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# Monthly Options Statement

For the month of October 2019

MR SIMON LLOYD ROBERTS <PERSONAL  
SUPER A/C>  
UNIT 1 6 MATHOURA RD  
TOORAK VIC 3142 AUS

Account no: 808935

## Current Open Position Details

As at close of business on 31/10/2019

Expiry Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Reference	Market Price (\$)	Unrealised P&L (\$)
<b>BHPZE7 BHP GROUP LIMITED 28-NOV-2019 C 37.00 (100 Shares per lot;American style options)</b>									
28/11/2019	37.00	Call	10		0.4300	10/10/2019	C93046820	0.3800	50.00 DR
<b>Total</b>									<b>50.00 DR</b>
<b>FMGG39 FORTESCUE METALS GROUP LTD 28-NOV-2019 C 10.00 (100 Shares per lot;American style options)</b>									
28/11/2019	10.00	Call	40		0.1250	01/10/2019	C92863947	0.0400	340.00 DR
28/11/2019	10.00	Call	40		0.0700	03/10/2019	C92930737	0.0400	120.00 DR
<b>Total</b>									<b>460.00 DR</b>
<b>MQGJY8 MACQUARIE GROUP LIMITED 19-DEC-2019 C 140.00 (100 Shares per lot;American style options)</b>									
19/12/2019	140.00	Call	10		0.7300	21/10/2019	C93235797	0.9050	175.00 CR
19/12/2019	140.00	Call	10		0.8700	30/10/2019	C93423577	0.9050	35.00 CR
19/12/2019	140.00	Call	10		0.9100	31/10/2019	C93448858	0.9050	5.00 DR
<b>Total</b>									<b>205.00 CR</b>
<b>MQGS69 MACQUARIE GROUP LIMITED 28-NOV-2019 C 146.00 (100 Shares per lot;American style options)</b>									
28/11/2019	146.00	Call	20		0.2200	20/09/2019	C92677475	0.1000	240.00 DR
28/11/2019	146.00	Call	20		0.2900	20/09/2019	C92668726	0.1000	380.00 DR
<b>Total</b>									<b>620.00 DR</b>
<b>Total Unrealised P&amp;L:</b>									<b>925.00 DR</b>

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
	Brought Forward Balance			3,524.38 CR			
01/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	3,524.38		0.00 DR			
01/10/2019	B 40 FMGG39 @ 0.125000 10.00 CALL OPTION EXPIRING 28-NOV-2019	540.67		540.67 DR	0.00 DR	0.00 CR	0.00 DR
02/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		540.67	0.00 DR	0.00 DR	0.00 CR	0.00 DR

