



**PRIVATE AND CONFIDENTIAL**

Wazzy Pty Ltd  
<Brayan S/F A/C>  
15 Telegraph Road  
Pymble NSW 2073

Account Code: 1193862  
Adviser Code: TRB  
Product: OARS

Dear Mr & Mrs Brayan,

**Online Asset Reporting Service  
Taxation Report - 1 July 2020 to 30 June 2021**

Please find enclosed your OARS Taxation Report providing information for the preparation of your income tax return for the financial year ended 30 June 2021\*. A copy has also been forwarded to your accountant.

The Taxation Report only contains investments that have been disclosed to Ord Minnett as part of the Online Asset Reporting Service. Accordingly, this Report does not claim to be a complete taxation statement.

Please let me know if you have any questions regarding this Report or if you wish to discuss your investment portfolio.

Yours sincerely,

Tony Board

Adviser

*\* Note: If your Ord Minnett reporting service commenced or ceased during this period, this report may only include tax related information for part of the year.*

**Ord Minnett Limited**

AFS Licence 237121 ABN 86 002 733 048  
Level 8, NAB House, 255 George Street, Sydney GPO Box 2613, Sydney NSW 2001 Australia

**A Market Participant of the Australian Securities Exchange Limited - Licenced Securities Dealer**

This document was prepared for the private use of the addressee and may not be passed to any third party without the prior written consent of Ord Minnett.  
We believe the advice and information herein to be accurate and reliable, but no warranty of accuracy or reliability is given.



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15 Telegraph Road  
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**Online Asset Reporting Service  
Financial Year Ended 30 June 2021 Tax Report**

This report contains the following information:

- Portfolio Valuation as at 30 June 2021
- Investment Income Annual Tax - Summary
- Investment Income Received Annual Tax
- Realised Capital Gains / Losses
- Transaction Statement
- Cash Transaction Statement
- Expense Summary
- Corporate Action Appendix

*Account Code:* 1193862  
*Adviser Code:* TRB  
*Product:* OARS

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# ORD MINNETT

## PORTFOLIO VALUATION

Portfolio Details as at: 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Code	Security Name	Quantity	Cost Base	Market Price	Market Value	Assets %	Est. Yield %	Est. Annual Income	Est. Franking %	Est. Franking Credits	Est. Gross Yield %
<b>EQUITIES</b>											
<b>Materials Sector</b>											
<b>Materials</b>											
BHP	BHP GROUP LIMITED	1,000	35,644.98	48.57	48,570.00	1.80	8.29	4,026.62	100.00	1,725.69	11.84
LYC	LYNAS RARE EARTHS	14,000	34,934.32	5.71	79,940.00	2.96					
RIO	RIO TINTO LIMITED	555	50,224.73	126.64	70,285.20	2.60	7.16	5,033.30	100.00	2,157.13	10.23
SBM	ST BARBARA LIMITED	8,350	25,187.78	1.71	14,236.75	0.53	3.52	501.00	100.00	214.71	5.03
Sub Total			<b>145,991.81</b>		<b>213,031.95</b>	<b>7.89</b>		<b>9,560.91</b>		<b>4,097.53</b>	
<b>Industrials Sector</b>											
<b>Commercial &amp; Professional Services</b>											
BXB	BRAMBLES LIMITED	2,000	19,668.90	11.44	22,880.00	0.85	2.39	546.40	30.00	70.25	2.70
Sub Total			<b>19,668.90</b>		<b>22,880.00</b>	<b>0.85</b>		<b>546.40</b>		<b>70.25</b>	
<b>Transportation</b>											
TCL	TRANSURBAN GROUP	2,000	29,472.82	14.23	28,460.00	1.05	2.54	723.94	3.37	10.45	2.58
Sub Total			<b>29,472.82</b>		<b>28,460.00</b>	<b>1.05</b>		<b>723.94</b>		<b>10.45</b>	
<b>Consumer Discretionary Sector</b>											
<b>Consumer Services</b>											
VVA	VIVA LEISURE LIMITED	15,985	39,993.50	1.64	26,215.40	0.97					
<b>Adviser Name:</b> Tony Board											
<b>Location:</b> SYDNEY											
<b>Phone No:</b> (02) 8216 6498											
<b>Account No:</b> 1193862											

Note: Estimate information based on rolling 12 months actual data.

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Wazzy Pty Ltd  
<Brayan S/F A/C>

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Sub Total			39,993.50		26,215.40	0.97					
Health Care Sector											
Health Care Equipment & Services											
RHC	RAMSAY HEALTH CARE	396	26,189.85	62.95	24,928.20	0.92	2.41	599.94	100.00	257.12	3.44
Sub Total			26,189.85		24,928.20	0.92		599.94		257.12	
Pharmaceuticals, Biotech & Life Sciences											
CSL	CSL LIMITED	105	26,394.38	285.19	29,944.95	1.11	1.03	308.57	5.41	7.15	1.05
Sub Total			26,394.38		29,944.95	1.11		308.57		7.15	
Financials Sector											
Banks											
ANZ	ANZ BANKING GRP LTD	700	20,443.33	28.15	19,705.00	0.73	5.04	994.00	100.00	426.00	7.21
Sub Total			20,443.33		19,705.00	0.73		994.00		426.00	
Diversified Financials											
MQG	MACQUARIE GROUP LTD	500	55,143.56	156.43	78,215.00	2.90	4.74	3,710.00	40.00	636.00	5.56
RF1	REGAL INVEST FUND	27,000	75,549.14	4.61	124,470.00	4.61	22.14	27,561.74			22.14
Sub Total			130,692.70		202,685.00	7.50		31,271.74		636.00	

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## PORTFOLIO VALUATION

Portfolio Details as at: 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Code	Security Name	Quantity	Cost Base	Market Price	Market Value	Assets %	Est. Yield %	Est. Annual Income	Est. Franking %	Est. Franking Credits	Est. Gross Yield %
Information Technology Sector											
Software & Services											
APX	APPEN LIMITED	126,679	151,986.70	13.60	1,722,834.40	63.79	0.74	12,667.90	50.00	2,714.55	0.89
Sub Total			151,986.70		1,722,834.40	63.79		12,667.90		2,714.55	
Communication Services Sector											
Media & Entertainment											
CRW	CASHREWARDS	17,341	29,999.93	0.72	12,485.52	0.46					
Sub Total			29,999.93		12,485.52	0.46					
Utilities Sector											
Utilities											
AGL	AGL ENERGY LIMITED.	835	20,150.22	8.20	6,847.00	0.25	7.93	542.75			7.93
Sub Total			20,150.22		6,847.00	0.25		542.75			
Real Estate Sector											
Real Estate											
IAP	IRONGATE GROUP	17,500	25,928.61	1.45	25,375.00	0.94	6.15	1,561.00			6.15
URW	UNIBAILRODAWESTFIELD	880	12,909.60	5.68	4,998.40	0.19					
Sub Total			38,838.21		30,373.40	1.12		1,561.00			

