

Self-managed superannuation fund annual return 2020

Who should complete this annual return?

Only self-managed superannuation funds (SMSFs) can complete this annual return. All other funds must complete the *Fund income tax return 2020* (NAT 71287).

- 1 The *Self-managed superannuation fund annual return instructions 2020* (NAT 71606) (the instructions) can assist you to complete this annual return.
- 2 The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via ABR.gov.au or complete the Change of details for superannuation entities form (NAT 3036).

To complete this annual return

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M I T H S T

- Place ☒ in ALL applicable boxes.

- 2 Postal address for annual returns:

Australian Taxation Office
GPO Box 9845
[insert the name and postcode
of your capital city]

Section A: Fund information

1 Tax file number (TFN) 99971451

To assist processing, write the fund's TFN at the top of pages 3, 5, 7 and 9.

- 1 The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the Declaration.

2 Name of self-managed superannuation fund (SMSF)

Hancock Superannuation Fund

3 Australian business number (ABN) (if applicable) 22250429314

4 Current postal address

C/- RBW Accountants

GPO BOX 7089

Suburb/town

SYDNEY

State/territory

NSW

Postcode

2001

5 Annual return status

Is this an amendment to the SMSF's 2020 return?

A No ☒ Yes ☐

Is this the first required return for a newly registered SMSF?

B No ☒ Yes ☐

X Hancock

LODGED
30/6/21

Fund's tax file number (TFN) 99971451

6 SMSF auditor

Auditor's name

Title: MRS

Family name

Bolton

First given name

Bernice

Other given names

SMSF Auditor Number

100073498

Auditor's phone number

02

92625841

Postal address

Suite 501

83 York Street

Suburb/town

Sydney

State/territory

NSW

Postcode

2000

Date audit was completed

A 18/06/2021

Was Part A of the audit report qualified?

B No

☒

Yes

☐

Was Part B of the audit report qualified?

C No

☒

Yes

☐

If Part B of the audit report was qualified, have the reported issues been rectified?

D No

☐

Yes

☐**7 Electronic funds transfer (EFT)**

We need your self-managed super fund's financial institution details to pay any super payments and tax refunds owing to you.

A Fund's financial institution account details

This account is used for super contributions and rollovers. Do not provide a tax agent account here.

Fund BSB number

182512

Fund account number

960681849

Fund account name

HANCOCK SUPERANNUATION FUND

I would like my tax refunds made to this account. ☒ Go to C.**B Financial institution account details for tax refunds**

This account is used for tax refunds. You can provide a tax agent account here.

BSB number

Account number

Account name

C Electronic service address alias

Provide the electronic service address alias (ESA) issued by your SMSF messaging provider. (For example, SMSFdataESAAlias). See instructions for more information.

smsfdataflow



Fund's tax file number (TFN) 99971451

8 Status of SMSF

Australian superannuation fund

A No ☐ Yes ☒Fund benefit structure **B** **A** Code

Does the fund trust deed allow acceptance of the Government's Super Co-contribution and Low Income Super Amounts?

C No ☐ Yes ☒**9 Was the fund wound up during the income year?**No ☒ Yes ☐


If yes, provide the date on which the fund was wound up

Day Month Year

Have all tax lodgment and payment obligations been met?

No ☐ Yes ☐**10 Exempt current pension income**

Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

 To claim a tax exemption for current pension income, you must pay at least the minimum benefit payment under the law. Record exempt current pension income at Label A.


No ☐ Go to Section B: Income.Yes ☒ Exempt current pension income amount **A** \$ 71940.00

Which method did you use to calculate your exempt current pension income?

Segregated assets method **B** ☒Unsegregated assets method **C** ☐ Was an actuarial certificate obtained? **D** Yes ☐

Did the fund have any other income that was assessable?

E Yes ☐ Go to Section B: Income.No ☒ Choosing 'No' means that you do not have any assessable income, including no-TFN quoted contributions. Go to Section C: Deductions and non-deductible expenses. (Do not complete Section B: Income.)

 If you are entitled to claim any tax offsets, you can list these at Section D: Income tax calculation statement.

X 

Fund's tax file number (TFN) 99971451

Section B: Income

Do not complete this section if all superannuation interests in the SMSF were supporting superannuation income streams in the retirement phase for the **entire year**, there was **no** other income that was assessable, and you **have not** realised a deferred notional gain. If you are entitled to claim any tax offsets, you can record these at Section D: Income tax calculation statement.

11 Income

Did you have a capital gains tax (CGT) event during the year?

G No ☐ Yes ☐

If the total capital loss or total capital gain is greater than \$10,000 or you elected to use the transitional CGT relief in 2017 and the deferred notional gain has been realised, complete and attach a *Capital gains tax (CGT) schedule 2020*.

Have you applied an exemption or rollover?

M No ☐ Yes ☐ Code ☐

Net capital gain A \$ -00

Gross rent and other leasing and hiring income B \$ -00

Gross interest C \$ -00

Forestry managed investment scheme income X \$ -00

Gross foreign income D1 \$ -00 Net foreign income D \$ -00 Loss ☐

Australian franking credits from a New Zealand company E \$ -00

Transfers from foreign funds F \$ -00 Number

Gross payments where ABN not quoted H \$ -00

Gross distribution from partnerships I \$ -00 Loss ☐

*Unfranked dividend amount J \$ -00

*Franked dividend amount K \$ -00

*Dividend franking credit L \$ -00

*Gross trust distributions M \$ -00 Code ☐

Calculation of assessable contributions

Assessable employer contributions

R1 \$ -00

plus Assessable personal contributions

R2 \$ -00

plus **No-TFN-quoted contributions

R3 \$ 0-00

(an amount must be included even if it is zero)

less Transfer of liability to life insurance company or PST

R6 \$ -00

Assessable contributions
(R1 plus R2
plus R3 less R6)

R \$ -00

Calculation of non-arm's length income

*Net non-arm's length private company dividends

U1 \$ -00

plus *Net non-arm's length trust distributions

U2 \$ -00

plus *Net other non-arm's length income

U3 \$ -00

*Other income S \$ -00 Code ☐

*Assessable income due to changed tax status of fund T \$ -00

Net non-arm's length income
(subject to 45% tax rate)
(U1 plus U2 plus U3) U \$ -00

*This is a mandatory label.

*If an amount is entered at this label, check the instructions to ensure the correct tax treatment has been applied.

GROSS INCOME
(Sum of labels A to U) W \$ -00 Loss ☐

Exempt current pension income Y \$ -00

TOTAL ASSESSABLE INCOME (W less Y) V \$ -00 Loss ☐

W. H. Smith

Fund's tax file number (TFN) 99971451

Section C: Deductions and non-deductible expenses**12 Deductions and non-deductible expenses**

- Under 'Deductions' list all expenses and allowances you are entitled to claim a deduction for. Under 'Non-deductible expenses', list all other expenses or normally allowable deductions that you cannot claim as a deduction (for example, all expenses related to exempt current pension income should be recorded in the 'Non-deductible expenses' column).

DEDUCTIONS		NON-DEDUCTIBLE EXPENSES	
Interest expenses within Australia	A1 \$ 0-00	A2 \$ 0-00	
Interest expenses overseas	B1 \$ 0-00	B2 \$ 0-00	
Capital works expenditure	D1 \$ 0-00	D2 \$ 0-00	
Decline in value of depreciating assets	E1 \$ 0-00	E2 \$ 0-00	
Insurance premiums – members	F1 \$ 0-00	F2 \$ 0-00	
SMSF auditor fee	H1 \$ 0-00	H2 \$ 2400-00	
Investment expenses	I1 \$ 0-00	I2 \$ 0-00	
Management and administration expenses	J1 \$ 0-00	J2 \$ 12340-00	
Forestry managed investment scheme expense	U1 \$ 0-00	U2 \$ 0-00	
Other amounts	L1 \$ 0-00	L2 \$ 518-00	
Tax losses deducted	M1 \$ 0-00		

TOTAL DEDUCTIONS

N \$ 0-00

(Total A1 to M1)

TOTAL NON-DEDUCTIBLE EXPENSES

Y \$ 15258-00

(Total A2 to L2)

***TAXABLE INCOME OR LOSS**

O \$ 0-00

Loss

(TOTAL ASSESSABLE INCOME less
TOTAL DEDUCTIONS)**TOTAL SMSF EXPENSES**

Z \$ 15258-00

(N plus Y)

*This is a mandatory label.

X *[Signature]*

Fund's tax file number (TFN) 99971451

Section D: Income tax calculation statement***Important:**

Section B label R3, Section C label O and Section D labels A, T1, J, T5 and I are mandatory. If you leave these labels blank, you will have specified a zero amount.

13 Calculation statement

Please refer to the
Self-managed superannuation
fund annual return instructions
2020 on how to complete the
calculation statement.

*Taxable income **A** \$ 0-00

(an amount must be included even if it is zero)

*Tax on taxable income **T1** \$ 0

(an amount must be included even if it is zero)

*Tax on no-TFN-quoted contributions **J** \$ 0

(an amount must be included even if it is zero)

Gross tax **B** \$ 0

(T1 plus J)

Foreign income tax offset

C1 \$ 0

Rebates and tax offsets

C2 \$

Non-refundable non-carry forward tax offsets

C \$ 0

(C1 plus C2)

SUBTOTAL 1

T2 \$ 0

(B less C – cannot be less than zero)

Early stage venture capital limited
partnership tax offset**D1** \$ 0Early stage venture capital limited partnership
tax offset carried forward from previous year**D2** \$ 0

Early stage investor tax offset

D3 \$ 0Early stage investor tax offset
carried forward from previous year**D4** \$ 0

Non-refundable carry forward tax offsets

D \$ 0

(D1 plus D2 plus D3 plus D4)

SUBTOTAL 2

T3 \$ 0

(T2 less D – cannot be less than zero)

Complying fund's franking credits tax offset

E1 \$ 20845.56

No-TFN tax offset

E2 \$

National rental affordability scheme tax offset

E3 \$

Exploration credit tax offset

E4 \$

Refundable tax offsets

E \$ 20845.56

(E1 plus E2 plus E3 plus E4)

***TAX PAYABLE T5** \$ 0

(T3 less E – cannot be less than zero)

Section 102AAM interest charge

G \$ 0

Fund's tax file number (TFN) 99971451

Credit for interest on early payments –
amount of interest

H1 \$

Credit for tax withheld – foreign resident
withholding (excluding capital gains)

H2 \$ 0

Credit for tax withheld – where ABN
or TFN not quoted (non-individual)

H3 \$ 0

Credit for TFN amounts withheld from
payments from closely held trusts

H5 \$

Credit for interest on no-TFN tax offset

H6 \$

Credit for foreign resident capital gains
withholding amounts

H8 \$

Eligible credits

H \$ 0

(H1 plus H2 plus H3 plus H5 plus H6 plus H8)

*Tax offset refunds

(Remainder of refundable tax offsets)

I \$ 20845.56

(unused amount from label E –
an amount must be included even if it is zero)

PAYG instalments raised

K \$ 0

Supervisory levy

L \$ 259

Supervisory levy adjustment for wound up funds

M \$

Supervisory levy adjustment for new funds

N \$

AMOUNT DUE OR REFUNDABLE

A positive amount at S is what you owe,
while a negative amount is refundable to you.

