

**Recipient's Name and Address:**

MIRTAP SUPERANNUATION FUND  
UAD 10/18/05  
T M CAFER & M M CAFER TTEES  
4 HIDEAWAY MOUNTAIN COURT  
CORNUBIA QLD 4130  
AUSTRALIA

**Your Financial Consultant:**

ID: ABB

**Account Holder Information:**

**Account Number:** NG7-066483

**General Information:**

**Important Message:** This statement is being provided for informational purposes only as a COURTESY. The information on this statement WILL NOT BE FURNISHED to the IRS (excluding 1099-MISC substitute payments and 1099-B covered transactions for S Corporations).

**Available Dates:** We generally make courtesy 1099 tax statements available to investors from February 15 to March 15. Certain information may not be finalized by the securities issuers at the time that the statement is produced. We do not revise courtesy 1099 tax statements.

**e-Delivery:** The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information. The "As of " date on this document is the date the form was generated and the date through which all activity in this account was processed.

Visit [www.irs.gov](http://www.irs.gov) for IRS Forms, Publications and other resources or you may call (800) 829-1040 (individuals) or (800) 829-4933 (businesses).

Visit [www.mytaxhandbook.com](http://www.mytaxhandbook.com) for information and a reference guide to this 1099 tax statement.



**Recipient's Name and Address:**

MIRTAP SUPERANNUATION FUND  
UAD 10/18/05

**Account Number:** NG7-066483

Recipient's Identification  
Number:

**2019  
YOUR TAX INFORMATION STATEMENT  
As of 02/24/2020**

**Payer's Information:** PERSHING LLC  
ONE PERSHING PLAZA  
JERSEY CITY, NJ 07399

**Payer's Federal Identification Number:** 13-2741729

**This statement is being provided for informational purposes only. The information on this statement will not be furnished to the Internal Revenue Service.**

**Summary of Form 1099-B**

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

	<b>Amount</b>
Gross Proceeds (Covered and Noncovered Transactions) .....	0.00
Cost or Other Basis (Covered Transactions Only) .....	0.00
<b>Federal Tax Withheld - Proceeds</b> .....	<b>0.00</b>
State Tax Withheld - Proceeds .....	0.00
<b>Regulated Futures and Foreign Currency Forward Contracts:</b>	
Profit or (Loss) Realized in 2019 .....	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2018 .....	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2019 .....	0.00
Aggregate Profit or (Loss) .....	0.00
<b>Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts</b> .....	<b>0.00</b>
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts .....	0.00

**2019 1099-DIV Dividends and Distributions OMB No. 1545-0110**

<b>Box</b>		<b>Amount</b>
1a	Total Ordinary Dividends.....	567.60
1b	Qualified Dividends.....	567.60
2a	Total Capital Gain Distributions.....	0.00
2b	Unrecaptured Section 1250 Gain .....	0.00
2c	Section 1202 Gain .....	0.00
2d	Collectibles (28%) Gain.....	0.00
3	Nondividend Distributions .....	0.00
<b>4</b>	<b>Federal Income Tax Withheld</b> .....	<b>0.00</b>
5	Section 199A Dividends .....	0.00
6	Investment Expenses.....	0.00
7	Foreign Tax Paid.....	0.00
9	Cash Liquidation Distributions.....	0.00
10	Noncash Liquidation Distributions .....	0.00
11	Exempt Interest Dividends.....	0.00
12	Specified Private Activity Bond Interest Dividends.....	0.00
13/14/15	State /State ID# /State Tax Withheld .....	
	FATCA Filing Requirement.....	

**2019 1099-INT Interest Income OMB No. 1545-0112**

<b>Box</b>		<b>Amount</b>
1	Interest Income .....	0.00
3	Interest on U.S. Savings Bonds & Treasury Obligations .....	0.00
<b>4</b>	<b>Federal Income Tax Withheld</b> .....	<b>0.00</b>
5	Investment Expenses .....	0.00
6	Foreign Tax Paid .....	0.00
8	Tax-Exempt Interest .....	0.00
9	Specified Private Activity Bond Interest .....	0.00
10	Market Discount (Covered Transactions Only) .....	0.00
11	Bond Premium (Covered Transactions Only) .....	0.00
12	Bond Premium on Treasury Obligations (Covered Transactions Only) .....	0.00
13	Bond Premium on Tax-Exempt Bond (Covered Transactions Only) .....	0.00
14	Tax-Exempt and Tax Credit Bond CUSIP Number .....	Various
15 /16 /17	State /State ID# /State Tax Withheld .....	
	FATCA Filing Requirement .....	

