



142233/A/000767

THE TRUSTEE FOR HEXFORD  
SUPERANNUATION FUND  
7 SHEOAK ST  
MIDDLE PARK QLD 4074

Our reference: SMSF RDF Med  
Phone: 13 10 20  
Client ID: 78 313 913 710

28 June 2019

## Your self-managed super fund's contraventions of superannuation law

- > Your self-managed super fund has contravened superannuation laws
- > Make sure your self-managed super fund continues to meet all its obligations

Dear Trustee,

Our records show that your self-managed super fund (SMSF), THE TRUSTEE FOR HEXFORD SUPERANNUATION FUND, contravened superannuation law in the 2017 year.

### What you need to do

Your fund needs to continue meeting all of its obligations under superannuation law.

You should consider talking to your tax agent, or an SMSF specialist, about developing strategies and processes to prevent future contraventions from happening.

### What happens next

We may take compliance action, including auditing your fund, if we discover that it has breached its obligations in the future. This may result in serious outcomes including:

- > administrative penalties of up to \$10,800 per contravention payable by each individual trustee or by the directors of the corporate trustee
- > trustee disqualification
- > removal of tax concessionary status
- > prosecution.

### NEED HELP?

If you have any questions, you can phone us on 13 10 20 between 8.00am and 6.00pm, Monday to Friday.

### MORE INFORMATION

You can find more information about your trustee obligations on our website, [ato.gov.au](http://ato.gov.au), by searching for 'Administering and reporting'.

If you want to attend an approved trustee education course, visit our website, [ato.gov.au](http://ato.gov.au), and search for 'approved education courses'.

If you are not able to meet your obligations you may like to consider winding up your fund. You can find more information about this on our website, [ato.gov.au](http://ato.gov.au), by searching for 'winding up'.

You may like to consider using the SMSF early engagement and voluntary disclosure service to make a voluntary disclosure of any unrectified regulatory contraventions. To use this service, fill out the SMSF Regulatory Contravention

### Pickersgill, Doug

**From:** James Peacock <JamesPeacock@sidcor.com.au>  
**Sent:** Tuesday, 11 April 2017 9:46 AM  
**To:** Pickersgill, Brad; Pickersgill, Doug  
**Cc:** Pickersgill, Debbie  
**Subject:** 2016 Audit Finalisation & Actions for 2017  
**Attachments:** SIDCOR Letter.pdf; Section 129 Notice Letter.pdf; Lodged Auditor Contravention Report.pdf; Audit Management Letter.pdf; Audit Report.pdf

Hi Doug, Christine & Brad,

Please find attached a copy of your 2016 audit finalisation documents. The superfund is now up to date with lodgements with the Australian Taxation Office.

Please note as requested we have calculated Doug & Christine's balances as at 31 March 2017. The balance in the fund which belongs to Christine & Doug is \$1,556. Doug & Christine have indicated that they wish to be resigned as Directors of Hexford Superannuation Pty Ltd and also removed as members of Hexford Superannuation Fund. If you wish to proceed with this, can you please transfer the money out of the fund and notify me when done, and then we will send through the relevant documentation to resign you as directors and also cancel your membership in the fund.

Brad - You will remain the sole director and member of Hexford Superfund. You can keep the SMSF going or roll your balance out to a retail/industry superfund, if you need a referral to a financial planner to discuss your financial options please advise and we can put you in touch.

Should you have any questions in relation to the attached please let me know.

Kind regards,

**James Peacock**  
 Accountant  
 50 Hunter Street, Newcastle NSW 2300  
 PO Box 831, Newcastle NSW 2300  
 T: 02 4926 5299 | F: 02 4926 5399 | W: [www.sidcor.com.au](http://www.sidcor.com.au)

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