S \$ -20586.56

(T5 plus G less H less I less K plus L less M plus N)

#This is a mandatory label.

Section E: Losses

14 Losses

If total loss is greater than \$100,000,
complete and attach a Losses
schedule 2020.Tax losses carried forward
to later income years

U \$ 0.00

Net capital losses carried
forward to later income years

V \$ 66458.00

X

Sensitive (when completed)

Fund's tax file number (TFN) 99971451

Section F: Member information

MEMBER 1

Title: MR

Family name

Hancock

First given name

Thomas

Other given names

Neil

Member's TFN

See the Privacy note in the Declaration.

128617682

Date of birth

Day Month Year

27/04/1939

Contributions

OPENING ACCOUNT BALANCE \$ 1135803.50

Refer to instructions for completing these labels.

Employer contributions

A \$ 0

ABN of principal employer

A1

Personal contributions

B \$ 0

CGT small business retirement exemption

C \$ 0

CGT small business 15-year exemption amount

D \$ 0

Personal injury election

E \$ 0

Spouse and child contributions

F \$ 0

Other third party contributions

G \$ 0

Proceeds from primary residence disposal

H \$ 0

Receipt date

Day Month Year

H1

Assessable foreign superannuation fund amount

I \$ 0

Non-assessable foreign superannuation fund amount

J \$ 0

Transfer from reserve: assessable amount

K \$ 0

Transfer from reserve: non-assessable amount

L \$ 0

Contributions from non-complying funds
and previously non-complying funds

T \$ 0

Any other contributions
(including Super Co-contributions and
Low Income Super Amounts)

M \$ 0

TOTAL CONTRIBUTIONS N \$ 0

(Sum of labels A to M)

Other transactions

Allocated earnings
or losses

O \$ 48613.11

Loss

L

Accumulation phase account balance

S1 \$ 0

Retirement phase account balance
- Non CDBIS

S2 \$ 973164.89

Retirement phase account balance
- CDBIS

S3 \$ 0

Inward
rollovers and
transfers

P \$ 0

Outward
rollovers and
transfers

Q \$ 0

Lump Sum
payments

R1 \$

Income
stream
payments

R2 \$ 114025.50

Code

□

Code

M

0 TRIS Count

CLOSING ACCOUNT BALANCE S \$ 973164.89

(S1 plus S2 plus S3)

Accumulation phase value X1 \$

Retirement phase value X2 \$

Outstanding limited recourse
borrowing arrangement amount Y \$


Fund's tax file number (TFN) 99971451

MEMBER 2

Title: MRS

Family name

Lee

First given name

Mei

Other given names

Yun

Member's TFN

See the Privacy note in the Declaration.

895127374

Date of birth

Day Month Year
07/11/1958

Contributions

OPENING ACCOUNT BALANCE \$ 106948.02

Refer to instructions for completing these labels.

Employer contributions

A \$ 0

ABN of principal employer

A1

Personal contributions

B \$ 0

CGT small business retirement exemption

C \$ 0

CGT small business 15-year exemption amount

D \$ 0

Personal injury election

E \$ 0

Spouse and child contributions

F \$ 0

Other third party contributions

G \$ 0

Proceeds from primary residence disposal

H \$ 0

Receipt date

Day Month Year

H1

Assessable foreign superannuation fund amount

I \$ 0

Non-assessable foreign superannuation fund amount

J \$ 0

Transfer from reserve: assessable amount

K \$ 0

Transfer from reserve: non-assessable amount

L \$ 0

Contributions from non-complying funds
and previously non-complying funds

T \$ 0

Any other contributions
(including Super Co-contributions and
Low Income Super Amounts)

M \$ 0

TOTAL CONTRIBUTIONS N \$ 0

(Sum of labels A to M)

Other transactions

Allocated earnings
or losses

O \$ 4444.56

Loss

L

Inward
rollovers and
transfers

P \$ 0

Outward
rollovers and
transfers

Q \$ 0

Lump Sum
payments

R1 \$

Code

□

Income
stream
payments

R2 \$ 20000

Code

M

Accumulation phase account balance

S1 \$ 0

Retirement phase account balance
- Non CDBIS

S2 \$ 82503.46

Retirement phase account balance
- CDBIS

S3 \$ 0

0 TRIS Count

CLOSING ACCOUNT BALANCE S \$ 82503.46

(\$S1 plus \$S2 plus \$S3)

Accumulation phase value X1 \$

Retirement phase value X2 \$

Outstanding limited recourse
borrowing arrangement amount

Y \$

Sensitive (when completed)

X

Fund's tax file number (TFN) 99971451

Section H: Assets and liabilities

15 ASSETS

15a Australian managed investments

Listed trusts **A** \$ 31320.00Unlisted trusts **B** \$ 0.00Insurance policy **C** \$ 0.00Other managed investments **D** \$ 0.00

15b Australian direct investments

Limited recourse borrowing arrangements

Australian residential real property

J1 \$ 0.00

Australian non-residential real property

J2 \$ 0.00

Overseas real property

J3 \$ 0.00

Australian shares

J4 \$ 0.00

Overseas shares

J5 \$ 0.00

Other

J6 \$ 0.00

Property count

J7 0Cash and term deposits **E** \$ 68369.00Debt securities **F** \$ 7172.00Loans **G** \$ 0.00Listed shares **H** \$ 923936.00Unlisted shares **I** \$ 1000.00Limited recourse borrowing arrangements **J** \$ 0.00Non-residential real property **K** \$ 0.00Residential real property **L** \$ 0.00Collectables and personal use assets **M** \$ 0.00Other assets **O** \$ 23871.00

15c Other investments

Crypto-Currency **N** \$ 0.00

15d Overseas direct investments

Overseas shares **P** \$ 0.00Overseas non-residential real property **Q** \$ 0.00Overseas residential real property **R** \$ 0.00Overseas managed investments **S** \$ 0.00Other overseas assets **T** \$ 0.00TOTAL AUSTRALIAN AND OVERSEAS ASSETS **U** \$ 1055668.00
(Sum of labels A to T)

15e In-house assets

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year?

A No ☒Yes ☐

\$ 0.00

X *W. H. and*

Fund's tax file number (TFN) 99971451

15f Limited recourse borrowing arrangements

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution?

A No ☐ Yes ☐

Did the members or related parties of the fund use personal guarantees or other security for the LRBA?

B No ☐ Yes ☐**16 LIABILITIES**

Borrowings for limited recourse borrowing arrangements

V1 \$ -00

Permissible temporary borrowings

V2 \$ -00

Other borrowings

V3 \$ -00Borrowings V \$ 0 -00Total member closing account balances
(total of all **CLOSING ACCOUNT BALANCES** from Sections F and G)W \$ 1055668 -00

Reserve accounts

X \$ 0 -00

Other liabilities

Y \$ 0 -00TOTAL LIABILITIES Z \$ 1055668 -00**Section I: Taxation of financial arrangements****17 Taxation of financial arrangements (TOFA)**Total TOFA gains H \$ -00Total TOFA losses I \$ -00**Section J: Other information****Family trust election status**If the trust or fund has made, or is making, a family trust election, write the four-digit **income year specified** of the election (for example, for the 2019–20 income year, write **2020**).A If revoking or varying a family trust election, print **R** for revoke or print **V** for variation, and complete and attach the *Family trust election, revocation or variation 2020*.B **Interposed entity election status**If the trust or fund has an existing election, write the earliest income year specified. If the trust or fund is making one or more elections this year, write the earliest income year being specified and complete an *Interposed entity election or revocation 2020* for each election.C If revoking an interposed entity election, print **R**, and complete and attach the *Interposed entity election or revocation 2020*.D X 

Fund's tax file number (TFN) 99971451

Section K: **Declarations**

 Penalties may be imposed for false or misleading information in addition to penalties relating to any tax shortfalls.

Important

Before making this declaration check to ensure that all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you leave labels blank, you will have specified a zero amount or the label was not applicable to you. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

Privacy

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However if you do not provide the TFN, the processing of this form may be delayed.

Taxation law authorises the ATO to collect information and disclose it to other government agencies. For information about your privacy go to ato.gov.au/privacy

TRUSTEE'S OR DIRECTOR'S DECLARATION:

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature

Date Day 25 / Month 06 / Year 2021

Preferred trustee or director contact details:

Title: MR

Family name

Hancock

First given name

Thomas

Other given names

Neil

Phone number

02

92993603

Email address

Non-individual trustee name (if applicable)

Pital Pty Limited

ABN of non-individual trustee

Time taken to prepare and complete this annual return

Hrs

 The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this annual return to maintain the integrity of the register. For further information, refer to the instructions.

TAX AGENT'S DECLARATION:

I declare that the *Self-managed superannuation fund annual return 2020* has been prepared in accordance with information provided by the trustees, that the trustees have given me a declaration stating that the information provided to me is true and correct, and that the trustees have authorised me to lodge this annual return.

Tax agent's signature

Date Day / Month / Year

Tax agent's contact details

Title: MR

Family name

Lam

First given name

Alan

Other given names

Tax agent's practice

Rigney Bolton Woodward Pty Ltd

Tax agent's phone number

02 92993603

Reference number

SSHANC

Tax agent number

25968780

Capital gains tax (CGT) schedule **2020**

When completing this form


- Print clearly, using a black or dark blue pen only.
- Use BLOCK LETTERS and print one character in each box.

S M I T H S T

- Do not use correction fluid or covering stickers.
- Sign next to any corrections with your **full signature** (not initials).

- Use in conjunction with company, trust, fund income tax return or the self-managed superannuation fund annual return.
- Refer to the *Guide to capital gains tax 2020* available on our website at ato.gov.au for instructions on how to complete this schedule.

Tax file number (TFN) 99971451

 We are authorised by law to request your TFN. You do not have to quote your TFN. However, if you don't it could increase the chance of delay or error in processing your form.

Australian business number (ABN) 22250429314

Taxpayer's name

Hancock Superannuation Fund

1 Current year capital gains and capital losses

	Capital gain	Capital loss
Shares in companies listed on an Australian securities exchange A	\$ -00	K \$ -00
Other shares B	\$ -00	L \$ -00
Units in unit trusts listed on an Australian securities exchange C	\$ -00	M \$ -00
Other units D	\$ -00	N \$ -00
Real estate situated in Australia E	\$ -00	O \$ -00
Other real estate F	\$ -00	P \$ -00
Amount of capital gains from a trust (including a managed fund) G	\$ -00	
Collectables H	\$ -00	Q \$ -00
Other CGT assets and any other CGT events I	\$ -00	R \$ -00
Amount of capital gain previously deferred under transitional CGT relief for superannuation funds S	\$ -00	
Total current year capital gains J	\$ -00	

Add the amounts at labels **K** to **R** and write the total in item 2 label **A** – **Total current year capital losses**.