**Summary of Form 1099-OID**

Details are reported to the IRS. Refer to the 1099-OID section of this statement for those details.

	<b>Amount</b>
Original Issue Discount (Non-U.S. Treasury Obligations) .....	0.00
Other Periodic Interest .....	0.00
Market Discount (Covered Transactions Only) .....	0.00
Acquisition Premium (Covered Transactions Only) .....	0.00
Original Issue Discount on U.S. Treasury Obligations .....	0.00
Investment Expenses .....	0.00
Bond Premium (Covered Transactions Only) .....	0.00
Tax-Exempt OID (Covered Transactions Only) .....	0.00
Tax-Exempt OID Specified Private Activity Bonds (Covered Transactions Only) .....	0.00
<b>Federal Income Tax Withheld</b> .....	<b>0.00</b>
State Tax Withheld .....	



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UAD 10/18/05

**Account Number:** NG7-066483

Recipient's Identification  
Number:

**2019**  
**YOUR TAX INFORMATION STATEMENT**  
**As of 02/24/2020**

**DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)**

Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2020, at mytaxhandbook.com.

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
GALLAGHER ARTHUR J & CO	03/15/2019	141.90	141.90								
	06/21/2019	141.90	141.90								
CUSIP: 363576109	09/20/2019	141.90	141.90								
	12/20/2019	141.90	141.90								
		-----	-----								
		567.60	567.60								
<b>Total :</b>		<b>567.60</b>	<b>567.60</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>

**IRS Form 1099-DIV—Dividends and Distributions:**

Dividends and other distributions from corporations, mutual funds, Exchange Traded Funds (ETF) (treated as mutual funds for tax purposes), Unit Investment Trusts (UIT) and Real Estate Investment Trusts (REIT) are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

**Box 1a—Total Ordinary Dividends.** Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is comprised in whole or in part of foreign-sourced ordinary dividends. Report total ordinary dividends on IRS Form 1040. Also report them on IRS Form 1040 Schedule B (Interest and Ordinary Dividends), if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends.

**Box 1b—Qualified Dividends.** This shows the portion of the amount in Box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount. Report the eligible amount as a dividend on IRS Form 1040.

**Box 2a—Total Capital Gain Distributions.** This shows total capital gain distributions (long-term) from a regulated investment company or REIT. Report the amounts shown in Box 2a on IRS Form 1040 Schedule D. But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a of IRS Form 1040 Schedule 1 rather than Schedule D. See IRS Form 1040 instructions.

**Box 2b—Unrecaptured Section 1250 Gain.** This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (IRS Form 1040).

**Box 2c—Section 1202 Gain.** This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions.

**Box 2d—Collectibles (28%) Gain.** This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the instructions for Schedule D (IRS Form 1040).



**Recipient's Name and Address:**MIRTAP SUPERANNUATION FUND  
UAD 10/18/05**Account Number:** NG7-066483Recipient's Identification  
Number:**2019  
YOUR TAX INFORMATION STATEMENT  
As of 02/24/2020****IRS Form 1099-DIV—Dividends and Distributions (continued):**

**Box 3—Nondividend Distributions.** This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment. But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses).

**Box 4—Federal Income Tax Withheld.** Federal income tax withheld is 24% of dividends. See the Additional Information section of these instructions.

**Box 5—Section 199A Dividends.** This shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

**Box 6—Investment Expenses.** Your share of a UIT's or a WHFIT's expenses are reported in Box 5. These expenses are included in Box 1a. Beginning with tax year 2018, these expenses are no longer deductible.

**Box 7—Foreign Tax Paid.** This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040. See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.

**Box 13—State.** Displays the two letter postal abbreviation of the state(s) for which state income taxes have been withheld.