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<b>Unlisted Equities Sector</b>											
<b>Unlisted Equities</b>											
OMN_UNL	ONEMARKET LIMITED - UNLISTED SHARES	120	32.88	0.97	116.40	0.00					
Sub Total			32.88		116.40	0.00					
Sub Total			679,855.23		2,340,507.22	86.66		58,777.15		8,219.06	
<b>INTERNATIONAL EQUITIES</b>											
MGF	MAGELLAN GLOBAL FUND	15,000	28,140.75	1.81	27,150.00	1.01	2.02	549.00			2.02
MGFO	MAGELLAN GLOBAL FUND	7,500		0.03	210.00	0.01					
Sub Total			28,140.75		27,360.00	1.01		549.00			
<b>EXCHANGE TRADED FUNDS</b>											
<b>International Equity</b>											
MOAT	VANECK US WIDE MOAT	650	52,286.00	98.43	63,979.50	2.37	1.03	655.85			1.03
PIXX	PLATINUM INT FUND	13,750	75,988.16	5.18	71,225.00	2.64	1.60	1,141.14			1.60
Sub Total			128,274.16		135,204.50	5.01		1,796.99			
<b>MANAGED FUNDS</b>											
<b>Domestic Equity</b>											
FID0008AU	FIDELITY AUSTRALIAN EQUITIES FUND	737	24,973.38	40.42	29,798.17	1.10	3.62	1,077.87			3.62

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PORTFOLIO VALUATION

Portfolio Details as at: 30 June 2021

Wazzy Pty Ltd  
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Code	Security Name	Quantity	Cost Base	Market Price	Market Value	Assets %	Est. Yield %	Est. Annual Income	Est. Franking %	Est. Franking Credits	Est. Gross Yield %
International Equity											
GEOMETRICA	GEOMETRICA FUND - FOUNDER CLASS - LEAD SERIES	100,383	116,932.02	1.21	121,674.69	4.51					
Sub Total			141,905.40		151,472.86	5.61		1,077.87			
CASH											
OMMACA	ORD MINNETT ACCELERATOR CASH ACCOUNT	46,136	46,135.98	1.00	46,135.98	1.71	0.10	46.14			0.10
Sub Total			46,135.98		46,135.98	1.71		46.14			
TOTAL PORTFOLIO			1,024,311.52		2,700,680.56	100.00	2.30	62,247.14		8,219.06	2.61

\*The cash balance on the Portfolio Valuation will take into account transactions that have a settlement date after the report date and income that is due but not yet paid. Please refer to the Unsettled Accruals report for transaction details.

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ORD MINNETT

INVESTMENT INCOME RECEIVED ANNUAL TAX SUMMARY

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd

<Brayan S/F A/C>

	Cash Distribution	Tax Paid/Offsets	Taxable Income
Australian Income			
Franked Dividends	14,290.91	6,432.04	20,722.95
Unfranked Dividends	8,453.08	0.00	8,453.08
Interest	615.61	0.00	615.61
Other Income	18,204.24	0.00	18,204.24
Rental Income	0.00	0.00	0.00
Non-Primary Production Income (A)	41,563.84	6,432.04	47,995.88
Capital Gains			
Discounted Capital Gain	6,441.94	0.00	6,441.94
CGT Other	13,648.87	0.00	13,648.87
CGT Concession	6,441.94	0.00	0.00
Distributed Capital Gain (B)	26,532.75	0.00	20,090.81
Foreign Income			
Assessable Foreign Income	4,575.62	194.40	4,770.02
Total Foreign Income (C)	4,575.62	194.40	4,770.02
Cash Distribution Sub Total (A + B + C)	72,672.21		

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## INVESTMENT INCOME RECEIVED ANNUAL TAX SUMMARY

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd

<Brayan S/F A/C>

	Cash Distribution	Tax Paid/Offsets	Taxable Income
Other Non-assessable Amounts			
Tax Free	0.00		
Tax Deferred	0.00		
Return of Capital	0.00		
Other Non Taxable	1,782.67		
Gross Cash Distribution	74,454.88		
Other deductions from distributions			
TFN Amounts Deducted	0.00		
Non Resident Withholding Tax	0.00		
Net Cash Distribution	74,454.88		
AMIT Cost Base Adjustment and Gross Up			
AMIT Cost Base Adjustment	(1,786.85)		
AMIT Gross Up	0.00		

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## INVESTMENT INCOME RECEIVED (ANNUAL TAX)

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
DISTRIBUTIONS													
FID0008AU - FIL INVESTMENT MANAGEMENT (AUS) LTD - FIDELITY AUSTRALIAN EQUITIES FUND													
737	05-Nov-20	155.40	91.27	0.86				279.21			279.21		
737	31-Mar-21	31.67		0.01				58.77			58.77		
737	30-Jun-21	31.01	21.23	1.36									
		5.01		0.16									
		189.22	129.97	3.30	0.63	405.86	405.86	748.38			1,154.24		
		18.70		0.66	0.04								
Sub Total		375.63	242.47	5.52	0.63	405.86	405.86	1,086.36			1,492.22		
Sub Total		55.38		0.83	0.04								
GEOMETRICA - GEOMETRICA FUND - FOUNDER CLASS - LEAD SERIES													
100,383	30-Jun-21			16,932.02				16,932.02			16,932.02		
Sub Total				16,932.02				16,932.02			16,932.02		

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\* As at the ex-dividend date, this security has been held for less than 45 days. Please review this transaction as the investor may not be entitled to any imputation credit.

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From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>IAP - IRONGATE GROUP</b>													
17,500	19-Nov-20			487.78	59.79			566.93			566.93		(201.21)
				19.36							201.21		
17,500	27-May-21			503.47	61.72			585.18			585.18		(207.69)
				19.99							207.69		
<b>Sub Total</b>				<b>991.25</b>	<b>121.51</b>			<b>1,152.11</b>			<b>1,152.11</b>		<b>(408.90)</b>
<b>Sub Total</b>				<b>39.35</b>							<b>408.90</b>		
<b>MGF - MAGELLAN GLOBAL FUND</b>													
15,000	30-Jun-21					110.95	110.95	110.95			221.90		(327.11)
											327.11		
<b>Sub Total</b>						<b>110.95</b>	<b>110.95</b>	<b>110.95</b>			<b>221.90</b>		<b>(327.11)</b>
<b>MGG - MAGELLAN GLOB TRUST</b>													
15,000	05-Nov-20												(537.00)
											537.00		
<b>Sub Total</b>											<b>537.00</b>		<b>(537.00)</b>

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
MOAT - VANECK US WIDE MOAT													
650	22-Dec-20				655.85			771.61			771.61		
					115.76								
Sub Total					655.85			771.61			771.61		
Sub Total					115.76								

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
OMMACA - ORD MINNETT ACCELERATOR CASH ACCOUNT													
258,550	01-Jul-20			62.17				62.17			62.17		
261,925	01-Aug-20			66.48				66.48			66.48		
231,080	01-Sep-20			66.49				66.49			66.49		
238,641	01-Oct-20			57.47				57.47			57.47		
55,647	01-Nov-20			53.50				53.50			53.50		
26,380	01-Dec-20			7.77				7.77			7.77		
8,073	01-Jan-21			0.69				0.69			0.69		
8,883	01-Feb-21			0.71				0.71			0.71		
9,183	01-Mar-21			0.69				0.69			0.69		
18,473	01-Apr-21			1.07				1.07			1.07		

