

**CONSULTANT ONLY**

Group Name:				
Superfund Name: <b>MUMTAZ SMSF</b>				
<input type="checkbox"/> FFR		Year: 2022	Consultant	Reviewer
			Initial: <b>MS</b>	
			Date:	
Notes:				
<p>BHP in specie distribution paid out with WBS shares</p> $233 \div 5.534 = 42.10$ <p style="margin-left: 100px;">~ 42 shares</p> <p>Cost Base = 29.76      Date 01/06/23</p>				
<b>1. Prestart checks</b>				<b>Yes</b>
Check Trust Deed details check addresses and trustees, beneficiaries are up to date XPM				✓
Confirm Individual office holders address and DOB, Check email, bank account details on client engagement form are correct in XPM				✓
Check Lodgment Status - If download/print prefills and applicable reports and discuss with client				✓
Setup Job in XPM and Create all Tax Returns to be completed under this job, add tax Return and Upload Prefills				✓
Accounting Software used	<input checked="" type="checkbox"/> BGL360	<input type="checkbox"/> MYOB	<input type="checkbox"/> Source Records	<input type="checkbox"/> Other write details
<b>2. Workpapers</b>				
Print Trial Balance				✓
General ledger Review for reallocations				✓

**Mumtaz SMSF****Statement of Taxable Income**

For the year ended 30 June 2022

	<b>2022</b>
	<b>\$</b>
Benefits accrued as a result of operations	16,934.16
<b>Less</b>	
Non Taxable Transfer In	0.35
Realised Accounting Capital Gains	14,130.29
Accounting Trust Distributions	21.60
Non Taxable Contributions	1,661.19
	<u>15,813.43</u>
<b>Add</b>	
Decrease in MV of investments	23,122.73
Franking Credits	2,092.03
Foreign Credits	11.03
Net Capital Gains	14,130.00
	<u>39,355.79</u>
SMSF Annual Return Rounding	(3.52)
<b>Taxable Income or Loss</b>	<u>40,473.00</u>
Income Tax on Taxable Income or Loss	6,070.95
<b>Less</b>	
Franking Credits	2,092.03
Foreign Credits	11.03
<b>TAX PAYABLE</b>	<u>3,967.89</u>
<b>Less</b>	
TFN Credits	9.00
<b>CURRENT TAX OR REFUND</b>	<u>3,958.89</u>
Supervisory Levy	259.00
<b>AMOUNT DUE OR REFUNDABLE</b>	<u>4,217.89</u>

**Mumtaz SMSF**  
**Trial Balance**

As at 30 June 2022

AFTER  
CREATE  
ENTRIES

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
	<b>23800</b>	<b>Distributions Received</b>			
	23800/BWP.AX1	BWP Trust			21.60
	<b>23900</b>	<b>Dividends Received</b>			
(17.43)	23900/AAPL.NDQ	Apple Inc			47.32
	23900/ANZ.AX	Australia And New Zealand Banking Group Limited			280.00
(280.00)	23900/BEN.AX	Bendigo And Adelaide Bank Limited			265.00
(327.84)	23900/BHP.AX	BHP Group Limited			2,295.24
(20.40)	23900/BOQ.AX	Bank Of Queensland Limited.			
	23900/CBA.AX	Commonwealth Bank of Australia. - Ordinary Fully Paid			42.00
(180.00)	23900/CBA.AX1	Commonwealth Bank Of Australia.			279.00
	23900/F.NYE	Ford Motor Co.			16.23
(51.45)	23900/FMG.AX	Fortescue Metals Group Ltd			151.25
(67.45)	23900/JHX.AX	James Hardie Industries Plc			
(10.63)	23900/JNJ.NYE	Johnson & Johnson			7.25
	23900/NAB.AX	National Australia Bank Limited			240.00
(517.11)	23900/RIO.AX	RIO Tinto Limited			1,088.74
(261.00)	23900/WBC.AX	Westpac Banking Corporation			30.50
(176.00)	23900/WES.AX	Wesfarmers Limited			209.70
	<b>24200</b>	<b>Contributions</b>			
(7,890.78)	24200/NAZMUM00001A	(Contributions) Nazir, Mumtaz - Accumulation			7,405.98
(5,916.56)	24200/NAZYAQ00001A	(Contributions) Nazir, Yaqub - Accumulation			12,052.31
(19,479.96)	24700	Changes in Market Values of Investments		8,992.44	
	<b>25000</b>	<b>Interest Received</b>			
(0.69)	25000/CBA11132072	Imann Khadijah Pty Ltd ATF Mumtaz SMSF			3.51
	<b>26500</b>	<b>Other Investment Income</b>			
	26500/OMC741560	COMMSEC O/S ACC - MUMTAZ SMSF			39.60
	<b>28000</b>	<b>Property Income</b>			
	28000/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce			8,262.86
	<b>28500</b>	<b>Transfers In</b>			
(96,755.52)	28500/NAZMUM00001A	(Transfers In) Nazir, Mumtaz - Accumulation			
(27,274.35)	28500/NAZYAQ00001A	(Transfers In) Nazir, Yaqub - Accumulation			0.35
	30800	ASIC Fees		56.00	
118.62	31500	Bank Charges		14.07	

Mumtaz SMSF  
**Trial Balance**

As at 30 June 2022

AFTER  
 CREATE  
 ENTRIES

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
	<b>37500</b>	<b>Investment Expenses</b>			
	2.83 37500/NIO.NYE	NIO Inc - ADR			
	232.25 37500/OMC741560	COMMSEC O/S ACC - MUMTAZ SMSF		382.18	
	<b>39000</b>	<b>Life Insurance Premiums</b>			
	2,417.25 39000/NAZMUM00001A	(Life Insurance Premiums) Nazir, Mumtaz - Accumulation		939.68	
	1,798.01 39000/NAZYAQ00001A	(Life Insurance Premiums) Nazir, Yaqub - Accumulation		707.00	
	<b>41930</b>	<b>Property Expenses - Agents Management Fees</b>			
	41930/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand		839.00	
	<b>41960</b>	<b>Property Expenses - Council Rates</b>			
	41960/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand		929.88	
	<b>42060</b>	<b>Property Expenses - Repairs Maintenance</b>			
	42060/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand		663.85	
	<b>42100</b>	<b>Property Expenses - Strata Levy Fees</b>			
	42100/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand		1,754.81	
	<b>42150</b>	<b>Property Expenses - Water Rates</b>			
	42150/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand		525.37	
	163.53 48500	Income Tax Expense		3,958.89	
	154,494.68 49000	Profit/Loss Allocation Account		12,975.27	
	<b>50010</b>	<b>Opening Balance</b>			
	50010/NAZMUM00001A	(Opening Balance) Nazir, Mumtaz - Accumulation			118,674.69
	50010/NAZYAQ00001A	(Opening Balance) Nazir, Yaqub - Accumulation			35,819.99
	<b>52420</b>	<b>Contributions</b>			
	(7,890.78) 52420/NAZMUM00001A	(Contributions) Nazir, Mumtaz - Accumulation			7,405.98
	(5,916.56) 52420/NAZYAQ00001A	(Contributions) Nazir, Yaqub - Accumulation			12,052.31
	<b>52850</b>	<b>Transfers In</b>			
	(96,755.52) 52850/NAZMUM00001A	(Transfers In) Nazir, Mumtaz - Accumulation			0.00
	(27,274.35) 52850/NAZYAQ00001A	(Transfers In) Nazir, Yaqub - Accumulation			0.35
	<b>53100</b>	<b>Share of Profit/(Loss)</b>			
	(16,998.46) 53100/NAZMUM00001A	(Share of Profit/(Loss)) Nazir, Mumtaz - Accumulation			925.57
	(4,822.42) 53100/NAZYAQ00001A	(Share of Profit/(Loss)) Nazir, Yaqub - Accumulation			308.69
	<b>53330</b>	<b>Income Tax</b>			
	(89.28) 53330/NAZMUM00001A	(Income Tax) Nazir, Mumtaz - Accumulation		2,594.96	

**Mumtaz SMSF**  
**Trial Balance**

As at 30 June 2022

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
(192.16)	53330/NAZYAQ00001A	(Income Tax) Nazir, Yaqub - Accumulation		806.42	
	<b>53800</b>	<b>Contributions Tax</b>			
642.10	53800/NAZMUM00001A	(Contributions Tax) Nazir, Mumtaz - Accumulation		1,110.91	
587.49	53800/NAZYAQ00001A	(Contributions Tax) Nazir, Yaqub - Accumulation		1,558.66	
	<b>53920</b>	<b>Life Insurance Premiums</b>			
2,417.25	53920/NAZMUM00001A	(Life Insurance Premiums) Nazir, Mumtaz - Accumulation		939.68	
1,798.01	53920/NAZYAQ00001A	(Life Insurance Premiums) Nazir, Yaqub - Accumulation		707.00	
	<b>60400</b>	<b>Bank Accounts</b>			
32.51	60400/CBA11132072	Imann Khadijah Pty Ltd ATF Mumtaz SMSF		16.42	
215.08	60400/OMC741560	COMMSEC O/S ACC - MUMTAZ SMSF		110.88	
	<b>62550</b>	<b>Reinvestment Residual Account</b>			
	62550/ANZ.AX	Australia And New Zealand Banking Group Limited		0.90	
	62550/BEN.AX	Bendigo And Adelaide Bank Limited		8.77	
30.86	62550/BHP.AX	BHP Group Limited		17.84	
	62550/BWP.AX1	BWP Trust		13.16	
9.50	62550/CBA.AX1	Commonwealth Bank Of Australia.			0.00
	62550/FMG.AX	Fortescue Metals Group Ltd		1.45	
	62550/NAB.AX	National Australia Bank Limited		0.15	
40.19	62550/RIO.AX	RIO Tinto Limited			0.01
1.20	62550/WBC.AX	Westpac Banking Corporation		7.74	
25.07	62550/WES.AX	Wesfarmers Limited		6.53	
	<b>63000</b>	<b>Rent Receivable</b>			
	63000/002	Funds held in Trust		446.51	
	<b>77200</b>	<b>Real Estate Properties ( Australian - Residential)</b>			
	77200/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand	1.0000	142,683.02	
	<b>77600</b>	<b>Shares in Listed Companies (Australian)</b>			
1,800.00	77600/A2M.AX	The A2 Milk Company Limited	0.0000		0.00
4,500.00	77600/AMP.AX	AMP Limited	0.0000		0.00
11,260.00	77600/ANZ.AX	Australia And New Zealand Banking Group Limited	40.0000	881.20	
10,490.00	77600/BEN.AX	Bendigo And Adelaide Bank Limited	0.0000		0.00
12,482.49	77600/BHP.AX	BHP Group Limited	258.0000	10,642.50	
1,093.20	77600/BOQ.AX	Bank Of Queensland Limited.	0.0000		0.00

**Mumtaz SMSF**  
**Trial Balance**

As at 30 June 2022

*AFTER  
CROTTIE  
ENTRIES*

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
12,184.14	77600/CBA.AX	Commonwealth Bank Of Australia.	60.0000	5,422.80	
816.90	77600/FMG.AX	Fortescue Metals Group Ltd	90.0000	1,577.70	
4,527.00	77600/JHX.AX	James Hardie Industries Plc	0.0000		0.00
572.00	77600/LGP.AX	Little Green Pharma Ltd	650.0000	146.25	
10,488.00	77600/NAB.AX	National Australia Bank Limited	0.0000		0.00
3,728.00	77600/QAN.AX	Qantas Airways Limited	0.0000		0.00
13,170.56	77600/RIO.AX	RIO Tinto Limited	60.0000	6,162.00	
12,905.00	77600/WBC.AX	Westpac Banking Corporation	51.0000	994.50	
	77600/WDS.AX	Woodside Energy Group Ltd	42.0000	1,337.28	
13,770.30	77600/WES.AX	Wesfarmers Limited	0.0000		0.00
	<b>77700</b>	<b>Shares in Listed Companies (Overseas)</b>			
7,287.04	77700/AAPL.NDQ	Apple Inc	0.0000		0.00
1,298.22	77700/AYRO.NDQ	AYRO Inc	0.0000		0.00
22,058.74	77700/F.NYE	Ford Motor Co.	69.0000	1,114.78	
1,095.64	77700/JNJ.NYE	Johnson & Johnson	0.0000		0.00
7,783.99	77700/NIO.NYE	NIO Inc - ADR	0.0000		0.00
	<b>78200</b>	<b>Units in Listed Unit Trusts (Australian)</b>			
992.58	78200/BWP.AX1	BWP Trust	0.0000		0.00
(163.53)	85000	Income Tax Payable/Refundable			4,122.42
				<u>212,048.45</u>	<u>212,048.45</u>

**Current Year Profit/(Loss): 16,934.16**

**Mumtaz SMSF**  
**Trial Balance**

As at 30 June 2022

Before  
Create  
Entries (BCE)

Last Year	Code	Account Name	Units	Debits \$	Credits \$
	<b>23800</b>	<b>Distributions Received</b>			
	23800/BWP.AX1	BWP Trust			21.60
	<b>23900</b>	<b>Dividends Received</b>			
(17.43)	23900/AAPL.NDQ	Apple Inc			47.32
	23900/ANZ.AX	Australia And New Zealand Banking Group Limited			280.00
(280.00)	23900/BEN.AX	Bendigo And Adelaide Bank Limited			265.00
(327.84)	23900/BHP.AX	BHP Group Limited			2,295.24
(20.40)	23900/BOQ.AX	Bank Of Queensland Limited.			
	23900/CBA.AX	Commonwealth Bank of Australia. - Ordinary Fully Paid			42.00
(180.00)	23900/CBA.AX1	Commonwealth Bank Of Australia.			279.00
	23900/F.NYE	Ford Motor Co.			16.23
(51.45)	23900/FMG.AX	Fortescue Metals Group Ltd			151.25
(67.45)	23900/JHX.AX	James Hardie Industries Plc			
(10.63)	23900/JNJ.NYE	Johnson & Johnson			7.25
	23900/NAB.AX	National Australia Bank Limited			240.00
(517.11)	23900/RIO.AX	RIO Tinto Limited			1,088.74
(261.00)	23900/WBC.AX	Westpac Banking Corporation			30.50
(176.00)	23900/WES.AX	Wesfarmers Limited			209.70
	<b>24200</b>	<b>Contributions</b>			
(7,890.78)	24200/NAZMUM00001A	(Contributions) Nazir, Mumtaz - Accumulation			7,405.98
(5,916.56)	24200/NAZYAQ00001A	(Contributions) Nazir, Yaqub - Accumulation			12,052.31
(19,479.96)	24700	Changes in Market Values of Investments		5,306.05	
	<b>25000</b>	<b>Interest Received</b>			
(0.69)	25000/CBA11132072	Imann Khadijah Pty Ltd ATF Mumtaz SMSF			3.51
	<b>26500</b>	<b>Other Investment Income</b>			
	26500/OMC741560	COMMSEC O/S ACC - MUMTAZ SMSF			39.60
	<b>28000</b>	<b>Property Income</b>			
	28000/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce			8,262.86
	<b>28500</b>	<b>Transfers In</b>			
(96,755.52)	28500/NAZMUM00001A	(Transfers In) Nazir, Mumtaz - Accumulation			
(27,274.35)	28500/NAZYAQ00001A	(Transfers In) Nazir, Yaqub - Accumulation			0.35
	30800	ASIC Fees		56.00	
118.62	31500	Bank Charges		14.07	

BCE

Mumtaz SMSF  
**Trial Balance**

As at 30 June 2022

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
	<b>37500</b>	<b>Investment Expenses</b>			
	2.83 37500/NIO.NYE	NIO Inc - ADR			
	232.25 37500/OMC741560	COMMSEC O/S ACC - MUMTAZ SMSF		382.18	
	<b>39000</b>	<b>Life Insurance Premiums</b>			
	2,417.25 39000/NAZMUM00001A	(Life Insurance Premiums) Nazir, Mumtaz - Accumulation		939.68	
	1,798.01 39000/NAZYAQ00001A	(Life Insurance Premiums) Nazir, Yaqub - Accumulation		707.00	
	<b>41930</b>	<b>Property Expenses - Agents Management Fees</b>			
	41930/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce		839.00	
	<b>41960</b>	<b>Property Expenses - Council Rates</b>			
	41960/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce		929.88	
	<b>42060</b>	<b>Property Expenses - Repairs Maintenance</b>			
	42060/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce		663.85	
	<b>42100</b>	<b>Property Expenses - Strata Levy Fees</b>			
	42100/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce		1,754.81	
	<b>42150</b>	<b>Property Expenses - Water Rates</b>			
	42150/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand Tce		525.37	
	163.53 48500	Income Tax Expense			
	154,494.68 49000	Profit/Loss Allocation Account		15,389.39	
	<b>50010</b>	<b>Opening Balance</b>			
	50010/NAZMUM00001A	(Opening Balance) Nazir, Mumtaz - Accumulation			118,674.69
	50010/NAZYAQ00001A	(Opening Balance) Nazir, Yaqub - Accumulation			35,819.99
	<b>52420</b>	<b>Contributions</b>			
	(7,890.78) 52420/NAZMUM00001A	(Contributions) Nazir, Mumtaz - Accumulation			7,405.98
	(5,916.56) 52420/NAZYAQ00001A	(Contributions) Nazir, Yaqub - Accumulation			12,052.31
	<b>52850</b>	<b>Transfers In</b>			
	(96,755.52) 52850/NAZMUM00001A	(Transfers In) Nazir, Mumtaz - Accumulation			0.00
	(27,274.35) 52850/NAZYAQ00001A	(Transfers In) Nazir, Yaqub - Accumulation			0.35
	<b>53100</b>	<b>Share of Profit/(Loss)</b>			
	(16,998.46) 53100/NAZMUM00001A	(Share of Profit/(Loss)) Nazir, Mumtaz - Accumulation			0.00
	(4,822.42) 53100/NAZYAQ00001A	(Share of Profit/(Loss)) Nazir, Yaqub - Accumulation			0.00
	<b>53330</b>	<b>Income Tax</b>			
	(89.28) 53330/NAZMUM00001A	(Income Tax) Nazir, Mumtaz - Accumulation			140.96



**Mumtaz SMSF**  
**Trial Balance**

RCE

As at 30 June 2022

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
(192.16)	53330/NAZYAQ00001A	(Income Tax) Nazir, Yaqub - Accumulation			106.04
	<b>53800</b>	<b>Contributions Tax</b>			
642.10	53800/NAZMUM00001A	(Contributions Tax) Nazir, Mumtaz - Accumulation		1,110.91	
587.49	53800/NAZYAQ00001A	(Contributions Tax) Nazir, Yaqub - Accumulation		1,558.66	
	<b>53920</b>	<b>Life Insurance Premiums</b>			
2,417.25	53920/NAZMUM00001A	(Life Insurance Premiums) Nazir, Mumtaz - Accumulation		939.68	
1,798.01	53920/NAZYAQ00001A	(Life Insurance Premiums) Nazir, Yaqub - Accumulation		707.00	
	<b>60400</b>	<b>Bank Accounts</b>			
32.51	60400/CBA11132072	Imann Khadijah Pty Ltd ATF Mumtaz SMSF		16.42	
215.08	60400/OMC741560	COMMSEC O/S ACC - MUMTAZ SMSF		110.88	
	<b>62550</b>	<b>Reinvestment Residual Account</b>			
	62550/ANZ.AX	Australia And New Zealand Banking Group Limited		0.90	
	62550/BEN.AX	Bendigo And Adelaide Bank Limited		8.77	
30.86	62550/BHP.AX	BHP Group Limited		17.84	
	62550/BWP.AX1	BWP Trust		13.16	
9.50	62550/CBA.AX1	Commonwealth Bank Of Australia.			0.00
	62550/FMG.AX	Fortescue Metals Group Ltd		1.45	
	62550/NAB.AX	National Australia Bank Limited		0.15	
40.19	62550/RIO.AX	RIO Tinto Limited			0.01
1.20	62550/WBC.AX	Westpac Banking Corporation		7.74	
25.07	62550/WES.AX	Wesfarmers Limited		6.53	
	<b>63000</b>	<b>Rent Receivable</b>			
	63000/002	Funds held in Trust		446.51	
	<b>77200</b>	<b>Real Estate Properties ( Australian - Residential)</b>			
	77200/U47, 98 Mandurah Tce	U47, 98 Mandurah Terrace, Mand	1.0000	145,201.46	
	<b>77600</b>	<b>Shares in Listed Companies (Australian)</b>			
1,800.00	77600/A2M.AX	The A2 Milk Company Limited	0.0000		0.00
4,500.00	77600/AMP.AX	AMP Limited	0.0000		0.00
11,260.00	77600/ANZ.AX	Australia And New Zealand Banking Group Limited	40.0000	1,097.15	
10,490.00	77600/BEN.AX	Bendigo And Adelaide Bank Limited	0.0000		0.00
12,482.49	77600/BHP.AX	BHP Group Limited	258.0000	10,845.41	
1,093.20	77600/BOQ.AX	Bank Of Queensland Limited.	0.0000		0.00

**Mumtaz SMSF**  
**Trial Balance**

BCE

As at 30 June 2022

Last Year	Code	Account Name	Units	Debits	Credits
				\$	\$
12,184.14	77600/CBA.AX	Commonwealth Bank Of Australia.	60.0000	5,728.80	
816.90	77600/FMG.AX	Fortescue Metals Group Ltd	90.0000	1,298.85	
4,527.00	77600/JHX.AX	James Hardie Industries Plc	0.0000		0.00
572.00	77600/LGP.AX	Little Green Pharma Ltd	650.0000	572.00	
10,488.00	77600/NAB.AX	National Australia Bank Limited	0.0000		0.00
3,728.00	77600/QAN.AX	Qantas Airways Limited	0.0000		0.00
13,170.56	77600/RIO.AX	RIO Tinto Limited	60.0000	5,644.04	
12,905.00	77600/WBC.AX	Westpac Banking Corporation	51.0000	1,074.91	
	77600/WDS.AX	Woodside Energy Group Ltd	42.0000	1,249.92	
13,770.30	77600/WES.AX	Wesfarmers Limited	0.0000		0.00
	<b>77700</b>	<b>Shares in Listed Companies (Overseas)</b>			
7,287.04	77700/AAPL.NDQ	Apple Inc	0.0000		0.00
1,298.22	77700/AYRO.NDQ	AYRO Inc	0.0000		0.00
22,058.74	77700/F.NYE	Ford Motor Co.	69.0000	1,935.88	
1,095.64	77700/JNJ.NYE	Johnson & Johnson	0.0000		0.00
7,783.99	77700/NIO.NYE	NIO Inc - ADR	0.0000		0.00
	<b>78200</b>	<b>Units in Listed Unit Trusts (Australian)</b>			
992.58	78200/BWP.AX1	BWP Trust	0.0000		0.00
(163.53)	85000	Income Tax Payable/Refundable			163.53
				<u>207,102.30</u>	<u>207,102.30</u>

Current Year Profit/(Loss): 20,620.55

**All correspondence to:**  
Computershare Investor Services Pty Limited  
GPO Box 2975 Melbourne  
Victoria 3001 Australia  
Enquiries (within Australia) 1300 136 972  
(outside Australia) 61 3 9415 4323  
[www.investorcentre.com/bwp](http://www.investorcentre.com/bwp)

007967 051 BWP



IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

**Holder Identification Number (HIN)**  
X 0096033591

ASX Code	BWP
TFN/ABN Status	Not Quoted
Record Date	30 June 2021
Payment Date	20 August 2021

## Final Distribution Statement

BWP Trust is pleased to make this distribution of 9.27 cents per unit for the six months ended 30 June 2021.

No. of Units	Distribution Cents per Unit	Gross Distribution	Tax Deducted	Net Distribution
233	9.27 cents	\$21.60 ✓	\$9.00 ✓	\$12.60

### Important Note:

If you would like to update your banking instructions, distribution reinvestment plan participation or other information, you can do so by logging onto [www.investorcentre.com/bwp](http://www.investorcentre.com/bwp). Alternatively, you can request the relevant forms by contacting the registry.

