOLD 5000 MGF @ 1.7200		8,481.75	137,429.70
Feb 16		13610243 SOLD 5040 VEA @ 1.8050		8,972.11	128,947.95
Feb 16		13610419 SOLD 2000 BLD @ 5.0500		9,961.12	119,975.84
Feb 16		13610529 SOLD 7500 BKI @ 1.5100		11,169.28	110,014.72
Feb 16		13610157 SOLD 150 CBA @ 87.2812		12,912.16	98,845.44
Feb 16		13610681 SOLD 10000 BFG @ 1.9000		18,738.75	85,933.28
Feb 16		13610591 SOLD 5000 NBI @ 1.9050		9,394.03	67,194.53
Feb 16		13610417 SOLD 800 AMC @ 14.6300		11,543.07	57,800.50
Feb 16		13610420 SOLD 600 WBC @ 22.2450		13,163.48	46,257.43

Feb 16	13610173 SOLD 176 NAB @ 24.9800		4,286.48	33,093.95
Feb 16	13610605 SOLD 60 NABPH @ 103.6008		6,106.05	28,807.47
Feb 16	13610612 SOLD 3510 PGG @ 1.7900		6,172.90	22,701.42
Feb 09	NB GLOBAL CORP S00101954099		34.81	16,528.52
<b>Jan 2021</b>				
Jan 29	WEALTH+ FEES JAN 2021	88.68		16,493.71
Jan 29	MACQUARIE CMA INTEREST PAID		4.72	16,582.39
Jan 19	PARTNERS GROUP G S00101954099		23.98	16,577.67
Jan 12	NB GLOBAL CORP S00101954099		34.81	16,553.69
<b>Dec 2020</b>				
Dec 31	WEALTH+ FEES DEC 2020	140.23		16,518.88
Dec 31	MACQUARIE CMA INTEREST PAID		5.14	16,659.11
Dec 29	13525214 SOLD 750 UNI @ 5.2500		3,827.50	16,653.97
Dec 18	WBC DIVIDEND 001254189779		186.00	12,826.47
Dec 18	PARTNERS GROUP G S00101954099		23.98	12,640.47
Dec 17	NABPB DEC PB212/00808638		34.97	12,616.49
Dec 15	AMCOR PLC DIV DEC20/00875796		132.40	12,581.52
Dec 10	NAB FINAL DIV DV212/00663638		52.80	12,449.12
Dec 09	NB GLOBAL CORP S00101954099		34.81	12,396.32
Dec 02	REBATE OF ADVISER COMMISSION		2.83	12,361.51
<b>Nov 2020</b>				
Nov 30	WEALTH+ FEES NOV 2020	132.55		12,358.68
Nov 30	MACQUARIE CMA INTEREST PAID		2.25	12,491.23
Nov 19	MAGELLAN GLOBALT S00101954099		179.00	12,488.98

Nov 17	PARTNERS GROUP G S00101954099		24.86	12,309.98
Nov 16	13418368 BGHT 750 UNIYY @ 3.8000	2,850.00		12,285.12
Nov 10	NB GLOBAL CORP S00101954099		34.81	15,135.12
Nov 03	REBATE OF ADVISER COMMISSION		3.09	15,100.31
<b>Oct 2020</b>				
Oct 30	WEALTH+ FEES OCT 2020	133.01		15,097.22
Oct 30	MACQUARIE CMA INTEREST PAID		2.41	15,230.23
Oct 16	PARTNERS GROUP G S00101954099		24.86	15,227.82
Oct 13	VEA CAP OCT20 001253003321		1,287.60	15,202.96
Oct 13	VEA SPEC DIV 001252988810		356.40	13,915.36
Oct 09	NB GLOBAL CORP S00101954099		34.81	13,558.96
Oct 02	REBATE OF ADVISER COMMISSION		2.74	13,524.15
<b>Sep 2020</b>				
Sep 30	WEALTH+ FEES SEP 2020	137.14		13,521.41
Sep 30	CBA FNL DIV 001249478135		147.00	13,658.55
Sep 30	MACQUARIE CMA INTEREST PAID		2.71	13,511.55
Sep 23	AMCOR PLC DIV SEPT2/00876110		128.00	13,508.84
Sep 17	NABPB SEP PB211/00815623		35.47	13,380.84
Sep 16	PARTNERS GROUP G S00101954099		24.86	13,345.37
Sep 16	VEA ITM DIV 001251193195		48.00	13,320.51
Sep 09	NB GLOBAL CORP S00101954099		34.81	13,272.51
Sep 02	REBATE OF ADVISER COMMISSION		2.84	13,237.70
<b>Aug 2020</b>				
Aug 31	WEALTH+ FEES AUG 2020	124.39		13,234.86

Aug 31	MACQUARIE CMA INTEREST PAID		2.88	13,359.25
Aug 27	BKI DIVIDEND 88002535727		249.00	13,356.37
Aug 27	BFG DIVIDEND AUG20/00802783		400.00	13,107.37
Aug 18	PARTNERS GROUP G S00101954099		24.86	12,707.37
Aug 12	NB GLOBAL CORP S00101954099		34.81	12,682.51
Aug 04	13212677 BGHT 60 NABPB @ 100.6000	6,146.00		12,647.70
Aug 04	REBATE OF ADVISER COMMISSION		3.73	18,793.70
<b>Jul 2020</b>				
Jul 31	WEALTH+ FEES JUL 2020	140.20		18,789.97
Jul 31	MACQUARIE CMA INTEREST PAID		3.75	18,930.17
Jul 28	MAGELLAN GLOBALT S00101954099		172.00	18,926.42
Jul 24	ATO ATO008000012782935		1,556.90	18,754.42
Jul 20	PARTNERS GROUP G S00101954099		47.83	17,197.52
Jul 09	NB GLOBAL CORP S00101954099		48.55	17,149.69
Jul 03	NAB INTERIM DIV DV211/01174407		120.00	17,101.14
Jul 02	REBATE OF ADVISER COMMISSION		3.33	16,981.14
<b>TOTALS</b>		<b>15,542.38</b>	<b>133,111.09</b>	

This transaction list isn't a statement. We recommend you review all your transactions to ensure they're accurate.

Transaction Date	Description	Debit	Credit	Balance
18-May-21	FUNDS TFR TO MORGANS FINANCIAL LIM	(134,566.58)		-
18-May-21	MACQUARIE CMA INTEREST PAID		20.06	134,566.58
30-Apr-21	ATO ATO001000014987821		2,504.79	134,546.52 <i>bank stm CB</i>
30-Apr-21	MACQUARIE CMA INTEREST PAID		34.78	132,041.73
31-Mar-21	MACQUARIE CMA INTEREST PAID		35.92	132,006.95
26-Feb-21	MACQUARIE CMA INTEREST PAID		17.53	131,971.03
25-Feb-21	BKI DIVIDEND 88002535727		150.00	131,953.50
23-Feb-21	AIA AUSTRALIA . 16927059 24/02/21	<i>incl life insurance payment</i> (5,650.18)		131,803.50
16-Feb-21	PARTNERS GROUP G S00101954099		23.98	137,453.68 <i>Portfolio upto 18/02</i>
16-Feb-21	13610220 SOLD 5000 MGF @ 1.7200		8,481.75	137,429.70
16-Feb-21	13610243 SOLD 5040 VEA @ 1.8050		8,972.11	128,947.95
16-Feb-21	13610419 SOLD 2000 BLD @ 5.0500		9,961.12	119,975.84
16-Feb-21	13610529 SOLD 7500 BKI @ 1.5100		11,169.28	110,014.72
16-Feb-21	13610157 SOLD 150 CBA @ 87.2812		12,912.16	98,845.44
16-Feb-21	13610681 SOLD 10000 BFG @ 1.9000		18,738.75	85,933.28
16-Feb-21	13610591 SOLD 5000 NBI @ 1.9050		9,394.03	67,194.53
16-Feb-21	13610417 SOLD 800 AMC @ 14.6300		11,543.07	57,800.50
16-Feb-21	13610420 SOLD 600 WBC @ 22.2450		13,163.48	46,257.43
16-Feb-21	13610173 SOLD 176 NAB @ 24.9800		4,286.48	33,093.95
16-Feb-21	13610605 SOLD 60 NABPH @ 103.6008		6,106.05	28,807.47
16-Feb-21	13610612 SOLD 3510 PGG @ 1.7900		6,172.90	22,701.42
09-Feb-21	NB GLOBAL CORP S00101954099		34.81	16,528.52
29-Jan-21	WEALTH+ FEES JAN 2021	(88.68)		16,493.71
29-Jan-21	MACQUARIE CMA INTEREST PAID		4.72	16,582.39
19-Jan-21	PARTNERS GROUP G S00101954099		23.98	16,577.67
12-Jan-21	NB GLOBAL CORP S00101954099		34.81	16,553.69
31-Dec-20	WEALTH+ FEES DEC 2020	(140.23)		16,518.88
31-Dec-20	MACQUARIE CMA INTEREST PAID		5.14	16,659.11
29-Dec-20	13525214 SOLD 750 UNI @ 5.2500		3,827.50	16,653.97
18-Dec-20	WBC DIVIDEND 001254189779		186.00	12,826.47
18-Dec-20	PARTNERS GROUP G S00101954099		23.98	12,640.47
17-Dec-20	NABPB DEC PB212/00808638		34.97	12,616.49
15-Dec-20	AMCOR PLC DIV DEC20/00875796		132.40	12,581.52
10-Dec-20	NAB FINAL DIV DV212/00663638		52.80	12,449.12
09-Dec-20	NB GLOBAL CORP S00101954099		34.81	12,396.32
02-Dec-20	REBATE OF ADVISER COMMISSION		2.83	12,361.51
30-Nov-20	WEALTH+ FEES NOV 2020	(132.55)		12,358.68
30-Nov-20	MACQUARIE CMA INTEREST PAID		2.25	12,491.23
19-Nov-20	MAGELLAN GLOBALT S00101954099		179.00	12,488.98
17-Nov-20	PARTNERS GROUP G S00101954099		24.86	12,309.98
16-Nov-20	13418368 BGHT 750 UNIYY @ 3.8000	(2,850.00)		12,285.12
10-Nov-20	NB GLOBAL CORP S00101954099		34.81	15,135.12
03-Nov-20	REBATE OF ADVISER COMMISSION		3.09	15,100.31
30-Oct-20	WEALTH+ FEES OCT 2020	(133.01)		15,097.22
30-Oct-20	MACQUARIE CMA INTEREST PAID		2.41	15,230.23
16-Oct-20	PARTNERS GROUP G S00101954099		24.86	15,227.82

