



SHARE INVESTING

ROSENBAUM & SCOTT PTY LTD <ROSENBAUM S/F A/C>

PO BOX 966

DRUMMOYNE NSW 1470

Trading Account Statement

Period: 01/07/2020 - 30/06/2021

Trading Account Number: 1367593

| Date | Reference | Description | Debit | Credit | Balance |
|------------|-----------|---|-----------|-----------|-------------|
| 01/07/2020 | | Open Balance | | | 2,635.95 |
| 02/07/2020 | 10400340 | Wdl ANZCIA 012-012 457804965 10626157 | | 2,635.95 | 0.00 |
| 06/07/2020 | 10700540 | Sold 108 ANZPH @ 102.0000 | | 10,986.05 | 10,986.05Cr |
| 07/07/2020 | 10705978 | Sold 82 ANZPH @ 102.0000 | | 8,364.00 | 19,350.05Cr |
| 08/07/2020 | 8076935 | Dep ANZCIA 012-012 457804965 10700540 | 10,986.05 | | 8,364.00Cr |
| 09/07/2020 | 8086151 | Dep ANZCIA 012-012 457804965 10705978 | 8,364.00 | | 0.00 |
| 21/07/2020 | 10603659 | Wdl ANZCIA 012-012 457804965 TRANSFER TO NOM AC | | 30,000.00 | 30,000.00Cr |
| 21/07/2020 | 8169567 | DE: 642-170 100280962 | 30,000.00 | | 0.00 |
| 14/09/2020 | 11575550 | Sold 1985 MFFOA @ 0.2750 | | 525.93 | 525.93Cr |
| 16/09/2020 | 8720907 | Dep ANZCIA 012-012 457804965 11575550 | 525.93 | | 0.00 |
| 21/10/2020 | 12011545 | Sold 4760 MIR @ 3.0000 | | 14,250.05 | 14,250.05Cr |
| 22/10/2020 | 12016853 | Sold 240 MIR @ 3.0000 | | 720.00 | 14,970.05Cr |
| 23/10/2020 | 9024426 | Dep ANZCIA 012-012 457804965 12011545 | 14,250.05 | | 720.00Cr |
| 26/10/2020 | 9033915 | Dep ANZCIA 012-012 457804965 12016853 | 720.00 | | 0.00 |
| 11/12/2020 | 12674525 | Bght 100 ACDC @ 79.6500 | 7,989.95 | | 7,989.95 |
| 15/12/2020 | 12284511 | Wdl ANZCIA 012-012 457804965 12674525 | | 7,989.95 | 0.00 |
| 31/12/2020 | 12853056 | Bght 20 CSL @ 286.0000 | 5,739.95 | | 5,739.95 |
| 05/01/2021 | 12451223 | Wdl ANZCIA 012-012 457804965 12853056 | | 5,739.95 | 0.00 |
| 12/01/2021 | 12989194 | Bght 120 ACDC @ 89.1200 | 10,714.35 | | 10,714.35 |
| 14/01/2021 | 12570729 | Wdl ANZCIA 012-012 457804965 12989194 | | 10,714.35 | 0.00 |
| 19/01/2021 | 13084282 | Sold 3053 MLT @ 4.9500 | | 15,092.40 | 15,092.40Cr |
| 20/01/2021 | 13110221 | Bght 10000 CIE @ 0.6300 | 6,319.95 | | 8,772.45Cr |
| 21/01/2021 | 9791497 | Dep ANZCIA 012-012 457804965 13084282 | 15,092.40 | | 6,319.95 |
| 22/01/2021 | 12677267 | Wdl ANZCIA 012-012 457804965 13110221 | | 6,319.95 | 0.00 |
| 22/01/2021 | 12688582 | Wdl ANZCIA 012-012 457804965 TRANSFER TO NOM AC | | 15,000.00 | 15,000.00Cr |
| 22/01/2021 | 9808799 | DE: 642-170 100280962 | 15,000.00 | | 0.00 |
| 22/01/2021 | 13151366 | Bght 2000 MFFOA @ 0.2600 | 539.95 | | 539.95 |
| 27/01/2021 | 12722098 | Wdl ANZCIA 012-012 457804965 13151366 | | 539.95 | 0.00 |
| 28/01/2021 | 13236520 | Bght 15 CSL @ 265.0000 | 3,994.95 | | 3,994.95 |
| 29/01/2021 | 13269696 | Sold 1443 MLT @ 4.8400 | | 6,979.76 | 2,984.81Cr |
| 01/02/2021 | 12792088 | Wdl ANZCIA 012-012 457804965 13236520 | | 3,994.95 | 6,979.76Cr |
| 02/02/2021 | 9932538 | Dep ANZCIA 012-012 457804965 13269696 | 6,979.76 | | 0.00 |
| 05/02/2021 | 13383196 | Bght 78 ACDC @ 89.6200 | 7,015.31 | | 7,015.31 |
| 09/02/2021 | 12933862 | Wdl ANZCIA 012-012 457804965 13383196 | | 7,015.31 | 0.00 |
| 17/02/2021 | 13577316 | Sold 8000 SWTZ @ 2.5000 | | 19,978.00 | 19,978.00Cr |
| 18/02/2021 | 13614122 | Bght 180 ACDC @ 92.6600 | 16,698.75 | | 3,279.25Cr |
| 19/02/2021 | 10156316 | Dep ANZCIA 012-012 457804965 13577316 | 19,978.00 | | 16,698.75 |
| 22/02/2021 | 13192340 | Wdl ANZCIA 012-012 457804965 13614122 | | 16,698.75 | 0.00 |
| 02/03/2021 | 13817191 | Bght 2500 MICH @ 2.6500 | 6,649.95 | | 6,649.95 |
| 04/03/2021 | 13340726 | Wdl ANZCIA 012-012 457804965 13817191 | | 6,649.95 | 0.00 |
| 25/03/2021 | 14105067 | Bght 1000 EARTH @ 12.5200 | 12,539.95 | | 12,539.95 |
| 25/03/2021 | 14105068 | Bght 1000 CLDD @ 13.5500 | 13,569.95 | | 26,109.90 |
| 29/03/2021 | 13575107 | Wdl ANZCIA 012-012 457804965 14105067 | | 12,539.95 | 13,569.95 |

