



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage

Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

June 1, 2022 - June 30, 2022
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	278,869.26
Dividends, Interest and Other Income	136.69
Other Transactions	-20.50
Net Change in Portfolio¹	-13,545.54
ENDING ACCOUNT VALUE	265,439.91
Estimated Annual Income	1,782.31

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	658.42	807.22
99%	Equities	278,210.84	264,632.69
100%	Account Total	278,869.26	265,439.91

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
✓	Statements and Reports
✓	Trade Confirmations
✓	Tax Documents
✓	Notifications
✓	Prospectus*
✓	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.4545	0.6875

Exchange rates are based on interbank exchange rates as of 06/30/2022. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	554.96	807.21
Total Global Cash Balance		807.21

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	136.69	0.00	633.47	0.00
Other Dividends	0.00	0.00	206.52	0.00
Total Income	136.69	0.00	839.99	0.00



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Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		472.22	554.96	USD		
		658.42	807.22	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		472.22	554.96	USD	0.00	
		658.42	807.22	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ADVANCED MICRO DEVICES INC COM Security Identifier: AMD CUSIP: 007903107	5.0000	76.4700	382.35 556.15	USD AUD		
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.0000	2,187.4500	19,687.05 28,635.70	USD AUD		
AMAZON COM INC COM Security Identifier: AMZN CUSIP: 023135106	160.0000	106.2100	16,993.60 24,717.96	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.0000	136.7200	76,563.20 111,364.62	USD AUD	515.20 749.38	0.67%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.0000	256.8300	40,322.31 58,650.61	USD AUD	389.36 566.34	0.96%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.0000	174.8700	3,497.40 5,087.13	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.0000	151.5900	19,403.52	USD	20.48	0.10%
Security Identifier: NVDA			28,223.29	AUD	29.79	
CUSIP: 67066G104						
Total Common Stocks			176,849.43	USD	925.04	
			257,235.46	AUD	1,345.51	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.0000	26.0800	5,085.60	USD	300.30	5.90%
Security Identifier: STOR			7,397.23	AUD	436.80	
CUSIP: 862121100						
Total Real Estate Investment Trusts			5,085.60	USD	300.30	
			7,397.23	AUD	436.80	
TOTAL EQUITIES			181,935.03	USD	1,225.34	
			264,632.69	AUD	1,782.31	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			182,489.99	USD	0.00	1,225.34
			265,439.91	AUD	0.00	1,782.31
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			265,439.91		0.00	1,782.31

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-817.30	-817.30
Total Securities	0.00	0.00	0.00	0.00	-817.30	-817.30
Dividends and Interest	136.69	0.00	136.69	839.99	0.00	839.99



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-20.50	-20.50	8.96	-125.96	-117.00
Totals	136.69	-20.50	116.19	848.95	-943.26	-94.31

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
06/08/22	STOCK SPLIT RECEIVED AMZN	8 SHRS AMAZON COM INC COM RD 05/27 PD 06/08/22	152.0000			0.00	USD
06/09/22	CASH DIVIDEND RECEIVED MSFT	157 SHRS MICROSOFT CORP COM RD 05/19 PD 06/09/22				97.34 136.69	USD AUD
06/09/22	NON-RESIDENT ALIEN TAX MSFT	NRA TAX WITHHELD ON 157 SHRS MICROSOFT CORP COM RD 05/19 PD 06/09/22				-14.60 -20.50	USD AUD
Total Value of Transactions						0.00	82.74 USD
Total AUD Equivalent						0.00	116.19 AUD

The price and quantity displayed may have been rounded.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment	Currency
Dividends NVIDIA CORP COM	06/09/22	07/01/22	128.000	0.040000	Cash	5.12	USD
Total Cash Not Yet Received						5.12	USD
						7.45	AUD

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly. Balances in Federal Deposit Insurance Corporation (FDIC)-insured bank deposit sweep products are not protected by Securities Investor Protection Corporation (SIPC).



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Messages *(continued)*

PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION

BEST EXECUTION

Pershing selects certain market centers for routing non-directed orders that provide automated execution of substantially all electronically transmitted orders in OTC and exchange-listed equity securities. In the case of U.S. listed options Pershing selects certain wholesale brokers for routing non directed orders that provide access to option exchange price improvement auctions. The designated market centers and wholesale brokers to which orders are routed are selected based on the following:

- The consistent high quality of their executions in one or more market segments
- Their ability to provide opportunities for executions at prices superior to the national best bid of offer (NBBO)
- Service, technology reliability, accessibility speed of execution
- Cost and counterparty credit worthiness

Pershing regularly reviews reports for execution quality and other factors.

STOP ORDER ELECTION/TRIGGER

Equity odd-lot sales count toward consolidated and participant exchange volumes, but do not update the last-sale, open, close, high, or low price. Since odd-lot executions are not last-sale eligible, they will not trigger non-directed stop, stop-limit or trailing-stop orders routed to Pershing for execution.

PERSHING'S IMPARTIAL LOTTERY PROCESS: PARTIAL CALLS

Information about Pershing's impartial lottery process can be found at pershing.com/disclosures. A printed copy of this information is available by calling (888) 367-2563, option 3 then option 5.

When a security is subject to a partial redemption, Pershing has procedures to treat you fairly. When an issuer initiates a partial call of securities, the depository holding such securities (typically the Depository Trust and Clearing Corporation, or DTCC) conducts an impartial, computerized lottery using an incremental random number technique to determine the allocation of called securities to participants for which it holds securities on deposit (including Pershing). Because DTCC's lottery is random and impartial, participants may or may not receive an allocation of securities selected for redemption.

When Pershing is notified that it received an allocation of called securities, Pershing conducts a similar, computer-generated random lottery. The lottery determines the accounts that will be selected and the number of securities in the account that will be redeemed. Allocations are based on the number of trading units held in the account. The probability of any trading unit held by an account being selected as called in a partial call is proportional to the total number of trading units held through Pershing.

Once the lottery is complete, Pershing notifies your firm which accounts have received an allocation. Securities registered in the client's name, either in transit or held in custody, are excluded from the Pershing lottery process.

Pershing initiates the lottery process by identifying the accounts holding the called security, the total par value of the called securities held, and the trading unit of the security. For example (unit of trade = \$25,000):

Messages *(continued)*

Client Account	Par Value	Number of Trading Units
ABC-123234	\$100,000	4
DEF-325465	\$75,000	3
EDR-567433	\$150,000	6
EGT-876574	\$50,000	2
EGT-888345	\$25,000	1
FRT-435234	\$25,000	1
FRT-658797	\$75,000	3

In brief, the allocation process involves the following steps:

- The number of trading units held in each account is identified.
- A sequential number is assigned to each trading unit (e.g., account EDR-567433 would be assigned six numbers).
- A random number is generated that will result in one of these trading units being the first unit in the selection process.
- Thereafter, the trading units participating in the allocation are based on an incremental random number technique until the number of trading units allocated to Pershing is exhausted.

Additional Information

- The allocation of called securities is not made on a pro-rata basis. Therefore, it is possible that a client may receive a full or partial redemption of shares held. Conversely, it is also possible that a client may not have any securities selected for redemption.
- When a partial call is deemed favorable to the holders of the called security, Pershing will exclude certain accounts from the lottery. Excluded accounts will include Pershing's proprietary and employee accounts, as well as proprietary and employee accounts of your firm (if Pershing carries and clears those accounts). No allocation will be made to these proprietary and employee accounts until all other client positions at Pershing in such securities have been called. When a partial call is deemed unfavorable to holders of the called security, Pershing will not exclude any accounts from the lottery.
- If the partial call is made at a price above the current market price as captured in Pershing's price reporting system, Pershing will generally categorize the partial call as one that is favorable to the holders of such security. If the partial call is made at a price that is equal to or below the current market price of the security as captured in Pershing's price reporting system, Pershing will generally categorize that call as one that is unfavorable to holders of the security.
- Clients have the right to withdraw uncalled, fully paid securities from Pershing at any time prior to the cut-off date and time established by the issuer, transfer agent and/or depository with respect to the partial call. Clients also have the right to withdraw excess margin securities, provided that the client account is not subject to restriction under Regulation T or that such withdrawal will not cause an under-margined condition.
- Impartial lottery is conducted based on settled positions as of the close of business the day prior to the publication date.

