

Portfolio Holdings Disclosures (continued)

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Dividends and Interest	\$85.00	\$0.00	\$85.00	\$170.32	\$0.00	\$170.32
Fees	\$0.00	\$0.00	\$0.00	\$0.00	-\$65.00	-\$65.00
Taxes Withheld	\$0.00	-\$12.75	-\$12.75	\$0.00	-\$25.50	-\$25.50
Totals	\$85.00	-\$12.75	\$72.25	\$170.32	-\$90.50	\$79.82

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
06/29/20	CASH DIVIDEND RECEIVED	125 SHRS GILEAD SCIENCES INC RD 06/12 PD 06/29/20				85.00	USD
06/29/20	NON-RESIDENT ALIEN TAX	NRA TAX WITHHELD ON 125 SHRS GILEAD SCIENCES INC RD 06/12 PD 06/29/20				-12.75	USD

Total Value of Transactions

The price and quantity displayed may have been rounded.

\$0.00 \$72.25 USD

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
FEDERATED HERMES ST US GOV IDS				
Current Yield: 0.00% Activity Ending: 06/30/20				
04/01/20	Opening Balance			337.23
06/30/20	Deposit	MONEY FUND PURCHASE	72.25	409.48
06/30/20	Closing Balance			\$409.48

ADD = .6892 0678 \$85.00 = \$123.33
 3305 (-12.75) (-19.50)
 72.25
 103.83
 ADD = 594.14

January 1, 2020 - March 31, 2020
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Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
01/31/20	MONEY FUND INCOME PER314033	SHORT TERM US GOVT				0.15	USD
02/28/20	MONEY FUND INCOME PER314033	SHORT TERM US GOVT				0.14	USD
03/02/20	ANNUAL INACTIVE ACCOUNT FEE	ANNUAL CUSTODIAL MAINTENANCE FEE MIXED ACCT NG7041007				-65.00	USD
03/30/20	USD9999997 CASH DIVIDEND RECEIVED GILD	125 SHRS GILEAD SCIENCES INC RD 03/30/20				85.00	USD
03/30/20	NON-RESIDENT ALIEN TAX GILD	NRA TAX WITHHELD ON 125 SHRS GILEAD SCIENCES INC RD 03/30/20				-12.75	USD
03/31/20	MONEY FUND INCOME PER314033	SHORT TERM US GOVT				0.03	USD

72.25 <

Total Value of Transactions

\$0.00 \$7.57 USD

The price and quantity displayed may have been rounded.

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
SHORT TERM US GOVT IDS CLASS				
Current Yield: 0.00% Activity Ending: 03/31/20				
01/01/20	Opening Balance		329.66	329.66
01/31/20	Deposit	INCOME REINVEST	0.15	329.81
02/28/20	Deposit	INCOME REINVEST	0.14	329.95
03/02/20	Withdrawal	MONEY FUND REDEMPTION	-65.00	264.95
03/31/20	Deposit	MONEY FUND PURCHASE	72.25	337.20
03/31/20	Deposit	INCOME REINVEST	0.03	337.23
03/31/20	Closing Balance			\$337.23

0578 AUD = -6870
 0578 AUD = -6674
 1545 AUD = -6674
 0578 AUD = -6229

0578 \$85.00
 3325 - 12.15
 72.25
 AUD - 6229 = \$136.46
 (20.47)
 115.99



Account Number: NG7-041007
 A000000031AHTP

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Clearing through Pershing LLC, a wholly owned
 subsidiary of The Bank of New York Mellon
 Corporation (BNY Mellon)
 Pershing LLC member FINRA, NYSE, SIPC

October 1, 2019 - December 31, 2019
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Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
SHORT TERM US GOVT IDS CLASS				
Current Yield: 0.48% Activity Ending: 12/31/19				
10/01/19	Opening Balance		262.31	262.31
10/31/19	Deposit		0.18	262.49
11/29/19	Deposit	0576 AUD = 7110	0.12	262.61
12/31/19	Deposit	0578 AUD = 7150	66.94	329.55
12/31/19	Deposit	0578 AUD = 7195	0.11	329.66
12/31/19	Closing Balance			\$329.66
Total All Money Market Funds				
		0578 AUD = 7195	AUD - 7195	= \$109.45
		3325 -	\$11.81	= (\$16.41)
			\$66.94	\$93.04

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.

July 1, 2019 - September 30, 2019
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Transactions in Date Sequence (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
09/30/19	MONEY FUND INCOME PER314033	SHORT TERM US GOVT				0.18	USD
Total Value of Transactions						\$0.00	USD

The price and quantity displayed may have been rounded.

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
SHORT TERM US GOVT IDS CLASS				
Current Yield: 0.85% Activity Ending: 09/30/19				
06/29/19	Opening Balance		194.78	194.78 ✓
07/31/19	Deposit		0.23 = 0.31	195.01
08/30/19	Deposit		0.18 = 0.25	195.19
09/30/19	Deposit		66.94	262.13
09/30/19	Deposit		0.18 = 0.25	262.31
09/30/19	Closing Balance			\$262.31
Total All Money Market Funds				
		Dividend	78.75 - AUD 7136 = 110.35	0578
		- Tax	(11.81)	(16.55)
			66.94	93.80

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

Pursuant to the Securities Exchange Act of 1934, Pershing LLC (Pershing), a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis. Pershing's June Statement of Financial Condition is now available. On June 30, 2019, Pershing's net capital of \$2.78 billion was 16.46% of aggregate debit balances and exceeded the minimum requirements by \$2.44 billion. Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2019, Pershing's net capital of \$2.80 billion was 17.53% of aggregate debit balances and exceeded the minimum requirement by \$2.48 billion. A copy of the June 30, 2019, Statement of Financial Condition is available at www.pershing.com/statement-of-financial-condition. You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option 5.