

November 27, 2018

The liquidators have suggested that we may receive 100% of our November 2018 account value, the date when Halifax went into administration. This would be approx \$2M.

We received our first distribution this month of \$1M which they had said would be 50% of the agreed November 2018 account value.

As previously advised, Investors are being paid an interim distribution equal to **50% of their Account balance as at 27 November 2018**. Further distributions will be paid to Investors in due course and once

Forgot to mention that the account value used on the 27 November 2018 is the opening balance. This is the value recorded at the close of business 26 November 2018.

Kind regards

Peter Vicary

^ J2d.2

Account Information

Name	PT & LJ Vicary Pty Ltd ATF PT & LJ Vicary Super Fund
Account Alias	U1877600-PT & LJ Vicary Pty Ltd
Account	U1877600
Master Name	Halifax Investment Services Ltd
Account Type	Broker Client
Customer Type	Trust
Account Capabilities	Cash
Base Currency	AUD

Net Asset Value

November 26, 2018		November 27, 2018			Change	Change in NAV		Total
	Total	Long	Short	Total				
Cash	425,875.97	425,595.22	0.00	425,595.22	-280.76	Starting Value		2,146,400.14
Stock	1,716,388.06	1,724,967.38	0.00	1,724,967.38	8,579.32	Mark-to-Market		8,298.57
Dividend Accruals	4,136.11	4,134.65	0.00	4,134.65	-1.46	Other FX Translations		-1.46
Total	2,146,400.14	2,154,697.24	0.00	2,154,697.24	8,297.10	Ending Value		2,154,697.24

Time Weighted Rate of Return 0.39%

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L						Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total		
Stocks											
ABBV	551	551	86.2400	87.6800	1,098.20	0.00	0.00	0.00	1,098.20		
ABMD	40	40	311.1500	313.9500	155.02	0.00	0.00	0.00	155.02		
AMGN	258	258	194.3000	197.1000	999.87	0.00	0.00	0.00	999.87		
BA	84	84	316.3600	318.0300	194.16	0.00	0.00	0.00	194.16		
BAH	293	293	51.5900	50.9700	-251.44	0.00	0.00	0.00	-251.44		
BDX	157	157	242.1000	243.5100	306.40	0.00	0.00	0.00	306.40		
BOQ	1,921	1,921	9.7800	9.9800	384.20	0.00	0.00	0.00	384.20		
CBA	279	279	71.3600	72.2100	237.15	0.00	0.00	0.00	237.15		
CERN	683	683	57.9300	57.9500	18.91	0.00	0.00	0.00	18.91		
CGF	1,938	1,938	9.5300	9.8900	697.68	0.00	0.00	0.00	697.68		
CME	97	97	191.5200	194.1500	353.10	0.00	0.00	0.00	353.10		
CPRT	302	302	50.1900	49.9900	-83.60	0.00	0.00	0.00	-83.60		
DIS	351	351	112.5500	113.9000	655.86	0.00	0.00	0.00	655.86		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
DWS	14,800	14,800	1.1400	1.1400	0.00	0.00	0.00	0.00	0.00	
DXC	91	91	59.6100	60.5800	122.17	0.00	0.00	0.00	122.17	
EUSC	1,146	1,146	28.0539	28.1100	88.98	0.00	0.00	0.00	88.98	
EWG	1,288	1,288	27.0600	26.8600	-356.54	0.00	0.00	0.00	-356.54	
GOOG	32	32	1,048.6200	1,044.4100	-186.47	0.00	0.00	0.00	-186.47	
GOOGL	32	32	1,055.9400	1,052.2800	-162.11	0.00	0.00	0.00	-162.11	
GS	50	50	194.3400	193.6500	-47.75	0.00	0.00	0.00	-47.75	
HEDJ	413	413	59.7900	59.6100	-102.89	0.00	0.00	0.00	-102.89	
HEI	187	187	82.7000	81.3500	-349.42	0.00	0.00	0.00	-349.42	
IDXX	63	63	196.7000	194.4000	-200.56	0.00	0.00	0.00	-200.56	
INTC	1,062	1,062	47.4500	48.0700	911.35	0.00	0.00	0.00	911.35	
ISRG	20	20	503.2800	496.8600	-177.72	0.00	0.00	0.00	-177.72	
JNJ	224	224	141.3700	143.2200	573.57	0.00	0.00	0.00	573.57	
JPM	442	442	109.2600	109.7200	281.42	0.00	0.00	0.00	281.42	
MA	313	313	191.8500	192.9800	489.54	0.00	0.00	0.00	489.54	
MMM	210	210	199.8600	200.6900	241.25	0.00	0.00	0.00	241.25	
MQG	402	402	113.4600	114.9900	615.06	0.00	0.00	0.00	615.06	
MSFT	551	551	106.4700	107.1400	510.97	0.00	0.00	0.00	510.97	
NAB	713	713	24.6100	24.8900	199.64	0.00	0.00	0.00	199.64	
NOW	79	79	167.2600	165.7400	-166.20	0.00	0.00	0.00	-166.20	
PYPL	498	498	80.8600	81.9700	765.10	0.00	0.00	0.00	765.10	
RHC	362	362	54.8200	54.3200	-181.00	0.00	0.00	0.00	-181.00	
ROP	87	87	285.7500	285.2600	-59.00	0.00	0.00	0.00	-59.00	
SUN	2,347	2,347	13.4000	13.5300	305.11	0.00	0.00	0.00	305.11	
SWKS	173	173	70.9500	70.6700	-67.05	0.00	0.00	0.00	-67.05	
SYK	99	99	165.9100	167.0700	158.95	0.00	0.00	0.00	158.95	
UNH	232	232	266.1600	271.1500	1,602.34	0.00	0.00	0.00	1,602.34	
V	454	454	135.9400	135.9100	-18.85	0.00	0.00	0.00	-18.85	
WBC	691	691	25.9500	26.2800	228.03	0.00	0.00	0.00	228.03	
WEX	80	80	155.4200	154.0000	-157.23	0.00	0.00	0.00	-157.23	
XLF	1,563	1,563	26.5300	26.5500	43.27	0.00	0.00	0.00	43.27	
Total Stocks					9,669.47	0.00	0.00	0.00	9,669.47	
Forex										
AUD	36,999.62	36,999.62	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
USD	280,756.88	280,756.88	1.3851	1.3841	-1,370.91	0.00	0.00	0.00	-1,370.91	
Total Forex					-1,370.91	0.00	0.00	0.00	-1,370.91	
Total (All Assets)					8,298.57	0.00	0.00	0.00	8,298.57	

