

# Self-managed superannuation fund annual return **2020**

## Who should complete this annual return?

Only self-managed superannuation funds (SMSFs) can complete this annual return. All other funds must complete the *Fund income tax return 2020* (NAT 71287).

- 1 The *Self-managed superannuation fund annual return instructions 2020* (NAT 71606) (the instructions) can assist you to complete this annual return.
- 2 The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via [ABR.gov.au](http://ABR.gov.au) or complete the Change of details for superannuation entities form (NAT 3036).

## To complete this annual return

- 1 Print clearly, using a BLACK pen only.
- 2 Use BLOCK LETTERS and print one character per box.

S M I T H S T

- 3 Place  in ALL applicable boxes.

- 4 Postal address for annual returns:

**Australian Taxation Office**  
GPO Box 9845  
[insert the name and postcode  
of your capital city]

## Section A: Fund information

1 Tax file number (TFN)

To assist processing, write the fund's TFN at the top of pages 3, 5, 7 and 9.

- 1 The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the Declaration.

2 Name of self-managed superannuation fund (SMSF)

Hancock Superannuation Fund

3 Australian business number (ABN) (if applicable)

4 Current postal address

C/- RBW Accountants

GPO BOX 7089

Suburb/town

SYDNEY

State/territory

NSW

Postcode

2001

5 Annual return status

Is this an amendment to the SMSF's 2020 return?

A No  Yes

Is this the first required return for a newly registered SMSF?

B No  Yes

X Hancock

LODGED  
30/6/21

Fund's tax file number (TFN) **6 SMSF auditor**

Auditor's name

Title: 

Family name

First given name

Other given names

SMSF Auditor Number

Auditor's phone number

Postal address

Suburb/town

State/territory

Postcode

Date audit was completed **A** Was Part A of the audit report qualified? **B** No  Yes Was Part B of the audit report qualified? **C** No  Yes If Part B of the audit report was qualified, have the reported issues been rectified? **D** No  Yes **7 Electronic funds transfer (EFT)**

We need your self-managed super fund's financial institution details to pay any super payments and tax refunds owing to you.

**A Fund's financial institution account details**

This account is used for super contributions and rollovers. Do not provide a tax agent account here.

Fund BSB number Fund account number 

Fund account name

I would like my tax refunds made to this account.  Go to C.**B Financial institution account details for tax refunds**

This account is used for tax refunds. You can provide a tax agent account here.

BSB number Account number 

Account name

**C Electronic service address alias**

Provide the electronic service address alias (ESA) issued by your SMSF messaging provider.

(For example, SMSFdataESAalias). See instructions for more information.

Fund's tax file number (TFN) 

- 8 Status of SMSF** Australian superannuation fund **A** No  Yes  Fund benefit structure **B**  Code
- Does the fund trust deed allow acceptance of the Government's Super Co-contribution and Low Income Super Amounts? **C** No  Yes

**9 Was the fund wound up during the income year?**

No  Yes  If yes, provide the date on which the fund was wound up  Have all tax lodgment and payment obligations been met? No  Yes

**10 Exempt current pension income**

Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

 To claim a tax exemption for current pension income, you must pay at least the minimum benefit payment under the law. Record exempt current pension income at Label A.

No  Go to Section B: Income.Yes  Exempt current pension income amount **A** \$  ~~00~~

Which method did you use to calculate your exempt current pension income?

Segregated assets method **B** Unsegregated assets method **C**  Was an actuarial certificate obtained? **D** Yes 

Did the fund have any other income that was assessable?

**E** Yes  Go to Section B: Income.No  Choosing 'No' means that you do not have any assessable income, including no-TFN quoted contributions. Go to Section C: Deductions and non-deductible expenses. (Do not complete Section B: Income.)

 If you are entitled to claim any tax offsets, you can list these at Section D: Income tax calculation statement.

X 

Fund's tax file number (TFN) 99971451

### Section B: Income

**Do not complete this section** if all superannuation interests in the SMSF were supporting superannuation income streams in the retirement phase for the **entire year**, there was **no** other income that was assessable, and you **have not** realised a deferred notional gain. If you are entitled to claim any tax offsets, you can record these at Section D: Income tax calculation statement.

**11 Income**

Did you have a capital gains tax (CGT) event during the year? **G** No  Yes

If the total capital loss or total capital gain is greater than \$10,000 and you elected to use the transitional CGT relief in 2017 and the deferred notional gain has been realised, complete and attach a *Capital gains tax (CGT) schedule 2020*.

Have you applied an exemption or rollover? **M** No  Yes  Code

Net capital gain **A** \$  -00

Gross rent and other leasing and hiring income **B** \$  -00

Gross interest **C** \$  -00

Forestry managed investment scheme income **X** \$  -00

Gross foreign income **D1** \$  -00 Net foreign income **D** \$  -00 Loss

Australian franking credits from a New Zealand company **E** \$  -00

Transfers from foreign funds **F** \$  -00 Number

Gross payments where ABN not quoted **H** \$  -00

Gross distribution from partnerships **I** \$  -00 Loss

\*Unfranked dividend amount **J** \$  -00

\*Franked dividend amount **K** \$  -00

\*Dividend franking credit **L** \$  -00

\*Gross trust distributions **M** \$  -00 Code

**Calculation of assessable contributions**

Assessable employer contributions **R1** \$  -00

plus Assessable personal contributions **R2** \$  -00

plus \*\*No-TFN-quoted contributions **R3** \$  -00  
(an amount must be included even if it is zero)

less Transfer of liability to life insurance company or PST **R6** \$  -00

**Assessable contributions** **R** \$  -00  
(R1 plus R2 plus R3 less R6)

**Calculation of non-arm's length income**

\*Net non-arm's length private company dividends **U1** \$  -00

plus \*Net non-arm's length trust distributions **U2** \$  -00

plus \*Net other non-arm's length income **U3** \$  -00

\*Other income **S** \$  -00 Code

\*Assessable income due to changed tax status of fund **T** \$  -00

**Net non-arm's length income** **U** \$  -00  
(subject to 45% tax rate) (U1 plus U2 plus U3)

\*This is a mandatory label.

\*If an amount is entered at this label, check the instructions to ensure the correct tax treatment has been applied.

**GROSS INCOME** **W** \$  -00 Loss   
(Sum of labels A to U)

Exempt current pension income **Y** \$  -00

**TOTAL ASSESSABLE INCOME** **V** \$  -00 Loss   
(W less Y)

Fund's tax file number (TFN)

## Section C: Deductions and non-deductible expenses

### 12 Deductions and non-deductible expenses

 Under 'Deductions' list all expenses and allowances you are entitled to claim a deduction for. Under 'Non-deductible expenses', list all other expenses or normally allowable deductions that you cannot claim as a deduction (for example, all expenses related to exempt current pension income should be recorded in the 'Non-deductible expenses' column).

| DEDUCTIONS                                 |   | NON-DEDUCTIBLE EXPENSES                     |  |
|--|---|---|--|
| Interest expenses within Australia         | A1 \$ <input type="text" value="0-00"/> | A2 \$ <input type="text" value="0-00"/>     |  |
| Interest expenses overseas                 | B1 \$ <input type="text" value="0-00"/> | B2 \$ <input type="text" value="0-00"/>     |  |
| Capital works expenditure                  | D1 \$ <input type="text" value="0-00"/> | D2 \$ <input type="text" value="0-00"/>     |  |
| Decline in value of depreciating assets    | E1 \$ <input type="text" value="0-00"/> | E2 \$ <input type="text" value="0-00"/>     |  |
| Insurance premiums – members               | F1 \$ <input type="text" value="0-00"/> | F2 \$ <input type="text" value="0-00"/>     |  |
| SMSF auditor fee                           | H1 \$ <input type="text" value="0-00"/> | H2 \$ <input type="text" value="2400-00"/>  |  |
| Investment expenses                        | I1 \$ <input type="text" value="0-00"/> | I2 \$ <input type="text" value="0-00"/>     |  |
| Management and administration expenses     | J1 \$ <input type="text" value="0-00"/> | J2 \$ <input type="text" value="12340-00"/> |  |
| Forestry managed investment scheme expense | U1 \$ <input type="text" value="0-00"/> | U2 \$ <input type="text" value="0-00"/>     |  |
| Other amounts                              | L1 \$ <input type="text" value="0-00"/> | L2 \$ <input type="text" value="518-00"/>   |  |
| Tax losses deducted                        | M1 \$ <input type="text" value="0-00"/> |   |  |

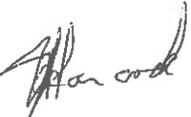
**TOTAL DEDUCTIONS**  
**N \$**   
 (Total A1 to M1)

**TOTAL NON-DEDUCTIBLE EXPENSES**  
**Y \$**   
 (Total A2 to L2)

**\*TAXABLE INCOME OR LOSS**  
**O \$**  Loss   
 (TOTAL ASSESSABLE INCOME less TOTAL DEDUCTIONS)

**TOTAL SMSF EXPENSES**  
**Z \$**   
 (N plus Y)

\*This is a mandatory label.

X 

Fund's tax file number (TFN)

**Section D: Income tax calculation statement**

**\*Important:**

Section B label R3, Section C label O and Section D labels A, T1, J, T5 and I are mandatory. If you leave these labels blank, you will have specified a zero amount.

**13 Calculation statement**

Please refer to the *Self-managed superannuation fund annual return instructions 2020* on how to complete the calculation statement.

\*Taxable income **A** \$ -00

*(an amount must be included even if it is zero)*

\*Tax on taxable income **T1** \$

*(an amount must be included even if it is zero)*

\*Tax on no-TFN-quoted contributions **J** \$

*(an amount must be included even if it is zero)*

Gross tax **B** \$

*(T1 plus J)*

Foreign income tax offset

**C1** \$

Rebates and tax offsets

**C2** \$

Non-refundable non-carry forward tax offsets

**C** \$

*(C1 plus C2)*

**SUBTOTAL 1**

**T2** \$

*(B less C – cannot be less than zero)*

Early stage venture capital limited partnership tax offset

**D1** \$

Early stage venture capital limited partnership tax offset carried forward from previous year

**D2** \$

Early stage investor tax offset

**D3** \$

Early stage investor tax offset carried forward from previous year

**D4** \$

Non-refundable carry forward tax offsets

**D** \$

*(D1 plus D2 plus D3 plus D4)*

**SUBTOTAL 2**

**T3** \$

*(T2 less D – cannot be less than zero)*

Complying fund's franking credits tax offset

**E1** \$

No-TFN tax offset

**E2** \$

National rental affordability scheme tax offset

**E3** \$

Exploration credit tax offset

**E4** \$

Refundable tax offsets

**E** \$

*(E1 plus E2 plus E3 plus E4)*

**\*TAX PAYABLE T5** \$

*(T3 less E – cannot be less than zero)*

Section 102AAM interest charge

**G** \$

X

Fund's tax file number (TFN)

|  |   |
|--|---|
| Credit for interest on early payments – amount of interest                       | <b>H1 \$</b> <input type="text"/>   |
| Credit for tax withheld – foreign resident withholding (excluding capital gains) | <b>H2 \$</b> <input type="text" value="0"/>   |
| Credit for tax withheld – where ABN or TFN not quoted (non-individual)           | <b>H3 \$</b> <input type="text" value="0"/>   |
| Credit for TFN amounts withheld from payments from closely held trusts           | <b>H5 \$</b> <input type="text"/>   |
| Credit for interest on no-TFN tax offset   | <b>H6 \$</b> <input type="text"/>   |
| Credit for foreign resident capital gains withholding amounts                    | <b>H8 \$</b> <input type="text"/>   |
| <b>Eligible credits</b>  | <b>H \$</b> <input type="text" value="0"/><br><i>(H1 plus H2 plus H3 plus H5 plus H6 plus H8)</i> |

|   |  |
|---|--|
| <b>*Tax offset refunds</b><br>(Remainder of refundable tax offsets) | <b>I \$</b> <input type="text" value="20845.56"/><br><i>(unused amount from label E – an amount must be included even if it is zero)</i> |
|---|--|

|   |  |
|---|--|
| <b>PAYG instalments raised</b>                        | <b>K \$</b> <input type="text" value="0"/>   |
| <b>Supervisory levy</b>                               | <b>L \$</b> <input type="text" value="259"/> |
| <b>Supervisory levy adjustment for wound up funds</b> | <b>M \$</b> <input type="text"/>             |
| <b>Supervisory levy adjustment for new funds</b>      | <b>N \$</b> <input type="text"/>             |

|   |  |
|---|--|
| <b>AMOUNT DUE OR REFUNDABLE</b><br>A positive amount at <b>S</b> is what you owe, while a negative amount is refundable to you. | <b>S \$</b> <input type="text" value="-20586.56"/><br><i>(T5 plus G less H less I less K plus L less M plus N)</i> |
|---|--|

#This is a mandatory label.

**Section E: Losses**

**14 Losses**

 If total loss is greater than \$100,000, complete and attach a *Losses schedule 2020*.

|  |  |
|--|--|
| Tax losses carried forward to later income years         | <b>U \$</b> <input type="text" value="0"/> <b>00</b>     |
| Net capital losses carried forward to later income years | <b>V \$</b> <input type="text" value="66458"/> <b>00</b> |

X 

Fund's tax file number (TFN) 99971451

Section F: Member information

MEMBER 1

Title: MR

Family name

Hancock

First given name

Thomas

Other given names

Neil

Member's TFN

See the Privacy note in the Declaration. 128617682

Date of birth

27/04/1939

Contributions

OPENING ACCOUNT BALANCE \$ 1135803.50

Refer to instructions for completing these labels.