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
03/10/2019	B 40 FMGG39 @ 0.070000 10.00 CALL OPTION EXPIRING 28-NOV-2019	320.67		320.67 DR			
03/10/2019	B 10 BHPZE7 @ 0.610000 37.00 CALL OPTION EXPIRING 28-NOV-2019	646.38		967.05 DR			
03/10/2019	B 20 BHPZE7 @ 0.595000 37.00 CALL OPTION EXPIRING 28-NOV-2019	1,227.81		2,194.86 DR	0.00 DR	0.00 CR	0.00 DR
04/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		2,194.86	0.00 DR	0.00 DR	0.00 CR	0.00 DR
10/10/2019	B 10 BHPZE7 @ 0.430000 37.00 CALL OPTION EXPIRING 28-NOV-2019	466.38		466.38 DR	0.00 DR	0.00 CR	0.00 DR
11/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		466.38	0.00 DR	0.00 DR	0.00 CR	0.00 DR
14/10/2019	S 10 BHPZE7 @ 0.970000 37.00 CALL OPTION EXPIRING 28-NOV-2019		933.62	933.62 CR			
14/10/2019	B 50 MQGJA8 @ 0.030000 140.00 CALL OPTION EXPIRING 24-OCT-2019	192.10		741.52 CR			
14/10/2019	B 10 MQGJ28 @ 0.490000 134.00 CALL OPTION EXPIRING 24-OCT-2019	526.38		215.14 CR			
14/10/2019	B 10 MQGJ28 @ 0.460000 134.00 CALL OPTION EXPIRING 24-OCT-2019	496.38		281.24 DR	0.00 DR	0.00 CR	0.00 DR
15/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		281.24	0.00 DR			
15/10/2019	S 20 MQGJ28 @ 0.670000 134.00 CALL OPTION EXPIRING 24-OCT-2019		1,302.19	1,302.19 CR	0.00 DR	0.00 CR	1,302.19 CR
16/10/2019	B 20 MQGJ28 @ 1.260000 134.00 CALL OPTION EXPIRING 24-OCT-2019	2,557.81		1,255.62 DR			
16/10/2019	S 40 MQGJA8 @ 0.070000 140.00 CALL OPTION EXPIRING 24-OCT-2019		239.33	1,016.29 DR			
16/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	1,302.19		2,318.48 DR			
16/10/2019	S 20 MQGJ28 @ 1.410000 134.00 CALL OPTION EXPIRING 24-OCT-2019		2,782.19	463.71 CR	0.00 DR	0.00 CR	463.71 CR
17/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	463.71		0.00 DR			
17/10/2019	B 50 FMGBR9 @ 0.160000 9.00 CALL OPTION EXPIRING 28-NOV-2019	842.10		842.10 DR			
17/10/2019	B 30 FMGBR9 @ 0.130000 9.00 CALL OPTION EXPIRING 28-NOV-2019	429.24		1,271.34 DR			
17/10/2019	B 40 FMGBR9 @ 0.137500 9.00 CALL OPTION EXPIRING 28-NOV-2019	590.67		1,862.01 DR	0.00 DR	0.00 CR	0.00 DR
18/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		1,862.01	0.00 DR	0.00 DR	0.00 CR	0.00 DR

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
21/10/2019	B 10 MQGJY8 @ 0.730000 140.00 CALL OPTION EXPIRING 19-DEC-2019	766.38		766.38 DR	0.00 DR	0.00 CR	0.00 DR
22/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		766.38	0.00 DR			
22/10/2019	S 40 FMGBR9 @ 0.200000 9.00 CALL OPTION EXPIRING 28-NOV-2019		759.33	759.33 CR	0.00 DR	0.00 CR	759.33 CR
23/10/2019	S 40 FMGBR9 @ 0.305000 9.00 CALL OPTION EXPIRING 28-NOV-2019		1,179.33	1,938.66 CR			
23/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	759.33		1,179.33 CR			
23/10/2019	S 40 FMGBR9 @ 0.255000 9.00 CALL OPTION EXPIRING 28-NOV-2019		979.33	2,158.66 CR	0.00 DR	0.00 CR	2,158.66 CR
24/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	2,158.66		0.00 DR	0.00 DR	0.00 CR	0.00 DR
28/10/2019	S 10 BHPZE7 @ 0.520000 37.00 CALL OPTION EXPIRING 28-NOV-2019		483.62	483.62 CR			
28/10/2019	S 10 BHPZE7 @ 0.530000 37.00 CALL OPTION EXPIRING 28-NOV-2019		493.62	977.24 CR	0.00 DR	0.00 CR	977.24 CR
29/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	977.24		0.00 DR			
29/10/2019	S 10 BHPZE7 @ 0.690000 37.00 CALL OPTION EXPIRING 28-NOV-2019		653.62	653.62 CR	0.00 DR	0.00 CR	653.62 CR
30/10/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	653.62		0.00 DR			
30/10/2019	B 10 MQGJY8 @ 0.870000 140.00 CALL OPTION EXPIRING 19-DEC-2019	906.38		906.38 DR	0.00 DR	0.00 CR	0.00 DR
31/10/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		906.38	0.00 DR			
31/10/2019	B 10 MQGJY8 @ 0.910000 140.00 CALL OPTION EXPIRING 19-DEC-2019	946.38		946.38 DR	0.00 DR	0.00 CR	0.00 DR
<b>Total:</b>		<b>21,294.86</b>	<b>16,824.10</b>	<b>946.38 DR</b>			