Realized & Unrealized Performance Summary

		Realized					Unrealized						
Symbol	Cost Adj.	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	Total	Code
Stocks													
ABBV	0.00	0.00	0.00	0.00	0.00	0.00	4,895.92	-195.30	0.00	0.00	4,700.63	4,700.63	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
ABMD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,162.67	0.00	0.00	-3,162.67	-3,162.67	
AMGN	0.00	0.00	0.00	0.00	0.00	0.00	620.35	0.00	9,142.67	0.00	9,763.03	9,763.03	
BA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9,362.61	0.00	9,362.61	9,362.61	
BAH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6,833.45	0.00	6,833.45	6,833.45	
BDX	0.00	0.00	0.00	0.00	0.00	0.00	4,893.83	0.00	0.00	0.00	4,893.83	4,893.83	
BOQ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-828.36	0.00	0.00	-828.36	-828.36	
CBA	0.00	0.00	0.00	0.00	0.00	0.00	327.39	-231.04	0.00	0.00	96.35	96.35	
CERN	0.00	0.00	0.00	0.00	0.00	0.00	293.90	-1,667.52	0.00	0.00	-1,373.62	-1,373.62	
CGF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-966.04	0.00	0.00	-966.04	-966.04	
CME	0.00	0.00	0.00	0.00	0.00	0.00	5,433.44	0.00	0.00	0.00	5,433.44	5,433.44	
CPRT	0.00	0.00	0.00	0.00	0.00	0.00	121.08	-159.86	0.00	0.00	-38.78	-38.78	
DIS	0.00	0.00	0.00	0.00	0.00	0.00	2,043.62	0.00	5,122.86	0.00	7,166.48	7,166.48	
DWS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,224.80	0.00	0.00	-3,224.80	-3,224.80	
DXC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,012.51	0.00	0.00	-2,012.51	-2,012.51	
EUSC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	4,161.13	-625.75	3,535.38	3,535.38	
EWG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,221.07	270.18	0.00	-1,950.89	-1,950.89	
GOOG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	11,837.71	0.00	11,837.71	11,837.71	
GOOGL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	11,121.08	0.00	11,121.08	11,121.08	
GS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-465.06	0.00	0.00	-465.06	-465.06	
HEDJ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3,435.52	0.00	3,435.52	3,435.52	
HEI	0.00	0.00	0.00	0.00	0.00	0.00	415.16	0.00	0.00	0.00	415.16	415.16	
IDXX	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,586.90	0.00	0.00	-3,586.90	-3,586.90	
INTC	0.00	0.00	0.00	0.00	0.00	0.00	72.87	0.00	14,552.45	0.00	14,625.33	14,625.33	
ISRG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-108.38	0.00	0.00	-108.38	-108.38	
JNJ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5,739.68	0.00	5,739.68	5,739.68	
JPM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	12,203.25	0.00	12,203.25	12,203.25	
MA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-219.03	28,723.87	0.00	28,504.83	28,504.83	
MMM	0.00	0.00	0.00	0.00	0.00	0.00	2,841.14	-110.45	0.00	0.00	2,730.69	2,730.69	
MQG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,106.21	3,519.86	0.00	1,413.65	1,413.65	
MSFT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	28,528.70	0.00	28,528.70	28,528.70	
NAB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,785.20	0.00	0.00	-1,785.20	-1,785.20	
NOW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,720.61	0.00	0.00	-2,720.61	-2,720.61	
PYPL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,639.07	10,026.88	0.00	8,387.81	8,387.81	
RHC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-262.34	0.00	0.00	-262.34	-262.34	
ROP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6,655.90	0.00	6,655.90	6,655.90	
SUN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	613.69	-7.85	605.84	605.84	
SWKS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,025.17	0.00	0.00	-4,025.17	-4,025.17	
SYK	0.00	0.00	0.00	0.00	0.00	0.00	771.21	0.00	0.00	0.00	771.21	771.21	
UNH	0.00	0.00	0.00	0.00	0.00	0.00	274.54	0.00	29,853.63	0.00	30,128.16	30,128.16	
V	0.00	0.00	0.00	0.00	0.00	0.00	1,014.91	-218.49	28,675.23	0.00	29,471.64	29,471.64	
WBC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,788.47	0.00	0.00	-1,788.47	-1,788.47	
WEX	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,546.92	0.00	0.00	-3,546.92	-3,546.92	
XLF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-655.16	3,518.35	0.00	2,863.19	2,863.19	
Total Stocks	0.00	0.00	0.00	0.00	0.00	0.00	24,019.36	-37,906.63	233,898.69	-633.60	219,377.82	219,377.82	
Forex													