A copy of the full annual disclosure, including information on revenue sharing, can be found on our website at pershing.com/disclosures/annual.pdf

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: www.afca.org.au or by telephone on 1800 931 678 (free call).



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Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

May 1, 2022 - May 31, 2022
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	290,380.18
Dividends, Interest and Other Income	187.37
Other Transactions	-28.10
Net Change in Portfolio¹	-11,670.19
ENDING ACCOUNT VALUE	278,669.26
Estimated Annual Income	1,708.51

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	510.54	658.42
99%	Equities	289,869.64	278,210.84
100%	Account Total	290,380.18	278,669.26

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
 Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
✓	Statements and Reports
✓	Trade Confirmations
✓	Tax Documents
✓	Notifications
✓	Prospectus*
✓	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3943	0.7172

Exchange rates are based on interbank exchange rates as of 05/31/2022. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	472.22	658.42
Total Global Cash Balance		658.42

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	187.37	0.00	496.78	0.00
Other Dividends	0.00	0.00	206.52	0.00
Total Income	187.37	0.00	703.30	0.00



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 Australia Square NSW 1215
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 ABN 60 067 254 399 AFSL 238814

May 1, 2022 - May 31, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		362.74	472.22	USD		
		510.54	658.42	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		362.74	472.22	USD	0.00	
		510.54	658.42	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ADVANCED MICRO DEVICES INC COM Security Identifier: AMD CUSIP: 007903107	5.0000	101.8600	509.30 710.12	USD AUD		
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.0000	2,280.7800	20,527.02 28,621.05	USD AUD		
² AMAZON COM INC COM Security Identifier: AMZN CUSIP: 023135106	8.0000	2,404.1900	19,233.52 26,817.51	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.0000	148.8400	83,350.40 116,216.38	USD AUD	515.20 718.35	0.61%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.0000	271.8700	42,683.59 59,514.20	USD AUD	389.36 542.89	0.91%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.0000	197.4400	3,948.80 5,505.86	USD AUD		



Portfolio Holdings *(continued)*

U.S. DOLLARS *(continued)*

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES <i>(continued)</i>						
Common Stocks <i>(continued)</i>						
NVIDIA CORP COM	128.0000	186.7200	23,900.16	USD	20.48	0.08%
Security Identifier: NVDA			33,324.26	AUD	28.56	
CUSIP: 67066G104						
Total Common Stocks			194,152.79	USD	925.04	
			270,709.38	AUD	1,289.80	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.0000	27.5900	5,380.05	USD	300.30	5.58%
Security Identifier: STOR			7,501.46	AUD	418.71	
CUSIP: 862121100						
Total Real Estate Investment Trusts			5,380.05	USD	300.30	
			7,501.46	AUD	418.71	
TOTAL EQUITIES			199,532.84	USD	1,225.34	
			278,210.84	AUD	1,708.51	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			200,005.06	USD	0.00	1,225.34
			278,869.26	AUD	0.00	1,708.51
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			278,869.26		0.00	1,708.51

² A corporate action is occurring for this security. Please review the Corporate Action Events Not Yet Processed section of your statement for more details.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.



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May 1, 2022 - May 31, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-817.30	-817.30



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Total Securities	0.00	0.00	0.00	0.00	-817.30	-817.30
Dividends and Interest	187.37	0.00	187.37	703.30	0.00	703.30
Taxes Withheld	0.00	-28.10	-28.10	8.96	-105.46	-96.50
Totals	187.37	-28.10	159.27	712.26	-922.76	-210.50

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
05/12/22	CASH DIVIDEND RECEIVED AAPL	560 SHRS APPLE INC COM RD 05/09 PD 05/12/22				128.80	USD
05/12/22	NON-RESIDENT ALIEN TAX AAPL	NRA TAX WITHHELD ON 560 SHRS APPLE INC COM RD 05/09 PD 05/12/22				187.37	AUD
						-19.32	USD
						-28.10	AUD
Total Value of Transactions						0.00	109.48 USD
Total AUD Equivalent						0.00	159.27 AUD

The price and quantity displayed may have been rounded.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment	Currency
Dividends MICROSOFT CORP COM	05/19/22	06/09/22	157.000	0.620000	Cash	97.34	USD
Total Cash Not Yet Received						97.34	USD
						135.72	AUD

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.



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May 1, 2022 - May 31, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Corporate Action Events Not Yet Processed

Security	Event Type Event ID	Ex-Dividend Date	Distribution Date	Quantity Held	Rate	Event Quantity	Current Price	Market Value	Currency
Securities Not Yet Received									
AMAZON COM INC COM	STOCK SPLIT DAQ43000LFY4	06/06/22	06/08/22	8.000	19.0000000	152.0000	0.0000	0.00	USD
Total Securities Not Yet Received								0.00	USD
								0.00	AUD

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt.

Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly. Please note, DWS money funds will no longer be available as sweep options to new clients effective May 9, 2022.

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: www.afca.org.au or by telephone on 1800 931 678 (free call).

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant



Important Information and Disclosures *(continued)*

The Role of Pershing *(continued)*

as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.

- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**.



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

April 1, 2022 - April 30, 2022
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	328,138.01
Dividends, Interest and Other Income	102.06
Other Transactions	-15.30
Net Change in Portfolio¹	-37,844.59
ENDING ACCOUNT VALUE	290,380.18
Estimated Annual Income	1,724.61

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	398.13	510.54
99%	Equities	327,739.88	289,869.64
100%	Account Total	328,138.01	290,380.18

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.4074	0.7105

Exchange rates are based on interbank exchange rates as of 04/29/2022. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	362.74	510.54
Total Global Cash Balance		510.54

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	0.00	0.00	309.41	0.00
Other Dividends	102.06	0.00	206.52	0.00
Total Income	102.06	0.00	515.93	0.00



Commonwealth Securities Ltd
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 Australia Square NSW 1215
 Tel: 1300 361 170
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April 1, 2022 - April 30, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		298.92	362.74	USD		
		398.13	510.54	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		298.92	362.74	USD	0.00	
		398.13	510.54	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ADVANCED MICRO DEVICES INC COM Security Identifier: AMD CUSIP: 007903107	5.0000	85.5200	427.60 601.83	USD AUD		
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.0000	2,299.3300	20,693.97 29,125.91	USD AUD		
AMAZON COM INC COM Security Identifier: AMZN CUSIP: 023135106	8.0000	2,485.6300	19,885.04 27,987.38	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.0000	157.6500	88,284.00 124,256.11	USD AUD	515.20 725.12	0.58%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.0000	277.5200	43,570.64 61,323.89	USD AUD	389.36 548.01	0.89%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.0000	190.3600	3,807.20 5,358.48	USD AUD		