**Box 14—State Identification No.** Displays Pershing LLC payer's state identification number(s).

**Box 15—State Income Tax Withheld.** Displays amount of state income taxes withheld.

**Tax Information Statement Instructions:**

The Tax Information Statement provides a detailed summary of your account transactions during 2019. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit [mytaxhandbook.com](http://mytaxhandbook.com). If your account was transferred to our firm during 2019, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are investors for tax purposes.

**Additional Information:**

**Recipient's Identification Number.** For your protection, this form shows only the last four digits of your Social Security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state or local governments.

**Account Number.** A unique number the payer assigned to distinguish your account.

**FATCA Filing Requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 8938. For 2019, this box has been intentionally left blank.

**Electronic Delivery.** The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information.

**Nominee Recipients.** If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nominee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U.S. Information Returns), with the IRS center in your area. List yourself as the payer on IRS Form 1099 and as the filer on IRS Form 1096. List the other owner(s) as the recipient(s) on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nominee return to show amounts owned by their spouse.

**Payer.** The payer for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729). This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.



**Recipient's Name and Address:**MIRTAP SUPERANNUATION FUND  
UAD 10/18/05**Account Number:** NG7-066483Recipient's Identification  
Number:**2019  
YOUR TAX INFORMATION STATEMENT  
As of 02/24/2020****Tax Information Statement Instructions (continued):**

**Corrections.** Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of June. If an income correction is made to your account after issuing your tax statement and the correction is an increase or decrease of less than \$100 of income, we may not send you a revised tax statement. If you would like to receive revisions that are less than \$100, please contact your Investment Professional.

**Federal Income Tax Withheld.** Federal income tax withheld is 24% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

**State and Local Tax Reporting.** We are required to provide information to a number of state and local jurisdictions. We report information to California, Connecticut, Massachusetts, Minnesota, New York and Rhode Island concerning municipal bond interest income earned by state residents from bonds not issued by these states. We report certain 1099 information directly to Delaware, District of Columbia, Maine, Massachusetts, Mississippi, Montana, Oregon and Rhode Island. For 2019, we withheld, remitted and reported state income tax for California, Maine and Vermont according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

**Cost Basis Adjustments.** There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.

**Important Note Concerning Cost Basis.** Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct, then the results of our calculations will likewise produce incorrect results. The IRS requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

**Form 2439.** If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available.

**Foreign Tax Paid.** Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2019. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, Schedule A (Itemized Deductions). See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions. Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund.

**IRS Publications.** IRS publications are available through your local IRS office, by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at [irs.gov](http://irs.gov). For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions.

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit [mytaxhandbook.com](http://mytaxhandbook.com).

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

Trademark(s) belong to their respective owners.

**Recipient's Name and Address:**

MIRTAP SUPERANNUATION FUND  
UAD 10/18/05  
T M CAFER & M M CAFER TTEES  
4 HIDEAWAY MOUNTAIN COURT  
CORNUBIA QLD 4130  
AUSTRALIA

**Your Investment Advisor:**

ID: ABB

**Account Holder Information:**

**Account Number:** NG7-066483

**General Information:**

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Visit [www.mytaxhandbook.com](http://www.mytaxhandbook.com) for information and a reference guide to this 1099 tax statement.





**Recipient's Name and Address:**

MIRTAP SUPERANNUATION FUND  
UAD 10/18/05

**Account Number:** NG7-066483

Recipient's Identification  
Number:

**Payer's Information:** PERSHING LLC  
ONE PERSHING PLAZA  
JERSEY CITY, NJ 07399

**Payer's Federal Identification Number:** 13-2741729

**2018**  
**YOUR TAX INFORMATION STATEMENT**  
**As of 02/25/2019**

**This statement is being provided for informational purposes only. The information on this statement will not be furnished to the Internal Revenue Service.**

**Summary of Form 1099-B**

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

	<b>Amount</b>
Gross Proceeds (Covered and Noncovered Transactions) .....	0.00
Cost or Other Basis (Covered Transactions Only) .....	0.00
<b>Federal Tax Withheld - Proceeds</b> .....	<b>0.00</b>
State Tax Withheld - Proceeds .....	0.00
<b>Regulated Futures and Foreign Currency Forward Contracts:</b>	
Profit or (Loss) Realized in 2018 .....	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2017 .....	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2018 .....	0.00
Aggregate Profit or (Loss) .....	0.00
<b>Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts</b> .....	<b>0.00</b>
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts .....	0.00