Responsible Entity: BWP Management Limited, ABN 26 082 856 424  
Registered Office: Level 14, Brookfield Place Tower 2, 123 St Georges Terrace, Perth WA 6000  
Registry: Computershare Investor Services Pty Limited, ABN 48 078 279 277, Level 11, 172 St Georges Tce, Perth WA 6000  
Telephone: 1300 136 972 (within Australia) (+613) 9415 4323 (outside Australia)

**Note: Please retain this statement for your records**

## Distribution Reinvestment Plan (DRP) Details

Cash balance brought forward	
Net payment available for reinvestment in accordance with your instructions	\$0.00
Less the amount applied to the allotment of 2 units at \$4.22 per unit	\$8.44
Cash balance carried forward to the next payment	\$4.16
Number of units participating in the DRP as at 1 July 2021	233
Number of units not participating in the DRP as at 1 July 2021	0
Add units allocated on 20 August 2021	2 ✓
Total number of units held	235

007967 051 BWP  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

23800/BWP

Holder Identification Number (HIN)

X 0096033591

### ATTRIBUTION MANAGED INVESTMENT TRUST MEMBER ANNUAL TAX STATEMENT FOR YEAR ENDED 30 JUNE 2021

The following tax return items are valid for Australian resident individual unitholders only.

#### PART A – SUMMARY OF 2021 TAX RETURN (SUPPLEMENTARY SECTION) ITEMS

Item	Tax Return Label	Tax Attribution Amount
Share of non-primary production income	13U	\$16.03
Other deductions relating to non-primary production income	13Y	\$0.00
Franked distributions from trusts	13C	\$0.00
Share of franking credits from franked dividends	13Q	\$0.00
Share of credit for TFN amounts withheld	13R	\$9.00
Share of credit for foreign resident withholding amounts	13A	\$0.00
Net capital gain	18A	\$2.83
Total current year capital gains	18H	\$5.66
Assessable foreign source income	20E	\$0.00
Australian franking credits from a New Zealand company	20F	\$0.00
Other net foreign source income	20M	\$0.00
Foreign income tax offset	20O	\$0.00

#### PART B – COMPONENTS OF DISTRIBUTION

Item	Cash Distribution	Tax paid/Offsets	Tax Attribution
<b>Australian income</b>	\$0.00		\$0.00
Unfranked distributions	\$0.00		\$0.00
Unfranked CFI distributions	\$0.00		\$0.00
Interest (Subject to Non Resident WHT)	\$0.00		\$0.00
Interest (Not Subject to Non Resident WHT)	\$0.00		\$0.00
Other income	\$15.98		\$16.03
<b>Non primary production income</b>	<b>\$15.98</b>		<b>\$16.03</b>
<b>Franked distributions</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Capital gains</b>			
Discounted capital gains TAP	\$2.81		\$2.83
Discounted capital gains NTAP	\$0.00		\$0.00
Capital gains – other method TAP	\$0.00		\$0.00
Capital gains – other method NTAP	\$0.00		\$0.00
<b>Net capital gain</b>	<b>\$2.81</b>		<b>\$2.83</b>
AMIT CGT gross up amount			\$2.83
Other capital gains distribution	\$2.81		
<b>Total current year capital gains</b>	<b>\$5.62</b>		<b>\$5.66</b>
<b>Foreign income</b>			
<b>Assessable foreign source income</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Other non-assessable amounts</b>			
Non-assessable non-exempt amount	\$0.00		\$0.00
Net exempt income	\$0.00		
Other non-attributable amounts	\$0.00		
<b>Gross Amount</b>	<b>\$21.60</b>		<b>\$21.69</b>
AMIT cost base net amount - excess (Reduce cost base)			\$0.00
AMIT cost base net amount - shortfall (Increase cost base)			\$0.10
Less TFN amounts withheld	\$9.00		
Less non-resident withholding tax			
Interest / dividend amounts withheld	\$0.00		
Fund payment amounts withheld	\$0.00		
<b>Net Cash Distribution</b>	<b>\$12.60</b>		

If you are a complying Superannuation Fund, your net capital gain is \$3.77

If you are a company or a non complying Superannuation Fund, your net capital gain is \$5.66



040007 051 ANZ



IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## NEED ASSISTANCE?

[anz.com/shareholder/centre](http://anz.com/shareholder/centre)  
[anzshareregistry@computershare.com.au](mailto:anzshareregistry@computershare.com.au)

Computershare Investor Services Pty Limited  
GPO Box 2975 Melbourne  
Victoria 3001 Australia

1800 113 399 (within Australia)  
0800 174 007 (within New Zealand)  
+61 3 9415 4010 (outside Australia)

*239001 ANZ*  
Holder Identification Number (HIN)

**X 0096033591**

Share Class	Ordinary
Record Date	11 May 2021
DRP Election Date	12 May 2021
Allocation Date	1 July 2021
TFN/ABN	Quoted

## DIVIDEND REINVESTMENT PLAN (DRP) ADVICE

DRP transaction details relating to ANZ's **Interim Dividend** of A\$0.70 per share for the half year ended 31 March 2021 are set out below.

PARTICIPATING SHARES	FRANKED AMOUNT	UNFRANKED AMOUNT	NET AMOUNT	FRANKING CREDIT
400	A\$280.00	A\$0.00	A\$280.00	A\$120.00
Credit balance brought forward in your DRP account:			A\$0.00	
Total available for reinvestment:			A\$280.00	
<b>Amount applied to the allocation of 10 shares at A\$27.91 each:</b>			<b>A\$279.10</b>	
Credit balance carried forward in your DRP account:			A\$0.90	

The dividend is 100% franked at the Australian company tax rate of 30%.  
 A NZ Imputation Credit of **NZ\$32.00** has been attached to the above dividend. For NZ taxpayers, your NZ gross income will include the aggregate of the Net Amount and the NZ Imputation Credit which should be included in your income tax return.  
 Shareholders should obtain their own professional advice on the tax implications of the dividend and retain this document to assist in tax return preparation.

**SHAREHOLDING**

Number of shares held: 400

**New DRP shares:** 10

**SHARES NOW HELD:** 410



### UPCOMING EVENTS

**28 October 2021**  
Full Year Results announced

# Interest & Estimated Dividend Summary



1 JULY 2021 - 30 JUNE 2022

SHARES - 3771280 - HIN 96033591

IMAAAN & KHADIJAH PTY LTD <MUMTAZ SMSF A/C>

239,001

## ESTIMATED DIVIDEND SUMMARY

### ANZ - ANZ BANKING GRP LTD FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
10-May-2021	01-Jul-2021	Interim	\$0.7000	400	\$0.00	\$280.00 ✓	\$280.00	\$120.00
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$280.00</b>	<b>\$280.00</b>	<b>\$120.00</b>

### BEN - BENDIGO AND ADELAIDE FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
03-Sep-2021	30-Sep-2021	Final	\$0.2650	1,000	\$0.00	\$265.00 ✓	\$265.00	\$113.57
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$265.00</b>	<b>\$265.00</b>	<b>\$113.57</b>

### BHP - BHP GROUP LIMITED FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
✓ 24-Feb-2022	28-Mar-2022	Interim	\$2.0806	137	\$0.00	\$285.04 ✓	\$285.04	\$122.16 ✓
02-Sep-2021	21-Sep-2021	Final	\$2.7153	280	DRP \$0.00	\$760.28	\$760.28	\$325.83
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$1,045.32</b> ✓	<b>\$1,045.32</b>	<b>\$447.99</b>

### BWP - BWP TRUST ORD UNITS (ORDINARY UNITS FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
29-Jun-2021	20-Aug-2021	Final	\$0.0927	233	\$21.60 ✓	\$0.00	\$21.60 ✓	\$0.00
<b>Sub Total</b>					<b>\$21.60</b>	<b>\$0.00</b>	<b>\$21.60</b>	<b>\$0.00</b>

### CBA - COMMONWEALTH BANK. FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
✓ 16-Feb-2022	30-Mar-2022	Interim	\$1.7500	20	\$0.00	\$35.00 ✓	\$35.00	\$15.00 ✓
17-Aug-2021	29-Sep-2021	Final	\$2.0000	143	\$0.00	\$286.00	\$286.00	\$122.57
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$321.00</b> ✓	<b>\$321.00</b>	<b>\$137.57</b>

### FMG - FORTESCUE METALS GRP FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
28-Feb-2022	30-Mar-2022	Interim	\$0.8600	90	\$0.00	\$77.40	\$77.40	\$33.17
06-Sep-2021	30-Sep-2021	Final	\$2.1100	35	\$0.00	\$73.85	\$73.85	\$31.65
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$151.25</b> ✓	<b>\$151.25</b>	<b>\$64.82</b>

# Interest & Estimated Dividend Summary

1 JULY 2021 - 30 JUNE 2022



239001

## NAB - NATIONAL AUST. BANK FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
13-May-2021	02-Jul-2021	Interim	\$0.6000	400	\$0.00	\$240.00	\$240.00	\$102.86
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$240.00</b>	<b>\$240.00</b>	<b>\$102.86</b>

## RIO - RIO TINTO LIMITED FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
✓ 10-Mar-2022	21-Apr-2022	Final	\$6.6284	45	\$0.00	\$298.28	\$298.28	\$127.83
12-Aug-2021	23-Sep-2021	Interim	\$7.6006	104	\$0.00	\$790.46	\$790.46	\$338.77
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$1,088.74</b>	<b>\$1,088.74</b>	<b>\$466.60</b>

## WBC - WESTPAC BANKING CORP FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
19-May-2022	24-Jun-2022	Interim	\$0.6100	50	\$0.00	\$30.50	\$30.50	\$13.07
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$30.50</b>	<b>\$30.50</b>	<b>\$13.07</b>

## WES - WESFARMERS LIMITED FPO (ORDINARY FULLY PAID)

Ex-div date	Payment Date	Type	Dividend per share	Units	Est. Unfranked Amount	Est. Franked Amount	Est. Total Dividend	Est. Franking Credit
01-Sep-2021	07-Oct-2021	Final	\$0.9000	233	\$0.00	\$209.70	\$209.70	\$89.87
<b>Sub Total</b>					<b>\$0.00</b>	<b>\$209.70</b>	<b>\$209.70</b>	<b>\$89.87</b>
<b>TOTAL</b>					<b>\$21.60</b>	<b>\$3,631.51</b>	<b>\$3,653.11</b>	<b>\$1,556.35</b>

## ESTIMATED INTEREST RECEIVED

There are no transactions on this account.

**TOTAL** **\$0.00**

## INTEREST INCOME SUMMARY

Account	Interest
CDIA - 11132072	\$3.51
<b>TOTAL</b>	<b>\$3.51</b>

# Interest & Estimated Dividend Summary

1 JULY 2021 - 30 JUNE 2022



This statement only provides information for CDIA accounts that have been designated as the settlement account for your Commonwealth Securities Limited Share Tracing account as at 30 June. Please refer to NetBank for interest income from all other CBA accounts.

The interest shown is net of any non-resident or TFN withholding tax (if applicable). Please refer to Netbank for bank fees paid on your designated CDIA settlement account in the financial year.

(1) This is an estimate prepared by Commonwealth Securities Limited based upon units that you hold in accordance with our records and may not distinguish between cash dividends or dividends reinvested through any particular company's dividend reinvestment plan. Your actual entitlement will be determined by information recorded in the company's share registry at the relevant record dates. Amounts that may have been withheld for failing to provide your tax file number to your share registries are not disclosed on this report.

Dividends are estimated by CommSec based on the total registered Units held on the Record Date of the dividend. The following fields are estimated in accordance with the calculations outlined below:

- (a) Units = Total registered units of security held on the Record Date of the dividend
- (b) Est. Unfranked Amount = Units X Dividend per security X Unfranked %
- (c) Est. Franked Amount = Units X Dividend per security X Franked %
- (d) Est. Franking Credit = (Est. Franked Amount X company tax rate)/(100 - company tax rate)
- (e) Est. Total Dividend = Units x Dividend per security

(2) In order to be eligible to claim the benefit of a franking credit, you must be a 'qualified person'. You will be a qualified person if you satisfy:

One of the specific concessions in the legislation (for example, you are an individual whose total franking credit entitlement for the income year does not exceed \$5,000); and/or  
The 45-day rule

The 45-day rule requires that if you are an Australian tax resident shareholder, you must have held the security 'at risk' for at least 45 consecutive days, not including date of purchase and date of sale. Where the 45 day holding requirement has not been satisfied, the 45-day rule may apply to deny the franking credits attached to the dividend received in respect of the particular security. The 45-day rule is complex. You should obtain your own taxation advice to understand how these provisions apply to you.

Please refer to the statements provided by the Share Registry for tax return purposes. These may include details of any foreign tax credits you may be entitled to and breakdown of any trust distribution you may have received.

For details of the components of your ASX listed trust distributions you will need to refer to the Annual Tax Statement issued by the trust manager.

The total brokerage outlined does not include any rebates you may have received over the financial year. Refer to your transaction statement records for this information.

This report only includes an estimate of dividends paid for holdings held with Commonwealth Securities Limited as at the ex-dividend date. For instance, any dividends paid prior to holdings being transferred to Commonwealth Securities Limited are not included.

This statement is an estimated summary document only and it is not intended to replace any document which contains information that may be required for taxation purposes. You should therefore refer to your CHES statements, dividend statements, confirmation contract notes and other investment or bank account statements for your records in this regard. This statement may not include information on accounts which have been closed, switched product type, transferred Holder Identification Number (HIN), or switched Participant Identification Number (PID) during the financial year.

This statement is issued by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814, a market participant of ASX and Cboe Australia Pty Ltd (formerly Chi-X Australia Pty Limited), a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited. Commonwealth Securities Limited is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945 ("CBA"). Information contained in this statement is believed to be accurate at the time the statement is generated. CBA and its subsidiaries do not accept any liability for any errors or omissions contained in this statement, or any responsibility for any action taken in reliance on this statement. This document contains general information only and does not take into account your individual objectives, financial and taxation situations or needs. Before acting on the information, consider the appropriateness of your own objectives, needs, financial and taxation situation and, if necessary, seek appropriate independent financial and taxation advice. CBA is not a registered tax (financial) adviser under the Tax Agent Services Act 2009. If there are any errors in this statement, please contact us.

Address: Commonwealth Securities Limited, Locked Bag 22, Australia Square NSW 1215 | Phone: 13 15 19 | Website: [www.commsec.com.au](http://www.commsec.com.au)

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. We have established procedures to ensure that all enquiries and complaints are properly dealt with. Please refer to our Financial Services Guide for more information. If an issue has not been resolved to your satisfaction, you can lodge a complaint with the Australian Financial Complaints Authority. AFCA provides fair and independent financial services complaint resolution that is free to consumers. Website: [www.afca.org.au](http://www.afca.org.au), Telephone: 1800 931 678 (free call), Email: [info@afca.org.au](mailto:info@afca.org.au), In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne VIC 3001.





BHP Group Limited  
ABN 49 004 028 077

011877 000 BHP



IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

### Update Your Details:



[www.computershare.com.au/investor](http://www.computershare.com.au/investor)



(within Australia) 1300 656 780  
(outside Australia) +61 3 9415 4020



Computershare Investor Services Pty Limited  
GPO Box 2975 Melbourne  
Victoria 3001 Australia

### Your Shareholding

259 00 / BHP

SHARE CLASS	HOLDER NUMBER
Ordinary Shares	X 0096033591
TFN/ABN STATUS	Quoted
RECORD DATE	PAYMENT DATE
3 September 2021	21 September 2021

You must keep this document for your tax records.

## BHP Group Limited Shares

### 384th Dividend Payment - Dividend Statement

The statement below represents your final dividend for the year ended 30 June 2021. This dividend is paid at the rate of US\$2.00 (equivalent to A\$2.71527000) per share on your holding of fully paid ordinary shares, registered in your name and entitled to participate as at the record date. This dividend has been franked in Australia at a rate of 100% and the company tax rate used to determine the franking credit is 30%. All amounts are in Australian dollars.

Stefanie Wilkinson  
Group Company Secretary

Amount per Security	Number of Securities	Franked Amount	Unfranked Amount	Gross Payment
A\$2.71527000	280	A\$760.28	A\$0.00	A\$760.28
<b>Net Payment</b>				<b>A\$760.28</b>
Australian Franking Credit				A\$325.83

### Dividend Reinvestment Plan (DRP) Details

Cash balance brought forward	\$13.02
Net payment available for reinvestment	\$760.28
<b>Total available for reinvestment</b>	<b>\$773.30</b>
Less the amount applied to the allotment of 20 Ordinary Shares at \$38.1084 per share	\$762.17
Cash balance carried forward to the next payment	\$11.13
Number of shares participating in the DRP as at 6 September 2021	280
Add Ordinary Shares allotted on 30 September 2021	20
Number of shares now participating in the DRP	300



ABN 49 004 028 077

000212 000 BHP



IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

**Update your information:**

**Online:**  
www.computershare.com.au/easyupdate/bhp

**By Mail:**  
Computershare Investor Services Pty Limited  
GPO Box 2975 Melbourne  
Victoria 3001 Australia

**Enquiries:** *23900 / BHP*  
(within Australia) 1300 656 780  
(international) +61 3 9415 4020

**Holder Identification Number (HIN)**

X 0096033591

ASX Code BHP  
Payment Date 28 April 2022  
Direct Credit Reference No. 801707

**Dividend Reinvestment Plan - Residual Balance Payout**

Dear shareholder,

This advice represents payment of the residual balance held in your Dividend Reinvestment Plan (DRP) participant's account, following your withdrawal from the plan, disposal of your shareholding or changing your shareholding from an issuer sponsored holding to a CHES/broker sponsored holding.

This balance represents an amount from previous dividend payments that was insufficient for the purchase of one share, and therefore has already been included as part of your taxable income for those previous dividend payments. Please contact Computershare on the above number should you have any queries concerning this payment.

Payment Description	Payment Amount
DRP Residual Cash Balance	\$11.13

**Your Payment Instruction**

COMMONWEALTH BANK OF AUSTRALIA  
BSB: 066-159 Account number: 11132072

Amount Deposited
<b>AUD\$11.13</b>



# Commonwealth Bank of Australia

ABN 48 123 123 124

Commonwealth Bank of Australia  
C/- Link Market Services Limited  
Locked Bag A14, Sydney South NSW 1235  
Telephone: +61 1800 022 440  
ASX Code: CBA  
Email: cba@linkmarketservices.com.au  
Website: www.linkmarketservices.com.au

23900/CBA

## Dividend Reinvestment Plan (DRP) Participant's Statement



051 096769

IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

Allocation date: 29 September 2021  
Record date: 18 August 2021  
Reference number: X\*\*\*\*\*3591

TFN/ABN NOT RECEIVED OR RECORDED

Dear Shareholder

The details below relate to the final dividend for the year ended 30 June 2021 payable on all ordinary shares entitled to a dividend at the Record date. This dividend is 100% franked at the company tax rate of 30%.

Description	Dividend rate per share	Participating holding	Unfranked amount	Franked amount	Total amount	Franking credit	Withholding tax
			\$	\$	\$	\$	\$
Ordinary Shares	200 cents	143	\$0.00	\$286.00	\$286.00	\$122.57	\$0.00

**Dividend amount: \$286.00**

**\*New Zealand Imputation Credit: NZ\$14.30**

\*Only relevant for New Zealand Resident Taxpayers

Cash balance brought forward from your DRP account:	\$9.50
Amount available for reinvestment:	\$295.50
Cash reinvested has been applied to 2 shares at \$101.00 per share:	\$202.00
Cash balance carried forward in your DRP account:	\$93.50
Total number of shares held before the allocation:	143
Number of shares allocated:	2
Total number of shares held after the allocation:	145

Note: The total holding of shares after the allocation detailed above does not include any ex dividend transfers registered after the ex dividend date of 17 August 2021, or any transfers registered since Record date. Neither Commonwealth Bank of Australia nor Link Market Services Limited will be liable for any losses incurred by any person who relies on the holding shown without making their own adjustments for any such transactions.

For your convenience, you can update your details by visiting the Link Market Services Limited website. Please see overleaf for online login instructions.

In order to be effective for a particular payment, any changes to shareholder instructions should be received and recorded by Link Market Services Limited on or before the Record date for that payment.

You should retain this statement to assist in preparing your tax return. All shareholders should seek independent tax and financial advice relevant to their own particular circumstances.

Handwritten notes in blue ink:   
\$286.00  
\$122.57  
\$93.50



## 2021 Interim Dividend Statement

Contact our Share Registry

[www.investorcentre.com/au](http://www.investorcentre.com/au)

(in Australia) 1300 367 647  
(outside Australia) +61 3 9415 4299

Holder Identification Number (HIN)

X0096033591 *2390 / NAB*

Summary of key information

Payment Date 2 July 2021  
Record Date 14 May 2021  
TFN/ABN status Quoted  
ASX code NAB

151252 051 NAB



IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## Interim Dividend for the year ending 30 September 2021

The details below represent your participation in National Australia Bank's Dividend Reinvestment Plan (DRP) on shares registered in your name at the record date.

The dividend is 60 cents per ordinary share, franked to 100% at the relevant Australian Corporate Tax Rate of 30%.

Ordinary Shares	Price per Share	Unfranked Amount	Franked Amount	Franking Credit	Shares Allotted	Dividend Summary
400	\$26.65	\$0.00	\$240.00	\$102.86	9	400 shares x 60 cents = \$240.00

The allotment of shares issued under the DRP is set out on the reverse side of this statement.

Full details of the Dividend Package is available at [www.nab.com.au/dividendpackage](http://www.nab.com.au/dividendpackage)

## Tax information

Australian resident shareholders

**Franked Amount:** This should be included in your assessable income.

**Franking Credit:** This may also need to be included in your assessable income.

This amount may be available as a tax offset to reduce your income tax liability.

If you are unsure of the tax treatment of your dividend, please contact your accountant or taxation adviser.

**Dividend Amount \$240.00**

272511\_DCB\_INT/151252/156037/i

## Dividend Statement

Holder Identification Number	X0096033591
Record Date	13 August 2021
Payable Date	23 September 2021
Half Year Ended	30 June 2021
Tax File Number	Quoted

Shareholder helpline number: 1800 813 292  
 New Zealand holders: 0800 450 740  
 International shareholders: +61 (3) 9415 4030  
[www.investorcentre.com/rio](http://www.investorcentre.com/rio)  
 Computershare Investor Services Pty Ltd, GPO Box 2975, Melbourne, VIC 3001, Australia

006754 000 RIO



IMAA & KHADIJAH PTY LTD  
 <MUMTAZ SMSF A/C>  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169

23900 / RIO

## Dividend reinvestment plan advice - Interim and special dividends for the half year ended 30 June 2021

Dear shareholder,

On 28 July 2021 Rio Tinto declared a 2021 interim dividend of 509.42 Australian cents per share (equivalent to 376.00 US cents), and a special dividend of 250.64 Australian cents per share (equivalent to 185.00 US cents). Shares have been purchased for you in accordance with your participation in the Rio Tinto Limited Dividend Reinvestment Plan.

These dividends are payable on ordinary shares registered in your name and entitled to participate as at the record date of 13 August 2021. For income tax purposes these dividends are both fully franked (100 per cent) at the tax rate of 30 per cent.

Transaction Type		Allocation Date		Price per Share		
DRP		5/10/2021		\$98.895441		
Payment Type	AUD Dividend Rate Per Share	Shareholding	AUD Franked Dividend Payable	AUD Unfranked Dividend Payable	AUD Franking Credits	Gross Payment
Interim	509.42 cents	104	\$529.80	\$0.00	\$227.06	\$529.80
Special	250.64 cents	104	\$260.67	\$0.00	\$111.72	\$260.67
AUD Tax Withheld						\$0.00
AUD Dividend Amount						\$790.47
Cash balance brought forward						\$40.19
Reinvestment amount						\$830.66
Amount deducted for purchase						\$791.16
Number of shares purchased						8
Cash balance carried forward						\$39.50

NOTE: The closing balance shown below may not be the current holding balance if you have purchased or sold shares after the Ex Dividend Date, being 12 August 2021.

Computershare Investor Services Pty Limited will not be liable for any losses incurred by any person who relies on the holding balances shown below without making their own adjustments for any further transactions.

Date	Transaction type	Transaction reference	Opening balance	Quantity on	Closing balance
5/10/2021	DRP	Allocation	104	8	112

The issue of these DRP shares will be shown on your next CHESSE statement.

Please retain this dividend statement for your records.

Manage your holdings online: Register at [www.investorcentre.com/rio](http://www.investorcentre.com/rio)

Elect direct credit payment and electronic communications at Investor Centre, our free self-service website is available 24/7. Manage your shareholdings online, the easy way!

