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Monthly Options Statement

For the month of November 2019

MR SIMON LLOYD ROBERTS <PERSONAL
SUPER A/C>
UNIT 1 6 MATHOURA RD
TOORAK VIC 3142 AUS

Account no: 808935

Current Open Position Details

As at close of business on 30/11/2019

Expiry Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Reference	Market Price (\$)	Unrealised P&L (\$)
BHPSX9 BHP GROUP LIMITED 30-JAN-2020 C 38.50 (100 Shares per lot;American style options)									
30/01/2020	38.50	Call	20		0.9200	26/11/2019	C93939913	0.9850	130.00 CR
Total									130.00 CR
FMG7X7 FORTESCUE METALS GROUP LTD 30-JAN-2020 C 10.00 (100 Shares per lot;American style options)									
30/01/2020	10.00	Call	20		0.3400	25/11/2019	C93901464	0.3250	30.00 DR
Total									30.00 DR
MQGS89 MACQUARIE GROUP LIMITED 30-JAN-2020 C 146.00 (100 Shares per lot;American style options)									
30/01/2020	146.00	Call	30		0.7000	28/11/2019	C93996860	0.7000	0.00 DR
30/01/2020	146.00	Call	20		0.7000	29/11/2019	C94026869	0.7000	0.00 DR
Total									0.00 DR
WBCIT9 WESTPAC BANKING CORPORATION 19-DEC-2019 C 25.00 (100 Shares per lot;American style options)									
19/12/2019	25.00	Call	20		0.2700	22/11/2019	C93888784	0.2150	110.00 DR
19/12/2019	25.00	Call	20		0.3100	22/11/2019	C93885678	0.2150	190.00 DR
19/12/2019	25.00	Call	10		0.1700	25/11/2019	C93898232	0.2150	45.00 CR
Total									255.00 DR
WBCWG9 WESTPAC BANKING CORPORATION 30-JAN-2020 C 25.00 (100 Shares per lot;American style options)									
30/01/2020	25.00	Call	20		0.5300	22/11/2019	C93884948	0.3550	350.00 DR
30/01/2020	25.00	Call	20		0.5800	22/11/2019	C93877323	0.3550	450.00 DR
Total									800.00 DR
Total Unrealised P&L:									955.00 DR

Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
	Brought Forward Balance			946.38 DR			
01/11/2019	B 10 MQGJY8 @ 0.300000 140.00 CALL OPTION EXPIRING 19-DEC-2019	336.38		1,282.76 DR			

Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
01/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		946.38	336.38 DR			
01/11/2019	S 20 MQGJY8 @ 0.590000 140.00 CALL OPTION EXPIRING 19-DEC-2019		1,142.19	805.81 CR	0.00 DR	0.00 CR	805.81 CR
04/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	805.81		0.00 DR	0.00 DR	0.00 CR	0.00 DR
05/11/2019	S 10 BHPZE7 @ 0.810000 37.00 CALL OPTION EXPIRING 28-NOV-2019		773.62	773.62 CR			
05/11/2019	S 20 MQGJY8 @ 0.840000 140.00 CALL OPTION EXPIRING 19-DEC-2019		1,642.19	2,415.81 CR	0.00 DR	0.00 CR	2,415.81 CR
06/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	2,415.81		0.00 DR			
06/11/2019	S 20 FMGG39 @ 0.105000 10.00 CALL OPTION EXPIRING 28-NOV-2019		172.19	172.19 CR			
06/11/2019	S 20 FMGG39 @ 0.115000 10.00 CALL OPTION EXPIRING 28-NOV-2019		192.19	364.38 CR	0.00 DR	0.00 CR	364.38 CR
07/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	364.38		0.00 DR	0.00 DR	0.00 CR	0.00 DR
08/11/2019	B 10 MQGJY8 @ 0.990000 140.00 CALL OPTION EXPIRING 19-DEC-2019	1,026.38		1,026.38 DR	0.00 DR	0.00 CR	0.00 DR
11/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		1,026.38	0.00 DR	0.00 DR	0.00 CR	0.00 DR
15/11/2019	S 20 MQGS69 @ 0.060000 146.00 CALL OPTION EXPIRING 28-NOV-2019		82.19	82.19 CR	0.00 DR	0.00 CR	82.19 CR
18/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	82.19		0.00 DR			
18/11/2019	B 10 MQGJY8 @ 1.070000 140.00 CALL OPTION EXPIRING 19-DEC-2019	1,106.38		1,106.38 DR	0.00 DR	0.00 CR	0.00 DR
19/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		1,106.38	0.00 DR	0.00 DR	0.00 CR	0.00 DR
20/11/2019	B 10 MQGJY8 @ 0.750000 140.00 CALL OPTION EXPIRING 19-DEC-2019	786.38		786.38 DR			
20/11/2019	B 10 MQGJY8 @ 0.700000 140.00 CALL OPTION EXPIRING 19-DEC-2019	736.38		1,522.76 DR	0.00 DR	0.00 CR	0.00 DR
21/11/2019	B 10 MQGJY8 @ 0.650000 140.00 CALL OPTION EXPIRING 19-DEC-2019	686.38		2,209.14 DR			
21/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		1,522.76	686.38 DR			
21/11/2019	B 10 MQGJY8 @ 0.590000 140.00 CALL OPTION EXPIRING 19-DEC-2019	626.38		1,312.76 DR	0.00 DR	0.00 CR	0.00 DR
22/11/2019	B 20 WBCIT9 @ 0.410000 25.00 CALL OPTION EXPIRING 19-DEC-2019	857.81		2,170.57 DR			

Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
22/11/2019	B 20 WBCWG9 @ 0.580000 25.00 CALL OPTION EXPIRING 30-JAN-2020	1,197.81		3,368.38 DR			
22/11/2019	B 20 WBCIT9 @ 0.340000 25.00 CALL OPTION EXPIRING 19-DEC-2019	717.81		4,086.19 DR			
22/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		1,312.76	2,773.43 DR			
22/11/2019	B 20 WBCWG9 @ 0.530000 25.00 CALL OPTION EXPIRING 30-JAN-2020	1,097.81		3,871.24 DR			
22/11/2019	B 20 WBCIT9 @ 0.310000 25.00 CALL OPTION EXPIRING 19-DEC-2019	657.81		4,529.05 DR			
22/11/2019	B 20 WBCIT9 @ 0.270000 25.00 CALL OPTION EXPIRING 19-DEC-2019	577.81		5,106.86 DR	0.00 DR	0.00 CR	0.00 DR
25/11/2019	B 10 WBCIT9 @ 0.170000 25.00 CALL OPTION EXPIRING 19-DEC-2019	206.38		5,313.24 DR			
25/11/2019	S 40 FMGG39 @ 0.030000 10.00 CALL OPTION EXPIRING 28-NOV-2019		79.33	5,233.91 DR			
25/11/2019	B 20 FMG7X7 @ 0.340000 10.00 CALL OPTION EXPIRING 30-JAN-2020	717.81		5,951.72 DR			
25/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		5,106.86	844.86 DR	0.00 DR	0.00 CR	0.00 DR
26/11/2019	S 40 WBCIT9 @ 0.360000 25.00 CALL OPTION EXPIRING 19-DEC-2019		1,399.33	554.47 CR			
26/11/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		844.86	1,399.33 CR			
26/11/2019	S 20 MQGJY8 @ 0.890000 140.00 CALL OPTION EXPIRING 19-DEC-2019		1,742.19	3,141.52 CR			
26/11/2019	B 20 BHPSX9 @ 0.920000 38.50 CALL OPTION EXPIRING 30-JAN-2020	1,877.81		1,263.71 CR	0.00 DR	0.00 CR	1,263.71 CR
27/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	1,263.71		0.00 DR			
27/11/2019	S 20 MQGJY8 @ 0.930000 140.00 CALL OPTION EXPIRING 19-DEC-2019		1,822.19	1,822.19 CR	0.00 DR	0.00 CR	1,822.19 CR
28/11/2019	S 20 MQGJY8 @ 1.210000 140.00 CALL OPTION EXPIRING 19-DEC-2019		2,382.19	4,204.38 CR			
28/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	1,822.19		2,382.19 CR			
28/11/2019	B 30 MQGS89 @ 0.700000 146.00 CALL OPTION EXPIRING 30-JAN-2020	2,139.24		242.95 CR	0.00 DR	0.00 CR	242.95 CR
29/11/2019	Direct Transfer - Payee MR SIMON LLOYD ROBERTS <PERSONAL SUPER A/C>	242.95		0.00 DR			
29/11/2019	B 20 MQGS89 @ 0.700000 146.00 CALL OPTION EXPIRING 30-JAN-2020	1,437.81		1,437.81 DR	0.00 DR	0.00 CR	0.00 DR
Total:		23,787.61	23,296.18	1,437.81 DR			