13-Oct-20 VEA CAP OCT20 001253003321		1,287.60	15,202.96
13-Oct-20 VEA SPEC DIV 001252988810		356.40	13,915.36
09-Oct-20 NB GLOBAL CORP S00101954099		34.81	13,558.96
02-Oct-20 REBATE OF ADVISER COMMISSION		2.74	13,524.15
30-Sep-20 WEALTH+ FEES SEP 2020	(137.14)		13,521.41
30-Sep-20 CBA FNL DIV 001249478135		147.00	13,658.55
30-Sep-20 MACQUARIE CMA INTEREST PAID		2.71	13,511.55
23-Sep-20 AMCOR PLC DIV SEPT2/00876110		128.00	13,508.84
17-Sep-20 NABPB SEP PB211/00815623		35.47	13,380.84
16-Sep-20 PARTNERS GROUP G S00101954099		24.86	13,345.37
16-Sep-20 VEA ITM DIV 001251193195		48.00	13,320.51
09-Sep-20 NB GLOBAL CORP S00101954099		34.81	13,272.51
02-Sep-20 REBATE OF ADVISER COMMISSION		2.84	13,237.70
31-Aug-20 WEALTH+ FEES AUG 2020	(124.39)		13,234.86
31-Aug-20 MACQUARIE CMA INTEREST PAID		2.88	13,359.25
27-Aug-20 BKI DIVIDEND 88002535727		249.00	13,356.37
27-Aug-20 BFG DIVIDEND AUG20/00802783		400.00	13,107.37
18-Aug-20 PARTNERS GROUP G S00101954099		24.86	12,707.37
12-Aug-20 NB GLOBAL CORP S00101954099		34.81	12,682.51
04-Aug-20 13212677 BGHT 60 NABPB @ 100.6000	(6,146.00)		12,647.70
04-Aug-20 REBATE OF ADVISER COMMISSION		3.73	18,793.70
31-Jul-20 WEALTH+ FEES JUL 2020	(140.20)		18,789.97
31-Jul-20 MACQUARIE CMA INTEREST PAID		3.75	18,930.17
28-Jul-20 MAGELLAN GLOBALT S00101954099		172.00	18,926.42
24-Jul-20 ATO ATO008000012782935		1,556.90	18,754.42
20-Jul-20 PARTNERS GROUP G S00101954099		47.83	17,197.52
09-Jul-20 NB GLOBAL CORP S00101954099		48.55	17,149.69
03-Jul-20 NAB INTERIM DIV DV211/01174407		120.00	17,101.14
02-Jul-20 REBATE OF ADVISER COMMISSION		3.33	16,981.14

**AGN Super Fund A/C**

Your advisor: Stuart Warren & Jon Ahlberg  
 Account Number: 680741

Period: 1 July 2020 to 18 February 2021  
 Closing Portfolio Valuation: \$137,453.68

**Portfolio Values**

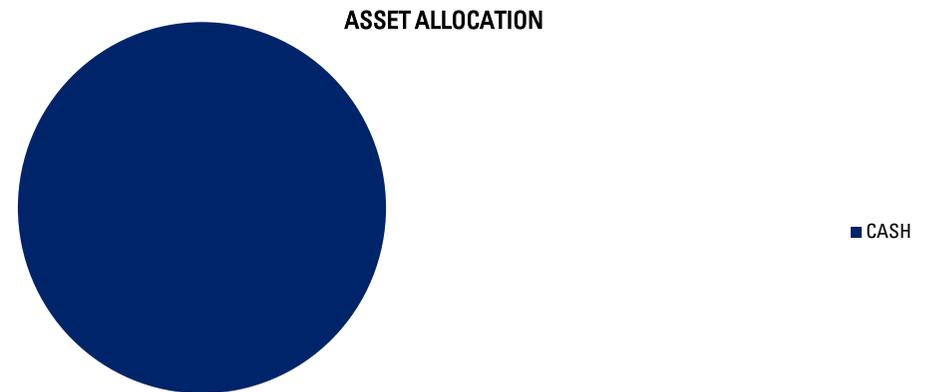
**Valuation Summary for Period**

AGN Super Fund A/C	
Value at 1 July 2020 .....	\$115,919.43
Value at 18 February 2021 .....	\$137,453.68

**Income Summary**

Forecast Annual Income (0.32% Net Yield) .....	\$444.00
Income received during the period .....	\$2,794.89
Imputation credits received during the period .....	\$545.67

**Portfolio Asset Allocation**



	PORTFOLIO VALUE \$	% OF PORTFOLIO
Cash	137,453.68	100.00
<b>Total</b>	<b>137,453.68</b>	<b>100.00</b>

## Portfolio Assets at 18 February 2021

SECURITY NAME	SECURITY CODE	QUANTITY	AVG UNIT COST	TOTAL COST BASE \$	MARKET PRICE \$	MARKET VALUE \$	GAIN/LOSS \$	~FORECAST ANNUAL INCOME \$
<b>CASH</b>								
Macquarie Cash Management A/C		-	-	137,453.68	-	137,453.68	-	444
<b>TOTAL CASH</b>				<b>137,453.68</b>		<b>137,453.68</b>	<b>-</b>	<b>444</b>
<b>TOTAL PORTFOLIO VALUE</b>				<b>137,453.68</b>		<b>137,453.68</b>	<b>-</b>	<b>444</b>

~ Forecast Income has been rounded to the nearest dollar.

## Portfolio Transactions

### CASH ACCOUNT TRANSACTION ACTIVITY

DATE	DESCRIPTION	DEBIT \$	CREDIT \$	BALANCE \$
01/07/2020	Opening Balance			16,977.81
02/07/2020	Macquarie CMA Rebate of Adviser Commission - Interest Earned		3.33	16,981.14
03/07/2020	National Australia Bank Ltd FPO - Dividend/Distribution Payment		120.00	17,101.14
09/07/2020	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		48.55	17,149.69
20/07/2020	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		47.83	17,197.52
24/07/2020	Deposit ATO ATO008000012782935		1,556.90	18,754.42
28/07/2020	Magellan Global Trust Fully Paid Units - Dividend/Distribution Payment		172.00	18,926.42
31/07/2020	Interest Earned - Macquarie CMA		3.75	18,930.17
31/07/2020	Wealth+ fees - July 2020	140.20		18,789.97
04/08/2020	Macquarie CMA Rebate of Adviser Commission - Interest Earned		3.73	18,793.70
04/08/2020	National Australia Bank Ltd - CPS2 - On Market Purchase - 60 securities	6,146.00		12,647.70
12/08/2020	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	12,682.51
18/08/2020	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		24.86	12,707.37
27/08/2020	Bell Financial Group Limited FPO - Dividend/Distribution Payment		400.00	13,107.37
27/08/2020	BKI Investment Company Limited FPO - Dividend/Distribution Payment		249.00	13,356.37
31/08/2020	Interest Earned - Macquarie CMA		2.88	13,359.25
31/08/2020	Wealth+ fees - August 2020	124.39		13,234.86
02/09/2020	Macquarie CMA Rebate of Adviser Commission - Interest Earned		2.84	13,237.70
09/09/2020	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	13,272.51
16/09/2020	Viva Energy Group Limited FPO - Dividend/Distribution Payment		48.00	13,320.51
16/09/2020	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		24.86	13,345.37
17/09/2020	National Australia Bank Ltd - CPS2 - Dividend/Distribution Payment		35.47	13,380.84
23/09/2020	Amcor PLC CDI 1:1 - Dividend/Distribution Payment		128.00	13,508.84
30/09/2020	Commonwealth Bank of Australia Limited FPO - Dividend/Distribution Payment		147.00	13,655.84
30/09/2020	Interest Earned - Macquarie CMA		2.71	13,658.55
30/09/2020	Wealth+ fees - September 2020	137.14		13,521.41
02/10/2020	Macquarie CMA Rebate of Adviser Commission - Interest Earned		2.74	13,524.15
09/10/2020	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	13,558.96
13/10/2020	Viva Energy Group Limited FPO - Capital Return		1,287.60	14,846.56