View any outstanding payments

Change payment options

Switch to electronic communications

View your Shareholding

Change your address

Update your TFN



# RioTinto

Rio Tinto Limited  
ABN 96 004 458 404

056167 051 RIO



IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## Dividend Statement

Holder Identification Number	X0096033591
Record Date	11 March 2022
Payable Date	21 April 2022
Year Ended	31 December 2021
Tax File Number	Quoted

Shareholder helpline number: 1800 813 292  
New Zealand holders: 0800 450 740  
International shareholders: +61 (3) 9415 4030  
www.investorcentre.com/rio  
Computershare Investor Services Pty Ltd, GPO Box 2975, Melbourne VIC 3001 Australia

23900 / RIO

## Dividend advice - Final and special dividends for the year ended 31 December 2021

Dear shareholder,

On 23 February 2022 Rio Tinto declared a 2021 final dividend of 577.04 Australian cents per share (equivalent to 417.00 US cents), and a special dividend of 85.80 Australian cents per share (equivalent to 62.00 US cents). A payment has been made to your nominated bank account in accordance with your details shown below.

These dividends are payable on ordinary shares registered in your name and entitled to participate as at the record date of 11 March 2022. For income tax purposes these dividends are both fully franked (100 per cent) at the tax rate of 30 per cent.

Payment Type	AUD Dividend Rate Per Share	Shareholding	AUD Franked Dividend Payable	AUD Unfranked Dividend Payable	AUD Franking Credits	Gross Payment
Final	577.04 cents	45	\$259.67	\$0.00	\$111.29	\$259.67
Special	85.80 cents	45	\$38.61	\$0.00	\$16.55	\$38.61
AUD Tax Withheld						\$0.00
AUD Dividend Amount						\$298.28

Yours sincerely

Tim Paine  
Joint Company Secretary

**BANKING INSTRUCTION**  
COMMONWEALTH BANK OF AUSTRALIA  
BSB: 066-159 ACC: 11132072

Date Payable: 21 April 2022  
Payment Amount: \$298.28

Please retain this dividend statement for your records.

Manage your holdings online: Register at [www.investorcentre.com/rio](http://www.investorcentre.com/rio)

Elect direct credit payment and electronic communications at Investor Centre, our free self-service website is available 24/7. Manage your shareholdings online, the easy way!

View any outstanding payments



Change payment options



Switch to ecommunications



View your Shareholding



Change your address



Update your TFN



**All correspondence to:**  
 Computershare Investor Services Pty Limited  
 GPO Box 2975 Melbourne  
 Victoria 3001 Australia  
 Enquiries (within Australia) 1300 558 062  
 (outside Australia) +61 3 9415 4631  
 Facsimile +61 3 9473 2500  
 www.investorcentre.com/contact  
 www.computershare.com

239001WES  
**Holder Identification Number (HIN)**  
 X 0096033591

**Private and Confidential**

1- 045925 051 WES



IMAAAN & KHADIJAH PTY LTD  
 <MUMTAZ SMSF A/C>  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169

Record Date	2 September 2021
Payment Date	7 October 2021
TFN/ABN Status	Not Quoted
ASX Code	WES

## Final Dividend

The statement below represents your final dividend for the year ended 30 June 2021. The dividend is fully-franked (100 per cent) for Australian income tax purposes at the corporate tax rate of 30 per cent.

Class Description	Amount per Security	Number of Securities	Franked Amount	Unfranked Amount	Gross Payment	Franking Credit
Ordinary Shares	A\$0.90	233	A\$209.70	A\$0.00	A\$209.70	A\$89.87
<b>Total</b>			<b>A\$209.70</b>	<b>A\$0.00</b>	<b>A\$209.70</b>	<b>A\$89.87</b>
<b>Net Payment</b>					<b>A\$209.70</b>	

### New Zealand Imputation Credits in NZ\$

**NZ\$23.30**

New Zealand tax law requires us to notify shareholders that New Zealand imputation credits have been attached to this dividend at a rate of NZ\$0.10 per share. These credits are only relevant for shareholders required to file a New Zealand income tax return.

### Important Notes

- You should retain this statement to assist you in preparing your tax return.
- Shareholders should obtain their own professional advice on the tax implications of this dividend.

### Non-Resident Shareholders

The Franked Amount is not subject to withholding tax.



#### Help Wesfarmers to help the environment

To apply for online statements, or to view or update your security holding details please visit: [www.wesdirect.com.au](http://www.wesdirect.com.au). When in Wesdirect, log in as an existing user with your user ID or register as a new user by creating a login using your SRN/HIN and postcode (if within Australia) or country (if outside Australia). For security purposes, if you wish to update your information you will be required to register by choosing a user ID and password.

## Dividend Investment Plan (DIP) Details

Cash balance brought forward from the previous dividend	A\$25.07
Net payment available for investment in accordance with your instructions	A\$209.70
<b>Total available for investment</b>	<b>A\$234.77</b>
Less amount applied to the allotment of 4 ordinary shares at A\$57.0600 per share	A\$228.24
Cash balance carried forward to next dividend	A\$6.53
<b>Ordinary Shares (ORD)</b>	
Number of participating ORD shares held as at 3 September 2021	233
Number of non-participating ORD shares held as at 3 September 2021	0
Add ORD shares allotted on 7 October 2021	4
Total number of ORD shares held*	<b>237</b>

\*The number of shares held may differ from your current shareholding if you have purchased or sold shares after 1 September 2021. Computershare Investor Services Pty Limited and Wesfarmers Limited will not be liable for any losses incurred by any person who relies on the number of shares held shown above without making their own adjustments for any further transactions.

23900/—

Foreign Income Nov 21 - Jun 2022

Closing Bal Nov 21

55.05

Dec

No Activity  
exchange rates N/mart only.

Jan-Mar

8.07

(9.50 - 1.43 Tax)

Div Paid

April - June

8.16

(9.60 - 1.44 Tax)

Div Paid

---

71.28

N/mart on Exchange rates 39.60

---

110.88

---



239.00

# Foreign Income Summary.

	Dividend	Fees	Taxes	<u>NETT</u>
July	35.34	2.83	5.29	27.22
Apple →				
Aug	11.98		1.79	10.19
Apple —				
Sep	7.25			7.25
Johnson, Johnson				
Oct				
	<u>54.27</u>	<u>2.83</u>	<u>7.08</u>	<u>44.66</u>
Summary	54.49	2.83 ✓	8.16	
	.27 ?		1.08 ?	43.50



24200 / NAZYAQ



MR YAQUB NAZIR  
29 LANGBOURNE DR  
NARRE WARREN SOUTH VIC 3805

Our reference: 7129186430084

Phone: 13 10 20

Client ID: 37 515 113 918

11 May 2022

## Your super fund details have been requested

Dear YAQUB,

Your employer may ask us for details of where to pay your super if you have not notified them, in writing, of your chosen fund. We call this a 'stapled super fund' request.

A stapled super fund is an existing fund that will be 'stapled' to you so that your super follows you when you change employment. This is to avoid multiple super accounts being created which may result in you paying unnecessary fees.

We're letting you know that we've received a stapled super fund request from EUREKA 4WD TRAINING PTY LTD (ABN: 98150816578) on 10 May 2022.

We told them that your stapled super fund has the following details:

Fund ABN	92381911598
Fund name	THE TRUSTEE FOR WEALTH PERSONAL SUPERANNUATION AND PENSION FUND
Unique superannuation identifier (USI)	92381911598002
Product name	NORTH PERSONAL SUPERANNUATION PLAN
Member account number (MAN)	Y00029934

### What happens next

You don't need to do anything if you want your super to be paid into the account details provided by us.

We recommend that you compare super products at [ato.gov.au/superchoice](https://ato.gov.au/superchoice) to consider fees and investment returns and help maximise your retirement savings.

You could be paying unnecessary fees and charges if you hold multiple accounts which can impact your retirement savings. You can reduce this impact by logging into ATO Online via myGov and reviewing the number of active super accounts you may have. While you are there you can also view the contributions made by your employer.

If you want to change where your super is paid in the future, you can discuss this with your employer.

Yours sincerely,  
**Emma Rosenzweig**  
Deputy Commissioner of Taxation

### NEED HELP?

You can find more information about stapled super funds and the compare fund performance by visiting [ato.gov.au/superchoice](https://ato.gov.au/superchoice)

If you have any questions, you can phone us on **13 10 20** between 8.00am and 6.00pm, Monday to Friday.

# Financial Year Statement

28000/

Statement period

From: 1 July 2021

To: 30 June 2022

ATTN: MUMTAZ  
MUMTAZ SMSF  
99 SAFETY BAY RD  
SHOALWATER WA 6169

## Ownership

Mumtaz SMSF

## Property

Unit 47 / 98 Mandurah Tce, Mandurah WA

Details	GST	Expense	+ Income
Rent *			\$7,714.29
Annual Summary Statement 40140	\$5.00	\$55.00	"
Electrical Maintenance	\$10.00	\$110.00	
General Repairs & Maintenance	\$40.00	\$440.00	
Lease Renewal Fee 40130	\$9.00	\$99.00	
Leasing Fee 40125	\$48.00	\$528.00	
Plumbing Maintenance	\$10.35	\$113.85	
Routine Inspection Fees 40115	\$7.00	\$77.00	
Strata Levy	\$137.42	\$1,511.58	
Sundry Fee 40105	\$7.28	\$80.00	
Water Rates		\$143.71	
Water Rates & Consumption		\$282.71	
	\$274.05	\$3,440.85	\$7,714.29

+ Settlement statement  
rent paid to SMSF.  
\$548.57  
+  
= 8262.86

## Net Position at End of Period

**\$4,273.44**

\* Total rent deduction(s) of \$0.00 applied during the statement period.  
NOTE: Includes rent deduction(s) and removal of rent deductions.

ATTN: MUMTAZ  
MUMTAZ SMSF  
99 SAFETY BAY RD  
SHOALWATER WA 6169

28000/-  
**Owner Statement**

Tax Invoice

Account	MUMTAZSMSF
Statement number	8
Statement period	31 May 2022 - 1 July 2022
Ownership	Mumtaz SMSF
For property	Unit 47 / 98 Mandurah Tce, Mandurah WA
Current Tenancy	Kelly Ralph Rent: \$250.00 Weekly Paid to: 17/07/22

Balance Brought Forward \$0.00

Income	Credit
03/06/22 - Kelly Ralph - Rent - 20/06/2022 to 03/07/2022	\$494.29
20/06/22 - Kelly Ralph - Rent - 04/07/2022 to 17/07/2022	\$500.00

**Total income:** **\$994.29**  
Includes GST of: \$0.00

Expenses	Debit
15/06/22 - Part payment of strata levies due 1st July	\$482.78
25/06/22 - Annual Summary (25/06/2022) to Agent (MANDURAH47-98 - Unit 47 / 98 Mandurah Tce, Mandurah WA)	\$55.00
27/06/22 - Sundry Fee EOM (27/06/2022) to Agent (MANDURAH47-98 - Unit 47 / 98 Mandurah Tce, Mandurah WA)	\$10.00

**Total expenses:** **\$547.78**  
Includes GST of: \$49.80

**Funds withheld for the following upcoming expenses**

Pending payment for 17133 Mandurah Terrace Apartments - Part payment of strata levies due 1st July - \$545.87

**Total held in trust** **\$446.51**

**Payments to owner**

**Total payments:** Balance (\$0.00) + income (\$994.29) - expenses (\$547.78) - total held in trust (\$446.51) = **\$0.00**

28000/-

8,262.86 Total Real estate plus settlement.

- 548.51 Settlement paid.

7,714.29

\$ 3,826.93

\$ 446.51

Total Rental income advised in Report

3,826.93

3,887.36

\$ 3,887.36

- 3,440.85 -

\$ 446.51

Carryover on July 1 Rental statement of  
Amount held in trust.

3/105/22 to 01/07/22.

# North

Self Managed Super Fund  
99 SAFETY BAY RD  
SHOALWATER WA 6169

23 September 2021  
**Client reference number:** P00002960S  
**Account number:** Y00029934

Dear Sir/Madam

### North Personal Superannuation

Please find enclosed a cheque for \$0.35, which is the total amount to be rolled over for Mr Yaqub Nazir.

We have also enclosed a copy of the member's rollover benefits statement for your records.

If you have any queries, please contact North Service Centre on 1800 667 841. We will be pleased to help.

Yours sincerely



**Steve Vaid**  
Director, Client Services

# North

The next step in your financial journey

## Review report - Mr Yaqub Nazir

1 December 2020 to 31 December 2020

Yaqub Nazir  
Client reference number:  
P00002960S

Matthew Harris  
1300 899 650

Date: 23 June 2022

Client reference number: P00002960S

## Client details

Name: Mr Yaqub Nazir  
Address:  
Home: 99 Safety Bay Road  
Shoalwater WA 6169  
Contacts:  
H: Not supplied  
W: Not supplied  
M: 0437 775 386  
Email: mumtaz1@live.com.au  
Gender: Male  
Date of birth: 21 January 1971  
Tax file number: Provided

## Adviser details

Name: Matthew Harris  
Phone: 1300 899 650  
Fax: Not supplied  
Email: insurance@iproperfinancialplanning.com.au  
Adviser transaction authority: No

## Portfolio summary

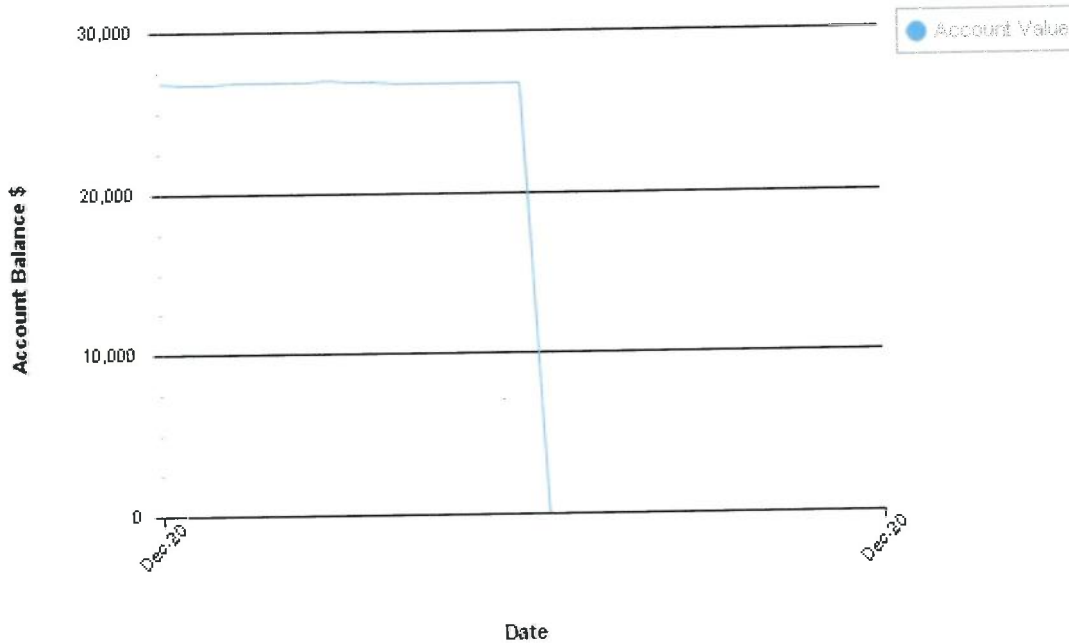
Your portfolio summary as at 31 December 2020

Type	Account number	Account value
North Personal Superannuation	Y00029934	\$0.00
<b>Portfolio value</b>		<b>\$0.00</b>



Account balance

North Personal Superannuation Y00029934



Transactions on your account (such as deposits and withdrawals) can cause sharp movements on your account value. Investment performance can vary; past performance is not a reliable indicator of future performance.

Account summary

North Personal Superannuation Y00029934

<b>Opening account value as at 1 December 2020</b>	<b>\$26,645.37</b>
<b>ADD</b>	
Income received	\$0.21
Net tax on benefit payments refund	\$10.98
<b>LESS</b>	
Administration fees	\$(17.32)
Guarantee fees	\$(25.12)
Withdrawals	\$(27,141.73)
<b>Change in investment earnings</b>	<b>\$527.61</b>
<b>Closing account value as at 31 December 2020</b>	<b>\$0.00</b>

Account performance

North Personal Superannuation Y00029934

This table shows the investment return of your account for specified periods up to 31 December 2020

	Since Inception (% p.a.)	6 months (%)	1 year (%)	3 years (% p.a.)	5 years (% p.a.)
Performance gross of fees	7.06	3.19	2.55	6.11	7.23
Performance net of fees	3.99	1.33	-0.76	2.87	3.74

Rates of return spanning periods greater than 12 months are annualised and denoted as '% p.a.' Periods less than 12 months show the rate of return for that period only.

A dash (-) denotes that data is unavailable and the calculation cannot be performed.

A \*\* result occurs when it was not possible to calculate a rate of return.

## Asset performance

## North Personal Superannuation Y00029934

## Asset performance for the period 1 December 2020 to 31 December 2020

**Asset** **Internal Rate of Return (%)**

Performance is based on the Internal Rate of Return (IRR) of the asset over the nominated period.

Rates of return where the asset and reporting periods are greater than 12 months are annualised and denoted as '% p.a.'. Assets held for a period less than 12 months, and/or a reporting period less than 12 months, display a rate of return for that period only.

Refer to explanatory notes for further explanation of how these returns have been calculated.

The cash account is specifically excluded from the asset performance report.

Term Deposit asset performance is the effective interest rate.

A \*\*\* result occurs when it was not possible to calculate a rate of return.

Past performance is not a reliable indicator of future performance.

**Explanatory Notes: Additional Explanation of Internal Rate of Return Calculations (IRR)**

- Investment performance can vary. Past performance is not a reliable indicator of future performance.
  - The rate of return has been calculated using the (standard) Internal Rate of Return (IRR) methodology, which takes into account the actual size and timing of cash flows.
  - The closing price used to generate the rate of return, in the absence of confirmed asset values, may contain components that are either estimated or valued at a date earlier than the calculation end date. This is due to the timing associated with published unit prices from investment managers.
  - The rate of return shown does not take into account any negative (or positive) impact on performance due to tax payments actually made, or due to tax obligations accrued but not yet paid. Any tax impact included in unit prices is included in the calculated rate of return.
  - The timing of large transactions such as contributions or withdrawals may have a significant impact on the rate of return calculated. The impact on the rate of return will depend on the period the rate of return is calculated over and the relative size and timing of any large cash flows.
- Note - in relation to the Account Performance Report
- The rate of return shown is the average investment return for the period based on the aggregate of all the assets in your account.
  - The return is calculated for standard periods (6 months, 1 year, 3 years or 5 years, if applicable).
  - If you have an account based guarantee, the rate of return is calculated using your opening and closing Available Balance. Otherwise your account value is used.
  - The inclusion or exclusion of fees in the rate of return calculation will have an effect on the investment return shown.
  - A rate of return calculated gross of fees is before the deduction of fees and costs deducted directly from your account, and after the indirect management, transactional and operational costs reflected in the unit price. For further details, refer to the Account Rate of Return Help Guide on North Online.
  - A rate of return calculated net of fees is after all fees and costs deducted directly from your account with the exception of advice fees, insurance premiums and taxes and after the indirect management, transactional and operational costs reflected in the unit price. For further details, refer to the Account Rate of Return Help Guide on North Online.
  - Comparative market indices, if presented, are calculated using the last available month-end data. Your account rate of return is calculated using either month-end or end of previous day data, depending on the time periods selected. Where end of previous day data is used, the period over which the market index data is calculated will be a shorter period than that used to calculate the plan rate of return.

Note - in relation to the Asset Performance Report

- At an investment level, the calculations exclude the impact of all fees and taxes, but do include the impact of indirect underlying management costs and brokerage.
- At a managed portfolio level, the calculations exclude the impact of taxes but are net of investment management - related fees\*, transaction costs (e.g. trade fees) and indirect management costs.

\* Investment management – related fees include investment performance fees.

Source: Morningstar

Rates of return spanning periods greater than 12 months are annualised. Periods less than 12 months show the rate of return for that period only.

A dash (-) denotes that data is unavailable and the calculation cannot be performed.

A \*/\*\* result occurs when it was not possible to calculate a rate of return.

The figures do not relate directly to your particular account. They are the average returns for these investment options.

Investment performance can vary; past performance is not a reliable indicator of future performance.

For Term deposit rates see Account valuation.

### Benefit details

North Personal Superannuation Y00029934

#### Payment summary details as at 31 December 2020

Taxable	\$0.00
Tax free	\$0.00
Eligible service date	19 June 1990

#### Preservation details

Preserved	\$0.00
Restricted non-preserved	\$0.00
Unrestricted non-preserved	\$0.00

#### Closing account value as at 31 December 2020

\$0.00

### Withdrawal benefit

North Personal Superannuation Y00029934

As at 23 June 2022 your withdrawal benefit was: \$56.54

### Beneficiary details

North Personal Superannuation Y00029934

#### Your beneficiary details as at 23 June 2022

Beneficiary name	Mumtaz Nazir
Type	Binding nomination
Date of birth	03/02/1970
Relationship to client	Spouse
% of benefit	100.00%
Expiry date	Non lapsing

### Unrealised gain/loss details

North Personal Superannuation Y00029934

No information exists for the current tax year

### Transaction details - cash account

### North Personal Superannuation Y00029934

Date	Type	Description	Amount	Balance
<b>Opening value as at 1 December 2020</b>				<b>\$1,179.04</b>
01/12/2020	Distribution	CASHACCT - Cash Account	\$0.10	\$1,179.04
16/12/2020	Switch	NMM0113 - North Index Balanced: -27,498.57 units @ 0.931400	\$25,612.17	\$26,791.21
17/12/2020	Fee	Administration fee, Guarantee fee	\$(42.44)	\$26,748.77
17/12/2020	Interest	Interest	\$0.11	\$26,748.88
17/12/2020	Tax	Contributions Tax	\$10.98	\$26,759.86
17/12/2020	Benefit	Guarantee benefit	\$381.87	\$27,141.73
17/12/2020	Redemption	Benefit payment	\$(27,141.73)	\$0.00
<b>Closing value as at 31 December 2020</b>				<b>\$0.00</b>

Note: Any cash transactions occurring after 31/12/2020 that are related to the 2019-2020 financial year, are not included in the transaction details.

### Transaction details - term deposit

### North Personal Superannuation Y00029934

No term deposit transactions for the period 1 December 2020 to 31 December 2020

### Transaction details - investments

### North Personal Superannuation Y00029934

Trade Date	Settlement Date	Type	Description	Quantity	Unit Price	Amount
11/12/2020	14/12/2020	Switch	NMM0113 - North Index Balanced	27,498.57	\$0.93	\$(25,612.17)

39000/-

Mumtaz SMSF  
General Ledger

As at 30 June 2022

Transaction Date	Description	Units	Debit	Credit	Balance \$
<b>Life Insurance Premiums (39000)</b>					
<u>(Life Insurance Premiums) Nazir, Mumtaz - Accumulation (NAZMUM00001A)</u>					
30/04/2021	Direct Debit 245397 TAL Life Limited 1872932-B3578217		2,417.25		2,417.25 DR
11/03/2022	Direct Debit 245397 TAL Life Limited 1872932-C0166126		234.92		2,652.17 DR
11/04/2022	Direct Debit 245397 TAL Life Limited 1872932-C0744535		234.92		2,887.09 DR
11/05/2022	Direct Debit 245397 TAL Life Limited 1872932-C1342026		234.92		3,122.01 DR
			<b>3,122.01</b>		<b>3,122.01 DR</b>
<u>(Life Insurance Premiums) Nazir, Yaqub - Accumulation (NAZYAQ00001A)</u>					
28/05/2021	Direct Debit 245397 TAL Life Limited 1872931-B4424149		1,798.01		1,798.01 DR
14/03/2022	Direct Debit 245397 TAL Life Limited 1872931-C0198736		176.75		1,974.76 DR
12/04/2022	Direct Debit 245397 TAL Life Limited 1872931-C0767890		176.75		2,151.51 DR
12/05/2022	Direct Debit 245397 TAL Life Limited 1872931-C1380800		176.75		2,328.26 DR
			<b>2,328.26</b>		<b>2,328.26 DR</b>

Total Debits: 5,450.27

Total Credits: 0.00

Payment of \$411.67 on the 14/06/22  
\$234.92 + \$176.75



39000/\_mum



# Certificate of Currency

TAL Life Limited ABN 70 050 109 450 AFSL Number 237848

Policy Number	1872932
Status	Inforce
Life Insured	MUMTAZ NAZIR ✓
Address	99 SAFETY BAY ROAD SHOALWATER WA 6169

## POLICY DETAILS

Product	Accelerated Protection ✓
Policy Ownership	MUMTAZ S M S F ✓
Payment Frequency	Monthly ✓
Total Instalment Premium	\$234.92 ✓
Total Annual Premium	\$2,819.04
Next Bill Date	11/07/2022
Arrears Amount	

## BENEFIT DETAILS

### SUMMARY FOR MUMTAZ NAZIR

Sum Insured	Instalment Premium	Annual Premium	Annual Stamp Duty	Annual Policy Fee	Premium Type	CPI	Start Date	Expiry Date
Life Insurance Plan								
\$1,041,863	\$234.92 ✓	\$2,819.04	\$0.00	\$0.00	Level	Y	11/03/2021	11/03/2072

Your policy may have other options connected to your benefits which are not listed in this document. For full details, please refer to your most recent policy schedule.