**2018 1099-DIV Dividends and Distributions OMB No. 1545-0110**

<b>Box</b>		<b>Amount</b>
1a	Total Ordinary Dividends.....	541.20
1b	Qualified Dividends.....	541.20
2a	Total Capital Gain Distributions.....	0.00
2b	Unrecaptured Section 1250 Gain .....	0.00
2c	Section 1202 Gain .....	0.00
2d	Collectibles (28%) Gain.....	0.00
3	Nondividend Distributions .....	0.00
<b>4</b>	<b>Federal Income Tax Withheld</b> .....	<b>0.00</b>
5	Section 199A Dividends .....	0.00
6	Investment Expenses.....	0.00
7	Foreign Tax Paid .....	0.00
9	Cash Liquidation Distributions.....	0.00
10	Noncash Liquidation Distributions .....	0.00
11	Exempt Interest Dividends.....	0.00
12	Specified Private Activity Bond Interest Dividends.....	0.00
13/14/15	State /State ID# /State Tax Withheld .....	
	FATCA Filing Requirement.....	

**2018 1099-INT Interest Income OMB No. 1545-0112**

<b>Box</b>		<b>Amount</b>
1	Interest Income .....	0.00
3	Interest on U.S. Savings Bonds & Treasury Obligations .....	0.00
<b>4</b>	<b>Federal Income Tax Withheld</b> .....	<b>0.00</b>
5	Investment Expenses .....	0.00
6	Foreign Tax Paid .....	0.00
8	Tax-Exempt Interest .....	0.00
9	Specified Private Activity Bond Interest .....	0.00
10	Market Discount (Covered Transactions Only) .....	0.00
11	Bond Premium (Covered Transactions Only) .....	0.00
12	Bond Premium on Treasury Obligations (Covered Transactions Only) .....	0.00
13	Bond Premium on Tax-Exempt Bond (Covered Transactions Only) .....	0.00
14	Tax-Exempt and Tax Credit Bond CUSIP Number .....	Various
15 /16 /17	State /State ID# /State Tax Withheld .....	
	FATCA Filing Requirement .....	

**Summary of Form 1099-OID**

Details are reported to the IRS. Refer to the 1099-OID section of this statement for those details.

	<b>Amount</b>
Original Issue Discount (Non-U.S. Treasury Obligations) .....	0.00
Other Periodic Interest .....	0.00
Market Discount (Covered Transactions Only) .....	0.00
Acquisition Premium (Covered Transactions Only) .....	0.00
Original Issue Discount on U.S. Treasury Obligations .....	0.00
Investment Expenses .....	0.00
Bond Premium (Covered Transactions Only) .....	0.00
Tax-Exempt OID (Covered Transactions Only) .....	0.00
Tax-Exempt OID Specified Private Activity Bonds (Covered Transactions Only) .....	0.00
<b>Federal Income Tax Withheld</b> .....	<b>0.00</b>
State Tax Withheld .....	



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UAD 10/18/05

**Account Number:** NG7-066483

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Number:

**2018**  
**YOUR TAX INFORMATION STATEMENT**  
**As of 02/25/2019**

**DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)**

Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2019, at [mytaxhandbook.com](http://mytaxhandbook.com).

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
GALLAGHER ARTHUR J & CO	03/16/2018	135.30	135.30								
	06/15/2018	135.30	135.30								
CUSIP: 363576109	09/21/2018	135.30	135.30								
	12/21/2018	135.30	135.30								
		-----	-----								
		541.20	541.20								
<b>Total :</b>		<b>541.20</b>	<b>541.20</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>

**IRS Form 1099-DIV—Dividends and Distributions:**

Dividends and other distributions from corporations, mutual funds, Exchange Traded Funds (ETF) (treated as mutual funds for tax purposes), Unit Investment Trusts (UIT) and Real Estate Investment Trusts (REIT) are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

**Box 1a—Total Ordinary Dividends.** Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is comprised in whole or in part of foreign-sourced ordinary dividends. Report total ordinary dividends on IRS Form 1040, line 3b. Also report them on IRS Form 1040 Schedule B (Interest and Ordinary Dividends), if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends.