Portfolio Holdings *(continued)*

U.S. DOLLARS *(continued)*

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES <i>(continued)</i>						
Common Stocks <i>(continued)</i>						
NVIDIA CORP COM	128.0000	185.4700	23,740.16	USD	20.48	0.08%
Security Identifier: NVDA			33,413.30	AUD	28.82	
CUSIP: 67066G104						
Total Common Stocks			200,408.61	USD	925.04	
			282,066.90	AUD	1,301.95	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.0000	28.4300	5,543.85	USD	300.30	5.41%
Security Identifier: STOR			7,802.74	AUD	422.66	
CUSIP: 862121100						
Total Real Estate Investment Trusts			5,543.85	USD	300.30	
			7,802.74	AUD	422.66	
TOTAL EQUITIES			205,952.46	USD	1,225.34	
			289,869.64	AUD	1,724.61	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			206,315.20	USD	0.00	1,225.34
			290,380.18	AUD	0.00	1,724.61
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			290,380.18		0.00	1,724.61

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

April 1, 2022 - April 30, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-817.30	-817.30
Total Securities	0.00	0.00	0.00	0.00	-817.30	-817.30
Dividends and Interest	102.06	0.00	102.06	515.93	0.00	515.93



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-15.30	-15.30	8.96	-77.36	-68.40
Totals	102.06	-15.30	86.76	524.89	-894.66	-369.77

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency	
04/18/22	CASH DIVIDEND RECEIVED	195 SHRS STORE CAP CORP COM RD 03/31 PD				75.08	USD	
	STOR	04/15/22				102.06	AUD	
04/18/22	NON-RESIDENT ALIEN TAX	NRA TAX WITHHELD ON 195 SHRS STORE CAP CORP				-11.26	USD	
	STOR	COM RD 03/31 PD 04/15/22				-15.30	AUD	
Total Value of Transactions						0.00	63.82	USD
Total AUD Equivalent						0.00	86.76	AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly. Please note, DWS money funds will no longer be available as sweep options to new clients effective May 9, 2022.

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: www.afca.org.au or by telephone on 1800 931 678 (free call).

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the



April 1, 2022 - April 30, 2022
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Important Information and Disclosures *(continued)*

The Role of Pershing *(continued)*

acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.

- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**



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Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

March 1, 2022 - March 31, 2022
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	322,733.40
Dividends, Interest and Other Income	139.11
Other Transactions	-11.90
Net Change in Portfolio¹	5,277.40
ENDING ACCOUNT VALUE	328,138.01
Estimated Annual Income	1,602.21

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	282.88	398.13
99%	Equities	322,450.52	327,739.88
100%	Account Total	322,733.40	328,138.01

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3319	0.7508

Exchange rates are based on interbank exchange rates as of 03/31/2022. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	298.92	398.14
Total Global Cash Balance		398.14

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	139.11	0.00	309.41	0.00
Other Dividends	0.00	0.00	104.46	0.00
Total Income	139.11	0.00	413.87	0.00



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 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		205.23	298.92	USD		
		282.88	398.13	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		205.23	298.92	USD	0.00	
		282.88	398.13	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ADVANCED MICRO DEVICES INC COM Security Identifier: AMD CUSIP: 007903107	5.0000	109.3400	546.70 728.16	USD AUD		
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.0000	2,792.9900	25,136.91 33,480.15	USD AUD		
AMAZON COM INC COM Security Identifier: AMZN CUSIP: 023135106	8.0000	3,259.9500	26,079.60 34,735.73	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.0000	174.6100	97,781.60 130,236.49	USD AUD	492.80 656.37	0.50%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.0000	308.3100	48,404.67 64,470.76	USD AUD	389.36 518.59	0.80%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.0000	374.5900	7,491.80 9,978.42	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.0000	272.8600	34,926.08	USD	20.48	0.05%
Security Identifier: NVDA			46,518.47	AUD	27.28	
CUSIP: 67066G104						
Total Common Stocks			240,367.36	USD	902.64	
			320,148.18	AUD	1,202.24	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.0000	29.2300	5,699.85	USD	300.30	5.26%
Security Identifier: STOR			7,591.70	AUD	399.97	
CUSIP: 862121100						
Total Real Estate Investment Trusts			5,699.85	USD	300.30	
			7,591.70	AUD	399.97	
TOTAL EQUITIES			246,067.21	USD	1,202.94	
			327,739.88	AUD	1,602.21	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			246,366.13	USD	0.00	1,202.94
			328,138.01	AUD	0.00	1,602.21
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			328,138.01		0.00	1,602.21

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

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Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

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Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-817.30	-817.30
Total Securities	0.00	0.00	0.00	0.00	-817.30	-817.30
Dividends and Interest	139.11	0.00	139.11	413.87	0.00	413.87



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	8.96	-20.86	-11.90	8.96	-62.06	-53.10
Totals	148.07	-20.86	127.21	422.83	-879.36	-456.53

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
03/10/22	03/09/22	NON-RESIDENT ALIEN TAX PRIOR YEAR ADJUSTMENT STOR	REFUNDABLE U.S. FED TAX W/HELD 07/15/21 STORE CAP CORP COM REPORTED ON 1042-S INCOME RECLASSIFIED BY THE ISSUER				1.62 2.20	USD AUD
03/10/22	03/09/22	NON-RESIDENT ALIEN TAX PRIOR YEAR ADJUSTMENT STOR	REFUNDABLE U.S. FED TAX W/HELD 04/15/21 STORE CAP CORP COM REPORTED ON 1042-S INCOME RECLASSIFIED BY THE ISSUER				1.62 2.20	USD AUD
03/10/22	03/09/22	NON-RESIDENT ALIEN TAX PRIOR YEAR ADJUSTMENT STOR	REFUNDABLE U.S. FED TAX W/HELD 01/15/21 STORE CAP CORP COM REPORTED ON 1042-S INCOME RECLASSIFIED BY THE ISSUER				1.62 2.20	USD AUD
03/10/22	03/09/22	NON-RESIDENT ALIEN TAX PRIOR YEAR ADJUSTMENT STOR	REFUNDABLE U.S. FED TAX W/HELD 10/15/21 STORE CAP CORP COM REPORTED ON 1042-S INCOME RECLASSIFIED BY THE ISSUER				1.74 2.36	USD AUD
03/10/22		CASH DIVIDEND RECEIVED MSFT	157 SHRS MICROSOFT CORP COM RD 02/17 PD 03/10/22				97.34 132.29	USD AUD
03/10/22		NON-RESIDENT ALIEN TAX MSFT	NRA TAX WITHHELD ON 157 SHRS MICROSOFT CORP COM RD 02/17 PD 03/10/22				-14.60 -19.84	USD AUD
03/24/22		CASH DIVIDEND RECEIVED NVDA	128 SHRS NVIDIA CORP COM RD 03/03 PD 03/24/22				5.12 6.82	USD AUD
03/24/22		NON-RESIDENT ALIEN TAX NVDA	NRA TAX WITHHELD ON 128 SHRS NVIDIA CORP COM RD 03/03 PD 03/24/22				-0.77 -1.02	USD AUD
Total Value of Transactions						0.00	93.69	USD
Total AUD Equivalent						0.00	127.21	AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or



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CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Messages *(continued)*

quarterly. Please note, DWS money funds will no longer be available as sweep options to new clients effective May 9, 2022.

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: www.afca.org.au or by telephone on 1800 931 678 (free call).

PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION

CASH BALANCE/"FLOAT" DISCLOSURE

Pershing obtains a financial benefit attributable to the cash balances in any account (including Employee Retirement Income Security Act accounts) that are held by Pershing in accounts held with major money center banks (the names of which will be provided upon request). Pershing's financial benefit is in the form of either interest on such balances (often paid at the federal funds rate) and/or reductions in expenses that Pershing would otherwise pay to such banks.