This document confirms that the above policy is current and paid until 11/07/2022, subject to all payments being cleared by your financial institution.

If you have any questions please call the Customer Service Centre on 1300 209 088, Monday to Friday, 8am - 7pm (AEST). Alternately, you can email [customerservice@tal.com.au](mailto:customerservice@tal.com.au) quoting Policy Number 1872932

39000/- MUM



## Policy Summary

TAL Life Limited ABN 70 050 109 450 AFSL Number 237848

Policy Number	1872932
Status	Inforce
Adviser Name	iProsper Financial Planning Pty Ltd
Adviser Number	36879

### POLICY DETAILS

Product	Accelerated Protection
Policy Ownership	MUMTAZ S M S F
Payer	MUMTAZ S M S F
Payment Frequency	Monthly
Payment Method	Direct Debit
Payment Details	BSB: 066- 159 11XXXXXXXX
Total Instalment Premium	\$234.92
Total Annual Premium	\$2,819.04
Annual Policy Fee	\$0.00
Next Bill Date	11/07/2022
Arrears Amount	

### APPLICANT DETAILS

#### MUMTAZ NAZIR

Date of Birth	03/02/1970	Gender	Female	Smoker Status	Non Smoker
Address	99 SAFETY BAY ROAD, SHOALWATER WA, 6169				
Telephone	0427 776 768				

### BENEFIT DETAILS

#### SUMMARY FOR MUMTAZ NAZIR

Sum Insured	Instalment Premium	Annual Premium	Annual Stamp Duty	Annual Policy Fee	Premium Type	CPI	Start Date	Expiry Date
Life Insurance Plan								
\$1,041,863	\$234.92	\$2,819.04	\$0.00	\$0.00	Level	Y	11/03/2021	11/03/2072

### CONDITIONS

### LAPSES

There are no lapsed benefits.

### INVESTMENT DETAILS

There are no investment details.

39000/- YAQ



# Certificate of Currency

TAL Life Limited ABN 70 050 109 450 AFSL Number 237848

Policy Number	1872931
Status	Inforce
Life Insured	YAQUB NAZIR ✓
Address	99 SAFETY BAY ROAD SHOALWATER WA 6169

## POLICY DETAILS

Product	Accelerated Protection ✓
Policy Ownership	MUMTAZ S M S F ✓
Payment Frequency	Monthly ✓
Total Instalment Premium	\$176.75 ✓
Total Annual Premium	\$2,121.00
Next Bill Date	12/07/2022
Arrears Amount	

## BENEFIT DETAILS

### SUMMARY FOR YAQUB NAZIR

Sum Insured	Instalment Premium	Annual Premium	Annual Stamp Duty	Annual Policy Fee	Premium Type	CPI	Start Date	Expiry Date
<b>Life Insurance Plan</b>								
\$1,041,863	\$176.75 ✓	\$2,121.00	\$0.00	\$0.00	Stepped	Y	12/03/2021	12/03/2070

Your policy may have other options connected to your benefits which are not listed in this document. For full details, please refer to your most recent policy schedule.

**This document confirms that the above policy is current and paid until 12/07/2022, subject to all payments being cleared by your financial institution.**

If you have any questions please call the Customer Service Centre on 1300 209 088, Monday to Friday, 8am - 7pm (AEST). Alternately, you can email [customerservice@tal.com.au](mailto:customerservice@tal.com.au) quoting Policy Number 1872931

390001 - YAQ



## Policy Summary

TAL Life Limited ABN 70 050 109 450 AFSL Number 237848

Policy Number	1872931
Status	Inforce
Adviser Name	iProsper Financial Planning Pty Ltd
Adviser Number	36879

### POLICY DETAILS

Product	Accelerated Protection
Policy Ownership	MUMTAZ S M S F
Payer	MUMTAZ S M S F
Payment Frequency	Monthly
Payment Method	Direct Debit
Payment Details	BSB: 066- 159 11XXXXXXXX
Total Instalment Premium	\$176.75
Total Annual Premium	\$2,121.00
Annual Policy Fee	\$0.00
Next Bill Date	12/07/2022
Arrears Amount	

### APPLICANT DETAILS

#### YAQUB NAZIR

Date of Birth	21/01/1971	Gender	Male	Smoker Status	Non Smoker
Address	99 SAFETY BAY ROAD, SHOALWATER WA, 6169				
Telephone	0427 776 768				

### BENEFIT DETAILS

#### SUMMARY FOR YAQUB NAZIR

Sum Insured	Instalment Premium	Annual Premium	Annual Stamp Duty	Annual Policy Fee	Premium Type	CPI	Start Date	Expiry Date
Life Insurance Plan								
\$1,041,863	\$176.75	\$2,121.00	\$0.00	\$0.00	Stepped	Y	12/03/2021	12/03/2070

### CONDITIONS

### LAPSES

There are no lapsed benefits.

### INVESTMENT DETAILS

There are no investment details.

39000/- YAQ



051

MRS MUMTAZ NAZIR  
 MR YAQUB NAZIR  
 99 SAFETY BAY RD  
 SHOALWATER WA 6169

Account Number 06 6159 11132072

Statement Period 2 Apr 2021 - 1 Oct 2021

Closing Balance \$92.94 CR

Enquiries 13 1998  
 (24 hours a day, 7 days a week)

## Direct Investment Account

If this account has an attached overdraft limit or facility and we send you a statement every 4 or 6 months, we will update your statement preference to every 3 months as part of changes made to the new Banking Code of Practice from 1 July 2019.

The Commonwealth Direct Investment Account is the preferred cash account for SMSF customers. You will receive discounted brokerage when you link your CDIA to a CommSec Trading Account, and enjoy the convenience of managing your investments through NetBank and the CommBank app.

Name: IMAAN & KHADIJAH PTY LTD AS TRUSTEES FOR MUMTAZ SMSF

Note: Have you checked your statement today? It's easy to find out more information about each of your transactions by logging on to the CommBank App or NetBank. Should you have any questions on fees or see an error please contact us on the details above. Cheque proceeds are available when cleared.

The date of transactions shown here may be different on your other transaction lists (for example, the transaction list that appears on the CommBank app).

Date	Transaction	Debit	Credit	Balance
02 Apr	2021 OPENING BALANCE			\$2,953.43 CR
13 Apr	Direct Credit 109594 AIA AUSTRALIA LIMIT MV Y00029942		403.42	\$3,356.85 CR
13 Apr	Transfer from NetBank supper		500.00	\$3,856.85 CR
19 Apr	Direct Credit 361494 NORTH NWXBY00029942		469.59	\$4,326.44 CR
19 Apr	Direct Credit 361494 NORTH NWXBY00029934		132.62	\$4,459.06 CR
21 Apr	Transfer from CommBank app supper		100.00	\$4,559.06 CR
28 Apr	Transfer from CommBank app supper		200.00	\$4,759.06 CR
30 Apr	Direct Credit 396297 JAMES HARDIE IND APR21/00825003		67.45	\$4,826.51 CR
30 Apr	Direct Debit 245397 TAL Life Limited 1872932-B3578217	2,417.25		\$2,409.26 CR
05 May	Direct Debit 245397 TAL Life Limited 1872931-B3656977	5,218.29		\$2,809.03 DR
05 May	Return 05/05/21 Direct Debit 245397 TAL Life Limited 1872931-B3656977		5,218.29	\$2,409.26 CR



60400/ - 2072

Statement 2

(Page 3 of 3)

Account Number

06 6159 11132072

Date	Transaction	Debit	Credit	Balance
18 Aug	Direct Debit 062934 COMMSEC SECURITY COMMSEC	2,176.02		\$92.59 CR
24 Sep	Direct Credit 361494 NORTH NWXBY00029934		0.35	\$92.94 CR
01 Oct 2021	CLOSING BALANCE			\$92.94 CR

Opening balance	-	Total debits	+	Total credits	=	Closing balance
\$2,953.43 CR		\$17,085.18		\$14,224.69		\$92.94 CR

### Your Credit Interest Rate Summary

Date	Balance	Standard Credit Interest Rate (p.a.)
01 Oct	Less than \$10,000.00	0.00%
	\$10,000.00 and over	0.05%

Note. Interest rates are effective as at the date shown but are subject to change.







Statement 3 (Page 1 of 3)

Account Number 06 6159 11132072

Statement Period 2 Oct 2021 - 1 Apr 2022

Closing Balance \$1,571.45 CR

Enquiries 13 1998  
 (24 hours a day, 7 days a week)



051

MRS MUMTAZ NAZIR  
 MR YAQUB NAZIR  
 99 SAFETY BAY RD  
 SHOALWATER WA 6169

## Direct Investment Account

If this account has an attached overdraft limit or facility and we send you a statement every 4 or 6 months, we will update your statement preference to every 3 months as part of changes made to the new Banking Code of Practice from 1 July 2019.

The Commonwealth Direct Investment Account is the preferred cash account for SMSF customers. You will receive discounted brokerage when you link your CDIA to a CommSec Trading Account, and enjoy the convenience of managing your investments through NetBank and the CommBank app.

Name: IMAAN & KHADIJAH PTY LTD AS TRUSTEES FOR  
 MUMTAZ SMSF

Note: Have you checked your statement today? It's easy to find out more information about each of your transactions by logging on to the CommBank App or NetBank. Should you have any questions on fees or see an error please contact us on the details above. Cheque proceeds are available when cleared.

The date of transactions shown here may be different on your other transaction lists (for example, the transaction list that appears on the CommBank app).

Date	Transaction	Debit	Credit	Balance
02 Oct	2021 OPENING BALANCE			\$92.94 CR
02 Oct	ASIC NetBank BPAY 17301 2296444200663 ASIC	56.00		\$36.94 CR
12 Oct	Direct Credit 109594 AIA AUSTRALIA LI MV Y00029942		407.86	\$444.80 CR
12 Oct	Transfer from NetBank super		500.00	\$944.80 CR
13 Oct	Transfer from NetBank Super		50.00	\$994.80 CR
15 Oct	Direct Debit 062934 COMMSEC SECURITI COMMSEC	960.50		\$34.30 CR
18 Oct	Direct Credit 361578 QUICKSUPER QUICKSPR3067035992		18.49	\$52.79 CR
22 Oct	Transfer from NetBank super		1,000.00	\$1,052.79 CR
23 Oct	Transfer To Peard Real Estate Rockingham NetBank 47/98 Mandurah terrace, Mandu... <b>77200/047</b>	1,000.00		\$52.79 CR
26 Oct	Direct Credit 062895 COMMONWEALTH SEC COMMSEC		116,688.22	\$116,741.01 CR
28 Oct	Direct Credit 062895 COMMONWEALTH SEC COMMSEC		39,810.74	\$156,551.75 CR
01 Nov	Credit Interest		1.18	\$156,552.93 CR



\*# 3678.8141.1.3 ZZ258R3 0303 MU.F3.S111.D091.L.V06.00.35

60400/ - 2072

Statement 3

(Page 3 of 3)

Account Number

06 6159 11132072

Date	Transaction	Debit	Credit	Balance
07 Mar	Direct Credit 301500 PRECISION CH PASCH2203020003694		1,499.30	\$3,052.17 CR
07 Mar	Direct Credit 301500 PRECISION CH PASCH2203020003689		1,129.02	\$4,181.19 CR
11 Mar	Direct Credit 109594 AIA AUSTRALIA LI MV Y00029942		407.86	\$4,589.05 CR
11 Mar	Direct Debit 062934 COMMSEC SECURITI COMMSEC	3,503.37		\$1,085.68 CR
11 Mar	Direct Debit 245397 TAL Life Limited 1872932-C0166126	234.92		\$850.76 CR
11 Mar	Transfer from CommBank app super		500.00	\$1,350.76 CR
14 Mar	Direct Debit 245397 TAL Life Limited 1872931-C0198736	176.75		\$1,174.01 CR
28 Mar	Direct Credit 458106 BHP GROUP DIV A1385/00558875		285.04	\$1,459.05 CR
30 Mar	Direct Credit 401507 CBA ITM DIV 001272260990		35.00	\$1,494.05 CR
30 Mar	Direct Credit 436383 FMG DIVIDEND 001273177802		77.40	\$1,571.45 CR
01 Apr	2022 CLOSING BALANCE			\$1,571.45 CR

Opening balance	-	Total debits	+	Total credits	=	Closing balance
\$92.94 CR		\$168,880.58		\$170,359.09		\$1,571.45 CR

### Your Credit Interest Rate Summary

Date	Balance	Standard Credit Interest Rate (p.a.)
01 Apr	Less than \$10,000.00	0.00%
	\$10,000.00 and over	0.05%

Note. Interest rates are effective as at the date shown but are subject to change.

### Important Information:

We try to get things right the first time – but if we don't, we'll do what we can to fix it.

You can fix most problems simply by contacting us.

Write to: CBA Group Customer Relations, Reply Paid 41, Sydney NSW 2001

Tell us online: [commbank.com.au/support/compliments-and-complaints.html](http://commbank.com.au/support/compliments-and-complaints.html)

Call: 1800 805 605 (free call)

You can also contact the Australian Financial Complaints Authority, AFCA, an independent external dispute resolution body approved by ASIC - time limits may apply, visit AFCA, [afca.org.au](http://afca.org.au), website for more information.

Write to: Australian Financial Complaints Authority, GPO Box 3, Melbourne VIC 3001

Email: [info@afca.org.au](mailto:info@afca.org.au)

Call: 1800 931 678, free call Monday to Friday 9am– 5pm, AEST



**Has there been an unauthorised transaction on your account?**

1. Double check that the transaction was not made by you, or an authorised person on the account.
2. Document the incorrect transaction.
3. Contact the merchant that charged you (most issues can be resolved faster that way).

For more information, visit:

**[commbank.com.au/support/disputing-a-transaction.html](http://commbank.com.au/support/disputing-a-transaction.html)**

If the issue is still unresolved, contact us within 30 days of your transaction statement date, and we may be able to exercise our chargeback rights to recover your funds.

Please note: We cannot request a chargeback on BPAY payments because different rules apply (these rules are set out in the ePayments Code).

To find out more about chargebacks, visit:

**[commbank.com.au/support/faqs/1387.html](http://commbank.com.au/support/faqs/1387.html)**

**Important information:** This document is a guideline only. If you don't take reasonable measures to protect your cards and devices, or protect your personal and security information, or prevent others from accessing such information, you may be liable for any unauthorised transactions. Your liability for any losses arising from unauthorised transactions is determined in accordance with the ePayments Code and is set out in your account Terms and Conditions. For a copy visit [commbank.com.au](http://commbank.com.au). To notify us of any account security issues, simply call 13 2221, 24 hours a day, 7 days a week. HomePath Pty Limited ABN 35 081 986 530 is a wholly owned but non-guaranteed subsidiary of Commonwealth Bank of Australia.



60400/-2022

**CommonwealthBank**

Commonwealth Bank of Australia  
ABN 48 123 123 124  
Australian credit licence 234945



Account Number 066159 11132072

Page 1 of 4

IMAAAN & KHADIJAH PTY LTD AS TRUSTEES FOR  
MUMTAZ SMSF  
99 SAFETY BAY RD  
SHOALWATER WA Australia 6169

02 September 2022

Dear IMAAN & KHADIJAH PTY LTD AS TRUSTEES FOR MUMTAZ SMSF,  
Here's your account information and a list of transactions from 01/04/22-15/07/22.

**Account name** IMAAN & KHADIJAH PTY LTD AS TRUSTEES FOR MUMTAZ SMSF  
**BSB** 066159  
**Account number** 11132072  
**Account type** CDIA  
**Date opened** 01/10/2020

Date	Transaction details	Amount	Balance
11 Apr 2022	Direct Debit 245397 TAL Life Limited 1872932-C0744535	-\$234.92	\$1,336.53
12 Apr 2022	Direct Debit 245397 TAL Life Limited 1872931-C0767890	-\$176.75	\$1,159.78
13 Apr 2022	Direct Credit 109594 AIA AUSTRALIA LI MV Y00029942	\$407.86	\$1,567.64
13 Apr 2022	Transfer from NetBank supper	\$500.00	\$2,067.64
21 Apr 2022	Direct Credit 458106 RIO TINTO LTD FIN21/00211605	\$298.28	\$2,365.92
28 Apr 2022	Direct Credit 458106 BHP DRP RESIDUAL RES22/00801707	\$11.13	\$2,377.05
29 Apr 2022	Direct Credit 163548 REMITTER Peard Rockingham	\$658.35	\$3,035.40
29 Apr 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$2,099.85	\$935.55
11 May 2022	Direct Debit 245397 TAL Life Limited 1872932-C1342026	-\$234.92	\$700.63
12 May 2022	Direct Credit 109594 AIA AUSTRALIA LI MV Y00029942	\$407.86	\$1,108.49
12 May 2022	Transfer from NetBank Super	\$500.00	\$1,608.49
12 May 2022	Direct Debit 245397 TAL Life Limited 1872931-C1380800	-\$176.75	\$1,431.74
16 May 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$1,390.05	\$41.69

60400/-2072

Account Number 066159 11132072

Page 2 of 4

Date	Transaction details	Amount	Balance
20 May 2022	Direct Credit 458106 RIO TINTO LTD DRP22/00801676	\$39.50	\$81.19
26 May 2022	Direct Credit 012721 ATO ATO008000016356740	\$24.00	\$105.19
31 May 2022	Direct Credit 163548 REMITTER Peard Rockingham	\$555.01	\$660.20
09 Jun 2022	Direct Credit 012721 ATO ATO001100015874509	\$1,661.19	\$2,321.39
13 Jun 2022	Direct Credit 109594 AIA AUSTRALIA LI MV Y00029942	\$407.86	\$2,729.25
13 Jun 2022	Transfer from CommBank app super	\$500.00	\$3,229.25
14 Jun 2022	Direct Debit 245397 TAL Life Limited 1872931-C2014706	-\$411.67	\$2,817.58
21 Jun 2022	Direct Credit 301500 PRECISION CH PASCH2206160003104	\$1,140.02	\$3,957.60
22 Jun 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$1,351.20	\$2,606.40
24 Jun 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$2,634.98	-\$28.58
24 Jun 2022	Return 24/06/22 Direct Debit 062934 COMMSEC SECURITI COMMSEC	\$2,634.98	\$2,606.40
24 Jun 2022	Unpaid Payment Fee	-\$5.00	\$2,601.40
28 Jun 2022	Transfer from NetBank Supper	\$50.00	\$2,651.40
28 Jun 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$2,601.40	\$50.00
28 Jun 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$33.58	\$16.42
01 Jul 2022	Direct Credit 397204 ANZ DIVIDEND A073/00776907	\$28.80	\$45.22
11 Jul 2022	Direct Credit 109594 AIA AUSTRALIA LI MV Y00029942	\$428.66	\$473.88
11 Jul 2022	Transfer from CommBank app super	\$500.00	\$973.88
11 Jul 2022	Direct Debit 245397 TAL Life Limited 1872932-C2558270	-\$234.92	\$738.96
12 Jul 2022	Direct Debit 245397 TAL Life Limited 1872931-C2573440	-\$176.75	\$562.21
15 Jul 2022	Direct Debit 062934 COMMSEC SECURITI COMMSEC	-\$479.70	\$82.51

Any pending transactions haven't been included in this list. Proceeds of cheques aren't available until cleared.

60400/2072

Account Number 066159 11132072

Page 3 of 4

If you have questions or need more information, go to [commbank.com.au/support](http://commbank.com.au/support).

Yours sincerely,



Brian Moseley  
General Manager, Retail Customer Service



CommSec

Commonwealth Securities Ltd  
Locked Bay 22  
Australia Square NSW 12215  
Tel: 1300 363 1170  
ABN 60 067 254 293 AFSL 138614

Confirmation

MUMTAZ SMSF  
UAD 09/17/20  
IMAAAN & KHADIJAH PTY LTD TTEE  
FBO YAQUB NAZIR & MUMTAZ NAZIR  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169 AUS

MUMTAZ SMSF

Account Number: **OMC-741560**  
Process Date: October 22, 2021

We confirm the below trades, subject to the terms and conditions set forth on this confirmation.

For additional information, please see the reverse side.

**Client Service Information**

Your Financial Consultant: 000

Contact Information:

COMMSEC MAIN TRADING IP  
1 HARBOUR STREET SYDNEY

E-Mail Address : commsecinternationaldesk@cbsa.com.au

**You Sold**

Trade Number	Quantity	Price	Principal	Interest	Commission Comm Equity	Service Charge	Transaction Fee	Net Amount	Capacity Type
1300GB 1	200	2.88	576.00		19.95		0.01	556.04	1
APPLE	40	149.75	5990.00		29.95		0.04	5960.01	0

Trade Date: 10-22-21  
Cusip: 037833-10-0  
Settlement Date: 10-25-21  
Settlement Currency: U.S. Dollars

Trade Date: 10-22-21  
Cusip: 064746-10-8  
Settlement Date: 10-25-21  
Settlement Currency: U.S. Dollars

Unsolicited Order Allocated Order Your Broker Acted As Agent  
Default Tax Lot Disposition Method : FIRST IN FIRST OUT

THIS CONFIRMATION IS AN ADVICE NOT AN INVOICE. REMITTANCE OR SECURITIES ARE DUE ON OR BEFORE SETTLEMENT DATE.

SEE TERMS AND CONDITIONS AND EXPLANATION OF CODED SYMBOLS RELATING TO THIS CONFIRMATION. ON OTHER THAN ROUND LOTS (NORMALLY 100 SHARES), IF "DIFF" APPEARS ABOVE, AN ODD-LOT DIFFERENTIAL HAS BEEN CHARGED IN CONNECTION WITH THIS TRANSACTION. THE AMOUNT OF SUCH DIFFERENTIAL WILL BE FURNISHED UPON REQUEST. CLEARING THROUGH PERSHING LLC, A WHOLLY OWNED SUBSIDIARY OF THE BANK OF NEW YORK MELLON CORPORATION (BNY MELLON) PERSHING LLC, MEMBER FINRA, NYSE, SIPC, ONE PERSHING PLAZA, JERSEY CITY, NJ 07399.



IF YOU WOULD LIKE TO RECEIVE YOUR TRADE CONFIRMATIONS ONLINE, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL OR FINANCIAL ORGANIZATION.

60400/OMC\_560

For additional information, please see the reverse side.

**You Sold (continued)**

Trade Number	Quantity	Price	Principal	Interest	Commission Comm Equiv	Service Charge	Transaction Fee	Net Amount	Capacity Type
--------------	----------	-------	-----------	----------	--------------------------	-------------------	--------------------	------------	---------------

AYRO (continued)  
AYRO INCCOM  
Unsolicited Order  
Default Tax Lot Disposition Method : FIRST IN FIRST OUT

F	106	18.45	18,368.20		56.91		0.10	18,301.19	0
---	-----	-------	-----------	--	-------	--	------	-----------	---

Trade Date: 10-22-21  
Settlement Date: 10-26-21  
Cusip: 345370-66-0  
Settlement Currency: U.S. Dollars  
FOED MOTOR CO DEL COM PAR

Unsolicited Order Allocated Order Your Broker Acted As Agent  
Default Tax Lot Disposition Method : FIRST IN FIRST OUT

JNU	5	163.14	815.70		19.95		0.01	795.74	1
-----	---	--------	--------	--	-------	--	------	--------	---

Trade Date: 10-22-21  
Settlement Date: 10-26-21  
Cusip: 478160-10-4  
Settlement Currency: U.S. Dollars  
.JOHNSON & JOHNSON.COM

Unsolicited Order  
Default Tax Lot Disposition Method : FIRST IN FIRST OUT

NIC	110	38.94	4,293.40		19.95		0.03	4,273.42	1
-----	-----	-------	----------	--	-------	--	------	----------	---

Trade Date: 10-22-21  
Settlement Date: 10-26-21  
Cusip: 629434-10-6  
Settlement Currency: U.S. Dollars  
NIC INC SHS A SPONSORED AMERICAN DEP SHS REPR SH A ORD SH ISIN#US6294341061

Unsolicited Order  
Default Tax Lot Disposition Method : FIRST IN FIRST OUT

**Disclosures**

<sup>1</sup> Tax lot information will only be displayed for partial dispositions. Full dispositions will use all the available tax lots. You may change the disposition method or tax lots applied to this trade before the earlier of settlement date or three business days. For more cost basis information, please contact your investment professional.