Friday, 19 February 2021 CONFIDENTIAL PAGE 3 of 5

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## Portfolio Transactions

### CASH ACCOUNT TRANSACTION ACTIVITY

DATE	DESCRIPTION	DEBIT \$	CREDIT \$	BALANCE \$
13/10/2020	Viva Energy Group Limited FPO - Dividend/Distribution Payment		356.40	15,202.96
16/10/2020	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		24.86	15,227.82
30/10/2020	Interest Earned - Macquarie CMA		2.41	15,230.23
30/10/2020	Wealth+ fees - October 2020	133.01		15,097.22
03/11/2020	Macquarie CMA Rebate of Adviser Commission - Interest Earned		3.09	15,100.31
10/11/2020	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	15,135.12
17/11/2020	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		24.86	15,159.98
17/11/2020	Universal Store Holdings Limited IPO - On Market Purchase - 750 securities	2,850.00		12,309.98
19/11/2020	Magellan Global Trust Fully Paid Units - Dividend/Distribution Payment		179.00	12,488.98
30/11/2020	Interest Earned - Macquarie CMA		2.25	12,491.23
30/11/2020	Wealth+ fees - November 2020	132.55		12,358.68
02/12/2020	Macquarie CMA Rebate of Adviser Commission - Interest Earned		2.83	12,361.51
09/12/2020	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	12,396.32
10/12/2020	National Australia Bank Ltd FPO - Dividend/Distribution Payment		52.80	12,449.12
15/12/2020	Amcors PLC CDI 1:1 - Dividend/Distribution Payment		132.40	12,581.52
17/12/2020	National Australia Bank Ltd - CPS2 - Dividend/Distribution Payment		34.97	12,616.49
18/12/2020	Westpac Banking Corporation FPO - Dividend/Distribution Payment		186.00	12,802.49
18/12/2020	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		23.98	12,826.47
29/12/2020	Universal Store Holdings FPO - On Market Sale - 750 securities		3,827.50	16,653.97
31/12/2020	Interest Earned - Macquarie CMA		5.14	16,659.11
31/12/2020	Wealth+ fees - December 2020	140.23		16,518.88
12/01/2021	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	16,553.69
19/01/2021	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		23.98	16,577.67
29/01/2021	Interest Earned - Macquarie CMA		4.72	16,582.39
29/01/2021	Wealth+ fees - January 2021	88.68		16,493.71
09/02/2021	NB Global Corporate Income Trust FPU - Dividend/Distribution Payment		34.81	16,528.52
16/02/2021	Bell Financial Group Limited FPO - On Market Sale - 10,000 securities		18,738.75	35,267.27
16/02/2021	Westpac Banking Corporation FPO - On Market Sale - 600 securities		13,163.48	48,430.75
16/02/2021	Commonwealth Bank of Australia Limited FPO - On Market Sale - 150 securities		12,912.16	61,342.91
16/02/2021	Amcors PLC CDI 1:1 - On Market Sale - 800 securities		11,543.07	72,885.98

Friday, 19 February 2021 CONFIDENTIAL PAGE 4 of 5

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## Portfolio Transactions

### CASH ACCOUNT TRANSACTION ACTIVITY

DATE	DESCRIPTION	DEBIT \$	CREDIT \$	BALANCE \$
16/02/2021	BKI Investment Company Limited FPO - On Market Sale - 7,500 securities		11,169.28	84,055.26
16/02/2021	Boral Limited FPO - On Market Sale - 2,000 securities		9,961.12	94,016.38
16/02/2021	NB Global Corporate Income Trust FPU - On Market Sale - 5,000 securities		9,394.03	103,410.41
16/02/2021	Viva Energy Group Limited FPO - On Market Sale - 5,040 securities		8,972.11	112,382.52
16/02/2021	Magellan Global Fund FPU Closed Class - On Market Sale - 5,000 securities		8,481.75	120,864.27
16/02/2021	Partners Group Global Income Fund FPU - On Market Sale - 3,510 securities		6,172.90	127,037.17
16/02/2021	National Australia Bank Ltd Capital Notes 5 - On Market Sale - 60 securities		6,106.05	133,143.22
16/02/2021	National Australia Bank Ltd FPO - On Market Sale - 176 securities		4,286.48	137,429.70
16/02/2021	Partners Group Global Income Fund FPU - Dividend/Distribution Payment		23.98	137,453.68
<b>CLOSING BALANCE</b>		<b>9,892.20</b>	<b>130,368.07</b>	<b>137,453.68</b>

### NON-CASH TRANSACTION ACTIVITY

DATE	DESCRIPTION	QUANTITY	PRICE \$	VALUE \$
08/10/2020	Viva Energy Group Limited FPO - Capital Reconstruction	(960.00)	0.00	0.00
18/11/2020	Universal Store Holdings FPO - IPO Allotment	750.00	3.80	2,850.00
08/12/2020	Magellan Global Fund FPU Closed Class - Scheme Of Arrangement	5,000.00	1.37	6,839.28
08/12/2020	Magellan Global Trust Fully Paid Units - Scheme Of Arrangement	(5,000.00)	1.37	(6,839.28)
17/12/2020	National Australia Bank Ltd - CPS2 - Other Corporate Action Reinvestment	(60.00)	100.00	(6,000.00)
17/12/2020	National Australia Bank Ltd Capital Notes 5 - Other Corporate Action Reinvestment	60.00	100.00	6,000.00

Any Allotments shown above have resulted from your previous acceptance of a capital raising (where the acceptance would have previously been shown as a cash transaction in the Cash Account Transaction Activity section) or as a result of a scheme of arrangement where there is no related cash transaction.

E&OE

## AGN Super Fund A/C

Your Advisor: Stuart Warren & Jon Ahlberg

**A/C Number: 680741**

**01 July 2020 to 18 February 2021**

The details provided are based on the portfolio type being recorded as "Superannuation Fund" for taxation reporting purposes.

	Tax Return Reference	Amount \$		Tax Return Reference	Amount \$
<b>ASSESSABLE INCOME</b>			<b>CAPITAL GAINS/LOSSES</b>		
<b>Interest Income</b>			<b>Realised Capital Gains/Losses</b>		
Gross Interest:	11C	42	Total Short Gains: (held < 12 months)	11A	4,088
Tax Withheld:	13(H3)	0	Total Discount Gains :	11A	7,218
<b>Australian Dividend Income</b>			(Total Long Gains of \$10,828 discounted at 33.33%)		
Unfranked:	11J	356	Total Long Gains (available for discounting):		10,828
Franked:	11K	1,273	Total Indexed Gains:	11A	0
Franking Credits:	11L+13(E1)	545	Total Realised Losses:	11A	15,521
Tax Withheld:	13(H3)	0	<b>Unrealised Capital Gains/Losses</b>		
<b>Trust Income</b>			Total Short Gains: (held < 12 months)	NA	0
Gross Trust Income ^ :	11M	594	Total Discount Gains :	NA	0
Franking Credits:	13(E1)	0	(Total Long Gains of \$0 discounted at 33.33%)		
Tax Withheld:	13(H3)	0	Total Long Gains (available for discounting):		0
<b>Foreign Source Income</b>			Total Indexed Gains:	NA	0
Assessable Foreign Source Income:	11D/11D1	260	Total Unrealised Losses:	NA	0
Other Net Foreign Source Income:	11D	260	<b>DEDUCTIBLE EXPENSES (INCL GST)</b>		
Australian Franking Credits from a New Zealand company:	11E+13(E1)	0	Wealth+ Management Fees:	12J1/12J2	896
Foreign Income Tax Offsets:	13(C1)	0			

^This Gross Trust Income is an estimated taxable amount and does not take into account any tax deferred income, capital returns not yet confirmed or advised, or franking credits attached to trust distributions. The taxable and tax free components of trust income are generally made available at the end of the financial year and will be reported in the annual Accountant Tax Summary Report.

## Income Reconciliation

<b>Interest Income</b>	Gross Interest Income:	42.42	
	Interest on Options Margin:	0.00	
	Less: TFN/Withholding Tax:	0.00	
<b>Total</b>			<b>42.42</b>
<b>Australian Dividend Income</b>	Gross Unfranked:	356.40	
	Franked:	1,273.24	
	Less: TFN/Withholding Tax:	0.00	
<b>Total</b>			<b>1,629.64</b>
<b>Global Dividend Income</b>	Gross Foreign Income:	260.40	
	Less: Foreign Credits:	0.00	
<b>Total</b>			<b>260.40</b>
<b>Trust/Stapled Security Income</b>	Trust/Stapled Security Income:	594.05	
<b>Total</b>			<b>594.05</b>
<b>Total Income Received:</b>			<b>2,526.51</b>

**INTEREST INCOME**

Date	Description	Amount Received \$	Gross Income \$	TFN / Withholding Tax Deducted \$
02/07/2020	Macquarie CMA Rebate of Adviser Commission	3.33	3.33	
04/08/2020	Macquarie CMA Rebate of Adviser Commission	3.73	3.73	
02/09/2020	Macquarie CMA Rebate of Adviser Commission	2.84	2.84	
02/10/2020	Macquarie CMA Rebate of Adviser Commission	2.74	2.74	
03/11/2020	Macquarie CMA Rebate of Adviser Commission	3.09	3.09	
02/12/2020	Macquarie CMA Rebate of Adviser Commission	2.83	2.83	
31/07/2020	Primary CMA Interest	3.75	3.75	
31/08/2020	Primary CMA Interest	2.88	2.88	
30/09/2020	Primary CMA Interest	2.71	2.71	
30/10/2020	Primary CMA Interest	2.41	2.41	
30/11/2020	Primary CMA Interest	2.25	2.25	
31/12/2020	Primary CMA Interest	5.14	5.14	
29/01/2021	Primary CMA Interest	4.72	4.72	
<b>TOTAL INTEREST INCOME</b>		<b>42.42</b>	<b>42.42</b>	

