



**PRIVATE AND CONFIDENTIAL**

Mariva Investments Pty Ltd  
<NAB M/L 27294 A/C>  
UNIT 2402  
12 CUNNINGHAM STREET  
NEWSTEAD QLD 4006

Account Code: 1564719  
Adviser Code: HSC  
Product: PARTNER

Dear Mr & Mr Valente,

**Portfolio Administration & Reporting Service  
Taxation Report - 1 July 2021 to 30 June 2022**

Please find enclosed your PARS Taxation Report providing information for the preparation of your income tax return for the financial year ended 30 June 2022\*.

For your information, we have also enclosed a copy of the Annual Auditor's Reports that have been performed for Ord Minnett Limited. The Taxation Report only contains investments that have been disclosed to Ord Minnett as part of the Portfolio Administration & Reporting Service. Accordingly, this Report does not claim to be a complete taxation statement.

Please let me know if you have any questions regarding this Report or if you wish to discuss your investment portfolio.

Yours sincerely,

Hayden Schneider  
Adviser

*\* Note: If your Ord Minnett reporting service commenced or ceased during this period, this report may only include tax related information for part of the year.*

**Ord Minnett Limited**  
AFS Licence 237121 ABN 86 002 733 048  
Level 8, NAB House, 255 George Street, Sydney GPO Box 2613, Sydney NSW 2001 Australia

**A Market Participant of the Australian Securities Exchange Limited - Licenced Securities Dealer**

This document was prepared for the private use of the addressee and may not be passed to any third party without the prior written consent of Ord Minnett.  
We believe the advice and information herein to be accurate and reliable, but no warranty of accuracy or reliability is given.

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### **Portfolio Administration & Reporting Service Financial Year Ended 30 June 2022 Tax Report**

This report contains the following information:

- Portfolio Valuation as at 30 June 2022
- Investment Income Annual Tax - Summary
- Investment Income Received Annual Tax
- Realised Capital Gains / Losses
- Transaction Statement
- Cash Transaction Statement
- Expense Summary
- Corporate Action Appendix

*Account Code:* 1564719  
*Adviser Code:* HSC  
*Product:* PARTNER

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# ORD MINNETT

## PORTFOLIO VALUATION

Portfolio Details as at: 30 June 2022

*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

Code	Security Name	Quantity	Cost Base	Market Price	Market Value	Assets  %	Est. Yield %	Est. Annual Income	Est. Franking %	Est. Franking Credits	Est. Gross Yield %
<b>EQUITIES</b>											
<b>Industrials Sector</b>											
<b>Transportation</b>											
DBI	DALRYMPLE BAY	34,110	73,898.98	1.97	67,196.70	6.83	9.21	6,185.85			9.21
<b>Sub Total</b>			<b>73,898.98</b>		<b>67,196.70</b>	<b>6.83</b>		<b>6,185.85</b>			
<b>Consumer Discretionary Sector</b>											
<b>Retailing</b>											
JBH	JB HI-FI LIMITED	140	7,499.30	38.46	5,384.40	0.55	8.22	442.40	100.00	189.60	11.74
<b>Sub Total</b>			<b>7,499.30</b>		<b>5,384.40</b>	<b>0.55</b>		<b>442.40</b>		<b>189.60</b>	
<b>Financials Sector</b>											
<b>Diversified Financials</b>											
CDM	CADENCE CAPITAL	100,000	103,126.50	0.85	84,500.00	8.59	8.28	7,000.00	100.00	3,000.00	11.83
HUB	HUB24 LTD	3,450	38,389.40	20.27	69,931.50	7.11	0.99	690.00	100.00	295.71	1.41
<b>Sub Total</b>			<b>141,515.90</b>		<b>154,431.50</b>	<b>15.69</b>		<b>7,690.00</b>		<b>3,295.71</b>	
<b>Sub Total</b>			<b>222,914.18</b>		<b>227,012.60</b>	<b>23.07</b>		<b>14,318.25</b>		<b>3,485.31</b>	

## EXCHANGE TRADED FUNDS

### Domestic Equity

*Adviser Name:* *Hayden Schneider*

*Location:* *GOLD COAST*

*Phone No:* *07 5557 3329*

*Account No:* *1564719*

*Note: Estimate information based on rolling 12 months actual data.*

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Portfolio Details as at: 30 June 2022

*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

Code	Security Name	Quantity	Cost Base	Market Price	Market Value	Assets  %	Est. Yield %	Est. Annual Income	Est. Franking %	Est. Franking Credits	Est. Gross Yield %
QOZ	BETASHARESRAFIAUS	42,904	614,412.78	14.16	607,520.64	61.73	7.56	45,946.97	60.10	11,834.65	9.51
<b>International Equity</b>											
ASIA	BETA ASIATECH TIGERS	6,860	49,998.70	7.50	51,450.00	5.23	1.06	545.78			1.06
F100	BETASHARES FTSE 100	10,000	99,026.50	9.82	98,200.00	9.98	3.39	3,329.90			3.39
<b>Sub Total</b>			<b>763,437.98</b>		<b>757,170.64</b>	<b>76.93</b>		<b>49,822.65</b>		<b>11,834.65</b>	
<b>TOTAL PORTFOLIO</b>			<b>986,352.16</b>		<b>984,183.24</b>	<b>100.00</b>	<b>6.52</b>	<b>64,140.90</b>		<b>15,319.96</b>	<b>8.07</b>
<b>LOANS</b>											
NAB_VAR	NAB MARGIN LOAN (VARIABLE RATE)	(595,145)			(595,144.66)						
<b>Sub Total</b>					<b>(595,144.66)</b>						
<b>NET PORTFOLIO</b>					<b>389,038.58</b>						

*\*The cash balance on the Portfolio Valuation will take into account transactions that have a settlement date after the report date and income that is due but not yet paid. Please refer to the Unsettled Accruals report for transaction details.*

Adviser Name: *Hayden Schneider*

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# ORD MINNETT

## INVESTMENT INCOME RECEIVED ANNUAL TAX SUMMARY

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

	Cash Distribution	Tax Paid/Offsets	Taxable Income
<b>Australian Income</b>			
Franked Dividends	92,372.44	40,976.53	133,348.97
Unfranked Dividends	9,936.51	0.00	9,936.51
Interest	717.24	0.00	717.24
Other Income	2,425.95	0.00	2,425.95
Rental Income	0.00	0.00	0.00
Non-Primary Production Income (A)	105,452.14	40,976.53	146,428.67
<b>Capital Gains</b>			
Discounted Capital Gain	11,645.29	0.00	11,645.29
CGT Other	0.00	0.00	0.00
CGT Concession	11,645.29	0.08	0.00
Distributed Capital Gain (B)	23,290.58	0.08	11,645.29
<b>Foreign Income</b>			
Assessable Foreign Income	5,530.85	312.28	5,843.13
Total Foreign Income (C)	5,530.85	312.28	5,843.13
<b>Cash Distribution Sub Total (A + B + C)</b>	134,273.57		

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	Cash Distribution	Tax Paid/Offsets	Taxable Income
<b>Other Non-assessable Amounts</b>			
Tax Free	0.00		
Tax Deferred	0.00		
Return of Capital	4,441.57		
Other Non Taxable	1,604.36		
<b>Gross Cash Distribution</b>	<b>140,319.50</b>		
<b>Other deductions from distributions</b>			
TFN Amounts Deducted	0.00		
Non Resident Withholding Tax	0.00		
<b>Net Cash Distribution</b>	<b>140,319.50</b>		
<b>AMIT Cost Base Adjustment and Gross Up</b>			
AMIT Cost Base Adjustment	(1,604.36)		
AMIT Gross Up	0.00		

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From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

<NAB M/L 27294 A/C>

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>DISTRIBUTIONS</b>													
<b>ASIA - BETA ASIATECH TIGERS</b>													
6,860	30-Jun-22			0.04	190.68	177.53	177.53	531.79			709.32		
					163.54								
<b>Sub Total</b>				<b>0.04</b>	<b>190.68</b>	<b>177.53</b>	<b>177.53</b>	<b>531.79</b>			<b>709.32</b>		
<b>Sub Total</b>					<b>163.54</b>								
<b>DBI - DALRYMPLE BAY</b>													
34,110	30-Aug-21									1,534.95	1,534.95		
34,110	30-Nov-21									1,534.95	1,534.95		
34,110	03-Mar-22							1,067.64		467.31	1,534.95		
34,110	30-May-22							653.62		904.36	1,557.98		
<b>Sub Total</b>								<b>1,721.26</b>		<b>4,441.57</b>	<b>6,162.83</b>		
<b>Sub Total</b>													

