

007098 000 RMD



JENKIN FAMILY SUPER FUND
BRINGLE
TOORAWEEAH NSW 2831

Holder Account Number Co.ID
HIN WITHHELD R M D

20 February 2020

2019 US Annual Withholding Tax Statement

ResMed Inc

Below is your Annual Withholding Tax Statement for the dividends declared during the 2019 calendar year for the Fund named above. Computershare Inc, as US Withholding Agent, is required to send this Withholding Tax Statement under Regulations of the Internal Revenue Service (IRS) of the United States of America (US). In some circumstances the withholding tax rate applied is 30% being the maximum non treaty rate. If your country has a tax treaty with the US you may be able to claim a lower withholding tax rate in future by completing an IRS Form W-8BEN for individuals or Form W-8BEN-E for entities. You can download a Form by accessing Computershare website: www-au.computershare.com/Investor/Company – enter the appropriate Fund name, select 'all printable forms' and scroll down to USA Tax Forms.

☐ Amended ☐ Amendment No. 1 9 0 2 0 7 2 7 9 4 Unique form identifier OMB No. 1545-0096

Form 1042-S - Foreign Person's U.S. Source Income Subject to Withholding

2019

Information about Form 1042-S and its separate instructions is at www.irs.gov/form1042s.

1 Income code 52	2 Gross income 49.00	3 Chapter indicator. Enter "3" or "4" <input checked="" type="checkbox"/> 3	13e Recipient's U.S. TIN, if any
		3a Exemption code 00 4a Exemption code 15	
		3b Tax rate 15.00 4b Tax rate	
5 Withholding allowance		13f Ch. 3 status code 10 13g Ch. 4 status code 31	
6 Net income		13h Recipient's GIIN.	13i Recipient's foreign tax identification number, if any
7a Federal tax withheld 7.00	7b Check if tax not deposited with IRS pursuant to escrow procedure <input type="checkbox"/>		13j LOB code 03
	7c Check if withholding occurred in subsequent year with respect to a partnership interest <input type="checkbox"/>		
8 Tax withheld by other agents 0		13k Recipient's account number HIN WITHHELD	13l Recipient's date of birth
9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) 0		14a Primary Withholding Agent's Name (if applicable)	
10 Total withholding credit (combine 7a, 8 and 9) 7.00		14b Primary Withholding Agent's EIN	15 Check if pro-rata basis reporting <input type="checkbox"/>
11 Tax paid by withholding agent (amounts not withheld) (see instructions)		15a Intermediary or flow-through entity's EIN, if any	15b Ch. 3 status code 25 15c Ch. 4 status code 22
12a Withholding agent's EIN 98-0152841	12b Ch. 3 status code 02	12c Ch. 4 status code 02	
12d Withholding agent's name ResMed Inc.		15d Intermediary or flow-through entity's name COMPUTERSHARE INVESTOR SERVICES PTY LTD	
12e Withholding agent's Global Intermediary Identification Number (GIIN)		15e Intermediary or flow-through entity's GIIN	
12f Country code	12g Foreign taxpayer identification number, if any	15f Country code AS	15g Foreign tax identification number, if any
12h Address (number and street) 9001 Spectrum Center Blvd		15h Address (number and street) YARRA FALLS 452 JOHNSTON STREET	
12i City or town, state or province, country, ZIP or foreign postal code San Diego, CA 92123 United States of America		15i City or town, state or province, country, ZIP or foreign postal code ABBOTSFORD VIC 3067 AUSTRALIA	
13a Recipient's name JENKIN FAMILY SUPER FUND	13b Recipient's country code AS		
13c Address (number and street) BRINGLE		16a Payer's name RESMED INC	16b Payer's TIN 98-0152841
13d City or town, state or province, country, ZIP or foreign postal code TOORAWEEAH NSW 2831 AUSTRALIA		16c Payer's GIIN	16d Ch. 3 status code 16e Ch. 4 status code
		17a State income tax withheld	17b Payer's state tax no. 17c Name of state

