

Self-managed superannuation fund annual return 2019

Section A: Fund Information

1 Your tax file number

The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the declaration.

2 Name of self-managed superannuation fund (SMSF)

3 ABN

4 Current postal address

Street

Suburb/State/P'code

5 Annual return status

Is this the first required return for a newly registered SMSF?

6 SMSF auditor

Auditor's name
 Title
 Family name
 Given names

SMSF Auditor Number Auditor's phone number

Postal address

Street
 Suburb/State/P'code

Date audit was completed Was Part A of the audit report qualified?
 Was Part B of the audit report qualified?

7 Electronic funds transfer (EFT)

A Fund's financial institution account details

BSB no Account no

Fund account name

I would like my tax refunds made to this account.

8 Status of SMSF

Australian superannuation fund
 Fund benefit structure
 Does the fund trust deed allow acceptance of the Government's Super Co-contributions and Low Income Super-Contribution?

Sensitive (when completed)

9 Was the fund wound up during the income year?

N

10 Exempt current pension income

Did the fund pay an income stream (or super pension) that was in the retirement phase to one or more members?

N

Section B: Income
11 Income

Did you have a CGT event during the year?
 Have you applied an exemption or rollover?

G	<input type="checkbox"/> Y
M	<input type="checkbox"/> N

Net capital gain
 Gross interest
 Net foreign income

A	<input type="text" value="0"/>
C	<input type="text" value="705"/>
D	<input type="text" value="372"/>

Gross foreign income

D1

Unfranked dividend amount

J

Franked dividend amount

K

Dividend franking credit

L

Gross trust distributions

M **P**

Calculation of assessable contributions

Assessable employer contributions

R1

R

plus No-TFN-quoted contributions

R3

GROSS INCOME

W

TOTAL ASSESSABLE INCOME

V

Section C: Deductions and non-deductible expenses
12 Deductions and non-deductible expenses

Deductions

Non-deductible expenses

SMSF auditor fee

H1

H2

Management and administration expenses

J1

J2

TOTAL DEDUCTIONS (A1 to M1)

N

TOTAL NON-DEDUCTIBLE EXPENSES (A2 to L2)

Y

TOTAL SMSF EXPENSES (N + Y)

Z

TOTAL INCOME OR LOSS

O

(Total assessable income less deductions)

Sensitive (when completed)

Section D: Income tax calculation statement
13 Income tax calculation statement

		Taxable income	A	114565.00
		Tax on taxable income	T1	17184.75
		Tax on no-TFN-quoted contributions	J	0.00
Foreign inc. tax offsets	C1			0.00
Rebates and tax offsets	C2			
		Gross tax	B	17184.75
		Non-refundable non-c/f tax offsets (C1 + C2)	C	0.00
ESVCLP tax offset	D1			
ESVCLP tax offset c/f from previous year	D2			
ESIC tax offset	D3			
ESIC tax offset c/f from previous year	D4			
		SUBTOTAL 1	T2	17184.75
Complying fund's franking credits tax offset	E1			26333.70
No-TFN tax offset	E2			
NRAS tax offset	E3			
Exploration cr. tax offset	E4			
<i>Credit:</i>				
Int. on early payments	H1			
Foreign res. w/holding (excl. capital gains)	H2			
ABN/TFN not quoted	H3			370.00
TFN w/held from closely held trusts	H5			
Int. on no-TFN tax offset	H6			
Credit for foreign res. capital gains w/holding	H8			
		Refundable tax offsets (E1 + E2 + E3 + E4)	E	26333.70
		TAX PAYABLE	T5	0.00
		Section 102AAM int. charge	G	
		Eligible credits (H1 + H2 + H3 + H5 + H6 + H8)	H	370.00
		Tax offset refunds	I	9148.95
		PAYG installments raised	K	
		Supervisory levy	L	259.00
		Supervisory levy adj. for wound up funds	M	
		Supervisory levy adj. for new funds	N	
		TOTAL AMOUNT REFUNDABLE (T5 + G - H - I - K + L - M + N)	S	9259.95