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>F100 - BETASHARES FTSE 100</b>													
10,000	04-Jan-22				1,675.47			1,686.01			1,686.01		
					10.54								
10,000	30-Jun-22				1,654.43			1,664.83			1,664.83		
					10.40								
<b>Sub Total</b>					<b>3,329.90</b>			<b>3,350.84</b>			<b>3,350.84</b>		
<b>Sub Total</b>					<b>20.94</b>								
<b>IOZ - ISHARES S&amp;P/ASX 200.</b>													
* 26,321	08-Oct-21	11,083.40	4,794.29	176.48	201.65			17,333.74			17,333.74		(33.55)
		1,041.10		28.82	8.00						33.55		
* 23,071	07-Apr-22	7,310.20	3,194.06	478.52	162.85			11,808.44			11,808.44		(54.76)
		560.37		99.82	2.62						54.76		
<b>Sub Total</b>					<b>18,393.60</b>	<b>7,988.35</b>	<b>655.00</b>	<b>364.50</b>	<b>29,142.18</b>		<b>29,142.18</b>		<b>(88.31)</b>
<b>Sub Total</b>					<b>1,601.47</b>		<b>128.64</b>				<b>88.31</b>		

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>QOZ - BETASHARESRAFIAUS</b>													
*	52,949	04-Jan-22	10,218.79	4,712.14	449.05	319.44	2,767.05	2,767.05	19,543.56		22,310.61		(217.90)
			969.39		72.31		35.39	0.02			217.90		
*	42,904	30-Jun-22	18,125.70	8,358.22	796.53	566.60	4,908.11	4,908.11	34,665.65		39,573.76		(386.49)
			1,719.46		128.27		62.76	0.06			386.49		
<b>Sub Total</b>		<b>28,344.49</b>	<b>13,070.36</b>	<b>1,245.58</b>	<b>886.04</b>	<b>7,675.16</b>	<b>7,675.16</b>	<b>54,209.21</b>			<b>61,884.37</b>		<b>(604.39)</b>
<b>Sub Total</b>		<b>2,688.85</b>		<b>200.58</b>	<b>98.15</b>		<b>0.08</b>				<b>604.39</b>		
<b>STW - SPDR 200 FUND</b>													
*	11,811	29-Sep-21	10,986.11	4,814.42	173.48	175.13			17,262.18		17,262.18		(43.93)
			1,066.37		34.63		12.04				43.93		
*		30-Dec-21	3,841.28	1,777.95	6.15	207.30			6,658.91		6,658.91		(531.31)
			678.75		147.48						531.31		
*	10,324	30-Mar-22	6,649.80	2,910.40	149.06	130.70			10,312.89		10,312.89		(21.44)
			472.93								21.44		
*		29-Jun-22	10,780.26	4,682.09	196.64	246.60	3,792.60	3,792.60	20,337.97		24,130.57		(314.98)
			426.88		205.91		6.99				314.98		
<b>Sub Total</b>		<b>32,257.45</b>	<b>14,184.86</b>	<b>525.33</b>	<b>759.73</b>	<b>3,792.60</b>	<b>3,792.60</b>	<b>54,571.95</b>			<b>58,364.55</b>		<b>(911.66)</b>
<b>Sub Total</b>		<b>2,644.93</b>		<b>388.02</b>	<b>19.03</b>						<b>911.66</b>		

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>Distributions Total</b>								143,527.23		4,441.57	159,614.09		(1,604.36)
											1,604.36		

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>DIVIDENDS</b>													
<b>CBA - COMMONWEALTH BANK.</b>													
* 120	29-Sep-21	240.00	102.86					342.86			342.86		
	08-Oct-21	8,035.20	3,443.66					11,478.86			11,478.86		
<b>Sub Total</b>		<b>8,275.20</b>	<b>3,546.52</b>					<b>11,821.72</b>			<b>11,821.72</b>		
<b>CDM - CADENCE CAPITAL</b>													
100,000	14-Apr-22	4,000.00	1,714.29					5,714.29			5,714.29		
<b>Sub Total</b>		<b>4,000.00</b>	<b>1,714.29</b>					<b>5,714.29</b>			<b>5,714.29</b>		
<b>HUB - HUB24 LTD</b>													
3,450	15-Oct-21	189.75	81.32					271.07			271.07		
3,450	18-Apr-22	258.75	110.89					369.64			369.64		
<b>Sub Total</b>		<b>448.50</b>	<b>192.21</b>					<b>640.71</b>			<b>640.71</b>		

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		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
<b>HUM - HUMM GROUP LIMITED</b>													
25,000	06-Apr-22	425.00	182.14					607.14			607.14		
<b>Sub Total</b>		<b>425.00</b>	<b>182.14</b>					<b>607.14</b>			<b>607.14</b>		
<b>JBH - JB HI-FI LIMITED</b>													
* 140	11-Mar-22	228.20	97.80					326.00			326.00		
<b>Sub Total</b>		<b>228.20</b>	<b>97.80</b>					<b>326.00</b>			<b>326.00</b>		
<b>WHC - WHITEHAVEN COAL</b>													
16,000	11-Mar-22							1,280.00			1,280.00		
		1,280.00											
<b>Sub Total</b>								<b>1,280.00</b>			<b>1,280.00</b>		
<b>Sub Total</b>		<b>1,280.00</b>											

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*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

Units	Taxable Date	Franked Dividend	Imputation Credit	Other Income	Foreign Income	Discount Capital Gain	CGT Concession	Total Taxable	Tax Deferred	Return of Capital	Gross Income	Non - Res W/Holding	AMIT CBA
		Unfranked Dividend	Rental Income	Interest	Foreign Tax Credits	Capital Gain Other	Capital Gain Tax Credits		Tax Free	LIC Capital	Other Non Taxable	TFN Amounts	AMIT CGU
Dividends Total		13,376.90 1,280.00	5,732.96					20,389.86			20,389.86		

TOTAL		92,372.44 9,936.51	40,976.53	2,425.95 717.24	5,530.85 312.28	11,645.29	11,645.29 0.08	163,917.09		4,441.57	180,003.95		(1,604.36)
											1,604.36		

Adviser Name: *Hayden Schneider*

Location: *GOLD COAST*

Phone No: *07 5557 3329*

Account No: *1564719*

\* As at the ex-dividend date, this security has been held for less than 45 days. Please review this transaction as the investor may not be entitled to any imputation credit.

**Ord Minnett Limited** AFS Licence 237121 ABN 86 002 733 048 A Market Participant of the Australian Stock Exchange Limited