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
20,341	01-May-21							1.65			1.65		
20,343	01-Jun-21			1.65				1.73			1.73		
<b>Sub Total</b>								<b>320.42</b>			<b>320.42</b>		
<b>Sub Total</b>				<b>320.42</b>									
<b>PIXX - PLATINUM INT FUND</b>													
13,750	29-Jun-21		4.18	1.38		571.97	571.97	577.53			1,149.50		
<b>Sub Total</b>			<b>4.18</b>	<b>1.38</b>		<b>571.97</b>	<b>571.97</b>	<b>577.53</b>			<b>(4.18)</b>		
<b>Sub Total</b>											<b>1,149.50</b>	<b>(4.18)</b>	
<b>RF1 - REGAL INVEST FUND</b>													
27,000	31-Dec-20	5.82	12.94	5.89	178.95	252.24	252.24	1,104.81			1,357.05		(9.60)
		1.72		0.43	3.70	643.12					9.60		
27,000	30-Jun-21	117.71	261.70	118.92	3,618.68	5,100.92	5,100.92	22,342.13			27,443.05		(194.01)
		34.87		8.68	74.90	13,005.75					194.01		
<b>Sub Total</b>		<b>123.53</b>	<b>274.64</b>	<b>124.81</b>	<b>3,797.63</b>	<b>5,353.16</b>	<b>5,353.16</b>	<b>23,446.94</b>			<b>28,800.10</b>		<b>(203.61)</b>
<b>Sub Total</b>		<b>36.59</b>		<b>9.11</b>	<b>78.60</b>	<b>13,648.87</b>					<b>203.61</b>		

Adviser Name: Tony Board  
Location: SYDNEY  
Phone No: (02) 8216 6498  
Account No: 1193862

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While Ord Minnett Limited AFS Licence 237121 ABN 86 002 733 048 A Market Participant of the Australian Stock Exchange Limited  
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# ORD MINNETT

## INVESTMENT INCOME RECEIVED (ANNUAL TAX)

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
TCL - TRANSURBAN GROUP													
2,000	30-Dec-20			43.46				135.26			135.26		(164.74)
				91.80							164.74		
2,000	29-Jun-21	4.61	1.98	105.80				266.49			266.49		(145.49)
				154.10							145.49		
Sub Total		4.61	1.98	149.26				401.75			401.75		(310.23)
Sub Total				245.90							310.23		
Distributions Total													
		503.77	523.27	18,204.24	4,575.62	6,441.94	6,441.94	44,799.69			51,241.63		(1,786.85)
		91.97		615.61	194.40	13,648.87					1,782.67		

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Account No:

Tony Board  
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(02) 8216 6498  
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From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
DIVIDENDS													
AGL - AGL ENERGY LIMITED.													
835	25-Sep-20	340.68	146.01					571.86			571.86		
835	26-Mar-21	85.17						83.50			83.50		
835	26-Mar-21	83.50						258.85			258.85		
Sub Total		340.68	146.01					914.21			914.21		
Sub Total		427.52											
ANZ - ANZ BANKING GRP LTD													
700	30-Sep-20	175.00	75.00					250.00			250.00		
700	16-Dec-20	245.00	105.00					350.00			350.00		
Sub Total		420.00	180.00					600.00			600.00		

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## INVESTMENT INCOME RECEIVED (ANNUAL TAX)

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
APX - APPEN LIMITED													
126,679	24-Sep-20	2,850.28	1,221.55					6,922.11			6,922.11		
126,679	19-Mar-21	2,850.28						8,460.35			8,460.35		
Sub Total		6,333.96	2,714.55					15,382.46			15,382.46		
Sub Total		6,333.95											
BHP - BHP GROUP LIMITED													
1,000	23-Mar-21	1,311.34	562.01					1,873.35			1,873.35		
Sub Total		1,311.34	562.01					1,873.35			1,873.35		
BXB - BRAMBLES LIMITED													
2,000	08-Oct-20	75.24	32.25					283.05			283.05		
2,000	08-Apr-21	175.56						295.23			295.23		
Sub Total		153.72	65.88					578.28			578.28		
Sub Total		358.68											

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# ORD MINNETT

## INVESTMENT INCOME RECEIVED (ANNUAL TAX)

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>CSL - CSL LIMITED</b>													
105	09-Oct-20							154.31			154.31		
105	01-Apr-21							141.65			141.65		
<b>Sub Total</b>								<b>295.96</b>			<b>295.96</b>		
<b>Sub Total</b>		<b>295.96</b>											
<b>MQG - MACQUARIE GROUP LTD</b>													
*	500	03-Jul-20	360.00	154.29				1,054.29			1,054.29		
			540.00										
500	22-Dec-20		270.00	115.71				790.71			790.71		
			405.00										
<b>Sub Total</b>			<b>270.00</b>					<b>1,845.00</b>			<b>1,845.00</b>		
<b>Sub Total</b>		<b>630.00</b>											
<b>Sub Total</b>		<b>945.00</b>											
<b>RHC - RAMSAY HEALTH CARE</b>													
396	31-Mar-21		192.06	82.31				274.37			274.37		
<b>Sub Total</b>		<b>192.06</b>	<b>82.31</b>					<b>274.37</b>			<b>274.37</b>		

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ORD MINNETT

INVESTMENT INCOME RECEIVED (ANNUAL TAX)

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
RIO - RIO TINTO LIMITED													
555	17-Sep-20	1,201.41	514.89					1,716.30			1,716.30		
555	15-Apr-21	663.95	284.55					948.50			948.50		
555	15-Apr-21	2,206.02	945.43					3,151.45			3,151.45		
Sub Total		4,071.38	1,744.87					5,816.25			5,816.25		
SBM - ST BARBARA LIMITED													
8,350	24-Mar-21	334.00	143.14					477.14			477.14		
Sub Total		334.00	143.14					477.14			477.14		
Dividends Total		13,787.14	5,908.77					28,057.02			28,057.02		
		8,361.11											

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## INVESTMENT INCOME RECEIVED (ANNUAL TAX)

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount		CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
						Capital Gain	Other							
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain	Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
TOTAL		14,290.91	6,432.04	18,204.24	4,575.62	6,441.94	6,441.94	6,441.94	72,856.71			79,298.65		(1,786.85)
		8,453.08		615.61	194.40	13,648.87						1,782.67		

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## From 1 July 2020 Through 30 June 2021