Sensitive (when completed)

Fund's tax file number (TFN) 99971451

2 Capital lossesTotal current year capital losses **A** \$ -00Total current year capital losses applied **B** \$ -00Total prior year net capital losses applied **C** \$ -00Total capital losses transferred in applied
(only for transfers involving a foreign bank branch or
permanent establishment of a foreign financial entity) **D** \$ -00Total capital losses applied **E** \$ -00

Add amounts at B, C and D.

3 Unapplied net capital losses carried forwardNet capital losses from collectables carried forward to later income years **A** \$ -00Other net capital losses carried forward to later income years **B** \$ -00Add amounts at A and B and transfer the total
to label **V – Net capital losses carried forward**
to later income years on your tax return.**4 CGT discount**Total CGT discount applied **A** \$ -00**5 CGT concessions for small business**Small business active asset reduction **A** \$ -00Small business retirement exemption **B** \$ -00Small business rollover **C** \$ -00Total small business concessions applied **D** \$ -00**6 Net capital gain**Net capital gain **A** \$ -001J /less 2E /less 4A /less 5D (cannot be less than
zero). Transfer the amount at A to label **A – Net**
capital gain on your tax return.

Fund's tax file number (TFN) 99971451

7 Earnout arrangements

Are you a party to an earnout arrangement? **A** Yes, as a buyer ☐ Yes, as a seller ☐ No ☐
 (Print ☒ in the appropriate box.)

1 If you are a party to more than one earnout arrangement, copy and attach a separate sheet to this schedule providing the details requested here for each additional earnout arrangement.

How many years does the earnout arrangement run for? **B**

What year of that arrangement are you in? **C**

If you are the seller, what is the total estimated capital proceeds from the earnout arrangement? **D** \$.00

Amount of any capital gain or loss you made under your non-qualifying arrangement in the income year. **E** \$.00 / ^{LOSS}

1 Request for amendment

If you received or provided a financial benefit under a look-through earnout right created in an earlier income year and you wish to seek an amendment to that earlier income year, complete the following:

Income year earnout right created **F**

Amended net capital gain or capital losses carried forward **G** \$.00 / ^{LOSS}

8 Other CGT information required (if applicable)

Small business 15 year exemption – exempt capital gains **A** \$.00 / ^{CODE}

Capital gains disregarded by a foreign resident **B** \$.00

Capital gains disregarded as a result of a scrip for scrip rollover **C** \$.00

Capital gains disregarded as a result of an inter-company asset rollover **D** \$.00

Capital gains disregarded by a demerging entity **E** \$.00

X 

Electronic lodgment declaration (Form MS)

(for self-managed superannuation funds)

Part A: Taxpayer's declaration

This declaration is to be completed where the tax return is to be lodged via an approved ATO electronic channel. It is the responsibility of the taxpayer to retain this declaration for a period of five years after the declaration is made, penalties may apply for failure to do so.

Privacy

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). The ATO will use the TFNs to identify each partner or beneficiary or entity in our records. It is not an offence not to provide the TFNs. However, you cannot lodge your tax return electronically if you do not quote your TFN.

Taxation law authorises the ATO to collect information and disclose it to other government agencies, including personal information about the person authorised to sign the declaration. For information about privacy go to ato.gov.au/privacy

The Australian Business Register

The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this tax return to maintain the integrity of the register.

Please refer to the privacy statement on the Australian Business Register (ABR) website (www.abr.gov.au) for further information – it outlines our commitment to safeguarding your details.

Electronic funds transfer – direct debit

Where you have requested an EFT direct debit some of your details will be provided to your financial institution and the Tax Office's sponsor bank to facilitate the payment of your taxation liability from your nominated account.

Tax file number

99971451

Fund name

Hancock Superannuation Fund

Year of return

2020

I authorise my tax agent to electronically transmit this tax return via an approved ATO electronic channel.

Important: Before making this declaration please check to ensure that all income has been disclosed and the tax return is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the Tax Office. The tax law provides heavy penalties for false or misleading statements on tax returns.

Declaration

I declare that:

- all of the information I have provided to the agent for the preparation of this document is true and correct
- I authorise the agent to give this document to the Commissioner of Taxation

Signature of trustee or director

X 

Date

25/06/2021

Part B: Electronic funds transfer consent

This declaration is to be completed when an electronic funds transfer (EFT) of a refund is requested and the tax return is being lodged through an approved ATO electronic channel.

This declaration must be signed by the partner, trustee, director or public officer prior to the EFT details being transmitted to the Tax Office. If you elect for an EFT, all details below must be completed.

Important:

Care should be taken when completing EFT details as the payment of any refund will be made to the account specified.

Agent's reference number

25968780

Account name

HANCOCK SUPERANNUATION FUND

I authorise the refund to be deposited directly to the specified account.

Signature of trustee or director

X 

Date

25/06/2021

Part D: Tax agent's certificate (shared facility users only)

Declaration: I declare that:

- I have prepared this tax return in accordance with the information supplied by the trustees;
- I have received a declaration by the trustees that the information provided to me for the preparation of this tax return is true and correct, and;
- I am authorised by the trustees to lodge this tax return, including any applicable schedules.

Signature of tax agent



Date



Agent's contact name

MR Alan Lam

Agent's phone

02 92993603

Agent's reference

25968780

Client's reference

SSHANC

Hancock Superannuation Fund

Scope of Work

This Return has been prepared based on the records and information supplied by you. Therefore, the accuracy of the return depends on these records. Whilst we have exercised due care and skill in compiling the return, the responsibility for the contents of the return rests with you. Accordingly, will you please check the return to satisfy yourself that it is correct. Significant penalties can be applied for understating tax payable. Upon signing the relevant pages, you will be certifying that you have authorised Rigney Bolton Woodward Pty Ltd to lodge the return and declare that any information you have provided to us for purposes of preparing this return is true, correct and complete.

X



Mrs Mei Lee
Director - Pital Pty Limited

X



Mr Thomas Hancock
Director - Pital Pty Limited

Hancock Superannuation Fund

ABN 22 250 429 314

Financial Statement
For the year ended 30 June 2020

X *Hancock*

Hancock Superannuation Fund
Statement of Financial Position
as at 30 June 2020

	Note	2020 \$	2019 \$
Assets			
Investments			
Derivative Investments	6A	22.60	9.00
Other Fixed Interest Securities	6B	7,172.00	7,220.00
Shares in Listed Companies	6C	923,936.55	1,033,669.23
Shares in Unlisted Companies	6D	1,000.00	1,000.00
Units In Listed Unit Trusts	6E	31,320.04	32,954.54
Other Assets			
Cash At Bank		68,369.85	118,753.52
DRP Residual		452.61	452.61
Receivables		2,549.14	2,539.11
Current Tax Assets		20,845.56	46,153.51
Total Assets		1,055,668.35	1,242,751.52
Liabilities			
Total Liabilities		-	-
Net Assets Available to Pay Benefits		1,055,668.35	1,242,751.52
<i>Represented by:</i>			
Liability for Accrued Benefits	2		
Mr Thomas Hancock		973,164.89	1,135,803.50
Mrs Mei Lee		82,503.46	106,948.02
Total Liability for Accrued Benefits		1,055,668.35	1,242,751.52

X 

The accompanying notes form part of these financial statements.

Hancock Superannuation Fund
Operating Statement
For the period 1 July 2019 to 30 June 2020

	Note	2020 \$	2019 \$
Income			
Member Receipts			
Contributions			
Member		-	490.78
Investment Gains			
Realised Capital Gains	8A	-	(4,277.30)
Investment Income			
Distributions	7A	2,904.14	3,795.00
Dividends	7B	49,249.51	63,715.04
Foreign Income	7C	-	52.77
Interest	7D	447.36	1,526.19
Other Income		144.81	-
		52,745.82	65,302.48
Expenses			
Member Payments			
Pensions Paid		134,025.50	68,170.00
Other Expenses			
Accountancy Fee		12,340.00	396.00
Auditor Fee		2,400.00	-
SMSF Supervisory Levy		518.00	-
Investment Losses			
Decrease in Market Value	8B	111,342.28	(29,257.85)
		260,625.78	39,308.15
Benefits Accrued as a Result of Operations before Income Tax		(207,879.96)	25,994.33
Income Tax			
Income Tax Expense		(20,796.79)	(27,555.67)
		(20,796.79)	(27,555.67)
Benefits Accrued as a Result of Operations		(187,083.17)	53,550.00



The accompanying notes form part of these financial statements.

Hancock Superannuation Fund
Notes to the Financial Statements
As at 30 June 2020

Note 1 - Statement of Significant Accounting Policies

The following significant accounting policies have been adopted in the preparation and presentation of the financial statements. They have been consistently applied in the current and previous periods unless otherwise stated to ensure the financial information satisfies the concept of relevance and reliability.

(a) Statement of Compliance

The trustees have prepared the financial statements on the basis that the superannuation fund is a non-reporting entity because the members are able to command the preparation of tailored reports so as to satisfy specifically all of their information needs and there are no other users dependent on the financial statements. The financial statements are therefore special purpose financial statements that have been prepared in accordance with the legislative requirements of the *Superannuation Industry (Supervision) Act 1993* and *Regulations 1994* and the provisions of the Trust Deed. The trustees have determined that the accounting policies adopted are appropriate to meet their needs.

(b) Basis of Preparation

The financial statements have been prepared on a cash basis using historical costs convention unless stated otherwise. For investments and financial liabilities, they are measured at market values.

The financial statements are presented in Australian dollars, which is the functional currency of the fund.

(c) Use of Accounting Estimates and Judgments

The preparation of financial statements requires the trustees to make judgments, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets and liabilities, income and expenses. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstance, the results of which form the basis of making the judgments. Actual results may differ from these estimates.

Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in the period in which the estimate is revised and in any future period affected.

(d) Cash and Cash Equivalents

Cash and cash equivalents include cash on hand, deposits held at call with banks or financial institutions and short-term, highly liquid investments that are readily convertible to cash and are subject to an insignificant risk of change in value.

(e) Foreign Currency

Any foreign currency transactions during the financial year are brought to account using the exchange rate in effect at the date of the transaction. Foreign currency monetary items at reporting date are translated at the exchange rate existing at reporting date. Exchange differences are recognised in the operating statement in the period in which they arise.

(f) Valuation of Assets

Investment

An investment is initially recognised when as a result of past transactions or events, the Fund controls the future economic benefits expected to flow from the asset.

The investment assets are firstly recorded at cost, being the fair value of the consideration given. After initial recognition, they are measured at market value. Gains or losses arising from changes in market value are recognised on the Operating Statement in the periods in which they occur.

Market value as defined in s10 of *SISA 1993*, in relation to an asset, means the amount that a willing buyer of the asset could reasonably be expected to pay to acquire the asset from a willing seller if the following assumptions were made:

- i. that the buyer and the seller dealt with each other at arm's length in relation to the sale;
- ii. that the sale occurred after proper marketing of the asset;
- iii. that the buyer and the seller acted knowledgeably and prudentially in relation to the sale.

As disposal costs are generally immaterial unless otherwise stated, market value approximates fair value.