## TERMS AND CONDITIONS

Securities purchased on a cash or margin basis are or may be hypothecated under circumstances that will permit the commingling thereof with securities earned for other customers, but such securities, if hypothecated, will be withdrawn from hypothecation as soon as practicable after receipt of payment therefor.

If this transaction is a purchase by you in a cash account, and sufficient funds are not already in your account with us, it is agreed that you will promptly make full payment for the securities described on this confirmation and no later than settlement date, or at such earlier time payment may be demanded in accordance with the terms of this transaction, and that you do not contemplate the sale of such securities prior to making such payment. If this transaction is a sale by you in a cash account, and the securities described on this confirmation are not already held in your account with us, we are acting upon your representation that you or your principal own such securities, and it is agreed that you will promptly, and no later than the settlement date, deposit such securities with us. If full payment for the securities purchased by you in this transaction is not received by you in this transaction on or before settlement date, we may, at our option, liquidate the transaction without notice to you and you will be liable to us for any resulting loss, including, without limitation, all expenses, attorney's fees and other costs incurred by us and interest thereon.

If this transaction is a purchase by you in a margin account, it is agreed that sufficient cash or acceptable collateral will be deposited on or before the settlement date, or at such earlier time that payment may be demanded to satisfy applicable margin requirements.

Provisions of agreements and contracts shall inure to any successor of your introducing firm or Pershing LLC ("Pershing"). It is understood and agreed that all transactions are subject to the rules and customs of the exchange or market (and its clearing house, if any) where they are executed. The name of the other broker or party and the time of execution will be furnished on request.

**YOUR INTRODUCING BROKER DOES NOT RECEIVE COMPENSATION FOR DIRECTING ORDER FLOW IN EQUITY SECURITIES. PERSHING RECEIVES COMPENSATION FOR DIRECTING ORDER FLOW IN CERTAIN EQUITY SECURITIES AND LISTED OPTIONS. THE SOURCE AND NATURE OF THE COMPENSATION, IF ANY, RECEIVED IN CONNECTION WITH THIS TRADE WILL BE FURNISHED UPON WRITTEN REQUEST TO PERSHING.**

In those equity transactions where it is indicated that Pershing or an affiliated company, acted in the capacity of principal (as market maker or specialist), it is orders at the inside market without mark up or down, and charges for its services.

Commission rates are subject to negotiation, and any commission charged to you in this transaction may be more or less than commissions charged to or by others in similar transactions. The source and amount of other commissions received in connection with this transaction will be furnished on request.

Call features may exist for securities. Call features for fixed income securities may affect yield. Complete information will be provided upon request.

Rating information is not provided for securities for which a rating is not available. Securities which are unrated are marked "UNRATED". Ratings information that appears in the description of fixed income securities has been obtained from rating services which Pershing believes to be reliable; however Pershing cannot guarantee their accuracy.

If this transaction involves an asset-backed security, including a municipal collateralized mortgage obligation, which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such a security may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including, at a minimum, estimated yield, weighted average life, and the prepayment assumptions of the underlying yield) will be furnished upon your written request.

PLEASE IMMEDIATELY REPORT ANY ERROR, OMISSION OR EXCEPTION TO YOUR INTRODUCING FIRM AT THE ADDRESS SHOWN ON THIS CONFIRMATION.

When Pershing LLC receives a non-directed order from an introducing firm or customer for execution on your behalf, and executes that order in accordance with Pershing's best execution policy, Pershing may execute that order itself as a market maker, or send that order for execution to an exchange or other off-exchange venue.

Details concerning the type of order, venue, date, time of execution as stated in Coordinated Universal Time (UTC), and multiple executions are available upon your written request to your introducing broker.

Net Asset Value (NAV) based transactions in Exchange Traded Products (ETPs) and transactions in foreign securities executed in a foreign market may include additional fees. Details furnished upon request.

## EXPLANATION OF CODED SYMBOLS

### Type of Account

- 0 Deliver/Receive vs. Payment
- 1 Cash Account
- 2 Margin Account
- 3 Short Account
- 4 Special Subscription Account
- 5 Arbitrage Account
- 8 Non-Securities Credit Account — reflects the purchase or sale of Precious Metals, which are not securities and are, therefore, not eligible for protection by the Securities Investor Protection Corporation (SIPC) or for any excess SIPC coverage

### Capacity in Which Your Introducing Firm Acted

- 1, 2, 3, or 8 ..... As broker/agent only
- 4 ..... Reprinted confirmation, capacity appears on original
- 5, or 6 ..... As principal
- 9 ..... As agent for the buyer and seller, charging you a commission as shown on this confirmation.
- D ..... Average price or block transaction.
- A ..... As agent.
- M ..... As both principal & agent in this transaction.
- P ..... As principal.

### Additional Capacity Information

If so noted on this Confirmation, Pershing, or an affiliated company, makes a market in this security and acted as principal in buying from or selling to you. Your introducing firm (if any) acted as your agent, charging you a commission for the service.

§ "Average Unit Price Transaction" is indicated on this confirmation, your introducing firm, Pershing or an affiliate, may have executed your order as a single price execution and/or may have acted as principal, agent or both or agent for another party on one or more exchanges. Details are available through your introducing firm upon request.

With respect to the purchase of zero coupon securities, please note: No periodic interest payment will be made, and, if callable, securities may be called below maturity value without notice by mail to holder unless registered.

Your introducing firm charges you a transaction fee on certain securities transactions which are subject to fees assessed by a self-regulatory organization, securities exchange and/or government agency. The fee is based on the value of the "covered" securities transactions. To determine the exact amount of this fee with respect to any transaction, please contact your introducing firm.



Commonwealth Securities Ltd  
 Locked Box 27  
 Australia Square NSW 1215  
 Tel: 1 300 361 1170  
 ABN 60 067 254 399 AFSL 238814

# Brokerage Account Statement

MUMTAZ SMSF  
 UAD 09/17/20  
 IMAAN & KHADIJAH PTY LTD TTEE  
 FEO YAQUB NAZIR & MUMTAZ NAZIR  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169 AUS

January 1, 2022 - March 31, 2022  
 Account Number: OMC-741560  
 Base Currency: AUSTRALIAN DOLLAR (AUD)

## Portfolio at a Glance

	This Period
<b>BEGINNING ACCOUNT VALUE</b>	2,060.31
Dividends, Interest and Other Income	9.50
Other Transactions	-1.43
Net Change in Portfolio <sup>1</sup>	-420.59
<b>ENDING ACCOUNT VALUE</b>	<b>1,647.79</b>
Estimated Annual Income	36.76

<sup>1</sup> Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

COMMSEC MAIN TRADING IP

## Asset Summary



Please review your allocation periodically with your Financial Consultant.

	Last Period	This Period
	88.75	93.73
	1,971.56	1,554.06
	2,060.31	1,647.79

## Client Service Information

Identification Number: 000  
 COMMSEC MAIN TRADING IP  
 1 HARBOUR STREET SYDNEY

Contact Information  
 E-Mail: commsecinternationaldesk@cba.com.au  
 Web Site: WWW.COMMSEC.COM.AU

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)  
 Web Site: WWW.COMMSEC.COM.AU



40632-000000000-30

Rated Excellent  
 Every Year Since 2007  
 CREDIT RATED COMMUNICATIONS  
 EXCELLENCE



Clearing through Pershing LLC, a wholly owned  
 subsidiary of The Bank of New York Mellon  
 Corporation (BNY Mellon)  
 Pershing LLC, member FINRA, NYSE SIPC

### Your Account Information

#### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

#### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

#### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

##### Electronic Delivery

- Enrollment  Communication
- Statements and Reports
- Trade Confirmations
- Tax Documents
- Notifications
- Prospectus
- Proxy/Shareholder Communications

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

### Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3319	0.7508

Exchange rates are based on interbank exchange rates as of 03/31/2022. Exchange rates can vary.

### Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	70.37	93.73
Total Global Cash Balance		93.73

### Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income	9.50	0.00	9.50	0.00
Equities	9.50	0.00	9.50	0.00
Total Income	9.50	0.00	9.50	0.00

Account Number: **DMC-741580**  
 A002-00027000340



Rated Excellent  
 Every Year Since 2007  
 DAILY RATED COMMUNICATIONS  
 EXCELLENCE

Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)  
 Pershing LLC, member FINRA, NYSE, SIPC



Commonwealth Securities Ltd

Locked Box 22  
 Australia Securities NSW 1215  
 Tel: 1300 361 170  
 ABN 60 067 254 399 AFSL 438614

CommSec

January 1, 2022 - March 31, 2022  
 MUMTAZ SMSF  
 Base Currency: AUSTRALIAN DOLLAR (AUD)

**Portfolio Holdings**

**U.S. DOLLARS**

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS 6.00% of Portfolio</b>					
Cash Balance		64.51	70.37 USD		
		88.74	93.73 AUD		
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>					
		64.51	70.37 USD	0.00	
		88.74	93.73 AUD	0.00	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 94.00% of Portfolio</b>					
Common Stocks					
FORD MTR CO DEL COM PAR \$0.01	68.0000	16.9100	1,166.79 USD	27.60	2.36%
Security Identifier: F			1,554.06 AUD	36.76	
CUSIP: 346370860					

Total Common Stocks			1,166.79 USD	27.60	
			1,554.06 AUD	36.76	

<b>TOTAL EQUITIES</b>			1,166.79 USD	27.60	
			1,554.06 AUD	36.76	

TOTAL U.S. DOLLARS	Market Value	Estimated Annual Income
	1,237.16 USD	27.60
	1,647.79 AUD	36.76

Total Portfolio Holdings - AUSTRALIAN DOLLAR	Market Value	Estimated Annual Income
	1,647.79	36.76

**Portfolio Holdings Disclosures**

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable, in some cases the pricing vendor may provide prices quoted by



## Portfolio Holdings Disclosures (continued)

Pricing (continued)

a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Dividends and Interest	9.50	0.00	9.50	9.50	0.00	9.50
Taxes Withheld	0.00	-1.43	-1.43	0.00	-1.43	-1.43





CommSec

Commonwealth Securities Ltd  
Locked Bay 22  
Australia Square NSW 1215  
Tel: 1300 361 370  
ABN 60 067 254 299 AFSL 238914

January 1, 2022 - March 31, 2022  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

### Activity Summary (continued)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Totals	9.50	-1.43	8.07	9.50	-1.43	8.07

### Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency	
03/01/22	F	CASH DIVIDEND RECEIVED				6.90	USD	
		89 SHRS FORD MOTOR CO DEL COM PAR RD 01/31 PD 03/01/22				9.50	AUD	
03/01/22	F	NON-RESIDENT ALIEN TAX				-1.04	USD	
		NRA TAX WITHHELD ON 89 SHRS FORD MOTOR CO DEL COM PAR RD 01/31 PD 03/01/22				-1.43	AUD	
Total Value of Transactions							5.86	USD
Total AUD Equivalent							8.07	AUD

The price and quantity displayed may have been rounded.

### Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly. Please note, DWS money funds will no longer be available as sweep options to new clients effective May 9, 2022.

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: [www.afca.org.au](http://www.afca.org.au) or by telephone on 1800 931 678 (free call).

### PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION

#### CASH BALANCE / "FLOAT" DISCLOSURE

Pershing obtains a financial benefit attributable to the cash balances in any account (including Employee Retirement Income Security Act accounts) that are held by Pershing in accounts held with major money center banks (the names of which will be provided upon request). Pershing's financial benefit is in the form of either interest on such balances (often paid at the federal funds rate) and/or reductions in expenses that Pershing would otherwise pay to such banks.

These cash balances result from: (1) cash awaiting investment; or (2) cash pending distribution. With respect to cash awaiting investment (e.g. new contributions), Pershing obtains



## Messages (continued)

such financial benefit until the funds are automatically invested into a money market fund or bank deposit sweep product ("Sweep Product") or are used for other purposes, such as to purchase securities. If an account agreement provides for the automatic investment into a Sweep Product, such investment will take place on the day after the receipt of cash (and the financial benefit will be one day), unless timely instructions are received to manually purchase the sweep product on the same day that cash is received, which may be accommodated in certain cases. If the account agreement does not provide for automatic investment into a Sweep Product, no automatic investment will occur until the day after the appropriate instructions are added to your account by your firm.

When Pershing receives a request for a distribution by check, the account is charged (debited) on the date the check is written. Pershing mails disbursement checks on the same day that they are written. Pershing may obtain the financial benefit described above from the date the check is written until the date the check is presented for payment, the timing of which is beyond Pershing's control. When a distribution is requested using an Automated Clearing House instruction, Pershing receives a one-day financial benefit in connection with the distribution. If the distribution is made using the Federal Reserve wire system, Pershing receives no financial benefit in connection with the distribution.

### PAYMENT FOR ORDER FLOW PRACTICES

Pershing sends equity orders to exchanges or broker-dealers for execution. Some of these market centers provide payments to Pershing or charge access fees depending upon the characteristics of the order and execution.

Orders executed on one or more exchange(s) will either earn a rebate or be assessed an access fee based on each exchange's published fee schedule, which can typically be found on each exchange's web site. Exchange listed equity orders routed to an OTC market maker typically earn a cash payment per share for or per executed order with certain exclusions for OTC securities. The details of these payments and fees are available upon written request.

Pershing receives payments for directing U.S. exchange listed options order flow to broker-dealers using Smart Order Routing technology, which allows Pershing to access price improvement auctions on the various options exchanges. Generally, Pershing receives a per-option contract cash payment. This disclosure only applies to orders routed to Pershing, for further routing and handling, by your firm. For a list of organizations that pay Pershing for nondirected order flow, please visit [www.orderroutingdisclosure.com](http://www.orderroutingdisclosure.com). Pershing executes certain equity orders with a fractional share component as principal. Pershing also routes orders in preferred securities to an affiliate (BNY Mellon Capital Markets, LLC), which they may also execute as principal while facilitating the trade as a market maker.

A copy of the full annual disclosure, including information on revenue sharing, can be found on our website at [www.pershing.com/disclosures/annual.pdf](http://www.pershing.com/disclosures/annual.pdf).

### PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION STATEMENT OF FINANCIAL CONDITION DATED DECEMBER 31, 2021

On December 31, 2021, Pershing regulatory net capital of \$2.75 billion was 13.64% of aggregate debit items and \$2.35 billion in excess of the minimum requirement. A complete copy of the December 31, 2021 Statement of Financial Condition is available at [www.pershing.com/statement-of-financial-condition](http://www.pershing.com/statement-of-financial-condition). You may request a free printed copy by calling (888) 860-8510.

### SECURITIES INVESTOR PROTECTION CORPORATION® (SIPC®) INFORMATION

Information regarding SIPC, including a SIPC brochure, may be obtained by contacting SIPC via its website at [www.sipc.org](http://www.sipc.org) or by telephone at (202) 371-8300. An investment in a bank deposit program insured by the Federal Deposit Insurance Corporation (FDIC) is covered by FDIC insurance (up to allowable limits), but not eligible for SIPC coverage. Please review your statement for additional information on the sweep product in your brokerage account.

Account Number: DMIC-741560  
#0632-0003PT0002-00

Go paperless  
ASK ABOUT E-DELIVERY



Rated Excellent  
Every Year Since 2007  
DALLAS-BASED COMMUNICATIONS  
EXCELLENCE

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)  
Pershing LLC, member FINRA, NYSE, SIPC



CommSec

Commonwealth Securities Ltd

Locked Box 22

Australia Securities NSW 1215

Tel: 1300 361 170

ABN 60 067 264 399 AFSL 339914

January 1, 2022 - March 31, 2022

MUMTAZ SMSF

Base Currency: AUSTRALIAN DOLLAR (AUD)

## Messages (continued)

### FINANCIAL INDUSTRY REGULATORY AUTHORITY® (FINRA®) INVESTOR EDUCATION AND PROTECTION

A brochure that includes information describing FINRA BrokerCheck® may be obtained from FINRA at [www.finra.org](http://www.finra.org). The FINRA BrokerCheck hotline number is (800) 289-9999.

### MUNICIPAL SECURITIES RULEMAKING BOARD® (MSRB®) RULE G-10 INVESTOR AND MUNICIPAL ADVISORY CLIENT EDUCATION AND PROTECTION

An investor brochure that describes the protections available under MSRB rules and instructions on how to file a complaint with an appropriate regulatory authority can be found on the MSRB website at [www.msrb.org](http://www.msrb.org). Pershing is registered with the Securities and Exchange Commission (SEC) and MSRB, and is a member of FINRA and the New York Stock Exchange (NYSE).

### MARGIN DISCLOSURE

Securities held in your margin account will be used as collateral for margin loans made to you. Some firms increase the rate of interest Pershing charges on margin loans and in those cases, Pershing and your firm share in the interest you pay. If the securities in your margin account decline in value, your financial organization or Pershing can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with Pershing to maintain the required equity in the margin account. It is important that you fully understand the risks involved in purchasing securities on margin. These risks include:

- You may lose more funds or securities than you deposited in your margin account.
- Your financial organization or Pershing may force the sale of securities or other assets in your account(s).
- Your financial organization or Pershing can sell your securities or other assets in your account(s) without contacting you.
- You are not entitled to choose which securities or other assets in your account(s) are to be liquidated or sold to meet a margin call.
- Your financial organization or Pershing can increase its maintenance margin requirements at any time without advance written notice to you.
- You are not entitled to an extension of time on a margin call.

Your Margin Agreement with Pershing and your firm outlines your important obligations. The Margin Agreement is a legally binding contract and it cannot be modified by conduct. The failure on the part of Pershing or your firm at any time to enforce its rights under the Margin Agreement will not, in any way, be deemed to waive, modify or relax any of the rights granted to Pershing or your firm to deal with the collateral on all loans advanced to you.

### SUBSTITUTE PAYMENT REIMBURSEMENT

As permitted under your Margin Agreement, Pershing may lend securities in your account when there is a debit balance. You are not entitled to receive a dividend when your securities are on loan over an ex-dividend date. Pershing may issue a substitute payment in lieu of the dividend and compensate you for the tax difference.

A substitute payment received in lieu of a dividend may be eligible for a reimbursement to your account only if the account is open on the reimbursement date. Please note that these reimbursements are: (1) credited at Pershing's discretion; (2) subject to change; and (3) may be eliminated without advance notification. Pershing suggests that you contact your tax advisor to discuss the treatment of substitute payments.





## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07389; (201) 413-3330. Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07389; (201) 413-3330.

Account Number: **DMC-741560**  
4002-000505000-10

**Go paperless**  
ASK ABOUT E-DELIVERY



Rated Excellent  
Every Year Since 2007  
DALLAS-BASED COMMUNICATIONS  
EXCELLENCE

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)  
Pershing LLC, member FINRA, NYSE, SIPC

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU. USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND, OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

### PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER THE COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT





Commonwealth Securities Ltd  
 Locked Bay 27  
 Australia Securities NSW 1215  
 Tel: 1300 363 170  
 ABN 60 067 254 339 AFSL 238614

# Brokerage Account Statement

MUMTAZ SMSF  
 UAD 09/17/20  
 IMAAN & KHADIJAH PTY LTD TTEE  
 FEO YAQUB NAZIR & MUMTAZ NAZIR  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169 AUS

April 1, 2022 - June 30, 2022  
 Account Number: OMC-741560  
 Base Currency: AUSTRALIAN DOLLAR (AUD)

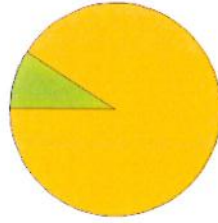
## Portfolio at a Glance

	This Period
<b>BEGINNING ACCOUNT VALUE</b>	1,647.79
Dividends, Interest and Other Income	9.60
Other Transactions	-1.44
Net Change in Portfolio <sup>1</sup>	-428.02
<b>ENDING ACCOUNT VALUE</b>	<b>1,227.93</b>
Estimated Annual Income	40.15

<sup>1</sup> Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

## Asset Summary

Percent	Asset Type
8%	Cash, Money Funds, and Bank Deposits
91%	Equities
100%	Account Total (Pie Chart)



Please review your allocation periodically with your Financial Consultant.

	Last Period	This Period
	93.73	110.88
	1,554.06	1,117.05
	1,647.79	1,227.93

## Client Service Information

Identification Number: 000  
 COMMSEC MAIN TRADING IP  
 1 HARBOUR STREET SYDNEY

Contact Information  
 E-Mail: commsecinternationaldesk@cba.com.au  
 Web Site: WWW.COMMSEC.COM.AU

Client Service Information  
 Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)  
 Web Site: WWW.COMMSEC.COM.AU



Rated Excellent  
 Every Year Since 2007  
 DATA-BASED COMMUNICATIONS  
 EXCELLENCE

Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)  
 Pershing LLC, member FINRA, NYSE, SIPC

60400 / OMC - 560

### Your Account Information

#### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out  
**BOND AMORTIZATION ELECTIONS**  
 Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

#### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

- Electronic Delivery
- Enrollment  Communication
  - Statements and Reports
  - Trade Confirmations
  - Tax Documents
  - Notifications
  - Prospectus
  - Proxy/Shareholder Communications

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

### Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.4545	0.6875

Exchange rates are based on interbank exchange rates as of 06/30/2022. Exchange rates can vary.

### Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	76.23	110.88
<b>Total Global Cash Balance</b>		<b>110.88</b>

### Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income	9.60	0.00	19.10	0.00
Equities	9.60	0.00	19.10	0.00
<b>Total Income</b>				

Account Number: DMIC-741660  
 #006004550006-30



Rated Excellent  
 Every Year Since 2007  
 DALLAS RATED COMMUNICATIONS  
 EXCELLENCE

Clearing through Pershing LLC, a wholly-owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)  
 Pershing LLC, member FINRA, NYSE, SIPC



Commonwealth Securities Ltd  
 Locked Bay 22  
 Australia Square NSW 1215  
 Tel: 1300 363 370  
 ABN 60 907 254 399 AFSL 338814

**CommSec**

April 1, 2022 - June 30, 2022  
 MUMTAZ SMSF  
 Base Currency: AUSTRALIAN DOLLAR (AUD)

**Portfolio Holdings**

**U.S. DOLLARS**

Description	Quantity	Opening Balance	Closing Balance-Currency	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS 9.00% of Portfolio</b>					
Cash Balance		70.37	76.23 USD		
		93.72	110.88 AUD		
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>					
		70.37	76.23 USD	0.00	
		93.72	110.88 AUD	0.00	
<b>EQUITIES 91.00% of Portfolio</b>					
Common Stocks					
FORD MTR CO DEL COM PAR \$0.0	68.0000	11.1300	767.97 USD		
Security Identifier: F			1,117.05 AUD		
CUSIP: 345370860				27.60	3.59%
				40.15	
<b>TOTAL COMMON STOCKS</b>					
			767.97 USD		
			1,117.05 AUD		
<b>TOTAL EQUITIES</b>					
			767.97 USD		
			1,117.05 AUD		
<b>TOTAL U.S. DOLLARS</b>					
			844.20 USD	0.00	27.60
			1,227.93 AUD	0.00	40.15
<b>Total Portfolio Holdings - AUSTRALIAN DOLLAR</b>					
			Market Value	Accrued Interest	Estimated Annual Income
			1,227.93	0.00	40.15

**Portfolio Holdings Disclosures**

**Pricing**  
 This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by



## Portfolio Holdings Disclosures (continued)

Pricing (continued)  
 a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.  
 THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Dividends and Interest	9.60	0.00	9.60	19.10	0.00	19.10
Taxes Withheld	0.00	-1.44	-1.44	0.00	-2.87	-2.87

Account Number: DMIC-741650  
 A00501425F005-3D

**Go paperless**  
 ASK ABOUT E-DELIVERY



Rated Excellent  
 Every Year Since 2007  
**DALBAR RATED COMMUNICATIONS**  
 EXCELLENCE

Clearing through Pershing LLC, a wholly owned  
 subsidiary of The Bank of New York Mellon  
 Corporation (BNY Mellon)  
 Pershing LLC, member FINRA, NYSE, SIPC



CommSec

Commonwealth Securities Ltd  
Locked Bay 27  
Australia Square NSW 1215  
Tel: 1300 361 170  
ABN 60 067 254 999 AFSL 236814

April 1, 2022 - June 30, 2022  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

### Activity Summary (continued)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Totals	9.60	-1.44	8.16	19.10	-2.87	16.23

### Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
06/01/22	CASH DIVIDEND RECEIVED	69 SHRS FORD MTR CO DEL COM PAR \$0.01 RD 04/26 PD 06/01/22				6.90	USD
06/01/22	NON-RESIDENT ALIEN TAX	MRA TAX WITHHELD ON 69 SHRS FORD MTR CO DEL COM PAR \$0.01 RD 04/26 PD 06/01/22				9.60	AUD
						-1.04	USD
						-1.44	AUD

Total Value of Transactions  
Total AUD Equivalent

5.86 USD  
8.16 AUD

The price and quantity displayed may have been rounded.

### Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly. Balances in Federal Deposit Insurance Corporation (FDIC)-insured bank deposit sweep products are not protected by Securities Investor Protection Corporation (SIPC).

### PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION

#### BEST EXECUTION

Pershing selects certain market centers for routing non-directed orders that provide automated execution of substantially all electronically transmitted orders in OTC and exchange-listed equity securities. In the case of U.S. listed options Pershing selects certain wholesale brokers for routing non directed orders that provide access to option exchange price improvement auctions. The designated market centers and wholesale brokers to which orders are routed are selected based on the following:

- The consistent high quality of their executions in one or more market segments
- Their ability to provide opportunities for executions at prices superior to the national best bid or offer (NBBO)



## Messages (continued)

- Service, technology reliability, accessibility speed of execution
- Cost and counterparty credit worthiness

Pershing regularly reviews reports for execution quality and other factors.

### STOP ORDER ELECTION/TRIGGER

Equity odd-lot sales count toward consolidated and participant exchange volumes, but do not update the last-sale, open, close, high, or low price. Since odd-lot executions are not last-sale eligible, they will not trigger non-directed stop, stop-limit or trailing-stop orders routed to Pershing for execution.

### PERSHING'S IMPARTIAL LOTTERY PROCESS: PARTIAL CALLS

Information about Pershing's impartial lottery process can be found at [pershing.com/disclosures](http://pershing.com/disclosures). A printed copy of this information is available by calling (888) 367-2563, option 3 then option 5.

When a security is subject to a partial redemption, Pershing has procedures to treat you fairly. When an issuer initiates a partial call of securities, the depository holding such securities (typically the Depository Trust and Clearing Corporation, or DTCC) conducts an impartial, computerized lottery using an incremental random number technique to determine the allocation of called securities to participants for which it holds securities on deposit (including Pershing). Because DTCC's lottery is random and impartial, participants may or may not receive an allocation of securities selected for redemption.

When Pershing is notified that it received an allocation of called securities, Pershing conducts a similar, computer-generated random lottery. The lottery determines the accounts that will be selected and the number of securities in the account that will be redeemed. Allocations are based on the number of trading units held in the account. The probability of any trading unit held by an account being selected as called in a partial call is proportional to the total number of trading units held through Pershing.

Once the lottery is complete, Pershing notifies your firm which accounts have received an allocation. Securities registered in the client's name, either in transit or held in custody, are excluded from the Pershing lottery process.

Pershing initiates the lottery process by identifying the accounts holding the called security, the total par value of the called securities held, and the trading unit of the security. For example (unit of trade = \$25,000):

<u>Client Account</u>	<u>Par Value</u>	<u>Number of Trading Units</u>
ABC-123234	\$100,000	4
DEF-325465	\$75,000	3
EDR-567433	\$150,000	6
EGT-876574	\$50,000	2
EGT-888945	\$25,000	1
FRT-435234	\$25,000	1
FRT-658797	\$75,000	3

Account Number: **DMC-741550**  
A08881425F005-3D

**Go paperless**  
ASK ABOUT E-DELIVERY



Rated Excellent  
Every Year Since 2007  
DALLAS-BASED COMMUNICATIONS  
EXCELLENCE

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)  
Pershing LLC, member FINRA, NYSE, SIPC



April 1, 2022 - June 30, 2022  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

### Messages (continued)

In brief, the allocation process involves the following steps:

- The number of trading units held in each account is identified.
- A sequential number is assigned to each trading unit (e.g., account EDR-567433 would be assigned six numbers).
- A random number is generated that will result in one of these trading units being the first unit in the selection process.
- Thereafter, the trading units participating in the allocation are based on an incremental random number technique until the number of trading units allocated to Pershing is exhausted.

### Additional Information

- The allocation of called securities is not made on a pro-rata basis. Therefore, it is possible that a client may receive a full or partial redemption of shares held. Conversely, it is also possible that a client may not have any securities selected for redemption.
- When a partial call is deemed favorable to the holders of the called security, Pershing will exclude certain accounts from the lottery. Excluded accounts will include Pershing's proprietary and employee accounts, as well as proprietary and employee accounts of your firm (if Pershing carries and clears those accounts). No allocation will be made to these proprietary and employee accounts until all other client positions at Pershing in such securities have been called. When a partial call is deemed unfavorable to holders of the called security, Pershing will not exclude any accounts from the lottery.
- If the partial call is made at a price above the current market price as captured in Pershing's price reporting system, Pershing will generally categorize the partial call as one that is favorable to the holders of such security. If the partial call is made at a price that is equal to or below the current market price of the security as captured in Pershing's price reporting system, Pershing will generally categorize that call as one that is unfavorable to holders of the security.
- Clients have the right to withdraw uncalled, fully paid securities from Pershing at any time prior to the cut-off date and time established by the issuer, transfer agent and/or depository with respect to the partial call. Clients also have the right to withdraw excess margin securities, provided that the client account is not subject to restriction under Regulation T or that such withdrawal will not cause an under-margined condition.
- Impartial lottery is conducted based on settled positions as of the close of business the day prior to the publication date.

A copy of the full annual disclosure, including information on revenue sharing, can be found on our website at [pershing.com/disclosures/annual.pdf](http://pershing.com/disclosures/annual.pdf)

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: [www.afca.org.au](http://www.afca.org.au) or by telephone on 1800 931 678 (free call).

## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents, the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07309; (201) 413-3330. Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07309; (201) 413-3330.

Account Number: OMC-741550  
4003934357006130

Go paperless  
ASK ABOUT E-DELIVERY



Rated Excellent  
Eight Year SIPC 2007  
DIALBAR RATED COMMUNICATIONS  
EXCELLENCE

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT, IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH; DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT [WWW.PERSHING.COM](http://WWW.PERSHING.COM).
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: [WWW.PERSHING.COM/DISCLOSURES](http://WWW.PERSHING.COM/DISCLOSURES).
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

### PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

Page 9 of 9

Account Number: **DMC-741580**  
#00881435-0005-00

**Go paperless**  
ASK ABOUT E-DELIVERY



Rated Excellent  
Every Year Since 2007  
DALLAS RATED COMMUNICATIONS  
**EXCELLENCE**

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)  
Pershing LLC, member FINRA, NYSE, SIPC



# Commonwealth Bank of Australia

ABN 48 123 123 124

Commonwealth Bank of Australia  
C/- Link Market Services Limited  
Locked Bag A14, Sydney South NSW 1235  
Telephone: +61 1800 022 440  
ASX Code: CBA  
Email: cba@linkmarketservices.com.au  
Website: www.linkmarketservices.com.au

62550 /CBA



051 007722

IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## Payment Advice

Payment date: 02 December 2021  
Reference number: X\*\*\*\*\*3591  
TFN/ABN NOT RECEIVED OR RECORDED

## Dividend Reinvestment Plan (DRP) Payment of residual cash balance

Dear Shareholder,

Our records indicate that participation in the DRP by the above holding has terminated. Participation in the DRP terminates upon:

- your voluntary withdrawal from the DRP;
- the disposal of participating shares; or
- registration of an address for your holding in a country where holders are ineligible to participate in the DRP.

In accordance with the CBA DRP Rules, your residual cash balance from your plan account is being paid to you.

Generally, if you are an Australian tax resident this payment should not be assessable for Australian income tax purposes and you should not need to disclose this amount in your Australian Tax Return as you should have previously included this amount as your assessable dividend income. Taxation implications may vary according to your own circumstances. If you have any questions, you should seek your own independent tax advice.

**Cash residual amount: \$93.50**

## BANKING INSTRUCTIONS

COMMONWEALTH BANK OF AUSTRALIA  
SHOPPING CENTRE 20 CHALGROVE A  
ROCKINGHAM WA 6168  
BSB: 066-159 ACC: \*\*\*\*2072  
ACCOUNT NAME: IMAAN & KHADIJAH PTY LTD <MUMTAZ>  
DIRECT CREDIT REFERENCE NO.: 001269583767



# CHAMPION BAY SETTLEMENTS

Settlement anywhere in WA

Licensed Real Estate Settlement Agents Licensee: Rosvin Pty Ltd ACN 009 257 710 ABN 95 408 733 153

77200/047

19 November 2021

Our ref: 2021/0395 EW

## FINAL STATEMENT

**PURCHASE OF UNIT 47, 98 MANDURAH TERRACE, MANDURAH  
IMAAAN & KHADIJAH PTY LTD**

	Debit	Credit
Purchase Price	140,000.00	
Deposit Paid		1,000.00 ✓
Transfer Duty on the Contract (Residential)	2,850.00	
Costs & Disbursements (see attached Tax Invoice)	2,351.46	
Contribution paid by you prior to settlement		145,213.29
Funds received for Disbursements		550.00 ✓
Rent Adjustment- Paid to 5/12/2021 16 days due to buyer		548.57
<b>ADJUSTMENT OF RATES/TAXES AS AT 19-11-2021</b>		
Water Corp 01-11-2021 to 31-12-2021 Rates \$143.71 Your share 42/ 61 days \$98.95 Balance due	98.95	
City Of Mandurah 2021/2022 Rates \$1,522.00 Your share 223 days \$929.88 Balance due	929.88	
Land Tax 2021/2022 Nil Adjustment		
Strata Levy from 01-10-2021 to 31-12-2021 \$532.78 Your share 42/ 92 Days \$243.23 Balance due	243.23	
<b>Amount paid to you after settlement</b>	<b>838.34</b>	
<b>Nil Balance Due by you</b>		
E & O E	\$147,311.86	\$147,311.86

Celebrating over 37 years in business



Ph: (08) 9921 6077  
Fax: (08) 9921 6189  
Email: [office@championbaysettlements.com.au](mailto:office@championbaysettlements.com.au)  
web: [www.championbaysettlements.com.au](http://www.championbaysettlements.com.au)

Post Office Plaza  
Suite 1, 52 Durlacher St, Geraldton WA 6530  
Post: PO BOX 51  
Geraldton WA 6531



77200/1047

WESTERN



AUSTRALIA

REGISTER NUMBER <b>47/SP17133</b>	
DUPLICATE EDITION <b>4</b>	DATE DUPLICATE ISSUED <b>17/8/2013</b>

**RECORD OF CERTIFICATE OF TITLE**  
 UNDER THE TRANSFER OF LAND ACT 1893 AND THE  
 STRATA TITLES ACT OF 1985

VOLUME 1829 FOLIO 547

The person described in the first schedule is the registered proprietor of an estate in fee simple in the land described below subject to the reservations, conditions and depth limit contained in the original grant (if a grant issued) and to the limitations, interests, encumbrances and notifications shown in the second schedule.

*BGRoberts*  
 REGISTRAR OF TITLES



**LAND DESCRIPTION:**

LOT 47 ON STRATA PLAN 17133  
 TOGETHER WITH A SHARE IN COMMON PROPERTY (IF ANY) AS SET OUT ON THE STRATA PLAN

**REGISTERED PROPRIETOR:**  
 (FIRST SCHEDULE)

IMAAAN & KHADIJAH PTY LTD OF 99 SAFETY BAY ROAD SHOALWATER WA 6169  
 (T 0948340 ) REGISTERED 19/11/2021

**LIMITATIONS, INTERESTS, ENCUMBRANCES AND NOTIFICATIONS:**  
 (SECOND SCHEDULE)

- INTERESTS NOTIFIED ON THE STRATA PLAN AND ANY AMENDMENTS TO LOTS OR COMMON PROPERTY NOTIFIED THEREON BY VIRTUE OF THE PROVISIONS OF THE STRATA TITLES ACT OF 1985 AS AMENDED.

Warning: A current search of the sketch of the land should be obtained where detail of position, dimensions or area of the lot is required.  
 \* Any entries preceded by an asterisk may not appear on the current edition of the duplicate certificate of title.

-----END OF CERTIFICATE OF TITLE-----

**STATEMENTS:**

The statements set out below are not intended to be nor should they be relied on as substitutes for inspection of the land and the relevant documents or for local government, legal, surveying or other professional advice.

SKETCH OF LAND: SP17133  
 PREVIOUS TITLE: SP17133  
 PROPERTY STREET ADDRESS: UNIT 47, FLOOR 1 98 MANDURAH TCE, MANDURAH.  
 LOCAL GOVERNMENT AUTHORITY: CITY OF MANDURAH

NOTE 1: DUPLICATE CERTIFICATE OF TITLE NOT ISSUED AS REQUESTED BY DEALING M395873



# Portfolio Valuation

30 JUNE 2022



77600 |

SHARES - 3771280 - HIN 96033591

IMAAAN & KHADIJAH PTY LTD <MUMTAZ SMSF A/C>

Portfolio	Units	Unit Price	Portfolio Value	% of Portfolio
ANZ - ANZ BANKING GRP LTD FPO (ORDINARY FULLY PAID)	40 ✓	\$22.0300	\$881.20	3.24%
BHP - BHP GROUP LIMITED FPO (ORDINARY FULLY PAID)	258 ✓	\$41.2500	\$10,642.50	39.15%
CBA - COMMONWEALTH BANK. FPO (ORDINARY FULLY PAID)	60 ✓	\$90.3800	\$5,422.80	19.95%
FMG - FORTESCUE METALS GRP FPO (ORDINARY FULLY PAID)	90 ✓	\$17.5300	\$1,577.70	5.80%
LGP - LITTLE GREEN PHARMA FPO (ORDINARY FULLY PAID)	650 ✓	\$0.2250	\$146.25	0.54%
RIO - RIO TINTO LIMITED FPO (ORDINARY FULLY PAID)	60 ✓	\$102.7000	\$6,162.00	22.67%
WBC - WESTPAC BANKING CORP FPO (ORDINARY FULLY PAID)	51 ✓	\$19.5000	\$994.50	3.66%
WDS - WOODSIDE ENERGY FPO (ORDINARY FULLY PAID)	42 ✓	\$31.8400	\$1,337.28	4.92%
		<b>Sub Total</b>	<b>\$27,164.23</b>	<b>99.94%</b>

Cash Accounts	Portfolio Value	% of Portfolio	
CDIA - 06615911132072	\$16.42	0.06%	
	<b>TOTAL</b>	<b>\$27,180.65</b>	<b>100.00%</b>

# Portfolio Valuation

30 JUNE 2022



77600 | —

This statement only provides information for CDIA accounts that have been designated as the settlement account for your Commonwealth Securities Limited Share Trading account as at 30 June. Please refer to NetBank for interest income from all other CBA accounts.

The interest shown is net of any non-resident or TFN withholding tax (if applicable). Please refer to Netbank for bank fees paid on your designated CDIA settlement account in the financial year.

The total brokerage outlined does not include any rebates you may have received over the financial year. Refer to your transaction statement records for this information.

This report only includes an estimate of dividends paid for holdings held with Commonwealth Securities Limited as at the ex-dividend date. For instance, any dividends paid prior to holdings being transferred to Commonwealth Securities Limited are not included.

This statement is an estimated summary document only and it is not intended to replace any document which contains information that may be required for taxation purposes. You should therefore refer to your CHESS statements, dividend statements, confirmation contract notes and other investment or bank account statements for your records in this regard. This statement may not include information on accounts which have been closed, switched product type, transferred Holder Identification Number (HIN), or switched Participant Identification Number (PID) during the financial year.

This statement is issued by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814, a market participant of ASX and Cboe Australia Pty Ltd (formerly Chi-X Australia Pty Limited), a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited. Commonwealth Securities Limited is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945 ("CBA"). Information contained in this statement is believed to be accurate at the time the statement is generated. CBA and its subsidiaries do not accept any liability for any errors or omissions contained in this statement, or any responsibility for any action taken in reliance on this statement. This document contains general information only and does not take into account your individual objectives, financial and taxation situations or needs. Before acting on the information, consider the appropriateness of your own objectives, needs, financial and taxation situation and, if necessary, seek appropriate independent financial and taxation advice. CBA is not a registered tax (financial) adviser under the Tax Agent Services Act 2009. If there are any errors in this statement, please contact us.

Address: Commonwealth Securities Limited, Locked Bag 22, Australia Square NSW 1215 | Phone: 13 15 19 | Website: [www.commsec.com.au](http://www.commsec.com.au)

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. We have established procedures to ensure that all enquiries and complaints are properly dealt with. Please refer to our Financial Services Guide for more information. If an issue has not been resolved to your satisfaction, you can lodge a complaint with the Australian Financial Complaints Authority. AFCA provides fair and independent financial services complaint resolution that is free to consumers. Website: [www.afca.org.au](http://www.afca.org.au), Telephone: 1800 931 678 (free call), Email: [info@afca.org.au](mailto:info@afca.org.au), In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne VIC 3001.



# Financial Year Summary

1 JULY 2021 - 30 JUNE 2022

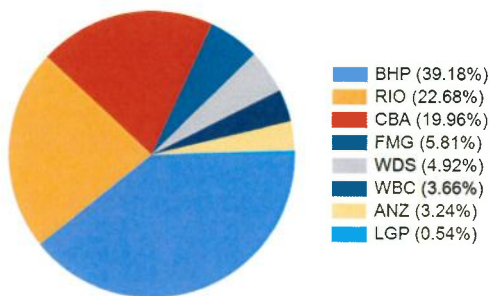


77600/

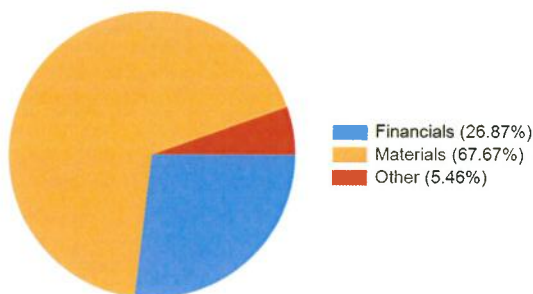
Portfolio Valuation	Account Number	Account Name	Value as at 30 June 2022
Shares	3771280	IMAAN & KHADIJAH PTY LTD <MUMTAZ SMSF A/C>	\$27,164.23
CDIA	11132072	IMAAN & KHADIJAH PTY LTD <MUMTAZ SMSF A/C>	\$16.42
<b>TOTAL PORTFOLIO VALUE</b>			<b>\$27,180.65</b>

## Allocation as at 30 June 2022

### Shares in your portfolio



### Sectors in your portfolio



## Estimated Interest & Dividends

## 2021-2022 Financial Year

Est. Franked Dividends	\$3,631.51
Est. Unfranked Dividends	\$21.60
Est. Franking Credits	\$1,556.35
Est. Interest Received from Interest Rate Securities	\$0.00
Interest Received from Cash Account(s)	\$3.51
<b>TOTAL INCOME</b>	<b>\$3,656.62</b>

## Fees & Charges

## 2021-2022 Financial Year

Total Brokerage (inc. GST)	\$728.45
Total Subscriptions (inc. GST)	\$0.00
Other Fees (inc. GST)	\$0.00
<b>TOTAL FEES &amp; CHARGES</b>	<b>\$728.45</b>

# Financial Year Summary

1 JULY 2021 - 30 JUNE 2022



776001

This statement only provides information for CDIA accounts that have been designated as the settlement account for your Commonwealth Securities Limited Share Trading account as at 30 June. Please refer to NetBank for interest income from all other CBA accounts.

The interest shown is net of any non-resident or TFN withholding tax (if applicable). Please refer to Netbank for bank fees paid on your designated CDIA settlement account in the financial year.

The total brokerage outlined does not include any rebates you may have received over the financial year. Refer to your transaction statement records for this information.

This report only includes an estimate of dividends paid for holdings held with Commonwealth Securities Limited as at the ex-dividend date. For instance, any dividends paid prior to holdings being transferred to Commonwealth Securities Limited are not included.

This statement is an estimated summary document only and it is not intended to replace any document which contains information that may be required for taxation purposes. You should therefore refer to your CHESSE statements, dividend statements, confirmation contract notes and other investment or bank account statements for your records in this regard. This statement may not include information on accounts which have been closed, switched product type, transferred Holder Identification Number (HIN), or switched Participant Identification Number (PID) during the financial year.

This statement is issued by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814, a market participant of ASX and Cboe Australia Pty Ltd (formerly Chi-X Australia Pty Limited), a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited. Commonwealth Securities Limited is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945 ("CBA"). Information contained in this statement is believed to be accurate at the time the statement is generated. CBA and its subsidiaries do not accept any liability for any errors or omissions contained in this statement, or any responsibility for any action taken in reliance on this statement. This document contains general information only and does not take into account your individual objectives, financial and taxation situations or needs. Before acting on the information, consider the appropriateness of your own objectives, needs, financial and taxation situation and, if necessary, seek appropriate independent financial and taxation advice. CBA is not a registered tax (financial) adviser under the Tax Agent Services Act 2009. If there are any errors in this statement, please contact us.

Address: Commonwealth Securities Limited, Locked Bag 22, Australia Square NSW 1215 | Phone: 13 15 19 | Website: [www.commsec.com.au](http://www.commsec.com.au)

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. We have established procedures to ensure that all enquiries and complaints are properly dealt with. Please refer to our Financial Services Guide for more information. If an issue has not been resolved to your satisfaction, you can lodge a complaint with the Australian Financial Complaints Authority. AFCA provides fair and independent financial services complaint resolution that is free to consumers. Website: [www.afca.org.au](http://www.afca.org.au), Telephone: 1800 931 678 (free call), Email: [info@afca.org.au](mailto:info@afca.org.au), In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne VIC 3001.