**Box 1b—Qualified Dividends.** This shows the portion of the amount in Box 1a that may be eligible for the 20%, 15% or 0% capital gains rates. See the Form 1040 instructions for how to determine this amount. Report the eligible amount on line 3a, IRS Form 1040.

**Box 2a—Total Capital Gain Distributions.** This shows total capital gain distributions (long-term) from a regulated investment company or REIT. Report the amounts shown in Box 2a on IRS Form 1040 Schedule D, line 13. But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a on line 13 of IRS Form 1040 Schedule 1 rather than Schedule D. See IRS Form 1040 instructions.

**Box 2b—Unrecaptured Section 1250 Gain.** This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. Use this amount when completing the Unrecaptured Section 1250 Gain Worksheet, line 19, in the instructions for Schedule D (IRS Form 1040).

**Box 2c—Section 1202 Gain.** This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions.

**Box 2d—Collectibles (28%) Gain.** This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet, line 18, in the instructions for Schedule D (IRS Form 1040).



**Recipient's Name and Address:**MIRTAP SUPERANNUATION FUND  
UAD 10/18/05**Account Number:** NG7-066483Recipient's Identification  
Number:**2018  
YOUR TAX INFORMATION STATEMENT  
As of 02/25/2019****IRS Form 1099-DIV—Dividends and Distributions (continued):**

**Box 3—Nondividend Distributions.** This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment. But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses).

**Box 4—Federal Income Tax Withheld.** Federal income tax withheld is 24% of dividends. See the Additional Information section of these instructions.

**Box 5—Section 199A Dividends.** This shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

**Box 6—Investment Expenses.** Your share of a UIT's or a WHFIT's expenses are reported in Box 5. These expenses are included in Box 1a. Beginning with tax year 2018, these expenses are no longer deductible.

**Box 7—Foreign Tax Paid.** This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040. See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.

**Box 13—State.** Displays the two letter postal abbreviation of the state(s) for which state income taxes have been withheld.

**Box 14—State Identification No.** Displays Pershing LLC payer's state identification number(s).

**Box 15—State Income Tax Withheld.** Displays amount of state income taxes withheld.

**Tax Information Statement Instructions:**

The Tax Information Statement provides a detailed summary of your account transactions during 2018. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit [mytaxhandbook.com](http://mytaxhandbook.com). If your account was transferred to our firm during 2018, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are investors for tax purposes.

**Additional Information:**

**Recipient's Identification Number.** For your protection, this form shows only the last four digits of your Social Security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state or local governments.

**Account Number.** A unique number the payer assigned to distinguish your account.

**FATCA Filing Requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 8938. For 2018, this box has been intentionally left blank.

**Electronic Delivery.** The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information.

**Nominee Recipients.** If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nominee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U.S. Information Returns), with the IRS center in your area. List yourself as the payer on IRS Form 1099 and as the filer on IRS Form 1096. List the other owner(s) as the recipient(s) on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nominee return to show amounts owned by their spouse.

**Payer.** The payer for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729). This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.



**Recipient's Name and Address:**MIRTAP SUPERANNUATION FUND  
UAD 10/18/05**Account Number:** NG7-066483Recipient's Identification  
Number:**2018  
YOUR TAX INFORMATION STATEMENT  
As of 02/25/2019****Tax Information Statement Instructions (continued):**

**Corrections.** Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of May. If an income correction is made to your account after issuing your tax statement and the correction is an increase or decrease of less than \$100 of income we may not send you a revised tax statement. If you would like to receive revisions that are less than \$100 please contact your Investment Professional.

**Federal Income Tax Withheld.** Federal income tax withheld is 24% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

**State and Local Tax Reporting.** We are required to provide information to a number of state and local jurisdictions. We report information to California, Connecticut, Massachusetts, Minnesota, New York and Rhode Island concerning municipal bond interest income earned by state residents from bonds not issued by these states. We report certain 1099 information directly to Delaware, District of Columbia, Maine, Massachusetts, Mississippi, Montana, Oregon, and Rhode Island. For 2018, we withheld, remitted and reported state income tax for California, Maine and Vermont according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

**Cost Basis Adjustments.** There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.

**Important Note Concerning Cost Basis.** Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct, then the results of our calculations will likewise produce incorrect results. The IRS requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

**Form 2439.** If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available.

**Foreign Tax Paid.** Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2018. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, Schedule A (Itemized Deductions). See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions. Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. You should combine these amounts to determine your total foreign tax credit or deduction. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund.