Hancock Superannuation Fund

Notes to the Financial Statements

As at 30 June 2020

Market values for various types of investment have been determined as follows:

- i. listed securities, government and other fixed interest securities for which there is a readily available market quotation, the valuation is recorded as the last quoted sale price as at the close of business on reporting date. If the listed securities are foreign, they are also converted to Australian dollars using the exchange rate at the close of business on the reporting date;
- ii. unit trusts and managed funds are stated by reference to the unit redemption price quoted by the fund manager at the end of the reporting period;
- iii. unlisted investments are stated at the Trustees' valuation based on estimated market value at balance date; or where necessary, upon external valuers' expert opinions;
- iv. Investment properties are carried at market value and are held for the purpose of generating long-term rental yields and capital appreciation. The Trustees give consideration to the value of the investment property each financial year and revalue when a significant event occurs or when deemed appropriate. Where an external valuation has been obtained, the valuation is based on objective and supportable data and has been carried out by a property valuation service provider or qualified independent valuer as appropriate.

Financial Liabilities

The Fund initially recognises a financial liability on the date it becomes a party to the contractual provisions of the instrument.

Financial liabilities including credit balances of hedging instruments and derivatives are measured at market values as at the reporting date. Any change in market values of the financial liabilities since the beginning of the reporting period shall be included in the profit or loss for the reporting period. As disposal costs are generally immaterial, unless otherwise stated, market value approximates fair value.

Receivables and Payables

Current assets such as accounts receivable, which are expected to be recovered within twelve months after the reporting period, are carried at nominal amounts which approximate the fair values.

Accounts payable are recognised when the Fund becomes obliged to make future payments resulting from the goods and services received, whether or not billed to the Fund and are carried at nominal amounts which are equivalent to fair values.

(g) Revenue Recognition

Revenue is recognised to the extent that it is probable that the economic benefits will flow to the Fund and the revenue can be reliably measured. Revenue is measured at the fair value of consideration received or receivable. The following recognition criteria relate to the specific items of revenue the Fund receives:

Interest

The interest revenue is recognised by the Fund on a cash receipt basis, unless the Fund chooses the accrual method and the amount can be reliably measured by reference to the principal outstanding and using the effective interest rate of the instrument calculated at the acquisition or origination date.

Dividend Revenue

The entitlement to a dividend is based on the date the shares are quoted ex-dividend; the actual dividend revenue is recognised by the Fund when it is received.

Distribution Revenue

Distributions from unit trusts and managed funds are recognised as at the date the unit value is quoted ex-distributions and if not received at the end of the reporting period, are reflected in the statement of financial position as a receivable at market value.

Rental Income

Rent from investment properties is recognised by the Fund on a cash receipt basis.

Movement in market values

Changes in the market value of investments are determined as the difference between the market value at balance date or consideration received (if sold during the year) and the market value as at the prior year end or cost (if the investment was acquired during the period). All movements are recognised in the Operating Statement.

Contributions and Rollovers In

Contributions and rollovers in are recognised when the control and the benefits from the revenue have been attained and are recorded by the Fund, gross of any taxes, in the period to which they relate.

The financial report was authorised for issue on 18 June 2021 by the directors of the trustee company.

X 

Hancock Superannuation Fund
Notes to the Financial Statements
As at 30 June 2020

Note 2 – Liability for Accrued Benefits

The liability for accrued benefits represents the fund's present obligation to pay benefits to members and beneficiaries and has been calculated as the difference between the carrying amount of the assets and the carrying amount of the other payables and income tax liabilities as at the end of the reporting period. Changes in the Liability for Accrued Benefits are as follows:

	Current	Previous
Liability for Accrued Benefits at beginning of period	1,242,751.52	1,189,201.52
Benefits Accrued during the period	(53,057.67)	121,720.00
Benefits Paid during the period	(134,025.50)	(68,170.00)
Liability for Accrued Benefits at end of period	<u>1,055,668.35</u>	<u>1,242,751.52</u>

Any amount in the Unallocated Contributions account represent amounts that have been received by the fund from either the members of the fund or a third party but have not been allocated to any specific member as at the reporting date. It is the intention of the trustee to allocate any such amounts recorded as unallocated contributions within 28 days following the end of the month to specific fund member, which will increase the liability for members accrued benefits.

Note 3 – Vested Benefits

Vested benefits are benefits which are not conditional upon continued membership of the fund (or any factor other than resignation from the plan) and include benefits which members were entitled to receive had they terminated their fund membership as at the reporting period.

	Current	Previous
Vested Benefits at beginning of period	1,242,751.52	1,189,201.52
Benefits Accrued during the period	(53,057.67)	121,720.00
Benefits Paid during the period	(134,025.50)	(68,170.00)
Vested Benefits at end of period	<u>1,055,668.35</u>	<u>1,242,751.52</u>

Note 4 – Guaranteed Benefits

No guarantees have been made in respect of any part of the liability for accrued benefits.

Note 5 – Funding Arrangements

No fixed funding arrangements were in place for the Fund as at year end.

Note 6A – Derivative Investments

	Current	Previous
At market value:		
Ozgrowth Limited - Option Expiring 31-Aug-2019	5.00	5.00
Westoz Investment Company Limited - Option Expiring 31-Aug-2019	17.60	4.00
	<u>22.60</u>	<u>9.00</u>

Note 6B – Other Fixed Interest Securities

	Current	Previous
At market value:		
National Australia Bank Limited - Hybrid 3-Bbsw+1.25% Perp Sub Exch Non-Cum Stap	7,172.00	7,220.00
	<u>7,172.00</u>	<u>7,220.00</u>

X *Ad a creek*

Hancock Superannuation Fund
Notes to the Financial Statements
As at 30 June 2020

Note 6C – Shares in Listed Companies

	Current	Previous
At market value:		
Acumentis Group Limited	2,312.00	0.00
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid	76,237.60	115,378.90
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid	36,198.88	54,783.82
Bc Iron Limited - Ordinary Fully Paid	1,530.00	1,620.00
BHP Group Limited - Fully Paid Ordinary	59,640.30	68,531.40
BHP Group Limited - Fully Paid Ordinary	68,774.40	79,027.20
Cadence Capital Limited - Ordinary Fully Paid	4,053.50	5,025.00
Coles Group Limited.	85,712.64	66,643.20
Collins Foods Limited - Ordinary Fully Paid	27,318.00	24,070.00
Commonwealth Bank of Australia. - Ordinary Fully Paid	20,826.00	24,834.00
Commonwealth Bank of Australia. - Ordinary Fully Paid	6,942.00	8,278.00
Cybg Plc - Cdi 1:1 Foreign Exempt Lse	0.00	3,242.16
Dws Limited - Ordinary Fully Paid	8,748.00	12,636.00
G.U.D. Holdings Limited - Ordinary Fully Paid	25,460.12	22,142.12
Jatenergy Limited - Ordinary Fully Paid	5,662.80	7,827.99
Landmark White Limited - Ordinary Fully Paid	0.00	5,202.00
Lindsay Australia Limited - Ordinary Fully Paid	17,150.00	16,905.00
McPherson's Limited - Ordinary Fully Paid	22,437.00	9,760.50
Medibank Private Limited - Ordinary Fully Paid	9,493.25	11,080.75
Monadelphous Group Limited - Ordinary Fully Paid	23,154.80	40,253.40
National Australia Bank Limited - Ordinary Fully Paid	52,582.92	77,113.92
National Australia Bank Limited - Ordinary Fully Paid	16,543.76	24,261.76
Oz Minerals Limited - Ordinary Fully Paid	3,288.00	3,009.00
Ozgrowth Limited - Ordinary Fully Paid	8,500.00	7,500.00
Platinum Asia Investments Limited - Ordinary Fully Paid	5,769.12	5,647.49
South32 Limited - Ordinary Fully Paid	2,040.00	3,180.00
Suncorp Group Limited - Ordinary Fully Paid	6,461.00	9,698.40
Telstra Corporation Limited. - Ordinary Fully Paid	29,109.00	35,805.00
Telstra Corporation Limited. - Ordinary Fully Paid	14,085.00	17,325.00
Virgin Money Uk PLC - Cdi 1:1 Foreign Exempt Lse	1,568.94	0.00
Wesfarmers Limited - Ordinary Fully Paid	223,791.36	180,510.72
Westoz Investment Company Limited - Ordinary Fully Paid	7,320.00	8,240.00
Westpac Banking Corporation - Ordinary Fully Paid	18,919.30	29,891.44
Woodside Petroleum Limited - Ordinary Fully Paid	32,236.85	54,140.04
Xstate Resources Limited - Ordinary Fully Paid	70.01	105.02
	923,936.55	1,033,669.23

Note 6D – Shares in Unlisted Companies

	Current	Previous
At market value:		
Capital Gold Pty Ltd	1,000.00	1,000.00
	1,000.00	1,000.00

Note 6E – Units In Listed Unit Trusts

	Current	Previous
At market value:		
Aurora Absolute Return Fund - Ordinary Units Fully Paid	1,010.04	1,010.04
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F	5,256.00	6,524.00
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid	6,175.80	7,665.70
Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid	2,500.50	2,790.00
Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid	16,377.70	14,964.80
	31,320.04	32,954.54

X 

Hancock Superannuation Fund
Notes to the Financial Statements
As at 30 June 2020

Note 7A – Distributions

	Current	Previous
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F	441.35	711.96
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid	518.61	836.61
Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid	77.91	224.54
Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid	1,866.27	2,021.89
	2,904.14	3,795.00

Note 7B – Dividends

	Current	Previous
National Australia Bank Limited - Hybrid 3-Bbsw+1.25% Perp Sub Exch Non-Cum Stap	188.28	255.21
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid	6,544.00	6,544.00
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid	3,107.20	3,107.20
BHP Group Limited - Fully Paid Ordinary	3,548.22	5,126.54
BHP Group Limited - Fully Paid Ordinary	4,091.64	5,911.69
Cadence Capital Limited - Ordinary Fully Paid	268.00	469.00
Coles Group Limited.	3,269.76	0.00
Collins Foods Limited - Ordinary Fully Paid	580.00	522.00
Commonwealth Bank of Australia. - Ordinary Fully Paid	1,293.00	1,293.00
Commonwealth Bank of Australia. - Ordinary Fully Paid	431.00	431.00
Dws Limited - Ordinary Fully Paid	756.00	972.00
G.U.D. Holdings Limited - Ordinary Fully Paid	1,238.72	1,172.36
Landmark White Limited - Ordinary Fully Paid	0.00	578.00
Lindsay Australia Limited - Ordinary Fully Paid	1,029.00	980.00
McPherson's Limited - Ordinary Fully Paid	810.00	688.50
Medibank Private Limited - Ordinary Fully Paid	495.31	409.58
Monadelphous Group Limited - Ordinary Fully Paid	963.00	1,219.80
National Australia Bank Limited - Ordinary Fully Paid	4,790.76	5,714.28
National Australia Bank Limited - Ordinary Fully Paid	1,507.28	1,797.84
Oz Minerals Limited - Ordinary Fully Paid	69.00	69.00
Ozgrowth Limited - Ordinary Fully Paid	275.00	250.00
Platinum Asia Investments Limited - Ordinary Fully Paid	221.50	406.56
South32 Limited - Ordinary Fully Paid	74.53	182.46
Suncorp Group Limited - Ordinary Fully Paid	498.80	590.40
Telstra Corporation Limited. - Ordinary Fully Paid	1,488.00	1,767.00
Telstra Corporation Limited. - Ordinary Fully Paid	720.00	855.00
Wesfarmers Limited - Ordinary Fully Paid	7,637.76	15,974.40
Westoz Investment Company Limited - Ordinary Fully Paid	480.00	480.00
Westpac Banking Corporation - Ordinary Fully Paid	843.20	2,972.28
Woodside Petroleum Limited - Ordinary Fully Paid	2,030.55	2,975.94
	49,249.51	63,715.04

