



# SELL

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## TAX INVOICE

## CONFIRMATION

Please retain for taxation purposes

Trading, Clearing and Settlement of this transaction is undertaken by  
Commonwealth Securities Limited.

**CARUSO AND JOHNSON PTY LTD <REGAN AND ROSS SF A/C>**  
**40 BOWSPRIT PDE**  
**CLEVELAND QLD 4163**

### WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU

**COMPANY SEZZLE INC.**

**SECURITY CDI 1:1 US PERSON PROHIBITED EXCLUDING QIB**

**SZL**

DATE: 26/03/2021  
AS AT DATE: 26/03/2021  
CONFIRMATION NO: 116247820  
ORDER NO: N143537650  
ACCOUNT NO: 3054937  
TOTAL UNITS: 3,566  
CONSIDERATION (AUD): \$26,495.38  
BROKERAGE & COSTS INCL GST: \$31.79  
(may include printed confirmation postal fee)  
APPLICATION MONEY: \$0.00  
NET PROCEEDS: \$26,463.59  
TOTAL GST: \$2.89  
SETTLEMENT DATE: 30/03/2021  
PAYMENT METHOD - DIRECT CREDIT TO NOMINATED  
SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE  
FOLLOWING DAY.

#### UNITS AT PRICE

1,261	7.430000
2,305	7.430000

**AVERAGE PRICE: 7.430000**

**PID 1402 HIN 82313575**

**ORDER COMPLETED**

**CROSSED TRADE**

#### CONTRACT COMMENTS:

**55355694 0413297195**

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If not already provided, Security Document and/or Holder Information to be provided by 30/03/2021

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a \$1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.