Other Income \$18.56

**AUSTRALIAN DIVIDEND INCOME**

Date	Security Name	Amount Received \$	Gross Unfranked \$	TFN / Withholding Tax Deducted \$	Franked \$	Franking Credits \$	LIC Capital Gains (Gross) \$
27/08/2020	Bell Financial Group Limited FPO	400.00			400.00	171.43	
27/08/2020	BKI Investment Company Limited FPO	249.00			249.00	106.71	
30/09/2020	Commonwealth Bank of Australia Limited FPO	147.00			147.00	63.00	
17/09/2020	National Australia Bank Ltd - CPS2	35.47			35.47	15.20	
17/12/2020	National Australia Bank Ltd - CPS2	34.97			34.97	14.99	
03/07/2020	National Australia Bank Ltd FPO	120.00			120.00	51.43	

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Account Number: 680741 Portfolio Name: AGN Super Fund A/C

**AUSTRALIAN DIVIDEND INCOME** Total Dividend = \$1,629.64 + \$545.67 + \$260.4 + \$214.29 (F24) = \$2,650

Date	Security Name	Amount Received \$	Gross Unfranked \$	TFN / Withholding Tax Deducted \$	Franked \$	Franking Credits \$	LIC Capital Gains (Gross) \$
10/12/2020	National Australia Bank Ltd FPO	52.80			52.80	22.63	
16/09/2020	Viva Energy Group Limited FPO	48.00			48.00	20.57	
13/10/2020	Viva Energy Group Limited FPO	356.40	356.40				
18/12/2020	Westpac Banking Corporation FPO	186.00			186.00	79.71	
<b>TOTAL AUSTRALIAN DIVIDEND INCOME</b>		<b>1,629.64</b>	<b>356.40</b>		<b>1,273.24</b>	<b>545.67</b>	

Total Franked = \$1,273.24 + \$150 (F24) = \$1,423.24

Total Franking credits = \$545.67 + \$64.29 (F24) = \$609.96

**GLOBAL DIVIDEND AND INTEREST INCOME**

Date	Security Name	Amount Received \$	Gross Foreign Source Income \$	Foreign Tax Credits \$
23/09/2020	Amcor PLC CDI 1:1	128.00	128.00	
15/12/2020	Amcor PLC CDI 1:1	132.40	132.40	
<b>TOTAL GLOBAL DIVIDEND INCOME</b>		<b>260.40</b>	<b>260.40</b>	

**TRUST INCOME**

Date	Fund Name	Amount Received \$	Franked Amount \$	Interest or Other \$	Cost Base Adjustments \$	Tax Free \$	Foreign Income \$#	Distributed Capital Gains \$^	Franking Credits \$	Foreign Tax Credits \$+	TFN / Withholding Tax Deducted \$	Other \$*
19/11/2020	Magellan Global Trust Fully Paid Units	179.00										
<b>Total Tax Components</b>		<b>179.00</b>										
12/08/2020	NB Global Corporate Income Trust FPU	34.81										
09/09/2020	NB Global Corporate Income Trust FPU	34.81										
09/10/2020	NB Global Corporate Income Trust FPU	34.81										
10/11/2020	NB Global Corporate Income Trust FPU	34.81										

**TRUST INCOME**

Date	Fund Name	Amount Received \$	Franked Amount \$	Interest or Other \$	Cost Base Adjustments \$	Tax Free \$	Foreign Income \$/£	Distributed Capital Gains \$^	Franking Credits \$	Foreign Tax Credits \$+	TFN / Withholding Tax Deducted \$	Other \$*
09/12/2020	NB Global Corporate Income Trust FPU	34.81										
12/01/2021	NB Global Corporate Income Trust FPU	34.81										
09/02/2021	NB Global Corporate Income Trust FPU	34.81										
<b>Total Tax Components</b>		<b>243.67</b>										
18/08/2020	Partners Group Global Income Fund FPU	24.86										
16/09/2020	Partners Group Global Income Fund FPU	24.86										
16/10/2020	Partners Group Global Income Fund FPU	24.86										
17/11/2020	Partners Group Global Income Fund FPU	24.86										
18/12/2020	Partners Group Global Income Fund FPU	23.98										
19/01/2021	Partners Group Global Income Fund FPU	23.98										
16/02/2021	Partners Group Global Income Fund FPU	23.98										
<b>Total Tax Components</b>		<b>171.38</b>										
<b>TOTAL INCOME</b>		<b>594.05</b>										

For the Stapled Security, Foreign Income, Distributed Capital Gains, Foreign Tax Credits and Other categories additional information is provided below.

## REALISED CAPITAL GAINS / LOSSES

(\* CGT discount factor for this account is 33.33%.)

Code	Security Name	Purchase Date	Sale Date	Tax Lot Method	Quantity	Cost Base \$	Sale Amount \$	Gross Result \$	Short Gain <12 months \$	Discounted Gain \$*	Indexed Gain \$	Pre CGT Gain/Loss \$	CGT Loss \$
AMC	Amcor PLC CDI 1:1	11/06/2019	12/02/2021	FI	800	12,722.71	11,543.07	(1,179.64)					(1,179.64)
Total for AMC					800	12,722.71	11,543.07	(1,179.64)					(1,179.64)
BFG	Bell Financial Group Limited FPO	31/10/2018	12/02/2021	FI	10,000	10,137.50	18,738.75	8,601.25		5,734.16			
Total for BFG					10,000	10,137.50	18,738.75	8,601.25		5,734.16			
BKI	BKI Investment Company Limited FPO	13/03/2020	12/02/2021	FI	7,500	9,960.09	11,169.28	1,209.19	1,209.19				
Total for BKI					7,500	9,960.09	11,169.28	1,209.19	1,209.19				
BLD	Boral Limited FPO	31/05/2018	12/02/2021	FI	2,000	12,650.00	9,961.12	(2,688.88)					(2,688.88)
Total for BLD					2,000	12,650.00	9,961.12	(2,688.88)					(2,688.88)
CBA	Commonwealth Bank of Australia Limited FPO	23/06/2017	12/02/2021	FI	150	12,327.71	12,912.16	584.45		389.63			
Total for CBA					150	12,327.71	12,912.16	584.45		389.63			
MGF	Magellan Global Fund FPU Closed Class <b>name changed from MGG</b>	13/10/2017	12/02/2021	FI	5,000	6,839.28	8,481.75	1,642.47		1,094.98			
Total for MGF					5,000	6,839.28	8,481.75	1,642.47		1,094.98			
NAB	National Australia Bank Ltd FPO	02/06/2020	12/02/2021	FI	176	2,490.40	4,286.48	1,796.08	1,796.08				
Total for NAB					176	2,490.40	4,286.48	1,796.08	1,796.08				
NABPB	National Australia Bank Ltd - CPS2	31/07/2020	17/12/2020	FI	60	6,146.00	6,000.00	(146.00)					(146.00)
Total for NABPB					60	6,146.00	6,000.00	(146.00)					(146.00)
NABPH	National Australia Bank Ltd Capital Notes 5	17/12/2020	12/02/2021	FI	60	6,000.00	6,106.05	106.05	106.05				
Total for NABPH					60	6,000.00	6,106.05	106.05	106.05				

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Account Number: 680741 Portfolio Name: AGN Super Fund A/C

**REALISED CAPITAL GAINS / LOSSES**

(\* CGT discount factor for this account is 33.33%.)

Code	Security Name	Purchase Date	Sale Date	Tax Lot Method	Quantity	Cost Base \$	Sale Amount \$	Gross Result \$	Short Gain <12 months \$	Discounted Gain \$*	Indexed Gain \$	Pre CGT Gain/Loss \$	CGT Loss \$
NBI	NB Global Corporate Income Trust FPU	19/09/2018	12/02/2021	FI	5,000	10,000.00	9,394.03	(605.97)					(605.97)
Total for NBI					5,000	10,000.00	9,394.03	(605.97)					(605.97)
PGG	Partners Group Global Income Fund FPU	20/09/2019	12/02/2021	FI	3,510	6,945.77	6,172.90	(772.87)					(772.87)
Total for PGG					3,510	6,945.77	6,172.90	(772.87)					(772.87)
UNI	Universal Store Holdings FPO	18/11/2020	23/12/2020	FI	750	2,850.00	3,827.50	977.50	977.50				
Total for UNI					750	2,850.00	3,827.50	977.50	977.50				
VEA	Viva Energy Group Limited FPO	18/07/2018	12/02/2021	FI	5,040	13,712.40	8,972.11	(4,740.29)					(4,740.29)
Total for VEA					5,040	13,712.40	8,972.11	(4,740.29)					(4,740.29)
WBC	Westpac Banking Corporation FPO	26/05/2017	12/02/2021	FI	600	18,551.63	13,163.48	(5,388.15)					(5,388.15)
Total for WBC					600	18,551.63	13,163.48	(5,388.15)					(5,388.15)
<b>TOTALS</b>						<b>131,333.49</b>	<b>130,728.68</b>	<b>(604.81)</b>	<b>4,088.82</b>	<b>7,218.77</b>			<b>(15,521.80)</b>
<b>GRAND TOTALS ALL REALISED CAPITAL GAINS/LOSSES</b>						<b>131,333.49</b>	<b>130,728.68</b>	<b>(604.81)</b>	<b>4,088.82</b>	<b>7,218.77</b>			<b>(15,521.80)</b>

**EXPENSE SUMMARY**

	Description	Amount \$ (incl GST)	GST \$
<b>Wealth+ Management Fee</b>			
	Annual Wealth+ Management Fees	896.20	81.47
	<b>Total Wealth+ Management Fee:</b>	<b>896.20</b>	<b>81.47</b>

**PORTFOLIO ASSET POSITION AS AT 18 February 2021**

Security Code	Quantity	Cost Base \$ 18/02/21	Average Cost \$	Price \$ as at 18/02/2021	Closing Market Value \$ 18/02/21
Cash					
Macquarie Cash Management A/C	0.00	137,453.68	0.00	0.00	137,453.68
<b>Sub Total Cash</b>		<b>137,453.68</b>			<b>137,453.68</b>
<b>Total Portfolio Assets</b>		<b>137,453.68</b>			<b>137,453.68</b>

The closing cost base details in the above Portfolio Asset Position table are the adjusted cost bases as at the end of the Financial Year. These cost bases have been reduced by any return of capital, tax deferred or AMIT cost base decrease/increase components of distributions deemed to have been received up to and including this Financial Year. Details of these adjustments for the distributions deemed to have been received in the current Financial Year are shown in the Trust/Stapled Security Income table.