These cash balances result from: (1) cash awaiting investment; or (2) cash pending distribution. With respect to cash awaiting investment (e.g., new contributions), Pershing obtains such financial benefit until the funds are automatically invested into a money market fund or bank deposit sweep product ("Sweep Product") or are used for other purposes, such as to purchase securities. If an account agreement provides for the automatic investment into a Sweep Product, such investment will take place on the day after the receipt of cash (and the financial benefit will be one day), unless timely instructions are received to manually purchase the sweep product on the same day that cash is received, which may be accommodated in certain cases. If the account agreement does not provide for automatic investment into a Sweep Product, no automatic investment will occur until the day after the appropriate instructions are added to your account by your firm.

When Pershing receives a request for a distribution by check, the account is charged (debited) on the date the check is written. Pershing mails disbursement checks on the same day that they are written. Pershing may obtain the financial benefit described above from the date the check is written until the date the check is presented for payment, the timing of which is beyond Pershing's control. When a distribution is requested using an Automated Clearing House instruction, Pershing receives a one-day financial benefit in connection with the distribution. If the distribution is made using the Federal Reserve wire system, Pershing receives no financial benefit in connection with the distribution.

PAYMENT FOR ORDER FLOW PRACTICES

Pershing sends equity orders to exchanges or broker-dealers for execution. Some of these market centers provide payments to Pershing or charge access fees depending upon the characteristics of the order and execution.

Orders executed on one or more exchange(s) will either earn a rebate or be assessed an access fee based on each exchange's published fee schedule, which can typically be found on each exchange's web site. Exchange listed equity orders routed to an OTC market maker typically earn a cash payment per share for or per executed order with certain exclusions for OTC securities. The details of these payments and fees are available upon written request.

Pershing receives payments for directing U.S. exchange listed options order flow to broker-dealers using Smart Order Routing technology, which allows Pershing to access price improvement auctions on the various options exchanges. Generally, Pershing receives a per-option contract cash payment. This disclosure only applies to orders routed to Pershing, for further routing and handling, by your firm. For a list of organizations that pay Pershing for nondirected order flow, please visit www.orderroutingdisclosure.com. Pershing executes certain equity orders with a fractional share component as principal. Pershing also routes orders in preferred securities to an affiliate (BNY Mellon Capital Markets, LLC), which they may also execute as principal while facilitating the trade as a market maker.

A copy of the full annual disclosure, including information on revenue sharing, can be found on our website at www.pershing.com/disclosures/annual.pdf.



PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION

STATEMENT OF FINANCIAL CONDITION DATED DECEMBER 31, 2021

On December 31, 2021, Pershing regulatory net capital of \$2.75 billion was 13.84% of aggregate debit items and \$2.35 billion in excess of the minimum requirement. A complete copy of the December 31, 2021 Statement of Financial Condition is available at www.pershing.com/statement-of-financial-condition. You may request a free printed copy by calling (888) 860-8510.

SECURITIES INVESTOR PROTECTION CORPORATION® (SIPC®) INFORMATION

Information regarding SIPC, including a SIPC brochure, may be obtained by contacting SIPC via its website at www.sipc.org or by telephone at (202) 371-8300. An investment in a bank deposit program insured by the Federal Deposit Insurance Corporation (FDIC) is covered by FDIC insurance (up to allowable limits), but not eligible for SIPC coverage. Please review your statement for additional information on the sweep product in your brokerage account.

FINANCIAL INDUSTRY REGULATORY AUTHORITY® (FINRA®) INVESTOR EDUCATION AND PROTECTION

A brochure that includes information describing FINRA BrokerCheck® may be obtained from FINRA at www.finra.org. The FINRA BrokerCheck hotline number is (800) 289-9999.

MUNICIPAL SECURITIES RULEMAKING BOARD® (MSRB®) RULE G-10 INVESTOR AND MUNICIPAL ADVISORY CLIENT EDUCATION AND PROTECTION

An investor brochure that describes the protections available under MSRB rules and instructions on how to file a complaint with an appropriate regulatory authority can be found on the MSRB website at www.msrb.org. Pershing is registered with the Securities and Exchange Commission (SEC) and MSRB, and is a member of FINRA and the New York Stock Exchange (NYSE).

MARGIN DISCLOSURE

Securities held in your margin account will be used as collateral for margin loans made to you. Some firms increase the rate of interest Pershing charges on margin loans and in those cases, Pershing and your firm share in the interest you pay. If the securities in your margin account decline in value, your financial organization or Pershing can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with Pershing to maintain the required equity in the margin account. It is important that you fully understand the risks involved in purchasing securities on margin. These risks include:

- You may lose more funds or securities than you deposited in your margin account.
- Your financial organization or Pershing may force the sale of securities or other assets in your account(s).
- Your financial organization or Pershing can sell your securities or other assets in your account(s) without contacting you.
- You are not entitled to choose which securities or other assets in your account(s) are to be liquidated or sold to meet a margin call.
- Your financial organization or Pershing can increase its maintenance margin requirements at any time without advance written notice to you.
- You are not entitled to an extension of time on a margin call.

Your Margin Agreement with Pershing and your firm outlines your important obligations. The Margin Agreement is a legally binding contract and it cannot be modified by conduct. The failure on the part of Pershing or your firm at any time to enforce its rights under the Margin Agreement will not, in any way, be deemed to waive, modify or relax any of the rights granted to Pershing or your firm to deal with the collateral on all loans advanced to you.

SUBSTITUTE PAYMENT REIMBURSEMENT



Commonwealth Securities Ltd
Locked Bay 22
Australia Square NSW 1215
Tel: 1300 361 170
ABN 60 067 254 399 AFSL 238814

March 1, 2022 - March 31, 2022
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Messages *(continued)*

As permitted under your Margin Agreement, Pershing may lend securities in your account when there is a debit balance. You are not entitled to receive a dividend when your securities are on loan over an ex-dividend date. Pershing may issue a substitute payment in lieu of the dividend and compensate you for the tax difference.

A substitute payment received in lieu of a dividend may be eligible for a reimbursement to your account only if the account is open on the reimbursement date. Please note that these reimbursements are: (1) credited at Pershing's discretion; (2) subject to change; and (3) may be eliminated without advance notification. Pershing suggests that you contact your tax advisor to discuss the treatment of substitute payments.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.

Important Information and Disclosures *(continued)*

Important Arbitration Disclosures *(continued)*

- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

February 1, 2022 - February 28, 2022
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	343,268.15
Dividends, Interest and Other Income	170.30
Other Transactions	-25.54
Net Change in Portfolio¹	-20,679.51
ENDING ACCOUNT VALUE	322,733.40
Estimated Annual Income	1,658.09

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	142.69	282.88
99%	Equities	343,125.46	322,450.52
100%	Account Total	343,268.15	322,733.40

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
✓	Statements and Reports
✓	Trade Confirmations
✓	Tax Documents
✓	Notifications
✓	Prospectus*
✓	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents.
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3783	0.7255

Exchange rates are based on interbank exchange rates as of 02/28/2022. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	205.23	282.88
Total Global Cash Balance		282.88

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	170.30	0.00	170.30	0.00
Other Dividends	0.00	0.00	104.46	0.00
Total Income	170.30	0.00	274.76	0.00



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 ABN 60 067 254 399 AFSL 238814