**HAS - HASTINGS TECH MET**

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TRANSACTION STATEMENT

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Code	Description	Trade Date	Settlement Date	Quantity	Unit Price	Gross Amount	Brokerage	GST	Other Fees	Net Amount
<b>PURCHASES</b>										
LYCXX	Bght 6500 LYCXX @ 2.3000	24-Aug-2020	24-Aug-2020	6,500	2.30	14,950.00	0.00	0.00	0.00	14,950.00
HASY	Bght 160000 HASYY @ 0.1250	28-Aug-2020	31-Aug-2020	160,000	0.125	20,000.00	0.00	0.00	0.00	20,000.00
SBM	Bght 8350 SBM @ 3.0000	08-Oct-2020	12-Oct-2020	8,350	3.00	25,050.00	125.25	12.53	0.00	25,187.78
LYC	Bght 7500 LYC @ 2.6500	12-Oct-2020	14-Oct-2020	7,500	2.65	19,875.00	99.38	9.94	0.00	19,984.32
RF1	Bght 7000 RF1 @ 3.5000	27-Oct-2020	29-Oct-2020	7,000	3.50	24,500.00	122.50	12.25	0.00	24,634.75
BHP	Bght 1000 BHP @ 35.4500	27-Oct-2020	29-Oct-2020	1,000	35.45	35,450.00	177.25	17.73	0.00	35,644.98
GEOMETRICA_10	Purchase: 86505.1903@115.6 Geometrica Fund - Founder Class - Series 10 (Nov 2020) (GEOMETRICA_10)	02-Nov-2020	02-Nov-2020	86,505	1.156	100,000.00	0.00	0.00	0.00	100,000.00
CRWZZ	Bght 17341 CRWZZ @ 1.7300	24-Nov-2020	25-Nov-2020	17,341	1.73	29,999.93	0.00	0.00	0.00	29,999.93
VVAXX	Bght 6895 VVAXX @ 2.9000	02-Dec-2020	02-Dec-2020	6,895	2.90	19,995.50	0.00	0.00	0.00	19,995.50
Sub Total						289,820.43				290,397.26
<b>SALES</b>										
HAS	Sold 121636 HAS @ 0.1450	08-Oct-2020	12-Oct-2020	(121,636)	0.145	(17,637.22)	88.19	8.82	0.00	(17,540.21)
HAS	Sold 10000 HAS @ 0.1450	09-Oct-2020	13-Oct-2020	(10,000)	0.145	(1,450.00)	7.25	0.73	0.00	(1,442.02)
HAS	Sold 28364 HAS @ 0.1450	12-Oct-2020	14-Oct-2020	(28,364)	0.145	(4,112.78)	20.56	2.06	0.00	(4,090.16)
Sub Total						(23,200.00)				(23,072.39)

Corporate Action Transactions

Capital Reorganisations

Adviser Name: Tony Board  
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## TRANSACTION STATEMENT

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Code	Description	Trade Date	Settlement Date	Quantity	Unit Price	Gross Amount	Brokerage	GST	Other Fees	Net Amount
LYCXX	Lynas Corporation Limited - Conversion from Placement Shares (LYCXX) to Fully Paid Shares (LYC)	26-Aug-2020	26-Aug-2020	(6,500)	2.30	(14,950.00)	0.00	0.00	0.00	(14,950.00)
LYC	Lynas Corporation Limited - Conversion from Placement Shares (LYCXX) to Fully Paid Shares (LYC)	26-Aug-2020	26-Aug-2020	6,500	2.30	14,950.00	0.00	0.00	0.00	14,950.00
HASY	Hastings Technology Metals Limited - Conversion from Placement Shares (HASY) to Fully Paid Shares (HAS)	01-Sep-2020	01-Sep-2020	(160,000)	0.125	(20,000.00)	0.00	0.00	0.00	(20,000.00)
HAS	Hastings Technology Metals Limited - Conversion from Placement Shares (HASY) to Fully Paid Shares (HAS)	01-Sep-2020	01-Sep-2020	160,000	0.125	20,000.00	0.00	0.00	0.00	20,000.00
CRWZZ	Cashrewards Limited - Conversion of IPO Shares (CRWZZ) to Fully Paid Shares (CRW)	27-Nov-2020	27-Nov-2020	(17,341)	1.73	(29,999.93)	0.00	0.00	0.00	(29,999.93)
CRW	Cashrewards Limited - Conversion of IPO Shares (CRWZZ) to Fully Paid Shares (CRW)	27-Nov-2020	27-Nov-2020	17,341	1.73	29,999.93	0.00	0.00	0.00	29,999.93
VVAXX	Viva Leisure Limited - Conversion from Placement Shares (VVAXX) to Fully Paid Shares (VVA)	04-Dec-2020	04-Dec-2020	(6,895)	2.90	(19,995.50)	0.00	0.00	0.00	(19,995.50)
VVA	Viva Leisure Limited - Conversion from Placement Shares (VVAXX) to Fully Paid Shares (VVA)	04-Dec-2020	04-Dec-2020	6,895	2.90	19,995.50	0.00	0.00	0.00	19,995.50
MGG	Magellan Global Trust - Scheme of Arrangement with Magellan Global Fund @ 1 MGF Unit per 1 MGG Unit	08-Dec-2020	08-Dec-2020	(15,000)	1.90	(28,500.00)	(142.50)	(14.25)	0.00	(28,656.75)
MGF	Magellan Global Trust - Scheme of Arrangement with Magellan Global Fund @ 1 MGF Unit per 1 MGG Unit	08-Dec-2020	08-Dec-2020	15,000	1.90	28,500.00	142.50	14.25	0.00	28,656.75
GEOMETRICA_10	Geometrica Fund Founder Class - Consolidation	04-Jan-2021	04-Jan-2021	(86,505)	1.156	(100,000.00)	0.00	0.00	0.00	(100,000.00)
GEOMETRICA	Geometrica Fund Founder Class - Consolidation	04-Jan-2021	04-Jan-2021	86,414	1.1572	100,000.00	0.00	0.00	0.00	100,000.00
MGFO	Magellan Global Fund - 1:2 Bonus Issue of Options (MGFO)	01-Mar-2021	01-Mar-2021	7,500	0.00	0.00	0.00	0.00	0.00	0.00
<b>Reinvested Dividends</b>										
GEOMETRICA	Geometrica Fund - Distribution	30-Jun-2021	29-Jul-2021	13,969	1.2121	16,932.02	0.00	0.00	0.00	16,932.02

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TRANSACTION STATEMENT

From 1 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Code	Description	Trade Date	Settlement Date	Quantity	Unit Price	Gross Amount	Brokerage	GST	Other Fees	Net Amount
Sub Total						16,932.02				16,932.02

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**ORD MINNETT**  
**CASH TRANSACTION STATEMENT**  
From 1 July 2020 Through 30 June 2021  
*Wazzy Pty Ltd*  
<Brayan S/F A/C>

Date	Description	Debit	Credit	Balance
<b>Ord Minnett Accelerator Cash Account</b>				
01-Jul-20	Opening Balance			258,487.59
01-Jul-20	Cash Deposit - Credit Interest		62.17	258,549.76
03-Jul-20	Macquarie Group Limited - Dividend		900.00	259,449.76
15-Jul-20	Platinum International Fund (Quoted Managed Hedge Fund) - Distribution		1,757.75	261,207.51
16-Jul-20	Fidelity Australian Equities Fund - Distribution		1,182.99	262,390.50
28-Jul-20	Magellan Global Trust - Distribution		516.00	262,906.50
29-Jul-20	OARS Management Fee 01Apr2020 to 30Jun2020	(1,047.74)		261,858.76
01-Aug-20	Cash Deposit - Credit Interest		66.48	261,925.24
04-Aug-20	Regal Investment Fund - Dividend		3,718.74	265,643.98
14-Aug-20	Transurban Group - Distribution		320.00	265,963.98
24-Aug-20	Cash Withdrawal - Bght 6500 LYCXX @ 2.3000	(14,950.00)		251,013.98
31-Aug-20	Cash Withdrawal - Bght 160000 HASYY @ 0.1250	(20,000.00)		231,013.98
01-Sep-20	Cash Deposit - Credit Interest		66.49	231,080.47
17-Sep-20	Rio Tinto Limited - Dividend		1,201.41	232,281.88
24-Sep-20	Appen Limited - Dividend		5,700.56	237,982.44
25-Sep-20	AGL Energy Limited - Dividend		425.85	238,408.29
30-Sep-20	Australia and New Zealand Banking Group Limited - Dividend		175.00	238,583.29
01-Oct-20	Cash Deposit - Credit Interest		57.47	238,640.76
08-Oct-20	Brambles Limited - Dividend		250.80	238,891.56
09-Oct-20	CSL Limited - Dividend		154.31	239,045.87
12-Oct-20	Cash Withdrawal - Bght 8350 SBM @ 3.0000	(25,187.78)		213,858.09
12-Oct-20	Cash Deposit - Sold 121636 HAS @ 0.1450		17,540.21	231,398.30
13-Oct-20	Cash Deposit - Sold 10000 HAS @ 0.1450		1,442.02	232,840.32