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# ORD MINNETT

## REALISED CAPITAL GAINS

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*  
<NAB M/L 27294 A/C>

Purchase Date	Sale Date	Quantity	Capital Proceeds	Cost	Indexed Cost Base	Gross Gain / Loss	Discounted Gain	Indexed Gain	Net Gain / Loss
<b>BBT - BLUEBET HOLDINGS LTD</b>									
23 Jun 2021	22 Mar 2022	8,772	6,997.13	10,000.08	0.00	(3,002.95)	0.00	0.00	(3,002.95)
02 Jul 2021	22 Mar 2022	11,228	8,956.20	20,393.04	0.00	(11,436.84)	0.00	0.00	(11,436.84)
13 Aug 2021	22 Mar 2022	15,000	11,965.00	34,026.50	0.00	(22,061.50)	0.00	0.00	(22,061.50)
<b>SubTotal</b>		<b>35,000</b>	<b>27,918.34</b>	<b>64,419.62</b>	<b>0.00</b>	<b>(36,501.29)</b>	<b>0.00</b>	<b>0.00</b>	<b>(36,501.29)</b>
<b>CBA - COMMONWEALTH BANK.</b>									
13 Aug 2021	04 Oct 2021	120	3,506.40	12,704.90	0.00	(9,198.50)	0.00	0.00	(9,198.50)
<b>SubTotal</b>		<b>120</b>	<b>3,506.40</b>	<b>12,704.90</b>	<b>0.00</b>	<b>(9,198.50)</b>	<b>0.00</b>	<b>0.00</b>	<b>(9,198.50)</b>
<b>DRO - DRONESHIELD LIMITED</b>									
09 Sep 2019	29 Nov 2021	132,000	22,313.50	39,726.50	0.00	(17,413.00)	0.00	0.00	(17,413.00)
<b>SubTotal</b>		<b>132,000</b>	<b>22,313.50</b>	<b>39,726.50</b>	<b>0.00</b>	<b>(17,413.00)</b>	<b>0.00</b>	<b>0.00</b>	<b>(17,413.00)</b>
<b>FCL - FINEOS CORP HOLD PLC</b>									
13 Aug 2020	22 Mar 2022	4,000	9,715.45	20,126.44	0.00	(10,410.99)	0.00	0.00	(10,410.99)
19 Nov 2020	22 Mar 2022	5,500	13,358.75	20,732.88	0.00	(7,374.13)	0.00	0.00	(7,374.13)
14 Jan 2021	22 Mar 2022	5,100	12,387.20	20,016.50	0.00	(7,629.30)	0.00	0.00	(7,629.30)
<b>SubTotal</b>		<b>14,600</b>	<b>35,461.40</b>	<b>60,875.82</b>	<b>0.00</b>	<b>(25,414.42)</b>	<b>0.00</b>	<b>0.00</b>	<b>(25,414.42)</b>
<b>HMY - HARMONEY CORP LTD</b>									
13 Nov 2020	11 Mar 2022	11,429	15,265.17	40,001.50	0.00	(24,736.33)	0.00	0.00	(24,736.33)
<b>SubTotal</b>		<b>11,429</b>	<b>15,265.17</b>	<b>40,001.50</b>	<b>0.00</b>	<b>(24,736.33)</b>	<b>0.00</b>	<b>0.00</b>	<b>(24,736.33)</b>

*Adviser Name:* Hayden Schneider  
*Location:* GOLD COAST  
*Phone No:* 07 5557 3329  
*Account No:* 1564719

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# ORD MINNETT

## REALISED CAPITAL GAINS

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*  
<NAB M/L 27294 A/C>

Purchase Date	Sale Date	Quantity	Capital Proceeds	Cost	Indexed Cost Base	Gross Gain / Loss	Discounted Gain	Indexed Gain	Net Gain / Loss
<b>HUM - HUMM GROUP LIMITED</b>									
28 Aug 2020	11 Mar 2022	25,000	19,748.50	34,401.89	0.00	(14,653.39)	0.00	0.00	(14,653.39)
<b>SubTotal</b>		<b>25,000</b>	<b>19,748.50</b>	<b>34,401.89</b>	<b>0.00</b>	<b>(14,653.39)</b>	<b>0.00</b>	<b>0.00</b>	<b>(14,653.39)</b>
<b>INR - IONEER LTD</b>									
08 Mar 2021	29 Nov 2021	98,685	63,565.25	37,500.30	0.00	26,064.95	0.00	0.00	26,064.95
<b>SubTotal</b>		<b>98,685</b>	<b>63,565.25</b>	<b>37,500.30</b>	<b>0.00</b>	<b>26,064.95</b>	<b>0.00</b>	<b>0.00</b>	<b>26,064.95</b>
<b>IOZ - ISHARES S&amp;P/ASX 200.</b>									
04 Oct 2021	22 Nov 2021	26,321	792,761.02	796,467.41	0.00	(3,706.39)	0.00	0.00	(3,706.39)
04 Apr 2022	23 May 2022	23,071	680,797.71	720,710.78	0.00	(39,913.07)	0.00	0.00	(39,913.07)
<b>SubTotal</b>		<b>49,392</b>	<b>1,473,558.73</b>	<b>1,517,178.19</b>	<b>0.00</b>	<b>(43,619.46)</b>	<b>0.00</b>	<b>0.00</b>	<b>(43,619.46)</b>
<b>LYC - LYNAS RARE EARTHS</b>									
07 Sep 2020	29 Nov 2021	1,689	14,213.11	3,884.70	0.00	10,328.41	0.00	0.00	10,328.41
<b>SubTotal</b>		<b>1,689</b>	<b>14,213.11</b>	<b>3,884.70</b>	<b>0.00</b>	<b>10,328.41</b>	<b>0.00</b>	<b>0.00</b>	<b>10,328.41</b>
<b>QOZ - BETASHARESRAFIUS</b>									
29 Jun 2021	16 Aug 2021	54,647	833,058.78	820,118.70	0.00	12,940.08	0.00	0.00	12,940.08
30 Dec 2021	15 Feb 2022	52,949	788,912.60	807,020.85	0.00	(18,108.25)	0.00	0.00	(18,108.25)
<b>SubTotal</b>		<b>107,596</b>	<b>1,621,971.38</b>	<b>1,627,139.55</b>	<b>0.00</b>	<b>(5,168.17)</b>	<b>0.00</b>	<b>0.00</b>	<b>(5,168.17)</b>

*Adviser Name:* Hayden Schneider  
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# ORD MINNETT

## REALISED CAPITAL GAINS

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*  
<NAB M/L 27294 A/C>

Purchase Date	Sale Date	Quantity	Capital Proceeds	Cost	Indexed Cost Base	Gross Gain / Loss	Discounted Gain	Indexed Gain	Net Gain / Loss
<b>SDR - SITEMINDER</b>									
29 Nov 2021	22 Mar 2022	6,500	30,718.88	39,536.16	0.00	(8,817.28)	0.00	0.00	(8,817.28)
29 Nov 2021	16 May 2022	7,000	32,828.81	42,577.41	0.00	(9,748.59)	0.00	0.00	(9,748.59)
11 Mar 2022	16 May 2022	11,000	51,588.14	48,855.77	0.00	2,732.37	0.00	0.00	2,732.37
<b>SubTotal</b>		<b>24,500</b>	<b>115,135.83</b>	<b>130,969.34</b>	<b>0.00</b>	<b>(15,833.50)</b>	<b>0.00</b>	<b>0.00</b>	<b>(15,833.50)</b>
<b>SLA - SILK LASER AUSTRALIA</b>									
08 Dec 2020	11 Mar 2022	5,797	17,834.46	19,999.65	0.00	(2,165.19)	0.00	0.00	(2,165.19)
16 Dec 2020	11 Mar 2022	3,074	9,457.15	10,859.14	0.00	(1,401.99)	0.00	0.00	(1,401.99)
16 Dec 2020	14 Mar 2022	2,529	7,524.30	8,933.89	0.00	(1,409.59)	0.00	0.00	(1,409.59)
<b>SubTotal</b>		<b>11,400</b>	<b>34,815.91</b>	<b>39,792.68</b>	<b>0.00</b>	<b>(4,976.77)</b>	<b>0.00</b>	<b>0.00</b>	<b>(4,976.77)</b>
<b>STW - SPDR 200 FUND</b>									
16 Aug 2021	04 Oct 2021	11,811	796,388.23	833,249.62	0.00	(36,861.39)	0.00	0.00	(36,861.39)
22 Nov 2021	30 Dec 2021	11,620	806,865.30	792,212.59	0.00	14,652.71	0.00	0.00	14,652.71
15 Feb 2022	18 Mar 2022	1,460	99,445.50	97,750.41	0.00	1,695.09	0.00	0.00	1,695.09
15 Feb 2022	04 Apr 2022	10,324	720,794.18	691,194.45	0.00	29,599.73	0.00	0.00	29,599.73
23 May 2022	29 Jun 2022	10,183	614,414.72	680,547.90	0.00	(66,133.18)	0.00	0.00	(66,133.18)
<b>SubTotal</b>		<b>45,398</b>	<b>3,037,907.93</b>	<b>3,094,954.97</b>	<b>0.00</b>	<b>(57,047.04)</b>	<b>0.00</b>	<b>0.00</b>	<b>(57,047.04)</b>
<b>SZL - SEZZLE INC.</b>									
11 Aug 2020	30 Jul 2021	752	5,485.61	3,985.60	0.00	1,500.01	0.00	0.00	1,500.01
14 Jan 2021	30 Jul 2021	4,248	30,987.89	29,777.54	0.00	1,210.35	0.00	0.00	1,210.35
<b>SubTotal</b>		<b>5,000</b>	<b>36,473.50</b>	<b>33,763.14</b>	<b>0.00</b>	<b>2,710.36</b>	<b>0.00</b>	<b>0.00</b>	<b>2,710.36</b>