Note 7C – Foreign Income

	Current	Previous
Cybg Plc - Cdi 1:1 Foreign Exempt Lse	0.00	52.77
	0.00	52.77

X 

Hancock Superannuation Fund
Notes to the Financial Statements
As at 30 June 2020

Note 7D – Interest

	Current	Previous
E-Trade Acc 1095993	2.01	2.37
E-Trade No.2 Acc 1203010	0.24	0.26
Macquarie CMA Acc 960681831	208.37	613.81
Macquarie CMA No.2 Acc 960681849	236.74	909.75
	<u>447.36</u>	<u>1,526.19</u>

Note 8A – Realised Capital Gains

	Current	Previous
Shares in Listed Companies		
Ipe Limited - Ordinary Fully Paid	0.00	(4,277.30)
	<u>0.00</u>	<u>(4,277.30)</u>

X 

Hancock Superannuation Fund
Notes to the Financial Statements
As at 30 June 2020

Note 8B – Decrease in Market Value

	Current	Previous
Derivative Investments		
Ozgrowth Limited - Option Expiring 31-Aug-2019	0.00	30.00
Westoz Investment Company Limited - Option Expiring 31-Aug-2019	(13.60)	88.00
Other Fixed Interest Securities		
National Australia Bank Limited - Hybrid 3-Bbsw+1.25% Perp Sub Exch Non-Cum Stap	48.00	(452.80)
Shares In Listed Companies		
Acumentis Group Limited	7,603.85	0.00
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid	39,141.30	122.70
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid	18,584.94	58.26
Bc Iron Limited - Ordinary Fully Paid	90.00	(405.00)
BHP Group Limited - Fully Paid Ordinary	8,891.10	(12,071.25)
BHP Group Limited - Fully Paid Ordinary	10,252.80	(13,920.00)
Cadence Capital Limited - Ordinary Fully Paid	971.50	3,350.00
Coles Group Limited.	(19,069.44)	(44,502.47)
Collins Foods Limited - Ordinary Fully Paid	(3,248.00)	(7,917.00)
Commonwealth Bank of Australia. - Ordinary Fully Paid	4,008.00	(2,973.00)
Commonwealth Bank of Australia. - Ordinary Fully Paid	1,336.00	(991.00)
Cybg Plc - Cdi 1:1 Foreign Exempt Lse	(559.31)	2,095.08
Dws Limited - Ordinary Fully Paid	3,888.00	972.00
G.U.D. Holdings Limited - Ordinary Fully Paid	(3,318.00)	9,179.80
Ipe Limited - Ordinary Fully Paid	0.00	(4,292.35)
Jatenergy Limited - Ordinary Fully Paid	2,165.19	2,165.19
Landmark White Limited - Ordinary Fully Paid	(4,713.85)	10,837.50
Lindsay Australia Limited - Ordinary Fully Paid	(245.00)	1,715.00
McPherson's Limited - Ordinary Fully Paid	(12,676.50)	4,050.00
Medibank Private Limited - Ordinary Fully Paid	1,587.50	(1,809.75)
Monadelphous Group Limited - Ordinary Fully Paid	17,098.60	(8,025.00)
National Australia Bank Limited - Ordinary Fully Paid	24,531.00	1,991.34
National Australia Bank Limited - Ordinary Fully Paid	7,718.00	626.52
Oz Minerals Limited - Ordinary Fully Paid	(279.00)	(183.00)
Ozgrowth Limited - Ordinary Fully Paid	(1,000.00)	1,500.00
Platinum Asia Investments Limited - Ordinary Fully Paid	99.87	1,192.43
South32 Limited - Ordinary Fully Paid	1,140.00	430.00
Suncorp Group Limited - Ordinary Fully Paid	2,956.60	806.40
Telstra Corporation Limited. - Ordinary Fully Paid	6,696.00	(11,439.00)
Telstra Corporation Limited. - Ordinary Fully Paid	3,240.00	(5,535.00)
Virgin Money Uk PLC - Cdi 1:1 Foreign Exempt Lse	2,232.53	0.00
Wesfarmers Limited - Ordinary Fully Paid	(43,280.64)	43,753.67
Westoz Investment Company Limited - Ordinary Fully Paid	920.00	1,080.00
Westpac Banking Corporation - Ordinary Fully Paid	10,972.14	990.76
Woodside Petroleum Limited - Ordinary Fully Paid	21,903.19	(1,340.10)
Xstate Resources Limited - Ordinary Fully Paid	35.01	35.00
Units In Listed Unit Trusts		
Aurora Absolute Return Fund - Ordinary Units Fully Paid	0.00	976.02
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F	1,268.00	(104.00)
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid	1,489.90	(122.20)
Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid	289.50	(46.50)
Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid	(1,412.90)	(1,174.10)
	<u>111,342.28</u>	<u>(29,257.85)</u>

x *Hancock*

Hancock Superannuation Fund

Trustee Declaration

In the opinion of the Trustees of the Hancock Superannuation Fund.

The Fund is not a reporting entity and this special purpose financial report should be prepared in accordance with the accounting policies described in Note 1 to these financial statements.

- (i) the financial statements and notes to the financial statements for the year ended 30 June 2020 present fairly the financial position of the Fund at 30 June 2020 and the results of its operations for the year then ended in accordance with the accounting policies described in Note 1 to the financial statements; and
- (ii) the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the Trust Deed; and
- (iii) the operation of the Fund has been carried out in accordance with its Trust Deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 during the year ended 30 June 2020.

Signed in accordance with a resolution of the directors of Pital Pty Limited by:


..... Dated: 25, 06, 2021
Mei Lee
Director: Pital Pty Limited


..... Dated: 25, 06, 2021
Thomas Hancock
Director: Pital Pty Limited

Hancock Superannuation Fund
Self-Managed Superannuation Fund Independent Audit Report
for the period 1 July 2019 to 30 June 2020

Approved SMSF auditor details

Name Bernice Bolton

Business name

Business postal address Suite 501, 83 York Street, Sydney, NSW 2000, Australia

SMSF auditor number (SAN) 100073498

Self-managed superannuation fund details

Self-managed super fund (SMSF) name Hancock Superannuation Fund

Australian business number (ABN) 22 250 429 314

Address 16 Ravenshaw Street, Newcastle West, NSW 2302, Australia

Year of income being audited 2020

To the SMSF trustees

To the SMSF trustees of Hancock Superannuation Fund



Part A: Financial report

Opinion

I have audited the special purpose financial report of the Hancock Superannuation Fund comprising the statement of financial position as at 30 June 2020, and the operating statement, a summary of significant accounting policies and other explanatory notes of the Hancock Superannuation Fund for the year ended 30 June 2020.

In my opinion, the financial report presents fairly, in all material respects, in accordance with the accounting policies described in the notes to the financial report, the financial position of the fund at 30 June 2020 and the results of its operations for the year then ended.

Basis for Opinion

My audit has been conducted in accordance with Australian Auditing Standards¹. My responsibilities under those standards are further described in the *Approved SMSF Auditor's Responsibilities for the Audit of the Financial Report* section of this report. I am independent of the SMSF in accordance with the auditor independence requirements of the Accounting Professional and Ethical Standards Board's APES 110 *Code of Ethics for Professional Accountants (including Independence Standards)* (the Code) that are relevant to this audit and as required by the Superannuation Industry (Supervision) Regulations 1994 (SISR). I have also fulfilled my other ethical responsibilities in accordance with the Code.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Basis of accounting

Without modifying my opinion, I draw attention to note 1 of the financial report, which describes the basis of accounting. The financial report has been prepared to assist Hancock Superannuation Fund meet the requirements of the SMSF's governing rules, the *Superannuation Industry (Supervision) Act 1993* (SISA) and the *Superannuation Industry (Supervision) Regulations 1994* (SISR). As a result, the financial report may not be suitable for other purposes.

Responsibilities of SMSF trustees for the financial report

Each SMSF trustee (individual trustee or director of corporate trustee) is responsible for the preparation and fair presentation of the financial report in accordance with the financial reporting requirements of the SMSF's governing rules, the SISA and the SISR. Each trustee is also responsible for such internal controls as they determine are necessary to enable the preparation and fair presentation of a financial report that is free from material misstatement, whether due to fraud or error.

In preparing the financial report, the trustees are responsible for assessing the fund's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the trustees intend to wind-up the fund or have no realistic alternative but to do so.

Each SMSF trustee is responsible for overseeing the fund's financial reporting process.

Approved SMSF auditor's responsibilities for the audit of the financial report

My objectives are to obtain reasonable assurance about whether the financial report as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with the Australian Auditing Standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of trustees taken on the basis of this financial report.



¹ The Australian Auditing Standards issued by the Auditing and Assurance Standards Board.

As part of an audit in accordance with Australian Auditing Standards, I exercise professional judgment and maintain professional scepticism throughout the audit. I also:

- Identify and assess the risks of material misstatement of the financial report, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of an internal control.
- Obtain an understanding of internal controls relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the fund's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the trustees.
- Conclude on the appropriateness of trustees' use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the fund's ability to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in the auditor's report to the related disclosures in the financial report or if such disclosures are inadequate, to modify my opinion. My conclusions are based on the audit evidence obtained up to the date of the auditor's report. However, future events or conditions may cause the fund to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial report, including the disclosures, and whether the financial report represents the underlying transactions and events in a manner that achieves fair presentation.

I have communicated with the trustees regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during the audit.

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Part B: Compliance engagement

Opinion

I have undertaken a reasonable assurance engagement on Hancock Superannuation Fund's compliance, in all material respects, with the applicable provisions of the SISA and the SISR as listed below ("the listed provisions") for the year ended 30 June 2020.

Sections: 17A, 35AE, 35B, 35C(2), 62, 65, 66, 67, 67A, 67B, 82-85, 103, 104, 104A, 105, 109, 126K

Regulations: 1.06(9A), 4.09, 4.09A, 5.03, 5.08, 6.17, 7.04, 8.02B, 13.12, 13.13, 13.14, 13.18AA

In my opinion, each trustee of Hancock Superannuation Fund has complied in all material respects, with the listed provisions for the year ended 30 June 2020.

Basis for Opinion

I have conducted my engagement in accordance with Standard on Assurance Engagements ASAE 3100 *Compliance Engagements* issued by the Auditing and Assurance Standards Board.