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## ADDITIONAL INFORMATION

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### Tax Lot Methods - Realised Capital Gains and Losses

FI = First In First Out

LI = Last In First Out

MI = Minimum Gain and Maximum Loss Method ("Min Tax")

MX = Maximum Gain and Minimum Loss Method ("Max Tax")

SP = Lot Specific Method where a specific parcel of shares already held has been allocated against a specific sale

Unless one of the above alternative methods is requested by your Morgans adviser, **First In First Out** is the default method used. Please refer to the Realised Capital Gains / Losses section of this report for details of the tax lot method used for a particular sale transaction.

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**DISCLAIMER**

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In producing this report, Morgans Financial has relied on information supplied by you the client in the first instance and other sources which we believe to be reliable. Where possible, Morgans Financial has attempted to verify the accuracy and completeness of the third party information supplied to us. Morgans Financial, its directors and employees do not accept any liability for any actions taken or not taken which are a result of inaccuracies or omissions in the report as a result of third party errors or omissions. Morgans Financial is not a licensed tax adviser and the information provided in this report is general information only and therefore we recommend that you seek your own tax advice before acting or relying on the information enclosed in this report.

As this is an Interim Tax Report, this information is indicative only and should not be relied upon until the final tax report for this financial year is produced.

You may also wish to refer to the ATO tax pack and the ATO guide to capital gains.

The information provided in this report is on the basis that you are an Australian resident for tax purposes and any trading is on capital account. The tax report only incorporates details of the investments which Morgans Financial administers within the Wealth+ managed portfolio service.

This report incorporates all transactions that were transacted through Wealth+ between 01 Jul 2020 and 18 Feb 2021 Australian Time.

END OF REPORT

E&OE

## NICOLAS, ARTHUR & GIULIANA RITA - AGN SUPER FUND A/C - 680741

Home: 07 3849 4947 Work: 07 3399 1776 Mobile: 0408 759 726 Adviser: Stuart Warren & Jon Ahlberg (168) From 01-May-2021 to 07-Sep-2021

Date	Sc	Reference	Description	Value	Balance
19-May-2021	JG	295993	JNL295993 DC 19.05.2021	\$-134,566.58	\$-134,566.58
20-Aug-2021	JG	302648	JNL302648 DC 20.08.2021 CHQ NO. 408885 AIA AUSTRALIA LIMITED	\$-3,616.14	\$-138,182.72
				<b>\$5,650.18 (F5) - \$2,034.04 (F25) = \$3,616.14</b>	

This information is designed for general purposes only and is provided without consideration to any specific client's investment objectives, financial situation or needs. You should speak with your Morgans adviser before making any investment decisions. Those acting upon such information without first consulting one of Morgans' investment advisers do so entirely at their own risk. While the report is based on information from sources which Morgans considers reliable, its accuracy and completeness cannot be guaranteed. This document has been prepared by Morgans Financial Limited in accordance with its Australian Financial Services Licence (AFSL no. 235410). The views expressed herein are solely the views of Morgans Financial Limited.

**B.K.I. Investment Company Limited**

BKI

SECURITY PRICE		Security: BKI	Price (¢):		162.0 as at 30/06/2021			SHARE REGISTRY: Advanced WA (08) 9389 8033		
DIVIDEND	Sec	Period	Record	Paid	Amount(¢)	Frank(%)	ImpnCr(¢)	LIC(%)	DRP(¢)	Notes
Interim	ORD	31/12/20	08/02/21	25/02/21	2.0000	100.00	0.86		151.0	Fully Franked at the 30% tax rate. DRP
Final	ORD	30/06/20	10/08/20	27/08/20	3.3200	100.00	1.42		142.0	Tax components known 9/20.
Interim	ORD	31/12/19	10/02/20	27/02/20	3.6250	100.00	1.55		168.0	Fully Franked at the 30% tax rate
Final	ORD	30/06/19	12/08/19	29/08/19	4.7000	100.00	2.01		161.0	Fully Franked at the 30% tax rate. Special. DRP.

**Amount received = \$0.02 \* 7,500 F17 = \$150 F5**

**Franking credits = \$150 \*3/7 = \$64.29**

**Amount in ledger = \$150 + \$64.29 = \$214.29**



15 July 2021



001660 038

AGN SUPERANNUATION FUND,  
16 SOUTHVIEW CRES  
CARINDALE QLD 4152  
AUSTRALIA

**Premium Receipt**

Period ending 30 June 2021

**Any questions? Call 1800 333 613**

Dear Policyholder,

**Policy Number:** 16927059  
**Life Insured:** NICOLAS, MRS GIULIANA  
**Policy Owner:** AGN SUPERANNUATION FUND,

We wish to advise that the following is the summary of the total premiums we received for covers under your policy for the financial year ending 30th June 2021:

Description	Base Premium	Stamp Duty	Total Premiums Received
LIFE COVER (SUPER)	\$2,003.24	\$0.00	\$2,003.24
Policy Fee			\$30.80
Total			\$2,034.04*

Please note that this is not a request for payment, but a confirmation of premiums received.

To determine whether premiums paid under your policy are tax deductible, you should speak with your tax adviser.

If you have any questions about this letter, please speak with your financial adviser or contact our Client Services Team on 1800 333 613 Monday to Friday, 8am-6pm AEST or email us at [au.customer@aia.com](mailto:au.customer@aia.com).

Yours sincerely,

Pina Sciarrone  
Chief Retail Insurance Officer

*\*Any transaction that occurs after the reporting period will be recorded on your next statement.*

Adviser: MORGANS: JONATHAN AHLBERG

Bank Balance	\$	134,566.58	
AN	\$	54,837.08	
GN	\$	83,345.64	
Total	\$	138,182.72	
CHECK	-\$	3,616.14	Being Insurance Refund

2021 tax was not included

<b>Members Statement</b>				
<b>AGN SUPERANNUATION FUND</b>				
<b>Mr Arthur Nicolas</b> 16 Southview Crescent CARINDALE QLD 4152		<b>Fund Reference No: AGNS0001/501</b>		
The trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2021 and for the period 1 July 2020 to 30 June 2021.				
<b>Your Details</b>		<b>Your Balance</b>		
Date of Birth	31-May-1960	<b>Total Benefits</b>		\$ 54,837.08
Tax File Number	Provided	<b>Comprising</b>		
Date Joined Fund	27-September-2016	Preserved		\$ 54,837.08
Service Period Start Date	01-June-1986	Restricted Non Preserved		
Date Left Fund		Unrestricted Non Preserved		
Member Mode	Accumulation	Including		
Account Description	Accumulation	Tax Free Component		\$ 22,426.50
Current Salary		Taxable Component		\$ 32,410.58
Vested Amount	\$ 54,837.08			
Insured Death Benefit				
Total Death Benefit	\$ 54,837.08			
Disability Benefit				
Nominated Beneficiaries				
<b>Your Detailed Account</b>				
	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2020	\$ 47,028.13			\$ 47,028.13
Add Increases to Members Account				
Share of Results of Operations	\$ 7,808.95			\$ 7,808.95
Less Decreases to Members Account				
Insurance Policy Premiums				
Members Account Balance at 30 June 2021	\$ 54,837.08			\$ 54,837.08

**Availability of Other Fund Information**

Other Information about the Fund is available at you request from the Trustee. If you would like any further information, please contact the Trustee.

**Trustee's Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. Every effort has been made by the Trustee to ensure the accuracy and completeness of this Statement. The Trustee does not accept any liability for any error, omission or misprint. All amounts shown in relation to benefits do not take into account any amounts which may be withheld to satisfy the requirements imposed by the Income Tax Assessment Act 1936.

Signed by all the trustees of the fund

  
Arthur Nicolas  
Trustee

  
Giuliana Rita Nicolas  
Trustee

**Members Statement**  
**AGN SUPERANNUATION FUND**

**Mr Arthur Nicolas**  
**16 Southview Crescent**  
**CARINDALE QLD 4152**

**Fund Reference No: AGNS0001/501**

The trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2021 and for the period 1 July 2020 to 30 June 2021.