*Adviser Name:* Hayden Schneider  
*Location:* GOLD COAST  
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# ORD MINNETT

## REALISED CAPITAL GAINS

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

Purchase Date	Sale Date	Quantity	Capital Proceeds	Cost	Indexed Cost Base	Gross Gain / Loss	Discounted Gain	Indexed Gain	Net Gain / Loss
<b>TBA - TOMBOLA GOLD LTD</b>									
19 Jul 2019	22 Mar 2022	170,000	6,163.50	24,776.50	0.00	(18,613.00)	0.00	0.00	(18,613.00)
<b>SubTotal</b>		<b>170,000</b>	<b>6,163.50</b>	<b>24,776.50</b>	<b>0.00</b>	<b>(18,613.00)</b>	<b>0.00</b>	<b>0.00</b>	<b>(18,613.00)</b>
<b>WHC - WHITEHAVEN COAL</b>									
30 Jul 2021	11 Mar 2022	16,000	65,026.40	36,223.62	0.00	28,802.78	0.00	0.00	28,802.78
<b>SubTotal</b>		<b>16,000</b>	<b>65,026.40</b>	<b>36,223.62</b>	<b>0.00</b>	<b>28,802.78</b>	<b>0.00</b>	<b>0.00</b>	<b>28,802.78</b>
<b>TOTAL</b>			<b>6,593,044.85</b>	<b>6,798,313.22</b>	<b>0.00</b>	<b>(205,268.37)</b>	<b>0.00</b>	<b>0.00</b>	<b>(205,268.37)</b>

*Adviser Name:* *Hayden Schneider*

*Location:* *GOLD COAST*

*Phone No:* *07 5557 3329*

*Account No:* *1564719*

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# ORD MINNETT

## TRANSACTION STATEMENT

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

Code	Description	Trade Date	Settlement Date	Quantity	Unit Price	Gross Amount	Brokerage	GST	Other Fees	Net Amount
<b>PURCHASES</b>										
BBT	Bght 11228 BBT @ 1.8050	02-Jul-2021	06-Jul-2021	11,228	1.805	20,266.54	115.00	11.50	0.00	20,393.04
WHC	Bght 16000 WHC @ 2.2561	30-Jul-2021	03-Aug-2021	16,000	2.2561	36,097.12	115.00	11.50	0.00	36,223.62
BBT	Bght 15000 BBT @ 2.2600	13-Aug-2021	17-Aug-2021	15,000	2.26	33,900.00	115.00	11.50	0.00	34,026.50
CBA	Bght 120 CBA @ 104.8200	13-Aug-2021	17-Aug-2021	120	104.82	12,578.40	115.00	11.50	0.00	12,704.90
STW	Bght 11811 STW @ 70.5500	16-Aug-2021	18-Aug-2021	11,811	70.55	833,266.05	25.00	2.50	0.00	833,293.55
IOZ	Bght 26321 IOZ @ 30.2600	04-Oct-2021	06-Oct-2021	26,321	30.26	796,473.46	25.00	2.50	0.00	796,500.96
CDM	Bght 100000 CDM @ 1.0300	09-Nov-2021	11-Nov-2021	100,000	1.03	103,000.00	115.00	11.50	0.00	103,126.50
STW	Bght 11620 STW @ 68.2200	22-Nov-2021	24-Nov-2021	11,620	68.22	792,716.40	25.00	2.50	0.00	792,743.90
SDR	Bght 13500 SDR @ 6.0731	29-Nov-2021	01-Dec-2021	13,500	6.0731	81,987.07	115.00	11.50	0.00	82,113.57
QOZ	Bght 52949 QOZ @ 15.2451	30-Dec-2021	04-Jan-2022	52,949	15.2451	807,211.25	25.00	2.50	0.00	807,238.75
STW	Bght 11784 STW @ 66.9500	15-Feb-2022	17-Feb-2022	11,784	66.95	788,938.80	25.00	2.50	0.00	788,966.30
JBH	Bght 140 JBH @ 53.3700	17-Feb-2022	21-Feb-2022	140	53.37	7,471.80	25.00	2.50	0.00	7,499.30
SDR	Bght 11000 SDR @ 4.4299	11-Mar-2022	15-Mar-2022	11,000	4.4299	48,729.27	115.00	11.50	0.00	48,855.77
ASIA	Bght 6860 ASIA @ 7.2700	11-Mar-2022	15-Mar-2022	6,860	7.27	49,872.20	115.00	11.50	0.00	49,998.70
IOZ	Bght 23071 IOZ @ 31.2400	04-Apr-2022	06-Apr-2022	23,071	31.24	720,738.04	25.00	2.50	0.00	720,765.54
STW	Bght 10183 STW @ 66.8600	23-May-2022	25-May-2022	10,183	66.86	680,835.38	25.00	2.50	0.00	680,862.88
QOZ	Bght 42904 QOZ @ 14.3200	29-Jun-2022	01-Jul-2022	42,904	14.32	614,385.28	25.00	2.50	0.00	614,412.78
<b>Sub Total</b>						<b>6,428,467.06</b>				<b>6,429,726.56</b>

## SALES

Adviser Name: *Hayden Schneider*  
Location: *GOLD COAST*  
Phone No: *07 5557 3329*  
Account No: *1564719*

\* All transaction values are in Australian Dollars (AUD)

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# ORD MINNETT

## TRANSACTION STATEMENT

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

<NAB M/L 27294 A/C>

Code	Description	Trade Date	Settlement Date	Quantity	Unit Price	Gross Amount	Brokerage	GST	Other Fees	Net Amount
SZL	Sold 5000 SZL @ 7.3200	30-Jul-2021	03-Aug-2021	(5,000)	7.32	(36,600.00)	115.00	11.50	0.00	(36,473.50)
QOZ	Sold 54647 QOZ @ 15.2449	16-Aug-2021	18-Aug-2021	(54,647)	15.2449	(833,086.28)	25.00	2.50	0.00	(833,058.78)
CBA	Commonwealth Bank of Australia - Off Market Share Buy-Back (Deemed Capital Proceeds of \$29.22 per share - Capital Component of \$21.66 and Excess Tax Value of \$7.56)	04-Oct-2021	04-Oct-2021	(120)	29.22	(3,506.40)	0.00	0.00	0.00	(3,506.40)
STW	Sold 11811 STW @ 67.4300	04-Oct-2021	06-Oct-2021	(11,811)	67.43	(796,415.73)	25.00	2.50	0.00	(796,388.23)
IOZ	Sold 26321 IOZ @ 30.1200	22-Nov-2021	24-Nov-2021	(26,321)	30.12	(792,788.52)	25.00	2.50	0.00	(792,761.02)
INR	Sold 98685 INR @ 0.6454	29-Nov-2021	01-Dec-2021	(98,685)	0.6454	(63,691.75)	115.00	11.50	0.00	(63,565.25)
LYC	Sold 1689 LYC @ 8.4900	29-Nov-2021	01-Dec-2021	(1,689)	8.49	(14,339.61)	115.00	11.50	0.00	(14,213.11)
DRO	Sold 132000 DRO @ 0.1700	29-Nov-2021	01-Dec-2021	(132,000)	0.17	(22,440.00)	115.00	11.50	0.00	(22,313.50)
STW	Sold 11620 STW @ 69.4400	30-Dec-2021	04-Jan-2022	(11,620)	69.44	(806,892.80)	25.00	2.50	0.00	(806,865.30)
QOZ	Sold 52949 QOZ @ 14.9000	15-Feb-2022	17-Feb-2022	(52,949)	14.90	(788,940.10)	25.00	2.50	0.00	(788,912.60)
HMY	Sold 11429 HMY @ 1.3467	11-Mar-2022	15-Mar-2022	(11,429)	1.3467	(15,391.67)	115.00	11.50	0.00	(15,265.17)
HUM	Sold 25000 HUM @ 0.7950	11-Mar-2022	15-Mar-2022	(25,000)	0.795	(19,875.00)	115.00	11.50	0.00	(19,748.50)
SLA	Sold 8871 SLA @ 3.0908	11-Mar-2022	15-Mar-2022	(8,871)	3.0908	(27,418.11)	115.00	11.50	0.00	(27,291.61)
WHC	Sold 16000 WHC @ 4.0721	11-Mar-2022	15-Mar-2022	(16,000)	4.0721	(65,152.90)	115.00	11.50	0.00	(65,026.40)
SLA	Sold 2529 SLA @ 2.9752	14-Mar-2022	16-Mar-2022	(2,529)	2.9752	(7,524.30)	0.00	0.00	0.00	(7,524.30)
STW	Sold 1460 STW @ 68.2000	18-Mar-2022	22-Mar-2022	(1,460)	68.20	(99,572.00)	115.00	11.50	0.00	(99,445.50)
FCL	Sold 14600 FCL @ 2.4375	22-Mar-2022	24-Mar-2022	(14,600)	2.4375	(35,587.90)	115.00	11.50	0.00	(35,461.40)
TBA	Sold 170000 TBA @ 0.0370	22-Mar-2022	24-Mar-2022	(170,000)	0.037	(6,290.00)	115.00	11.50	0.00	(6,163.50)
BBT	Sold 35000 BBT @ 0.8013	22-Mar-2022	24-Mar-2022	(35,000)	0.8013	(28,044.84)	115.00	11.50	0.00	(27,918.34)
SDR	Sold 6500 SDR @ 4.7454	22-Mar-2022	24-Mar-2022	(6,500)	4.7454	(30,845.38)	115.00	11.50	0.00	(30,718.88)