I believe that the evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Independence and quality control

I have complied with the independence and other ethical requirements relating to assurance engagements, and applied Auditing Standards ASQC 1 *Quality Control for Firms that Perform Audits and Reviews of Financial Reports and Other Financial Information, and Other Assurance Engagements* in undertaking this assurance engagement.

SMSF trustee's responsibilities

Each SMSF trustee is responsible for complying with the listed provisions and for the identification of risks that threaten compliance with the listed provisions, controls which will mitigate those risks and monitoring ongoing compliance.

Approved SMSF auditor's responsibilities

My responsibility is to express an opinion on the trustees' compliance, in all material respects, with the listed provisions, for the year ended 30 June 2020. ASAE 3100 *Compliance Engagements* requires that I plan and perform my procedures to obtain reasonable assurance about whether the trustee have complied, in all material respects, with the listed provisions for the year ended 30 June 2020.

An assurance engagement to report on the trustees' compliance with the listed provisions involves performing procedures to obtain evidence about the compliance activity and controls implemented to meet the compliance requirements. The procedures selected depend on my judgement, including the identification and assessment of risks of material non-compliance.

My procedures included examination, on a test basis, of evidence supporting compliance with those requirements of the listed provisions for the year ended 30 June 2020.

These tests have not been performed continuously throughout the period, were not designed to detect all instances of non-compliance, and have not covered any other provisions of the SISA and the SISR apart from those specified.

Inherent limitations

Due to the inherent limitations of an assurance engagement, together with the internal control structure it is possible that fraud, error, or non-compliance with the listed provisions may occur and not be detected.



A reasonable assurance engagement for the year ended 30 June 2020 does not provide assurance on whether compliance with the listed provisions will continue in the future.

Signature of approved SMSF auditor

..... Dated:/...../.....

Name: Bernice Bolton

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Appendix 1 - Explanation of listed sections and regulations in compliance engagement

This appendix is included to assist with the meaning of the legislation and regulations listed above

Section or Regulation	Explanation
S17A	The fund must meet the definition of an SMSF
S35AE	The trustees must keep and maintain accounting records for a minimum of five years
S35B	The trustees must prepare, sign and retain accounts and statements
S35C(2)	The trustees must provide the auditor with the necessary documents to complete the audit in a timely and professional manner; and within 14 days of a written request from the auditor
S62	The fund must be maintained for the sole purpose of providing benefits to any or all of the following: <ul style="list-style-type: none">fund members upon their retirementfund members upon reaching a prescribed agethe dependants of a fund member in the case of the member's death before retirement
S65	The trustees must not loan monies or provide financial assistance to any member or relative at any time during the financial year
S66	The trustees must not acquire any assets (not listed as an exception) from any member or related party of the fund
S67	The trustees of the fund must not borrow any money or maintain an existing borrowing (not listed as an exception)
S67A & 67B	The fund must comply with the limited recourse borrowing arrangement rules when borrowing to purchase single acquirable asset or replacement assets (not listed as an exception to the borrowing rules)
S82-85	The trustees must comply with the in-house asset rules
S103	The trustees must keep minutes of all meetings and retain the minutes for a minimum of 10 years
S104	The trustees must keep up to date records of all trustee or director of corporate trustee changes and trustee consents for a minimum of 10 years
S104A	Trustees who became a trustee on or after 1 July 2007 must sign and retain a trustee declaration
S105	The trustees must ensure that copies of all member or beneficiary reports are kept for a minimum of 10 years
S109	All investment transactions must be made and maintained at arms-length - that is, purchase, sale price and income from an asset reflects a true market value/rate of return
S126K	A disqualified person cannot be a trustee, investment manager or custodian of a superannuation fund
Sub Reg 1.06 (9A)	Pension payments must be made at least annually, and must be at least the amount calculated under Schedule 7
Reg 4.09	Trustees must formulate, regularly review and give effect to an investment strategy for the fund
Reg 4.09A	The assets of the SMSF must be held separately from any assets held by the trustee personally or by a standard employer sponsor or an associate of the standard employer sponsor

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Reg 5.03	Investment returns must be allocated to members in a manner that is fair and reasonable
Reg 5.08	Member minimum benefits must be maintained in the fund until transferred, rolled over, allotted (to the member's spouse) or cashed out in a permitted fashion
Reg 6.17	Payments of member benefits must be made in accordance with Part 6 or Part 7A of the regulations and be permitted by the trust deed
Reg 7.04	Contributions can only be accepted in accordance with the applicable rules for the year being audited
Reg 8.02B	When preparing accounts and statements required by subsection 35B(1) of SISA, an asset must be valued at its market value
Reg 13.12	Trustees must not recognise an assignment of a super interest of a member or beneficiary
Reg 13.13	Trustees must not recognise a charge over or in relation to a member's benefits
Reg 13.14	Trustees must not give a charge over, or in relation to, an asset of the fund
Reg 13.18AA	Investments in collectables and personal use assets must be maintained in accordance with prescribed rules

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Hancock Superannuation Fund

Tax Accounting Reconciliation

For the period 1 July 2019 to 30 June 2020

Operating Statement Profit vs. Provision for Income Tax	2020 \$
Benefits Accrued as a Result of Operations before Income Tax	(207,879.96)
<u>ADD:</u>	
Decrease in Market Value	111,342.28
Franking Credits	20,845.56
Pension Non-deductible Expenses	15,258.00
Pensions Paid	134,025.50
<u>LESS:</u>	
Pension Exempt Income	71,940.00
Distributed Capital Gains	1,623.32
Non-Taxable Income	23.88
Rounding	4.18
Taxable Income or Loss	0.00

	Income Amount	Tax Amount
Gross Tax @ 15% for Concessional Income	0.00	0.00
Gross Tax @ 45% for Net Non-Arm's Length Income	0.00	0.00
No-TFN Quoted Contributions @ 32%	0.00	0.00
Change in Carried Forward Losses	0.00	0.00
Provision for Income Tax		0.00

Provision for Income Tax vs. Income Tax Expense

Provision for Income Tax	0.00
<u>ADD:</u>	
Excessive Foreign Tax Credit Writeoff	48.77
<u>LESS:</u>	
Franking Credits	20,845.56
Income Tax Expense	(20,796.79)

Provision for Income Tax vs. Income Tax Payable

Provision for Income Tax	0.00
<u>ADD:</u>	
Excessive Foreign Tax Credit Writeoff	48.77
<u>LESS:</u>	
Franking Credits	20,845.56
Foreign Tax Credits	48.77
Income Tax Payable (Receivable)	(20,845.56)

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Hancock Superannuation Fund

Tax Accounting Reconciliation

For the period 1 July 2019 to 30 June 2020

Exempt Current Pension Income Settings

Pension Exempt % (Actuarial)	100.0000%
Pension Exempt % (Expenses)	100.0000%
Assets Segregated For Pensions	No

X Hancock

Hancock Superannuation Fund
Investment Summary as at 30 June 2020

Investment	Units	Average Cost Price	Market Price	Accounting Cost	Market Value	Unrealised Accounting Gain/(Loss)	Accounting Gain/(Loss) (%)	Portfolio Weight (%)
Bank								
E-Trade Acc 1095893				2,691.60	2,691.60			0.26%
E-Trade No 2 Acc 1203010				285.28	285.28			0.03%
Macquarie CMA Acc 980881831				15,271.57	15,271.57			1.48%
Macquarie CMA No 2 Acc 980881849				50,121.42	50,121.42			4.86%
				68,369.88	68,369.88			6.63%
Listed Derivatives Market								
Ozgrowth Limited - Option Expiring 31-Aug-2019 (ASX:OZGOC)	5,000.00000	0.0000	0.0010	0.00	5.00	5.00	0.00%	- %
Westoz Investment Company Limited - Option Expiring 31-Aug-2019 (ASX:WICOC)	800.00000	0.0000	0.0220	0.00	17.60	17.60	0.00%	- %
				0.00	22.60	22.60	0.00%	- %
Listed Securities Market								
Aurora Absolute Return Fund - Ordinary Units Fully Paid (ASX:ABV)	5,316.00000	1.6620	0.1800	8,835.18	1,010.04	(7,825.14)	(88.57)%	0.10%
Acumenba Group Limited (ASX:ACU)	28,800.00000	0.3431	0.0800	9,915.85	2,312.00	(7,603.85)	(76.68)%	0.22%
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid (ASX:ANZ)	4,090.00000	20.4078	18.8400	83,487.90	78,237.60	(7,230.30)	(8.66)%	7.39%
Australia and New Zealand Banking Group Limited - Ordinary Fully Paid (ASX:ANZ)	1,842.00000	14.2223	18.8400	27,819.30	36,188.88	8,579.08	31.06%	3.51%
Bo Iron Limited - Ordinary Fully Paid (ASX:BCI)	9,000.00000	1.8489	0.1700	14,840.07	1,530.00	(13,310.07)	(89.69)%	0.15%
BHP Group Limited - Fully Paid Ordinary (ASX:BHP)	1,866.00000	22.8223	35.8200	37,888.05	69,840.30	21,974.25	58.34%	5.78%
BHP Group Limited - Fully Paid Ordinary (ASX:BHP)	1,920.00000	21.2286	35.8200	40,760.75	68,774.40	28,013.65	68.73%	6.87%
Commonwealth Bank of Australia - Ordinary Fully Paid (ASX:CBA)	300.00000	49.2728	68.4200	14,781.85	20,826.00	6,044.15	40.89%	2.02%
Commonwealth Bank of Australia - Ordinary Fully Paid (ASX:CBA)	100.00000	49.4295	68.4200	4,942.95	6,942.00	1,999.05	40.44%	0.87%
Cadentia Capital Limited - Ordinary Fully Paid (ASX:CDM)	6,700.00000	1.4887	0.6050	9,974.45	4,053.50	(5,920.95)	(59.36)%	0.39%
Collins Foods Limited - Ordinary Fully Paid (ASX:CKF)	2,900.00000	1.7119	9.4200	4,984.45	27,318.00	22,353.55	450.27%	2.65%
Coles Group Limited (ASX:COL)	4,992.00000	4.4352	17.1700	22,140.73	85,712.64	63,571.91	287.13%	8.31%