**IRS Publications.** IRS publications are available through your local IRS office, by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at [irs.gov](http://irs.gov). For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions.

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit [mytaxhandbook.com](http://mytaxhandbook.com).

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

Trademark(s) belong to their respective owners.

**Recipient's Name and Address:**

MIRTAP SUPERANNUATION FUND  
UAD 10/18/05  
T M CAFER & M M CAFER TTEES  
4 HIDEAWAY MOUNTAIN COURT  
CORNUBIA QLD 4130  
AUSTRALIA

**Your Investment Advisor:**

ID: ABB

**Withholding Agent's Information:**

PERSHING LLC  
ONE PERSHING PLAZA  
JERSEY CITY, NJ 07399

**Withholding Agent's EIN:** 13-2741729  
**Account Number:** NG7-066483

**Important Tax Document – Please Read Carefully**

Dear Investor:

We are pleased to provide you the following 2018 Form 1042-S Tax Information Statement. Here are a few updates to the 2018 Form 1042-S:

- The 1042-S tax package will now include Copy C of the IRS version of the Form 1042-S. Copy C will be a duplicate of the Copy B version of the form, which is already included
- Box 13I (Recipient's foreign TIN) will now be populated with a foreign taxpayer identification number (TIN), if one was provided on the Form W-8 that we have on file
- Box 13L (Recipient's date of birth) will now reflect the date of birth information in YYYYMMDD format if that information is populated in our files

Additionally, your tax package may include a 1042-S Reclassification section if your account received income distributions and they were reclassified by the issuer after year-end.

It may also include a 1042-S Revision section if income or withholding adjustments were processed in your account after the initial 1042-S was issued. The 1042-S instructions are provided at the end of the statement to assist you with your tax preparation needs. We trust you will find the information provided in your tax package helpful in preparing your tax filing.

**Table of Contents**

**Form 1042-S Tax Information Statement**

**Starting Page Number**

Foreign Person's U.S. Source Income Subject to Withholding.....	2
Transaction Details.....	3

**2018 Tax Information Statement**

**Withholding Agent's Information:**

- Box 12A. Withholding Agent's EIN:** 13-2741729
- Box 12B. Withholding Agent's Chapter 3 Status Code:** 01
- Box 12C. Withholding Agent's Chapter 4 Status Code:** 01
- Box 12D. Withholding Agent's Name:** PERSHING LLC
- Box 12E. Withholding Agent's GIN:** N/A
- Box 12F. Withholding Agent's Country Code:** US
- Box 12G. Withholding Agent's Foreign Tax ID:** N/A
- Box 12H. Withholding Agent's Address (number and street):** One Pershing Plaza
- Box 12I. Withholding Agent's City or town, state or province, country, ZIP:** Jersey City, NJ 07399

**Recipient's Information:**

- Box 13A. Recipient's Name:** MIRTAP SUPERANNUATION FUND
- Box 13B. Recipient's Country Code:** AS
- Box 13C. Recipient's Address (number and street):**  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES
- Box 13D. Recipient's City or town, state or province, country, ZIP:**  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA
- Box 13E. Recipient's U.S. TIN:** Not provided
- Box 13F. Chapter 3 Status Code:** 10
- Box 13G. Chapter 4 Status Code:** 31
- Box 13H. Recipient's GIIN:** N/A
- Box 13I. Recipient's Foreign Tax ID:**
- Box 13K. Recipient Account Number:** NG7-066483
- Box 13J. LOB Code:** 07
- Box 13L. Recipient's DOB (YYYY/MM/DD):** N/A