Section E: Losses
14 Losses information

Net capital losses carried forward to later years **V** 33231

WARTON NO 2 SUPERANNUATION FUND

Client ref

JRW111

File no 784 343 877

ABN 95 325 309 492

Section F: Member information

MEMBER NUMBER: 1

Title	<input type="text" value="Mr"/>	Account status	<input type="radio"/>
First name	<input type="text" value="JOHN"/>		
Other names	<input type="text" value="ROBERT"/>		
Surname	<input type="text" value="WARTON"/>		
Suffix	<input type="text"/>		
Member's TFN	<input type="text" value="256 783 113"/>	Date of birth	<input type="text" value="17/02/1944"/>

See the Privacy note in the Declaration

OPENING ACCOUNT BALANCE		<input type="text" value="1021913.00"/>
TOTAL CONTRIBUTIONS	N	<input type="text" value="0.00"/>
(Sum of labels A to M)		
Other transactions		
Allocated earnings or losses	O	<input type="text" value="131703.00"/>
Accumulation phase account balance	S1	<input type="text" value="1153616.00"/>
Retirement phase account bal. - Non CDBIS	S2	<input type="text" value="0.00"/>
Retirement phase account balance - CDBIS	S3	<input type="text" value="0.00"/>
TRIS Count		<input type="text" value="0"/>
CLOSING ACCOUNT BALANCE	S	<input type="text" value="1153616.00"/>
Accumulation phase value	X1	<input type="text" value="1153616.00"/>

MEMBER NUMBER: 2

Title	<input type="text" value="Mrs"/>	Account status	<input type="radio"/>
First name	<input type="text" value="VERONICA"/>		
Other names	<input type="text"/>		
Surname	<input type="text" value="WARTON"/>		
Suffix	<input type="text"/>		
Member's TFN	<input type="text" value="261 988 908"/>	Date of birth	<input type="text" value="04/10/1957"/>

See the Privacy note in the Declaration

OPENING ACCOUNT BALANCE		<input type="text" value="303550.00"/>
Contributions		
Employer contributions	A	<input type="text" value="21698.00"/>
TOTAL CONTRIBUTIONS	N	<input type="text" value="21698.00"/>
(Sum of labels A to M)		
Other transactions		
Allocated earnings or losses	O	<input type="text" value="37020.00"/>
Accumulation phase account balance	S1	<input type="text" value="362268.00"/>
Retirement phase account bal. - Non CDBIS	S2	<input type="text" value="0.00"/>
Retirement phase account balance - CDBIS	S3	<input type="text" value="0.00"/>
TRIS Count		<input type="text" value="0"/>
CLOSING ACCOUNT BALANCE	S	<input type="text" value="362268.00"/>
Accumulation phase value	X1	<input type="text" value="362268.00"/>

Sensitive (when completed)

WARTON NO 2 SUPERANNUATION FUND

Client ref

JRW111

File no 784 343 877
 ABN 95 325 309 492

MEMBER NUMBER: 3

Title	<input type="text" value="Mr"/>	Account status	<input type="text" value="O"/>
First name	<input type="text" value="JAMES"/>		
Other names	<input type="text" value="JOSEPH"/>		
Surname	<input type="text" value="WARTON"/>		
Suffix	<input type="text"/>		
Member's TFN	<input type="text" value="211 627 283"/>	Date of birth	<input type="text" value="24/01/1989"/>

See the Privacy note in the Declaration

OPENING ACCOUNT BALANCE		<input type="text" value="50841.00"/>
TOTAL CONTRIBUTIONS (Sum of labels A to M)	N	<input type="text" value="0.00"/>
Other transactions		
Allocated earnings or losses	O	<input type="text" value="6782.00"/>
Accumulation phase account balance	S1	<input type="text" value="57623.00"/>
Retirement phase account bal. - Non CDBIS	S2	<input type="text" value="0.00"/>
Retirement phase account balance - CDBIS	S3	<input type="text" value="0.00"/>
TRIS Count		<input type="text" value="0"/>
CLOSING ACCOUNT BALANCE	S	<input type="text" value="57623.00"/>
Accumulation phase value	X1	<input type="text" value="57623.00"/>

