

## Transactions

### AUSTRALIA and NEW ZEALAND BANKING GROUP

#### Recent Transactions

**Mr Nicholas Sherlock + Mrs Carol Sherlock I\*\*\*\*\*3873**

ORDINARY SHARES

Date	Transaction	Movement	Running balance
16/12/2021	DIVIDEND PLAN ALLOTMENT	+66	2594
1/07/2021	DIVIDEND PLAN ALLOTMENT	+62	2528
16/12/2020	DIVIDEND PLAN ALLOTMENT	+38	2466
30/09/2020	DIVIDEND PLAN ALLOTMENT	+33	2428
18/12/2019	DIVIDEND PLAN ALLOTMENT	+74	2395
1/07/2019	DIVIDEND PLAN ALLOTMENT	+65	2321
18/12/2018	DIVIDEND PLAN ALLOTMENT	+67	2256
2/07/2018	DIVIDEND PLAN ALLOTMENT	+62	2189
18/12/2017	DIVIDEND PLAN ALLOTMENT	+57	2127
3/07/2017	DIVIDEND PLAN ALLOTMENT	+56	2070
16/12/2016	DIVIDEND PLAN ALLOTMENT	+55	2014
1/07/2016	DIVIDEND PLAN ALLOTMENT	+62	1959
16/12/2015	DIVIDEND PLAN ALLOTMENT	+64	1897
1/07/2015	DIVIDEND PLAN ALLOTMENT	+48	1833
16/12/2014	DIVIDEND PLAN ALLOTMENT	+52	1785
1/07/2014	DIVIDEND PLAN ALLOTMENT	+42	1733
16/12/2013	DIVIDEND PLAN ALLOTMENT	+47	1691
1/07/2013	DIVIDEND PLAN ALLOTMENT	+40	1644
19/12/2012	DIVIDEND PLAN ALLOTMENT	+52	1604
2/07/2012	DIVIDEND PLAN ALLOTMENT	+48	1552
16/12/2011	DIVIDEND PLAN ALLOTMENT	+58	1504
1/07/2011	DIVIDEND PLAN ALLOTMENT	+41	1446
17/12/2010	DIVIDEND PLAN ALLOTMENT	+45	1405
1/07/2010	DIVIDEND PLAN ALLOTMENT	+32	1360
18/12/2009	DIVIDEND PLAN ALLOTMENT	+33	1328
9/04/2009	OFF MARKET UNCERT TO UNCERT	+1295	1295




181446 000 ANZ




MR NICHOLAS SHERLOCK &  
MRS CAROL SHERLOCK  
<HATFIELD SUPER FUND A/C>  
10 ERNEST STREET  
BALGOWLAH HEIGHTS NSW 2093

## NEED ASSISTANCE?

 [www.anz.com/shareholder/centre](http://www.anz.com/shareholder/centre)  
[anzshareregistry@computershare.com.au](mailto:anzshareregistry@computershare.com.au)

 Computershare Investor Services Pty Limited  
GPO Box 2975 Melbourne  
Victoria 3001 Australia

 1800 113 399 (within Australia)  
0800 174 007 (within New Zealand)  
+61 3 9415 4010 (outside Australia)

## Securityholder Reference Number (SRN)

### SRN WITHHELD

Share Class	Ordinary
Record Date	25 August 2020
DRP Election Date	26 August 2020
Allotment Date	30 September 2020
TFN/ABN	Quoted

## DIVIDEND REINVESTMENT PLAN (DRP) ADVICE

DRP transaction details relating to ANZ's **Interim Dividend** of A\$0.25 per share for the half year ended 31 March 2020 are set out below.

PARTICIPATING SHARES	FRANKED AMOUNT	UNFRANKED AMOUNT	NET AMOUNT	FRANKING CREDIT
2,395	A\$598.75	A\$0.00	A\$598.75	A\$256.61

Credit balance brought forward in your DRP account: A\$12.74

Total available for reinvestment: A\$611.49

**Amount applied to the allotment of 33 shares at A\$18.06 each: A\$595.98**

Credit balance carried forward in your DRP account: A\$15.51

The dividend is 100% franked at the Australian company tax rate of 30%.