Employer contributions

A \$ 0

ABN of principal employer

A1

Personal contributions

B \$ 0

CGT small business retirement exemption

C \$ 0

CGT small business 15-year exemption amount

D \$ 0

Personal injury election

E \$ 0

Spouse and child contributions

F \$ 0

Other third party contributions

G \$ 0

Proceeds from primary residence disposal

H \$ 0

Receipt date Day Month Year

H1

Assessable foreign superannuation fund amount

I \$ 0

Non-assessable foreign superannuation fund amount

J \$ 0

Transfer from reserve: assessable amount

K \$ 0

Transfer from reserve: non-assessable amount

L \$ 0

Contributions from non-complying funds and previously non-complying funds

T \$ 0

Any other contributions (including Super Co-contributions and Low Income Super Amounts)

M \$ 0

TOTAL CONTRIBUTIONS N \$ 0

(Sum of labels A to M)

Other transactions

Allocated earnings or losses

O \$ 48613.11

Loss L

Inward rollovers and transfers

P \$ 0

Outward rollovers and transfers

Q \$ 0

Lump Sum payments

R1 \$

Income stream payments

R2 \$ 114025.50

Code

Code M

Accumulation phase account balance S1 \$ 0

Retirement phase account balance - Non CDBIS S2 \$ 973164.89

Retirement phase account balance - CDBIS S3 \$ 0

0 TRIS Count

CLOSING ACCOUNT BALANCE S \$ 973164.89

(S1 plus S2 plus S3)

Accumulation phase value X1 \$

Retirement phase value X2 \$

Outstanding limited recourse borrowing arrangement amount Y \$

Handwritten signature and mark

Fund's tax file number (TFN) 99971451

MEMBER 2

Title: MRS

Family name

Lee

First given name

Mei

Other given names

Yun

Member's TFN

See the Privacy note in the Declaration. 895127374

Day Month Year

Date of birth 07/11/1958

Contributions

OPENING ACCOUNT BALANCE \$ 106948.02

Refer to instructions for completing these labels.

Employer contributions

A \$ 0

ABN of principal employer

A1

Personal contributions

B \$ 0

CGT small business retirement exemption

C \$ 0

CGT small business 15-year exemption amount

D \$ 0

Personal injury election

E \$ 0

Spouse and child contributions

F \$ 0

Other third party contributions

G \$ 0

Proceeds from primary residence disposal

H \$ 0

Receipt date Day Month Year

H1

Assessable foreign superannuation fund amount

I \$ 0

Non-assessable foreign superannuation fund amount

J \$ 0

Transfer from reserve: assessable amount

K \$ 0

Transfer from reserve: non-assessable amount

L \$ 0

Contributions from non-complying funds and previously non-complying funds

T \$ 0

Any other contributions (including Super Co-contributions and Low Income Super Amounts)

M \$ 0

TOTAL CONTRIBUTIONS N \$ 0

(Sum of labels A to M)

Other transactions

Allocated earnings or losses

O \$ 4444.56

Loss L

Inward rollovers and transfers

P \$ 0

Outward rollovers and transfers

Q \$ 0

Lump Sum payments

R1 \$

Income stream payments

R2 \$ 20000

Code

Code M

Accumulation phase account balance S1 \$ 0

Retirement phase account balance - Non CDBIS S2 \$ 82503.46

Retirement phase account balance - CDBIS S3 \$ 0

0 TRIS Count

CLOSING ACCOUNT BALANCE S \$ 82503.46

(S1 plus S2 plus S3)

Accumulation phase value X1 \$

Retirement phase value X2 \$

Outstanding limited recourse borrowing arrangement amount Y \$

Sensitive (when completed)

X [Signature]

Fund's tax file number (TFN) 99971451

Section H: Assets and liabilities

15 ASSETS

15a Australian managed investments

Listed trusts **A** \$ 31320-00

Unlisted trusts **B** \$ 0-00

Insurance policy **C** \$ 0-00

Other managed investments **D** \$ 0-00

15b Australian direct investments

Cash and term deposits **E** \$ 68369-00

|  |                   |
|--|-------------------|
| <b>Limited recourse borrowing arrangements</b> |                   |
| Australian residential real property           | <b>J1</b> \$ 0-00 |
| Australian non-residential real property       | <b>J2</b> \$ 0-00 |
| Overseas real property                         | <b>J3</b> \$ 0-00 |
| Australian shares                              | <b>J4</b> \$ 0-00 |
| Overseas shares                                | <b>J5</b> \$ 0-00 |
| Other  | <b>J6</b> \$ 0-00 |
| Property count                                 | <b>J7</b> 0       |

Debt securities **F** \$ 7172-00

Loans **G** \$ 0-00

Listed shares **H** \$ 923936-00

Unlisted shares **I** \$ 1000-00

Limited recourse borrowing arrangements **J** \$ 0-00

Non-residential real property **K** \$ 0-00

Residential real property **L** \$ 0-00

Collectables and personal use assets **M** \$ 0-00

Other assets **O** \$ 23871-00

15c Other investments

Crypto-Currency **N** \$ 0-00

15d Overseas direct investments

Overseas shares **P** \$ 0-00

Overseas non-residential real property **Q** \$ 0-00

Overseas residential real property **R** \$ 0-00

Overseas managed investments **S** \$ 0-00

Other overseas assets **T** \$ 0-00

**TOTAL AUSTRALIAN AND OVERSEAS ASSETS** **U** \$ 1055668-00  
(Sum of labels **A** to **T**)

15e In-house assets

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year? **A** No  Yes  \$ 0-00

X *M. Han*

Fund's tax file number (TFN) 99971451

15f Limited recourse borrowing arrangements

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution? A No [ ] Yes [ ]

Did the members or related parties of the fund use personal guarantees or other security for the LRBA? B No [ ] Yes [ ]

16 LIABILITIES

Table with 3 columns: Description, Amount, and Total. Rows include: Borrowings for limited recourse (V1), Permissible temporary borrowings (V2), Other borrowings (V3), Total member closing account balances (W), Reserve accounts (X), Other liabilities (Y), and TOTAL LIABILITIES (Z).

Section I: Taxation of financial arrangements

17 Taxation of financial arrangements (TOFA)

Total TOFA gains H \$ [ ] -00

Total TOFA losses I \$ [ ] -00

Section J: Other information

Family trust election status

If the trust or fund has made, or is making, a family trust election, write the four-digit income year specified of the election (for example, for the 2019-20 income year, write 2020). A [ ]

If revoking or varying a family trust election, print R for revoke or print V for variation, and complete and attach the Family trust election, revocation or variation 2020. B [ ]

Interposed entity election status

If the trust or fund has an existing election, write the earliest income year specified. If the trust or fund is making one or more elections this year, write the earliest income year being specified and complete an Interposed entity election or revocation 2020 for each election. C [ ]

If revoking an interposed entity election, print R, and complete and attach the Interposed entity election or revocation 2020. D [ ]

X [Signature]

Fund's tax file number (TFN) 99971451

**Section K: Declarations**

 Penalties may be imposed for false or misleading information in addition to penalties relating to any tax shortfalls.

**Important**

Before making this declaration check to ensure that all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you leave labels blank, you will have specified a zero amount or the label was not applicable to you. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

**Privacy**

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However if you do not provide the TFN, the processing of this form may be delayed.

Taxation law authorises the ATO to collect information and disclose it to other government agencies. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**TRUSTEE'S OR DIRECTOR'S DECLARATION:**

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature



Date <sup>Day</sup> 25 / <sup>Month</sup> 06 / <sup>Year</sup> 2021

**Preferred trustee or director contact details:**

Title: MR

Family name

Hancock

First given name

Thomas

Other given names

Neil

Phone number

02

92993603

Email address

Non-individual trustee name (if applicable)

Pital Pty Limited

ABN of non-individual trustee

Time taken to prepare and complete this annual return

Hrs

 The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this annual return to maintain the integrity of the register. For further information, refer to the instructions.

**TAX AGENT'S DECLARATION:**

I declare that the *Self-managed superannuation fund annual return 2020* has been prepared in accordance with information provided by the trustees, that the trustees have given me a declaration stating that the information provided to me is true and correct, and that the trustees have authorised me to lodge this annual return.

Tax agent's signature



Date <sup>Day</sup> / <sup>Month</sup> / <sup>Year</sup>

**Tax agent's contact details**

Title: MR

Family name

Lam

First given name

Alan

Other given names

Tax agent's practice

Rigney Bolton Woodward Pty Ltd

Tax agent's phone number

02 92993603

Reference number

SSHANC

Tax agent number

25968780

# Capital gains tax (CGT) schedule **2020**

**When completing this form**

- Print clearly, using a black or dark blue pen only.
- Use BLOCK LETTERS and print one character in each box.

|   |   |   |   |   |   |   |  |  |  |  |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|
| S | M | I | T | H | S | T |  |  |  |  |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|

- Do not use correction fluid or covering stickers.
- Sign next to any corrections with your **full signature** (not initials).

- Use in conjunction with company, trust, fund income tax return or the self-managed superannuation fund annual return.
- Refer to the *Guide to capital gains tax 2020* available on our website at [ato.gov.au](http://ato.gov.au) for instructions on how to complete this schedule.

Tax file number (TFN)

 We are authorised by law to request your TFN. You do not have to quote your TFN. However, if you don't it could increase the chance of delay or error in processing your form.

Australian business number (ABN)

**Taxpayer's name**

**1 Current year capital gains and capital losses**

|   | Capital gain                                  |   | Capital loss |
|---|---|---|--------------|
| Shares in companies listed on an Australian securities exchange                                   | <b>A</b> \$ <input type="text" value=""/> -00 | <b>K</b> \$ <input type="text" value=""/> -00 |              |
| Other shares  | <b>B</b> \$ <input type="text" value=""/> -00 | <b>L</b> \$ <input type="text" value=""/> -00 |              |
| Units in unit trusts listed on an Australian securities exchange                                  | <b>C</b> \$ <input type="text" value=""/> -00 | <b>M</b> \$ <input type="text" value=""/> -00 |              |
| Other units   | <b>D</b> \$ <input type="text" value=""/> -00 | <b>N</b> \$ <input type="text" value=""/> -00 |              |
| Real estate situated in Australia   | <b>E</b> \$ <input type="text" value=""/> -00 | <b>O</b> \$ <input type="text" value=""/> -00 |              |
| Other real estate   | <b>F</b> \$ <input type="text" value=""/> -00 | <b>P</b> \$ <input type="text" value=""/> -00 |              |
| Amount of capital gains from a trust (including a managed fund)                                   | <b>G</b> \$ <input type="text" value=""/> -00 |   |              |
| Collectables  | <b>H</b> \$ <input type="text" value=""/> -00 | <b>Q</b> \$ <input type="text" value=""/> -00 |              |
| Other CGT assets and any other CGT events   | <b>I</b> \$ <input type="text" value=""/> -00 | <b>R</b> \$ <input type="text" value=""/> -00 |              |
| Amount of capital gain previously deferred under transitional CGT relief for superannuation funds | <b>S</b> \$ <input type="text" value=""/> -00 |   |              |
| <b>Total current year capital gains</b>   | <b>J</b> \$ <input type="text" value=""/> -00 |   |              |

Add the amounts at labels **K** to **R** and write the total in item 2 label **A** – **Total current year capital losses**.



Sensitive (when completed)

Fund's tax file number (TFN) 99971451

2 Capital losses

Total current year capital losses A \$ -00

Total current year capital losses applied B \$ -00

Total prior year net capital losses applied C \$ -00

Total capital losses transferred in applied (only for transfers involving a foreign bank branch or permanent establishment of a foreign financial entity) D \$ -00

Total capital losses applied E \$ -00

Add amounts at B, C and D.

3 Unapplied net capital losses carried forward

Net capital losses from collectables carried forward to later income years A \$ -00

Other net capital losses carried forward to later income years B \$ -00

Add amounts at A and B and transfer the total to label V - Net capital losses carried forward to later income years on your tax return.

4 CGT discount

Total CGT discount applied A \$ -00

5 CGT concessions for small business

Small business active asset reduction A \$ -00

Small business retirement exemption B \$ -00

Small business rollover C \$ -00

Total small business concessions applied D \$ -00

6 Net capital gain

Net capital gain A \$ -00

1J less 2E less 4A less 5D (cannot be less than zero). Transfer the amount at A to label A - Net capital gain on your tax return.

X Alan Cook

Fund's tax file number (TFN) 99971451

7 Earnout arrangements

Are you a party to an earnout arrangement? A Yes, as a buyer [ ] Yes, as a seller [ ] No [ ]
(Print X in the appropriate box.)

1 If you are a party to more than one earnout arrangement, copy and attach a separate sheet to this schedule providing the details requested here for each additional earnout arrangement.

How many years does the earnout arrangement run for? B [ ]

What year of that arrangement are you in? C [ ]

If you are the seller, what is the total estimated capital proceeds from the earnout arrangement? D \$ [ ] .00

Amount of any capital gain or loss you made under your non-qualifying arrangement in the income year. E \$ [ ] .00 / [ ] LOSS

1 Request for amendment

If you received or provided a financial benefit under a look-through earnout right created in an earlier income year and you wish to seek an amendment to that earlier income year, complete the following:

Income year earnout right created F [ ]

Amended net capital gain or capital losses carried forward G \$ [ ] .00 / [ ] LOSS

8 Other CGT information required (if applicable)

Small business 15 year exemption - exempt capital gains A \$ [ ] .00 / [ ] CODE

Capital gains disregarded by a foreign resident B \$ [ ] .00

Capital gains disregarded as a result of a scrip for scrip rollover C \$ [ ] .00

Capital gains disregarded as a result of an inter-company asset rollover D \$ [ ] .00

Capital gains disregarded by a demerging entity E \$ [ ] .00

X [Handwritten signature]

# Electronic lodgment declaration (Form MS)

(for self-managed superannuation funds)

## Part A: Taxpayer's declaration

This declaration is to be completed where the tax return is to be lodged via an approved ATO electronic channel. It is the responsibility of the taxpayer to retain this declaration for a period of five years after the declaration is made, penalties may apply for failure to do so.