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
USD	0.00	0.00	0.00	0.00	0.00	0.00	1,612.87	-522.72	0.00	0.00	1,090.15	1,090.15	
Total Forex	0.00	0.00	0.00	0.00	0.00	0.00	1,612.87	-522.72	0.00	0.00	1,090.15	1,090.15	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	25,632.23	-38,429.35	233,898.69	-633.60	220,467.97	220,467.97	

Cash Report

		Total	Securities	Futures
Base Currency Summary				
Starting Cash		425,875.97	425,875.97	0.00
Cash FX Translation Gain/Loss		-280.76	-280.76	0.00
Ending Cash		425,595.22	425,595.22	0.00
Ending Settled Cash		425,595.22	425,595.22	0.00
AUD				
Starting Cash		36,999.62	36,999.62	0.00
Ending Cash		36,999.62	36,999.62	0.00
Ending Settled Cash		36,999.62	36,999.62	0.00
USD				
Starting Cash		280,756.88	280,756.88	0.00
Ending Cash		280,756.88	280,756.88	0.00
Ending Settled Cash		280,756.88	280,756.88	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
AUD								
BOQ	1,921	1	10.41121551	19,999.94	9.9800	19,171.58	-828.36	
CBA	279	1	71.86465744	20,050.24	72.2100	20,146.59	96.35	
CGF	1,938	1	10.38847224	20,132.86	9.8900	19,166.82	-966.04	
DWS	14,800	1	1.35789189	20,096.80	1.1400	16,872.00	-3,224.80	
MQG	402	1	111.4734495	44,812.33	114.9900	46,225.98	1,413.65	
NAB	713	1	27.39378682	19,531.77	24.8900	17,746.57	-1,785.20	
RHC	362	1	55.04469613	19,926.18	54.3200	19,663.84	-262.34	
SUN	2,347	1	13.27186621	31,149.07	13.5300	31,754.91	605.84	
WBC	691	1	28.86823444	19,947.95	26.2800	18,159.48	-1,788.47	
Total				215,647.14		208,907.77	-6,739.37	
USD								
ABBV	551	1	81.51637024	44,915.52	87.6800	48,311.68	3,396.16	
ABMD	40	1	371.0750	14,843.00	313.9500	12,558.00	-2,285.00	
AMGN	258	1	169.76007752	43,798.10	197.1000	50,851.80	7,053.70	
BA	84	1	237.50142857	19,950.12	318.0300	26,714.52	6,764.40	
BAH	293	1	34.11979522	9,997.10	50.9700	14,934.21	4,937.11	
BDX	157	1	220.98929936	34,695.32	243.5100	38,231.07	3,535.75	