# Transaction Summary

1 JULY 2021 - 30 JUNE 2022



## Total Buys and Sells 2021 - 2022 Financial Year

IMAAAN & KHADIJAH PTY LTD <MUMTAZ SMSF A/C>

Total Buys (inc. Brokerage + GST)	\$31,011.35
Total Sells (inc. Brokerage + GST)	\$116,688.22

SHARES - 3771280 - HIN 96033591

### A2M - THE A2 MILK COMPANY FPO NZ (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -300	\$7.0522	-\$2,115.67	\$19.95	\$1.81	125279745	-\$2,095.72
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$2,095.72</b>

### AMP - AMP LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -4,000	\$1.1550	-\$4,620.00	\$19.95	\$1.81	125280184	-\$4,600.05
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$4,600.05</b>

### ANZ - ANZ BANKING GRP LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
02-Feb-2022	Buy	(E) 40	\$26.9300	\$1,077.20	\$19.95	\$1.81	129485991	\$1,097.15
22-Oct-2021	Sell	(D) -410	\$28.1200	-\$11,529.20	\$29.95	\$2.72	125278953	-\$11,499.25
<b>Sub Total</b>					<b>\$49.90</b>	<b>\$4.53</b>		<b>-\$10,402.10</b>

### BCI - BCI MINERALS LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -1,800	\$5.0500	-\$909.00	\$10.00	\$0.91	125280555	-\$899.00
19-Jul-2021	Buy	(B) 1,800	\$5.6600	\$1,008.00	\$19.95	\$1.81	120770913	\$1,027.95
<b>Sub Total</b>					<b>\$29.95</b>	<b>\$2.72</b>		<b>\$128.95</b>

### BEN - BENDIGO AND ADELAIDE FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -1,027	\$9.3300	-\$9,581.91	\$19.95	\$1.81	125280818	-\$9,561.96
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$9,561.96</b>

### BHP - BHP GROUP LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Jun-2022	Buy	(G) 25	\$40.9200	\$1,023.00	\$19.95	\$1.81	134616472	\$1,042.95
12-May-2022	Buy	30	\$45.6700	\$1,370.10	\$19.95	\$1.81	133244869	\$1,390.05
27-Apr-2022	Buy	45	\$46.2200	\$2,079.90	\$19.95	\$1.81	132635646	\$2,099.85
09-Mar-2022	Buy	(P) 21	\$48.6650	\$1,021.97	\$19.95	\$1.81	130879225	\$1,041.92

# Transaction Summary

1 JULY 2021 - 30 JUNE 2022

77600/



CommSec

## BHP - BHP GROUP LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
16-Feb-2022	Buy ✓	17 ✓	\$47.2200	\$802.74	\$10.00	\$0.91	130003583	\$812.74 ✓
15-Nov-2021	Buy	40 ✓	\$37.4100	\$1,496.40	\$19.95	\$1.81	126393024	\$1,516.35 ✓
08-Nov-2021	Buy	(C) 80 ✓	\$36.5200	\$2,921.60	\$19.95	\$1.81	126030611	\$2,941.55 ✓
22-Oct-2021	Sell	(D) -325	\$37.3600	-\$12,142.00	\$29.95 ✓	\$2.72	125281134	-\$12,112.05 (D) ✓
13-Oct-2021	Buy	(A) 25 ✓	\$38.0200	\$950.50	\$10.00	\$0.91	124870478	\$960.50 ✓
19-Jul-2021	Buy	(B) 23	\$50.5100	\$1,161.73	\$19.95	\$1.81	120771353	\$1,181.68 ✓
<b>Sub Total</b>					<b>\$189.60</b>	<b>\$17.21</b>		<b>\$875.54</b>

## BOQ - BANK OF QUEENSLAND FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -120	\$9.1200	-\$1,094.40	\$19.95 ✓	\$1.81	125281620	-\$1,074.45 (D) ✓
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$1,074.45</b>

## BWP - BWP TRUST ORD UNITS (ORDINARY UNITS FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -235	\$4.2700	-\$1,003.45	\$19.95 ✓	\$1.81	125281839	-\$983.50 (D) ✓
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$983.50</b>

## CBA - COMMONWEALTH BANK FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
20-Jun-2022	Buy	15 ✓	\$88.7500	\$1,331.25	\$19.95 ✓	\$1.81	134513859	\$1,351.20 ✓
09-Mar-2022	Buy	(F) 25	\$97.6600	\$2,441.50	\$19.95 ✓	\$1.81	130878819	\$2,461.45 (F) ✓
02-Feb-2022	Buy	(E) 20	\$94.8100	\$1,896.20	\$19.95 ✓	\$1.81	129485567	\$1,916.15 (E) ✓
22-Oct-2021	Sell	(D) -145	\$104.2000	-\$15,109.00	\$29.95 ✓	\$2.72	125282066	-\$15,079.05 (D) ✓
16-Aug-2021	Buy	✓ 21	\$102.6700	\$2,156.07	\$19.95 ✓	\$1.81	121947517	\$2,176.02 ✓
<b>Sub Total</b>					<b>\$109.75</b>	<b>\$9.96</b>		<b>-\$7,174.23</b>

## FMG - FORTESCUE METALS GRP FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
08-Nov-2021	Buy	(C) 90 ✓	\$14.2100	\$1,278.90	\$19.95	\$1.81	126031255	\$1,298.85 ✓
22-Oct-2021	Sell	(D) -39	\$14.0400	-\$547.56	\$10.00 ✓	\$0.91	125282270	-\$537.56 (D) ✓
<b>Sub Total</b>					<b>\$29.95</b>	<b>\$2.72</b>		<b>\$761.29</b>

## JHX - JAMES HARDIE INDUST CDI 1:1 (CHESS DEPOSITARY INTERESTS 1:1)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -100	\$52.5158	-\$5,251.58	\$19.95 ✓	\$1.81	125282607	-\$5,231.63 (D) ✓
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$5,231.63</b>

# Transaction Summary

1 JULY 2021 - 30 JUNE 2022

77600/  CommSec

## NAB - NATIONAL AUST. BANK FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -409	\$28.7162	-\$11,744.93	\$29.95 ✓	\$2.72	125282850	-\$11,714.98 (D)
<b>Sub Total</b>					<b>\$29.95</b>	<b>\$2.72</b>		<b>-\$11,714.98</b>

## QAN - QANTAS AIRWAYS FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -800	\$5.8300	-\$4,664.00	\$19.95 ✓	\$1.81	125283117	-\$4,644.05 (D)
<b>Sub Total</b>					<b>\$19.95</b>	<b>\$1.81</b>		<b>-\$4,644.05</b>

## RIO - RIO TINTO LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Jun-2022	Buy	(G) 15	\$104.8050	\$1,572.08	\$19.95	\$1.81	134616406	\$1,592.03
08-Nov-2021	Buy	(C) 45 ✓	\$89.6013	\$4,032.06	\$19.95 ✓	\$1.81	126030250	\$4,052.01 ✓
22-Oct-2021	Sell	(D) -112	\$93.9367	-\$10,520.91	\$29.95 ✓	\$2.72	125283339	-\$10,490.96 (D)
<b>Sub Total</b>					<b>\$69.85</b>	<b>\$6.34</b>		<b>-\$4,846.92</b>

## WBC - WESTPAC BANKING CORP FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
02-Feb-2022	Buy	(E) 50	\$20.6200	\$1,031.00	\$19.95 ✓	\$1.81	129485890	\$1,050.95 (E)
22-Oct-2021	Sell	(D) -500	\$25.6612	-\$12,830.61	\$29.95 ✓	\$2.72	125283473	-\$12,800.66 (D)
<b>Sub Total</b>					<b>\$49.90</b>	<b>\$4.53</b>		<b>-\$11,749.71</b>

## WES - WESFARMERS LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
22-Oct-2021	Sell	(D) -237	\$56.5118	-\$13,393.30	\$29.95 ✓	\$2.72	125283678	-\$13,363.35 (D)
<b>Sub Total</b>					<b>\$29.95</b>	<b>\$2.72</b>		<b>-\$13,363.35</b>

# Transaction Summary

1 JULY 2021 - 30 JUNE 2022



The transaction summary is only able to display information available to Commonwealth Securities Limited. Certain transactions may not be displayed, including but not limited to transactions made off market such as Initial Public Offerings (IPOs) and Delivery vs Payment Settlements (DvP). Transactions regarding corporate actions or stock transfers are not included and can be found on your statements as issued by the company or the share registry. Transactions for Issuer Sponsored holdings placed outside of this account are not included in this summary. Links to some of the key share registries can be found below:

Computershare (<http://www.computershare.com/au/Pages/default.aspx>)  
Link Market Services (<https://investorcentre.linkmarketservices.com.au/Login.aspx/Login>)  
Boardroom Limited (<https://boardroomlimited.com.au>)  
Security Transfer Registrars (<https://www.securitytransfer.com.au>)  
Advanced Share Registry Services (<http://www.advancedshare.com.au/Home.aspx>)

The total brokerage outlined does not include any rebates you may have received over the financial year. Refer to your transaction statement records for this information.

This report only includes an estimate of dividends paid for holdings held with Commonwealth Securities Limited as at the ex-dividend date. For instance, any dividends paid prior to holdings being transferred to Commonwealth Securities Limited are not included.

This statement is an estimated summary document only and it is not intended to replace any document which contains information that may be required for taxation purposes. You should therefore refer to your CHESSE statements, dividend statements, confirmation contract notes and other investment or bank account statements for your records in this regard. This statement may not include information on accounts which have been closed, switched product type, transferred Holder Identification Number (HIN), or switched Participant Identification Number (PID) during the financial year.

This statement is issued by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814, a market participant of ASX and Cboe Australia Pty Ltd (formerly Chi-X Australia Pty Limited), a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited. Commonwealth Securities Limited is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945 ("CBA"). Information contained in this statement is believed to be accurate at the time the statement is generated. CBA and its subsidiaries do not accept any liability for any errors or omissions contained in this statement, or any responsibility for any action taken in reliance on this statement. This document contains general information only and does not take into account your individual objectives, financial and taxation situations or needs. Before acting on the information, consider the appropriateness of your own objectives, needs, financial and taxation situation and, if necessary, seek appropriate independent financial and taxation advice. CBA is not a registered tax (financial) adviser under the Tax Agent Services Act 2009. If there are any errors in this statement, please contact us.

Address: Commonwealth Securities Limited, Locked Bag 22, Australia Square NSW 1215 | Phone: 13 15 19 | Website: [www.commsec.com.au](http://www.commsec.com.au)

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. We have established procedures to ensure that all enquiries and complaints are properly dealt with. Please refer to our Financial Services Guide for more information. If an issue has not been resolved to your satisfaction, you can lodge a complaint with the Australian Financial Complaints Authority. AFCA provides fair and independent financial services complaint resolution that is free to consumers. Website: [www.afca.org.au](http://www.afca.org.au), Telephone: 1800 931 678 (free call), Email: [info@afca.org.au](mailto:info@afca.org.au), In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne VIC 3001.



Australia and New Zealand Banking Group Limited  
 ABN: 11 005 357 522  
 Place of Incorporation/Registration: Victoria



397716 01402  
 IMAAN & KHADIJAH PTY LTD  
 <MUMTAZ SMSF A/C>  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169

## CHESS HOLDING STATEMENT

For statement enquiries contact  
 your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
 LIMITED**

LOCKED BAG 22  
 AUSTRALIA SQUARE  
 SYDNEY, NSW 1215

☎ 13 15 19

Holder ID Number (HIN):	0096033591
CHESS Sponsor's ID (PID):	01402
Statement Period:	July 2021
Page:	1 of 1

### ANZ - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 27 Nov 20</b>					
01 Jul 21	Allotment of Securities due to Dividend Reinvestment Plan	5887200580779900		10		410

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



ASX

Issued By:

#### Share Registry Details:

COMPUTERSHARE INVESTOR SERVICES PTY LTD  
 GPO BOX 2975  
 MELBOURNE VIC 3001  
 Ph: 1300 307 613

397716 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169**CHESS HOLDING STATEMENT**For statement enquiries contact  
your CHESS Sponsor:**COMMONWEALTH SECURITIES  
LIMITED**LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19

**Holder ID Number (HIN): 0096033591**

CHESS Sponsor's ID (PID): 01402

Statement Period: July 2021

Page: 1 of 1

**BCI - ORDINARY FULLY PAID**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
21 Jul 21	Movement of Securities due to Purchase, Sale or Transfer	0140222406133500		1800		1800

**FOR YOUR INFORMATION**

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

**Refer overleaf for additional important information****Share Registry Details:**COMPUTERSHARE INVESTOR SERVICES PTY LTD  
GPO BOX D182  
PERTH WA 6840  
Ph: 1300 557 010**ASX**

Issued By:





**Bendigo and  
Adelaide Bank**

Bendigo and Adelaide Bank Limited  
ABN: 11 068 049 178  
Place of Incorporation/Registration: Victoria

**CHESSE HOLDING STATEMENT**

For statement enquiries contact  
your CHESSE Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19



355858 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

Holder ID Number (HIN): 0096033591

CHESSE Sponsor's ID (PID): 01402

Statement Period: September 2021

Page: 1 of 1

**BEN - ORDINARY FULLY PAID**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 30 Nov 20</b>					1000
30 Sep 21	Allotment of Securities due to Dividend Reinvestment Plan	6306401046321700		27		1027

**FOR YOUR INFORMATION**

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESSE Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESSE Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESSE Holdings to third parties.

*Refer overleaf for additional important information*



**ASX**

Issued By:

**Share Registry Details:**

BENDIGO AND ADELAIDE BANK LIMITED  
PO BOX 480  
BENDIGO VIC 3552  
Ph: 1800 646 042

# BHP

BHP Group Limited  
ABN: 49 004 028 077  
Place of Incorporation: VIC



397716 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## CHESS HOLDING STATEMENT

For statement enquiries contact  
your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19

Holder ID Number (HIN): 0096033591

CHESS Sponsor's ID (PID): 01402

Statement Period: July 2021

Page: 1 of 1

### BHP - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 01 Apr 21</b>					257
21 Jul 21	Movement of Securities due to Purchase, Sale or Transfer	0140222406208600		23		280

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



ASX

Issued By:

#### Share Registry Details:

COMPUTERSHARE INVESTOR SERVICES PTY LTD  
BHP BILLITON LIMITED  
GPO BOX 2975  
MELBOURNE VIC 3001  
Ph: 1300 656780

# BHP

BHP Group Limited  
ABN: 49 004 028 077  
Place of Incorporation: VIC



355858 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## CHESS HOLDING STATEMENT

For statement enquiries contact  
your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19

Holder ID Number (HIN): 0096033591

CHESS Sponsor's ID (PID): 01402

Statement Period: September 2021

Page: 1 of 1

### BHP - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 21 Jul 21</b>					280
30 Sep 21	Allotment of Securities due to Dividend Reinvestment Plan	5985800191980600		20		300

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



ASX

Issued By:

#### Share Registry Details:

COMPUTERSHARE INVESTOR SERVICES PTY LTD  
BHP BILLITON LIMITED  
GPO BOX 2975  
MELBOURNE VIC 3001  
Ph: 1300 656780

# BHP

BHP Group Limited  
ABN: 49 004 028 077  
Place of Incorporation: VIC



355050 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## CHESS HOLDING STATEMENT

For statement enquiries contact  
your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19

**Holder ID Number (HIN):** 0096033591

**CHESS Sponsor's ID (PID):** 01402

**Statement Period:** March 2022

**Page:** 1 of 1

### BHP - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 18 Feb 22</b>					137
11 Mar 22	Movement of Securities due to Purchase, Sale or Transfer	0140223627268100		21		158

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



ASX

Issued By:

#### Share Registry Details:

COMPUTERSHARE INVESTOR SERVICES PTY LTD  
BHP BILLITON LIMITED  
GPO BOX 2975  
MELBOURNE VIC 3001  
Ph: 1300 656780



BHP Group Limited  
 ABN: 49 004 028 077  
 Place of Incorporation: VIC



353712 01402  
 IMAAN & KHADIJAH PTY LTD  
 <MUMTAZ SMSF A/C>  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169

## CHESS HOLDING STATEMENT

For statement enquiries contact  
 your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
 LIMITED**

LOCKED BAG 22  
 AUSTRALIA SQUARE  
 SYDNEY, NSW 1215

☎ 13 15 19

Holder ID Number (HIN): 0096033591

CHESS Sponsor's ID (PID): 01402

Statement Period: April 2022

Page: 1 of 1

### BHP - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 11 Mar 22</b>					158
29 Apr 22	Movement of Securities due to Purchase, Sale or Transfer	0140223837935800		45		203

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depositary Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depositary Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



ASX

Issued By:

#### Share Registry Details:

COMPUTERSHARE INVESTOR SERVICES PTY LTD  
 BHP BILLITON LIMITED  
 GPO BOX 2975  
 MELBOURNE VIC 3001  
 Ph: 1300 656780



**CHESS HOLDING STATEMENT**

For statement enquiries contact  
your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19



355858 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

**Holder ID Number (HIN):** 0096033591

CHESS Sponsor's ID (PID): 01402

Statement Period: September 2021

Page: 1 of 1

**CBA - ORDINARY FULLY PAID**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 18 Aug 21</b>					143
29 Sep 21	Allotment of Securities due to Dividend Reinvestment Plan	5709005738392800		2		145

**FOR YOUR INFORMATION**

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



**ASX**

Issued By:

**Share Registry Details:**

LINK MARKET SERVICES LIMITED  
COMMONWEALTH BANK OF AUSTRALIA  
LOCKED BAG A14  
SYDNEY SOUTH NSW 1232  
Ph: 1800 022 440

**CHESS HOLDING STATEMENT**For statement enquiries contact  
your CHESS Sponsor:**COMMONWEALTH SECURITIES  
LIMITED**LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19



355050

01402

IMAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169**Holder ID Number (HIN):** 0096033591

CHESS Sponsor's ID (PID): 01402

Statement Period: March 2022

Page: 1 of 1

**CBA - ORDINARY FULLY PAID**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 04 Feb 22</b>					20
11 Mar 22	Movement of Securities due to Purchase, Sale or Transfer	0140223626596600		25		45

**FOR YOUR INFORMATION**

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information***ASX**

Issued By:

**Share Registry Details:**LINK MARKET SERVICES LIMITED  
COMMONWEALTH BANK OF AUSTRALIA  
LOCKED BAG A14  
SYDNEY SOUTH NSW 1232  
Ph: 1800 022 440

# Fortescue Metals Group Ltd

A.C.N. 002 594 872  
State of Incorporation/Registration: NSW



355858 01402  
IMAAAN & KHADIJAH PTY LTD  
<MUMTAZ SMSF A/C>  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169

## CHESSE HOLDING STATEMENT

For statement enquiries contact  
your CHESSE Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ 13 15 19

Holder ID Number (HIN): 0096033591

CHESSE Sponsor's ID (PID): 01402

Statement Period: September 2021

Page: 1 of 1

### FMG - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 13 Jan 21</b>					35
30 Sep 21	Allotment of Securities due to Dividend Reinvestment Plan	6176705746735000		4		39

### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESSE Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESSE Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESSE Holdings to third parties.

*Refer overleaf for additional important information*

### Share Registry Details:

LINK MARKET SERVICES LIMITED  
LOCKED BAG A14,  
SYDNEY SOUTH NSW 1235  
Ph: 02 82807111



ASX

Issued By:





National Australia Bank Limited  
 ABN 12 004 044 937

Place of Incorporation: VIC



397716 01402  
 IMAAN & KHADIJAH PTY LTD  
 <MUMTAZ SMSF A/C>  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169

## CHESS HOLDING STATEMENT

For statement enquiries contact  
 your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
 LIMITED**

LOCKED BAG 22  
 AUSTRALIA SQUARE  
 SYDNEY, NSW 1215

☎ 13 15 19

Holder ID Number (HIN):	0096033591
CHESS Sponsor's ID (PID):	01402
Statement Period:	July 2021
Page:	1 of 1

### NAB - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 27 Nov 20</b>					
02 Jul 21	Allotment of Securities due to Dividend Reinvestment Plan	6025400814728800		9		409

### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*

#### Share Registry Details:

NATIONAL AUSTRALIA BANK LIMITED  
 GPO BOX 2333  
 MELBOURNE VIC 8060  
 Ph: 1300 367 647



ASX

Issued By:

# Brokerage Account Statement

\* 038522 X414PD04 000000  
 MUMTAZ SMSF  
 UAD 09/17/20  
 IMAAN & KHADIJAH PTY LTD TTEE  
 FBO YAQUB NAZIR & MUMTAZ NAZIR  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169 AUS

July 1, 2021 - July 31, 2021  
 Account Number: OMC-741560  
 Base Currency: AUSTRALIAN DOLLAR (AUD)



## Portfolio at a Glance

	This Period
<b>BEGINNING ACCOUNT VALUE</b>	<b>39,801.88</b>
<b>Net Change in Portfolio<sup>1</sup></b>	<b>-1,402.32</b>
<b>ENDING ACCOUNT VALUE</b>	<b>38,399.56</b>
Estimated Annual Income	76.75

<sup>1</sup> Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

COMMSEC MAIN TRADING IP

## Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	215.08	219.68
99%	Equities	39,586.80	38,179.88
<b>100%</b>	<b>Account Total</b>	<b>39,801.88</b>	<b>38,399.56</b>

Please review your allocation periodically with your Financial Consultant.

## Client Service Information

Identification Number: 000  
 COMMSEC MAIN TRADING IP  
 1 HARBOUR STREET SYDNEY

Contact Information  
 E-Mail Address: commsecinternationaldesk@cba.com.au

Client Service Information  
 Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)  
 Web Site: WWW.COMMSEC.COM.AU

77700





Comwealth Securities Ltd  
 Locked Bay 22  
 Australia Square NSW 1215  
 Tel: 1300 361 170  
 ABN 60 067 254 399 AFSL 238814

July 1, 2021 - July 31, 2021  
 MUMTAZ SMSF  
 Base Currency: AUSTRALIAN DOLLAR (AUD)

**Portfolio Holdings**  
**U.S. DOLLARS**

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio</b>					
Cash Balance		161.44	161.44 USD	0.00	
		215.08	219.68 AUD	0.00	
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>		<b>161.44</b>	<b>161.44 USD</b>	<b>0.00</b>	
		<b>215.08</b>	<b>219.68 AUD</b>	<b>0.00</b>	
<b>EQUITIES 99.00% of Portfolio</b>					
<b>Common Stocks</b>					
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	40.000	145.8600	5,834.40 USD 7,939.04 AUD	35.20	0.60%
AYRO INC COM Security Identifier: AYRO CUSIP: 054748108	200.000	4.4000	880.00 USD 1,197.44 AUD	47.90	
FORD MOTOR CO DEL COM PAR Security Identifier: F CUSIP: 345370860	1,116.000	13.9500	15,568.20 USD 21,184.10 AUD		
JOHNSON & JOHNSON COM Security Identifier: JNJ CUSIP: 478160104	5.000	172.2000	861.00 USD 1,171.59 AUD	21.20	2.46%
NIO INC SHS A SPONSORED AMERICAN DEP SHS REPR 1 SH A ORD SH ISIN#US62914V1061 Security Identifier: NIO CUSIP: 62914V106	110.000	44.6800	4,914.80 USD 6,687.71 AUD	28.85	
<b>Total Common Stocks</b>			<b>28,058.40 USD</b> <b>38,179.88 AUD</b>	<b>56.40</b> <b>76.75</b>	

77100

038522 X414PD04 015999



**Portfolio Holdings (continued)**  
**U.S. DOLLARS (continued)**

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
<b>EQUITIES (continued)</b>						
<b>TOTAL EQUITIES</b>						
			28,058.40	USD	56.40	
			38,179.88	AUD	76.75	
<b>TOTAL U.S. DOLLARS</b>						
			28,219.84	USD	56.40	
			38,399.56	AUD	76.75	
<b>Total Portfolio Holdings - AUSTRALIAN DOLLAR</b>						
			38,399.56		0.00	76.75

**Portfolio Holdings Disclosures**

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

**Estimated Annual Figures**

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

**Reinvestment**

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

**Option Disclosure**

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional

77700



July 1, 2021 - July 31, 2021  
 MUMTAZ SMSF  
 Base Currency: AUSTRALIAN DOLLAR (AUD)

038522 X414PD04 016000 E

77700

**Portfolio Holdings Disclosures (continued)**

**Option Disclosure (continued)**  
 information regarding this procedure is available upon written request to your introducing firm.

**Foreign Currency Transactions**

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

**Proxy Vote**

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

**Activity Summary (All amounts shown are in base currency)**

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Securities</b>						
Securities Bought	0.00	0.00	0.00	0.00	-1,033.45	-1,033.45
<b>Total Securities</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-1,033.45</b>	<b>-1,033.45</b>
<b>Dividends and Interest</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>35.34</b>	<b>0.00</b>	<b>35.34</b>
<b>Fees</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-2.83</b>	<b>-2.83</b>
<b>Taxes Withheld</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-5.29</b>	<b>-5.29</b>
<b>Totals</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>35.34</b>	<b>-1,041.57</b>	<b>-1,006.23</b>

**Messages**

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of these transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

77700

77100

034611 X414PD04 000268

# Brokerage Account Statement

August 1, 2021 - August 31, 2021  
Account Number: OMC-741560  
Base Currency: AUSTRALIAN DOLLAR (AUD)

## Portfolio at a Glance

	This Period
<b>BEGINNING ACCOUNT VALUE</b>	<b>38,399.56</b>
Dividends, Interest and Other Income	11.98
Other Transactions	-1.79
<b>Net Change in Portfolio<sup>1</sup></b>	<b>-1,733.49</b>
<b>ENDING ACCOUNT VALUE</b>	<b>36,676.26</b>
Estimated Annual Income	77.18

<sup>1</sup> Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

COMMSEC MAIN TRADING IP

## Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	219.68	231.18
99%	Equities	38,179.88	36,445.08
<b>100%</b>	<b>Account Total</b>	<b>38,399.56</b>	<b>36,676.26</b>

Please review your allocation periodically with your Financial Consultant.

## Client Service Information

Identification Number: 000  
COMMSEC MAIN TRADING IP  
1 HARBOUR STREET SYDNEY

Client Service Information  
Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)  
Web Site: WWW.COMMSEC.COM.AU

Australia Square NSW 1215  
Tel: 1300 861170  
ABN 60 067 264 399 AFSL 238814

\* 034611 X414PD04 000000  
MUMTAZ SMSF  
UAD 09/17/20  
IMAAAN & KHADIJAH PTY LTD TTEE  
FBO YAQUB NAZIR & MUMTAZ NAZIR  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169 AUS



**Your Account Information**

**TAX LOT DEFAULT DISPOSITION METHOD**

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

**BOND AMORTIZATION ELECTIONS**

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

**ELECTRONIC DELIVERY**

Your electronic delivery selections for account communications are listed below:

**Electronic Delivery**

**Enrollment**

- Statements and Reports
- Trade Confirmations
- Tax Documents
- Notifications
- Prospectus
- Proxy/Shareholder Communications

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

**Exchange Rate Table**

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3685	0.7307

Exchange rates are based on interbank exchange rates as of 08/31/2021. Exchange rates can vary.