X *Hancock*

Hancock Superannuation Fund
Investment Summary as at 30 June 2020

Investment	Units	Average Cost Price	Market Price	Accounting Cost	Market Value	Unrealised Accounting Gain/(Loss)	Accounting Gain/(Loss) (%)	Portfolio Weight (%)
Listed Securities Market								
Dws Limited - Ordinary Fully Paid (ASX:DWS)	10,800.00000	1.3908	0.8100	15,018.28	8,748.00	(6,270.28)	(41.75)%	0.85%
e & A Limited - Ordinary Fully Paid (ASX:EAL)	26,000.00000	0.5998	0.0000	15,588.55	0.00	(15,588.55)	(100.00)%	- %
Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid (ASX:EX20)	150.00000	14.7730	18.6700	2,215.85	2,500.50	284.55	12.84%	0.24%
G.U.D. Holdings Limited - Ordinary Fully Paid (ASX:GUD)	2,212.00000	8.6882	11.5100	19,218.32	25,480.12	6,261.80	32.48%	2.47%
Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid (ASX:HACK)	1,990.00000	5.0745	8.2300	10,098.20	16,377.70	6,279.50	62.18%	1.58%
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F (ASX:HVST)	400.00000	24.6188	13.1400	9,847.85	5,256.00	(4,591.85)	(46.63)%	0.51%
Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid (ASX:HVST)	470.00000	21.3437	13.1400	10,031.55	6,175.80	(3,855.75)	(38.44)%	0.60%
Jatenergy Limited - Ordinary Fully Paid (ASX:JAT)	166,553.00000	0.0823	0.0340	10,375.00	5,962.80	(4,412.20)	(45.42)%	0.55%
Lindsay Australia Limited - Ordinary Fully Paid (ASX:LAU)	48,000.00000	0.2050	0.3500	10,044.28	17,150.00	7,105.71	70.74%	1.66%
Land and Mineral Exploration Limited - Ordinary Fully Paid (ASX:LDM)	1,112.00000	0.9289	0.0000	1,032.95	0.00	(1,032.95)	(100.00)%	- %
McPherson's Limited - Ordinary Fully Paid (ASX:MCP)	8,100.00000	1.2325	2.7700	9,962.95	22,437.00	12,454.05	124.75%	2.17%
Monadelphous Group Limited - Ordinary Fully Paid (ASX:MND)	2,140.00000	9.6278	10.8200	20,603.50	23,154.80	2,551.30	12.38%	2.24%
Medibank Private Limited - Ordinary Fully Paid (ASX:MPL)	3,175.00000	2.0000	2.9900	6,350.00	9,493.25	3,143.25	49.50%	0.82%
National Australia Bank Limited - Ordinary Fully Paid (ASX:NAB)	2,886.00000	28.7001	18.2200	82,828.55	52,582.82	(30,245.73)	(36.52)%	5.10%
National Australia Bank Limited - Ordinary Fully Paid (ASX:NAB)	908.00000	22.5106	18.2200	20,439.66	16,543.76	(3,895.90)	(19.06)%	1.60%
National Australia Bank Limited - Hybrid 3-Bbww+1 25% Perp Sub Exch Non-Cum Stap (ASX:NABHA)	80.00000	100.0000	89.8500	8,000.00	7,172.00	(828.00)	(10.35)%	0.70%
Ozgrowth Limited - Ordinary Fully Paid (ASX:OZG)	50,000.00000	0.2005	0.1700	10,024.95	8,500.00	(1,524.95)	(15.21)%	0.82%

X *Hancock*

Hancock Superannuation Fund
Investment Summary as at 30 June 2020

Investment	Units	Average Cost Price	Market Price	Accounting Cost	Market Value	Unrealised Accounting Gain/(Loss)	Accounting Gain/(Loss) (%)	Portfolio Weight (%)
Listed Securities Market								
Oz Minerals Limited - Ordinary Fully Paid (ASX:OZL)	300 00000	34 9098	10 9800	10,472.45	3,288.00	(7 184.95)	(88.60)%	0.32%
Platinum Asia Investments Limited - Ordinary Fully Paid (ASX:PAI)	5,712.00000	1.0088	1.0100	5,762.20	5,769.12	6.92	0.12%	0.56%
Ridgock International Limited (ASX:RIL)	54 156 00000	0 0951	0 0000	5 150 00	0 00	(5 150 00)	(100 00)%	- %
South32 Limited - Ordinary Fully Paid (ASX:S32)	1,000,00000	1.8994	2.0400	1,899.40	2,040.00	140.60	7.40%	0.20%
Suncorp Group Limited - Ordinary Fully Paid (ASX:SUN)	700 00000	13 9581	9 2300	9 770 70	6,481.30	(3,309.70)	(33.87)%	0.63%
Teletra Corporation Limited - Ordinary Fully Paid (ASX:TLS)	4,500,00000	3.2775	3.1300	14,748.85	14,085.00	(663.85)	(4.50)%	1.37%
Teletra Corporation Limited - Ordinary Fully Paid (ASX:TLS)	8,300 00000	3 0441	3 1300	28,310.25	29,109.00	798.75	2.82%	2.82%
Virgin Money UK PLC - Cdl 1:1 Foreign Exempt Lee (ASX:VUK)	948.00000	4.0100	1.8550	3,801.47	1,568.94	(2,232.53)	(58.73)%	0.15%
Wentley Limited - Ordinary Fully Paid (ASX:WAK)	65 00000	157 1209	0 0000	10,212.86	0 00	(10,212.86)	(100 00)%	- %
Westpac Banking Corporation - Ordinary Fully Paid (ASX:WBC)	1,054,00000	20.0580	17.8500	21,141.10	18,919.30	(2,221.80)	(10.51)%	1.83%
Westpac Limited - Ordinary Fully Paid (ASX:WES)	4,992 00000	10 9063	44 8300	54,444.26	223,791.36	169,347.10	311.05%	21.89%
Westox Investment Company Limited - Ordinary Fully Paid (ASX:WIC)	8,000,00000	1.2025	0.9150	9,619.95	7,320.00	(2,299.95)	(23.91)%	0.71%
Woodside Petroleum Limited - Ordinary Fully Paid (ASX:WPL)	1 489 00000	33 4418	21 6500	49,794.56	32,236.85	(17,557.71)	(35.26)%	3.12%
Xstrata Resources Limited - Ordinary Fully Paid (ASX:XST)	35,005,00000	0.3778	0.0020	13,223.80	70.01	(13,153.59)	(99.47)%	0.01%
				779,943.81	982,428.89	182,484.78	23.40%	93.27%
Unlisted Market								
Capital Gold Pty Ltd	100,000 00000	0 0500	0 0100	5,000.00	1,000.00	(4 000 00)	(80 00)%	0.10%
				5,000.00	1,000.00	(4,000.00)	(80.00)%	0.10%
				853,313.86	1,031,821.04	178,507.38	20.92%	100.00%

The accounting cost is the original cost base adjusted by any subsequent capital call/improvement or capital return transactions. In many cases, it is not the same as the adjusted or reduced cost base, or the reset cost base resulting from the CGT relief. To view the tax cost base and unrealised gain/loss for tax purposes refer to the Unrealised Capital Gains Report or change the report parameter to tax cost base.

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Hancock Superannuation Fund

Members Summary Report - For the period 1/07/2019 to 30/06/2020

Member's Detail		Opening Balance	Increases				Decreases				Closing Balance	
			Contrib	Tran In	Profit	Ins Proc	Tax	Exp	Ins Prem	Tran Out		Ben Paid
Mr Thomas Hancock												
16 Ravenshaw Street Newcastle West NSW 2302												
Pension	501: Hancock, Thomas Neil	613,036.55	0.00	0.00	(36,284.09)	0.00	10,217.32	0.00	0.00	0.00	(63,245.50)	523,724.28
Pension	502: Hancock, Thomas Neil	102,485.05	0.00	0.00	(8,060.83)	0.00	1,703.85	0.00	0.00	0.00	(17,580.00)	80,558.07
Pension	503: Hancock, Thomas Neil	82.49	0.00	0.00	(3.88)	0.00	1.06	0.00	0.00	0.00	0.00	59.69
Pension	505: Hancock, Thomas Neil	420,219.41	0.00	0.00	(25,328.92)	0.00	7,132.36	0.00	0.00	0.00	(33,200.00)	368,822.85
		1,135,803.50	0.00	0.00	(67,687.72)	0.00	18,054.61	0.00	0.00	0.00	(114,025.50)	973,164.89
Mrs Mel Lee												
16 Ravenshaw Street Newcastle West NSW 2302												
Accumulation	Accumulation	33,010.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(33,010.71)	0.00	0.00
Pension	504: Lee, Mel Yun	73,937.31	0.00	0.00	(4,180.05)	0.00	1,171.44	0.00	0.00	0.00	(16,720.00)	54,228.70
Pension	506: Lee, Mel Yun	0.00	0.00	33,010.71	(2,026.69)	0.00	570.74	0.00	0.00	0.00	(3,280.00)	28,274.76
		106,948.02	0.00	33,010.71	(6,186.74)	0.00	1,742.18	0.00	0.00	(33,010.71)	(20,000.00)	82,503.46
		1,242,751.52	0.00	33,010.71	(73,854.46)	0.00	20,796.79	0.00	0.00	(33,010.71)	(134,025.50)	1,055,668.35

X *Mel Lee*

Hancock Superannuation Fund
(ABN: 22 250 429 314)

Consolidated Member Benefit Totals

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number HANCOT0	Date of Birth	27 April 1939
Mr Thomas Neil Hancock		Date Joined Fund	1 August 1997
		Eligible Service Date	22 November 1965
		Tax File Number Held	Yes

*Note: this report provides a consolidated view of the Member's interests in the SMSF
Refer to the Member Benefit Statements produced for each member account for further details*

Your Accounts		Your Tax Components	
Withdrawal Benefit as at 1 Jul 2019		Tax Free	435,789.33
501: Hancock, Thomas Neil	613,036.55	Taxable - Taxed	537,375.56
502: Hancock, Thomas Neil	102,485.05	Taxable - Untaxed	-
503: Hancock, Thomas Neil	62.49	Your Preservation Components	
505: Hancock, Thomas Neil	420,219.41	Preserved	-
Total as at 1 Jul 2019	1,135,803.50	Restricted Non Preserved	-
Withdrawal Benefit as at 30 Jun 2020		Unrestricted Non Preserved	973,164.89
501: Hancock, Thomas Neil	523,724.28	Your Insurance Benefits	
502: Hancock, Thomas Neil	80,558.07	No insurance details have been recorded	
503: Hancock, Thomas Neil	59.69	Your Beneficiaries	
505: Hancock, Thomas Neil	368,822.85	Binding Beneficiary Nomination*	
Total as at 30 Jun 2020	973,164.89	Reversionary Beneficiary: Mrs Mei Yun Lee (Spouse) 100%	
		* Nomination in effect from 5 September 2015	



For Enquiries:
phone 0292993603 | email admin@rbwca.com.au | fax 0292903401
mail RBW Chartered Accountants, SUITE 501 83 YORK STREET, SYDNEY NSW 2000

Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number HANCOT0	Date of Birth	27 April 1939
Mr Thomas Neil Hancock		Date Joined Fund	1 August 1997
Pension Account		Eligible Service Date	22 November 1965
501: Hancock, Thomas Neil		Tax File Number Held	Yes
		Account Start Date	1 July 2007

Your Account Summary	
Withdrawal Benefit as at 1 Jul 2019	613,036.55
<u>Increases to your account:</u>	
Tax on Net Fund Income	10,217.32
<u>Total Increases</u>	10,217.32
<u>Decreases to your account:</u>	
Pension Payments	63,245.50
Share Of Net Fund Income	36,284.09
<u>Total Decreases</u>	99,529.59
Withdrawal Benefit as at 30 Jun 2020	523,724.28

Your Tax Components		
Tax Free	42.2511 %	221,279.42
Taxable - Taxed		302,444.66
Taxable - Untaxed		-