Open Positions

CERN	683	1	59.40304539	40,572.28	57.9500	39,579.85	-992.43
CME	97	1	153.67979381	14,906.94	194.1500	18,832.55	3,925.61
CPRT	302	1	50.08278146	15,125.00	49.9900	15,096.98	-28.02
DIS	351	1	99.14866097	34,801.18	113.9000	39,978.90	5,177.72
DXC	91	1	76.558281	6,966.80	60.5800	5,512.78	-1,454.02
EUSC	1,146	1	25.88113438	29,659.78	28.1100	32,214.06	2,554.28
EWG	1,288	1	27.9543323	36,005.18	26.8600	34,595.68	-1,409.50
GOOG	32	1	777.1400	24,868.48	1,044.4100	33,421.12	8,552.64
GOOGL	32	1	801.1900	25,638.08	1,052.2800	33,672.96	8,034.88
GS	50	1	200.3700	10,018.50	193.6500	9,682.50	-336.00
HEDJ	413	1	53.6000	22,136.80	59.6100	24,618.93	2,482.13
HEI	187	1	79.7459893	14,912.50	81.3500	15,212.45	299.95
IDXX	63	1	235.53492063	14,838.70	194.4000	12,247.20	-2,591.50
INTC	1,062	1	38.12021792	40,483.67	48.0700	51,050.34	10,566.67
ISRG	20	1	500.7750	10,015.50	496.8600	9,937.20	-78.30
JNJ	224	1	124.7071875	27,934.41	143.2200	32,081.28	4,146.87
JPM	442	1	89.77262443	39,679.50	109.7200	48,496.24	8,816.74
MA	313	1	127.18290735	39,808.25	192.9800	60,402.74	20,594.49
MMM	210	1	191.2952381	40,172.00	200.6900	42,144.90	1,972.90
MSFT	551	1	69.73214156	38,422.41	107.1400	59,034.14	20,611.73
NOW	79	1	190.62126582	15,059.08	165.7400	13,093.46	-1,965.62
PYPL	498	1	69.80108434	34,760.94	81.9700	40,821.06	6,060.12
ROP	87	1	229.98609195	20,008.79	285.2600	24,817.62	4,808.83
SWKS	173	1	87.48010487	15,134.06	70.6700	12,225.91	-2,908.15
SYK	99	1	161.44181818	15,982.74	167.0700	16,539.93	557.19
UNH	232	1	177.32530172	41,139.47	271.1500	62,906.80	21,767.33
V	454	1	89.00911894	40,410.14	135.9100	61,703.14	21,293.00
WEX	80	1	186.03271	14,882.62	154.0000	12,320.00	-2,562.62
XLF	1,563	1	25.22650032	39,429.02	26.5500	41,497.65	2,068.63
Total				931,971.98		1,095,339.65	163,367.67
Total in AUD				1,289,942.42		1,516,059.61	226,117.19
Total Stocks in AUD				1,505,589.56		1,724,967.38	219,377.82