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**ORD MINNETT**  
**CASH TRANSACTION STATEMENT**  
From 1 July 2020 Through 30 June 2021  
*Wazzy Pty Ltd*  
<Brayan S/F A/C>

Date	Description	Debit	Credit	Balance
14-Oct-20	Cash Withdrawal - Bght 7500 LYC @ 2.6500	(19,984.32)		212,856.00
14-Oct-20	Cash Deposit - Sold 28364 HAS @ 0.1450		4,090.16	216,946.16
27-Oct-20	OARS Management Fee 01Jul2020 to 30Sep2020	(1,073.19)		215,872.97
29-Oct-20	Cash Withdrawal - Bght 1000 BHP @ 35.4500	(35,644.98)		180,227.99
29-Oct-20	Cash Withdrawal - Bght 7000 RF1 @ 3.5000	(24,634.75)		155,593.24
29-Oct-20	Transfer Out Admin GEOMETRICA FUND	(100,000.00)		55,593.24
01-Nov-20	Cash Deposit - Credit Interest		53.50	55,646.74
13-Nov-20	Fidelity Australian Equities Fund - Distribution		187.95	55,834.69
19-Nov-20	Magellan Global Trust - Distribution		537.00	56,371.69
25-Nov-20	Cash Withdrawal - Bght 17341 CRWZZ @ 1.7300	(29,999.93)		26,371.76
01-Dec-20	Cash Deposit - Credit Interest		7.77	26,379.53
02-Dec-20	Cash Withdrawal - Bght 6895 VVAXX @ 2.9000	(19,995.50)		6,384.03
04-Dec-20	Investec Australia Property Fund - Dividend		768.14	7,152.17
16-Dec-20	Australia and New Zealand Banking Group Limited - Dividend		245.00	7,397.17
22-Dec-20	Macquarie Group Limited - Dividend		675.00	8,072.17
01-Jan-21	Cash Deposit - Credit Interest		0.69	8,072.86
15-Jan-21	Market Vectors Morningstar Wide Moat ETF - Dividend		655.85	8,728.71
28-Jan-21	OARS Management Fee 01Oct2020 to 31Dec2020	(1,196.76)		7,531.95
29-Jan-21	Regal Investment Fund - Dividend		1,350.00	8,881.95
01-Feb-21	Cash Deposit - Credit Interest		0.71	8,882.66
16-Feb-21	Transurban Group - Distribution (FY21)		300.00	9,182.66
01-Mar-21	Cash Deposit - Credit Interest		0.69	9,183.35
19-Mar-21	Appen Limited - Dividend		6,967.35	16,150.70
23-Mar-21	BHP Billiton Limited - Dividend		1,311.35	17,462.05
24-Mar-21	St Barbara Limited - Dividend		334.00	17,796.05

Adviser Name:  
Location:  
Phone No:  
Account No:

*Tony Board*  
*SYDNEY*  
*(02) 8216 6498*  
*1193862*

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**ORD MINNETT**  
**CASH TRANSACTION STATEMENT**  
 From 1 July 2020 Through 30 June 2021  
*Wazzy Pty Ltd*  
 <Brayan S/F A/C>

Date	Description	Debit	Credit	Balance
26-Mar-21	AGL Energy Limited - Special Dividend		83.50	17,879.55
26-Mar-21	AGL Energy Limited - Dividend		258.85	18,138.40
31-Mar-21	Ramsay Health Care Limited - Dividend		192.06	18,330.46
01-Apr-21	Cash Deposit - Credit Interest		1.07	18,331.53
01-Apr-21	CSL Limited - Dividend		141.65	18,473.18
08-Apr-21	Brambles Limited - Dividend		261.60	18,734.78
12-Apr-21	Fidelity Australian Equities Fund - Distribution		37.54	18,772.32
15-Apr-21	Rio Tinto Limited - Special Dividend		663.95	19,436.27
15-Apr-21	Rio Tinto Limited - Dividend		2,206.01	21,642.28
28-Apr-21	OARS Management Fee 01Jan2021 to 31Mar2021	(1,302.54)		20,339.74
01-May-21	Cash Deposit - Credit Interest		1.65	20,341.39
01-Jun-21	Cash Deposit - Credit Interest		1.73	20,343.12
09-Jun-21	Transfer In Admin MELISSA CONCESSION		25,000.00	45,343.12
11-Jun-21	Investec Australia Property Fund - Dividend		792.86	46,135.98
30-Jun-21	Closing Balance	(295,017.49)	82,665.88	46,135.98

Adviser Name: Tony Board  
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 Account No: 1193862

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# ORD MINNETT

## EXPENSE SUMMARY

From 01 July 2020 Through 30 June 2021

Wazzy Pty Ltd  
<Brayan S/F A/C>

Date	Description	Net Amount	GST	Gross Amount
<b>Management Fee</b>				
29-Jul-2020	OARS Management Fee 01Apr2020 to 30Jun2020	952.49	95.25	1,047.74
27-Oct-2020	OARS Management Fee 01Jul2020 to 30Sep2020	975.63	97.56	1,073.19
28-Jan-2021	OARS Management Fee 01Oct2020 to 31Dec2020	1,087.96	108.80	1,196.76
28-Apr-2021	OARS Management Fee 01Jan2021 to 31Mar2021	1,184.13	118.41	1,302.54
	Management Fee Total	<b>4,200.21</b>	<b>420.02</b>	<b>4,620.23</b>
<b>TOTAL EXPENSES</b>			<b>420.02</b>	<b>4,620.23</b>

Adviser Name:  
Location:  
Phone No:  
Account No:

Tony Board  
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## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### Section 1: Specific Information on Major Corporate Action Transactions

#### i). Woolworths Group Limited (WOW) - Demerger

Under the Woolworths Group Limited (WOW) demerger of Endeavor Group Limited (EDV), Eligible WOW Shareholders as at the Record Date of 25th June 2021, were entitled to receive 1 New EDV Share for every 1 WOW Share held.

The ATO Class Ruling confirmed that the cost-base apportionment is as per below.

- 85.81% of the total cost-base of your Woolworths shares prior to the Demerger is attributed to your WOW shares.
- 14.19% of the total cost base of your Woolworths shares prior to the Demerger is attributed to your EDV shares.

Small Shareholders of 800 or less WOW Shares were given the opportunity to sell their EDV Shares through the small holding Sale Facility at \$6.205.

#### ii). National Australia Bank Limited Capital Notes 5 (NABPH) - Reinvestment & Securityholder Offer

Under the Reinvestment Offer, eligible National Australia Bank Limited Convertible Preference Shares 2 securityholders (NABPB), as at the record date of 11th November 2020, had the opportunity to reinvest their NABPB securities into National Australia Bank Limited Capital Notes 5 (NABPH) on a 1 for 1 basis.