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SHARE INVESTING

ROSENBAUM & SCOTT PTY LTD <ROSENBAUM S/F A/C>

PO BOX 966

DRUMMOYNE NSW 1470

Trading Account Statement

Period: 01/07/2020 - 30/06/2021

Trading Account Number: 1367593

| Date | Reference | Description | Debit | Credit | Balance |
|--------------|-----------|---------------------------------------|--------------------|--------------------|-----------|
| 29/03/2021 | 13575108 | Wdl ANZCIA 012-012 457804965 14105068 | | 13,569.95 | 0.00 |
| 06/04/2021 | 14210895 | Bght 750 ERTH @ 13.0000 | 9,774.95 | | 9,774.95 |
| 06/04/2021 | 14201674 | Bght 10000 CIE @ 0.6000 | 6,019.95 | | 15,794.90 |
| 08/04/2021 | 13655944 | Wdl ANZCIA 012-012 457804965 14201674 | | 6,019.95 | 9,774.95 |
| 08/04/2021 | 13655945 | Wdl ANZCIA 012-012 457804965 14210895 | | 9,774.95 | 0.00 |
| 20/04/2021 | 14382910 | Bght 5000 CIE @ 0.6000 | 3,000.00 | | 3,000.00 |
| 22/04/2021 | 13785148 | Wdl ANZCIA 012-012 457804965 14382910 | | 3,000.00 | 0.00 |
| 11/05/2021 | 14627323 | Bght 375 CLDD @ 12.8300 | 4,831.20 | | 4,831.20 |
| 13/05/2021 | 13980902 | Wdl ANZCIA 012-012 457804965 14627323 | | 4,831.20 | 0.00 |
| Total | | | 237,295.300 | 239,931.250 | |