February 1, 2022 - February 28, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		100.51	205.23	USD		
		142.68	282.88	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		100.51	205.23	USD	0.00	
		142.68	282.88	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ADVANCED MICRO DEVICES INC COM Security Identifier: AMD CUSIP: 007903107	5.0000	123.3400	616.70 850.03	USD AUD		
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.0000	2,697.8200	24,280.38 33,467.08	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.0000	3,071.2600	24,570.08 33,866.39	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.0000	165.1200	92,467.20 127,453.00	USD AUD	492.80 679.26	0.53%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.0000	298.7900	46,910.03 64,658.86	USD AUD	389.36 536.68	0.83%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.0000	394.5200	7,890.40 10,875.80	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.0000	243.8500	31,212.80	USD	20.48	0.06%
Security Identifier: NVDA			43,022.44	AUD	28.23	
CUSIP: 67066G104						
Total Common Stocks			227,947.59	USD	902.64	
			314,193.60	AUD	1,244.17	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.0000	30.7200	5,990.40	USD	300.30	5.01%
Security Identifier: STOR			8,256.92	AUD	413.92	
CUSIP: 862121100						
Total Real Estate Investment Trusts			5,990.40	USD	300.30	
			8,256.92	AUD	413.92	
TOTAL EQUITIES			233,937.99	USD	1,202.94	
			322,450.52	AUD	1,658.09	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			234,143.22	USD	0.00	1,202.94
			322,733.40	AUD	0.00	1,658.09
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			322,733.40		0.00	1,658.09

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-817.30	-817.30
Total Securities	0.00	0.00	0.00	0.00	-817.30	-817.30
Dividends and Interest	170.30	0.00	170.30	274.76	0.00	274.76



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-25.54	-25.54	0.00	-41.20	-41.20
Totals	170.30	-25.54	144.76	274.76	-858.50	-583.74

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency	
02/10/22	CASH DIVIDEND RECEIVED	560 SHRS APPLE INC COM RD 02/07 PD 02/10/22				123.20	USD	
	AAPL					170.30	AUD	
02/10/22	NON-RESIDENT ALIEN TAX	NRA TAX WITHHELD ON 560 SHRS APPLE INC COM				-18.48	USD	
	AAPL	RD 02/07 PD 02/10/22				-25.54	AUD	
Total Value of Transactions						0.00	104.72	USD
Total AUD Equivalent						0.00	144.76	AUD

The price and quantity displayed may have been rounded.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment	Currency
Dividends							
MICROSOFT CORP COM	02/17/22	03/10/22	157.000	0.620000	Cash	97.34	USD
Total Cash Not Yet Received						97.34	USD
						134.17	AUD

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: www.afca.org.au or by telephone on 1800 931 678 (free call).



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Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage

Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

January 1, 2022 - January 31, 2022
 Account Number: OMC-202704
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	360,392.87
Dividends, Interest and Other Income	104.46
Other Transactions	-15.66
Net Change in Portfolio¹	-17,213.52
ENDING ACCOUNT VALUE	343,268.15
Estimated Annual Income	1,707.74

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	836.75	142.69
99%	Equities	359,556.12	343,125.46
100%	Account Total	360,392.87	343,268.15

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
 Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents.
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.4196	0.7044

Exchange rates are based on interbank exchange rates as of 01/31/2022. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	100.51	142.69
Total Global Cash Balance		142.69

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Other Dividends	104.46	0.00	104.46	0.00
Total Income	104.46	0.00	104.46	0.00



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 ABN 60 067 254 399 AFSL 238814

January 1, 2022 - January 31, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		608.23	100.51	USD		
		836.74	142.69	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		608.23	100.51	USD	0.00	
		836.74	142.69	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ADVANCED MICRO DEVICES INC COM Security Identifier: AMD CUSIP: 007903107	5.0000	114.2500	571.25	USD		
			810.97	AUD		
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.0000	2,713.9700	24,425.73	USD		
			34,675.91	AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.0000	2,991.4700	23,931.76	USD		
			33,974.65	AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.0000	174.7800	97,876.80	USD	492.80	0.50%
			138,950.51	AUD	699.60	
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.0000	310.9800	48,823.86	USD	389.36	0.79%
			69,312.65	AUD	552.75	
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.0000	427.1400	8,542.80	USD		
			12,127.76	AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.0000	244.8600	31,342.08	USD	20.48	0.06%
Security Identifier: NVDA			44,494.69	AUD	29.07	
CUSIP: 67066G104						
Total Common Stocks			235,514.28	USD	902.64	
			334,347.14	AUD	1,281.42	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.0000	31.7100	6,183.45	USD	300.30	4.85%
Security Identifier: STOR			8,778.32	AUD	426.32	
CUSIP: 862121100						
Total Real Estate Investment Trusts			6,183.45	USD	300.30	
			8,778.32	AUD	426.32	
TOTAL EQUITIES			241,697.73	USD	1,202.94	
			343,125.46	AUD	1,707.74	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			241,798.24	USD	0.00	1,202.94
			343,268.15	AUD	0.00	1,707.74
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			343,268.15		0.00	1,707.74

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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January 1, 2022 - January 31, 2022
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-817.30	-817.30	0.00	-817.30	-817.30
Total Securities	0.00	-817.30	-817.30	0.00	-817.30	-817.30
Dividends and Interest	104.46	0.00	104.46	104.46	0.00	104.46



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-15.66	-15.66	0.00	-15.66	-15.66
Totals	104.46	-832.96	-728.50	104.46	-832.96	-728.50

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
01/18/22		CASH DIVIDEND RECEIVED	195 SHRS STORE CAP CORP COM RD 12/31 PD				75.08	USD
		STOR	01/18/22				104.46	AUD
01/18/22		NON-RESIDENT ALIEN TAX	NRA TAX WITHHELD ON 195 SHRS STORE CAP CORP				-11.26	USD
		STOR	COM RD 12/31 PD 01/18/22				-15.66	AUD
01/28/22	01/26/22	PURCHASED	ADVANCED MICRO DEVICES INC COM UNSOLICITED	5.0000	110.3176		-571.54	USD
		AMD	ORDER				-817.30	AUD
Total Value of Transactions						0.00	-507.72	USD
Total AUD Equivalent						0.00	-728.50	AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

If you are not satisfied with the service or advice you receive from us, you are entitled to complain. Please refer to our Financial Services Guide or website for information on next steps. If we have not resolved the issue to your satisfaction, you can find further information on how to lodge a complaint with the Australian Financial Complaints Authority (AFCA) by visiting their website: www.afca.org.au or by telephone on 1800 931 678 (free call).

Important Information and Disclosures

The Role of Pershing

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January 1, 2022 - January 31, 2022
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Important Information and Disclosures (*continued*)

The Role of Pershing (*continued*)

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- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
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- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
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- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
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Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**.



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Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

December 1, 2021 - December 31, 2021
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	366,449.76
Dividends, Interest and Other Income	143.38
Other Transactions	-21.50
Net Change in Portfolio¹	-6,178.77
ENDING ACCOUNT VALUE	360,392.87
Estimated Annual Income	1,654.88

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	735.14	836.75
99%	Equities	365,714.62	359,556.12
100%	Account Total	366,449.76	360,392.87

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
✓	Statements and Reports
✓	Trade Confirmations
✓	Tax Documents
✓	Notifications
✓	Prospectus*
✓	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3757	0.7269

Exchange rates are based on interbank exchange rates as of 12/31/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	608.23	836.75
Total Global Cash Balance		836.75

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	143.38	0.00	1,060.61	0.00
Other Dividends	0.00	0.00	377.24	0.00
Total Income	143.38	0.00	1,437.85	0.00