\* All transaction values are in Australian Dollars (AUD)

Adviser Name: *Hayden Schneider*  
 Location: *GOLD COAST*  
 Phone No: *07 5557 3329*  
 Account No: *1564719*

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# ORD MINNETT

## TRANSACTION STATEMENT

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

*<NAB M/L 27294 A/C>*

Code	Description	Trade Date	Settlement Date	Quantity	Unit Price	Gross Amount	Brokerage	GST	Other Fees	Net Amount
STW	Sold 10324 STW @ 69.8200	04-Apr-2022	06-Apr-2022	(10,324)	69.82	(720,821.68)	25.00	2.50	0.00	(720,794.18)
SDR	Sold 18000 SDR @ 4.6969	16-May-2022	18-May-2022	(18,000)	4.6969	(84,543.45)	115.00	11.50	0.00	(84,416.95)
IOZ	Sold 23071 IOZ @ 29.5100	23-May-2022	25-May-2022	(23,071)	29.51	(680,825.21)	25.00	2.50	0.00	(680,797.71)
STW	Sold 10183 STW @ 60.3400	29-Jun-2022	01-Jul-2022	(10,183)	60.34	(614,442.22)	25.00	2.50	0.00	(614,414.72)
<b>Sub Total</b>						<b>(6,595,035.85)</b>				<b>(6,593,044.85)</b>

*Adviser Name:* Hayden Schneider  
*Location:* GOLD COAST  
*Phone No:* 07 5557 3329  
*Account No:* 1564719

\* All transaction values are in Australian Dollars (AUD)

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# ORD MINNETT

## CASH TRANSACTION STATEMENT

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

<NAB M/L 27294 A/C>

Date	Description	Debit	Credit	Balance
<b>NAB Margin Loan (Variable Rate)</b>				
01-Jul-21	Opening Balance			(664,663.52)
01-Jul-21	Cash Withdrawal - Bght 54647 QOZ @ 15.0100	(820,278.97)		(1,484,942.49)
01-Jul-21	Cash Deposit - Sold 12207 STW @ 67.1568		819,756.08	(665,186.41)
06-Jul-21	Cash Withdrawal - Bght 11228 BBT @ 1.8050	(20,393.04)		(685,579.45)
31-Jul-21	Balance Adjustment		28,532.37	(657,047.08)
03-Aug-21	Cash Deposit - Sold 5000 SZL @ 7.3200		36,473.50	(620,573.58)
03-Aug-21	Cash Withdrawal - Bght 16000 WHC @ 2.2561	(36,223.62)		(656,797.20)
17-Aug-21	Cash Withdrawal - Bght 15000 BBT @ 2.2600	(34,026.50)		(690,823.70)
17-Aug-21	Cash Withdrawal - Bght 120 CBA @ 104.8200	(12,704.90)		(703,528.60)
18-Aug-21	Cash Withdrawal - Bght 11811 STW @ 70.5500	(833,293.55)		(1,536,822.15)
18-Aug-21	Cash Deposit - Sold 54647 QOZ @ 15.2449		833,058.78	(703,763.37)
31-Aug-21	Balance Adjustment		497.48	(703,265.89)
30-Sep-21	Balance Adjustment	(1,449.10)		(704,714.99)
06-Oct-21	Cash Deposit - Sold 11811 STW @ 67.4300		796,388.23	91,673.24
06-Oct-21	Cash Withdrawal - Bght 26321 IOZ @ 30.2600	(796,500.96)		(704,827.72)
31-Oct-21	Balance Adjustment		34,625.53	(670,202.19)
11-Nov-21	Cash Withdrawal - Bght 100000 CDM @ 1.0300	(103,126.50)		(773,328.69)
24-Nov-21	Cash Deposit - Sold 26321 IOZ @ 30.1200		792,761.02	19,432.33
24-Nov-21	Cash Withdrawal - Bght 11620 STW @ 68.2200	(792,743.90)		(773,311.57)
30-Nov-21	Balance Adjustment		18,392.37	(754,919.20)
01-Dec-21	Cash Withdrawal - Bght 13500 SDR @ 6.0731	(82,113.57)		(837,032.77)
01-Dec-21	Cash Deposit - Sold 98685 INR @ 0.6454		63,565.25	(773,467.52)
01-Dec-21	Cash Deposit - Sold 1689 LYC @ 8.4900		14,213.11	(759,254.41)

*Adviser Name:* *Hayden Schneider*

*Location:* *GOLD COAST*

*Phone No:* *07 5557 3329*

*Account No:* *1564719*

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# ORD MINNETT

## CASH TRANSACTION STATEMENT

From 1 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

<NAB M/L 27294 A/C>

Date	Description	Debit	Credit	Balance
01-Dec-21	Cash Deposit - Sold 132000 DRO @ 0.1700		22,313.50	(736,940.91)
31-Dec-21	Balance Adjustment	(18,184.51)		(755,125.42)
04-Jan-22	Cash Withdrawal - Bght 52949 QOZ @ 15.2451	(807,238.75)		(1,562,364.17)
04-Jan-22	Cash Deposit - Sold 11620 STW @ 69.4400		806,865.30	(755,498.87)
31-Jan-22	Balance Adjustment		23,838.20	(731,660.67)
17-Feb-22	Cash Deposit - Sold 52949 QOZ @ 14.9000		788,912.60	57,251.93
17-Feb-22	Cash Withdrawal - Bght 11784 STW @ 66.9500	(788,966.30)		(731,714.37)
21-Feb-22	Cash Withdrawal - Bght 140 JBH @ 53.3700	(7,499.30)		(739,213.67)
28-Feb-22	Balance Adjustment	(1,373.16)		(740,586.83)
31-Mar-22	Balance Adjustment		37,532.65	(703,054.18)
30-Apr-22	Balance Adjustment		25,852.74	(677,201.44)
31-May-22	Balance Adjustment		81,419.49	(595,781.95)
30-Jun-22	Balance Adjustment		637.29	(595,144.66)
30-Jun-22	NAB Margin Lending Interest Paid 01/07/2021 to 30/06/2022	(22,587.29)		(617,731.95)
30-Jun-22	NAB Margin Lending Annual Interest Paid Contra		22,587.29	(595,144.66)
30-Jun-22	Closing Balance	(5,178,703.92)	5,248,222.78	(595,144.66)