<b>Your Details</b>		<b>Your Balance</b>	
Date of Birth	31-May-1960	<b>Total Benefits</b>	\$ 54,837.08
Tax File Number	Provided	Comprising	
Date Joined Fund	27-September-2016	Preserved	\$ 54,837.08
Service Period Start Date	01-June-1986	Restricted Non Preserved	
Date Left Fund		Unrestricted Non Preserved	
Member Mode	Accumulation	Including	
Account Description	Accumulation	Tax Free Component	\$ 22,426.50
Current Salary		Taxable Component	\$ 32,410.58
Vested Amount	\$ 54,837.08		
Insured Death Benefit			
Total Death Benefit	\$ 54,837.08		
Disability Benefit			
Nominated Beneficiaries			

<b>Your Detailed Account</b>	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2020	\$ 47,028.13			\$ 47,028.13
Add Increases to Members Account				
Share of Results of Operations	\$ 7,808.95			\$ 7,808.95
Less Decreases to Members Account				
Insurance Policy Premiums				
Members Account Balance at 30 June 2021	\$ 54,837.08			\$ 54,837.08

**Availability of Other Fund Information**

Other Information about the Fund is available at your request from the Trustee. If you would like any further information, please contact the Trustee.

**Trustee's Disclaimer**

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Signed by all the trustees of the fund

\_\_\_\_\_  
Arthur Nicolas  
Trustee

\_\_\_\_\_  
Giuliana Rita Nicolas  
Trustee

The trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2021 and for the period 1 July 2020 to 30 June 2021.

Your Details		Your Balance	
Date of Birth	23-March-1958	<b>Total Benefits</b>	\$ 83,345.64
Tax File Number	Provided	Comprising	
Date Joined Fund	27-September-2016	Preserved	\$ 83,345.64
Service Period Start Date	01-June-1986	Restricted Non Preserved	
Date Left Fund		Unrestricted Non Preserved	
Member Mode	Accumulation	Including	
Account Description	Accumulation	Tax Free Component	\$ 34,692.02
Current Salary		Taxable Component	\$ 48,653.62
Vested Amount	\$ 83,345.64		
Insured Death Benefit			
Total Death Benefit	\$ 83,345.64		
Disability Benefit			
Nominated Beneficiaries			

Your Detailed Account	Preserved	Restricted Non Preserved	Unrestricted Non Preserved	Total
Opening Balance at 1 July 2020	\$ 73,221.37			\$ 73,221.37
Add Increases to Members Account				
Share of Results of Operations	\$ 12,158.31			\$ 12,158.31
Less Decreases to Members Account				
Insurance Policy Premiums	\$ 2,034.04			\$ 2,034.04
Members Account Balance at 30 June 2021	\$ 83,345.64			\$ 83,345.64

**Availability of Other Fund Information**

Other Information about the Fund is available at you request from the Trustee. If you would like any further information, please contact the Trustee.

**Trustee's Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. Every effort has been made by the Trustee to ensure the accuracy and completeness of this Statement. The Trustee does not accept any liability for any error, omission or misprint. All amounts shown in relation to benefits do not take into account any amounts which may be withheld to satisfy the requirements imposed by the Income Tax Assessment Act 1936.

Signed by all the trustees of the fund




**Members Statement**  
**AGN SUPERANNUATION FUND**

**Mrs Giuliana Rita Nicolas**  
**16 Southview Crescent**  
**CARINDALE QLD 4152**

**Fund Reference No: AGNS0001/502**

The trustee of the above named fund wishes to advise you of the circumstances of your entitlement in the Fund at 30 June 2021 and for the period 1 July 2020 to 30 June 2021.

<b>Your Details</b>		<b>Your Balance</b>	
Date of Birth	23-March-1958	<b>Total Benefits</b>	\$ 83,345.64
Tax File Number	Provided	Comprising	
Date Joined Fund	27-September-2016	Preserved	\$ 83,345.64
Service Period Start Date	01-June-1986	Restricted Non Preserved	
Date Left Fund		Unrestricted Non Preserved	
Member Mode	Accumulation	Including	
Account Description	Accumulation	Tax Free Component	\$ 34,692.02
Current Salary		Taxable Component	\$ 48,653.62
Vested Amount	\$ 83,345.64		
Insured Death Benefit			
Total Death Benefit	\$ 83,345.64		
Disability Benefit			
Nominated Beneficiaries			

<b>Your Detailed Account</b>	<b>Preserved</b>	<b>Restricted Non Preserved</b>	<b>Unrestricted Non Preserved</b>	<b>Total</b>
Opening Balance at 1 July 2020	\$ 73,221.37			\$ 73,221.37
Add Increases to Members Account				
Share of Results of Operations	\$ 12,158.31			\$ 12,158.31
Less Decreases to Members Account				
Insurance Policy Premiums	\$ 2,034.04			\$ 2,034.04
Members Account Balance at 30 June 2021	\$ 83,345.64			\$ 83,345.64

**Availability of Other Fund Information**

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Signed by all the trustees of the fund

\_\_\_\_\_  
Arthur Nicolas  
Trustee

\_\_\_\_\_  
Giuliana Rita Nicolas  
Trustee

**UPDATE**

Page 1 of 1 - Transfers and roll-ins from other funds - 01 July 2021 to 07 June 2022

Date	Description	Amount
18 Oct 2021	Roll-in AGN Superannuation Fund	\$54,837.08

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[Contact us](#)  
Call [13 11 84](#)  
8.00am - 6.30pm (AEST) Monday to Friday  
ABN: 60 905 115 063  
[Contact us](#)

For transactions prior to 1 July last financial year, please refer to your member statement.

Transaction type

Transfers and roll-ins from other funds

Date range

Current financial year

**UPDATE**

Page 1 of 1 - Transfers and roll-ins from other funds - 01 July 2021 to 07 June 2022

Date	Description	Amount
18 Oct 2021	Roll-in AGN Superannuation Fund	\$83,345.64

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Call 13 11 84



**Australian Government**  
**Australian Taxation Office**

**Agent** THE MATADOR GROUP  
**Client** THE TRUSTEE FOR AGN  
SUPERANNUATION FUND  
**ABN** 33 477 560 837

## Income tax 002

<b>Date generated</b>	20/04/2022
<b>Overdue</b>	\$0.00
<b>Not yet due</b>	\$0.00
<b>Balance</b>	\$0.00

## Transactions

4 results found - from **20 April 2020** to **20 April 2022** sorted by **processed date** ordered **newest to oldest**

Processed date	Effective date	Description	Debit (DR)	Credit (CR)	Balance
27 Apr 2021	30 Apr 2021	EFT refund for Income Tax for the period from 01 Jul 19 to 30 Jun 20	\$2,504.79		\$0.00
25 Apr 2021	25 Apr 2021	Tax return Self Man Superfund - Income Tax for the period from 01 Jul 19 to 30 Jun 20		\$2,504.79	\$2,504.79 CR
21 Jul 2020	24 Jul 2020	EFT refund for Income Tax for the period from 01 Jul 18 to 30 Jun 19	\$1,556.90		\$0.00
21 Jul 2020	30 Jun 2020	Tax return Self Man Superfund - Income Tax for the period from 01 Jul 18 to 30 Jun 19		\$1,556.90	\$1,556.90 CR



**Australian Government**  
**Australian Taxation Office**

**Agent** THE MATADOR GROUP  
**Client** THE TRUSTEE FOR AGN  
SUPERANNUATION FUND  
**ABN** 33 477 560 837

## Activity statement 004

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<b>Date generated</b>	20/04/2022
<b>Overdue</b>	\$0.00
<b>Not yet due</b>	\$0.00
<b>Balance</b>	\$0.00

## Transactions

---

0 results found - from **20 April 2020** to **20 April 2022** sorted by **processed date** ordered **newest to oldest**

## MAGELLAN GLOBAL TRUST

delisted from the close of trading on Wednesday, 9 December 2020, pursuant to Listing rule 17.11.	09/12/2020
we understand that under the MGG Trust Scheme approved by unitholders on 25 November 2020, eligible MGG unitholders who were registered as holders of an MGG unit at 7.00pm on 1 December 2020 received one (1) Magellan Global Fund Closed Class Unit for each MGG unit held	09/12/2020
MGG Trust Scheme approved by unitholders on 25 November 2020 has been implemented. Eligible MGG unitholders who were registered as holders of an MGG unit at 7.00pm on 1 December 2020 received one (1) Magellan Global Fund Closed Class Unit for each MGG unit held on the MGG Trust Scheme Record Date. Closed Class Units are expected to begin trading on a normal settlement basis on the ASX on 9 December 2020 under the ticker MGF.	08/12/2020
NAV per unit of Magellan Global Trust as at Friday, 4 December 2020 was \$1.8229.	07/12/2020
NAV per unit of Magellan Global Trust as at Monday, 30 November 2020 was \$1.8217.	02/12/2020
The NAV per unit of Magellan Global Trust as at Friday, 27 November 2020 was \$1.8297.	01/12/2020
The company releases a final share buy-back notice.	30/11/2020
The MGG Trust scheme exchange ratio is one. Eligible MGG unitholders who are registered as holders of an MGG unit at 7.00pm on 1 December 2020 will receive one Magellan Global Fund Closed Class Unit for each MGG unit held on the MGG Trust Scheme Record Date.	30/11/2020
The company releases its Quarterly Portfolio Disclosure - 30 September 2020.	30/11/2020
The securities of Magellan Global Trust will be suspended from quotation at the close of trading today, Friday, 27 November 2020, in accordance with Listing Rule 17.2, following lodgement of the Supreme Court of New South Wales orders with the Australian Securities and Investments Commission approving the scheme of arrangement by which Magellan Global Fund will acquire all of the issued units in MGG.	27/11/2020
listed entity carried for record purposes only	18/10/2017