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 1042-S (2019)
Copy B - For Recipient

The information on this form is being furnished to the Internal Revenue Service.
Department of the Treasury - Internal Revenue Service

U.S. Income Tax Filing Requirements

Generally, every nonresident alien individual, nonresident alien fiduciary, and foreign corporation with United States income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, or foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. Corporations file Form 1120-F; all others file Form 1040NR (or Form 1040NR-EZ if eligible). You may get the return forms and instructions at any United States Embassy or consulate or by writing to: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

En règle générale, tout étranger non-résident, tout organisme fidéicommissaire étranger non-résident et toute société étrangère percevant un revenu aux Etats-Unis, y compris tout revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, doit produire une déclaration d'impôt sur le revenu auprès des services fiscaux des Etats-Unis. Cependant aucune déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidéicommissaire étranger non-résident, ou d'une société étrangère s'ils n'ont pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'année fiscale et si les impôts dont ils sont redevables, ont été entièrement acquittés par une retenue à la source sur leur salaire. Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autres redevables doivent remplir le formulaire 1040NR (ou 1040NR-EZ s'ils en remplissent les conditions). On peut se procurer les formulaires de déclarations d'impôts et les instructions y afférentes dans toutes les Ambassades et tous les Consultats des Etats-Unis. L'on peut également s'adresser pour tout renseignement à: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Por regla general, todo extranjero no residente, todo organismo fideicomisario extranjero no residente y toda sociedad anónima extranjera que reciba ingresos en los Estados Unidos, incluyendo ingresos relacionados con la conducción de un negocio o comercio dentro de los Estados Unidos, deberá presentar una declaración estadounidense de impuestos sobre el ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsabilidad con los impuestos de tal persona ha sido satisfecha plenamente mediante retención del impuesto de los Estados Unidos en la fuente. Las sociedades anónimas envían el Formulario 1120-F; todos los demás contribuyentes envían el Formulario 1040NR (o el Formulario 1040NR-EZ si les corresponde). Se podrá obtener formularios e instrucciones en cualquier Embajada o Consulado de los Estados Unidos o escribiendo directamente a: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Im allgemeinen muss jede ausländische Einzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, welches direkt mit der Ausübung von Handel oder Gewerbe innerhalb der Staaten verbunden ist, eine Einkommensteuererklärung der Vereinigten Staaten abgeben. Eine Erklärung, muss jedoch nicht von Ausländern, ausländischen Bevollmächtigten oder ausländischen Gesellschaften in den Vereinigten Staaten eingereicht werden, falls eine solche Person während des Steuerjahres kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einbehaltung der Steuern der Vereinigten Staaten durch die Einkommensquelle abgegolten ist. Gesellschaften reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR (oder wenn passend das Formblatt 1040NR-EZ) ein. Einkommensteuererklärungen und Instruktionen können bei den Botschaften und Konsulaten der Vereinigten Staaten eingeholt werden. Um weitere Informationen wende man sich bitte an: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.