*Adviser Name:* *Hayden Schneider*

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# ORD MINNETT

## EXPENSE SUMMARY

From 01 July 2021 Through 30 June 2022

*Mariva Investments Pty Ltd*

<NAB M/L 27294 A/C>

Date	Description	Net Amount	GST	Gross Amount
	<b>Management Fee</b>			
7-Jul-2021	PARS Fee 01Jun2021 to 30Jun2021	1,118.92	111.89	1,230.81
6-Aug-2021	PARS Fee 01Jul2021 to 31Jul2021	1,166.70	116.67	1,283.37
7-Sep-2021	PARS Fee 01Aug2021 to 31Aug2021	1,223.01	122.30	1,345.31
8-Oct-2021	PARS Fee 01Sep2021 to 30Sep2021	1,230.82	123.08	1,353.90
5-Nov-2021	PARS Fee 01Oct2021 to 31Oct2021	1,230.93	123.09	1,354.02
7-Dec-2021	PARS Fee 01Nov21 to 30Nov21	1,243.38	124.34	1,367.72
10-Jan-2022	PARS Fee 01Dec21 to 31Dec21	1,276.33	127.63	1,403.96
8-Feb-2022	PARS Fee 01Jan2022 to 31Jan2022	1,248.33	124.83	1,373.16
8-Mar-2022	PARS Fee 01Feb2022 to 28Feb2022	1,108.75	110.88	1,219.63
7-Apr-2022	PARS Fee 01Mar2022 to 31Mar2022	1,142.83	114.28	1,257.11
6-May-2022	PARS Fee 01Apr2022 to 30Apr2022	998.16	99.82	1,097.98
7-Jun-2022	PARS Fee 01May2022 to 31May2022	957.00	95.70	1,052.70
	Management Fee Total	<b>13,945.16</b>	<b>1,394.51</b>	<b>15,339.67</b>
	<b>Expense</b>			
30-Jun-2022	NAB Margin Lending Interest Paid 01/07/2021 to 30/06/2022	22,587.29	0.00	22,587.29
	Expense Total	<b>22,587.29</b>	<b>0.00</b>	<b>22,587.29</b>
	<b>TOTAL EXPENSES</b>	<b>36,532.45</b>	<b>1,394.51</b>	<b>37,926.96</b>

*Adviser Name:* Hayden Schneider  
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## Corporate Actions Appendix - Financial Year Ending 30 June 2022

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### Section 1: Specific Information on Major Corporate Action Transactions

#### **1). Commonwealth Bank of Australia Limited (CBA) - Off-Market Buyback**

Eligible Commonwealth Bank of Australia Limited shareholders had the opportunity to sell some or all their CBA shares, held as at the record date of 18th August 2021, in the CBA Off-Market Buyback. Tenders could be lodged at the final price tender or at a discount between 10% and 14% (inclusive) at 1% intervals to the market price. The offer was heavily oversubscribed and applications above the priority allocation set at 100 shares were scaled back 79.4%. The final Buyback Price was \$88.62, representing a 14% discount to the 5-day VWAP of CBA shares traded on the ASX up to and including the 1st of October 2021.

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$88.62 is made up of a fully franked dividend component of \$66.96 and a capital component of \$21.66. The deemed capital proceeds were \$29.22 comprising of the capital component of \$21.66 and the excess tax value over the Buy-Back price of \$7.56.

#### **2). JB Hi-Fi Limited (JBH) - Off-Market Buyback**

Eligible JB Hi-Fi Limited shareholders had the opportunity to sell all or some of their JBH shares, held as at the record date of 24th February 2022. Tenders could be lodged at the final price tender or at a discount between 8% and 14% (inclusive) at 1% intervals to the market price. As a result of the strong demand for the Buyback, Shares will only be bought back from Shareholders who tendered their shares at a Tender Discount of 14% and/or as Final Price Tenders.

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$45.05 is made up of a fully franked dividend component of \$41.87 and a capital component of \$3.18. The deemed capital proceeds were \$7.20 comprising of the capital component of \$3.18 and the excess tax value over the Buy-Back price of \$4.02.

#### **3). Metcash Limited (MTS) - Off-Market Buyback**

Eligible Metcash Limited shareholders had the opportunity to sell some or all their MTS shares, held as at the record date of 2nd July 2021, in the MTS Off-Market Buyback. Tenders could be lodged at the final price tender or at a discount between 8% and 14% inclusive (at 1% intervals). The offer was heavily oversubscribed and applications above the priority allocation set at 1,000 shares were scaled back 83.1%. The final Buyback Price was \$3.52, representing a 14% discount to the 5-day VWAP of MTS shares traded on

the ASX up to and including the 13th of October 2021.

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$3.52 is made up of a fully franked dividend component of \$2.67 and a capital component of \$0.85. The deemed capital proceeds were \$0.99 comprising of the capital component of \$0.85 and the excess tax value over the Buy-Back price of \$0.14.

#### **4). Qube Holdings Ltd (QUB) - Off-Market Buyback**

Eligible Qube Holdings Ltd shareholders had the opportunity to sell some or all their QUB shares, held as at the record date of 29th March 2022, in the QUB Off-Market Buyback. Tenders could be lodged at the final price tender or at a discount between 5% and 14% (inclusive) at 1% intervals to the market price. The offer was heavily oversubscribed, Eligible Shareholders who tendered their Shares at a 5 % Discount had a priority Tender of 1,736 Shares bought back before any scale back was applied.]

The final Buyback Price was \$2.59, representing a 5% discount to the 5-day VWAP of WOW shares traded on the ASX up to and including the 13th of May 2022.

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$2.59 is made up of a fully franked dividend component of \$0.98 and a capital component of \$1.61. The deemed capital proceeds were \$1.96 comprising of the capital component of \$1.61 and the excess tax value over the Buy-Back price of \$0.35.

#### **5). Redcape Hotel Group (RDC) - Off-Market Buyback**

Eligible Redcape Hotel Group shareholders had the opportunity to sell all their RDC shares, held as at the record date of 17th September 2021, in the RDC Off-Market Buyback. The Buyback Price was set at \$1.15. The Buyback was capped at \$247.3 million. Buy Back Settlement Date was 27th October 2021.

#### **6). Westpac Banking Corporation (WBC) - Off-Market Buyback**

Eligible Westpac Banking Corporation shareholders had the opportunity to sell some or all their WBC shares, held as at the record date of 08th November 2021, in the WBC Off-Market Buyback. Tenders could be lodged at the final price tender or at a discount between 0% and 10% (inclusive) at 1% intervals to the market price. The final Buyback Price was \$20.90. The Buyback was capped at \$3.5 billion. Buy Back Settlement Date



## Corporate Actions Appendix - Financial Year Ending 30 June 2022

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

was 18th February 2022.

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$20.90 is made up of a fully franked dividend component of \$9.56 and a capital component of \$11.34. The deemed capital proceeds were \$14.58 comprising of the capital component of \$11.34 and the excess tax value over the Buy-Back price of \$3.24.

### **7). Woolworths Group Limited (WOW) - Off-Market Buyback**

Eligible Woolworths Group Limited shareholders had the opportunity to sell some or all their WOW shares, held as at the record date of 03rd September 2021, in the WOW Off-Market Buyback. Tenders could be lodged at the final price tender or at a discount between 10% and 14% (inclusive) at 1% intervals to the market price. The offer was heavily oversubscribed and applications above the priority allocation set at 180 shares were scaled back 81.2%. The final Buyback Price was \$34.46, representing a 14% discount to the 5-day VWAP of WOW shares traded on the ASX up to and including the 15th of October 2021.

The ATO Class Ruling confirmed that the Off-Market Buy-Back Price of \$34.46 is made up of a fully franked dividend component of \$30.15 and a capital component of \$4.31. The deemed capital proceeds were \$9.71 comprising of the capital component of \$4.31 and the excess tax value over the Buy-Back price of \$5.40.

### **8). Boral Limited (BLD) - Off-Market Takeover by Seven Group Holdings Limited (SGH)**

On the 10th of May 2021, Seven Group Holdings Limited announced an Off-Market Takeover of Boral Limited for cash consideration per BLD share. BLD shareholders that accepted the offer received \$7.40 per Boral Limited share. The close date of the offer was 29th of July 2021.