*Your browser may reflect a date of printing in American format.*



Announcement Summary

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**Entity name**

VIVA ENERGY GROUP LIMITED

**Applicable security for the return of capital**

VEA - ORDINARY FULLY PAID

**Announcement Type**

New announcement

**Date of this announcement**

Monday August 17, 2020

**Return of Capital amount per security**

AUD 0.21460000

**0.2146 \* 6,000 units (D2) = \$1,287.6 (F3/ F9)**

**Trading in the re-organised +securities on an "ex return of capital" basis commences**

Monday October 5, 2020

**Record Date**

Tuesday October 6, 2020

**Payment Date**

Tuesday October 13, 2020

**Refer to below for full details of the announcement**

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## Announcement Details

## Part 1 - Entity and announcement details

**1.1 Name of +Entity**

VIVA ENERGY GROUP LIMITED

**1.2 Registered Number Type**

ACN

**Registration Number**

626661032

**1.3 ASX issuer code**

VEA

**1.4 The announcement is** New announcement**1.5 Date of this announcement**

Monday August 17, 2020

**1.6 ASX +Security Code**

VEA

**ASX +Security Description**

ORDINARY FULLY PAID

## Part 2 - Cash return of capital approval requirements and dates

**2.1 Are any of the below approvals required for the cash return of capital before business day 0 of the timetable?**

- Security holder approval
- Court approval
- Lodgement of court order with +ASIC
- ACCC approval
- FIRB approval
- Another approval/condition external to the entity required to be given/met before business day 0 of the timetable for the cash return of capital.

 Yes**2.1a Approvals****Approval/Condition**

+Security holder approval

**Date for determination**Wednesday September 30,  
2020**Is the date estimated or actual?** Actual**\*\*Approval****received/condition met?**

**Comments****2.2 Is the cash return of capital a selective reduction of capital** No**Part 3 - Cash return of capital timetable and details**

---

**3.1 +Record date**

Tuesday October 6, 2020

**3.1a Effective date of the cash return of capital**

Thursday October 1, 2020

**3.2 Does the +entity have quoted options on issue?** No**3.2a Last day for trading in "cum return of capital" +securities. If the entity has quoted options, last day for trading in pre-return of capital quoted options****3.3 Trading in the re-organised +securities on an "ex return of capital" basis commences. If the entity has quoted options and ASX agrees, trading in the quoted options commences on a +deferred settlement basis.**

Monday October 5, 2020

**3.4 +Record Date**

Tuesday October 6, 2020

**3.4a If the entity has quoted options, first day for the +entity to send holding statements to +security holders notifying them of the change in exercise price for the quoted options they hold.****3.5 Payment date for cash return of capital. If applicable and the +entity has quoted options, +deferred settlement market in options ends. Last day for entity to send holding statements to +security holders notifying them of the change in exercise price for the quoted options they hold and to notify ASX that this has occurred.**

Tuesday October 13, 2020

**3.5a If the +entity has quoted options, trading in the options starts on a normal T+2 basis****3.5b If the +entity has quoted options, first settlement of trades conducted on a +deferred settlement basis and on a normal T+2 basis****3.6 Currency in which the cash return of capital is made ("primary currency")**

AUD - Australian Dollar

**3.7 Cash return of capital amount per +security**

AUD 0.21460000

**Part 4 - Changes to option pricing as a result of the cash return of capital**

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**4.1 Will the cash return of capital affect the exercise price of any +entity-issued options?** No**Part 5 - Further information**

---

**5.1 Has the +entity applied for an ATO class ruling relating to this cash return of capital?** Yes**5.1a Please provide further information on the ATO ruling**

A Class Ruling request has been submitted by the Company to the ATO requesting confirmation of the Australian income tax implications for shareholders who hold their shares on capital account for tax purposes. The Class Ruling is expected to confirm that:

- no part of the proposed capital reduction will be treated as a dividend for income tax purposes;
- instead, the cost base for each share will be reduced by the amount of the proposed capital reduction for the purposes of calculating any capital gain or loss on the ultimate disposal of that share; and
- no Australian tax event should arise for shareholders as a consequence of the proposed share consolidation.

**5.2 Source of funds for cash return of capital**

The net proceeds of sale arising from completion of the sell down in Waypoint REIT (formerly Viva Energy REIT) in February 2020.

**5.3 Further information relating to this cash return of capital**

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**5.4 Additional information for inclusion in the Announcement Summary**

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12 October 2020

The Manager  
Market Announcements Office  
Australian Securities Exchange

Electronic lodgment

### Completion of Share Consolidation and Change of Director's Interest Notices

Viva Energy Group Limited (the **Company**) confirms that the share consolidation as approved by shareholders at the General Meeting of the Company on 30 September 2020 has been implemented. The Company's shares will continue to trade on a deferred settlement basis for the remainder of this week (ASX Code: VEADA). Trading on a normal T+2 basis will commence on Monday, 19 October 2020 (ASX Code: VEA).

Following completion of the consolidation, the Company has 1,624,887,508 ordinary shares on issue. There has been no change to the number of performance rights, share rights and options on issue under the Company's incentive plans.

The change to the holdings of each director as a result of the consolidation is advised in the attached Change of Director's Interest Notices.

**Authorised for release by:** the Company Secretary

A handwritten signature in black ink, appearing to read "Julia Kagan".

Julia Kagan  
**Company Secretary**

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# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Viva Energy Group Limited</b>
<b>ABN</b>	<b>626 661 032</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Scott Wyatt
<b>Date of last notice</b>	16 July 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Not applicable
<b>Date of change</b>	12 October 2020
<b>No. of securities held prior to change</b>	10,918,920 ordinary shares  Performance Rights: a) 480,000 (2018 LTIP) b) 541,198 (2019 LTIP) c) 556,121 (2020 LTIP)
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	Not applicable
<b>Number disposed</b>	Not applicable

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<b>Value/Consideration</b>  Note: If consideration is non-cash, provide details and estimated valuation	Not applicable
<b>No. of securities held after change</b>	9,171,893 ordinary shares  Performance Rights: a) 480,000 (2018 LTIP) b) 541,198 (2019 LTIP) c) 556,121 (2020 LTIP)
<b>Nature of change</b>  Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Each share held by the director has been consolidated into 0.84 shares (with fractions rounded up to the next whole number of shares) in accordance with the share consolidation approved by shareholders at the General Meeting of the Company held on 30 September 2020.

## Part 2 – Change of director’s interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Not applicable
<b>Name of registered holder (if issued securities)</b>	Not applicable
<b>Date of change</b>	Not applicable
<b>No. and class of securities to which interest related prior to change</b>  Note: Details are only required for a contract in relation to which the interest has changed	Not applicable
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	Not applicable
<b>Value/Consideration</b>  Note: If consideration is non-cash, provide details and an estimated valuation	Not applicable
<b>Interest after change</b>	Not applicable

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	Not applicable
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	Not applicable
<b>If prior written clearance was provided, on what date was this provided?</b>	Not applicable

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Viva Energy Group Limited
<b>ABN</b>	626 661 032

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Robert Hill
<b>Date of last notice</b>	17 April 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Not applicable
<b>Date of change</b>	12 October 2020
<b>No. of securities held prior to change</b>	80,000 ordinary shares
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	Not applicable
<b>Number disposed</b>	Not applicable
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	Not applicable
<b>No. of securities held after change</b>	67,200 ordinary shares

<p><b>Nature of change</b></p> <p>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<p>Each share held by the director has been consolidated into 0.84 shares (with fractions rounded up to the next whole number of shares) in accordance with the share consolidation approved by shareholders at the General Meeting of the Company held on 30 September 2020.</p>
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## Part 2 – Change of director’s interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Not applicable
<b>Name of registered holder (if issued securities)</b>	Not applicable
<b>Date of change</b>	Not applicable
<p><b>No. and class of securities to which interest related prior to change</b></p> <p>Note: Details are only required for a contract in relation to which the interest has changed</p>	Not applicable
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	Not applicable
<p><b>Value/Consideration</b></p> <p>Note: If consideration is non-cash, provide details and an estimated valuation</p>	Not applicable
<b>Interest after change</b>	Not applicable

## Part 3 – +Closed period

<p><b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b></p>	Not applicable
<p><b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b></p>	Not applicable
<p><b>If prior written clearance was provided, on what date was this provided?</b></p>	Not applicable

# Appendix 3Y

## Change of Director's Interest Notice

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Viva Energy Group Limited</b>
<b>ABN</b>	<b>626 661 032</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Jane McAloon
<b>Date of last notice</b>	15 April 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	JMAC International Pty Ltd as trustee for JMAC International Trust (of which Jane McAloon is a beneficiary)
<b>Date of change</b>	12 October 2020
<b>No. of securities held prior to change</b>	84,322 ordinary shares held by JMAC International Pty Ltd as trustee for JMAC International Trust
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	Not applicable
<b>Number disposed</b>	Not applicable
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	Not applicable

<b>No. of securities held after change</b>	70,831 ordinary shares held by JMAC International Pty Ltd as trustee for JMAC International Trust
<b>Nature of change</b> <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Each share held by the director has been consolidated into 0.84 shares (with fractions rounded up to the next whole number of shares) in accordance with the share consolidation approved by shareholders at the General Meeting of the Company held on 30 September 2020.

