

Self-Managed Super Fund Audit Request



Name of Fund: THOMPSON FAMILY SF
 Fund ABN: 61 177 161 255
 Accountant / Administrator: **Jim Cleave**
 Email: **sue@cleave.com.au**
 Address: **PO Box 432, BANYO QLD 4014**

Phone: **(07) 3359 3311**
 Audit Period: **Financial Year Ended 30 June 2018**

Are all members either directors of the Trustee Company or trustees of the fund? Yes / No
 Have there been any known breaches of the SIS Act during the audit period? Yes / No

Notes: _____

Does the fund have any "in house"/related party assets? Yes / No
 If yes are the assets pre-99? Yes / No

Notes: _____

Financial Audit

	YES	NO	N/A	Notes	S/R
Current Year Fund Financial Statements	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Current Year Fund General Ledger Report	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Current Year Fund Income Tax Return	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Member Information Statements	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Fund Trial Balance & Working Papers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Bank Statements	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Invoices / Receipts evidencing payments	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Fund Share Dividend Statements (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Fund Share Buy / Sell Contracts (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Fund Term Deposit Statements (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Fund Managed Fund Statements (if applicable)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Fund Rental Statements (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Title Deed for Property	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Contribution Confirmations / s290-170 letter	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Work Test Declaration (if over 65)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Valuations from Independent parties (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Unit Trust Financial Statements	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Life Insurance policy documents (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Collectables / Artwork documents & Insurance	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Other:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		

Compliance Audit

Fund Trust Deed & Amendments (if not previously provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Fund Investment Strategy	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Fund Minutes of Meetings of Trustees	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Y
ASIC Statement of corporate trustee (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Bare Trust Documentation (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		

Pension / Benefits **YES / NO - N/A**

Copy of request from member to receive benefits/pension	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Annual Pension Letter – 2018 year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Actuarial Certificate (if required)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
PAYG Summary /ETP Summary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Signed Documents (Returned to Auditor)

Auditor Engagement Letter	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Y
Trustee Representation Letter	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Y
Other:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		