Under the Securityholder Offer, eligible NAB shareholders and NABHA, NABPB, NABPD, NABPE and NABPF securityholders, as at the record date of 11th November 2020, were entitled to apply for National Australia Bank Limited Capital Notes 5 (NABPH) at \$100.00 per security. Eligible holders were required to apply for a minimum of 50 NABPH securities (\$5,000.00) and thereafter, in multiples of 10 NABPH securities (\$1,000.00).

#### iii). Challenger Limited Capital Notes 3 (CGFPC) - Reinvestment, Repurchase & Securityholder Offer

Eligible Challenger Limited Capital Notes 1 securityholders (CGFPA), as at the record date of 8th October 2020, had the opportunity to either reinvest their CGFPA securities into Challenger Limited Capital Notes 3 (CGFPC) on a 1 for 1 basis under the Reinvestment Offer, and/or to sell their CGFPA securities for \$100.00 per security under the Repurchase Offer.

Under the Securityholder Offer, eligible CGF shareholders and CGFPA and CGFPB securityholders, as at the record date of 8th October 2020, were entitled to apply for Challenger Limited Capital Notes 3 (CGFPC) at \$100.00 per security. Eligible holders

were required to apply for a minimum of 50 CGFPC securities (\$5,000.00) and thereafter, in multiples of 10 CGFPC securities (\$1,000.00).

#### iv). Westpac Banking Corporation Capital Notes 7 (WBCPJ) - Reinvestment & Securityholder Offer

Under the Reinvestment Offer, eligible Westpac Banking Corporation Capital Notes 3 securityholders (WBCPF), as at the record date of 28th October 2020, had the opportunity to reinvest their WBCPF securities into Westpac Banking Corporation Capital Notes 7 (WBCPJ) on a 1 for 1 basis.

Under the Securityholder Offer, eligible WBC shareholders and WBCPE, WBCPG, WBCPH and WBCPJ securityholders, as at the record date of 28th October 2020, were entitled to apply for Westpac Banking Corporation Capital Notes 7 (WBCPJ) at \$100.00 per security. Eligible holders were required to apply for a minimum of 50 WBCPJ securities (\$5,000.00) and thereafter, in multiples of 10 WBCPJ securities (\$1,000.00).

#### v). Sezzle Inc (SZL) - Security Purchase Plan

Eligible Sezzle Inc securityholders, as at the record date of 9th July 2020, were entitled to participate in the SZL Security Purchase Plan to acquire new SZL securities at the price of \$5.30 per new security. Eligible securityholders were provided with the opportunity to apply up to \$30,000.00 worth of new securities, in parcels of \$1,000.00, \$2,500.00, \$5,000.00, \$7,500.00, \$10,000.00, \$15,000.00, \$20,000.00, \$25,000.00 or \$30,000.00. The Offer was heavily oversubscribed and scaled back on a pro rata basis.

#### vi). Afterpay Limited (APT) - Share Purchase Plan

Eligible Afterpay Limited shareholders, as at the record date of 6th July 2020, were entitled to participate in the APT Share Purchase Plan to acquire new APT shares at the price of \$66.00 per new share. Eligible shareholders were provided with the opportunity to apply up to \$20,000.00 worth of new shares, in \$1,000.00 increments from a minimum of \$1,000.00.

#### vii). Charter Hall Long WALE REIT (CLW) - Security Purchase Plan

Eligible Charter Hall Long WALE REIT securityholders, as at the record date of 9th September 2020, were eligible to participate in the CLW Security Purchase Plan to acquire new CLW securities at the price of \$4,798 per new security.

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## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

Eligible securityholders were provided with the opportunity to apply for up to \$30,000.00 worth of new securities, in parcels of \$2,500.00, \$5,000.00, \$7,500.00, \$10,000.00, \$12,500.00, \$15,000.00, \$17,500.00, \$20,000.00, \$22,500.00, \$25,000.00, \$27,500.00 or \$30,000.00.

### **viii). HUB24 Limited (HUB) - Share Purchase Plan**

Eligible HUB24 Limited shareholders, as at the record date of 27th October 2020, were eligible to participate in the HUB Share Purchase Plan to acquire new HUB shares at the price of \$20.00 per new share.

Eligible shareholders were provided with the opportunity to apply for up to \$30,000.00 worth of new shares, in parcels of \$1,000.00, \$2,500.00, \$5,000.00, \$10,000.00, \$15,000.00, \$20,000.00 or \$30,000.00.

### **ix). Macellan Global Fund (MGF) - Priority Offer**

Eligible holders of MGF and MGOC, as at the Record Date of 8th December 2020, were invited to subscribe for \$1 of Closed Class Units for every \$4 of Units held, at the Issue Price of \$1.7383, being the NAV per Closed Class Unit the business day immediately prior to Allotment Date of 1st March 2021.

Successful applicants also received additional Closed Class Units worth 7.5% of their approved Application Amount, as well as one MGF Option for every Closed Class Unit allotted to them.

### **x). Lynas Corporation Limited (LYC) - Non-Renounceable Rights Issue**

Eligible Lynas Corporation Limited shareholders, as at the record date of 19th August 2020, were entitled to participate in the LYC Non-Renounceable Entitlement Offer. LYC shareholders were provided with the opportunity to acquire new shares at \$2.30 per share on a 1 to 7.7 basis. Applicants that subscribed for their full entitlement were entitled to apply for additional shares capped at a maximum of 50% of their original entitlement.

### **xi). Charter Hall Long WALE REIT (CLW) - Non-Renounceable Entitlement Offer**

Eligible Charter Hall Long WALE REIT Securityholders, as at the Record Date of 20th May 2021, were able to participate in the CLW Non-Renounceable Entitlement Offer to acquire new CLW Securities at \$4.65 per security on a 1 for 10.68 basis.

Eligible CLW Securityholders that subscribed for their Entitlement in full, were invited to apply for Additional New Securities up to a maximum of 50% of their full Entitlement.

### **xii). Carsales.com Limited (CAR) - Renounceable Entitlement Offer**

Eligible Carsales.com Shareholders, as at the Record Date of 17th May 2021, were able to participate in the CAR Renounceable Entitlement Offer. CAR Shareholders were provided the opportunity to acquire new CAR Shares at \$17.00 per share on a 1 for 6.99 basis.

Eligible CAR Shareholders who left their Entitlements to lapse, had their Entitlements offered for sale through the Retail Shortfall Bookbuild. The Bookbuild cleared at a price of \$18.60 per New Share, and each eligible Shareholder who elected to let all, or part of their Entitlement lapse was paid \$1.60 for each Entitlement sold on their behalf via the bookbuild facility.

### **xiii). Sydney Airport (SYD) - Renounceable Rights Issue**

Eligible Sydney Airport shareholders, as at the record date of 4th August 2020, were entitled to participate in the SYD Renounceable Entitlement Offer. Eligible SYD shareholders were provided with the opportunity to acquire new shares at \$4.56 per share on a 1 to 5.15 basis.