### Privacy

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). The ATO will use the TFNs to identify each partner or beneficiary or entity in our records. It is not an offence not to provide the TFNs. However, you cannot lodge your tax return electronically if you do not quote your TFN.

Taxation law authorises the ATO to collect information and disclose it to other government agencies, including personal information about the person authorised to sign the declaration. For information about privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

### The Australian Business Register

The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this tax return to maintain the integrity of the register.

Please refer to the privacy statement on the Australian Business Register (ABR) website ([www.abr.gov.au](http://www.abr.gov.au)) for further information – it outlines our commitment to safeguarding your details.

### Electronic funds transfer – direct debit

Where you have requested an EFT direct debit some of your details will be provided to your financial institution and the Tax Office's sponsor bank to facilitate the payment of your taxation liability from your nominated account.

| Tax file number | Fund name                   | Year of return |
|-----------------|-----------------------------|----------------|
| 99971451        | Hancock Superannuation Fund | 2020           |

I authorise my tax agent to electronically transmit this tax return via an approved ATO electronic channel.

**Important:** Before making this declaration please check to ensure that all income has been disclosed and the tax return is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the Tax Office. The tax law provides heavy penalties for false or misleading statements on tax returns.

### Declaration

I declare that:

- all of the information I have provided to the agent for the preparation of this document is true and correct
- I authorise the agent to give this document to the Commissioner of Taxation

Signature of trustee or director

X 

Date

25/06/2021

## Part B: Electronic funds transfer consent

This declaration is to be completed when an electronic funds transfer (EFT) of a refund is requested and the tax return is being lodged through an approved ATO electronic channel.

This declaration must be signed by the partner, trustee, director or public officer prior to the EFT details being transmitted to the Tax Office. If you elect for an EFT, all details below must be completed.

### Important:

Care should be taken when completing EFT details as the payment of any refund will be made to the account specified.

| Agent's reference number | Account name                |
|--------------------------|-----------------------------|
| 25968780                 | HANCOCK SUPERANNUATION FUND |

I authorise the refund to be deposited directly to the specified account.

Signature of trustee or director

X 

Date

25/06/2021

## Part D: Tax agent's certificate (shared facility users only)

**Declaration:** I declare that:

- I have prepared this tax return in accordance with the information supplied by the trustees;
- I have received a declaration by the trustees that the information provided to me for the preparation of this tax return is true and correct, and;
- I am authorised by the trustees to lodge this tax return, including any applicable schedules.

Signature of tax agent



Date



| Agent's contact name | Agent's phone | Agent's reference | Client's reference |
|----------------------|---------------|-------------------|--------------------|
| MR Alan Lam          | 02 92993603   | 25968780          | SSHANC             |

Hancock Superannuation Fund  
Scope of Work

This Return has been prepared based on the records and information supplied by you. Therefore, the accuracy of the return depends on these records. Whilst we have exercised due care and skill in compiling the return, the responsibility for the contents of the return rests with you. Accordingly, will you please check the return to satisfy yourself that it is correct. Significant penalties can be applied for understating tax payable. Upon signing the relevant pages, you will be certifying that you have authorised Rigney Bolton Woodward Pty Ltd to lodge the return and declare that any information you have provided to us for purposes of preparing this return is true, correct and complete.

X   
.....  
Mrs Mei Lee  
Director - Pital Pty Limited

X   
.....  
Mr Thomas Hancock  
Director - Pital Pty Limited

# **Hancock Superannuation Fund**

ABN 22 250 429 314

**Financial Statement**  
**For the year ended 30 June 2020**

X *Hancock*

**Hancock Superannuation Fund**  
**Statement of Financial Position**  
**as at 30 June 2020**

|   | Note | 2020<br>\$                 | 2019<br>\$                 |
|---|------|----------------------------|----------------------------|
| <b>Assets</b>                               |      |                            |                            |
| <b>Investments</b>                          |      |                            |                            |
| Derivative Investments                      | 6A   | 22.60                      | 9.00                       |
| Other Fixed Interest Securities             | 6B   | 7,172.00                   | 7,220.00                   |
| Shares in Listed Companies                  | 6C   | 923,936.55                 | 1,033,669.23               |
| Shares in Unlisted Companies                | 6D   | 1,000.00                   | 1,000.00                   |
| Units In Listed Unit Trusts                 | 6E   | 31,320.04                  | 32,954.54                  |
| <b>Other Assets</b>                         |      |                            |                            |
| Cash At Bank                                |      | 68,369.85                  | 118,753.52                 |
| DRP Residual                                |      | 452.61                     | 452.61                     |
| Receivables                                 |      | 2,549.14                   | 2,539.11                   |
| Current Tax Assets                          |      | 20,845.56                  | 46,153.51                  |
| <b>Total Assets</b>                         |      | <u><u>1,055,668.35</u></u> | <u><u>1,242,751.52</u></u> |
| <b>Liabilities</b>                          |      |                            |                            |
| <b>Total Liabilities</b>                    |      | <u><u>-</u></u>            | <u><u>-</u></u>            |
| <b>Net Assets Available to Pay Benefits</b> |      | <u><u>1,055,668.35</u></u> | <u><u>1,242,751.52</u></u> |
| <i>Represented by:</i>                      |      |                            |                            |
| <b>Liability for Accrued Benefits</b>       | 2    |                            |                            |
| Mr Thomas Hancock                           |      | 973,164.89                 | 1,135,803.50               |
| Mrs Mei Lee                                 |      | 82,503.46                  | 106,948.02                 |
| <b>Total Liability for Accrued Benefits</b> |      | <u><u>1,055,668.35</u></u> | <u><u>1,242,751.52</u></u> |

*X* 

*The accompanying notes form part of these financial statements.*

**Hancock Superannuation Fund**  
**Operating Statement**  
For the period 1 July 2019 to 30 June 2020

|   | Note | 2020<br>\$          | 2019<br>\$         |
|---|------|---------------------|--------------------|
| <b>Income</b>   |      |                     |                    |
| Member Receipts   |      |                     |                    |
| Contributions   |      |                     |                    |
| Member  |      | -                   | 490.78             |
| Investment Gains  |      |                     |                    |
| Realised Capital Gains  | 8A   | -                   | (4,277.30)         |
| Investment Income   |      |                     |                    |
| Distributions   | 7A   | 2,904.14            | 3,795.00           |
| Dividends   | 7B   | 49,249.51           | 63,715.04          |
| Foreign Income  | 7C   | -                   | 52.77              |
| Interest  | 7D   | 447.36              | 1,526.19           |
| Other Income  |      | 144.81              | -                  |
|   |      | <u>52,745.82</u>    | <u>65,302.48</u>   |
| <b>Expenses</b>   |      |                     |                    |
| Member Payments   |      |                     |                    |
| Pensions Paid   |      | 134,025.50          | 68,170.00          |
| Other Expenses  |      |                     |                    |
| Accountancy Fee   |      | 12,340.00           | 396.00             |
| Auditor Fee   |      | 2,400.00            | -                  |
| SMSF Supervisory Levy   |      | 518.00              | -                  |
| Investment Losses   |      |                     |                    |
| Decrease in Market Value  | 8B   | 111,342.28          | (29,257.85)        |
|   |      | <u>260,625.78</u>   | <u>39,308.15</u>   |
| <b>Benefits Accrued as a Result of Operations before Income Tax</b> |      | <b>(207,879.96)</b> | <b>25,994.33</b>   |
| <b>Income Tax</b>   |      |                     |                    |
| Income Tax Expense  |      | (20,796.79)         | (27,555.67)        |
|   |      | <u>(20,796.79)</u>  | <u>(27,555.67)</u> |
| <b>Benefits Accrued as a Result of Operations</b>                   |      | <b>(187,083.17)</b> | <b>53,550.00</b>   |



*The accompanying notes form part of these financial statements.*

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**Hancock Superannuation Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2020**

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**Note 1 - Statement of Significant Accounting Policies**

The following significant accounting policies have been adopted in the preparation and presentation of the financial statements. They have been consistently applied in the current and previous periods unless otherwise stated to ensure the financial information satisfies the concept of relevance and reliability.

**(a) Statement of Compliance**

The trustees have prepared the financial statements on the basis that the superannuation fund is a non-reporting entity because the members are able to command the preparation of tailored reports so as to satisfy specifically all of their information needs and there are no other users dependent on the financial statements. The financial statements are therefore special purpose financial statements that have been prepared in accordance with the legislative requirements of the *Superannuation Industry (Supervision) Act 1993* and *Regulations 1994* and the provisions of the Trust Deed. The trustees have determined that the accounting policies adopted are appropriate to meet their needs.

**(b) Basis of Preparation**

The financial statements have been prepared on a cash basis using historical costs convention unless stated otherwise. For investments and financial liabilities, they are measured at market values.

The financial statements are presented in Australian dollars, which is the functional currency of the fund.

**(c) Use of Accounting Estimates and Judgments**

The preparation of financial statements requires the trustees to make judgments, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets and liabilities, income and expenses. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstance, the results of which form the basis of making the judgments. Actual results may differ from these estimates.

Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in the period in which the estimate is revised and in any future period affected.

**(d) Cash and Cash Equivalents**

Cash and cash equivalents include cash on hand, deposits held at call with banks or financial institutions and short-term, highly liquid investments that are readily convertible to cash and are subject to an insignificant risk of change in value.

**(e) Foreign Currency**

Any foreign currency transactions during the financial year are brought to account using the exchange rate in effect at the date of the transaction. Foreign currency monetary items at reporting date are translated at the exchange rate existing at reporting date. Exchange differences are recognised in the operating statement in the period in which they arise.

**(f) Valuation of Assets**

*Investment*

An investment is initially recognised when as a result of past transactions or events, the Fund controls the future economic benefits expected to flow from the asset.

The investment assets are firstly recorded at cost, being the fair value of the consideration given. After initial recognition, they are measured at market value. Gains or losses arising from changes in market value are recognised on the Operating Statement in the periods in which they occur.

Market value as defined in s10 of *SISA 1993*, in relation to an asset, means the amount that a willing buyer of the asset could reasonably be expected to pay to acquire the asset from a willing seller if the following assumptions were made:

- i. that the buyer and the seller dealt with each other at arm's length in relation to the sale;
- ii. that the sale occurred after proper marketing of the asset;
- iii. that the buyer and the seller acted knowledgeably and prudentially in relation to the sale.

As disposal costs are generally immaterial unless otherwise stated, market value approximates fair value.

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## Hancock Superannuation Fund

### Notes to the Financial Statements

#### As at 30 June 2020

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Market values for various types of investment have been determined as follows:

- i. listed securities, government and other fixed interest securities for which there is a readily available market quotation, the valuation is recorded as the last quoted sale price as at the close of business on reporting date. If the listed securities are foreign, they are also converted to Australian dollars using the exchange rate at the close of business on the reporting date;
- ii. unit trusts and managed funds are stated by reference to the unit redemption price quoted by the fund manager at the end of the reporting period;
- iii. unlisted investments are stated at the Trustees' valuation based on estimated market value at balance date; or where necessary, upon external valuers' expert opinions;
- iv. Investment properties are carried at market value and are held for the purpose of generating long-term rental yields and capital appreciation. The Trustees give consideration to the value of the investment property each financial year and revalue when a significant event occurs or when deemed appropriate. Where an external valuation has been obtained, the valuation is based on objective and supportable data and has been carried out by a property valuation service provider or qualified independent valuer as appropriate.

#### *Financial Liabilities*

The Fund initially recognises a financial liability on the date it becomes a party to the contractual provisions of the instrument.

Financial liabilities including credit balances of hedging instruments and derivatives are measured at market values as at the reporting date. Any change in market values of the financial liabilities since the beginning of the reporting period shall be included in the profit or loss for the reporting period. As disposal costs are generally immaterial, unless otherwise stated, market value approximates fair value.

#### *Receivables and Payables*

Current assets such as accounts receivable, which are expected to be recovered within twelve months after the reporting period, are carried at nominal amounts which approximate the fair values.

Accounts payable are recognised when the Fund becomes obliged to make future payments resulting from the goods and services received, whether or not billed to the Fund and are carried at nominal amounts which are equivalent to fair values.

### **(g) Revenue Recognition**

Revenue is recognised to the extent that it is probable that the economic benefits will flow to the Fund and the revenue can be reliably measured. Revenue is measured at the fair value of consideration received or receivable. The following recognition criteria relate to the specific items of revenue the Fund receives:

#### *Interest*

The interest revenue is recognised by the Fund on a cash receipt basis, unless the Fund chooses the accrual method and the amount can be reliably measured by reference to the principal outstanding and using the effective interest rate of the instrument calculated at the acquisition or origination date.

#### *Dividend Revenue*

The entitlement to a dividend is based on the date the shares are quoted ex-dividend; the actual dividend revenue is recognised by the Fund when it is received.

#### *Distribution Revenue*

Distributions from unit trusts and managed funds are recognised as at the date the unit value is quoted ex-distributions and if not received at the end of the reporting period, are reflected in the statement of financial position as a receivable at market value.

#### *Rental Income*

Rent from investment properties is recognised by the Fund on a cash receipt basis.

#### *Movement in market values*

Changes in the market value of investments are determined as the difference between the market value at balance date or consideration received (if sold during the year) and the market value as at the prior year end or cost (if the investment was acquired during the period). All movements are recognised in the Operating Statement.

#### *Contributions and Rollovers In*

Contributions and rollovers in are recognised when the control and the benefits from the revenue have been attained and are recorded by the Fund, gross of any taxes, in the period to which they relate.