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SHARE INVESTING

ROSENBAUM & SCOTT PTY LTD <ROSENBAUM S/F A/C>
PO BOX 966
DRUMMOYNE NSW 1470

Confirmations

Period: 01/07/2020 - 30/06/2021
Trading Account Number: 1367593

| Code | Confirmation No. | Type | Trade Date | Settlement Date | Price | Quantity | Brokerage | GST | Other fees | Consideration |
|-------|------------------|------|------------|-----------------|---------|----------|-----------|------|------------|---------------|
| ANZPH | 10700540 | Sell | 06/07/2020 | 08/07/2020 | 102.000 | 108 | 27.23 | 2.72 | 0.00 | 10,986.05 |
| ANZPH | 10705978 | Sell | 07/07/2020 | 09/07/2020 | 102.000 | 82 | 0.00 | 0.00 | 0.00 | 8,364.00 |
| MFFOA | 11575550 | Sell | 14/09/2020 | 16/09/2020 | 0.275 | 1985 | 18.14 | 1.81 | 0.00 | 525.93 |
| MIR | 12011545 | Sell | 21/10/2020 | 23/10/2020 | 3.000 | 4760 | 27.23 | 2.72 | 0.00 | 14,250.05 |
| MIR | 12016853 | Sell | 22/10/2020 | 26/10/2020 | 3.000 | 240 | 0.00 | 0.00 | 0.00 | 720.00 |
| ACDC | 12674525 | Buy | 11/12/2020 | 15/12/2020 | 79.650 | 100 | 22.68 | 2.27 | 0.00 | 7,989.95 |
| CSL | 12853056 | Buy | 31/12/2020 | 05/01/2021 | 286.000 | 20 | 18.14 | 1.81 | 0.00 | 5,739.95 |
| ACDC | 12989194 | Buy | 12/01/2021 | 14/01/2021 | 89.120 | 120 | 18.14 | 1.81 | 0.00 | 10,714.35 |
| MLT | 13084282 | Sell | 19/01/2021 | 21/01/2021 | 4.950 | 3053 | 18.14 | 1.81 | 0.00 | 15,092.40 |
| CIE | 13110221 | Buy | 20/01/2021 | 22/01/2021 | 0.630 | 10000 | 18.14 | 1.81 | 0.00 | 6,319.95 |
| MFFOA | 13151366 | Buy | 22/01/2021 | 27/01/2021 | 0.260 | 2000 | 18.14 | 1.81 | 0.00 | 539.95 |
| CSL | 13236520 | Buy | 28/01/2021 | 01/02/2021 | 265.000 | 15 | 18.14 | 1.81 | 0.00 | 3,994.95 |
| MLT | 13269696 | Sell | 29/01/2021 | 02/02/2021 | 4.840 | 1443 | 3.96 | 0.40 | 0.00 | 6,979.76 |
| ACDC | 13383196 | Buy | 05/02/2021 | 09/02/2021 | 89.620 | 78 | 22.68 | 2.27 | 0.00 | 7,015.31 |
| SWTZ | 13577316 | Sell | 17/02/2021 | 19/02/2021 | 2.500 | 8000 | 20.00 | 2.00 | 0.00 | 19,978.00 |
| ACDC | 13614122 | Buy | 18/02/2021 | 22/02/2021 | 92.660 | 180 | 18.14 | 1.81 | 0.00 | 16,698.75 |
| MICH | 13817191 | Buy | 02/03/2021 | 04/03/2021 | 2.650 | 2500 | 22.68 | 2.27 | 0.00 | 6,649.95 |
| ERTH | 14105067 | Buy | 25/03/2021 | 29/03/2021 | 12.520 | 1000 | 18.14 | 1.81 | 0.00 | 12,539.95 |
| CLDD | 14105068 | Buy | 25/03/2021 | 29/03/2021 | 13.550 | 1000 | 18.14 | 1.81 | 0.00 | 13,569.95 |
| CIE | 14201674 | Buy | 06/04/2021 | 08/04/2021 | 0.600 | 10000 | 18.14 | 1.81 | 0.00 | 6,019.95 |
| ERTH | 14210895 | Buy | 06/04/2021 | 08/04/2021 | 13.000 | 750 | 22.68 | 2.27 | 0.00 | 9,774.95 |
| CIE | 14382910 | Buy | 20/04/2021 | 22/04/2021 | 0.600 | 5000 | 0.00 | 0.00 | 0.00 | 3,000.00 |
| CLDD | 14627323 | Buy | 11/05/2021 | 13/05/2021 | 12.830 | 375 | 18.14 | 1.81 | 0.00 | 4,831.20 |

