



2020
YOUR TAX INFORMATION STATEMENT
 As of 02/24/2021

Recipient's Name and Address:

DAVIDSON SUPERANNUATION FUND
 UAD 06/22/12
 DAVIDSON SUPER INVEST PTY LTD
 TTEE AMD 11/13/13
 93 TUKARA ROAD
 SOUTH PENRITH NSW 2750 AUSTRALIA

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY
 ID: 000
 commsecinternationaldesk@cba.com.au

Account Holder Information:

Account Number: OAC-372131

General Information:

What's New:

Regulatory Changes

- IRS Form 1099-MISC has been redesigned. As a result, box numbers have been rearranged.

Statement Enhancements

- We enhanced the Summary Page, 1099-OID Transaction Details, Revision Summary Information for Royalty Trusts and WHFITS, and have added dollar signs for clarity.

Go Digital with e-Delivery: Our e-delivery solution provides access to your account information electronically - statements, trade confirmations, account notifications, shareholder communications and tax documents. Benefits of e-delivery include:

- Faster access to account information and documents
- Increased security and safety of personal information
- Less mail and paper to manage

Electronic Delivery: Your electronic delivery selections for account communications are:

(**X**) = Not Enrolled (✓) = Enrolled

X Statements and Reports	X Trade Confirmations	X Tax Documents
X Notifications	X Prospectus	X Proxy/Shareholder Communications

Please log in to your account or contact your Account Executive to make any changes to your electronic delivery preferences.

Important Message: This statement is being provided for informational purposes only as a COURTESY. The information on this statement WILL NOT BE FURNISHED to the IRS (excluding 1099-MISC substitute payments and 1099-B covered transactions for S Corporations).

Available Dates: We generally make courtesy 1099 tax statements available to investors from February 15 to March 15. Certain information may not be finalized by the securities issuers at the time that the statement is produced. We do not revise courtesy 1099 tax statements.



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Number:

General Information:**(continued)**

e-Delivery: The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information. The "As of " date on this document is the date the form was generated and the date through which all activity in this account was processed.

Visit www.irs.gov for IRS Forms, Publications and other resources or you may call (800) 829-1040 (individuals) or (800) 829-4933 (businesses).

Visit www.mytaxhandbook.com for information and a reference guide to this 1099 tax statement.



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Payer's Information: PERSHING LLC
ONE PERSHING PLAZA
JERSEY CITY, NJ 07399

Payer's Federal Identification Number: 13-2741729

This statement is being provided for informational purposes only. The information on this statement will not be furnished to the Internal Revenue Service.

Summary of Form 1099-B

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

	<u>Amount</u>
Gross Proceeds (Covered and Noncovered Transactions)	\$0.00
Cost or Other Basis (Covered Transactions Only)	\$0.00
Federal Tax Withheld - Proceeds	\$0.00
State Tax Withheld - Proceeds	\$0.00
Regulated Futures and Foreign Currency Forward Contracts:	
Profit or (Loss) Realized in 2020	\$0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2019	\$0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2020	\$0.00
Aggregate Profit or (Loss)	\$0.00
Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	\$0.00
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	\$0.00

2020 1099-DIV

Dividends and Distributions

OMB No. 1545-0110

<u>Box</u>		<u>Amount</u>
1a	Total Ordinary Dividends	\$762.65
1b	Qualified Dividends (Included in Box 1a)	\$762.65
2a	Total Capital Gain Distributions	\$0.00
2b	Unrecaptured Section 1250 Gain (Included in Box 2a)	\$0.00
2c	Section 1202 Gain (Included in Box 2a)	\$0.00
2d	Collectibles (28%) Gain (Included in Box 2a)	\$0.00
3	Nondividend Distributions	\$0.00
4	Federal Income Tax Withheld	\$0.00
5	Section 199A Dividends (Included in Box 1a)	\$0.00
6	Investment Expenses	\$0.00
7	Foreign Tax Paid	\$1.85
9	Cash Liquidation Distributions	\$0.00
10	Noncash Liquidation Distributions	\$0.00
11	Exempt Interest Dividends	\$0.00
12	Specified Private Activity Bond Interest Dividends (Included in Box 11)	\$0.00
13/14/15	State /State ID# /State Tax Withheld	
	FATCA Filing Requirement	

2020 1099-INT

Interest Income

OMB No. 1545-0112

<u>Box</u>		<u>Amount</u>
1	Interest Income	\$0.00
3	Interest on U.S. Savings Bonds & Treasury Obligations	\$0.00
4	Federal Income Tax Withheld	\$0.00
5	Investment Expenses	\$0.00
6	Foreign Tax Paid	\$0.00
8	Tax-Exempt Interest	\$0.00
9	Specified Private Activity Bond Interest (Included in Box 8)	\$0.00
10	Market Discount (Covered Transactions Only)	\$0.00
11	Bond Premium (Covered Transactions Only)	\$0.00
12	Bond Premium on Treasury Obligations (Covered Transactions Only)	\$0.00
13	Bond Premium on Tax-Exempt Bond (Covered Transactions Only)	\$0.00
14	Tax-Exempt and Tax Credit Bond CUSIP Number	Various
15 /16 /17	State /State ID# /State Tax Withheld	
	FATCA Filing Requirement	

Summary of Form 1099-OID

Details are reported to the IRS. Refer to the 1099-OID section of this statement for those details.

	<u>Amount</u>
Original Issue Discount (Non-U.S. Treasury Obligations)	\$0.00
Other Periodic Interest	\$0.00
Market Discount (Covered Transactions Only)	\$0.00
Acquisition Premium (Covered Transactions Only)	\$0.00
Original Issue Discount on U.S. Treasury Obligations	\$0.00
Investment Expenses	\$0.00
Bond Premium (Covered Transactions Only)	\$0.00
Tax-Exempt OID (Covered Transactions Only)	\$0.00
Tax-Exempt OID Specified Private Activity Bonds (Covered Transactions Only)	\$0.00
Federal Income Tax Withheld	\$0.00
State Tax Withheld	

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DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2021, at mytaxhandbook.com.

