



ANZ SHARE INVESTING

BUY CONFIRMATION

Mailing Address:

PINDAR INVESTMENT COMPANY PTY LTD <THE
R
ADFORD S/F A/C>
PO Box 157
Cleveland QLD
AUSTRALIA 4163

BUY CONFIRMATION DETAILS

Account No. 1363128
Confirmation No. 8680664
Transaction Date 28/01/2020
As at Date
Settlement Date 30/01/2020

Financial Product SOL ORDINARY FULLY PAID
Issuer SOUL PATTINSON (W.H)

QUANTITY	PRICE	CONSIDERATION
627	21.3000	\$13,355.10
627	21.3000	\$13,355.10

CONFIRMATION COMMENTS

Order Complete Order
Comments
Condition
Crossed

REGISTRATION DETAILS

PINDAR INVESTMENT COMPANY PTY LTD
<THE RADFORD S/F A/C>
PO BOX 157
CLEVELAND QLD 4163

BASIS OF MOVEMENT

Brokerage	\$27.23
Misc Fees & Charges	\$0.00
Application Money	\$0.00
Total GST Payable	\$2.72
Total Amount Payable: (AUD)	\$13,385.05

This confirmation issued is subject to our terms and conditions and any terms and conditions set out on reverse.

TERMS AND CONDITIONS

The Client (You) specified in this Confirmation issued by CMC Markets Stockbroking Limited (we, us OR our) has agreed to be bound by the terms and conditions set out below.

Words which are defined in your Agreement with us, have the same meaning in this Confirmation.

This document is a Confirmation in respect of the transaction in the attached file (the Transaction) and is issued by us as required under the Corporations Act and the ASIC Rules.

This Transaction is subject to and this Confirmation is issued subject to:

- i) the terms of your Agreement with us;
- ii) the Corporations Act;
- iii) the directions, decisions and requirements of the ASX, SSX, Chi-X, and the Rules, as applicable;
- iv) the customs and usages of the market; and
- v) the correction of errors and omissions.

You authorise us to, and agree that we may, request or agree to the cancellation or amendment of the Transaction, without your consent where:

- (a) we decide, in our absolute discretion, that it is desirable to cancel or amend the Transaction, having regard to the interest of maintaining a fair and orderly market;
- (b) the ASX, SSX, or Chi-X requests or directs us to cancel or amend the Transaction; or
- (c) the cancellation or amendment is effected pursuant to, or as contemplated by, the Rules. ASX, SSX or Chi-X may also, under the Rules that are applicable, cancel or vary a Transaction in some circumstances. If the Transaction is cancelled, our respective obligations relating to the settlement of the Transaction cease to apply from the time it is cancelled. If the Transaction is amended, our respective obligations relating to the settlement of the Transaction are amended with effect from the time it is amended.

SETTLEMENT

Buy Confirmations

If we do not hold sufficient monies on your behalf to settle a transaction, payment in full (by one of the methods described in the attached file) must be received by us by 11am on the Settlement Date (or other date specified by ASX, SSX, Chi-X or ASX Clear). If payment in full is not received by us by this time we reserve the right to charge You a default charge on the amount from time to time outstanding at a rate

of up to the rate which we would be required to pay on an overdraft facility with our current bankers at such time. Any such sale or purchase will be at your risk and expense (including all applicable brokerage, administration fees and any other duty or taxes.) We may apply any amount we receive from You or for your benefit (whether pursuant to this Transaction or otherwise) against any amount You owe to us.

In addition to the above, if, as a result of failure by You to settle, we suffer any claim, liability, direct or consequential loss or incur any cost, charge or expense of any nature, You must on demand fully indemnify us and keep us fully indemnified in respect of that claim, liability, loss, cost, charge or expense.

Sell Confirmations

To ensure settlement of the Transaction You must provide all documents and security holder information, including, if applicable:

- i) the relevant holder identification number; and/or
- ii) the personal identification number; and/or
- iii) the shareholder reference number;

by T+1 (that is, 1 day after the date of the Transaction referred to in this Confirmation) or other date specified by ASX, SSX, Chi-X or ASX Clear, as applicable.

If You fail to do so, we may charge an administration fee calculated by reference to the additional cost which may be incurred as a result of your failure to deliver. You must pay or reimburse to us such administration fee immediately upon demand or at our option we may deduct it from any sale proceeds.

In addition to the above, if, as a result of failure by You to settle, we suffer any claim, liability, direct or consequential loss or incur any cost, charge or expense of any nature, You must on demand fully indemnify us and keep us fully indemnified in respect of that claim, liability, loss, cost, charge or expense.

Any consideration due to You will not be transferred until the later of

- (a) the time the Transaction is settled on the Settlement Date; and
- (b) all the documents required to settle the Transaction are received by us (and verified through the CHESS settlement facility if a CHESS participant sponsored holding security has been sold).

The ANZ Share Investing service is provided by CMC Markets Stockbroking Limited ABN 69 081 002 851 AFSL 246381 (CMC Markets Stockbroking), a Participant of the Australian Securities Exchange (ASX Group), Sydney Stock Exchange (SSX) and Chi-X Australia (Chi-X), at the request of Australia and New Zealand Banking Group Limited ABN 11 005 357 522 (ANZ). Disclosure documents relating to ANZ Share Investing products and services are available on www.anzshareinvesting.com or by calling us on 1300 658 355. ANZ is the issuer of the ANZ Cash Investment Account, ANZ Share Investment Loan and V2 PLUS Account. The obligations of CMC Markets Stockbroking are not guaranteed by ANZ. CMC Markets Stockbroking and ANZ are not representatives of each other. The information has been prepared without taking account of your objectives, financial situation or needs. Before acting upon any advice, you should consider whether it is appropriate for you having regard to your objectives, financial situation and needs.

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