MEMBER NUMBER: 4

Title	<input type="text" value="MR"/>	Account status	<input type="text" value="O"/>
First name	<input type="text" value="JOHN"/>		
Other names	<input type="text" value="HARRY"/>		
Surname	<input type="text" value="WARTON"/>		
Suffix	<input type="text"/>		
Member's TFN	<input type="text" value="211 627 311"/>	Date of birth	<input type="text" value="17/06/1990"/>

See the Privacy note in the Declaration

OPENING ACCOUNT BALANCE		<input type="text" value="15510.00"/>
TOTAL CONTRIBUTIONS (Sum of labels A to M)	N	<input type="text" value="0.00"/>
Other transactions		
Allocated earnings or losses	O	<input type="text" value="2058.00"/>
Accumulation phase account balance	S1	<input type="text" value="17568.00"/>
Retirement phase account bal. - Non CDBIS	S2	<input type="text" value="0.00"/>
Retirement phase account balance - CDBIS	S3	<input type="text" value="0.00"/>
TRIS Count		<input type="text" value="0"/>
CLOSING ACCOUNT BALANCE	S	<input type="text" value="17568.00"/>
Accumulation phase value	X1	<input type="text" value="17568.00"/>

Sensitive (when completed)

WARTON NO 2 SUPERANNUATION FUND

Client ref

JRW111

File no 784 343 877

ABN 95 325 309 492

Section H: Assets and liabilities

15 ASSETS

15b Australian direct investments

Limited Recourse Borrowing Arrangements

Australian residential real property	J1		Cash and term deposits	E	145124
Australian non-residential real property	J2		Debt securities	F	
Overseas real property	J3		Loans	G	
Australian shares	J4		Listed shares	H	1477646
Overseas shares	J5		Unlisted shares	I	
Other	J6		Limited recourse borrowing arrangements (J1 to J6)	J	
			Non-residential real property	K	
			Residential real property	L	
			Collectables and personal use assets	M	
			Other assets	O	10168

TOTAL AUSTRALIAN AND OVERSEAS ASSETS

(sum of labels A to T)

U 1632938

15e In-house assets

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year?

A N

16 Liabilities

Borrowings for limited recourse borrowing arrangements	V1		Borrowings	V	
Permissible temporary borrowings	V2		Total member closing account balances	W	1591075
Other borrowings	V3		Reserve accounts	X	
			Other liabilities	Y	41863
			TOTAL LIABILITIES	Z	1632938

Sensitive (when completed)

Section K: Declarations
Important

Before making this declaration check to ensure all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

Privacy

The ATO is authorised by the Taxation Administration Act 1953 to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However, if you do not provide the TFN the processing of this form may be delayed. Taxation law authorises the ATO to collect information and disclose it to other government agencies. For more information about your privacy go to ato.gov.au/privacy

TRUSTEE'S OR DIRECTOR'S DECLARATION:

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report (If required) and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature Date

Preferred trustee, director or public officer's contact details:

Title
Family name and suffix
Given and other names
Phone number
Non-individual trustee name

Time taken to prepare and complete this tax return (hours)

TAX AGENT'S DECLARATION:

We declare that the Self-managed superannuation fund annual return 2019 has been prepared in accordance with information provided by the trustees, that the trustees have given us a declaration stating that the information provided to us is true and correct, and that the trustees have authorised us to lodge this annual return.

Tax agent's signature Date

Tax agent's contact details

Title
Family name and suffix
Given and other names
Tax agent's practice
Tax agent's phone
Reference number
Tax agent number

NOTE: THIS PRINT-OUT IS NOT TO BE LODGED WITH THE ATO.

