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## Monthly

## Options

## Statement

For the month of September 2019

MR SIMON LLOYD ROBERTS <PERSONAL  
SUPER A/C>  
UNIT 1 6 MATHOURA RD  
TOORAK VIC 3142 AUS

**Account no:** 808935

### Current Open Position Details

As at close of business on 30/09/2019

Expiry Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Reference	Market Price (\$)	Unrealised P&L (\$)
BHPZE7 BHP GROUP LIMITED 28-NOV-2019 C 37.00 (100 Shares per lot;American style options)									
28/11/2019	37.00	Call	10		1.1900	25/09/2019	C92757306	1.1650	25.00 DR
Total									25.00 DR
MQGJA8 MACQUARIE GROUP LIMITED 24-OCT-2019 C 140.00 (100 Shares per lot;American style options)									
24/10/2019	140.00	Call	20		0.2500	20/09/2019	C92676930	0.0950	310.00 DR
24/10/2019	140.00	Call	10		0.3200	20/09/2019	C92671283	0.0950	225.00 DR
Total									535.00 DR
MQGS69 MACQUARIE GROUP LIMITED 28-NOV-2019 C 146.00 (100 Shares per lot;American style options)									
28/11/2019	146.00	Call	20		0.2200	20/09/2019	C92677475	0.1350	170.00 DR
28/11/2019	146.00	Call	20		0.2900	20/09/2019	C92668726	0.1350	310.00 DR
Total									480.00 DR
Total Unrealised P&L:									1,040.00 DR

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
	Brought Forward Balance			0.00 DR			
20/09/2019	B 20 MQGS69 @ 0.290000 146.00 CALL OPTION EXPIRING 28-NOV-2019	617.81		617.81 DR			
20/09/2019	B 10 MQGJA8 @ 0.320000 140.00 CALL OPTION EXPIRING 24-OCT-2019	356.38		974.19 DR			
20/09/2019	B 20 MQGJA8 @ 0.250000 140.00 CALL OPTION EXPIRING 24-OCT-2019	537.81		1,512.00 DR			
20/09/2019	B 20 MQGS69 @ 0.220000 146.00 CALL OPTION EXPIRING 28-NOV-2019	477.81		1,989.81 DR	0.00 DR	0.00 CR	0.00 DR
23/09/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		1,989.81	0.00 DR	0.00 DR	0.00 CR	0.00 DR
24/09/2019	B 20 FMGBR9 @ 0.450000 9.00 CALL OPTION EXPIRING 28-NOV-2019	937.81		937.81 DR			
24/09/2019	B 10 BHPZE7 @ 1.560000 37.00 CALL OPTION EXPIRING 28-NOV-2019	1,596.38		2,534.19 DR	0.00 DR	0.00 CR	0.00 DR
25/09/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		2,534.19	0.00 DR			
25/09/2019	B 20 BHPZE7 @ 1.190000 37.00 CALL OPTION EXPIRING 28-NOV-2019	2,417.81		2,417.81 DR	0.00 DR	0.00 CR	0.00 DR
26/09/2019	Direct Transfer 067167 18121497 Drawer MR SIMON LLOYD ROBERTS ITF PERSONAL SUPER		2,417.81	0.00 DR	0.00 DR	0.00 CR	0.00 DR
30/09/2019	S 20 BHPZE7 @ 1.340000 37.00 CALL OPTION EXPIRING 28-NOV-2019		2,642.19	2,642.19 CR			
30/09/2019	S 20 FMGBR9 @ 0.460000 9.00 CALL OPTION EXPIRING 28-NOV-2019		882.19	3,524.38 CR	0.00 DR	0.00 CR	3,524.38 CR
	<b>Total:</b>	<b>6,941.81</b>	<b>10,466.19</b>	<b>3,524.38 CR</b>			

## Liquidation Advice

### MATCHOUT

Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
BHP	BHPZE7	BHP GROUP LIMITED 28-NOV-2019 C 37.00 (100 Shares per lot;American style options)							
30/09/2019	37.00	Call	10		1.560	24/09/2019	1,596.38 DR		
30/09/2019	37.00	Call	10		1.190	25/09/2019	1,208.91 DR		
30/09/2019	37.00	Call		10	1.340	30/09/2019	1,321.09 CR		
30/09/2019	37.00	Call		10	1.340	30/09/2019	1,321.09 CR		
<b>Total:</b>							<b>163.11 DR</b>		
FMG	FMGBR9	FORTESCUE METALS GROUP LTD 28-NOV-2019 C 9.00 (100 Shares per lot;American style options)							
30/09/2019	9.00	Call	20		0.450	24/09/2019	937.81 DR		
30/09/2019	9.00	Call		20	0.460	30/09/2019	882.19 CR		
<b>Total:</b>							<b>55.62 DR</b>		

## Collateral Holdings

Lodgement ID	Type	Units	Cover Value (\$)	Date Lodged
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### Explanatory Notes:

- (a) Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- (b) Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- (c) ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- (d) Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (e) Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (f) For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- (a) the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
- (b) The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.

Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.

Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.