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December 1, 2021 - December 31, 2021
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		521.14	608.23	USD		
		735.13	836.75	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		521.14	608.23	USD	0.00	
		735.13	836.75	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.000	2,893.5900	26,042.31 35,826.54	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.000	3,334.3400	26,674.72 36,696.55	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.000	177.5700	99,439.20 136,799.00	USD AUD	492.80 677.95	0.49%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.000	336.3200	52,802.24 72,640.31	USD AUD	389.36 535.64	0.73%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.000	602.4400	12,048.80 16,575.59	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.000	294.1100	37,646.08	USD	20.48	0.05%
Security Identifier: NVDA			51,789.90	AUD	28.17	
CUSIP: 67066G104						
Total Common Stocks			254,653.35	USD	902.64	
			350,327.89	AUD	1,241.76	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.000	34.4000	6,708.00	USD	300.30	4.47%
Security Identifier: STOR			9,228.23	AUD	413.12	
CUSIP: 862121100						
Total Real Estate Investment Trusts			6,708.00	USD	300.30	
			9,228.23	AUD	413.12	
TOTAL EQUITIES			261,361.35	USD	1,202.94	
			359,556.12	AUD	1,654.88	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			261,969.58	USD	0.00	1,202.94
			360,392.87	AUD	0.00	1,654.88
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			360,392.87		0.00	1,654.88

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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December 1, 2021 - December 31, 2021
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Total Securities	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Dividends and Interest	143.38	0.00	143.38	1,437.85	0.00	1,437.85



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-21.50	-21.50	6.21	-215.61	-209.40
Cash						
Deposits	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Total Cash	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Totals	143.38	-21.50	121.88	110,850.25	-111,093.48	-243.23

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency	
12/09/21	CASH DIVIDEND RECEIVED MSFT	157 SHRS MICROSOFT CORP COM RD 11/18 PD 12/09/21				97.34	USD	
12/09/21	NON-RESIDENT ALIEN TAX MSFT	NRA TAX WITHHELD ON 157 SHRS MICROSOFT CORP COM RD 11/18 PD 12/09/21				-14.60	USD	
12/23/21	CASH DIVIDEND RECEIVED NVDA	128 SHRS NVIDIA CORP COM RD 12/02 PD 12/23/21				5.12	USD	
12/23/21	NON-RESIDENT ALIEN TAX NVDA	NRA TAX WITHHELD ON 128 SHRS NVIDIA CORP COM RD 12/02 PD 12/23/21				-0.77	USD	
						-1.06	AUD	
Total Value of Transactions						0.00	87.09	USD
Total AUD Equivalent						0.00	121.88	AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



December 1, 2021 - December 31, 2021
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

November 1, 2021 - November 30, 2021
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	323,962.11
Dividends, Interest and Other Income	168.32
Other Transactions	-25.24
Net Change in Portfolio¹	42,344.57
ENDING ACCOUNT VALUE	366,449.76
Estimated Annual Income	1,696.91

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	554.56	735.14
99%	Equities	323,407.55	365,714.62
100%	Account Total	323,962.11	366,449.76

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.4106	0.7089

Exchange rates are based on interbank exchange rates as of 11/30/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	521.14	735.14
Total Global Cash Balance		735.14

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	168.32	0.00	917.23	0.00
Other Dividends	0.00	0.00	377.24	0.00
Total Income	168.32	0.00	1,294.47	0.00



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Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		416.42	521.14	USD		
		554.56	735.14	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		416.42	521.14	USD	0.00	
		554.56	735.14	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.000	2,849.0400	25,641.36 36,170.63	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.000	3,507.0700	28,056.56 39,577.59	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.000	165.3000	92,568.00 130,579.75	USD AUD	492.80 695.16	0.53%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.000	330.5900	51,902.63 73,215.72	USD AUD	389.36 549.25	0.75%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.000	641.9000	12,838.00 18,109.74	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.000	326.7600	41,825.28	USD	20.48	0.04%
Security Identifier: NVDA			59,000.25	AUD	28.89	
CUSIP: 67066G104						
Total Common Stocks			252,831.83	USD	902.64	
			356,653.68	AUD	1,273.30	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.000	32.9400	6,423.30	USD	300.30	4.67%
Security Identifier: STOR			9,060.94	AUD	423.61	
CUSIP: 862121100						
Total Real Estate Investment Trusts			6,423.30	USD	300.30	
			9,060.94	AUD	423.61	
TOTAL EQUITIES			259,255.13	USD	1,202.94	
			365,714.62	AUD	1,696.91	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			259,776.27	USD	0.00	1,202.94
			366,449.76	AUD	0.00	1,696.91
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			366,449.76		0.00	1,696.91

Portfolio Holdings Disclosures

Pricing

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THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

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Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

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Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Total Securities	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Dividends and Interest	168.32	0.00	168.32	1,294.47	0.00	1,294.47



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-25.24	-25.24	6.21	-194.11	-187.90
Cash						
Deposits	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Total Cash	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Totals	168.32	-25.24	143.08	110,706.87	-111,071.98	-365.11

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency	
11/12/21	CASH DIVIDEND RECEIVED AAPL	560 SHRS APPLE INC COM RD 11/08 PD 11/11/21				123.20	USD	
						168.32	AUD	
11/12/21	NON-RESIDENT ALIEN TAX AAPL	NRA TAX WITHHELD ON 560 SHRS APPLE INC COM RD 11/08 PD 11/11/21				-18.48	USD	
						-25.24	AUD	
Total Value of Transactions						0.00	104.72	USD
Total AUD Equivalent						0.00	143.08	AUD

The price and quantity displayed may have been rounded.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment	Currency
Dividends							
MICROSOFT CORP COM	11/18/21	12/09/21	157.000	0.620000	Cash	97.34	USD
Total Cash Not Yet Received						97.34	USD
						137.31	AUD

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



November 1, 2021 - November 30, 2021
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

October 1, 2021 - October 31, 2021
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	303,366.27
Dividends, Interest and Other Income	101.14
Other Transactions	-15.16
Net Change in Portfolio¹	20,509.86
ENDING ACCOUNT VALUE	323,962.11
Estimated Annual Income	1,601.99

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	488.23	554.56
99%	Equities	302,878.04	323,407.55
100%	Account Total	303,366.27	323,962.11

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail: commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3317	0.7509

Exchange rates are based on interbank exchange rates as of 10/29/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	416.42	554.56
Total Global Cash Balance		554.56

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	0.00	0.00	748.91	0.00
Other Dividends	101.14	0.00	377.24	0.00
Total Income	101.14	0.00	1,126.15	0.00



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October 1, 2021 - October 31, 2021
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		352.60	416.42	USD		
		488.23	554.56	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		352.60	416.42	USD	0.00	
		488.23	554.56	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.000	2,965.4100	26,688.69 35,542.26	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.000	3,372.4300	26,979.44 35,929.46	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.000	149.8000	83,888.00 111,716.59	USD AUD	492.80 656.28	0.58%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.000	331.6200	52,064.34 69,335.90	USD AUD	389.36 518.52	0.74%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.000	690.3100	13,806.20 18,386.20	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.000	255.6700	32,725.76	USD	20.48	0.06%
Security Identifier: NVDA			43,582.04	AUD	27.27	
CUSIP: 67066G104						
Total Common Stocks			236,152.43	USD	902.64	
			314,492.45	AUD	1,202.07	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.000	34.3300	6,694.35	USD	300.30	4.48%
Security Identifier: STOR			8,915.10	AUD	399.92	
CUSIP: 862121100						
Total Real Estate Investment Trusts			6,694.35	USD	300.30	
			8,915.10	AUD	399.92	
TOTAL EQUITIES			242,846.78	USD	1,202.94	
			323,407.55	AUD	1,601.99	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			243,263.20	USD	0.00	1,202.94
			323,962.11	AUD	0.00	1,601.99
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			323,962.11		0.00	1,601.99

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Total Securities	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Dividends and Interest	101.14	0.00	101.14	1,126.15	0.00	1,126.15



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-15.16	-15.16	6.21	-168.87	-162.66
Cash						
Deposits	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Total Cash	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Totals	101.14	-15.16	85.98	110,538.55	-111,046.74	-508.19

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
10/15/21	CASH DIVIDEND RECEIVED STOR	195 SHRS STORE CAP CORP COM RD 09/30 PD 10/15/21				75.08	USD
						101.14	AUD
10/15/21	NON-RESIDENT ALIEN TAX STOR	NRA TAX WITHHELD ON 195 SHRS STORE CAP CORP COM RD 09/30 PD 10/15/21				-11.26	USD
						-15.16	AUD
Total Value of Transactions						0.00	63.82 USD
Total AUD Equivalent						0.00	85.98 AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

Important Information and Disclosures

The Role of Pershing

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- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your



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October 1, 2021 - October 31, 2021
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Important Information and Disclosures *(continued)*

The Role of Pershing *(continued)*

account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.

- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

Brokerage

Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

September 1, 2021 - September 30, 2021
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	319,848.22
Dividends, Interest and Other Income	126.16
Other Transactions	-18.92
Net Change in Portfolio¹	-16,589.19
ENDING ACCOUNT VALUE	303,366.27
Estimated Annual Income	1,665.66

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	374.33	488.23
99%	Equities	319,473.89	302,878.04
100%	Account Total	319,848.22	303,366.27

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail Address:
 commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
✓	Statements and Reports
✓	Trade Confirmations
✓	Tax Documents
✓	Notifications
✓	Prospectus*
✓	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com

*c#####@gmail.com is on file for these documents

The above e-mail address is partially masked for your security.

Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3846	0.7222

Exchange rates are based on interbank exchange rates as of 09/30/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	352.60	488.23
Total Global Cash Balance		488.23

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	126.16	0.00	748.91	0.00
Other Dividends	0.00	0.00	276.10	0.00
Total Income	126.16	0.00	1,025.01	0.00



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September 1, 2021 - September 30, 2021
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		273.52	352.60	USD		
		374.32	488.23	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		273.52	352.60	USD	0.00	
		374.32	488.23	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.000	2,665.3100	23,987.79 33,214.86	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.000	3,285.0400	26,280.32 36,389.23	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.000	141.5000	79,240.00 109,720.22	USD AUD	492.80 682.36	0.62%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.000	281.9200	44,261.44 61,286.91	USD AUD	389.36 539.13	0.87%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.000	610.3400	12,206.80 16,902.23	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.000	207.1600	26,516.48	USD	20.48	0.07%
Security Identifier: NVDA			36,716.23	AUD	28.36	
CUSIP: 67066G104						
Total Common Stocks			212,492.83	USD	902.64	
			294,229.68	AUD	1,249.85	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.000	32.0300	6,245.85	USD	300.30	4.80%
Security Identifier: STOR			8,648.36	AUD	415.81	
CUSIP: 862121100						
Total Real Estate Investment Trusts			6,245.85	USD	300.30	
			8,648.36	AUD	415.81	
TOTAL EQUITIES			218,738.68	USD	1,202.94	
			302,878.04	AUD	1,665.66	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			219,091.28	USD	0.00	1,202.94
			303,366.27	AUD	0.00	1,665.66
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			303,366.27		0.00	1,665.66

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



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September 1, 2021 - September 30, 2021
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 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Total Securities	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Dividends and Interest	126.16	0.00	126.16	1,025.01	0.00	1,025.01



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-18.92	-18.92	6.21	-153.71	-147.50
Cash						
Deposits	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Total Cash	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Totals	126.16	-18.92	107.24	110,437.41	-111,031.58	-594.17

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency	
09/09/21	CASH DIVIDEND RECEIVED MSFT	157 SHRS MICROSOFT CORP COM RD 08/19 PD 09/09/21				87.92	USD	
						119.16	AUD	
09/09/21	NON-RESIDENT ALIEN TAX MSFT	NRA TAX WITHHELD ON 157 SHRS MICROSOFT CORP COM RD 08/19 PD 09/09/21				-13.19	USD	
						-17.87	AUD	
09/23/21	CASH DIVIDEND RECEIVED NVDA	128 SHRS NVIDIA CORP COM RD 09/01 PD 09/23/21				5.12	USD	
						7.00	AUD	
09/23/21	NON-RESIDENT ALIEN TAX NVDA	NRA TAX WITHHELD ON 128 SHRS NVIDIA CORP COM RD 09/01 PD 09/23/21				-0.77	USD	
						-1.05	AUD	
Total Value of Transactions						0.00	79.08	USD
Total AUD Equivalent						0.00	107.24	AUD

The price and quantity displayed may have been rounded.

Messages

Pursuant to the Securities Exchange Act of 1934, Pershing LLC (Pershing), a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis. Pershing's June Statement of Financial Condition is now available. On June 30, 2021, Pershing's net capital of \$2.75 billion was 15.67% of aggregate debit balances and exceeded the minimum requirements by \$2.40 billion. Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2021, Pershing's net capital of \$2.68 billion was 15.21% of aggregate debit balances and exceeded the minimum requirement by \$2.33 billion. A copy of the June 30, 2021, Statement of Financial Condition is available at perishing.com/statement_of_financial_condition.html. You may also request a free, printed copy by calling (888)860-8510 or (201) 413-3333, option 1.

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



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Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



Commonwealth Securities Ltd
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 Australia Square NSW 1215
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Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

August 1, 2021 - August 31, 2021
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	299,236.47
Dividends, Interest and Other Income	167.84
Other Transactions	-25.17
Net Change in Portfolio¹	20,469.08
ENDING ACCOUNT VALUE	319,848.22
Estimated Annual Income	1,568.03

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	229.70	374.33
99%	Equities	299,006.77	319,473.89
100%	Account Total	299,236.47	319,848.22

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail Address:
 commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents.
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3685	0.7307

Exchange rates are based on interbank exchange rates as of 08/31/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	273.52	374.33
Total Global Cash Balance		374.33

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	167.84	0.00	622.75	0.00
Other Dividends	0.00	0.00	276.10	0.00
Total Income	167.84	0.00	898.85	0.00



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 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance						
		168.80	273.52	USD		
		229.69	374.33	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		168.80	273.52	USD	0.00	
		229.69	374.33	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.000	2,909.2400	26,183.16 35,832.96	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.000	3,470.7900	27,766.32 37,999.60	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.000	151.8300	85,024.80 116,360.69	USD AUD	492.80 674.42	0.57%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.000	301.8800	47,395.16 64,862.65	USD AUD	351.68 481.29	0.74%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.000	569.1900	11,383.80 15,579.30	USD AUD		



Portfolio Holdings *(continued)*

U.S. DOLLARS *(continued)*

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES <i>(continued)</i>						
Common Stocks <i>(continued)</i>						
NVIDIA CORP COM	128.000	223.8500	28,652.80	USD	20.48	0.07%
Security Identifier: NVDA			39,212.79	AUD	28.03	
CUSIP: 67066G104						
Total Common Stocks			226,406.04	USD	864.96	
			309,847.99	AUD	1,183.74	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.000	36.0700	7,033.65	USD	280.80	3.99%
Security Identifier: STOR			9,625.90	AUD	384.29	
CUSIP: 862121100						
Total Real Estate Investment Trusts			7,033.65	USD	280.80	
			9,625.90	AUD	384.29	
TOTAL EQUITIES			233,439.69	USD	1,145.76	
			319,473.89	AUD	1,568.03	
			Market Value	Currency	Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			233,713.21	USD	0.00	1,145.76
			319,848.22	AUD	0.00	1,568.03
			Market Value		Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			319,848.22		0.00	1,568.03

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making



Commonwealth Securities Ltd
 Locked Bay 22
 Australia Square NSW 1215
 Tel: 1300 361 170
 ABN 60 067 254 399 AFSL 238814