2018 Form 1042-S			Foreign Person's U.S. Source Income Subject to Withholding				OMB No.1545-0096	
Chapter 3 Summary Totals (Box 3)								
Section	Income Code (Box 1)	Income Description	Chapter 3 Tax Rate (Box 3B)	Chapter 3 Exemption Code (Box 3A)	Chapter 4 Tax Rate (Box 4B)	Chapter 4 Exemption Code (Box 4A)	Gross Income (Box 2)	U.S. Federal Tax Withheld (Box 7A & Box 10)
01	52	DIV paid on actively/publicly offered secs.	15.00%	00	0.00%	15	541.00	81.00
<b>Chapter 3 Totals</b>							<b>541.00</b>	<b>81.00</b>
This information is being furnished to the U.S. Internal Revenue Service. The U.S. Income Tax Filing Requirements for this form are provided in the IRS Form 1042-S (Copy B) Instructions provided in your tax package. The Chapter Status Code(s), Income Description(s) and Exemption Description(s) displayed are provided in the Explanation of Codes for IRS Form 1042-S.								

**2018 Tax Information Statement**

**Recipient's Name:** MIRTAP SUPERANNUATION FUND  
UAD 10/18/05

**Account Number:** NG7-066483

**2018 Form 1042-S**

**TRANSACTION DETAILS**

**Chapter 3**

**Section 01 - Income Code 52: DIV paid on actively/publicly offered secs., Tax Rate: 15.00%, Exemption Code 00: No exemption**

Description	CUSIP	Process Date	Gross Income (Box 2)	Federal Tax Withheld (Box 7)
GALLAGHER ARTHUR J & CO	363576109	03/16/2018	135.30	20.30
		06/15/2018	135.30	20.30
		09/21/2018	135.30	20.30
		12/21/2018	135.30	20.30
			541.20	81.20
<b>Section 01 Total</b>			<b>541.20</b>	<b>81.20</b>
<b>Chapter 3 Total</b>			<b>541.20</b>	<b>81.20</b>

Form **1042-S**

**Foreign Person's U.S. Source Income Subject to Withholding**

**2018**

OMB No. 1545-0096

Department of the Treasury  
Internal Revenue Service

Go to [www.irs.gov/Form1042S](http://www.irs.gov/Form1042S) for instructions and the latest information.

**Copy B**  
for Recipient

0 0 0 0 0 6 2 4 3 7 UNIQUE FORM IDENTIFIER  AMENDED  AMENDMENT NO.

<b>1</b> Income code <b>52</b>	<b>2</b> Gross income <b>541.00</b>	<b>3</b> Chapter indicator. Enter "3" or "4" <b>3</b>	<b>13e</b> Recipient's U.S. TIN, if any <b>Not provided</b>	<b>13f</b> Ch. 3 status code <b>10</b>
		<b>3a</b> Exemption code <b>00</b>	<b>4a</b> Exemption code <b>15</b>	<b>13g</b> Ch. 4 status code <b>31</b>
		<b>3b</b> Tax rate <b>.15.00</b>	<b>4b</b> Tax rate <b>0.00</b>	
<b>5</b> Withholding allowance <b>0.00</b>			<b>13h</b> Recipient's GIIN <b>N/A</b>	<b>13i</b> Recipient's foreign tax identification number, if any
<b>6</b> Net income <b>0.00</b>				<b>13j</b> LOB code <b>07</b>
<b>7a</b> Federal tax withheld <b>81.00</b>			<b>13k</b> Recipient's account number <b>NG7066483</b>	
<b>7b</b> Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>			<b>13l</b> Recipient's date of birth (YYYYMMDD) <b>N/A</b>	
<b>8</b> Tax withheld by other agents <b>0.00</b>				
<b>9</b> Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) ( <b>0.00</b> )			<b>14a</b> Primary Withholding Agent's Name (if applicable)	
<b>10</b> Total withholding credit (combine boxes 7a, 8, and 9) <b>81.00</b>			<b>14b</b> Primary Withholding Agent's EIN	
<b>11</b> Tax paid by withholding agent (amounts not withheld) (see instructions) <b>0.00</b>			<b>15</b> Check if pro-rata basis reporting <input type="checkbox"/>	
<b>12a</b> Withholding agent's EIN <b>132741729</b>	<b>12b</b> Ch. 3 status code <b>01</b>	<b>12c</b> Ch. 4 status code <b>01</b>	<b>15a</b> Intermediary or flow-through entity's EIN, if any	<b>15b</b> Ch. 3 status code
<b>12d</b> Withholding agent's name <b>PERSHING LLC</b>			<b>15c</b> Ch. 4 status code	
<b>12e</b> Withholding agent's Global Intermediary Identification Number (GIIN)			<b>15d</b> Intermediary or flow-through entity's name	
<b>12f</b> Country code <b>US</b>	<b>12g</b> Foreign taxpayer identification number, if any		<b>15e</b> Intermediary or flow-through entity's GIIN	
<b>12h</b> Address (number and street) <b>ONE PERSHING PLAZA</b>			<b>15f</b> Country code	<b>15g</b> Foreign tax identification number, if any
<b>12i</b> City or town, state or province, country, ZIP or foreign postal code <b>JERSEY CITY,NJ 07399</b>			<b>15h</b> Address (number and street)	
<b>13a</b> Recipient's name <b>MIRTAP SUPERANNUATION FUND</b>			<b>15i</b> City or town, state or province, country, ZIP or foreign postal code	
<b>13b</b> Recipient's country code <b>AS</b>			<b>16a</b> Payer's name	<b>16b</b> Payer's TIN
<b>13c</b> Address (number and street) <b>UAD 10/18/05 T M CAFER &amp; M M CAFER TTEES</b>			<b>16c</b> Payer's GIIN	<b>16d</b> Ch. 3 status code
<b>13d</b> City or town, state or province, country, ZIP or foreign postal code <b>4 HIDEAWAY MOUNTAIN COURT CORNUBIA QLD 4130 AUSTRALIA</b>			<b>16e</b> Ch. 4 status code	<b>17a</b> State income tax withheld
			<b>17b</b> Payer's state tax no.	<b>17c</b> Name of state