The financial report was authorised for issue on 18 June 2021 by the directors of the trustee company.

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**Hancock Superannuation Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2020**

**Note 2 – Liability for Accrued Benefits**

The liability for accrued benefits represents the fund's present obligation to pay benefits to members and beneficiaries and has been calculated as the difference between the carrying amount of the assets and the carrying amount of the other payables and income tax liabilities as at the end of the reporting period. Changes in the Liability for Accrued Benefits are as follows:

|  | Current             | Previous            |
|--|---------------------|---------------------|
| Liability for Accrued Benefits at beginning of period  | 1,242,751.52        | 1,189,201.52        |
| Benefits Accrued during the period                     | (53,057.67)         | 121,720.00          |
| Benefits Paid during the period                        | (134,025.50)        | (68,170.00)         |
| <b>Liability for Accrued Benefits at end of period</b> | <b>1,055,668.35</b> | <b>1,242,751.52</b> |

Any amount in the Unallocated Contributions account represent amounts that have been received by the fund from either the members of the fund or a third party but have not been allocated to any specific member as at the reporting date. It is the intention of the trustee to allocate any such amounts recorded as unallocated contributions within 28 days following the end of the month to specific fund member, which will increase the liability for members accrued benefits.

**Note 3 – Vested Benefits**

Vested benefits are benefits which are not conditional upon continued membership of the fund (or any factor other than resignation from the plan) and include benefits which members were entitled to receive had they terminated their fund membership as at the reporting period.

|   | Current             | Previous            |
|---|---------------------|---------------------|
| Vested Benefits at beginning of period  | 1,242,751.52        | 1,189,201.52        |
| Benefits Accrued during the period      | (53,057.67)         | 121,720.00          |
| Benefits Paid during the period         | (134,025.50)        | (68,170.00)         |
| <b>Vested Benefits at end of period</b> | <b>1,055,668.35</b> | <b>1,242,751.52</b> |

**Note 4 – Guaranteed Benefits**

No guarantees have been made in respect of any part of the liability for accrued benefits.

**Note 5 – Funding Arrangements**

No fixed funding arrangements were in place for the Fund as at year end.

**Note 6A – Derivative Investments**

|   | Current      | Previous    |
|---|--------------|-------------|
| At market value:  |              |             |
| Ozgrowth Limited - Option Expiring 31-Aug-2019                  | 5.00         | 5.00        |
| Westoz Investment Company Limited - Option Expiring 31-Aug-2019 | 17.60        | 4.00        |
|   | <b>22.60</b> | <b>9.00</b> |

**Note 6B – Other Fixed Interest Securities**

|  | Current         | Previous        |
|--|-----------------|-----------------|
| At market value:   |                 |                 |
| National Australia Bank Limited - Hybrid 3-Bbsw+1.25% Perp Sub Exch Non-Cum Stap | 7,172.00        | 7,220.00        |
|  | <b>7,172.00</b> | <b>7,220.00</b> |

X *Ad a crook*

**Hancock Superannuation Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2020**

**Note 6C – Shares in Listed Companies**

|   | Current           | Previous            |
|---|-------------------|---------------------|
| At market value:  |                   |                     |
| Acumentis Group Limited   | 2,312.00          | 0.00                |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid | 76,237.60         | 115,378.90          |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid | 36,198.88         | 54,783.82           |
| Bc Iron Limited - Ordinary Fully Paid                                 | 1,530.00          | 1,620.00            |
| BHP Group Limited - Fully Paid Ordinary                               | 59,640.30         | 68,531.40           |
| BHP Group Limited - Fully Paid Ordinary                               | 68,774.40         | 79,027.20           |
| Cadence Capital Limited - Ordinary Fully Paid                         | 4,053.50          | 5,025.00            |
| Coles Group Limited.  | 85,712.64         | 66,643.20           |
| Collins Foods Limited - Ordinary Fully Paid                           | 27,318.00         | 24,070.00           |
| Commonwealth Bank of Australia. - Ordinary Fully Paid                 | 20,826.00         | 24,834.00           |
| Commonwealth Bank of Australia. - Ordinary Fully Paid                 | 6,942.00          | 8,278.00            |
| Cybg Plc - Cdi 1:1 Foreign Exempt Lse                                 | 0.00              | 3,242.16            |
| Dws Limited - Ordinary Fully Paid                                     | 8,748.00          | 12,636.00           |
| G.U.D. Holdings Limited - Ordinary Fully Paid                         | 25,460.12         | 22,142.12           |
| Jatenergy Limited - Ordinary Fully Paid                               | 5,662.80          | 7,827.99            |
| Landmark White Limited - Ordinary Fully Paid                          | 0.00              | 5,202.00            |
| Lindsay Australia Limited - Ordinary Fully Paid                       | 17,150.00         | 16,905.00           |
| McPherson's Limited - Ordinary Fully Paid                             | 22,437.00         | 9,760.50            |
| Medibank Private Limited - Ordinary Fully Paid                        | 9,493.25          | 11,080.75           |
| Monadelphous Group Limited - Ordinary Fully Paid                      | 23,154.80         | 40,253.40           |
| National Australia Bank Limited - Ordinary Fully Paid                 | 52,582.92         | 77,113.92           |
| National Australia Bank Limited - Ordinary Fully Paid                 | 16,543.76         | 24,261.76           |
| Oz Minerals Limited - Ordinary Fully Paid                             | 3,288.00          | 3,009.00            |
| Ozgrowth Limited - Ordinary Fully Paid                                | 8,500.00          | 7,500.00            |
| Platinum Asia Investments Limited - Ordinary Fully Paid               | 5,769.12          | 5,647.49            |
| South32 Limited - Ordinary Fully Paid                                 | 2,040.00          | 3,180.00            |
| Suncorp Group Limited - Ordinary Fully Paid                           | 6,461.00          | 9,698.40            |
| Telstra Corporation Limited. - Ordinary Fully Paid                    | 29,109.00         | 35,805.00           |
| Telstra Corporation Limited. - Ordinary Fully Paid                    | 14,085.00         | 17,325.00           |
| Virgin Money Uk PLC - Cdi 1:1 Foreign Exempt Lse                      | 1,568.94          | 0.00                |
| Wesfarmers Limited - Ordinary Fully Paid                              | 223,791.36        | 180,510.72          |
| Westoz Investment Company Limited - Ordinary Fully Paid               | 7,320.00          | 8,240.00            |
| Westpac Banking Corporation - Ordinary Fully Paid                     | 18,919.30         | 29,891.44           |
| Woodside Petroleum Limited - Ordinary Fully Paid                      | 32,236.85         | 54,140.04           |
| Xstate Resources Limited - Ordinary Fully Paid                        | 70.01             | 105.02              |
|   | <u>923,936.55</u> | <u>1,033,669.23</u> |

**Note 6D – Shares in Unlisted Companies**

|                      | Current         | Previous        |
|----------------------|-----------------|-----------------|
| At market value:     |                 |                 |
| Capital Gold Pty Ltd | 1,000.00        | 1,000.00        |
|                      | <u>1,000.00</u> | <u>1,000.00</u> |

**Note 6E – Units In Listed Unit Trusts**

|  | Current          | Previous         |
|--|------------------|------------------|
| At market value:   |                  |                  |
| Aurora Absolute Return Fund - Ordinary Units Fully Paid  | 1,010.04         | 1,010.04         |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F                     | 5,256.00         | 6,524.00         |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid | 6,175.80         | 7,665.70         |
| Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid        | 2,500.50         | 2,790.00         |
| Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid                          | 16,377.70        | 14,964.80        |
|  | <u>31,320.04</u> | <u>32,954.54</u> |

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**Hancock Superannuation Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2020**

**Note 7A – Distributions**

|  | Current         | Previous        |
|--|-----------------|-----------------|
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F                     | 441.35          | 711.96          |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid | 518.61          | 836.61          |
| Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid        | 77.91           | 224.54          |
| Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid                          | 1,866.27        | 2,021.89        |
|  | <b>2,904.14</b> | <b>3,795.00</b> |

**Note 7B – Dividends**

|  | Current          | Previous         |
|--|------------------|------------------|
| National Australia Bank Limited - Hybrid 3-Bbsw+1.25% Perp Sub Exch Non-Cum Stap | 188.28           | 255.21           |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid            | 6,544.00         | 6,544.00         |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid            | 3,107.20         | 3,107.20         |
| BHP Group Limited - Fully Paid Ordinary  | 3,548.22         | 5,126.54         |
| BHP Group Limited - Fully Paid Ordinary  | 4,091.64         | 5,911.69         |
| Cadence Capital Limited - Ordinary Fully Paid                                    | 268.00           | 469.00           |
| Coles Group Limited.   | 3,269.76         | 0.00             |
| Collins Foods Limited - Ordinary Fully Paid                                      | 580.00           | 522.00           |
| Commonwealth Bank of Australia. - Ordinary Fully Paid                            | 1,293.00         | 1,293.00         |
| Commonwealth Bank of Australia. - Ordinary Fully Paid                            | 431.00           | 431.00           |
| Dws Limited - Ordinary Fully Paid  | 756.00           | 972.00           |
| G.U.D. Holdings Limited - Ordinary Fully Paid                                    | 1,238.72         | 1,172.36         |
| Landmark White Limited - Ordinary Fully Paid                                     | 0.00             | 578.00           |
| Lindsay Australia Limited - Ordinary Fully Paid                                  | 1,029.00         | 980.00           |
| McPherson's Limited - Ordinary Fully Paid  | 810.00           | 688.50           |
| Medibank Private Limited - Ordinary Fully Paid                                   | 495.31           | 409.58           |
| Monadelphous Group Limited - Ordinary Fully Paid                                 | 963.00           | 1,219.80         |
| National Australia Bank Limited - Ordinary Fully Paid                            | 4,790.76         | 5,714.28         |
| National Australia Bank Limited - Ordinary Fully Paid                            | 1,507.28         | 1,797.84         |
| Oz Minerals Limited - Ordinary Fully Paid  | 69.00            | 69.00            |
| Ozgrowth Limited - Ordinary Fully Paid   | 275.00           | 250.00           |
| Platinum Asia Investments Limited - Ordinary Fully Paid                          | 221.50           | 406.56           |
| South32 Limited - Ordinary Fully Paid  | 74.53            | 182.46           |
| Suncorp Group Limited - Ordinary Fully Paid                                      | 498.80           | 590.40           |
| Telstra Corporation Limited. - Ordinary Fully Paid                               | 1,488.00         | 1,767.00         |
| Telstra Corporation Limited. - Ordinary Fully Paid                               | 720.00           | 855.00           |
| Wesfarmers Limited - Ordinary Fully Paid   | 7,637.76         | 15,974.40        |
| Westoz Investment Company Limited - Ordinary Fully Paid                          | 480.00           | 480.00           |
| Westpac Banking Corporation - Ordinary Fully Paid                                | 843.20           | 2,972.28         |
| Woodside Petroleum Limited - Ordinary Fully Paid                                 | 2,030.55         | 2,975.94         |
|  | <b>49,249.51</b> | <b>63,715.04</b> |

**Note 7C – Foreign Income**

|                                       | Current     | Previous     |
|---------------------------------------|-------------|--------------|
| Cybg Plc - Cdi 1:1 Foreign Exempt Lse | 0.00        | 52.77        |
|                                       | <b>0.00</b> | <b>52.77</b> |

X 

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**Hancock Superannuation Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2020**

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**Note 7D – Interest**

|                                  | <b>Current</b> | <b>Previous</b> |
|----------------------------------|----------------|-----------------|
| E-Trade Acc 1095993              | 2.01           | 2.37            |
| E-Trade No.2 Acc 1203010         | 0.24           | 0.26            |
| Macquarie CMA Acc 960681831      | 208.37         | 613.81          |
| Macquarie CMA No.2 Acc 960681849 | 236.74         | 909.75          |
|                                  | <u>447.36</u>  | <u>1,526.19</u> |

**Note 8A – Realised Capital Gains**

|                                   | <b>Current</b> | <b>Previous</b>   |
|-----------------------------------|----------------|-------------------|
| <b>Shares in Listed Companies</b> |                |                   |
| Ipe Limited - Ordinary Fully Paid | 0.00           | (4,277.30)        |
|                                   | <u>0.00</u>    | <u>(4,277.30)</u> |