1. Current year capital gains and capital losses

	Capital gain	Capital loss
Shares in companies listed on an Australian securities exchange	A 13480	K
Total current year capital gains	J 13480	

2. Capital losses

Total prior year net capital losses applied	C 13480
Total capital losses applied	E 13480

3. Unapplied net capital losses carried forward

Other net capital losses carried forward to later income years	B 33231
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6. Net capital gain

Net capital gain	A 0
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NOTE: THIS PRINT-OUT IS NOT TO BE LODGED WITH THE ATO.

Gross dividends
 (excluding distributions from cash management, property and certain other unit trusts)

Company Share status (if applicable)	Unfranked dividends	Franked dividends	Franking credits	TFN withholding
PER CLIENT SUMMARY	6068.89	61445.29	26333.70	245.00
LESS: BLACKROCK I SHARES	-771.05	0.00	0.00	
LESS: TRANSURBAN SHARES	-988.23	0.00	0.00	
Total	4309.61	61445.29	26333.70	245.00

Foreign Income Schedule

LIST OF TRANSACTIONS

Type Description Currency	Gross Amount	Deductions	Net Amount	Foreign Tax Paid
Type D Dividends other Description: RESMED DIVS AUD (Australia, Dollars)	290		290	Method: Actual
Type G General Description: Dft: BLACKROCK ISHARES AUD (Australia, Dollars)	82		82	Method: Actual
TOTALS SUMMARY (Includes any available prior year unutilised foreign tax).	372		372	

Distributions from Trusts
 Including Cash Management or Listed Property Trusts

Trust Name **BLACKROCK ISHARES**
 TFN Trust Type **P**

Arm's length income
 Unfranked Dividend Franked Dividend Franking Credit Other Trust Income
Share of Trust Credits Other
 ABN not quoted TFN Withheld FRW Withheld MIT Fund
 Foreign income
 Income Tax credit Class Deduction

Trust Name **TRANSURBAN SHARES**
 TFN Trust Type **P**

Arm's length income
 Unfranked Dividend Franked Dividend Franking Credit Other Trust Income

Subtotals
Arm's length income
 Unfranked Dividend Franked Dividend Franking Credit Other Trust Income
Share of Trust Credits Other
 ABN not quoted TFN Withheld FRW Withheld MIT Fund
 Foreign income
 Income Tax credit Deduction

Other Income

Code	Description	Amount
O	Orica issue snot taken up	
Total		

Gross taxable employer contributions

Details	Amount
JAMES JOSEPH WARTON	
VERONICA WARTON	
Total	21698.00

Item: PRIOR YEAR LOSS

Category: S Shares
 Method: OT Other

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
PRIOR YEAR LOSS	46711					46711
Total:	46711					46711
Reduced Cost Base:						46711

Capital Gain/Loss

Consideration Received	
Reduced Cost Base	46711
Capital Loss	46711

Item: 1 ASX LISTED EQUITIES

Category: S Shares
 Method: OT Other

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
ASX LISTED EQUITIES		01/07/2018	13480	30/06/2019		
Total:			13480			

Capital Gain/Loss

Capital Gain	13480
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Item Description	Acquired	Disposed	Consideration Received	Cost Base Amount	Type	Gain/Loss
Other Assets						
A. SUMMARY						
1. Frozen/Other Capital Gains						
Shares in companies listed on an Australian Securities Exchange - Category S						
1 ASX LISTED EQUITIES	01/07/2018	30/06/2019	13480	0	C	13480
						13480
4. Prior Year/Losses Transferred In						
a. Prior Year Capital Losses						
Shares in companies listed on an Australian Securities Exchange - Category S						
0 PRIOR YEAR LOSS				46711	C	-46711
Sub-total Prior Year Losses						-46711

Key to Cost Base Type:

- C = Cost base (asset owned less than 12 months)
- R = Reduced cost base (loss)
- I = Indexed cost base (per CPI)
- D = Deemed threshold
- DS = Discount Method