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1 Income code 52	2 Gross income 16.00	3 Chapter indicator. Enter "3" or "4" <input checked="" type="checkbox"/> 4	4a Exemption code 00	4b Tax rate 30.00	13e Recipient's U.S. TIN, if any
5 Withholding allowance					13f Ch. 3 status code 21
6 Net income					13g Ch. 4 status code 29
7a Federal tax withheld 5.00					13h Recipient's GIIN.
7b Check if tax not deposited with IRS pursuant to escrow procedure <input type="checkbox"/>					13i Recipient's foreign tax identification number, if any
7c Check if withholding occurred in subsequent year with respect to a partnership interest <input type="checkbox"/>					13j LOB code 03
8 Tax withheld by other agents 0					13k Recipient's account number HIN WITHHELD
9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) 0					13l Recipient's date of birth
10 Total withholding credit (combine 7a, 8 and 9) 5.00					14a Primary Withholding Agent's Name (if applicable)
11 Tax paid by withholding agent (amounts not withheld) (see instructions)					14b Primary Withholding Agent's EIN
12a Withholding agent's EIN 98-0152841	12b Ch. 3 status code 02	12c Ch. 4 status code 02	15 Check if pro-rata basis reporting <input type="checkbox"/>		
12d Withholding agent's name ResMed Inc.			15a Intermediary or flow-through entity's EIN, if any	15b Ch. 3 status code 25	15c Ch. 4 status code 22
12e Withholding agent's Global Intermediary Identification Number (GIIN)			15d Intermediary or flow-through entity's name COMPUTERSHARE INVESTOR SERVICES PTY LTD		
12f Country code	12g Foreign taxpayer identification number, if any		15e Intermediary or flow-through entity's GIIN		
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			16d Ch. 3 status code		
			16e Ch. 4 status code		
			17a State income tax withheld		
			17b Payer's state tax no.		
			17c Name of state		

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Explanation of Codes

Box 1. Income code.

Code Interest Income
01 Interest paid by U.S. obligors—general
02 Interest paid on real property mortgages
03 Interest paid to controlling foreign corporations
04 Interest paid by foreign corporations
05 Interest on tax-free covenant bonds
22 Interest paid on deposit with a foreign branch of a domestic corporation or partnership
29 Deposit interest
30 Original issue discount (OID)
31 Short-term OID
33 Substitute payment—interest
51 Interest paid on certain actively traded or publicly offered securities¹
54 Substitute payments—interest from certain actively traded or publicly offered securities¹

Dividend Income
06 Dividends paid by U.S. corporations — general
07 Dividends qualifying for direct dividend rate
08 Dividends paid by foreign corporations
34 Substitute payment — dividends
40 Other dividend equivalents under IRC section 871(m) (formerly 871(l))
52 Dividends paid on certain actively traded or publicly offered securities¹
53 Substitute payments-dividends from certain actively traded or publicly offered securities¹

Other Income
09 Capital gains
10 Industrial royalties
11 Motion picture or television copyright royalties
12 Other royalties (e.g., copyright, software, broadcasting, endorsement payments)
13 Royalties paid on certain publicly offered securities¹
14 Real property income and natural resources royalties
15 Pensions, annuities, alimony, and/or insurance premiums
16 Scholarship or fellowship grants
17 Compensation for independent personal services²
18 Compensation for dependent personal services²
19 Compensation for teaching²
20 Compensation during studying and training²
23 Other income
24 Qualified investment entity (QIE) distributions of capital gains
25 Trust distributions subject to IRC section 1445
26 Unsevered growing crops and timber distributions by a trust subject to IRC section 1445
27 Publicly traded partnership distributions subject to IRC section 1446
28 Gambling winnings³
32 Notional principal contract income⁴
35 Substitute payment — other
36 Capital gains distributions
37 Return of capital
38 Eligible deferred compensation items subject to IRC section 877A(d)(1)
39 Distributions from a nongrantor trust subject to IRC section 877A(f)(1)
41 Guarantee of indebtedness
42 Earnings as an artist or athlete — no central withholding agreement⁵
43 Earnings as an artist or athlete — central withholding agreement⁵
44 Specified Federal procurement payments
50 Income previously reported under escrow procedure⁶
Boxes 3a and 4a. Exemption code (applies if the tax rate entered in boxes 3b and 4b is 00.00).