*X*  
*M. H. ...*

**Hancock Superannuation Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2020**

**Note 8B – Decrease in Market Value**

|  | Current           | Previous           |
|--|-------------------|--------------------|
| <b>Derivative Investments</b>  |                   |                    |
| Ozgrowth Limited - Option Expiring 31-Aug-2019   | 0.00              | 30.00              |
| Westoz Investment Company Limited - Option Expiring 31-Aug-2019                                      | (13.60)           | 88.00              |
| <b>Other Fixed Interest Securities</b>   |                   |                    |
| National Australia Bank Limited - Hybrid 3-Bbsw+1.25% Perp Sub Exch Non-Cum Stap                     | 48.00             | (452.80)           |
| <b>Shares In Listed Companies</b>  |                   |                    |
| Acumentis Group Limited  | 7,603.85          | 0.00               |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid                                | 39,141.30         | 122.70             |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid                                | 18,584.94         | 58.26              |
| Bc Iron Limited - Ordinary Fully Paid  | 90.00             | (405.00)           |
| BHP Group Limited - Fully Paid Ordinary  | 8,891.10          | (12,071.25)        |
| BHP Group Limited - Fully Paid Ordinary  | 10,252.80         | (13,920.00)        |
| Cadence Capital Limited - Ordinary Fully Paid  | 971.50            | 3,350.00           |
| Coles Group Limited.   | (19,069.44)       | (44,502.47)        |
| Collins Foods Limited - Ordinary Fully Paid  | (3,248.00)        | (7,917.00)         |
| Commonwealth Bank of Australia. - Ordinary Fully Paid  | 4,008.00          | (2,973.00)         |
| Commonwealth Bank of Australia. - Ordinary Fully Paid  | 1,336.00          | (991.00)           |
| Cybg Plc - Cdi 1:1 Foreign Exempt Lse  | (559.31)          | 2,095.08           |
| Dws Limited - Ordinary Fully Paid  | 3,888.00          | 972.00             |
| G.U.D. Holdings Limited - Ordinary Fully Paid  | (3,318.00)        | 9,179.80           |
| Ipe Limited - Ordinary Fully Paid  | 0.00              | (4,292.35)         |
| Jatenergy Limited - Ordinary Fully Paid  | 2,165.19          | 2,165.19           |
| Landmark White Limited - Ordinary Fully Paid   | (4,713.85)        | 10,837.50          |
| Lindsay Australia Limited - Ordinary Fully Paid  | (245.00)          | 1,715.00           |
| McPherson's Limited - Ordinary Fully Paid  | (12,676.50)       | 4,050.00           |
| Medibank Private Limited - Ordinary Fully Paid   | 1,587.50          | (1,809.75)         |
| Monadelphous Group Limited - Ordinary Fully Paid   | 17,098.60         | (8,025.00)         |
| National Australia Bank Limited - Ordinary Fully Paid  | 24,531.00         | 1,991.34           |
| National Australia Bank Limited - Ordinary Fully Paid  | 7,718.00          | 626.52             |
| Oz Minerals Limited - Ordinary Fully Paid  | (279.00)          | (183.00)           |
| Ozgrowth Limited - Ordinary Fully Paid   | (1,000.00)        | 1,500.00           |
| Platinum Asia Investments Limited - Ordinary Fully Paid  | 99.87             | 1,192.43           |
| South32 Limited - Ordinary Fully Paid  | 1,140.00          | 430.00             |
| Suncorp Group Limited - Ordinary Fully Paid  | 2,956.60          | 806.40             |
| Telstra Corporation Limited. - Ordinary Fully Paid   | 6,696.00          | (11,439.00)        |
| Telstra Corporation Limited. - Ordinary Fully Paid   | 3,240.00          | (5,535.00)         |
| Virgin Money Uk PLC - Cdi 1:1 Foreign Exempt Lse   | 2,232.53          | 0.00               |
| Wesfarmers Limited - Ordinary Fully Paid   | (43,280.64)       | 43,753.67          |
| Westoz Investment Company Limited - Ordinary Fully Paid  | 920.00            | 1,080.00           |
| Westpac Banking Corporation - Ordinary Fully Paid  | 10,972.14         | 990.76             |
| Woodside Petroleum Limited - Ordinary Fully Paid   | 21,903.19         | (1,340.10)         |
| Xstate Resources Limited - Ordinary Fully Paid   | 35.01             | 35.00              |
| <b>Units In Listed Unit Trusts</b>   |                   |                    |
| Aurora Absolute Return Fund - Ordinary Units Fully Paid  | 0.00              | 976.02             |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F                     | 1,268.00          | (104.00)           |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid | 1,489.90          | (122.20)           |
| Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid        | 289.50            | (46.50)            |
| Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid                          | (1,412.90)        | (1,174.10)         |
|  | <b>111,342.28</b> | <b>(29,257.85)</b> |

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# Hancock Superannuation Fund

## Trustee Declaration

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In the opinion of the Trustees of the Hancock Superannuation Fund.

The Fund is not a reporting entity and this special purpose financial report should be prepared in accordance with the accounting policies described in Note 1 to these financial statements.

- (i) the financial statements and notes to the financial statements for the year ended 30 June 2020 present fairly the financial position of the Fund at 30 June 2020 and the results of its operations for the year then ended in accordance with the accounting policies described in Note 1 to the financial statements; and
- (ii) the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the Trust Deed; and
- (iii) the operation of the Fund has been carried out in accordance with its Trust Deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 during the year ended 30 June 2020.

Signed in accordance with a resolution of the directors of Pital Pty Limited by:

X  ..... Dated: 25, 06, 2021  
Mei Lee  
Director: Pital Pty Limited

X  ..... Dated: 25, 06, 2021  
Thomas Hancock  
Director: Pital Pty Limited

**Hancock Superannuation Fund**  
**Self-Managed Superannuation Fund Independent Audit Report**  
**for the period 1 July 2019 to 30 June 2020**

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**Approved SMSF auditor details**

**Name** Bernice Bolton

**Business name**

**Business postal address** Suite 501, 83 York Street, Sydney, NSW 2000, Australia

**SMSF auditor number (SAN)** 100073498

**Self-managed superannuation fund details**

**Self-managed super fund (SMSF) name** Hancock Superannuation Fund

**Australian business number (ABN)** 22 250 429 314

**Address** 16 Ravenshaw Street, Newcastle West, NSW 2302, Australia

**Year of income being audited** 2020

**To the SMSF trustees**

To the SMSF trustees of Hancock Superannuation Fund



## Part A: Financial report

### Opinion

I have audited the special purpose financial report of the Hancock Superannuation Fund comprising the statement of financial position as at 30 June 2020, and the operating statement, a summary of significant accounting policies and other explanatory notes of the Hancock Superannuation Fund for the year ended 30 June 2020.

In my opinion, the financial report presents fairly, in all material respects, in accordance with the accounting policies described in the notes to the financial report, the financial position of the fund at 30 June 2020 and the results of its operations for the year then ended.

### Basis for Opinion

My audit has been conducted in accordance with Australian Auditing Standards<sup>1</sup>. My responsibilities under those standards are further described in the *Approved SMSF Auditor's Responsibilities for the Audit of the Financial Report* section of this report. I am independent of the SMSF in accordance with the auditor independence requirements of the Accounting Professional and Ethical Standards Board's APES 110 *Code of Ethics for Professional Accountants (including Independence Standards)* (the Code) that are relevant to this audit and as required by the Superannuation Industry (Supervision) Regulations 1994 (SISR). I have also fulfilled my other ethical responsibilities in accordance with the Code.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

### Basis of accounting

Without modifying my opinion, I draw attention to note 1 of the financial report, which describes the basis of accounting. The financial report has been prepared to assist Hancock Superannuation Fund meet the requirements of the SMSF's governing rules, the *Superannuation Industry (Supervision) Act 1993* (SISA) and the *Superannuation Industry (Supervision) Regulations 1994* (SISR). As a result, the financial report may not be suitable for other purposes.

### Responsibilities of SMSF trustees for the financial report

Each SMSF trustee (individual trustee or director of corporate trustee) is responsible for the preparation and fair presentation of the financial report in accordance with the financial reporting requirements of the SMSF's governing rules, the SISA and the SISR. Each trustee is also responsible for such internal controls as they determine are necessary to enable the preparation and fair presentation of a financial report that is free from material misstatement, whether due to fraud or error.

In preparing the financial report, the trustees are responsible for assessing the fund's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the trustees intend to wind-up the fund or have no realistic alternative but to do so.

Each SMSF trustee is responsible for overseeing the fund's financial reporting process.

### Approved SMSF auditor's responsibilities for the audit of the financial report

My objectives are to obtain reasonable assurance about whether the financial report as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with the Australian Auditing Standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of trustees taken on the basis of this financial report.

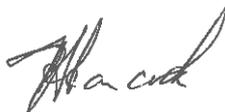
  
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<sup>1</sup> The Australian Auditing Standards issued by the Auditing and Assurance Standards Board.

As part of an audit in accordance with Australian Auditing Standards, I exercise professional judgment and maintain professional scepticism throughout the audit. I also:

- Identify and assess the risks of material misstatement of the financial report, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of an internal control.
- Obtain an understanding of internal controls relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the fund's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the trustees.
- Conclude on the appropriateness of trustees' use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the fund's ability to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in the auditor's report to the related disclosures in the financial report or if such disclosures are inadequate, to modify my opinion. My conclusions are based on the audit evidence obtained up to the date of the auditor's report. However, future events or conditions may cause the fund to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial report, including the disclosures, and whether the financial report represents the underlying transactions and events in a manner that achieves fair presentation.

I have communicated with the trustees regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during the audit.

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## **Part B: Compliance engagement**

### **Opinion**

I have undertaken a reasonable assurance engagement on Hancock Superannuation Fund's compliance, in all material respects, with the applicable provisions of the SISA and the SISR as listed below ("the listed provisions") for the year ended 30 June 2020.

Sections: 17A, 35AE, 35B, 35C(2), 62, 65, 66, 67, 67A, 67B, 82-85, 103, 104, 104A, 105, 109, 126K

Regulations: 1.06(9A), 4.09, 4.09A, 5.03, 5.08, 6.17, 7.04, 8.02B, 13.12, 13.13, 13.14, 13.18AA

In my opinion, each trustee of Hancock Superannuation Fund has complied in all material respects, with the listed provisions for the year ended 30 June 2020.

### **Basis for Opinion**

I have conducted my engagement in accordance with Standard on Assurance Engagements ASAE 3100 *Compliance Engagements* issued by the Auditing and Assurance Standards Board.

I believe that the evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

### **Independence and quality control**

I have complied with the independence and other ethical requirements relating to assurance engagements, and applied Auditing Standards ASQC 1 *Quality Control for Firms that Perform Audits and Reviews of Financial Reports and Other Financial Information, and Other Assurance Engagements* in undertaking this assurance engagement.

### **SMSF trustee's responsibilities**

Each SMSF trustee is responsible for complying with the listed provisions and for the identification of risks that threaten compliance with the listed provisions, controls which will mitigate those risks and monitoring ongoing compliance.

### **Approved SMSF auditor's responsibilities**

My responsibility is to express an opinion on the trustees' compliance, in all material respects, with the listed provisions, for the year ended 30 June 2020. ASAE 3100 *Compliance Engagements* requires that I plan and perform my procedures to obtain reasonable assurance about whether the trustee have complied, in all material respects, with the listed provisions for the year ended 30 June 2020.

An assurance engagement to report on the trustees' compliance with the listed provisions involves performing procedures to obtain evidence about the compliance activity and controls implemented to meet the compliance requirements. The procedures selected depend on my judgement, including the identification and assessment of risks of material non-compliance.

My procedures included examination, on a test basis, of evidence supporting compliance with those requirements of the listed provisions for the year ended 30 June 2020.

These tests have not been performed continuously throughout the period, were not designed to detect all instances of non-compliance, and have not covered any other provisions of the SISA and the SISR apart from those specified.

### **Inherent limitations**

Due to the inherent limitations of an assurance engagement, together with the internal control structure it is possible that fraud, error, or non-compliance with the listed provisions may occur and not be detected.



A reasonable assurance engagement for the year ended 30 June 2020 does not provide assurance on whether compliance with the listed provisions will continue in the future.

Signature of approved SMSF auditor

..... Dated: ...../...../.....

Name: Bernice Bolton

A handwritten signature in black ink, appearing to read "Bernice Bolton", with a large 'X' mark to its left.

## Appendix 1 - Explanation of listed sections and regulations in compliance engagement

This appendix is included to assist with the meaning of the legislation and regulations listed above

| Section or Regulation | Explanation   |
|-----------------------|---|
| S17A                  | The fund must meet the definition of an SMSF  |
| S35AE                 | The trustees must keep and maintain accounting records for a minimum of five years  |
| S35B                  | The trustees must prepare, sign and retain accounts and statements  |
| S35C(2)               | The trustees must provide the auditor with the necessary documents to complete the audit in a timely and professional manner; and within 14 days of a written request from the auditor  |
| S62                   | The fund must be maintained for the sole purpose of providing benefits to any or all of the following: <ul style="list-style-type: none"><li>□ fund members upon their retirement</li><li>□ fund members upon reaching a prescribed age</li><li>□ the dependants of a fund member in the case of the member's death before retirement</li></ul> |
| S65                   | The trustees must not loan monies or provide financial assistance to any member or relative at any time during the financial year   |
| S66                   | The trustees must not acquire any assets (not listed as an exception) from any member or related party of the fund  |
| S67                   | The trustees of the fund must not borrow any money or maintain an existing borrowing (not listed as an exception)   |
| S67A & 67B            | The fund must comply with the limited recourse borrowing arrangement rules when borrowing to purchase single acquirable asset or replacement assets (not listed as an exception to the borrowing rules)   |
| S82-85                | The trustees must comply with the in-house asset rules  |
| S103                  | The trustees must keep minutes of all meetings and retain the minutes for a minimum of 10 years   |
| S104                  | The trustees must keep up to date records of all trustee or director of corporate trustee changes and trustee consents for a minimum of 10 years  |
| S104A                 | Trustees who became a trustee on or after 1 July 2007 must sign and retain a trustee declaration  |
| S105                  | The trustees must ensure that copies of all member or beneficiary reports are kept for a minimum of 10 years  |
| S109                  | All investment transactions must be made and maintained at arms-length - that is, purchase, sale price and income from an asset reflects a true market value/rate of return   |
| S126K                 | A disqualified person cannot be a trustee, investment manager or custodian of a superannuation fund   |
| Sub Reg 1.06 (9A)     | Pension payments must be made at least annually, and must be at least the amount calculated under Schedule 7  |
| Reg 4.09              | Trustees must formulate, regularly review and give effect to an investment strategy for the fund  |
| Reg 4.09A             | The assets of the SMSF must be held separately from any assets held by the trustee personally or by a standard employer sponsor or an associate of the standard employer sponsor  |