### **9). Cashrewards Limited (CRW) - Off-Market Takeover by 1835i Ventures Trusco III Pty Ltd**

On the 22nd October 2021, 1835i Ventures Trusco III Pty Ltd in its capacity as trustee of the 1835i Ventures Trust III (Ventures Fund III) made an Off-market Takeover Offer for Cashrewards Limited (CRW) for cash consideration per CRW share. CRW shareholders that accepted the offer received \$1.135 cash per CRW share. The offer closed on 9th Jan 2022 and the remaining CRW shares not accepted into the offer were compulsorily acquired.

### **10). Cimic Group Limited (CIM) - Off-Market Takeover by HOCHTIEF Australia Holdings Limited**

On the 10th of March 2022, HOCHTIEF Australia Holdings Limited made an Off-market Takeover Offer for Cimic Group Limited (CIM) for cash consideration per CIM share. CIM shareholders that accepted the offer received \$22.00 cash per CRW share. The offer closed on 10th May 2022 and the remaining CIM shares not accepted into the offer were compulsorily acquired.

### **11). Afterpay Limited (APT) - Scheme of Arrangement**

Lanai (AU) Pty Limited, a wholly owned subsidiary of Square Inc (SQ) acquired all the shares of Afterpay Limited. Eligible Afterpay Limited shareholders as at the record date of 21st January 2022, received 0.375 New SQ2 CDIs for every 1 APT share held. SQ2 CDIs were allotted on the implementation date 1st Feb 2022.

### **12). Sydney Airport (SYD) - Scheme of Arrangement**

*Sydney Aviation Alliance Pty Ltd acquired all of the securities in Sydney Airport (SYD) in exchange for \$8.75 cash for each SYD security.* Eligible Sydney Airport security holders as at the record date of 16th February 2022, received \$8.75 cash per security on the implementation date 09th March 2022.

### **13). Westpac Banking Corporation Capital Notes 8 (WBCPK) - Reinvestment & Securityholder Offer**

Under the Reinvestment Offer, eligible Westpac Banking Corporation Capital Notes 4 securityholders (WBCPG), as at the record date of 10th August 2021, had the opportunity to reinvest their WBCPG securities into Westpac Banking Corporation Capital Notes 8 (WBCPK) on a 1 for 1 basis.

Under the Securityholder Offer, eligible WBC, WBCPE, WBCPH, WBCPI and WBCPJ securityholders, as at the record date of 10th August 2021, were entitled to apply for Westpac Banking Corporation Capital Notes 8 (WBCPK) at \$100.00 per security. Eligible holders were required to apply for a minimum of 50 WBCPK securities (\$5,000.00) and thereafter, in multiples of 10 WBCPK securities (\$1,000.00).

## Corporate Actions Appendix - Financial Year Ending 30 June 2022

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### **14). Australia and New Zealand Banking Group Capital Notes 6 (ANZPI) - Reinvestment & Securityholder Offer**

Under the Reinvestment Offer, eligible Australia and New Zealand Banking Group Capital Notes 1 securityholders (ANZPD), as at the record date of 27th May 2021, had the opportunity to reinvest their ANZPD securities into Australia and New Zealand Banking Group Capital Notes 6 (ANZPI) on a 1 for 1 basis.

Under the Securityholder Offer, eligible ANZ, ANZPD, ANZPE, ANZPF, ANZPG and ANZPH securityholders, as at the record date of 27th May 2021, were entitled to apply for Australia and New Zealand Banking Group Capital Notes 6 (ANZPI) at \$100.00 per security. Eligible holders were required to apply for a minimum of 50 ANZPI (\$5,000.00).

### **15). Australia and New Zealand Banking Group Capital Notes 7 (ANZPJ) - Reinvestment & New Money Offer**

Under the Reinvestment Offer, eligible Australia and New Zealand Banking Group Capital Notes 2 securityholders (ANZPE), as at the record date of 10th February 2022, had the opportunity to reinvest their ANZPE securities into Australia and New Zealand Banking Group Capital Notes 7 (ANZPJ) on a 1 for 1 basis.

Under the New Money Offer, eligible clients of Syndicated Brokers who were either a Wholesale Investor or a Retail Investor who had received personal advice from a licensed professional adviser could apply through their Syndicate Broker for an allocation of Australia and New Zealand Banking Group Capital Notes 7 (ANZPJ) at \$100.00 per security. Eligible clients were required to apply for a minimum of 50ANZPJ (\$5,000.00).

### **16). Commonwealth Bank of Australia PERLS XIV Capital Notes (CBAPK) - Reinvestment & New Money Offer**

Under the Reinvestment Offer, eligible Commonwealth Bank of Australia PERLS IX Capital Notes securityholders (CBAPF), as at the record date of 23rd February 2022, had the opportunity to reinvest their CBAPF securities into Commonwealth Bank of Australia PERLS XIV Capital Notes (CBAPK) on a 1 for 1 basis.

Under the New Money Offer, eligible clients of Syndicated Brokers who were either a Wholesale Investor or a Retail Investor who had received personal advice from a licensed professional adviser could apply through their Syndicate Broker for an allocation of Commonwealth Bank of Australia PERLS XIV Capital Notes (CBAPK) at \$100.00 per

security. Eligible clients were required to apply for a minimum of 50 PERLS XIV (\$5,000.00). and thereafter, in multiples of 10 PERLS XIV securities (\$1,000.00).

## Corporate Actions Appendix - Financial Year Ending 30 June 2022

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### Section 2: Attribution Managed Investment Trust (AMIT) - Changes to report format

#### Attribution Managed Investment Trust (AMIT) - Report fields

The following information has been prepared to assist you in interpreting the AMIT related fields on your Investment Income Received (Annual Tax) report for 2022.

The AMIT related fields are labelled as follows:

- AMIT CBA - AMIT Cost Base Net Adjustment
- AMIT CGU - AMIT CGT Gross Up Amount
- Other Non Taxable - Non-Taxable/Non-Assessable Amounts

Please see below for an explanation of the new components.

Clients are strongly advised to obtain professional advice in regards to the tax treatment of the cost base adjustments, CGT Gross Up amounts and non-assessable/non-taxable amounts.

Please refer to the companies' websites and their FY2022 Tax Return Guides for further information.

#### AMIT Cost Base Net Adjustment

An attribution managed investment trust (AMIT) can make non-assessable payments to unit holders, which can affect the cost base or reduced cost base of your holding. If you receive non-assessable payments from an AMIT, the cost base and reduced cost base of your units may need to be adjusted upward or downward:

- A cost base reduction occurs when the actual payments received (or which you have a right to receive) from the AMIT (including any tax offsets) are greater than the amounts attributed to you by the AMIT (to be included in your assessable income).
- A cost base increase occurs when the amounts that have been attributed to you by the AMIT (to be included in your assessable income) are greater than the actual payments received (or which you have a right to receive).

The reduction and the increase amounts are netted off against each other to arrive at your 'cost base net adjustment amount', which is then applied to your asset cost base.

Note that these adjustments are automatically applied to your cost bases on the PARS platform, and are reflected in your 'Reduced Cost Base' on both the Unrealised Capital Gains and Realised Capital gains reports.

This value is provided in your Investment Income Received (Annual Tax) report under the "AMIT CBA" heading.

#### AMIT CGT Gross Up Amount

The AMIT CGT Gross Up amount is used to reconcile the total current year capital gains amount to be included on your tax return. AMIT CGT Gross Up amounts are generally present when the total current year capital gains attributed to you are not paid or are only partially paid. This amount is included in the AMIT cost base increase.

This value is provided in your Investment Income Received (Annual Tax) report under the "AMIT CGU" heading.

When completing Tax Return Label 18H (Total Current Year Capital Gains), the amounts provided under the following Investment Income Received (Annual Tax) report headings should be added:

- Discount Capital Gain
- Capital Gain Other
- CGT Concession
- AMIT CGU

#### Non-Taxable/Non-Assessable Amounts

These values consist of other non-attributable amounts, net exempt income and non-assessable non-exempt income that have been distributed to you. This may include the amounts previously known as tax-free amounts and tax deferred amounts (including returns of capital).