Your Preservation Components	
Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	523,724.28

Your Insurance Benefits	
No insurance details have been recorded	

Your Beneficiaries	
Binding Beneficiary Nomination*	
Reversionary Beneficiary:	
Mrs Mei Yun Lee (Spouse)	
100%	
* Nomination in effect from 5 September 2015	



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Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period	Member Account Details
1 July 2019 - 30 June 2020	Residential Address 16 Ravenshaw Street Newcastle West, NSW 2302
Member Number HANCOT0	Date of Birth 27 April 1939
Mr Thomas Neil Hancock	Date Joined Fund 1 August 1997
Pension Account	Eligible Service Date 22 November 1965
502: Hancock, Thomas Neil	Tax File Number Held Yes
	Account Start Date 1 July 2007

Your Account Summary	Your Tax Components
Withdrawal Benefit as at 1 Jul 2019 102,485.05	Tax Free 100.0000 % 80,558.07
<u>Increases to your account:</u>	Taxable - Taxed -
Tax on Net Fund Income 1,703.85	Taxable - Untaxed -
<u>Total Increases</u> 1,703.85	Your Preservation Components
<u>Decreases to your account:</u>	Preserved -
Pension Payments 17,580.00	Restricted Non Preserved -
Share Of Net Fund Income 6,050.83	Unrestricted Non Preserved 80,558.07
<u>Total Decreases</u> 23,630.83	Your Insurance Benefits
Withdrawal Benefit as at 30 Jun 2020 80,558.07	No insurance details have been recorded

Your Beneficiaries
Binding Beneficiary Nomination*
Reversionary Beneficiary: Mrs Mei Yun Lee (Spouse) 100%
* Nomination in effect from 5 September 2015

X 

Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number: HANCOT0	Date of Birth	27 April 1939
Mr Thomas Neil Hancock		Date Joined Fund	1 August 1997
Pension Account		Eligible Service Date	22 November 1965
503: Hancock, Thomas Neil		Tax File Number Held	Yes
		Account Start Date	1 July 2011
Your Account Summary		Your Tax Components	
Withdrawal Benefit as at 1 Jul 2019	62.49	Tax Free	40.0737% 23.92
<u>Increases to your account:</u>		Taxable - Taxed	35.77
Tax on Net Fund Income	1.08	Taxable - Untaxed	*
<u>Total Increases</u>	1.08	Your Preservation Components	
<u>Decreases to your account:</u>		Preserved	-
Share Of Net Fund Income	3.88	Restricted Non Preserved	-
<u>Total Decreases</u>	3.88	Unrestricted Non Preserved	59.69
Withdrawal Benefit as at 30 Jun 2020	59.69	Your Insurance Benefits	
		No insurance details have been recorded	
		Your Beneficiaries	
		Binding Beneficiary Nomination*	
		Reversionary Beneficiary:	
		Mrs Mei Yun Lee (Spouse)	
		100%	
		* Nomination in effect from 5 September 2015	

X 

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Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period	Member Account Details
1 July 2019 - 30 June 2020	Residential Address 16 Ravenshaw Street Newcastle West NSW 2302
Member Number HANCOT0	Date of Birth 27 April 1939
Mr Thomas Neil Hancock	Date Joined Fund 1 August 1997
Pension Account	Eligible Service Date 22 November 1985
505: Hancock, Thomas Neil	Tax File Number Held Yes
	Account Start Date 1 July 2018

Your Account Summary	Your Tax Components
Withdrawal Benefit as at 1 Jul 2019 420,219.41	Tax Free 36.3123 % 133,927.92
<u>Increases to your account:</u>	Taxable - Taxed 234,894.93
Tax on Net Fund Income 7,132.36	Taxable - Untaxed -
<u>Total Increases</u> 7,132.36	Your Preservation Components
<u>Decreases to your account:</u>	Preserved -
Pension Payments 33,200.00	Restricted Non Preserved -
Share Of Net Fund Income 25,328.92	Unrestricted Non Preserved 368,822.85
<u>Total Decreases</u> 58,528.92	Your Insurance Benefits
Withdrawal Benefit as at 30 Jun 2020 368,822.85	No insurance details have been recorded

Your Beneficiaries
Binding Beneficiary Nomination*
Reversionary Beneficiary: Mrs Mei Yun Lee (Spouse) 100%
* Nomination in effect from 5 September 2015

X 

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Trustee

The Trustee of the Fund is as follows:

Pital Pty Limited

The directors of the Trustee company are:

Mei Lee and
Thomas Hancock

Availability of Other Fund Information

Additional information regarding your membership is available on request. What your Fund can do is governed by the provisions of its Trust Deed, which is available for inspection. If you require further information or clarification of any aspect of your membership of the Fund, please contact your Fund's Administrator or Trustee.

Trustee Disclaimer

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. While every effort has been made by the Trustee to ensure the accuracy and completeness of this statement, the Trustee does not accept any liability for any errors, omissions or misprints.

Signed on behalf of the Trustee of the Fund

X 
.....
Mei Lee
Director - Pital Pty Limited

X 
.....
Thomas Hancock
Director - Pital Pty Limited

Statement Date: 30 June 2020

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Hancock Superannuation Fund
(ABN: 22 250 429 314)

Consolidated Member Benefit Totals

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number LEEM1	Date of Birth	7 November 1958
Mrs Mei Yun Lee		Date Joined Fund	15 April 2009
		Eligible Service Date	15 April 2009
		Tax File Number Held	Yes

*Note: this report provides a consolidated view of the Member's interests in the SMSF
Refer to the Member Benefit Statements produced for each member account for further details*

Your Accounts		Your Tax Components	
Withdrawal Benefit as at 1 Jul 2019		Tax Free	53,627.80
Accumulation	33,010.71	Taxable - Taxed	28,875.66
504: Lee, Mei Yun	73,937.31	Taxable - Untaxed	-
506: Lee, Mei Yun	-	Your Preservation Components	
Total as at 1 Jul 2019	<u>106,948.02</u>	Preserved	-
		Restricted Non Preserved	-
Withdrawal Benefit as at 30 Jun 2020		Unrestricted Non Preserved	82,503.46
Accumulation	-	Your Insurance Benefits	
504: Lee, Mei Yun	54,228.70	No insurance details have been recorded	
506: Lee, Mei Yun	28,274.76	Your Beneficiaries	
Total as at 30 Jun 2020	<u>82,503.46</u>	Binding Beneficiary Nomination*	
		Reversionary Beneficiary:	
		Ms Violet Hancock (Daughter)	
		100%	
		* Nomination in effect from 5 September 2015	

X 

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Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number LEEM1	Date of Birth	7 November 1958
Mrs Mei Yun Lee		Date Joined Fund	15 April 2009
Accumulation Account		Eligible Service Date	15 April 2009
Accumulation		Tax File Number Held	Yes
		Account Start Date	15 April 2009

Your Account Summary		Your Tax Components	
Withdrawal Benefit as at 1 Jul 2019	33,010.71	Tax Free	0.0000 %
<u>Decreases to your account:</u>		Taxable - Taxed	-
Transfers Out	33,010.71	Taxable - Untaxed	-
<u>Total Decreases</u>	33,010.71	Your Preservation Components	
Withdrawal Benefit as at 30 Jun 2020	-	Preserved	-
		Restricted Non Preserved	-
		Unrestricted Non Preserved	-
		Your Insurance Benefits	
		No insurance details have been recorded	
		Your Beneficiaries	
		Binding Beneficiary Nomination*	
		Reversionary Beneficiary:	
		Ms Violet Hancock (Daughter)	
		100%	
		* Nomination in effect from 5 September 2015	

X 

Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number LEEM1	Date of Birth	7 November 1958
Mrs Mei Yun Lee		Date Joined Fund	15 April 2009
Pension Account		Eligible Service Date	15 April 2009
504: Lee, Mei Yun		Tax File Number Held	Yes
		Account Start Date	1 July 2014

Your Account Summary	
Withdrawal Benefit as at 1 Jul 2019	73,937.31
<u>Increases to your account:</u>	
Tax on Net Fund Income	1,171.44
<u>Total Increases</u>	1,171.44
<u>Decreases to your account:</u>	
Pension Payments	16,720.00
Share Of Net Fund Income	4,160.05
<u>Total Decreases</u>	20,880.05
Withdrawal Benefit as at 30 Jun 2020	54,228.70

Your Tax Components		
Tax Free	56.4975 %	30,637.86
Taxable - Taxed		23,590.84
Taxable - Untaxed		*

Your Preservation Components	
Preserved	*
Restricted Non Preserved	*
Unrestricted Non Preserved	54,228.70

Your Insurance Benefits	
No insurance details have been recorded	

Your Beneficiaries	
Binding Beneficiary Nomination*	
Reversionary Beneficiary:	
Ms Violet Hancock (Daughter)	
100%	
* Nomination in effect from 5 September 2015	

X 

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Hancock Superannuation Fund
(ABN: 22 250 429 314)

Member Benefit Statement

Period		Member Account Details	
1 July 2019 - 30 June 2020		Residential Address	16 Ravenshaw Street Newcastle West, NSW 2302
Member	Number LEEM1	Date of Birth	7 November 1958
Mrs Mei Yun Lee		Date Joined Fund	15 April 2009
		Eligible Service Date	15 April 2009
Pension Account		Tax File Number Held	Yes
506: Lee, Mei Yun		Account Start Date	1 July 2019

Your Account Summary		Your Tax Components	
Withdrawal Benefit as at 1 Jul 2019	-	Tax Free	81.3091 % 22,989.94
<u>Increases to your account:</u>		Taxable - Taxed	5,284.82
Tax on Net Fund Income	570.74	Taxable - Untaxed	-
Transfers In	33,010.71	Your Preservation Components	
<u>Total Increases</u>	33,581.45	Preserved	-
<u>Decreases to your account:</u>		Restricted Non Preserved	-
Pension Payments	3,280.00	Unrestricted Non Preserved	28,274.76
Share Of Net Fund Income	2,026.69	Your Insurance Benefits	
<u>Total Decreases</u>	5,306.69	No insurance details have been recorded	
Withdrawal Benefit as at 30 Jun 2020	<u>28,274.76</u>	Your Beneficiaries	

Binding Beneficiary Nomination*

Reversionary Beneficiary:
Ms Violet Hancock (Daughter)
100%

* Nomination in effect from 5 September 2015



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Trustee

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Pital Pty Limited

The directors of the Trustee company are:

Mei Lee and
Thomas Hancock

Availability of Other Fund Information

Additional information regarding your membership is available on request. What your Fund can do is governed by the provisions of its Trust Deed, which is available for inspection. If you require further information or clarification of any aspect of your membership of the Fund, please contact your Fund's Administrator or Trustee.

Trustee Disclaimer

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. While every effort has been made by the Trustee to ensure the accuracy and completeness of this statement, the Trustee does not accept any liability for any errors, omissions or misprints.

Signed on behalf of the Trustee of the Fund

X 
.....
Mei Lee
Director - Pital Pty Limited

X 
.....
Thomas Hancock
Director - Pital Pty Limited

Statement Date: 30 June 2020

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