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SHARE INVESTING

ROSENBAUM & SCOTT PTY LTD <ROSENBAUM S/F A/C>

PO BOX 966

DRUMMOYNE NSW 1470

Holdings

At close of business: 30/06/2021

Trading Account Number: 1367593

| Code | Company Name | Closing Price | FX Rate | Quantity | Value |
|--------------|--|---------------|---------|----------|----------------------|
| ACDC | ETFS BATTERY TECH & LITHIUM ETF | 90.230 | | 478 | 43,129.940 |
| ANZ | AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED | 28.150 | | 1,327 | 37,355.050 |
| ANZPG | AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED | 106.950 | | 538 | 57,539.100 |
| ANZPH | AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED | 106.420 | | 100 | 10,642.000 |
| CBA | COMMONWEALTH BANK OF AUSTRALIA. | 99.870 | | 767 | 76,600.290 |
| CBAPE | COMMONWEALTH BANK OF AUSTRALIA. | 101.449 | | 445 | 45,144.805 |
| CBAPI | COMMONWEALTH BANK OF AUSTRALIA. | 102.320 | | 300 | 30,696.000 |
| CIE | CONTANGO INCOME GENERATOR LIMITED | 0.620 | | 25,000 | 15,500.000 |
| CLDD | BETASHARES CLOUD COMPUTING ETF | 15.480 | | 1,375 | 21,285.000 |
| CSL | CSL LIMITED | 285.190 | | 575 | 163,984.250 |
| EAI | ELLERSTON ASIAN INVESTMENTS LIMITED | 1.200 | | 37,400 | 44,880.000 |
| ERTH | BETASHARES CLIMATE CHANGE INNOVATION ETF | 14.300 | | 1,750 | 25,025.000 |
| ETHI | BETASHARES GLOBAL SUSTAINABILITY LEADERS ETF | 12.770 | | 3,933 | 50,224.410 |
| HACK | BETASHARES GLOBAL CYBERSECURITY ETF | 9.620 | | 5,213 | 50,149.060 |
| IGL | IVE GROUP LIMITED | 1.455 | | 3,100 | 4,510.500 |
| KCN | KINGSGATE CONSOLIDATED LIMITED. | 0.840 | | 35,050 | 29,442.000 |
| MFF | MFF CAPITAL INVESTMENTS LIMITED | 2.880 | | 9,925 | 28,584.000 |
| MFFOA | MFF CAPITAL INVESTMENTS LIMITED | 0.275 | | 2,000 | 550.000 |
| MICH | MAGELLAN INFRASTRUCTURE FUND (CURRENCY HEDGED)(... | 2.930 | | 5,000 | 14,650.000 |
| MIR | MIRRABOOKA INVESTMENTS LIMITED | 3.570 | | 5,245 | 18,724.650 |
| MIRNA | MIRRABOOKA INVESTMENTS LIMITED | 3.500 | | 4,838 | 16,933.000 |
| MX1 | MICRO-X LIMITED | 0.320 | | 134,395 | 43,006.400 |
| NAB | NATIONAL AUSTRALIA BANK LIMITED | 26.220 | | 1,365 | 35,790.300 |
| NABPF | NATIONAL AUSTRALIA BANK LIMITED | 107.890 | | 310 | 33,445.900 |
| ROBO | ETFS ROBO GLOBAL ROBOTICS AND AUTOMATION ETF | 82.930 | | 307 | 25,459.510 |
| SHYF | SWITZER ASSET MANAGEMENT LIMITED | | | 604 | |
| SWTZ | SWITZER DIVIDEND GROWTH FUND (MANAGED FUND) | 2.660 | | 11,220 | 29,845.200 |
| TECH | ETFS MORNINGSTAR GLOBAL TECHNOLOGY ETF | 95.320 | | 320 | 30,502.400 |
| TLS | TELSTRA CORPORATION LIMITED. | 3.760 | | 5,320 | 20,003.200 |
| TOP | THORNEY OPPORTUNITIES LTD | 0.545 | | 68,070 | 37,098.150 |
| VAF | VANGUARD AUSTRALIAN FIXED INTEREST INDEX ETF | 50.570 | | 1,980 | 100,128.600 |
| VBLD | VANGUARD GLOBAL INFRASTRUCTURE INDEX ETF | 57.760 | | 385 | 22,237.600 |
| VGB | VANGUARD AUSTRALIAN GOVERNMENT BOND INDEX ETF | 51.530 | | 1,940 | 99,968.200 |
| WBC | WESTPAC BANKING CORPORATION | 25.810 | | 1,363 | 35,179.030 |
| WCMQ | WCM QUALITY GLOBAL GROWTH FUND (QUOTED MANAGED ... | 8.290 | | 2,500 | 20,725.000 |
| WPL | WOODSIDE PETROLEUM LTD | 22.210 | | 670 | 14,880.700 |
| WQG | WCM GLOBAL GROWTH LIMITED | 1.635 | | 15,200 | 24,852.000 |
| WQGOA | WCM GLOBAL GROWTH LIMITED | 0.160 | | 5,067 | 810.720 |
| Total | | | | | 1,359,481.965 |