Non-assessable amounts are not assessable for income tax purposes, and are reflected in the AMIT cost base net adjustment amount.

For the purposes of these annual tax reports, the other non-attributable amounts represent the difference between cash distributions and other entitlements from an AMIT

## Corporate Actions Appendix - Financial Year Ending 30 June 2022

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

to the amount of attribution income. These amounts may be a positive number or a negative number. To reconcile the actual payments received with the total reportable tax attribution value, the amounts provided under the following Investment Income Received (Annual Tax) report headings should be added:

- Gross Income
- Other Non Taxable

### CGT event E10

Where a cost base reduction amount exceeds a cost base increase amount, the resulting cost base net adjustment amount reduces an asset's cost base or reduced cost base. If the net amount is greater than the cost base, it will reduce the cost base to nil, and any remaining excess will give rise to a capital gain as a result of CGT event E10.

Where the cost base increase amount exceeds the cost base reduction amount, the resulting cost base net adjustment amount increases your asset's cost base and reduced cost base. This will not trigger a CGT event; however, it may result in a reduced capital gain or increased capital loss in the future if you dispose of your CGT asset.

### NCMI Components

'NCMI' tax components relate to income from a trust that is a Managed Investment Trust (MIT) that is classified as 'Non-Concessional MIT income' (NCMI). 'Excluded from NCMI' amounts are payments that would be NCMI payments were not for the application of transitional arrangements.

NCMI payments are subject to a withholding tax rate of 30% when paid to a non-resident. Standard withholding tax rates (those applicable after taking into account the investors country of residence) apply to these 'Excluded from NCMI' amounts.

In most instances, NCMI tax components are irrelevant to resident taxpayers. For this reason, NCMI payments, and excluded from NCMI payments, are added together and included under the 'Other Income' report field.

## Section 3: General Taxation Treatment of Corporate Actions

### i) New shares issued under Rights and Security/Share Purchase Plans

New Shares will be treated for the purposes of the capital gains tax (CGT) discount as having been acquired when the Eligible Shareholder exercised the Entitlement to subscribe for them. Accordingly, in order to benefit from the CGT discount in respect of a disposal of those Shares, they must have been held for at least 12 months after the date of exercise before the disposal occurs.

### ii) Treatment of dividends

Dividends are taxable on the payment date. It is this date that will determine in which income year you include the dividend in your assessable income. Where the dividend is paid by cheque, it is deemed to have been paid to you on the date the cheque was posted and not on the date the cheque was received, banked or cleared.

### iii) Treatment of distributions from trusts and managed funds

Distributions from trusts and managed funds are taxable on the date in which you become entitled to the distribution. This is usually the "ex-date". You should use the ex-date to determine which income year the distribution components are to be included in your assessable income. Some stapled securities pay both dividends and distributions. In this case we have split the income in our reports. The dividend component will generally be taxable in the year in which it is paid and the distribution component will generally be taxable in the year in which the security holder is entitled to receive the distribution.

For the purpose of our reports foreign dividends, foreign interest, passive foreign income and other foreign income have all been entered under the heading "Foreign Income". Any associated tax offsets have been entered under the heading "Foreign Tax Credits".

### iv) Treatment of tax deferred amounts from trusts and managed funds

Tax deferred payments from trusts or managed funds do not contribute to assessable income. We have accounted for tax deferred amounts by adjusting the cost base or reduced cost base of your units for the tax deferred component of distributions you have received. If the tax deferred amount is greater than the cost base of your units, you need to include the excess as a capital gain.

## **Corporate Actions Appendix - Financial Year Ending 30 June 2022**

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### **v) Treatment of Returns of Capital**

Return of capital amounts are generally not treated as assessable income. We have accounted for Return of Capital amounts by adjusting the cost base or reduced cost base of your shares or units for the Return of Capital amounts received. If the Return of Capital amount is greater than the cost base of your units, you need to include the excess as a capital gain.

### **vi) Retail premiums paid to shareholders where entitlements are not taken up or are not available.**

The Australian Taxation Office ("ATO") has issued Taxation Ruling TR 2017/4 dealing with taxation of rights granted, and retail premiums paid, to retail shareholders in connection with renounceable rights offers. The ruling is applicable where a shareholder was offered share entitlements which were not taken up and which expired, or when a shareholder was not offered share entitlements, and a retail premium was paid in respect of entitlements sold in the retail bookbuild process.

We have treated the retail premiums paid to shareholders for unexercised security entitlements as capital proceeds, in line with Part A of the ruling. These capital proceeds are eligible for the CGT discount where the eligible shareholders original shares have been held for 12 months or more.

However, shareholders who received a retail premium as described above should seek their own tax advice on the Australian taxation treatment of the retail premium in their income tax returns.

### **vii) Treatment of CGT rollover on Schemes of Arrangement**

The methodology used in apportioning cost bases in schemes and takeovers where CGT rollover has been assumed is the relative value method. The values used in the relative value method are company or ATO provided values when available. If no value or price has been published the volume weighted average price (VWAP) of the securities traded on the ASX on the implementation date is used as a reasonable estimate.

### **viii) Treatment of Bonus Share Plans**

Bonus shares issued under Bonus Share Plans have not been treated as income and not subject to imputation. Such ordinary shares are regarded as having been acquired at the same time as the existing holding for no extra cost and the cost of the existing holding then becomes the cost of the aggregate of the existing holding and the new ordinary shares. Shareholders are advised to seek independent tax advice to determine the potential impact of the bonus share plans.

### **ix) Managed Funds - AET and HUB Platform Holdings**

Components reported may be different based on each custodian's method of calculating the underlying components associated with each investment and the methodology used by them to reconcile to the Fund Manager Statements issued for their particular holding.

## Corporate Actions Appendix - Financial Year Ending 30 June 2022

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### Section 4: Additional Information

<https://www.ato.gov.au/Business/Exploration-Development-Incentive/What-to-do-when-you-receive-exploration-credits>

#### 31 December 2021 Financial Year End

Where an entity has a Financial Year End 31 December 2021, your 2022 Annual Tax Report will include the distributions that fall within the calendar year ended 31 December 2021.

#### Non-Residents of Australia for Tax Purposes

The Australian Federal Government enacted a Non-Resident Withholding Tax regime also referred to as 12H Withholding tax. Foreign investors receiving distributions as "Other Income" may be able to claim a credit for the amount of tax withheld when they lodge an *Australian income tax return* in respect of their final tax liability. Please contact our office if you would like further information as to whether this may be applicable for you.

This information does not take into account your overall individual taxation circumstances and has been provided to assist you in completing your tax obligations. It is not advice. To determine whether this is applicable to you, please contact your financial accountant or tax adviser.

#### Listed Investment Company Capital Gain Information

If you received a distribution as a Listed Investment Company ("LIC") Capital Gain, are an Australian resident (except complying Superannuation entity) or resident partnership for taxation purposes, you may be entitled to a deduction which you may be able to claim in your tax return.

This information does not take into account your overall individual taxation circumstances and has been provided to assist you in completing your tax obligations. It is not advice. To determine whether this is applicable to you, please contact your accountant or tax adviser.

#### Exploration Development Incentive Credits (EDI Credits)

The Exploration Development Incentive Credits have been entered as Franking Credits. The correct tax treatment will however be dependent on the type of entity the shares have been registered under. Shareholders are strongly advised to obtain professional advice on the taxation of these credits. For further information, please refer to the ATO guide.

## **Corporate Actions Appendix - Financial Year Ending 30 June 2022**

For information purposes we have included the treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this may not be applicable to your investments. If these corporate activities do not apply to you please disregard this Appendix.

### **Disclaimer:**

For information purposes we have included the Portfolio Services platform treatment(s) that have been used to reflect mainstream corporate activity within the financial year ending 30 June 2022. Please note that this information may not be applicable to your particular investments and associated Portfolio Service tax reports. If these corporate activities do not apply to you then please disregard this Appendix. While Ord Minnett believes that the information contained herein is reliable, no warranty is given as to its accuracy or the accuracy of information or material from other sources. Persons relying on this information do so at their own risk. Accordingly if this information is applicable to your investments then you should satisfy yourself as to the correctness or otherwise of the information provided and independent taxation advice should be sought pertaining to your individual situation.