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
APPLE INC	02/13/2020	155.54	155.54								
COM	05/14/2020	165.64	165.64								
CUSIP: 037833100	08/13/2020	165.64	165.64								
	11/12/2020	165.64	165.64								
		-----	-----								
		652.46	652.46								
MICROCHIP TECHNOLOGY	03/06/2020	13.95	13.95								
INC COM	06/04/2020	13.97	13.97								
CUSIP: 595017104	09/04/2020	13.98	13.98								
	12/04/2020	14.00	14.00								
		-----	-----								
		55.90	55.90								
VANECK VECTORS ETF	12/28/2020	12.29	12.29						1.85		
TR GOLD MINERS ETF											
CUSIP: 92189F106 FOREIGN CORP.											
OTHER											
XEROX HLDGS CORP COM	01/31/2020	10.50	10.50								
NEW	04/30/2020	10.50	10.50								
CUSIP: 98421M106	07/31/2020	10.50	10.50								
	10/30/2020	10.50	10.50								
		-----	-----								
		42.00	42.00								
Dividends - U.S. Corporations		\$750.36	\$750.36								
Dividends - Foreign Corporations											
Other		12.29	12.29						1.85		
Total :		\$762.65	\$762.65	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1.85		\$0.00

Seq.# (OAC 50098)

Page 2 of 5

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As of 02/24/2021

IRS Form 1099-DIV—Dividends and Distributions:

Dividends and other distributions from corporations, mutual funds, Exchange Traded Funds (ETF) (treated as mutual funds for tax purposes), Unit Investment Trusts (UIT) and Real Estate Investment Trusts (REIT) are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

Box 1a—Total Ordinary Dividends. Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is comprised in whole or in part of foreign-sourced ordinary dividends. Report total ordinary dividends on IRS Form 1040. Also report them on IRS Form 1040 Schedule B (Interest and Ordinary Dividends), if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends.

Box 1b—Qualified Dividends. This shows the portion of the amount in Box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount. Report the eligible amount as a dividend on IRS Form 1040.

Box 2a—Total Capital Gain Distributions. This shows total capital gain distributions (long-term) from a regulated investment company or REIT. Report the amounts shown in Box 2a on IRS Form 1040 Schedule D. But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a of IRS Form 1040 Schedule 1 rather than Schedule D. See IRS Form 1040 instructions.

Box 2b—Unrecaptured Section 1250 Gain. This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (IRS Form 1040).

Box 2c—Section 1202 Gain. This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions.

Box 2d—Collectibles (28%) Gain. This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the instructions for Schedule D (IRS Form 1040).

Box 3—Nondividend Distributions. This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment. But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses).

Box 4—Federal Income Tax Withheld. Federal income tax withheld is 24% of dividends. See the Additional Information section of these instructions.

Box 5—Section 199A Dividends. This shows the portion of the amount in Box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 8995 and Form 8995-A.

Box 6—Investment Expenses. Your share of a UIT's or a WHFIT's expenses are reported in Box 6. These expenses are included in Box 1a.

Box 7—Foreign Tax Paid. This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040. See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.

Box 13—State. Displays the two letter postal abbreviation of the state(s) for which state income taxes have been withheld.

Box 14—State Identification No. Displays Pershing LLC payer's state identification number(s).

Box 15—State Income Tax Withheld. Displays amount of state income taxes withheld.

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Tax Information Statement Instructions:

The Tax Information Statement provides a detailed summary of your account transactions during 2020. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit mytaxhandbook.com. If your account was transferred to our firm during 2020, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are investors for tax purposes.

Additional Information:

Recipient's Identification Number. For your protection, this form shows only the last four digits of your Social Security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state or local governments.

Account Number. A unique number the payer assigned to distinguish your account.

FATCA Filing Requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 8938. For 2020, this box has been intentionally left blank.

Electronic Delivery. The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information.

Nominee Recipients. If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nominee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U.S. Information Returns), with the IRS center in your area. List yourself as the payer on IRS Form 1099 and as the filer on IRS Form 1096. List the other owner(s) as the recipient(s) on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nominee return to show amounts owned by their spouse.

Payer. The payer for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729). This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.

Corrections. Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of June. If an income correction is made to your account after issuing your tax statement and the correction is an increase or decrease of less than \$100 of income, we may not send you a revised tax statement. If you would like to receive revisions that are less than \$100, please contact your Investment Professional.

Federal Income Tax Withheld. Federal income tax withheld is 24% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

State and Local Tax Reporting. We are required to provide information to a number of state and local jurisdictions. We report information to California, Connecticut, Massachusetts, Minnesota, New York and Rhode Island concerning municipal bond interest income earned by state residents from bonds not issued by these states. We report certain 1099 information directly to Delaware, District of Columbia, Maine, Massachusetts, Mississippi, Montana, Oregon and Rhode Island. For 2020, we withheld, remitted and reported state income tax for California, Maine and Vermont according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

Cost Basis Adjustments. There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.

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Tax Information Statement Instructions (continued):

Important Note Concerning Cost Basis. Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct, then the results of our calculations will likewise produce incorrect results. The IRS requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

Form 2439. If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available.

Foreign Tax Paid. Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2020. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, Schedule A (Itemized Deductions). See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions. Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund.

IRS Publications. IRS publications are available through your local IRS office, by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at irs.gov. For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions.

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit mytaxhandbook.com.

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

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