A NZ Imputation Credit of **NZ\$71.85** has been attached to the above dividend. For NZ taxpayers, your NZ gross income will include the aggregate of the Net Amount and the NZ Imputation Credit which should be included in your income tax return.

Shareholders should obtain their own professional advice on the tax implications of the dividend and retain this document to assist in tax return preparation.

### SHAREHOLDING



Number of shares held: 2,395

**New DRP shares: 33**

**SHARES NOW HELD: 2,428**

## ISSUER SPONSORED HOLDING STATEMENT

DATE	TRANSACTION TYPE	TRANSACTION REFERENCE	QUANTITY ON	QUANTITY OFF	REGISTRY REFERENCE	HOLDING BALANCE
30 September 2020	DRP	Allotment	33		Opening Balance	2,395
					Closing Balance	2,428

The above Holding Balances may not reflect shares purchased/sold between the Record Date and previous statement date. Neither ANZ nor Computershare will be liable for losses incurred by any person who relies on the Holding Balance without making their own adjustments for any recent transactions.



### UPCOMING EVENTS

**29 October 2020**  
Full Year Results announced




186555 000 ANZ




MR NICHOLAS SHERLOCK &  
MRS CAROL SHERLOCK  
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## NEED ASSISTANCE?

 [anz.com/shareholder/centre](http://anz.com/shareholder/centre)  
[anzshareregistry@computershare.com.au](mailto:anzshareregistry@computershare.com.au)

 Computershare Investor Services Pty Limited  
GPO Box 2975 Melbourne  
Victoria 3001 Australia

 1800 113 399 (within Australia)  
0800 174 007 (within New Zealand)  
+61 3 9415 4010 (outside Australia)

## Securityholder Reference Number (SRN)

### SRN WITHHELD

Share Class	Ordinary
Record Date	10 November 2020
DRP Election Date	11 November 2020
Allotment Date	16 December 2020
TFN/ABN	Quoted

## DIVIDEND REINVESTMENT PLAN (DRP) ADVICE

DRP transaction details relating to ANZ's **Final Dividend** of A\$0.35 per share for the year ended 30 September 2020 are set out below.

PARTICIPATING SHARES	FRANKED AMOUNT	UNFRANKED AMOUNT	NET AMOUNT	FRANKING CREDIT
2,428	A\$849.80	A\$0.00	A\$849.80	A\$364.20

Credit balance brought forward in your DRP account: A\$15.51

Total available for reinvestment: A\$865.31

**Amount applied to the allotment of 38 shares at A\$22.19 each: A\$843.22**

Credit balance carried forward in your DRP account: A\$22.09

The dividend is 100% franked at the Australian company tax rate of 30%.

A NZ Imputation Credit of **NZ\$97.12** has been attached to the above dividend. For NZ taxpayers, your NZ gross income will include the aggregate of the Net Amount and the NZ Imputation Credit which should be included in your income tax return.

Shareholders should obtain their own professional advice on the tax implications of the dividend and retain this document to assist in tax return preparation.

### SHAREHOLDING



Number of shares held: 2,428

New DRP shares: 38

**SHARES NOW HELD: 2,466**

## ISSUER SPONSORED HOLDING STATEMENT

DATE	TRANSACTION TYPE	TRANSACTION REFERENCE	QUANTITY ON	QUANTITY OFF	REGISTRY REFERENCE	HOLDING BALANCE
16 December 2020	DRP	Allotment	38		Opening Balance	2,428
					Closing Balance	2,466

The above Holding Balances may not reflect shares purchased/sold between the Record Date and previous statement date. Neither ANZ nor Computershare will be liable for losses incurred by any person who relies on the Holding Balance without making their own adjustments for any recent transactions.



To update your shareholder information in a fast, secure and environmentally friendly way visit [anz.com/easyupdate](http://anz.com/easyupdate).