Code Authority for Exemption

Chapter 3

01 Effectively connected income

02 Exempt under IRC (other than portfolio interest)
03 Income is not from U.S. sources
04 Exempt under tax treaty
05 Portfolio interest exempt under IRC
06 QI that assumes primary withholding responsibility
07 WFP or WFT
08 U.S. branch treated as U.S. Person
09 Territory FI treated as U.S. Person
10 QI represents that income is exempt
11 QSL that assumes primary withholding responsibility
12 Payee subjected to chapter 4 withholding
22 QDD that assumes primary withholding responsibility
23 Exempt under Section 897(l)

Chapter 4

13 Grandfathered payment
14 Effectively connected income
15 Payee not subject to chapter 4 withholding
16 Excluded nonfinancial payment
17 Foreign Entity that assumes primary withholding responsibility
18 U.S. Payees—of participating FFI or registered deemed-compliant FFI
19 Exempt from withholding under IGA⁷
20 Dormant account⁸
21 Other—payment not subject to chapter 4 withholding
Boxes 12b, 12c, 13f, 13g, 15b, 15c, 16d, and 16e. Withholding Agent, Recipient, Intermediary, and Payer Chapter 3 and Chapter 4 Status Codes

Code Type of Recipient, Withholding Agent, Payer, or Intermediary

Chapter 3 Status Codes

01 U.S. Withholding Agent — FI
02 U.S. Withholding Agent — Other
03 Territory FI — treated as U.S. Person
04 Territory FI — not treated as U.S. Person
05 U.S. branch — treated as U.S. Person
06 U.S. branch — not treated as U.S. Person
07 U.S. branch — ECI presumption applied
08 Partnership other than Withholding Foreign Partnership
09 Withholding Foreign Partnership
10 Trust other than Withholding Foreign Trust
11 Withholding Foreign Trust
12 Qualified Intermediary
13 Qualified Securities Lender —Qualified Intermediary
14 Qualified Securities Lender — Other
15 Corporation
16 Individual
17 Estate
18 Private Foundation
19 Government or International Organization
20 Tax Exempt Organization (Section 501(c) entities)
21 Unknown Recipient
22 Artist or Athlete
23 Pension
24 Foreign Central Bank of Issue
25 Nonqualified Intermediary
26 Hybrid entity making Treaty Claim
34 U.S. Withholding Agent — Foreign Branch of FI
35 Qualified Derivatives Dealer
Pooled Reporting Codes¹⁰
27 Withholding Rate Pool — General
28 Withholding Rate Pool — Exempt Organization
29 PAI Withholding Rate Pool — General
30 PAI Withholding Rate Pool — Exempt Organization
31 Agency Withholding Rate Pool — General
32 Agency Withholding Rate Pool — Exempt Organization
Chapter 4 Status Codes
01 U.S. Withholding Agent — FI
02 U.S. Withholding Agent — Other
03 Territory FI — not treated as U.S. Person