**Global Cash**

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	168.92	231.18
<b>Total Global Cash Balance</b>		<b>231.18</b>

**Income and Expense Summary**

Dividend Income Equities	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
	11.98	0.00	47.32	0.00
<b>Total Income</b>	<b>11.98</b>	<b>0.00</b>	<b>47.32</b>	<b>0.00</b>

77700





Locked Bay 22  
Australia Square NSW 1215  
Tel: 1300 361 170  
ABN 60 067 254 399 AFSL 238814

August 1, 2021 - August 31, 2021  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

034611 X414PD04 000269

77100



**Portfolio Holdings**  
**U.S. DOLLARS**

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio</b>						
Cash Balance		161.44	168.92	USD	0.00	
		219.67	231.18	AUD	0.00	
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>		<b>161.44</b>	<b>168.92</b>	<b>USD</b>	<b>0.00</b>	
		<b>219.67</b>	<b>231.18</b>	<b>AUD</b>	<b>0.00</b>	
<b>EQUITIES 99.00% of Portfolio</b>						
<b>Common Stocks</b>						
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	40.000	151.8300	6,073.20	USD	35.20	0.57%
AYRO INC COM Security Identifier: AYRO CUSIP: 054748108	200.000	4.1300	8,311.48	AUD	48.17	
FORD MOTOR CO DEL COM PAR Security Identifier: F CUSIP: 345370860	1,116.000	13.0300	826.00	USD		
			1,130.42	AUD		
JOHNSON & JOHNSON COM Security Identifier: JNJ CUSIP: 478160104	5.000	173.1300	14,541.48	USD	21.20	2.44%
			19,900.74	AUD	29.01	
NIO INC SHS A SPONSORED AMERICAN DEP SHS REPR 1 SH A ORD SH ISIN#US62914V1061 Security Identifier: NIO CUSIP: 62914V106	110.000	39.3100	865.65	USD		
			1,184.69	AUD		
<b>Total Common Stocks</b>			<b>26,630.43</b>	<b>USD</b>	<b>56.40</b>	
			<b>36,445.08</b>	<b>AUD</b>	<b>77.18</b>	

**Portfolio Holdings (continued)**  
**U.S. DOLLARS (continued)**

Description	Quantity	Market Price	Market Value	Currency	Accrued Interest	Estimated Annual Income	Estimated Yield
<b>TOTAL EQUITIES</b>							
			26,630.43	USD		56.40	
			36,445.08	AUD		77.18	
<b>TOTAL U.S. DOLLARS</b>							
			26,799.35	USD	0.00	56.40	
			36,676.26	AUD	0.00	77.18	
<b>Total Portfolio Holdings - AUSTRALIAN DOLLAR</b>							
			36,676.26		0.00	77.18	

**Portfolio Holdings Disclosures**

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

**Estimated Annual Figures**

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

**Reinvestment**

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

**Option Disclosure**

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional

77700





Australia Square NSW 1215  
Tel: 1300 361170  
ABN 60 067 254 399 AFSL 238814

August 1, 2021 - August 31, 2021

MUMTAZ SMSF

Base Currency: AUSTRALIAN DOLLAR (AUD)

034611 X414PD04 000270

77700



**Portfolio Holdings Disclosures (continued)**

**Option Disclosure (continued)**

information regarding this procedure is available upon written request to your introducing firm.

**Foreign Currency Transactions**

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

**Proxy Vote**

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

**Activity Summary (All amounts shown are in base currency)**

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Securities</b>						
Securities Bought	0.00	0.00	0.00	0.00	-1,033.45	-1,033.45
<b>Total Securities</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-1,033.45</b>	<b>-1,033.45</b>
<b>Dividends and Interest</b>	<b>11.98</b>	<b>0.00</b>	<b>11.98</b>	<b>47.32</b>	<b>0.00</b>	<b>47.32</b>
<b>Fees</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-2.83</b>	<b>-2.83</b>
<b>Taxes Withheld</b>	<b>0.00</b>	<b>-1.79</b>	<b>-1.79</b>	<b>0.00</b>	<b>-7.08</b>	<b>-7.08</b>
<b>Totals</b>	<b>11.98</b>	<b>-1.79</b>	<b>10.19</b>	<b>47.32</b>	<b>-1,043.36</b>	<b>-996.04</b>

**Transactions in Date Sequence**

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
08/12/21	CASH DIVIDEND RECEIVED	40 SHRS APPLE INC COM RD 08/09 PD 08/12/21				8.80	USD
	AAPL					11.98	AUD

Account Number: OMC-741560  
A00363635410193 9D



Rated Excellent  
Every Year Since 2007  
DALBAR RATED COMMUNICATIONS  
EXCELLENCE

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)

77700

**Transactions in Date Sequence (continued)**

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
08/12/21	NON-RESIDENT ALIEN TAX AAPL	NRA TAX WITHHELD ON 40 SHRS APPLE INC COM RD 08/09 PD 08/12/21				-1.32	USD
						-1.79	AUD
<b>Total Value of Transactions</b>						<b>7.48</b>	<b>USD</b>
<b>Total AUD Equivalent</b>						<b>10.19</b>	<b>AUD</b>

The price and quantity displayed may have been rounded.

**Cash Not Yet Received**

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment	Currency
JOHNSON & JOHNSON COM	08/24/21	09/07/21	5.000	1.060000	Cash	5.30	USD
<b>Total Cash Not Yet Received</b>						<b>7.25</b>	<b>AUD</b>

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

**Messages**

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

**Important Information and Disclosures**

**The Role of Pershing**

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.



August 1, 2021 - August 31, 2021  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

034611 X414PD04 000271

77700

**Important Information and Disclosures (continued)**

- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

**Important Arbitration Disclosures**

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

**Important Arbitration Agreement**

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or to the extent stated herein. The laws of the State of New York govern. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

Account Number: OMC-741560  
A003563CF440P50



Rated Excellent  
Every Year Since 2007  
DALLAS RATED COMMUNICATIONS  
EXCEL PAPER

Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)





Commonwealth Securities Ltd  
 Locked Bay 22  
 Australia Square NSW 1215  
 Tel: 1300 361 170  
 ABN 60 067 254 399 AFSL 238814

77100

000083 XP4202D1

MUMTAZ SMSF  
 UAD 09/17/20  
 IMAAN & KHADIJAH PTY LTD TTEE  
 FBO YAQUB NAZIR & MUMTAZ NAZIR  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169 AUS

## Transfer of Assets

October 28, 2021

Account Number: OMC-XXXX60

Financial Consultant: COMMSEC MAIN TRADING IP



Please review the following transfer of asset(s) from your account.

Date	Account Number	Amount	Currency
10/27/2021	OMC-XXXX00	30,159.82	USD

COMMONWEALTH SECURITIES LTD provides this notice to you as added security in the event that you did not authorize the transaction or if the details regarding the transaction are incorrect.

Please contact us at the above address or telephone number with any questions that you may have regarding this transaction.

Tax lot information will only be displayed for partial dispositions. Full dispositions will use all the available tax lots. You may change the disposition method or tax lots applied to this transaction before the earlier of settlement date or three business days. For more cost basis information, please contact your Financial Consultant.

000083 XP4202D1 000085





Locked Bay 22  
Australia Square NSW 1215  
Tel: 1300 361 170  
ABN 60 067 254 999 AFSL 238814

# Brokerage Account Statement

\* 039099 X414PD04 000000

MUMTAZ SMSF  
UAD 09/17/20  
IMAAAN & KHADIJAH PTY LTD TTEE  
FBO YAQUB NAZIR & MUMTAZ NAZIR  
99 SAFETY BAY ROAD  
SHOALWATER WA 6169 AUS



October 1, 2021 - October 31, 2021  
Account Number: OMC-741560  
Base Currency: AUSTRALIAN DOLLAR (AUD)

## Portfolio at a Glance

	This Period
<b>BEGINNING ACCOUNT VALUE</b>	37,447.76
Withdrawals (Cash & Securities)	-40,186.30
<b>Net Change in Portfolio<sup>1</sup></b>	2,738.54
<b>ENDING ACCOUNT VALUE</b>	<b>0.00</b>

<sup>1</sup> Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

COMMSEC MAIN TRADING IP

## Asset Summary

Percent	Asset Type	Last Period	This Period
0%	Cash, Money Funds, and Bank Deposits	240.13	0.00
0%	Equities	37,207.63	0.00
0%	<b>Account Total</b>	<b>37,447.76</b>	<b>0.00</b>

Please review your allocation periodically with your Financial Consultant.

## Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP  
1 HARBOUR STREET SYDNEY

### Contact Information

**E-Mail:** commsecinternationaldesk@cba.com.au

### Client Service Information

**Service Hours:** Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)  
**Web Site:** WWW.COMMSEC.COM.AU



77700

**Your Account Information**

**TAX LOT DEFAULT DISPOSITION METHOD**

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

**BOND AMORTIZATION ELECTIONS**

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

**ELECTRONIC DELIVERY**

Your electronic delivery selections for account communications are listed below:

**Electronic Delivery**

**Enrollment**

- Statements and Reports
- Trade Confirmations
- Tax Documents
- Notifications
- Prospectus
- Proxy/Shareholder Communications

**Communication**

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

**Exchange Rate Table**

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3317	0.7509

Exchange rates are based on interbank exchange rates as of 10/29/2021. Exchange rates can vary.

**Income and Summary**

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income	0.00	0.00	54.49	0.00
Equities	0.00	0.00	54.49	0.00
<b>Total Income</b>	<b>0.00</b>	<b>0.00</b>	<b>54.49</b>	<b>0.00</b>

77700





Locked box 24  
Australia Square NSW 1215  
Tel: 1300 361 170  
ABN 60 067 254 399 AFSL 238814

October 1, 2021 - October 31, 2021  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

039099 X414PD04 017983

7700



**Portfolio Holdings**

**U.S. DOLLARS**

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS 0.00% of Portfolio</b>						
Cash Balance		173.42	0.00	USD	0.00	
		240.12	0.00	AUD	0.00	
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>		<b>173.42</b>	<b>0.00</b>	<b>USD</b>	<b>0.00</b>	
		<b>240.12</b>	<b>0.00</b>	<b>AUD</b>	<b>0.00</b>	
<b>TOTAL U.S. DOLLARS</b>						
				Market Value	Accrued Interest	
				0.00 USD	0.00	
				0.00 AUD	0.00	
<b>Total Portfolio Holdings - AUSTRALIAN DOLLAR</b>						
				Market Value	Accrued Interest	
				0.00	0.00	

**Portfolio Holdings Disclosures**

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

**Estimated Annual Figures**

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

**Foreign Currency Transactions**

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar

Account Number: CIMC-741560  
AUGUST/SEPTEMBER 20



Rated Excellent  
Every Year Since 2007  
DALBAR RATED COMMUNICATIONS  
EXCELLENCE

Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)

Pershing LLC, member FINRA, NYSE, SIPC

**Portfolio Holdings Disclosures (continued)**

Foreign Currency Transactions (continued)  
 corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

**Proxy Vote**

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

**Activity Summary (All amounts shown are in base currency)**

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Securities</b>						
Securities Bought	0.00	0.00	0.00	0.00	-1,033.45	-1,033.45
Securities Sold	39,923.27	0.00	39,923.27	39,923.27	0.00	39,923.27
<b>Total Securities</b>	<b>39,923.27</b>	<b>0.00</b>	<b>39,923.27</b>	<b>39,923.27</b>	<b>-1,033.45</b>	<b>38,889.82</b>
<b>Dividends and Interest</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>54.49</b>	<b>0.00</b>	<b>54.49</b>
<b>Fees</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-2.83</b>	<b>-2.83</b>
<b>Taxes Withheld</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-8.16</b>	<b>-8.16</b>
<b>Cash</b>						
Withdrawals	0.00	-40,186.30	-40,186.30	0.00	-40,186.30	-40,186.30
<b>Total Cash</b>	<b>0.00</b>	<b>-40,186.30</b>	<b>-40,186.30</b>	<b>0.00</b>	<b>-40,186.30</b>	<b>-40,186.30</b>
<b>Totals</b>	<b>39,923.27</b>	<b>-40,186.30</b>	<b>-263.03</b>	<b>39,977.76</b>	<b>-41,230.74</b>	<b>-1,252.98</b>

**Transactions in Date Sequence**

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
10/26/21	10/22/21	SOLD	APPLE INC COM UNSOLICITED ORDER ALLOCATED ORDER	-40,000	149.7500		5,980.01	USD
		AAPL	YOUR BROKER ACTED AS AGENT				7,935.03	AUD
10/26/21	10/22/21	SOLD	AYRO INC COM UNSOLICITED ORDER	-200,000	2.8800		556.04	USD
		AYRO					740.30	AUD
10/26/21	10/22/21	SOLD	FORD MOTOR CO DEL COM PAR UNSOLICITED ORDER	-1,116,000	16.4500		18,301.19	USD
		F	ALLOCATED ORDER YOUR BROKER ACTED AS AGENT				24,365.83	AUD

77700



Locked bay 22  
Australia Square NSW 1215  
Tel: 1 800 361 170  
ABN 60 067 254 399 AFSL 238814

October 1, 2021 - October 31, 2021  
MUMTAZ SMSF  
Base Currency: AUSTRALIAN DOLLAR (AUD)

039099 X414PD04 017984

77700



**Transactions in Date Sequence (continued)**

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
10/26/21	10/22/21	SOLD	JOHNSON & JOHNSON COM UNSOLICITED ORDER	-5 000	163.1400		795.74	USD
		JNJ					1,059.43	AUD
10/26/21	10/22/21	SOLD	NIO INC SHS A SPONSORED AMERICAN DEP SHS REPR 1	-110 000	39.9400		4,373.42	USD
		NIO	SH A ORD SH ISIN#US62914V7061 UNSOLICITED ORDER				5,822.68	AUD
10/27/21		CUSTOMER AUTHORIZED	TRANS TO OAC-700000				-30,159.82	USD
		TRANSFER					-40,186.30	AUD
		USD999997						
<b>Total Value of Transactions</b>							<b>-173.42</b>	<b>USD</b>
<b>Total AUD Equivalent</b>							<b>0.00</b>	<b>AUD</b>

The price and quantity displayed may have been rounded.

**Messages**

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your **brokerage** account may be liquidated upon **request** with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit **product's** disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

**Important Information and Disclosures**

**The Role of Pershing**

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding



## Important Information and Disclosures (continued)

### The Role of Pershing (continued)

- your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be **re-confirmed** in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

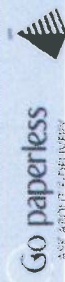
### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

77700

Account Number: OMC-74560  
#004005703400P-SD



Rated Excellent  
Every Year Since 2007  
DALBAR RATED COMMUNICATIONS

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)



Commonwealth Securities Ltd  
 Locked Bay 22  
 Australia Square NSW 1215  
 Tel: 1300 361 1170  
 ABN 60 067 254 339 AFSL 338814

# Confirmation

MUMTAZ SMSF  
 UAD 09/17/20  
 IMAAN & KHADIJAH PTY LTD TTEE  
 FBO YAQUB NAZIR & MUMTAZ NAZIR  
 99 SAFETY BAY ROAD  
 SHOALWATER WA 6169 AUS

MUMTAZ SMSF

Account Number: **OMC-741560**  
 Process Date: November 26, 2021

We confirm the below trades, subject to the terms and conditions set forth on this confirmation.

For additional information, please see the reverse side.

### Client Service Information

Your Financial Consultant: 000

Contact Information:

COMMSEC MAIN TRADING IP  
 1 HARBOUR STREET, SYDNEY

E-Mail Address : commsecinternationaldesk@cbsa.com.au

### You Bought

F	Trade Number	Quantity	Price	Principal	Interest	Commission Comm Equity	Service Charge	Transaction Fee	Net Amount	Capacity Type
	171SN3	69	19.60	1,352.40		19.65			1,372.35	0 1
	Trade Date: 11-26-21									
	Settlement Date: 11-30-21									
	Cusip: 345370-88-0									
	Settlement Currency: U.S. Dollars									
	FORD MOTOR CO DEL COM PAR									
	Unsolicited Order	Allocated Order	Your Broker	Acted As Agent						

THIS CONFIRMATION IS AN ADVICE NOT AN INVOICE. REMITTANCE OR SECURITIES ARE DUE ON OR BEFORE SETTLEMENT DATE.

SEE TERMS AND CONDITIONS AND EXPLANATION OF CODED SYMBOLS RELATING TO THIS CONFIRMATION. ON OTHER THAN ROUND LOTS (NORMALLY 100 SHARES), IF "DIF" APPEARS ABOVE AN ODD LOT DIFFERENTIAL HAS BEEN CHARGED IN CONNECTION WITH THIS TRANSACTION. THE AMOUNT OF SUCH DIFFERENTIAL WILL BE FURNISHED UPON REQUEST. CLEARING THROUGH PERSHING LLC, A WHOLLY OWNED SUBSIDIARY OF THE BANK OF NEW YORK MELLON CORPORATION (BNY MELLON) PERSHING LLC, MEMBER FINRA, NYSE, SIPC, ONE PERSHING PLAZA, JERSEY CITY, NJ 07399.

77700 / F. NYE

77700 / F. NYE

EXPLANATION OF CODED SYMBOLS

**Type of Account**

0 Deliver/Receive vs. Payment  
 1 Cash Account  
 2 Margin Account  
 3 Short Account  
 4 Special/ Subscription Account  
 5 Arbitrage Account

8 Non-Securities Credit Account — reflects the purchase or sale of Precious Metals, which are not securities and are, therefore, not eligible for protection by the Securities Investor Protection Corporation (SIPC) or for any excess SIPC coverage

**Capacity in Which Your Introducing Firm Acted**

1, 2, 3, or 6 ..... As broker/agent only.  
 4 ..... Reprinted confirmation, capacity appears on original.  
 5, or 6 ..... As principal.  
 9 ..... As agent for the buyer and seller, charging you a commission as shown on this confirmation.  
 0 ..... Average price or block transaction.  
 A ..... As agent.  
 M ..... As both principal & agent in this transaction.  
 P ..... As principal.

**Additional Capacity Information**

If so noted on this Confirmation, Pershing, or an affiliated company, makes a market in this security and acted as principal in buying from or selling to you. Your introducing firm (if any) acted as your agent, charging you a commission for the service.

If "Average Unit Price Transaction" is indicated on this confirmation, your introducing firm, Pershing or an affiliate, may have executed your order as a single price execution and/or may have acted as principal, agent or both or agent for another party on one or more exchanges. Details are available through your introducing firm upon request.

With respect to the purchase of zero coupon securities, please note: No periodic interest payment will be made, and, if callable, securities may be called below maturity value without notice by mail to holder unless registered.

Your introducing firm charges you a transaction fee on certain securities transactions, which are subject to fees assessed by a self-regulatory organization, securities exchange and/or government agency. The fee is based on the value of the "covered" securities transactions. To determine the exact amount of this fee with respect to any transaction, please contact your introducing firm.

TERMS AND CONDITIONS

Securities purchased on a cash or margin basis are or may be hypothecated under circumstances that will permit the commingling thereof with securities earned for other customers; but such securities, if hypothecated, will be withdrawn from hypothecation as soon as practicable after receipt of payment therefor.

If this transaction is a purchase by you in a cash account, and sufficient funds are not already in your account with us, it is agreed that you will promptly make full payment for the securities described on this confirmation and no later than settlement date, or at such earlier time payment may be demanded in accordance with the terms of this transaction, and that you do not contemplate the sale of such securities prior to making such payment. If this transaction is a sale by you in a cash account, and the securities described on this confirmation are not already held in your account with us, we are acting upon your representation that you or your principal own such securities, and it is agreed that you will promptly, and no later than the settlement date, deposit such securities with us. If full payment for the securities purchased by you in this transaction is not received by you in this transaction on or before settlement date, or if you are not the registered owner of the securities sold by you in this transaction or if you are not the registered owner of the securities purchased by you in this transaction, you may be liable to us for resulting loss, including, without limitation, all expenses, attorney's fees and other costs incurred by us and interest thereon.

If this transaction is a purchase by you in a margin account, it is agreed that sufficient cash or acceptable collateral will be deposited on or before the settlement date, or at such earlier time that payment may be demanded to satisfy applicable margin requirements.

Provisions of agreements and contracts shall inure to any successor of your introducing firm or Pershing LLC ("Pershing"). It is understood and agreed that all transactions are subject to the rules and customs of the exchange or market (and its clearing house, if any) where they are executed. The name of the other broker or party and the time of execution will be furnished on request.

**YOUR INTRODUCING BROKER DOES NOT RECEIVE COMPENSATION FOR DIRECTING ORDER FLOW IN EQUITY SECURITIES. PERSHING RECEIVES COMPENSATION FOR DIRECTING ORDER FLOW IN CERTAIN EQUITY SECURITIES AND LISTED OPTIONS. THE SOURCE AND NATURE OF THE COMPENSATION, IF ANY, RECEIVED IN CONNECTION WITH THIS TRADE WILL BE FURNISHED UPON WRITTEN REQUEST TO PERSHING.**

In those equity transactions where it is indicated that Pershing or an affiliated company acted in the capacity of principal (as market maker or specialist), it fills orders at the inside market without mark up or down, and charges for its services.

Commission rates are subject to negotiation, and any commission charged to you in this transaction may be more or less than commissions charged to or by others in similar transactions. The source and amount of other commissions received in connection with this transaction will be furnished on request.

Call features may exist for securities. Call features for fixed income securities may affect yield. Complete information will be provided upon request.

Rating information is not provided for securities for which a rating is not available. Securities which are unrated are marked "UNRATED". Ratings information that appears in the description of fixed income securities has been obtained from rating services which Pershing believes to be reliable; however Pershing cannot guarantee their accuracy.

If this transaction involves an asset-backed security, including a municipal collateralized mortgage obligation, which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such a security may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including, at a minimum, estimated yield, weighted average life, and the prepayment assumptions of the underlying yield) will be furnished upon your written request.

PLEASE IMMEDIATELY REPORT ANY ERROR, OMISSION OR EXCEPTION TO YOUR INTRODUCING FIRM AT THE ADDRESS SHOWN ON THIS CONFIRMATION.

When Pershing LLC receives a non-directed order from an introducing firm or customer for execution on your behalf, and executes that order in accordance with Pershing's best execution policy, Pershing may execute that order itself as a market maker, or send that order for execution to an exchange or other off-exchange venue.

Details concerning the type of order, venue, date, time of execution as stated in Coordinated Universal Time (UTC) and multiple executions are available upon your written request to your introducing broker.

Net Asset Value (NAV) based transactions in Exchange Traded Products (ETPs) and transactions in foreign securities executed in a foreign market may include additional fees. Details furnished upon request.



Australian Government  
Australian Taxation Office

**Agent** 4YOU ACCOUNTING & TAXATION  
**Client** THE TRUSTEE FOR MUMTAZ  
SMSF  
**ABN** 16 140 457 919  
**TFN** 641 410 535

850 00

## Income tax 002

<b>Date generated</b>	02 May 2023
<b>Overdue</b>	\$0.00
<b>Not yet due</b>	\$0.00
<b>Balance</b>	\$0.00

## Transactions

4 results found - from 02 May 2021 to 02 May 2023 sorted by **processed date** ordered **newest to oldest**

Processed date	Effective date	Description	Debit (DR)	Credit (CR)	Balance
21 Sep 2022	21 Sep 2022	General interest charge			\$0.00
21 Sep 2022	20 Sep 2022	Payment received		\$681.53	\$0.00
21 Sep 2022	1 Jul 2022	General interest charge			\$681.53 DR
6 Sep 2022	28 Feb 2022	Tax return Self Man Superfund - Income Tax for the period from 01 Jul 20 to 30 Jun 21	\$681.53		\$681.53 DR



Australian Government  
Australian Taxation Office

**Agent** 4YOU ACCOUNTING & TAXATION  
**Client** THE TRUSTEE FOR MUMTAZ  
SMSF  
**ABN** 16 140 457 919  
**TFN** 641 410 535

## Income tax 002

<b>Date generated</b>	19 October 2023
<b>Overdue</b>	\$0.00
<b>Not yet due</b>	\$0.00
<b>Balance</b>	\$0.00

## Transactions

4 results found - from 19 October 2021 to 19 October 2023 sorted by **processed date** ordered **newest to oldest**

Processed date	Effective date	Description	Debit (DR)	Credit (CR)	Balance
21 Sep 2022	21 Sep 2022	General interest charge			\$0.00
21 Sep 2022	20 Sep 2022	Payment received		\$681.53	\$0.00
21 Sep 2022	1 Jul 2022	General interest charge			\$681.53 DR
6 Sep 2022	28 Feb 2022	Tax return Self Man Superfund - Income Tax for the period from 01 Jul 20 to 30 Jun 21	\$681.53		\$681.53 DR