### **xiv). PM Capital Global Opportunities Fund Limited (PGF) - Off-Market Buyback**

Eligible PM Capital Global Opportunities Fund Limited shareholders had the opportunity to sell up to a maximum of 5% of their PGF shares, held as at the record date of 18th September 2020, in the PGF Off-Market Buyback. The Buyback Price was set at \$1.1388, being a 5% discount to the post-tax NTA (excluding deferred tax assets) as of 23rd October 2020 (the close date of the offer).

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$1.1388 is made up of a fully franked dividend component of \$0.1196, an unfranked dividend component of \$0.0318 and deemed capital proceeds of \$0.9874.

### **xv). Ampol Limited (ALD) - Off-Market Buy-Back**

Eligible Ampol Limited shareholders had the opportunity to sell some or all their ALD Shares at discounts of between 10% and 14% inclusive (at 1% intervals) to the Market Price, held as at the record date of 27th November 2020. The Market Price was set at \$30.6226, being the VWAP of Ampol's ordinary shares on the ASX over the five trading days up to and including Friday, 22nd January 2021.

# ORD MINNETT

## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

The equivalent Buy-Back Prices were as follows:

Tender Discount	Equivalent Indicative Buy-back Price
14%	\$26.34
13%	\$26.64
12%	\$26.95
11%	\$27.25
10%	\$27.56

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$26.34 is made up of a fully franked dividend of \$24.33 and deemed capital proceeds of \$5.31, comprising of a \$2.01 capital component and \$3.30 representing the excess of the tax value over the Buy-Back Price.

### xvi). TPG Telecom Limited (TPM) - Scheme of Arrangement

Eligible TPG Telecom Limited shareholders as at the record date of 7th May 2020, received 1 New TPG Share for every TPM share held. In addition, eligible shareholders received 0.5 TAU shares per TPM share as result of the demerger of Tuas Limited.

### xvii). Sydney Airport Limited (SYD) - Final FY21 non-cash Distribution

The final FY21 distribution paid by Sydney Airport Limited (record date 31st December 2020) was 100% attributable income - no cash distribution was paid to shareholders. The Ord Minnett annual tax reports for eligible shareholders who received this distribution, will show an interest component and an AMIT cost base shortfall (increase of cost base) of the same value.

### xviii). Home Consortium Limited (HMC) - Return of Capital and In Specie Distribution of HDN

For eligible Home Consortium Limited shareholders who received the 1:2 In Specie Distribution of HDN (record date 24th November 2020) via return of capital, this return of capital is displayed on the Ord Minnett Investment Income Received (Annual Tax) report but was not paid in cash. The return of capital was 100% attributable to the In Specie distribution of HomeCo Daily Need REIT (HDN).

### xix). PIXX.AXW

Platinum International Fund (PIXX.AXW) attributed a domestic imputation credit that was not assessable for 2021 financial year.

Part D (the summary of components as per tax return label), does not match the information contained in Part C, the components of attribution.

Ord Minnett has aligned the Annual Tax Reports with the imputation credit values in Part D of the Platinum International Fund AMMA statement.

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## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### Section 2: Attribution Managed Investment Trust (AMIT) - Changes to report format

#### Attribution Managed Investment Trust (AMIT) - Report fields

The following information has been prepared to assist you in interpreting the AMIT related fields on your Investment Income Received (Annual Tax) report for 2021.

The AMIT related fields are labelled as follows:

- AMIT CBA - AMIT Cost Base Net Adjustment
- AMIT CGU - AMIT CGT Gross Up Amount
- Other Non Taxable - Non-Taxable/Non-Assessable Amounts

Please see below for an explanation of the new components.

Clients are strongly advised to obtain professional advice in regards to the tax treatment of the cost base adjustments, CGT Gross Up amounts and non-assessable/non-taxable amounts.

Please refer to the companies' websites and their FY2021 Tax Return Guides for further information.

#### AMIT Cost Base Net Adjustment

An attribution managed investment trust (AMIT) can make non-assessable payments to unit holders, which can affect the cost base or reduced cost base of your holding. If you receive non-assessable payments from an AMIT, the cost base and reduced cost base of your units may need to be adjusted upward or downward:

- A cost base reduction occurs when the actual payments received (or which you have a right to receive) from the AMIT (including any tax offsets) are greater than the amounts attributed to you by the AMIT (to be included in your assessable income).
- A cost base increase occurs when the amounts that have been attributed to you by the AMIT (to be included in your assessable income) are greater than the actual payments received (or which you have a right to receive).

The reduction and the increase amounts are netted off against each other to arrive at your 'cost base net adjustment amount', which is then applied to your asset cost base.

Note that these adjustments are automatically applied to your cost bases on the PARS platform, and are reflected in your 'Reduced Cost Base' on both the Unrealised Capital Gains and Realised Capital gains reports.

This value is provided in your Investment Income Received (Annual Tax) report under the "AMIT CBA" heading.

#### AMIT CGT Gross Up Amount

The AMIT CGT Gross Up amount is used to reconcile the total current year capital gains amount to be included on your tax return. AMIT CGT Gross Up amounts are generally present when the total current year capital gains attributed to you are not paid or are only partially paid. This amount is included in the AMIT cost base increase.

This value is provided in your Investment Income Received (Annual Tax) report under the "AMIT CGU" heading.

When completing Tax Return Label 18H (Total Current Year Capital Gains), the amounts provided under the following Investment Income Received (Annual Tax) report headings should be added:

- Discount Capital Gain
- Capital Gain Other
- CGT Concession
- AMIT CGU

#### Non-Taxable/Non-Assessable Amounts

These values consist of other non-attributable amounts, net exempt income and non-assessable non-exempt income that have been distributed to you. This may include the amounts previously known as tax-free amounts and tax deferred amounts (including returns of capital).

Non-assessable amounts are not assessable for income tax purposes, and are reflected in the AMIT cost base net adjustment amount.

For the purposes of these annual tax reports, the other non-attributable amounts represent the difference between cash distributions and other entitlements from an AMIT



## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

to the amount of attribution income. These amounts may be a positive number or a negative number. To reconcile the actual payments received with the total reportable tax attribution value, the amounts provided under the following Investment Income Received (Annual Tax) report headings should be added:

- Gross Income
- Other Non Taxable

### CGT event E10

Where a cost base reduction amount exceeds a cost base increase amount, the resulting cost base net adjustment reduces an asset's cost base or reduced cost base. If the net amount is greater than the cost base, it will reduce the cost base to nil, and any remaining excess will give rise to a capital gain as a result of CGT event E10.

Where the cost base increase amount exceeds the cost base reduction amount, the resulting cost base net adjustment amount increases your asset's cost base and reduced cost base. This will not trigger a CGT event; however, it may result in a reduced capital gain or increased capital loss in the future if you dispose of your CGT asset.

### NCMI Components

'NCMI' tax components relate to income from a trust that is a Managed Investment Trust (MIT) that is classified as 'Non-Concessional MIT income' (NCMI). 'Excluded from NCMI' amounts are payments that would be NCMI payments were not for the application of transitional arrangements.

NCMI payments are subject to a withholding tax rate of 30% when paid to a non-resident. Standard withholding tax rates (those applicable after taking into account the investors country of residence) apply to these 'Excluded from NCMI' amounts.

In most instances, NCMI tax components are irrelevant to resident taxpayers. For this reason, NCMI payments, and excluded from NCMI payments, are added together and included under the 'Other Income' report field.