04 Territory FI - treated as U.S. Person
05 Participating FFI — Other
06 Participating FFI — Reporting Model 2 FFI
07 Registered Deemed-Compliant FFI — Reporting Model 1 FFI
08 Registered Deemed-Compliant FFI — Sponsored Entity
09 Registered Deemed-Compliant FFI — Other
10 Certified Deemed-Compliant FFI — Other
11 Certified Deemed-Compliant FFI — FFI with Low Value Accounts
12 Certified Deemed-Compliant FFI — Non-Registering Local Bank
13 Certified Deemed-Compliant FFI — Sponsored Entity
14 Certified Deemed-Compliant FFI — Investment Advisor or Investment Manager
15 Nonparticipating FFI
16 Owner-Documented FFI
17 U.S. Branch — treated as U.S. Person
18 U.S. Branch — not treated as U.S. Person (reporting under Section 1471)
19 Passive NFFE identifying Substantial U.S. Owners
20 Passive NFFE with no Substantial U.S. Owners
21 Publicly Traded NFFE or Affiliate of Publicly Traded NFFE
22 Active NFFE
23 Individual
24 Section 501(c) Entities
25 Excepted Territory NFFE
26 Excepted NFFE — Other
27 Exempt Beneficial Owner
28 Entity Wholly Owned by Exempt Beneficial Owners
29 Unknown Recipient
30 Recalcitrant Account Holder
31 Nonreporting IGA FFI
32 Direct reporting NFFE
33 U.S. reportable account
34 Non-consenting U.S. account
35 Sponsored direct reporting NFFE
36 Excepted Inter-affiliate FFI
37 Undocumented Preexisting Obligation
38 U.S. Branch — ECI presumption applied
39 Account Holder of Excluded Financial Account¹¹
40 Passive NFFE reported by FFI¹²
41 NFFE subject to 1472 withholding
50 U.S. Withholding Agent — Foreign Branch of FI
Pooled Reporting Codes
42 Recalcitrant Pool — No U.S. Indicia
43 Recalcitrant Pool — U.S. Indicia
44 Recalcitrant Pool — Dormant Account
45 Recalcitrant Pool — U.S. Persons
46 Recalcitrant Pool — Passive NFFEs
47 Nonparticipating FFI Pool
48 U.S. Payees Pool
49 QI-Recalcitrant Pool—General¹³
Box 13j. LOB Code (enter the code that best describes the applicable limitation on benefits (LOB) category that qualifies the taxpayer for the requested treaty benefits).
LOB Code LOB Treaty Category
02 Government - contracting state/political subdivision/local authority
03 Tax exempt pension trust/Pension fund
04 Tax exempt/Charitable organization
05 Publicly-traded corporation
06 Subsidiary of publicly-traded corporation
07 Company that meets the ownership and base erosion test
08 Company that meets the derivative benefits test
09 Company with an item of income that meets the active trade or business test
10 Discretionary determination
11 Other

¹ This code should only be used if the income paid is described in Regulations section 1.1441-6(c)(2) and withholding agent has reduced the rate of withholding under an income tax treaty without the recipient providing a U.S. or foreign TIN.

² If compensation that otherwise would be covered under Income Codes 17 through 20 is directly attributable to the recipient's occupation as an artist or athlete, use Income Code 42 or 43 instead.

³ Subject to 30% withholding rate unless the recipient is from one of the treaty countries listed under *Gambling winnings* (Income Code 28) in Pub. 515.

⁴ Use appropriate Interest Income Code for embedded interest in a notional principal contract.

⁵ If Income Code 42 or 43 is used, Recipient Code 22 (artist or athlete) should be used instead of Recipient Code 16 (individual), 15 (corporation), or 08 (partnership other than withholding foreign partnership).

⁶ Use only to report gross income the tax for which is being deposited in the current year because such tax was previously escrowed for chapters 3 and 4 and the withholding agent previously reported the gross income in a prior year and checked the box to report the tax as not deposited under the escrow procedure. See the instructions to this form for further explanation.

⁷ Use only to report a U.S. reportable account or non-consenting U.S. account that is receiving a payment subject to chapter 3 withholding.

⁸ Use only if applying the escrow procedure for dormant accounts under Regulations section 1.1471-4(b)(6). If tax was withheld and deposited under chapter 3, do not check box 7b ("tax not deposited with IRS pursuant to escrow procedure"). You must instead enter "3" in box 3 and complete box 3b.

⁹ Codes 27 through 33 should only be used by a QI (including a QI acting as a QDD), QSL, WP, or WT. Codes 36 through 38 should only be used by a QI acting as a QDD.

¹⁰ This code should only be used if income is paid to an account that is excluded from the definition of financial account under Regulations section 1.1471-5(b)(2) or under Annex II of the applicable Model 1 IGA or Model 2 IGA.

¹¹ This code should only be used when the withholding agent has received a certification on the FFI withholding statement of a participating FFI or registered deemed compliant FFI that maintains the account that the FFI has reported the account held by the passive NFFE as a U.S. account (or U.S. reportable account) under its FATCA requirements. The withholding agent must report the name and GILN of such FFI in boxes 15d and 15e.

¹² This code should only be used by a withholding agent that is reporting a payment (or portion of a payment) made to a QI with respect to the QI's recalcitrant account holders.