X 

|             |   |
|-------------|---|
| Reg 5.03    | Investment returns must be allocated to members in a manner that is fair and reasonable   |
| Reg 5.08    | Member minimum benefits must be maintained in the fund until transferred, rolled over, allotted (to the member's spouse) or cashed out in a permitted fashion |
| Reg 6.17    | Payments of member benefits must be made in accordance with Part 6 or Part 7A of the regulations and be permitted by the trust deed                           |
| Reg 7.04    | Contributions can only be accepted in accordance with the applicable rules for the year being audited   |
| Reg 8.02B   | When preparing accounts and statements required by subsection 35B(1) of SISA, an asset must be valued at its market value                                     |
| Reg 13.12   | Trustees must not recognise an assignment of a super interest of a member or beneficiary  |
| Reg 13.13   | Trustees must not recognise a charge over or in relation to a member's benefits   |
| Reg 13.14   | Trustees must not give a charge over, or in relation to, an asset of the fund   |
| Reg 13.18AA | Investments in collectables and personal use assets must be maintained in accordance with prescribed rules  |

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Hancock Superannuation Fund

Tax Accounting Reconciliation

For the period 1 July 2019 to 30 June 2020

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**Operating Statement Profit vs. Provision for Income Tax**

2020  
\$

**Benefits Accrued as a Result of Operations before Income Tax** (207,879.96)

**ADD:**

|                                 |            |
|---------------------------------|------------|
| Decrease in Market Value        | 111,342.28 |
| Franking Credits                | 20,845.56  |
| Pension Non-deductible Expenses | 15,258.00  |
| Pensions Paid                   | 134,025.50 |

**LESS:**

|                           |           |
|---------------------------|-----------|
| Pension Exempt Income     | 71,940.00 |
| Distributed Capital Gains | 1,623.32  |
| Non-Taxable Income        | 23.88     |
| Rounding                  | 4.18      |

**Taxable Income or Loss** 0.00

|   | Income Amount | Tax Amount  |
|---|---------------|-------------|
| Gross Tax @ 15% for Concessional Income         | 0.00          | 0.00        |
| Gross Tax @ 45% for Net Non-Arm's Length Income | 0.00          | 0.00        |
| No-TFN Quoted Contributions @ 32%               | 0.00          | 0.00        |
| Change in Carried Forward Losses                | 0.00          | 0.00        |
| <b>Provision for Income Tax</b>                 |               | <u>0.00</u> |

**Provision for Income Tax vs. Income Tax Expense**

**Provision for Income Tax** 0.00

**ADD:**

|                                       |       |
|---------------------------------------|-------|
| Excessive Foreign Tax Credit Writeoff | 48.77 |
|---------------------------------------|-------|

**LESS:**

|                  |           |
|------------------|-----------|
| Franking Credits | 20,845.56 |
|------------------|-----------|

**Income Tax Expense** (20,796.79)

**Provision for Income Tax vs. Income Tax Payable**

**Provision for Income Tax** 0.00

**ADD:**

|                                       |       |
|---------------------------------------|-------|
| Excessive Foreign Tax Credit Writeoff | 48.77 |
|---------------------------------------|-------|

**LESS:**

|                     |           |
|---------------------|-----------|
| Franking Credits    | 20,845.56 |
| Foreign Tax Credits | 48.77     |

**Income Tax Payable (Receivable)** (20,845.56)

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**Hancock Superannuation Fund**

**Tax Accounting Reconciliation**

**For the period 1 July 2019 to 30 June 2020**

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**Exempt Current Pension Income Settings**

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|                                |           |
|--------------------------------|-----------|
| Pension Exempt % (Actuarial)   | 100.0000% |
| Pension Exempt % (Expenses)    | 100.0000% |
| Assets Segregated For Pensions | No        |

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**Hancock Superannuation Fund**  
Investment Summary as at 30 June 2020

| Investment  | Units        | Average Cost Price | Market Price | Accounting Cost  | Market Value     | Unrealised Accounting Gain/(Loss) | Accounting Gain/(Loss) (%) | Portfolio Weight (%) |
|---|--------------|--------------------|--------------|------------------|------------------|-----------------------------------|----------------------------|----------------------|
| <b>Bank</b>   |              |                    |              |                  |                  |                                   |                            |                      |
| E-Trade Acc 1095893   |              |                    |              | 2,691.60         | 2,691.60         |                                   |                            | 0.26%                |
| E-Trade No 2 Acc 1203010  |              |                    |              | 285.28           | 285.28           |                                   |                            | 0.03%                |
| Macquarie CMA Acc 980681831   |              |                    |              | 15,271.57        | 15,271.57        |                                   |                            | 1.48%                |
| Macquarie CMA No 2 Acc 980681849  |              |                    |              | 50,121.42        | 50,121.42        |                                   |                            | 4.56%                |
|   |              |                    |              | <b>68,369.87</b> | <b>68,369.86</b> |                                   |                            | <b>6.33%</b>         |
| <b>Listed Derivatives Market</b>  |              |                    |              |                  |                  |                                   |                            |                      |
| Ozgrowth Limited - Option Expiring 31-Aug-2019 (ASX:OZGOC)                      | 5,000.00000  | 0.0000             | 0.0010       | 0.00             | 5.00             | 5.00                              | 0.00%                      | - %                  |
| Westoz Investment Company Limited - Option Expiring 31-Aug-2019 (ASX:WOCOC)     | 800.00000    | 0.0000             | 0.0220       | 0.00             | 17.60            | 17.60                             | 0.00%                      | - %                  |
|   |              |                    |              | <b>0.00</b>      | <b>22.60</b>     | <b>22.60</b>                      | <b>0.00%</b>               | <b>- %</b>           |
| <b>Listed Securities Market</b>   |              |                    |              |                  |                  |                                   |                            |                      |
| Aurora Absolute Return Fund - Ordinary Units Fully Paid (ASX:ABV)               | 5,316.00000  | 1.6620             | 0.1800       | 8,835.18         | 1,010.04         | (7,825.14)                        | (88.57)%                   | 0.10%                |
| Acumenba Group Limited (ASX:ACU)  | 28,800.00000 | 0.3431             | 0.0800       | 9,915.85         | 2,312.00         | (7,603.85)                        | (76.66)%                   | 0.22%                |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid (ASX:ANZ) | 4,090.00000  | 20.4078            | 18.8400      | 83,487.90        | 78,237.60        | (7,230.30)                        | (8.66)%                    | 7.39%                |
| Australia and New Zealand Banking Group Limited - Ordinary Fully Paid (ASX:ANZ) | 1,942.00000  | 14.2223            | 18.8400      | 27,819.30        | 36,188.88        | 8,579.08                          | 31.06%                     | 3.51%                |
| Bo Iron Limited - Ordinary Fully Paid (ASX:BCI)                                 | 9,000.00000  | 1.6489             | 0.1700       | 14,840.07        | 1,530.00         | (13,310.07)                       | (89.69)%                   | 0.15%                |
| BHP Group Limited - Fully Paid Ordinary (ASX:BHP)                               | 1,865.00000  | 22.8223            | 35.8200      | 37,888.05        | 69,840.30        | 21,974.25                         | 58.14%                     | 5.78%                |
| BHP Group Limited - Fully Paid Ordinary (ASX:BHP)                               | 1,920.00000  | 21.2286            | 35.8200      | 40,780.75        | 68,774.40        | 28,013.65                         | 68.73%                     | 6.87%                |
| Commonwealth Bank of Australia - Ordinary Fully Paid (ASX:CBA)                  | 300.00000    | 49.2729            | 69.4200      | 14,791.85        | 20,826.00        | 6,044.15                          | 40.89%                     | 2.02%                |
| Commonwealth Bank of Australia - Ordinary Fully Paid (ASX:CBA)                  | 100.00000    | 49.4295            | 69.4200      | 4,942.95         | 6,942.00         | 1,999.05                          | 40.44%                     | 0.87%                |
| Cadence Capital Limited - Ordinary Fully Paid (ASX:CDM)                         | 6,700.00000  | 1.4887             | 0.6050       | 9,974.45         | 4,053.50         | (5,920.95)                        | (59.36)%                   | 0.39%                |
| Collins Foods Limited - Ordinary Fully Paid (ASX:CKF)                           | 2,900.00000  | 1.7119             | 9.4200       | 4,984.45         | 27,318.00        | 22,353.55                         | 450.27%                    | 2.65%                |
| Coles Group Limited (ASX:COL)   | 4,992.00000  | 4.4352             | 17.1700      | 22,140.73        | 85,712.64        | 63,571.91                         | 287.13%                    | 8.21%                |

X *Hancock*

**Hancock Superannuation Fund**  
Investment Summary as at 30 June 2020

| Investment  | Units         | Average Cost Price | Market Price | Accounting Cost | Market Value | Unrealised Accounting Gain/(Loss) | Accounting Gain/(Loss) (%) | Portfolio Weight (%) |
|---|---------------|--------------------|--------------|-----------------|--------------|-----------------------------------|----------------------------|----------------------|
| <b>Listed Securities Market</b>   |               |                    |              |                 |              |                                   |                            |                      |
| Dws Limited - Ordinary Fully Paid (ASX:DWS)   | 10,800,00000  | 1.3908             | 0.8100       | 15,018.28       | 8,748.00     | (6,270.28)                        | (41.75)%                   | 0.85%                |
| e & A Limited - Ordinary Fully Paid (ASX:EAL)   | 26,000,00000  | 0.5288             | 0.0000       | 15,588.55       | 0.00         | (15,588.55)                       | (100.00)%                  | - %                  |
| Betashares Australian Ex-20 Portfolio Diversifier ETF - Exchange Traded Fund Units Fully Paid (ASX:EX20)        | 150,00000     | 14,7730            | 18,6700      | 2,215.85        | 2,500.50     | 284.55                            | 12.84%                     | 0.24%                |
| G.U.D. Holdings Limited - Ordinary Fully Paid (ASX:GLD)   | 2,212,00000   | 8.6882             | 11.5100      | 19,218.32       | 25,480.12    | 6,241.80                          | 32.48%                     | 2.47%                |
| Betashares Global Cybersecurity ETF - Exchange Traded Fund Units Fully Paid (ASX:HACK)                          | 1,980,00000   | 5.0745             | 8.2300       | 10,088.20       | 16,377.70    | 6,279.50                          | 62.15%                     | 1.58%                |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded F (ASX:HVST)                     | 400,00000     | 24.6188            | 13.1400      | 9,847.85        | 5,256.00     | (4,591.85)                        | (46.83)%                   | 0.51%                |
| Betashares Australian Dividend Harvester Fund (Managed Fund) - Exchange Traded Fund Units Fully Paid (ASX:HVST) | 470,00000     | 21.3437            | 13.1400      | 10,031.55       | 6,175.80     | (3,855.75)                        | (38.44)%                   | 0.60%                |
| Jatenergy Limited - Ordinary Fully Paid (ASX:JAT)   | 166,553,00000 | 0.0823             | 0.0340       | 10,375.00       | 5,862.80     | (4,712.20)                        | (45.42)%                   | 0.55%                |
| Lindsay Australia Limited - Ordinary Fully Paid (ASX:LAU)   | 48,000,00000  | 0.2050             | 0.3500       | 10,044.28       | 17,150.00    | 7,105.71                          | 70.74%                     | 1.66%                |
| Land and Mineral Exploration Limited - Ordinary Fully Paid (ASX:LDM)  | 1,112,00000   | 0.9289             | 0.0000       | 1,032.85        | 0.00         | (1,032.85)                        | (100.00)%                  | - %                  |
| McPherson's Limited - Ordinary Fully Paid (ASX:MCP)   | 8,100,00000   | 1.2325             | 2.7700       | 9,962.95        | 22,437.00    | 12,454.05                         | 124.75%                    | 2.17%                |
| Monadelphous Group Limited - Ordinary Fully Paid (ASX:MND)  | 2,140,00000   | 9.6278             | 10.8200      | 20,603.50       | 23,154.80    | 2,551.30                          | 12.38%                     | 2.24%                |
| Medibank Private Limited - Ordinary Fully Paid (ASX:MPL)  | 3,175,00000   | 2.0000             | 2.9900       | 6,350.00        | 9,493.25     | 3,143.25                          | 49.50%                     | 0.82%                |
| National Australia Bank Limited - Ordinary Fully Paid (ASX:NAB)   | 2,886,00000   | 28.7001            | 18.2200      | 82,828.55       | 52,582.82    | (30,245.83)                       | (36.52)%                   | 5.10%                |
| National Australia Bank Limited - Ordinary Fully Paid (ASX:NAB)   | 908,00000     | 22.5106            | 18.2200      | 20,439.86       | 16,543.76    | (3,896.10)                        | (19.06)%                   | 1.60%                |
| National Australia Bank Limited - Hybrid 3-Bbaw+1 25% Perp Sub Exch Non-Cum Stap (ASX:NABHA)                    | 80,00000      | 100.0000           | 89.8500      | 8,000.00        | 7,172.00     | (828.00)                          | (10.35)%                   | 0.70%                |
| Ozgrowth Limited - Ordinary Fully Paid (ASX:OZG)  | 50,000,00000  | 0.2005             | 0.1700       | 10,024.85       | 8,500.00     | (1,524.85)                        | (15.21)%                   | 0.82%                |