Forex Balances

Description	Quantity	Cost Price	Cost Basis in AUD	Close Price	Value in AUD	Unrealized P/L in AUD	Code
Forex							
AUD							
AUD	36,999.62	1.0000	-36,999.62	1.0000	36,999.62	0.00	
USD	280,756.88	1.38021712	-387,505.45	1.3841	388,595.59	1,090.15	
Total			-424,505.07		425,595.22	1,090.15	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
ABBV	ABBVIE INC	118089500	00287Y109	NYSE	1	COMMON	
ABMD	ABIOMED INC	265655	003654100	NASDAQ	1	COMMON	
AMGN	AMGEN INC	266145	031162100	NASDAQ	1	COMMON	
BA	BOEING CO/THE	4762	097023105	NYSE	1	COMMON	
BAH	BOOZ ALLEN HAMILTON HOLDINGS	80968828	099502106	NYSE	1	COMMON	
BDX	BECTON DICKINSON AND CO	4886	075887109	NYSE	1	COMMON	
BOQ	BANK OF QUEENSLAND LIMITED.	11470910	AU000000BOQ8	ASX	1	COMMON	
CBA	COMMONWEALTH BANK OF AUSTRAL	4036818	AU000000CBA7	ASX	1	COMMON	
CERN	CERNER CORP	267475	156782104	NASDAQ	1	COMMON	
CGF	CHALLENGER LTD	14062921	AU000000CGF5	ASX	1	COMMON	
CME	CME GROUP INC	45127739	12572Q105	NASDAQ	1	COMMON	
CPRT	COPART INC	4728523	217204106	NASDAQ	1	COMMON	
DIS	WALT DISNEY CO/THE	6459	254687106	NYSE	1	COMMON	
DWS	DWS LTD	44200598	AU000000DWS3	ASX	1	COMMON	
DXC	DXC TECHNOLOGY CO	268960158	23355L106	NYSE	1	COMMON	
EUSC	WISDOMTREE EUROPE HEDG SM EQ	185834628	97717X552	ARCA	1	ETF	
EWG	ISHARES MSCI GERMANY ETF	2586603	464286806	ARCA	1	ETF	
GOOG	ALPHABET INC-CL C	208813720	02079K107	NASDAQ	1	COMMON	
GOOGL	ALPHABET INC-CL A	208813719	02079K305	NASDAQ	1	COMMON	
GS	GOLDMAN SACHS GROUP INC	4627828	38141G104	NYSE	1	COMMON	
HEDJ	WISDOMTREE EUROPE HEDGED EQU	71423629	97717X701	ARCA	1	ETF	
HEI	HEICO CORP	754990	422806109	NYSE	1	COMMON	
IDXX	IDEXX LABORATORIES INC	270413	45168D104	NASDAQ	1	COMMON	
INTC	INTEL CORP	270639	458140100	NASDAQ	1	COMMON	
ISRG	INTUITIVE SURGICAL INC	9063285	46120E602	NASDAQ	1	COMMON	
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON	
JPM	JPMORGAN CHASE & CO	1520593	46625H100	NYSE	1	COMMON	
MA	MASTERCARD INC - A	38685693	57636Q104	NYSE	1	COMMON	
MMM	3M CO	9720	88579Y101	NYSE	1	COMMON	
MQG	MACQUARIE GROUP LTD	47028156	AU000000MQG1	ASX	1	COMMON	
MSFT	MICROSOFT CORP	272093	594918104	NASDAQ	1	COMMON	
NAB	NATIONAL AUSTRALIA BANK LIMITED	4036823	AU000000NAB4	ASX	1	COMMON	
NOW	SERVICENOW INC	109911821	81762P102	NYSE	1	COMMON	
PYPL	PAYPAL HOLDINGS INC	199169591	70450Y103	NASDAQ	1	COMMON	
RHC	RAMSAY HEALTH CARE LIMITED	12565187	AU000000RHC8	ASX	1	COMMON	
ROP	ROPER TECHNOLOGIES INC	2585629	776696106	NYSE	1	COMMON	
SUN	SUNCORP GROUP LTD	12101107	AU000000SUN6	ASX	1	COMMON	
SWKS	SKYWORKS SOLUTIONS INC	4726021	83088M102	NASDAQ	1	COMMON	
SYK	STRYKER CORP	4430948	863667101	NYSE	1	COMMON	
UNH	UNITEDHEALTH GROUP INC	13272	91324P102	NYSE	1	COMMON	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
V	VISA INC-CLASS A SHARES	49462172	92826C839	NYSE	1	COMMON	
WBC	WESTPAC BANKING CORPORATION	4036833	AU000000WBC1	ASX	1	COMMON	
WEX	WEX INC	115823351	96208T104	NYSE	1	COMMON	
XLF	FINANCIAL SELECT SECTOR SPDR	4215220	81369Y605	ARCA	1	ETF	

Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AED	-1.000000	JPY	0.012164
BGN	-1.000000	KRW	0.001224
BRL	0.357160	MXN	0.067586
CAD	1.041100	NOK	0.160910
CHF	1.385800	NZD	0.940030
CNH	0.199000	PLN	0.364000
CNY	0.199100	RON	-1.000000
CZK	0.060270	RUB	0.020630
DKK	0.209440	SAR	-1.000000
EUR	1.562900	SEK	0.151970
GBP	1.764000	SGD	1.004600
HKD	0.176840	THB	0.041878
HUF	0.004826	TRY	0.262550
ILS	0.370510	USD	1.384100
INR	0.019553	ZAR	0.099373

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LD	Adjusted by Loss Disallowed from Wash Sale
ADR	ADR Fee Accrual	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by Broker
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by Broker for two Broker customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by Broker against a Broker affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	RED	Redemption to Investor
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Broker
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against a Broker affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by Broker (Margin Violation)	Un	Unvested shares from stock grant

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

Notes/Legal Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Halifax Investment Services Ltd, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.), +612 8093 7300 (Aust.)
Halifax Investment Services Ltd, www.halifax.com.au, 1.300.363.505
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Central Europe Zrt.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Halifax Investment Services Ltd of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Halifax Investment Services Ltd. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
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12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
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