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# Monthly Options Statement

For the month of June 2020

DR KIM RUSSELL SAWYER + MRS KESSADA  
 SAWYER <SAWYER PENSION FUND A/C>  
 92 COLE ST  
 WILLIAMSTOWN VIC 3016 AUS

Account no: 81027490

## Current Open Position Details

As at close of business on 30/06/2020

Expiry Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Reference	Market Price (\$)	Unrealised P&L (\$)
<b>FMGBL9 FORTESCUE METALS GROUP LTD 20-AUG-2020 P 13.75 (100 Shares per lot; American style options)</b>									
20/08/2020	13.75	Put		100	0.8300	29/06/2020	C102224089	0.7400	900.00 CR
<b>Total</b>									<b>900.00 CR</b>
<b>FMGI19 FORTESCUE METALS GROUP LTD 16-JUL-2020 P 14.25 (100 Shares per lot; American style options)</b>									
16/07/2020	14.25	Put		100	0.7300	18/06/2020	C101711945	0.6750	550.00 CR
<b>Total</b>									<b>550.00 CR</b>
<b>Total Unrealised P&amp;L:</b>									<b>1,450.00 CR</b>

### Option Liabilities (As at 30/06/2020)

FMGBL9 Market Price = \$0.7400 \* 100 \* 100 = \$7,400

FMGI19 Market Price = \$0.6750 \* 100 \* 100 = \$6,750

**Total = \$14,150**

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
	Brought Forward Balance			6,469.25 DR			
01/06/2020	Direct Transfer 067167 16483136 Drawer MRS KESSADA SAWYER + DR KIM RUSSELL SAWYER - SAWYER PENSION FUND A - C -		6,469.25	0.00 DR	0.00 DR	0.00 CR	0.00 DR
09/06/2020	S 100 FMG3Q9 @ 0.550000 14.67 PUT OPTION EXPIRING 25-JUN-2020		5,835.75	5,835.75 CR	55,051.00 DR	142,381.00 CR	5,835.75 CR
10/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	5,835.75		0.00 DR	53,724.00 DR	142,465.00 CR	0.00 DR
12/06/2020	S 100 FMG3M9 @ 0.495047 14.22 PUT OPTION EXPIRING 25-JUN-2020		5,247.75	5,247.75 CR	105,322.00 DR	138,211.00 CR	5,247.75 CR
15/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	5,247.75		0.00 DR	121,168.50 DR	134,650.00 CR	0.00 DR
16/06/2020	B 100 FMG3Q9 @ 0.385047 14.67 PUT OPTION EXPIRING 25-JUN-2020	4,169.25		4,169.25 DR	47,311.50 DR	139,152.00 CR	0.00 DR
17/06/2020	S 100 FMG3Q9 @ 0.390000 14.67 PUT OPTION EXPIRING 25-JUN-2020		4,123.75	45.50 DR			

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
17/06/2020	Direct Transfer 067167 16483136 Drawer MRS KESSADA SAWYER + DR KIM RUSSELL SAW YER - SAWYER PENSION FUND A - C -		4,169.25	4,123.75 CR	113,666.50 DR	137,618.00 CR	4,123.75 CR
18/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	4,123.75		0.00 DR			
18/06/2020	S 100 FMGI9 @ 0.730000 14.25 PUT OPTION EXPIRING 16-JUL-2020		7,250.75	7,250.75 CR			
18/06/2020	Addn Cash Margin 18/06/2020	51,170.00		43,919.25 DR	185,826.00 DR	134,656.00 CR	7,250.75 CR
19/06/2020	Addn Cash Margin 19/06/2020		45,292.06	1,372.81 CR	194,379.00 DR	188,501.06 CR	7,250.75 CR
22/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	1,372.81		0.00 DR			
22/06/2020	Addn Cash Margin 22/06/2020		1,776.96	1,776.96 CR	194,144.00 DR	190,043.02 CR	5,877.94 CR
23/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	1,776.96		0.00 DR			
23/06/2020	Addn Cash Margin 23/06/2020		4,100.98	4,100.98 CR	190,684.50 DR	191,794.58 CR	4,100.98 CR
24/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	4,100.98		0.00 DR			
24/06/2020	B 100 FMG3Q9 @ 0.550000 14.67 PUT OPTION EXPIRING 25-JUN-2020	5,934.25		5,934.25 DR			
24/06/2020	B 100 FMG3M9 @ 0.100000 14.22 PUT OPTION EXPIRING 25-JUN-2020	1,119.25		7,053.50 DR	53,572.50 DR	193,641.04 CR	0.00 DR
25/06/2020	Direct Transfer 067167 16483136 Drawer MRS KESSADA SAWYER + DR KIM RUSSELL SAW YER - SAWYER PENSION FUND A - C -		7,053.50	0.00 DR	57,910.00 DR	188,941.28 CR	0.00 DR
29/06/2020	S 100 FMGBL9 @ 0.830000 13.75 PUT OPTION EXPIRING 20-AUG-2020		8,250.75	8,250.75 CR	110,502.50 DR	189,843.13 CR	8,250.75 CR
30/06/2020	Direct Transfer - Payee DR KIM RUSSELL SAWYER + MRS KESSADA SAWYER <SAWYER PENSION FUND A/C>	8,250.75		0.00 DR	108,262.50 DR	191,955.59 CR	0.00 DR
	<b>Total:</b>	<b>93,101.50</b>	<b>99,570.75</b>	<b>0.00 DR</b>			

**Liquidation Advice****MATCHOUT**

Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
FMG	FMG3M9	FORTESCUE METALS GROUP LTD 25-JUN-2020 P 14.22 (107 Shares per lot;American style options)							
24/06/2020	14.22	Put		100	0.495	12/06/2020	5,247.75	CR	
24/06/2020	14.22	Put	100		0.100	24/06/2020	1,119.25	DR	
<b>Total:</b>							<b>4,128.50</b>	<b>CR</b>	

FMG	FMG3Q9	FORTESCUE METALS GROUP LTD 25-JUN-2020 P 14.67 (107 Shares per lot;American style options)							
16/06/2020	14.67	Put		100	0.550	09/06/2020	5,835.75	CR	
16/06/2020	14.67	Put	100		0.385	16/06/2020	4,169.25	DR	
24/06/2020	14.67	Put		100	0.390	17/06/2020	4,123.75	CR	
24/06/2020	14.67	Put	100		0.550	24/06/2020	5,934.25	DR	
<b>Total:</b>							<b>144.00</b>	<b>DR</b>	

## Collateral Holdings

Lodgement ID	Type	Units	Cover Value (\$)	Date Lodged
<b>Collateral Type: Shares</b>				
<b>BHP BHP Group Limited</b>				
P0000000	Shares	5,007	143,480.59	03/05/2019
DR KIM RUSSELL SAWYER + STWILLIAMSTOWN VIC3016	MRS KESSADA SAWYER<SAWYER PENSION FUND A/C>,92 COLE			HIN:51232569
<b>FMG Fortescue Metals Group Ltd</b>				
P0000000	Shares	5,000	48,475.00	15/01/2019
DR KIM RUSSELL SAWYER + STWILLIAMSTOWN VIC3016	MRS KESSADA SAWYER<SAWYER PENSION FUND A/C>,92 COLE			HIN:51232569
<b>Total Cover Value (\$):</b>			<b>191,955.59</b>	

### Explanatory Notes:

- (a) Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- (b) Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- (c) ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- (d) Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (e) Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- (f) For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- (a) the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
  - (b) The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.
- Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.
- Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.
- Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.