## Transactions

### NATIONAL AUSTRALIA BANK LIMITED

#### Recent Transactions

**Mr Nicholas Sherlock + Mrs Carol Sherlock I\*\*\*\*\*6516**

ORDINARY FULLY PAID SHARES

Date	Transaction	Movement	Running balance
15/12/2021	DRP ALLOTMENT	+73	3117
2/07/2021	AUSTRALIAN DRP ALLOTMENT	+67	3044
10/12/2020	DRP ALLOTMENT	+38	2977
3/07/2020	AUSTRALIAN DRP ALLOTMENT	+44	2939
2/06/2020	SHARE PURCHASE PLAN	+632	2895
12/12/2019	DRP ALLOTMENT	+71	2263
3/07/2019	AUSTRALIAN DRP ALLOTMENT	+68	2192
14/12/2018	DRP ALLOTMENT	+86	2124
5/07/2018	AUSTRALIAN DRP ALLOTMENT	+72	2038
13/12/2017	AUSTRALIAN DRP ALLOTMENT	+63	1966
5/07/2017	AUSTRALIAN DRP ALLOTMENT	+61	1903
13/12/2016	AUSTRALIAN DRP ALLOTMENT	+64	1842
5/07/2016	AUSTRALIAN DRP ALLOTMENT	+62	1778
15/12/2015	AUSTRALIAN DRP ALLOTMENT	+58	1716
3/07/2015	AUSTRALIAN DRP ALLOTMENT	+47	1658
16/12/2014	AUSTRALIAN DRP ALLOTMENT	+49	1611
8/07/2014	AUSTRALIAN DRP ALLOTMENT	+45	1562
18/12/2013	AUSTRALIAN DRP ALLOTMENT	+42	1517
16/07/2013	AUSTRALIAN DRP ALLOTMENT	+45	1475
18/12/2012	AUSTRALIAN DRP ALLOTMENT	+53	1430
16/07/2012	AUSTRALIAN DRP ALLOTMENT	+54	1377
19/12/2011	AUSTRALIAN DRP ALLOTMENT	+50	1323
6/07/2011	AUSTRALIAN DRP ALLOTMENT	+43	1273
17/12/2010	AUSTRALIAN DRP ALLOTMENT	+40	1230
8/07/2010	AUSTRALIAN DRP ALLOTMENT	+34	1190
17/12/2009	AUSTRALIAN DRP ALLOTMENT	+29	1156
31/08/2009	2009 SPP @ \$21.50 PER SHARE	+202	1127
9/04/2009	OFF MARKET UNCERT TO UNCERT	+925	925



## 2020 Interim Dividend Statement

198497 000 NAB



MR NICHOLAS SHERLOCK &  
MRS CAROL SHERLOCK  
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10 ERNEST STREET  
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### Need assistance?

[www.nab.com.au/shareholder](http://www.nab.com.au/shareholder)

(in Australia) 1300 367 647  
(outside Australia) +61 3 9415 4299

### Securityholder Reference Number (SRN)

SRN WITHHELD

### Summary of key information

Payment Date	3 July 2020
Record Date	4 May 2020
TFN/ABN status	Quoted
ASX code	NAB

## Interim Dividend for the year ending 30 September 2020

The details below represent your participation in National Australia Bank's Dividend Reinvestment Plan (DRP) on shares registered in your name at the record date.

The dividend is 30 cents per ordinary share, franked to 100% at the relevant Australian Corporate Tax Rate of 30%.

Ordinary Shares	Price per Share	Unfranked Amount	Franked Amount	Franking Credit	Shares Allotted
2,263	\$15.52	\$0.00	\$678.90	\$290.96	44

The allotment of shares issued under the DRP is set out on the reverse side of this statement.

Full details of the Dividend Package is available at [www.nab.com.au/dividendpackage](http://www.nab.com.au/dividendpackage)

## Tax information

### Australian resident shareholders

**Franked Amount:** This should be included in your assessable income.

**Franking Credit:** This may also need to be included in your assessable income. This amount may be available as a tax offset to reduce your income tax liability.

If you are unsure of the tax treatment of your dividend, please contact your accountant or taxation adviser.