X *Hancock*

**Hancock Superannuation Fund**  
Investment Summary as at 30 June 2020

| Investment  | Units         | Average Cost Price | Market Price | Accounting Cost | Market Value | Unrealised Accounting Gain/(Loss) | Accounting Gain/(Loss) (%) | Portfolio Weight (%) |
|---|---------------|--------------------|--------------|-----------------|--------------|-----------------------------------|----------------------------|----------------------|
| <b>Listed Securities Market</b>                                   |               |                    |              |                 |              |                                   |                            |                      |
| Oz Minerals Limited - Ordinary Fully Paid (ASX:OZL)               | 300 00000     | 34 9098            | 10 9800      | 10,472.85       | 3,288.00     | (7 184.85)                        | (88.60)%                   | 0.32%                |
| Platinum Asia Investments Limited - Ordinary Fully Paid (ASX:PAI) | 5,712.00000   | 1.0088             | 1.0100       | 5,762.20        | 5,769.12     | 6.92                              | 0.12%                      | 0.56%                |
| Ridgock International Limited (ASX:RIL)                           | 54 158 00000  | 0 0951             | 0 0000       | 5 150 00        | 0 00         | (5 150 00)                        | (100 00)%                  | - %                  |
| South32 Limited - Ordinary Fully Paid (ASX:S32)                   | 1,000,00000   | 1.8994             | 2.0400       | 1,899.40        | 2,040.00     | 140.60                            | 7.40%                      | 0.20%                |
| Suncorp Group Limited - Ordinary Fully Paid (ASX:SUN)             | 700 00000     | 13 9581            | 9 2300       | 9 770 70        | 6,481.30     | (3,309.70)                        | (33.87)%                   | 0.63%                |
| Telstra Corporation Limited - Ordinary Fully Paid (ASX:TLS)       | 4,500,00000   | 3.2775             | 3.1300       | 14,748.85       | 14,085.00    | (663.85)                          | (4.50)%                    | 1.37%                |
| Telstra Corporation Limited - Ordinary Fully Paid (ASX:TLS)       | 8,300 00000   | 3 0441             | 3 1300       | 28,310 25       | 29,109 00    | 798 75                            | 2.82%                      | 2.82%                |
| Virgin Money UK PLC - Cdl 1:1 Foreign Exempt Lea (ASX:VUK)        | 948.00000     | 4.0100             | 1.8550       | 3,801.47        | 1,568.94     | (2,232.53)                        | (58.73)%                   | 0.15%                |
| Wakabay Limited - Ordinary Fully Paid (ASX:WAK)                   | 65 00000      | 157 1209           | 0 0000       | 10,212.86       | 0 00         | (10,212.86)                       | (100 00)%                  | - %                  |
| Westpac Banking Corporation - Ordinary Fully Paid (ASX:WBC)       | 1,054,00000   | 20.0580            | 17.8500      | 21,141.10       | 18,919.30    | (2,221.80)                        | (10.51)%                   | 1.83%                |
| Westfarmers Limited - Ordinary Fully Paid (ASX:WES)               | 4,982 00000   | 10 9063            | 44 8300      | 54,444 26       | 223,791 36   | 169,347 10                        | 311 05%                    | 21.89%               |
| Westox Investment Company Limited - Ordinary Fully Paid (ASX:WIC) | 6,000,00000   | 1.2025             | 0.8150       | 9,619.95        | 7,320.00     | (2,299.95)                        | (23.81)%                   | 0.71%                |
| Woodside Petroleum Limited - Ordinary Fully Paid (ASX:WPL)        | 1 489 00000   | 33 4418            | 21 6500      | 49,794 56       | 32,236 85    | (17,557 71)                       | (35.26)%                   | 3.12%                |
| Xstate Resources Limited - Ordinary Fully Paid (ASX:XST)          | 35,005,00000  | 0.3778             | 0.0020       | 13,223.80       | 70.01        | (13,153.59)                       | (98.47)%                   | 0.01%                |
|   |               |                    |              | 779,943.81      | 982,428.69   | 182,484.78                        | 23.40%                     | 93.27%               |
| <b>Unlisted Market</b>  |               |                    |              |                 |              |                                   |                            |                      |
| Capital Gold Pty Ltd  | 100,000 00000 | 0 0500             | 0 0100       | 5,000 00        | 1,000 00     | (4 000 00)                        | (80 00)%                   | 0.10%                |
|   |               |                    |              | 5,000.00        | 1,000.00     | (4,000.00)                        | (80.00)%                   | 0.10%                |
|   |               |                    |              | 853,313.86      | 1,031,821.04 | 178,807.38                        | 20.92%                     | 100.00%              |

The accounting cost is the original cost base adjusted by any subsequent capital call/improvement or capital return transactions. In many cases, it is not the same as the adjusted or reduced cost base, or the reset cost base resulting from the CGT relief. To view the tax cost base and unrealised gain/(loss) for tax purposes refer to the Unrealised Capital Gains Report or change the report parameter to tax cost base.

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### Hancock Superannuation Fund

#### Members Summary Report - For the period 1/07/2019 to 30/06/2020

| Member's Detail                                |                           | Opening Balance | Increases |           |             |          | Decreases |      |          |             | Closing Balance |              |
|--|---------------------------|-----------------|-----------|-----------|-------------|----------|-----------|------|----------|-------------|-----------------|--------------|
|  |                           |                 | Contrib   | Tran In   | Profit      | Ins Proc | Tax       | Exp  | Ins Prem | Tran Out    |                 | Ben Paid     |
| <b>Mr Thomas Hancock</b>                       |                           |                 |           |           |             |          |           |      |          |             |                 |              |
| 16 Ravenshaw Street<br>Newcastle West NSW 2302 |                           |                 |           |           |             |          |           |      |          |             |                 |              |
| Pension  | 501: Hancock, Thomas Neil | 613,036.55      | 0.00      | 0.00      | (36,284.09) | 0.00     | 10,217.32 | 0.00 | 0.00     | 0.00        | (63,245.50)     | 523,724.28   |
| Pension  | 502: Hancock, Thomas Neil | 102,485.05      | 0.00      | 0.00      | (6,050.83)  | 0.00     | 1,703.85  | 0.00 | 0.00     | 0.00        | (17,580.00)     | 80,558.07    |
| Pension  | 503: Hancock, Thomas Neil | 62.49           | 0.00      | 0.00      | (3.88)      | 0.00     | 1.06      | 0.00 | 0.00     | 0.00        | 0.00            | 59.69        |
| Pension  | 505: Hancock, Thomas Neil | 420,219.41      | 0.00      | 0.00      | (25,328.92) | 0.00     | 7,132.36  | 0.00 | 0.00     | 0.00        | (33,200.00)     | 368,822.85   |
|  |                           | 1,135,803.50    | 0.00      | 0.00      | (67,667.72) | 0.00     | 19,054.61 | 0.00 | 0.00     | 0.00        | (114,025.50)    | 973,164.89   |
| <b>Mrs Mel Lee</b>                             |                           |                 |           |           |             |          |           |      |          |             |                 |              |
| 16 Ravenshaw Street<br>Newcastle West NSW 2302 |                           |                 |           |           |             |          |           |      |          |             |                 |              |
| Accumulation                                   | Accumulation              | 33,010.71       | 0.00      | 0.00      | 0.00        | 0.00     | 0.00      | 0.00 | 0.00     | (33,010.71) | 0.00            | 0.00         |
| Pension  | 504: Lee, Mel Yun         | 73,937.31       | 0.00      | 0.00      | (4,160.05)  | 0.00     | 1,171.44  | 0.00 | 0.00     | 0.00        | (16,720.00)     | 54,228.70    |
| Pension  | 506: Lee, Mel Yun         | 0.00            | 0.00      | 33,010.71 | (2,026.69)  | 0.00     | 570.74    | 0.00 | 0.00     | 0.00        | (3,280.00)      | 28,274.76    |
|  |                           | 106,948.02      | 0.00      | 33,010.71 | (6,186.74)  | 0.00     | 1,742.18  | 0.00 | 0.00     | (33,010.71) | (20,000.00)     | 82,503.46    |
|  |                           | 1,242,751.52    | 0.00      | 33,010.71 | (73,854.46) | 0.00     | 20,796.79 | 0.00 | 0.00     | (33,010.71) | (134,025.50)    | 1,055,668.35 |

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M Hancock

**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Consolidated Member Benefit Totals**

| Period                     |                | Member Account Details |   |
|----------------------------|----------------|------------------------|---|
| 1 July 2019 - 30 June 2020 |                | Residential Address    | 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| Member                     | Number HANCOTO | Date of Birth          | 27 April 1939                                   |
| Mr Thomas Neil Hancock     |                | Date Joined Fund       | 1 August 1997                                   |
|                            |                | Eligible Service Date  | 22 November 1965                                |
|                            |                | Tax File Number Held   | Yes   |

*Note: this report provides a consolidated view of the Member's interests in the SMSF  
Refer to the Member Benefit Statements produced for each member account for further details*

| Your Accounts                               |                     | Your Tax Components   |            |
|---|---------------------|---|------------|
| <b>Withdrawal Benefit as at 1 Jul 2019</b>  |                     | Tax Free  | 435,789.33 |
| 501: Hancock, Thomas Neil                   | 613,036.55          | Taxable - Taxed   | 537,375.56 |
| 502: Hancock, Thomas Neil                   | 102,485.05          | Taxable - Untaxed   | -          |
| 503: Hancock, Thomas Neil                   | 62.49               | <b>Your Preservation Components</b>                           |            |
| 505: Hancock, Thomas Neil                   | 420,219.41          | Preserved   | -          |
| <b>Total as at 1 Jul 2019</b>               | <b>1,135,803.50</b> | Restricted Non Preserved                                      | -          |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> |                     | Unrestricted Non Preserved                                    | 973,164.89 |
| 501: Hancock, Thomas Neil                   | 523,724.28          | <b>Your Insurance Benefits</b>                                |            |
| 502: Hancock, Thomas Neil                   | 80,558.07           | No insurance details have been recorded                       |            |
| 503: Hancock, Thomas Neil                   | 59.69               | <b>Your Beneficiaries</b>                                     |            |
| 505: Hancock, Thomas Neil                   | 368,822.85          | <b>Binding Beneficiary Nomination*</b>                        |            |
| <b>Total as at 30 Jun 2020</b>              | <b>973,164.89</b>   | Reversionary Beneficiary:<br>Mrs Mei Yun Lee (Spouse)<br>100% |            |
|   |                     | * Nomination in effect from 5 September 2015                  |            |



**For Enquiries:**  
phone 0292993603 | email [admin@rbwca.com.au](mailto:admin@rbwca.com.au) | fax 0292903401  
mail RBW Chartered Accountants, SUITE 501 83 YORK STREET, SYDNEY NSW 2000

**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                                  |   |
|----------------------------------|---|
| <b>Period</b>                    | <b>Member Account Details</b>                                       |
| 1 July 2019 - 30 June 2020       | Residential Address 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| <b>Member</b>                    | Date of Birth 27 April 1939   |
| Number HANCOTO                   | Date Joined Fund 1 August 1997                                      |
| <b>Mr Thomas Neil Hancock</b>    | Eligible Service Date 22 November 1965                              |
| <b>Pension Account</b>           | Tax File Number Held Yes  |
| <b>501: Hancock, Thomas Neil</b> | Account Start Date 1 July 2007                                      |

| Your Account Summary                        |                          |
|---|--------------------------|
| Withdrawal Benefit as at 1 Jul 2019         | <b>613,036.55</b>        |
| <i>Increases to your account:</i>           |                          |
| Tax on Net Fund Income                      | 10,217.32                |
| <u>Total Increases</u>                      | <u>10,217.32</u>         |
| <i>Decreases to your account:</i>           |                          |
| Pension Payments                            | 63,245.50                |
| Share Of Net Fund Income                    | 36,284.09                |
| <u>Total Decreases</u>                      | <u>99,529.59</u>         |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> | <b><u>523,724.28</u></b> |

| Your Tax Components                          |           |            |
|--|-----------|------------|
| Tax Free                                     | 42.2511 % | 221,279.42 |
| Taxable - Taxed                              |           | 302,444.66 |
| Taxable - Untaxed                            |           | -          |
| Your Preservation Components                 |           |            |
| Preserved                                    |           | -          |
| Restricted Non Preserved                     |           | -          |
| Unrestricted Non Preserved                   |           | 523,724.28 |
| Your Insurance Benefits                      |           |            |
| No insurance details have been recorded      |           |            |
| Your Beneficiaries                           |           |            |
| <b>Binding Beneficiary Nomination*</b>       |           |            |
| Reversionary Beneficiary:                    |           |            |
| Mrs Mei Yun Lee (Spouse)                     |           |            |
| 100%   |           |            |
| * Nomination in effect from 5 September 2015 |           |            |

*X Hancock*

**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                            |   |
|----------------------------|---|
| <b>Period</b>              | <b>Member Account Details</b>   |
| 1 July 2019 - 30 June 2020 | Residential Address 16 Ravenshaw Street<br>Newcastle West, NSW 2302                                     |
| <b>Member</b>              | Number HANCOT0  |
| Mr Thomas Neil Hancock     | Date of Birth 27 April 1939<br>Date Joined Fund 1 August 1997<br>Eligible Service Date 22 November 1965 |
| <b>Pension Account</b>     | Tax File Number Held Yes  |
| 502: Hancock, Thomas Neil  | Account Start Date 1 July 2007  |

| Your Account Summary                        |                   |
|---|-------------------|
| <b>Withdrawal Benefit as at 1 Jul 2019</b>  | <b>102,485.05</b> |
| <i>Increases to your account:</i>           |                   |
| Tax on Net Fund Income                      | 1,703.85          |
| <u>Total Increases</u>                      | <u>1,703.85</u>   |
| <i>Decreases to your account:</i>           |                   |
| Pension Payments                            | 17,580.00         |
| Share Of Net Fund Income                    | 6,050.83          |
| <u>Total Decreases</u>                      | <u>23,630.83</u>  |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> | <b>80,558.07</b>  |

| Your Tax Components          |            |           |
|------------------------------|------------|-----------|
| Tax Free                     | 100.0000 % | 80,558.07 |
| Taxable - Taxed              |            | -         |
| Taxable - Untaxed            |            | -         |
| Your Preservation Components |            |           |
| Preserved                    |            | -         |
| Restricted Non Preserved     |            | -         |
| Unrestricted Non Preserved   |            | 80,558.07 |

**Your Insurance Benefits**  
No insurance details have been recorded

**Your Beneficiaries**  
**Binding Beneficiary Nomination\***  
Reversionary Beneficiary:  
Mrs Mei Yun Lee (Spouse)  
100%  
\* Nomination in effect from 5 September 2015