## Section 3: General Taxation Treatment of Corporate Actions

### i) New shares issued under Rights and Security/Share Purchase Plans

New Shares will be treated for the purposes of the capital gains tax (CGT) discount as having been acquired when the Eligible Shareholder exercised the Entitlement to subscribe for them. Accordingly, in order to benefit from the CGT discount in respect of a disposal of those Shares, they must have been held for at least 12 months after the date of exercise before the disposal occurs.

### ii) Treatment of dividends

Dividends are taxable on the payment date. It is this date that will determine in which income year you include the dividend in your assessable income. Where the dividend is paid by cheque, it is deemed to have been paid to you on the date the cheque was posted and not on the date the cheque was received, banked or cleared.

### iii) Treatment of distributions from trusts and managed funds

Distributions from trusts and managed funds are taxable on the date in which you become entitled to the distribution. This is usually the "ex-date". You should use the ex-date to determine which income year the distribution components are to be included in your assessable income. Some stapled securities pay both dividends and distributions. In this case we have split the income in our reports. The dividend component will generally be taxable in the year in which it is paid and the distribution component will generally be taxable in the year in which the security holder is entitled to receive the distribution.

For the purpose of our reports foreign dividends, foreign interest, passive foreign income and other foreign income have all been entered under the heading "Foreign Income". Any associated tax offsets have been entered under the heading "Foreign Tax Credits".

### iv) Treatment of tax deferred amounts from trusts and managed funds

Tax deferred payments from trusts or managed funds do not contribute to assessable income. We have accounted for tax deferred amounts by adjusting the cost base or reduced cost base of your units for the tax deferred component of distributions you have received. If the tax deferred amount is greater than the cost base of your units, you need to include the excess as a capital gain.

## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### v) Treatment of Returns of Capital

Return of capital amounts are generally not treated as assessable income. We have accounted for Return of Capital amounts by adjusting the cost base or reduced cost base of your shares or units for the Return of Capital amounts received. If the Return of Capital amount is greater than the cost base of your units, you need to include the excess as a capital gain.

### vi) Retail premiums paid to shareholders where entitlements are not taken up or are not available.

The Australian Taxation Office ("ATO") has issued Taxation Ruling TR 2017/4 dealing with taxation of rights granted, and retail premiums paid, to retail shareholders in connection with renounceable rights offers. The ruling is applicable where a shareholder was offered share entitlements which were not taken up and which expired, or when a shareholder was not offered share entitlements, and a retail premium was paid in respect of entitlements sold in the retail bookbuild process.

We have treated the retail premiums paid to shareholders for unexercised security entitlements as capital proceeds, in line with Part A of the ruling. These capital proceeds are eligible for the CGT discount where the eligible shareholders original shares have been held for 12 months or more.

However, shareholders who received a retail premium as described above should seek their own tax advice on the Australian taxation treatment of the retail premium in their income tax returns.

### vii) Treatment of CGT rollover on Schemes of Arrangement

The methodology used in apportioning cost bases in schemes and takeovers where CGT rollover has been assumed is the relative value method. The values used in the relative value method are company or ATO provided values when available. If no value or price has been published the volume weighted average price (VWAP) of the securities traded on the ASX on the implementation date is used as a reasonable estimate.

### viii) Treatment of Bonus Share Plans

Bonus shares issued under Bonus Share Plans have not been treated as income and not subject to imputation. Such ordinary shares are regarded as having been acquired at the same time as the existing holding for no extra cost and the cost of the existing holding then becomes the cost of the aggregate of the existing holding and the new ordinary shares. Shareholders are advised to seek independent tax advice to determine the potential impact of the bonus share plans.

### ix) Managed Funds - AET and HUB Platform Holdings

Components reported may be different based on each custodian's method of calculating the underlying components associated with each investment and the methodology used by them to reconcile to the Fund Manager Statements issued for their particular holding.

## Corporate Actions Appendix - Financial Year Ending 30 June 2021

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### Section 4: Additional Information

<https://www.ato.gov.au/Business/Exploration-Development-Incentive/What-to-do-when-you-receive-exploration-credits>

#### 31 December 2020 Financial Year End

Where an entity has a Financial Year End 31 December 2020, your 2021 Annual Tax Report will include the distributions that fall within the calendar year ended 31 December 2020.

#### Non-Residents of Australia for Tax Purposes

The Australian Federal Government enacted a Non-Resident Withholding Tax regime also referred to as 12H Withholding tax. Foreign investors receiving distributions as "Other Income" may be able to claim a credit for the amount of tax withheld when they lodge an *Australian income tax return* in respect of their final tax liability. Please contact our office if you would like further information as to whether this may be applicable for you.

This information does not take into account your overall individual taxation circumstances and has been provided to assist you in completing your tax obligations. It is not advice. To determine whether this is applicable to you, please contact your financial accountant or tax adviser.

#### Listed Investment Company Capital Gain Information

If you received a distribution as a Listed Investment Company ("LIC") Capital Gain, are an Australian resident (except complying Superannuation entity) or resident partnership for taxation purposes, you may be entitled to a deduction which you may be able to claim in your tax return.

This information does not take into account your overall individual taxation circumstances and has been provided to assist you in completing your tax obligations. It is not advice. To determine whether this is applicable to you, please contact your accountant or tax adviser.

#### Exploration Development Incentive Credits (EDI Credits)

The Exploration Development Incentive Credits have been entered as Franking Credits. The correct tax treatment will however be dependent on the type of entity the shares have been registered under. Shareholders are strongly advised to obtain professional advice on the taxation of these credits. For further information, please refer to the ATO guide.

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While Ord Minnett believes that the information contained herein is reliable, no warranty is given as to its accuracy or the accuracy of information or material from other sources and persons who rely on it do so at their own risk. Accordingly you should satisfy yourself as to the correctness or otherwise of the statements contained herein.

## Corporate Actions Appendix - Financial Year Ending 30 June 2021

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### Section 5 : Managed Funds & Trust Annual Tax Statements Yet To Be Received

As at the date of finalisation of your tax reports, the following unlisted managed funds and trusts have not released a 2021 annual tax statement, and/or have not provided tax component information via company websites:

- ALTOR.UNL
- APOF.UNL
- APOF3.UNL
- CALEDONIA.UNL
- CALEDONIA\_A.UNL
- FSF0007AU.FND
- GDI3129AU.FND
- GEM0111AU.FND
- GEM0112AU.FND
- MFN95EU.UNL
- MT13.UNL
- MT9.UNL
- OWENLAW.UNL
- PORTNORDICA.UNL
- PSVCUT.UNL
- SBC0816AU.FND
- SENTINEL\_IND2.UNL
- TT3.UNL
- HFL0108AU.FND

If you derived income from any of the above assets, the associated tax components may not have been included in your reporting, and all income will appear under the heading "Other Income".

### **Disclaimer:**

For information purposes we have included the Portfolio Services platform treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2021. Please note that this information may not be applicable to your particular investments and associated Portfolio Service tax reports. If these corporate activities do not apply to you then please disregard this Appendix. While Ord Minnett believes that the information contained herein is reliable, no warranty is given as to its accuracy or the accuracy of information or material from other sources. Persons relying on this information do so at their own risk. Accordingly if this information is applicable to your investments then you should satisfy yourself as to the correctness or otherwise of the information provided and independent taxation advice should be sought pertaining to your individual situation.