Dividend Summary	
2,263 shares x 30 cents	= \$678.90

<b>Dividend Amount</b>	<b>\$678.90</b>
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### Dividend Reinvestment Plan (DRP)

Residual amount carried forward from 12 December 2019	\$5.86
Dividend amount participating in the DRP	\$678.90
<b>Total amount for reinvestment</b>	<b>\$684.76</b>
44 ordinary share/s allotted @ \$15.52 per share	\$682.88
Residual amount to be carried forward to the next dividend	\$1.88

### Issuer Sponsored Holding Statement

Date	Transaction Type	Registry Reference	Qty On	Qty Off	Holding Balance
4/05/2020		Opening balance			2,263
2/06/2020	SPP allotment		632		2,895
3/07/2020	DRP allotment		44		2,939
3/07/2020		Closing balance <sup>1</sup>			2,939

<sup>1</sup> The closing balance may differ for shareholders who have purchased or sold NAB shares between 4 May 2020 and 3 July 2020.



## 2020 Final Dividend Statement

003774 000 NAB  
MR NICHOLAS SHERLOCK &  
MRS CAROL SHERLOCK  
<HATFIELD SUPER FUND A/C>  
10 ERNEST STREET  
BALGOWLAH HEIGHTS NSW 2093

### Contact our Share Registry

[www.investorcentre.com/au](http://www.investorcentre.com/au)

(in Australia) 1300 367 647  
(outside Australia) +61 3 9415 4299

### Securityholder Reference Number (SRN)

SRN WITHHELD

### Summary of key information

Payment Date 10 December 2020  
Record Date 13 November 2020  
TFN/ABN status Quoted  
ASX code NAB

## Final Dividend for the year ended 30 September 2020

The details below represent your participation in National Australia Bank's Dividend Reinvestment Plan (DRP) on shares registered in your name at the record date.

The dividend is 30 cents per ordinary share, franked to 100% at the relevant Australian Corporate Tax Rate of 30%.

Ordinary Shares	Price per Share	Unfranked Amount	Franked Amount	Franking Credit	Shares Allotted
2,939	\$23.01	\$0.00	\$881.70	\$377.87	38

The allotment of shares issued under the DRP is set out on the reverse side of this statement.

Full details of the Dividend Package is available at  
[www.nab.com.au/dividendpackage](http://www.nab.com.au/dividendpackage)

## Tax information

### Australian resident shareholders

**Franked Amount:** This should be included in your assessable income.

**Franking Credit:** This may also need to be included in your assessable income. This amount may be available as a tax offset to reduce your income tax liability.

### New Zealand tax resident shareholders

New Zealand tax law requires us to notify shareholders that New Zealand imputation credits have been attached to this dividend at a rate of NZ\$0.09 per share. These credits are only relevant for shareholders required to file a New Zealand income tax return.

The aggregate of the dividend amount together with the attached New Zealand imputation credits is NZ\$1,199.46.

If you are unsure of the tax treatment of your dividend, please contact your accountant or taxation adviser.

### Dividend Summary

2,939 shares x 30 cents = \$881.70

**Dividend Amount**

**\$881.70**

### Dividend Reinvestment Plan (DRP)

Residual amount carried forward from 3 July 2020	\$1.88
Dividend amount participating in the DRP	\$881.70
<b>Total amount for reinvestment</b>	<b>\$883.58</b>
38 ordinary share/s allotted @ \$23.01 per share	\$874.38
Residual amount to be carried forward to the next dividend	\$9.20

### Issuer Sponsored Holding Statement

Date	Transaction Type	Registry Reference	Qty On	Qty Off	Holding Balance
13/11/2020		Opening balance			2,939
10/12/2020	DRP allotment		38		2,977
10/12/2020		Closing balance <sup>1</sup>			2,977

<sup>1</sup> The closing balance may differ for shareholders who have purchased or sold NAB shares between 13 November 2020 and 10 December 2020.



## Transactions

### VIRGIN MONEY UK PLC

#### Recent Transactions

**Mr Nicholas Sherlock + Mrs Carol Sherlock I\*\*\*\*\*6514**

CHESS DEPOSITARY INTERESTS

Date	Transaction	Movement	Running balance
8/02/2016	CDI ALLOTMENT FOR DEFAULT ORD	+429	429