## Liquidation Advice

### MATCHOUT

Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
BHP	BHPZE7	BHP GROUP LIMITED 28-NOV-2019 C 37.00 (100 Shares per lot;American style options)							
14/10/2019	37.00	Call	10		1.190	25/09/2019	1,208.91 DR		
28/10/2019	37.00	Call	10		0.610	03/10/2019	646.38 DR		
28/10/2019	37.00	Call	10		0.595	03/10/2019	613.91 DR		
29/10/2019	37.00	Call	10		0.595	03/10/2019	613.91 DR		
14/10/2019	37.00	Call		10	0.970	14/10/2019	933.62 CR		
28/10/2019	37.00	Call		10	0.530	28/10/2019	493.62 CR		

Liquidation Advice									
MATCHOUT									
Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
BHP	BHPZE7	BHP GROUP LIMITED 28-NOV-2019 C 37.00 (100 Shares per lot;American style options)							
28/10/2019	37.00	Call		10	0.520	28/10/2019	483.62 CR		
29/10/2019	37.00	Call		10	0.690	29/10/2019	653.62 CR		
Total:							518.63 DR		
FMG	FMGBR9	FORTESCUE METALS GROUP LTD 28-NOV-2019 C 9.00 (100 Shares per lot;American style options)							
23/10/2019	9.00	Call	10		0.160	17/10/2019	168.42 DR		
23/10/2019	9.00	Call	30		0.130	17/10/2019	429.24 DR		
22/10/2019	9.00	Call	40		0.160	17/10/2019	673.68 DR		
23/10/2019	9.00	Call	40		0.138	17/10/2019	590.67 DR		
22/10/2019	9.00	Call		40	0.200	22/10/2019	759.33 CR		
23/10/2019	9.00	Call		40	0.255	23/10/2019	979.33 CR		
23/10/2019	9.00	Call		30	0.305	23/10/2019	884.50 CR		
23/10/2019	9.00	Call		10	0.305	23/10/2019	294.83 CR		
Total:							1,055.98 CR		
MQG	MQGJ28	MACQUARIE GROUP LIMITED 24-OCT-2019 C 134.00 (100 Shares per lot;American style options)							
15/10/2019	134.00	Call	10		0.490	14/10/2019	526.38 DR		
15/10/2019	134.00	Call	10		0.460	14/10/2019	496.38 DR		
15/10/2019	134.00	Call		10	0.670	15/10/2019	651.09 CR		
15/10/2019	134.00	Call		10	0.670	15/10/2019	651.09 CR		
16/10/2019	134.00	Call	20		1.260	16/10/2019	2,557.81 DR		
16/10/2019	134.00	Call		20	1.410	16/10/2019	2,782.19 CR		
Total:							503.80 CR		
MQG	MQGJA8	MACQUARIE GROUP LIMITED 24-OCT-2019 C 140.00 (100 Shares per lot;American style options)							
16/10/2019	140.00	Call	10		0.320	20/09/2019	356.38 DR		
16/10/2019	140.00	Call	20		0.250	20/09/2019	537.81 DR		
16/10/2019	140.00	Call	10		0.030	14/10/2019	38.42 DR		
16/10/2019	140.00	Call		10	0.070	16/10/2019	59.83 CR		
16/10/2019	140.00	Call		20	0.070	16/10/2019	119.66 CR		
16/10/2019	140.00	Call		10	0.070	16/10/2019	59.83 CR		
Total:							693.29 DR		
EXPIRED									
Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
MQG	MQGJA8	MACQUARIE GROUP LIMITED 24-OCT-2019 C 140.00 (100 Shares per lot;American style options)							
24/10/2019	140.00	Call	40		0.030	14/10/2019	153.68 DR		
Total:							153.68 DR		

## Collateral Holdings

Lodgement ID	Type	Units	Cover Value (\$)	Date Lodged
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### Explanatory Notes:

- (a) Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- (b) Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- (c) ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- (d) Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (e) Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (f) For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- (a) the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
- (b) The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.

Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.

Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.