**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                                  |   |
|----------------------------------|---|
| <b>Period</b>                    | <b>Member Account Details</b>                                       |
| 1 July 2019 - 30 June 2020       | Residential Address 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| <b>Member</b>                    | Date of Birth 27 April 1939   |
| Number: HANCOTO                  | Date Joined Fund 1 August 1997                                      |
| <b>Mr Thomas Neil Hancock</b>    | Eligible Service Date 22 November 1965                              |
| <b>Pension Account</b>           | Tax File Number Held Yes  |
| <b>503: Hancock, Thomas Neil</b> | Account Start Date 1 July 2011                                      |

| <b>Your Account Summary</b>                 |              |
|---|--------------|
| <b>Withdrawal Benefit as at 1 Jul 2019</b>  | <b>62.49</b> |
| <i>Increases to your account:</i>           |              |
| Tax on Net Fund Income                      | 1.08         |
| <u>Total Increases</u>                      | <u>1.08</u>  |
| <i>Decreases to your account:</i>           |              |
| Share Of Net Fund Income                    | 3.88         |
| <u>Total Decreases</u>                      | <u>3.88</u>  |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> | <b>59.69</b> |

| <b>Your Tax Components</b>                                    |          |       |
|---|----------|-------|
| Tax Free  | 40.0737% | 23.92 |
| Taxable - Taxed   |          | 35.77 |
| Taxable - Untaxed   |          | -     |
| <b>Your Preservation Components</b>                           |          |       |
| Preserved   |          | -     |
| Restricted Non Preserved                                      |          | -     |
| Unrestricted Non Preserved                                    |          | 59.69 |
| <b>Your Insurance Benefits</b>                                |          |       |
| No insurance details have been recorded                       |          |       |
| <b>Your Beneficiaries</b>                                     |          |       |
| <b>Binding Beneficiary Nomination*</b>                        |          |       |
| Reversionary Beneficiary:<br>Mrs Mei Yun Lee (Spouse)<br>100% |          |       |
| * Nomination in effect from 5 September 2015                  |          |       |

*X Hancock*

**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                            |   |
|----------------------------|---|
| <b>Period</b>              | <b>Member Account Details</b>   |
| 1 July 2019 - 30 June 2020 | Residential Address 16 Ravenshaw Street<br>Newcastle West NSW 2302                                      |
| <b>Member</b>              | Number HANCOT0  |
| Mr Thomas Neil Hancock     | Date of Birth 27 April 1939<br>Date Joined Fund 1 August 1997<br>Eligible Service Date 22 November 1985 |
| <b>Pension Account</b>     | Tax File Number Held Yes  |
| 505: Hancock, Thomas Neil  | Account Start Date 1 July 2018  |

| Your Account Summary                        |                          |
|---|--------------------------|
| Withdrawal Benefit as at 1 Jul 2019         | 420,219.41               |
| <i>Increases to your account:</i>           |                          |
| Tax on Net Fund Income                      | 7,132.36                 |
| <u>Total Increases</u>                      | <u>7,132.36</u>          |
| <i>Decreases to your account:</i>           |                          |
| Pension Payments                            | 33,200.00                |
| Share Of Net Fund Income                    | 25,328.92                |
| <u>Total Decreases</u>                      | <u>58,528.92</u>         |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> | <b><u>368,822.85</u></b> |

| Your Tax Components          |           |            |
|------------------------------|-----------|------------|
| Tax Free                     | 36.3123 % | 133,927.92 |
| Taxable - Taxed              |           | 234,894.93 |
| Taxable - Untaxed            |           | -          |
| Your Preservation Components |           |            |
| Preserved                    |           | -          |
| Restricted Non Preserved     |           | -          |
| Unrestricted Non Preserved   |           | 368,822.85 |

**Your Insurance Benefits**  
No insurance details have been recorded.

**Your Beneficiaries**  
**Binding Beneficiary Nomination\***  
Reversionary Beneficiary:  
Mrs Mei Yun Lee (Spouse)  
100%  
\* Nomination in effect from 5 September 2015



## Trustee

The Trustee of the Fund is as follows:

Pital Pty Limited

The directors of the Trustee company are:

Mei Lee and  
Thomas Hancock

## Availability of Other Fund Information

Additional information regarding your membership is available on request. What your Fund can do is governed by the provisions of its Trust Deed, which is available for inspection. If you require further information or clarification of any aspect of your membership of the Fund, please contact your Fund's Administrator or Trustee.

## Trustee Disclaimer

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. While every effort has been made by the Trustee to ensure the accuracy and completeness of this statement, the Trustee does not accept any liability for any errors, omissions or misprints.

Signed on behalf of the Trustee of the Fund

X   
.....  
Mei Lee  
Director - Pital Pty Limited

X   
.....  
Thomas Hancock  
Director - Pital Pty Limited

Statement Date: 30 June 2020

### For Enquiries:

phone 0292993603 | email [admin@rbwca.com.au](mailto:admin@rbwca.com.au) | fax 0292903401  
mail RBW Chartered Accountants, SUITE 601 83 YORK STREET, SYDNEY NSW 2000

**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Consolidated Member Benefit Totals**

| Period                     |              | Member Account Details |   |
|----------------------------|--------------|------------------------|---|
| 1 July 2019 - 30 June 2020 |              | Residential Address    | 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| Member                     | Number LEEM1 | Date of Birth          | 7 November 1958                                 |
| Mrs Mei Yun Lee            |              | Date Joined Fund       | 15 April 2009                                   |
|                            |              | Eligible Service Date  | 15 April 2009                                   |
|                            |              | Tax File Number Held   | Yes   |

*Note: this report provides a consolidated view of the Member's interests in the SMSF  
Refer to the Member Benefit Statements produced for each member account for further details*

| Your Accounts:                              |                   | Your Tax Components                          |           |
|---|-------------------|--|-----------|
| <b>Withdrawal Benefit as at 1 Jul 2019</b>  |                   | Tax Free                                     | 53,627.80 |
| Accumulation                                | 33,010.71         | Taxable - Taxed                              | 28,875.66 |
| 504: Lee, Mei Yun                           | 73,937.31         | Taxable - Untaxed                            | -         |
| 506: Lee, Mei Yun                           | -                 | <b>Your Preservation Components</b>          |           |
| <b>Total as at 1 Jul 2019</b>               | <b>106,948.02</b> | Preserved                                    | -         |
|   |                   | Restricted Non Preserved                     | -         |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> |                   | Unrestricted Non Preserved                   | 82,503.46 |
| Accumulation                                | -                 | <b>Your Insurance Benefits</b>               |           |
| 504: Lee, Mei Yun                           | 54,228.70         | No insurance details have been recorded      |           |
| 506: Lee, Mei Yun                           | 28,274.76         | <b>Your Beneficiaries</b>                    |           |
| <b>Total as at 30 Jun 2020</b>              | <b>82,503.46</b>  | <b>Binding Beneficiary Nomination*</b>       |           |
|   |                   | Reversionary Beneficiary:                    |           |
|   |                   | Ms Violet Hancock (Daughter)                 |           |
|   |                   | 100%   |           |
|   |                   | * Nomination in effect from 5 September 2015 |           |

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**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                             |   |
|-----------------------------|---|
| <b>Period</b>               | <b>Member Account Details</b>                                       |
| 1 July 2019 - 30 June 2020  | Residential Address 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| <b>Member</b>               | Date of Birth 7 November 1958                                       |
| Mrs Mei Yun Lee             | Date Joined Fund 15 April 2009                                      |
|                             | Eligible Service Date 15 April 2009                                 |
| <b>Accumulation Account</b> | Tax File Number Held Yes  |
| Accumulation                | Account Start Date 15 April 2009                                    |

| Your Account Summary                 |                  |
|--------------------------------------|------------------|
| Withdrawal Benefit as at 1 Jul 2019  | 33,010.71        |
| <i>Decreases to your account:</i>    |                  |
| Transfers Out                        | 33,010.71        |
| <u>Total Decreases</u>               | <u>33,010.71</u> |
| Withdrawal Benefit as at 30 Jun 2020 | <u><u>-</u></u>  |

| Your Tax Components          |         |
|------------------------------|---------|
| Tax Free                     | 0.0000% |
| Taxable - Taxed              | -       |
| Taxable - Untaxed            | -       |
| Your Preservation Components |         |
| Preserved                    | -       |
| Restricted Non Preserved     | -       |
| Unrestricted Non Preserved   | -       |

**Your Insurance Benefits**  
No insurance details have been recorded

**Your Beneficiaries**  
**Binding Beneficiary Nomination\***  
Reversionary Beneficiary:  
Ms Violet Hancock (Daughter)  
100%  
\* Nomination in effect from 5 September 2015



**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                            |   |
|----------------------------|---|
| <b>Period</b>              | <b>Member Account Details</b>                                       |
| 1 July 2019 - 30 June 2020 | Residential Address 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| <b>Member</b>              | Date of Birth 7 November 1958                                       |
| Mrs Mei Yun Lee            | Number LEEM1  |
| <b>Pension Account</b>     | Date Joined Fund 15 April 2009                                      |
| 504: Lee, Mei Yun          | Eligible Service Date 15 April 2009                                 |
|                            | Tax File Number Held Yes  |
|                            | Account Start Date 1 July 2014                                      |

| <b>Your Account Summary</b>                 |                         |
|---|-------------------------|
| <b>Withdrawal Benefit as at 1 Jul 2019</b>  | <b>73,937.31</b>        |
| <u>Increases to your account:</u>           |                         |
| Tax on Net Fund Income                      | 1,171.44                |
| <u>Total Increases</u>                      | <u>1,171.44</u>         |
| <u>Decreases to your account:</u>           |                         |
| Pension Payments                            | 16,720.00               |
| Share Of Net Fund Income                    | 4,160.05                |
| <u>Total Decreases</u>                      | <u>20,880.05</u>        |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> | <b><u>54,228.70</u></b> |

| <b>Your Tax Components</b>          |           |           |
|-------------------------------------|-----------|-----------|
| Tax Free                            | 56.4975 % | 30,637.86 |
| Taxable - Taxed                     |           | 23,590.84 |
| Taxable - Untaxed                   |           | -         |
| <b>Your Preservation Components</b> |           |           |
| Preserved                           |           | -         |
| Restricted Non Preserved            |           | -         |
| Unrestricted Non Preserved          |           | 54,228.70 |

**Your Insurance Benefits**  
No insurance details have been recorded.

**Your Beneficiaries**  
**Binding Beneficiary Nomination\***  
Reversionary Beneficiary:  
Ms Violet Hancock (Daughter)  
100%  
\* Nomination in effect from 5 September 2015

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**Hancock Superannuation Fund**  
(ABN: 22 250 429 314)

**Member Benefit Statement**

|                            |   |
|----------------------------|---|
| <b>Period</b>              | <b>Member Account Details</b>                                       |
| 1 July 2019 - 30 June 2020 | Residential Address 16 Ravenshaw Street<br>Newcastle West, NSW 2302 |
| <b>Member</b>              | Date of Birth 7 November 1958                                       |
| Mrs Mei Yun Lee            | Number LEEM1  |
| <b>Pension Account</b>     | Date Joined Fund 15 April 2009                                      |
| 506: Lee, Mei Yun          | Eligible Service Date 15 April 2009                                 |
|                            | Tax File Number Held Yes  |
|                            | Account Start Date 1 July 2019                                      |

| <b>Your Account Summary</b>                 |                         |
|---|-------------------------|
| Withdrawal Benefit as at 1 Jul 2019         | -                       |
| <i>Increases to your account:</i>           |                         |
| Tax on Net Fund Income                      | 570.74                  |
| Transfers In                                | 33,010.71               |
| <u>Total Increases</u>                      | <u>33,581.45</u>        |
| <i>Decreases to your account:</i>           |                         |
| Pension Payments                            | 3,280.00                |
| Share Of Net Fund Income                    | 2,026.69                |
| <u>Total Decreases</u>                      | <u>5,306.69</u>         |
| <b>Withdrawal Benefit as at 30 Jun 2020</b> | <b><u>28,274.76</u></b> |

| <b>Your Tax Components</b>  |                     |
|---|---------------------|
| Tax Free  | 81.3091 % 22,989.94 |
| Taxable - Taxed   | 5,284.82            |
| Taxable - Untaxed   | -                   |
| <b>Your Preservation Components</b>                               |                     |
| Preserved   | -                   |
| Restricted Non Preserved  | -                   |
| Unrestricted Non Preserved  | 28,274.76           |
| <b>Your Insurance Benefits</b>                                    |                     |
| No insurance details have been recorded                           |                     |
| <b>Your Beneficiaries</b>   |                     |
| <b>Binding Beneficiary Nomination*</b>                            |                     |
| Reversionary Beneficiary:<br>Ms Violet Hancock (Daughter)<br>100% |                     |
| * Nomination in effect from 5 September 2015                      |                     |



**Trustee**

The Trustee of the Fund is as follows:

Pital Pty Limited

The directors of the Trustee company are:

Mei Lee and  
Thomas Hancock

**Availability of Other Fund Information**

Additional information regarding your membership is available on request. What your Fund can do is governed by the provisions of its Trust Deed, which is available for inspection. If you require further information or clarification of any aspect of your membership of the Fund, please contact your Fund's Administrator or Trustee.

**Trustee Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. While every effort has been made by the Trustee to ensure the accuracy and completeness of this statement, the Trustee does not accept any liability for any errors, omissions or misprints.

Signed on behalf of the Trustee of the Fund

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Mei Lee  
Director - Pital Pty Limited

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.....  
Thomas Hancock  
Director - Pital Pty Limited

Statement Date: 30 June 2020