Liquidation Advice									
MATCHOUT									
Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
BHP	BHPZE7	BHP GROUP LIMITED 28-NOV-2019 C 37.00 (100 Shares per lot;American style options)							
05/11/2019	37.00	Call	10		0.430	10/10/2019	466.38	DR	
05/11/2019	37.00	Call		10	0.810	05/11/2019	773.62	CR	
Total:							307.24 CR		
FMG	FMGG39	FORTESCUE METALS GROUP LTD 28-NOV-2019 C 10.00 (100 Shares per lot;American style options)							
06/11/2019	10.00	Call	20		0.125	01/10/2019	270.34	DR	
06/11/2019	10.00	Call	20		0.125	01/10/2019	270.34	DR	
25/11/2019	10.00	Call	40		0.070	03/10/2019	320.67	DR	
06/11/2019	10.00	Call		20	0.115	06/11/2019	192.19	CR	
06/11/2019	10.00	Call		20	0.105	06/11/2019	172.19	CR	
25/11/2019	10.00	Call		40	0.030	25/11/2019	79.33	CR	
Total:							417.64 DR		
MQG	MQGJY8	MACQUARIE GROUP LIMITED 19-DEC-2019 C 140.00 (100 Shares per lot;American style options)							
01/11/2019	140.00	Call	10		0.730	21/10/2019	766.38	DR	
01/11/2019	140.00	Call	10		0.870	30/10/2019	906.38	DR	
05/11/2019	140.00	Call	10		0.910	31/10/2019	946.38	DR	
01/11/2019	140.00	Call		10	0.590	01/11/2019	571.09	CR	
01/11/2019	140.00	Call		10	0.590	01/11/2019	571.09	CR	
05/11/2019	140.00	Call	10		0.300	01/11/2019	336.38	DR	
05/11/2019	140.00	Call		10	0.840	05/11/2019	821.09	CR	
05/11/2019	140.00	Call		10	0.840	05/11/2019	821.09	CR	
26/11/2019	140.00	Call	10		0.990	08/11/2019	1,026.38	DR	
26/11/2019	140.00	Call	10		1.070	18/11/2019	1,106.38	DR	
27/11/2019	140.00	Call	10		0.750	20/11/2019	786.38	DR	
27/11/2019	140.00	Call	10		0.700	20/11/2019	736.38	DR	
28/11/2019	140.00	Call	10		0.650	21/11/2019	686.38	DR	
28/11/2019	140.00	Call	10		0.590	21/11/2019	626.38	DR	
26/11/2019	140.00	Call		10	0.890	26/11/2019	871.09	CR	
26/11/2019	140.00	Call		10	0.890	26/11/2019	871.09	CR	
27/11/2019	140.00	Call		10	0.930	27/11/2019	911.09	CR	
27/11/2019	140.00	Call		10	0.930	27/11/2019	911.09	CR	
28/11/2019	140.00	Call		10	1.210	28/11/2019	1,191.09	CR	
28/11/2019	140.00	Call		10	1.210	28/11/2019	1,191.09	CR	
Total:							807.10 CR		
MQG	MQGS69	MACQUARIE GROUP LIMITED 28-NOV-2019 C 146.00 (100 Shares per lot;American style options)							
15/11/2019	146.00	Call	20		0.290	20/09/2019	617.81	DR	
15/11/2019	146.00	Call		20	0.060	15/11/2019	82.19	CR	
Total:							535.62 DR		
WBC	WBCIT9	WESTPAC BANKING CORPORATION 19-DEC-2019 C 25.00 (100 Shares per lot;American style options)							
26/11/2019	25.00	Call	20		0.340	22/11/2019	717.81	DR	

Liquidation Advice									
MATCHOUT									
Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
WBC	WBCIT9	WESTPAC BANKING CORPORATION 19-DEC-2019 C 25.00 (100 Shares per lot;American style options)							
26/11/2019	25.00	Call	20		0.410	22/11/2019	857.81 DR		
26/11/2019	25.00	Call		20	0.360	26/11/2019	699.66 CR		
26/11/2019	25.00	Call		20	0.360	26/11/2019	699.66 CR		
Total:							176.30 DR		

EXPIRED									
Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
MQG	MQGS69	MACQUARIE GROUP LIMITED 28-NOV-2019 C 146.00 (100 Shares per lot;American style options)							
28/11/2019	146.00	Call	20		0.220	20/09/2019	477.81 DR		
Total:							477.81 DR		

Collateral Holdings

Lodgement ID	Type	Units	Cover Value (\$)	Date Lodged
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Explanatory Notes:

- (a) Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- (b) Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- (c) ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- (d) Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (e) Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (f) For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- (a) the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
- (b) The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.

Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.

Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.