



Commonwealth Securities Limited
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Monthly Options Statement

For the month of January 2020

MR SIMON LLOYD ROBERTS <PERSONAL
SUPER A/C>
UNIT 1 6 MATHOURA RD
TOORAK VIC 3142 AUS

Account no: 808935

Current Open Position Details

As at close of business on 31/01/2020

| Expiry Date | Strike Price | Option Type | Buy | Sell | Price (\$) | Trade Date | Reference | Market Price (\$) | Unrealised P&L (\$) |
|-----------------------|--------------|-------------|-----|------|------------|------------|-----------|-------------------|---------------------|
| Total Unrealised P&L: | | | | | | | | | |

Account Transactions

Currency AUD

| Date | Description | Debit (\$) | Credit (\$) | Balance (\$) | Total Margin (\$) | Collateral Cover (\$) | Cash Collateral Cover(\$) |
|------------|---|------------|-------------|--------------|-------------------|-----------------------|---------------------------|
| | Brought Forward Balance | | | 0.00 DR | | | |
| 20/01/2020 | S 10 MQGS89 @ 0.820000 146.00 CALL OPTION EXPIRING 30-JAN-2020 | | 783.62 | 783.62 CR | | | |
| 20/01/2020 | S 10 MQGS89 @ 0.990000 146.00 CALL OPTION EXPIRING 30-JAN-2020 | | 953.62 | 1,737.24 CR | 0.00 DR | 0.00 CR | 1,737.24 CR |
| 21/01/2020 | Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C> | 1,737.24 | | 0.00 DR | 0.00 DR | 0.00 CR | 0.00 DR |
| 22/01/2020 | S 10 MQGS89 @ 1.520000 146.00 CALL OPTION EXPIRING 30-JAN-2020 | | 1,483.62 | 1,483.62 CR | 0.00 DR | 0.00 CR | 1,483.62 CR |
| 23/01/2020 | Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C> | 1,483.62 | | 0.00 DR | 0.00 DR | 0.00 CR | 0.00 DR |
| Total: | | 3,220.86 | 3,220.86 | 0.00 DR | | | |

Liquidation Advice

MATCHOUT

| Close Out Date | Strike Price | Option Type | Buy | Sell | Price (\$) | Trade Date | Traded Value (\$) | Fee Rate | Fee Value inc-GST (\$) |
|----------------|--------------|--|-----|------|------------|------------|-------------------|----------|------------------------|
| MQG | MQGS89 | MACQUARIE GROUP LIMITED 30-JAN-2020 C 146.00 (100 Shares per lot;American style options) | | | | | | | |
| 20/01/2020 | 146.00 | Call | 10 | | 0.700 | 29/11/2019 | 718.91 | DR | |
| 20/01/2020 | 146.00 | Call | 10 | | 0.320 | 03/12/2019 | 338.91 | DR | |
| 22/01/2020 | 146.00 | Call | 10 | | 0.320 | 03/12/2019 | 338.91 | DR | |
| 20/01/2020 | 146.00 | Call | | 10 | 0.820 | 20/01/2020 | 783.62 | CR | |
| 20/01/2020 | 146.00 | Call | | 10 | 0.990 | 20/01/2020 | 953.62 | CR | |
| 22/01/2020 | 146.00 | Call | | 10 | 1.520 | 22/01/2020 | 1,483.62 | CR | |
| Total: | | | | | | | 1,824.13 | CR | |

Collateral Holdings

| Lodgement ID | Type | Units | Cover Value (\$) | Date Lodged |
|--------------|------|-------|------------------|-------------|
|--------------|------|-------|------------------|-------------|

Explanatory Notes:

- (a) Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- (b) Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- (c) ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- (d) Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (e) Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (f) For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- (a) the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
- (b) The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.

Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.

Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.