Item Description	Acquired	Disposed	Consideration Received	Cost Base Amount	Type	Gain/Loss
B. APPLICATION OF CAPITAL LOSSES						
Description	Capital Gain		Current Year Loss Applied	Prior Year/Transferred In Loss Applied		Residual Cap Gain
3,4a,4b Capital Losses				46711		
1. Frozen/Other Capital Gains	13480		0	13480		0
6. Net Collectable Frozen/Other			0	0		0
7. Net Collectable Pre-disc Gains			0	0		0
8. Personal Use Frozen/Other			0	0		0
9. Personal Use Pre-discount Gains			0	0		0
Total Remaining Capital Losses			0	33231		

C. NET CAPITAL GAIN/LOSS CALCULATION		
Unapplied Current Year Losses		0
Unapplied Prior Year/Losses Transferred In		-33231
Total Capital Losses Carried Forward		-33231

TOTAL NET CAPITAL GAIN SUMMARY

Other Assets		-33231
Collectables		
Personal Use Assets		
Total Net Capital Losses		-33231

Accumulated Losses To Carry Forward

Other assets	33231	
	33231	(Returned at Net Capital Losses carried forward)

Please note that due to the method of calculation, the summary report may be subject to rounding errors.

PART A**Electronic lodgment declaration (Form P, T, F, SMSF or EX)**

This declaration is to be completed where the tax return is to be lodged via an approved ATO electronic channel. It is the responsibility of the taxpayer to retain this declaration for a period of five years after the declaration is made, penalties may apply for failure to do so.

Tax File Number Year of return

Name of Partnership, Trust, Fund or Entity

Total Income/Loss

Total Deductions

Taxable Income/Loss

Privacy

The ATO is authorised by the Taxation Administration Act 1953 to request the provision of tax file numbers (TFNs). The ATO will use the TFNs to identify each partner or beneficiary or entity in our records. It is not an offence not to provide the TFNs. However, you cannot lodge your tax return electronically if you do not quote your TFN.

Taxation law authorises the ATO to collect information and disclose it to other government agencies, including personal information about the person authorised to sign the declaration. For information about privacy go to ato.gov.au/privacy

The Australian Business Register

The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this tax return to maintain the integrity of the register.

Please refer to the privacy statement on the Australian Business Register (ABR) website (www.abr.gov.au) for further information - it outlines our commitment to safeguarding your details.

Electronic funds transfer - direct debit

Where you have requested an EFT direct debit some of your details will be provided to your financial institution and the Tax Office's sponsor bank to facilitate the payment of your taxation liability from your nominated account.

I authorise my tax agent to electronically transmit this tax return via an approved ATO electronic channel.

Important: Before making this declaration please check to ensure that all income has been disclosed and the tax return is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the Tax Office. The tax law provides heavy penalties for false or misleading statements on tax returns.

Declaration: I declare that:

- * all the information I have provided to my registered tax agent for the preparation of this tax return, including any applicable schedules is true and correct, and
- * I authorise the agent to give this document to the Commissioner of Taxation.

Signature of Partner, Trustee or Director

Date

PART B**ELECTRONIC FUNDS TRANSFER CONSENT**

This declaration is to be completed when an electronic funds transfer (EFT) of a refund is requested and the tax return is being lodged through an approved ATO electronic channel.

This declaration must be signed by the partner, trustee, director or public officer prior to the EFT details being transmitted to the Tax Office. If you elect for an EFT, all details below must be completed.

Important: Care should be taken when completing EFT details as the payment of any refund will be made to the account specified.

Account name:

I authorise the refund to be deposited directly to the specified account

Signature

Date

PART D Tax agent's certificate (shared facilities only)

We, STIRLING WARTON TAYLOR PTY LTD declare that:

- * We have prepared this tax return in accordance with the information supplied by the partner, trustee, director or public officer
- * We have received a declaration made by the entity that the information provided to us for the preparation of this tax return is true and correct, and
- * We are authorised by the partner, trustee, director or public officer to lodge this tax return, including any applicable schedules.

Agent's Signature

Date

Agent's phone	<input type="text" value="02 8236 7500"/>	Client's reference	<input type="text" value="JRW111"/>
Agent's Contact Name	<input type="text" value="ROBERT MARK TAYLOR"/>		
Agent's reference number	<input type="text" value="72517001"/>		