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SHARE INVESTING

ROSENBAUM & SCOTT PTY LTD <ROSENBAUM S/F A/C>

PO BOX 966

DRUMMOYNE NSW 1470

International Dividends

Period: 01/07/2020 - 30/06/2021

Trading Account Number: 1367593

| Code | Pay Date | Ex Date | Gross Amount | Tax Withheld | Net Amount | FX Rate | Holdings at Ex Date | Amount Per Share (AUD) | Net Amount (AUD) |
|--------------|----------|---------|--------------|--------------|------------|---------|---------------------|------------------------|------------------|
| Total | | | | | | | | | 0.00 |

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Statement Glossary

Trading Account Statement

The Trading Account Statement displays all transactions processed through the trading account during the reporting period. This may include trade transactions (buying and selling shares) as well as cash movements for settling trades. All prices and values are denominated in AUD unless otherwise indicated.

| | |
|--------------------|---|
| Date | The date the transaction was processed |
| Reference | A unique reference number for the transaction |
| Description | A brief description of the transaction |
| Debit | Amount debited from the trading account |
| Credit | Amount credited to the trading account |
| Balance | A running balance of the trading account |

Confirmations

The Confirmations statement lists all equity trade confirmations recorded on the trading account during the reporting period. This is a summary of the information provided to you through email or printed trade confirmations issued on the day of the trade. All prices and values are denominated in AUD unless otherwise indicated.

| | |
|-------------------------|---|
| Code | The instrument code traded |
| Confirmation No | The unique confirmation reference number |
| Type | Indicates if the transaction was a Buy or Sell |
| Trade Date | The date the trade was recorded |
| Settlement Date | The date the transaction was due to settle. This will usually, but not always, be 2 business day after the trade date |
| Price | The average price at which the shares were bought or sold |
| Quantity | The number of shares bought or sold |
| Brokerage | The brokerage charged for the transaction (excluding GST) |
| GST | The GST charged for the transaction for brokerage and any other fees |
| Other Fees | Any other fees related to the transaction (excluding GST). This may include stamp duty, postage fees, or exchange fees. Please refer to your original confirmation for full details |
| Consideration | The consideration paid (for buys) or received (for sells) net of brokerage, fees, and GST |
| Foreign Exchange | For confirmations related to international shares, the foreign exchange rate and price in local currency is displayed |

Holdings

The Holdings statement displays all equity positions held at the end of the reporting period.

| | |
|----------------------|--|
| Code | The instrument code |
| Company Name | The name of the company or instrument |
| Closing Price | The price as at market close on the last trading day of the reporting period |
| FX Rate | The foreign exchange rate applied to international holdings |
| Quantity | The notional number of shares held. This will include any pending buy transactions, and exclude any pending sell transactions |
| Value | The value, in AUD, of the holding at the end of the reporting period. So Closing Price x Quantity (x FX rate for international holdings) |



Statement Glossary

International Dividends

The International Dividends Statement lists all dividends paid from international shares during the reporting period. All prices and values are denominated in AUD unless otherwise indicated.

| | |
|-------------------------------|--|
| Code | The instrument code |
| Pay Date | The date the dividend was paid to you |
| Ex Date | The date after which a security will trade without the dividend. You must hold the security on this date to be entitled to the dividend |
| Gross Amount | The gross amount paid, being Amount per Share x Holdings at ex date |
| Tax Withheld | The amount of tax withheld from the dividend. In some cases for US Shares you may be eligible for a reduced rate of withholding tax. See the trading platform under Support US Tax Form for more details |
| Net Amount | The net amount paid after tax has been withheld in the local currency of the instrument |
| FX Rate | The foreign exchange rate applied to the dividend payment |
| Holdings at Ex Date | The number of shares you held on the ex date |
| Amount Per Share (AUD) | The amount paid by the company per share held |
| Net Amount (AUD) | The net amount in AUD paid into your account |