August 1, 2021 - August 31, 2021
 CHRISTINE GATES SUPERANNUATION
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Total Securities	0.00	0.00	0.00	0.00	-110,877.87	-110,877.87
Dividends and Interest	167.84	0.00	167.84	898.85	0.00	898.85



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-25.17	-25.17	6.21	-134.79	-128.58
Cash						
Deposits	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Total Cash	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Totals	167.84	-25.17	142.67	110,311.25	-111,012.66	-701.41

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
08/12/21	CASH DIVIDEND RECEIVED AAPL	560 SHRS APPLE INC COM RD 08/09 PD 08/12/21				123.20	USD
						167.84	AUD
08/12/21	NON-RESIDENT ALIEN TAX AAPL	NRA TAX WITHHELD ON 560 SHRS APPLE INC COM RD 08/09 PD 08/12/21				-18.48	USD
						-25.17	AUD
Total Value of Transactions					0.00	104.72	USD
Total AUD Equivalent					0.00	142.67	AUD

The price and quantity displayed may have been rounded.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment	Currency
Dividends							
MICROSOFT CORP COM	08/19/21	09/09/21	157.000	0.560000	Cash	87.92	USD
Total Cash Not Yet Received						87.92	USD
						120.32	AUD

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



August 1, 2021 - August 31, 2021
CHRISTINE GATES SUPERANNUATION
Base Currency: AUSTRALIAN DOLLAR (AUD)

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**



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Brokerage Account Statement

CHRISTINE GATES SUPERANNUATION
 FUND UAD 02/01/14
 C GATES PTY LTD TTEE
 FBO CHRISTINE MARGARET GATES
 PO BOX 147
 ROZELLE NSW 2039 AUS

July 1, 2021 - July 31, 2021
 Account Number: **OMC-202704**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	284,252.18
Dividends, Interest and Other Income	101.24
Other Transactions	-15.18
Net Change in Portfolio¹	14,898.23
ENDING ACCOUNT VALUE	299,236.47
Estimated Annual Income	1,559.07

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	31,591.70	229.70
99%	Equities	252,660.48	299,006.77
100%	Account Total	284,252.18	299,236.47

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail Address:
 commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input checked="" type="checkbox"/>	Trade Confirmations
<input checked="" type="checkbox"/>	Tax Documents
<input checked="" type="checkbox"/>	Notifications
<input checked="" type="checkbox"/>	Prospectus*
<input checked="" type="checkbox"/>	Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

c#####@gmail.com
 *c#####@gmail.com is on file for these documents.
 The above e-mail address is partially masked for your security.
 Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.3607	0.7349

Exchange rates are based on interbank exchange rates as of 07/30/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	168.80	229.69
Total Global Cash Balance		229.69

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	6.84	0.00	454.91	0.00
Other Dividends	94.40	0.00	276.10	0.00
Total Income	101.24	0.00	731.01	0.00



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Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio						
Global Cash Balance		23,712.74	168.80	USD		
		31,591.70	229.70	AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS						
		23,712.74	168.80	USD	0.00	
		31,591.70	229.70	AUD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
ALPHABET INC CAP STK CL C Security Identifier: GOOG CUSIP: 02079K107	9.000	2,704.4200	24,339.78 33,119.84	USD AUD		
AMAZON COM INC Security Identifier: AMZN CUSIP: 023135106	8.000	3,327.5900	26,620.72 36,223.59	USD AUD		
APPLE INC COM Security Identifier: AAPL CUSIP: 037833100	560.000	145.8600	81,681.60 111,146.52	USD AUD	492.80 670.57	0.60%
MICROSOFT CORP COM Security Identifier: MSFT CUSIP: 594918104	157.000	284.9100	44,730.87 60,866.59	USD AUD	351.68 478.54	0.78%
NETFLIX INC COM Security Identifier: NFLX CUSIP: 64110L106	20.000	517.5700	10,351.40 14,085.45	USD AUD		



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
NVIDIA CORP COM	128.000	194.9900	24,958.72	USD	20.48	0.08%
Security Identifier: NVDA			33,962.05	AUD	27.87	
CUSIP: 67066G104						
Total Common Stocks			212,683.09	USD	864.96	
			289,404.04	AUD	1,176.98	
Real Estate Investment Trusts						
STORE CAP CORP COM	195.000	36.1900	7,057.05	USD	280.80	3.97%
Security Identifier: STOR			9,602.73	AUD	382.09	
CUSIP: 862121100						
Total Real Estate Investment Trusts			7,057.05	USD	280.80	
			9,602.73	AUD	382.09	
TOTAL EQUITIES			219,740.14	USD	1,145.76	
			299,006.77	AUD	1,559.07	
					Accrued Interest	Estimated Annual Income
TOTAL U.S. DOLLARS			219,908.94	USD	0.00	1,145.76
			299,236.47	AUD	0.00	1,559.07
					Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR			299,236.47		0.00	1,559.07

Portfolio Holdings Disclosures

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Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures *(continued)*

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Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-31,748.19	-31,748.19	0.00	-110,877.87	-110,877.87
Total Securities	0.00	-31,748.19	-31,748.19	0.00	-110,877.87	-110,877.87
Dividends and Interest	101.24	0.00	101.24	731.01	0.00	731.01



Activity Summary *(continued)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Taxes Withheld	0.00	-15.18	-15.18	6.21	-109.62	-103.41
Cash						
Deposits	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Total Cash	0.00	0.00	0.00	109,406.19	0.00	109,406.19
Totals	101.24	-31,763.37	-31,662.13	110,143.41	-110,987.49	-844.08

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
07/01/21		CASH DIVIDEND RECEIVED NVDA	32 SHRS NVIDIA CORP COM RD 06/10 PD 07/01/21				5.12	USD
07/01/21		NON-RESIDENT ALIEN TAX NVDA	NRA TAX WITHHELD ON 32 SHRS NVIDIA CORP COM RD 06/10 PD 07/01/21				6.84	AUD
07/01/21		NON-RESIDENT ALIEN TAX NVDA	NRA TAX WITHHELD ON 32 SHRS NVIDIA CORP COM RD 06/10 PD 07/01/21				-0.77	USD
07/15/21	07/13/21	PURCHASED GOOG	ALPHABET INC CAP STK CL C UNSOLICITED ORDER	9.000	2,615.0000		-1.02	AUD
07/15/21		CASH DIVIDEND RECEIVED STOR	195 SHRS STORE CAP CORP COM RD 06/30 PD 07/15/21				-23,607.96	USD
07/15/21		CASH DIVIDEND RECEIVED STOR	195 SHRS STORE CAP CORP COM RD 06/30 PD 07/15/21				-31,748.19	AUD
07/15/21		NON-RESIDENT ALIEN TAX STOR	NRA TAX WITHHELD ON 195 SHRS STORE CAP CORP COM RD 06/30 PD 07/15/21				70.20	USD
07/15/21		NON-RESIDENT ALIEN TAX STOR	NRA TAX WITHHELD ON 195 SHRS STORE CAP CORP COM RD 06/30 PD 07/15/21				94.40	AUD
07/22/21		STOCK SPLIT RECEIVED NVDA	32 SHRS NVIDIA CORP COM RD 06/21 PD 07/22/21	96.000			-10.53	USD
07/22/21		STOCK SPLIT RECEIVED NVDA	32 SHRS NVIDIA CORP COM RD 06/21 PD 07/22/21	96.000			-14.16	AUD
		Total Value of Transactions				0.00	-23,543.94	USD
		Total AUD Equivalent				0.00	-31,662.13	AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



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- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
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- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
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- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
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- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
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- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

Important Information and Disclosures *(continued)*

Important Arbitration Agreement *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**