### Part 2 – Change of director’s interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Not applicable
<b>Name of registered holder (if issued securities)</b>	Not applicable
<b>Date of change</b>	Not applicable
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	Not applicable
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	Not applicable
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	Not applicable
<b>Interest after change</b>	Not applicable

### Part 3 – +Closed period

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	Not applicable
--	----------------

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<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	Not applicable
<b>If prior written clearance was provided, on what date was this provided?</b>	Not applicable

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# Appendix 3Y

## Change of Director's Interest Notice

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Viva Energy Group Limited</b>
<b>ABN</b>	<b>626 661 032</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Arnoud De Meyer
<b>Date of last notice</b>	6 March 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Not applicable
<b>Date of change</b>	12 October 2020
<b>No. of securities held prior to change</b>	124,400 ordinary shares
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	Not applicable
<b>Number disposed</b>	Not applicable
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	Not applicable
<b>No. of securities held after change</b>	104,496 ordinary shares

<p><b>Nature of change</b></p> <p>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<p>Each share held by the director has been consolidated into 0.84 shares (with fractions rounded up to the next whole number of shares) in accordance with the share consolidation approved by shareholders at the General Meeting of the Company held on 30 September 2020.</p>
--	---

## Part 2 – Change of director’s interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Not applicable
<b>Name of registered holder (if issued securities)</b>	Not applicable
<b>Date of change</b>	Not applicable
<p><b>No. and class of securities to which interest related prior to change</b></p> <p>Note: Details are only required for a contract in relation to which the interest has changed</p>	Not applicable
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	Not applicable
<p><b>Value/Consideration</b></p> <p>Note: If consideration is non-cash, provide details and an estimated valuation</p>	Not applicable
<b>Interest after change</b>	Not applicable

## Part 3 – +Closed period

<p><b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b></p>	Not applicable
<p><b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b></p>	Not applicable
<p><b>If prior written clearance was provided, on what date was this provided?</b></p>	Not applicable

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Viva Energy Group Limited</b>
<b>ABN</b>	<b>626 661 032</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Sarah Ryan
<b>Date of last notice</b>	11 March 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Avoch Holdings Pty Ltd as trustee for Cedar Creek Investment Trust (a discretionary trust of which Sarah Ryan is a potential beneficiary)
<b>Date of change</b>	12 October 2020
<b>No. of securities held prior to change</b>	83,291 ordinary shares held as follows: <ul style="list-style-type: none"> <li>• Direct interest: 28,291 ordinary shares;</li> <li>• Indirect interest: 55,000 ordinary shares held by Avoch Holdings Pty Ltd as trustee for Cedar Creek Investment Trust (a discretionary trust of which Sarah Ryan is a potential beneficiary).</li> </ul>
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	Not applicable
<b>Number disposed</b>	Not applicable

<b>Value/Consideration</b>  Note: If consideration is non-cash, provide details and estimated valuation	Not applicable
<b>No. of securities held after change</b>	69,965 ordinary shares held as follows: <ul style="list-style-type: none"> <li>• Direct interest: 23,765 ordinary shares;</li> <li>• Indirect interest: 46,200 ordinary shares held by Avoch Holdings Pty Ltd as trustee for Cedar Creek Investment Trust (a discretionary trust of which Sarah Ryan is a potential beneficiary).</li> </ul>
<b>Nature of change</b>  Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Each share held by the director has been consolidated into 0.84 shares (with fractions rounded up to the next whole number of shares) in accordance with the share consolidation approved by shareholders at the General Meeting of the Company held on 30 September 2020.

## Part 2 – Change of director’s interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Not applicable
<b>Name of registered holder (if issued securities)</b>	Not applicable
<b>Date of change</b>	Not applicable
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	Not applicable
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	Not applicable
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	Not applicable
<b>Interest after change</b>	Not applicable

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	Not applicable
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	Not applicable
<b>If prior written clearance was provided, on what date was this provided?</b>	Not applicable

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## Changing jobs or rolling in

Important details you may need:

**Fund name:**

Sunsuper  
(now known as Australian Retirement Trust)

**Phone:**

13 11 84

**Fund address:**

GPO Box 2924  
Brisbane QLD 4001

[Letter of Compliance \[PDF\]](#)

**ABN:**

98 503 137 921

**USI (USI replaces SPIN):**

98 503 137 921 001

**Your member number:**

If you don't know it, you can [find it using our tool](#).

### I'm starting a new job.

The choice is yours to decide where your super goes. However, the government has now introduced a set of new rules on super where you will keep your existing super fund when you change jobs – that is your super account will be ‘stapled’ to you, unless you choose elsewhere. But if you’re starting your first job and you have never had a super account before you will need to choose one, or your employer will create an account for you to a default fund they have chosen.

Remember not all superannuation funds are the same, so it’s important for you to take charge of your super and choose the right fund. That's why we encourage you to compare Australian Retirement Trust to other funds and make your choice. Australian Retirement Trust can help grow your retirement savings through strong long-term investment performance, lower fees and member benefits designed to help you grow your super for the future.

[Choose where your super goes \[PDF\]](#).

### Let your employer know you'd like them to pay your super into your Australian Retirement Trust account

First name

Surname

Member number

Payroll / Employee number

Email address

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OR

## Already started the ATO's Superannuation (super) standard choice form?

Some employers may also ask for a 'Letter of Compliance' or 'Complying Fund Statement'. This is to confirm Australian Retirement Trust is a complying fund and an authorised MySuper product.

['LETTER OF COMPLIANCE' OR 'COMPLYING FUND STATEMENT' \[PDF\]](#)

OR

## Just need a blank form?

If your employer doesn't give you a standard choice form, you can download and complete the Selecting Australian Retirement Trust form instead. Both forms serve the same purpose - to let your employer know where you'd like your super paid.

[DOWNLOAD THE SELECTING AUSTRALIAN RETIREMENT TRUST FORM \[PDF\]](#)

## Important numbers

- Fund ABN: 98 503 137 921
- Fund name: Sunsuper (now known as Australian Retirement Trust)
- Fund address: GPO Box 2924, Brisbane QLD 4001
- Fund phone: [13 11 84](tel:131184)
- Unique Superannuation Identifier (USI): 98 503 137 921 001
- Your member number: If you don't know it, you can find it, using our [online tool](#).

## We're here to help

We all have different needs at different stages, so we offer a range of advice options to help you along the way. It's worth a little involvement early on to make sure you're on track for the future. Getting the right financial

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Give Feedback

# Letter of Compliance

13 11 84 [australianretirementtrust.com.au](http://australianretirementtrust.com.au)

Issued | 28 March 2022

## Complying fund statement

Australian Retirement Trust (formerly known as QSuper before merging with Sunsuper) is a complying resident regulated superannuation fund within the definition of the Superannuation Industry (Supervision) Act 1993.

This statement is issued for the purposes of:

- Sections 25 & 32C of the Superannuation Guarantee (Administration) Act 1992
- Section 136AB of the Fringe Benefit Tax Assessment Act 1986, and
- Section 290-75 of the Income Tax Assessment Act 1997

## Contribution acceptance

Australian Retirement Trust accepts all contribution types.

The Australian Prudential Regulation Authority (APRA) has not issued a notice of non-compliance or advised Australian Retirement Trust Pty Ltd (ABN: 88 010 720 840 AFSL: 228975) as trustee for Australian Retirement Trust **ABN 60 905 115 063** to not accept employer contributions, rollovers, or other Australian superannuation transfers.

Australian Retirement Trust holds MySuper authorisations from APRA.

## Making contributions

To make employer contributions to Australian Retirement Trust (including QSuper) through your usual superannuation clearing house, you will need to confirm the correct Unique Superannuation Identifier (USI) with your employee.

The correct USI will depend on the type of account your employee holds. This information is available to members on Member Online or by visiting [australianretirementtrust.com.au/usi](http://australianretirementtrust.com.au/usi) or [qsuper.qld.gov.au/usi](http://qsuper.qld.gov.au/usi)

## Employer payment details

Sunsuper and QSuper members	Australian Retirement Trust members
<b>Prior to</b> 28 February 2022	<b>From</b> 28 February 2022
<b>Fund Name:</b> Sunsuper Superannuation Fund <b>Fund ABN:</b> 98 503 137 921  <b>Employer payment details</b> <b>Sunsuper Superannuation Fund</b> <b>ABN:</b> 98 503 137 921 <b>USI:</b> 98 503 137 921 001	<b>Fund Name:</b> Australian Retirement Trust <b>Fund ABN:</b> 60 905 115 063  <b>Employer Payment Details*</b> <b>Australian Retirement Trust (previously Sunsuper)</b> <b>ABN:</b> 98 503 137 921 <b>USI:</b> 98 503 137 921 001
<b>Fund Name:</b> QSuper <b>Fund ABN:</b> 60 905 115 063  <b>Employer Payment Details</b> <b>QSuper</b> <b>ABN:</b> 60 905 115 063 <b>USI:</b> 60 905 115 063 001	<b>Fund Name:</b> Australian Retirement Trust <b>Fund ABN:</b> 60 905 115 063  <b>Employer Payment Details</b> <b>QSuper</b> <b>ABN:</b> 60 905 115 063 <b>USI:</b> 60 905 115 063 001

\*These payment details are temporary until 1 July 2022 when new payment details will be available.

If you have any questions contact us online at [australianretirementtrust.com.au](http://australianretirementtrust.com.au) or call us on **13 11 84** between 8.00am and 6.30pm, AEST, Monday to Friday.