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Cat. No. 11386R

Form **1042-S** (2018)



Form **1042-S**

**Foreign Person's U.S. Source Income Subject to Withholding**

**2018**

OMB No. 1545-0096

Department of the Treasury  
Internal Revenue Service

▶ Go to [www.irs.gov/Form1042S](http://www.irs.gov/Form1042S) for instructions and the latest information.

**Copy C** for Recipient

Attach to any Federal tax return you file

0 0 0 0 0 6 2 4 3 7 UNIQUE FORM IDENTIFIER  AMENDED  AMENDMENT NO.

<b>1</b> Income code <b>52</b>	<b>2</b> Gross income <b>541.00</b>	<b>3</b> Chapter indicator. Enter "3" or "4" <b>3</b>	<b>3a</b> Exemption code <b>00</b>	<b>4a</b> Exemption code <b>15</b>	<b>13e</b> Recipient's U.S. TIN, if any <b>Not provided</b>	<b>13f</b> Ch. 3 status code <b>10</b>	<b>13g</b> Ch. 4 status code <b>31</b>
<b>5</b> Withholding allowance <b>0.00</b>		<b>3b</b> Tax rate <b>.15.00</b>	<b>4b</b> Tax rate <b>0.00</b>	<b>13h</b> Recipient's GIIN <b>N/A</b>	<b>13i</b> Recipient's foreign tax identification number, if any	<b>13j</b> LOB code <b>07</b>	
<b>6</b> Net income <b>0.00</b>		<b>7a</b> Federal tax withheld <b>81.00</b>		<b>13k</b> Recipient's account number <b>NG7066483</b>			
<b>7b</b> Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>		<b>8</b> Tax withheld by other agents <b>0.00</b>		<b>13l</b> Recipient's date of birth (YYYYMMDD) <b>N/A</b>			
<b>9</b> Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) ( <b>0.00</b> )		<b>10</b> Total withholding credit (combine boxes 7a, 8, and 9) <b>81.00</b>		<b>14a</b> Primary Withholding Agent's Name (if applicable)			
<b>11</b> Tax paid by withholding agent (amounts not withheld) (see instructions) <b>0.00</b>		<b>12a</b> Withholding agent's EIN <b>132741729</b>		<b>12b</b> Ch. 3 status code <b>01</b>	<b>12c</b> Ch. 4 status code <b>01</b>	<b>14b</b> Primary Withholding Agent's EIN	
<b>12d</b> Withholding agent's name <b>PERSHING LLC</b>		<b>12e</b> Withholding agent's Global Intermediary Identification Number (GIIN)		<b>15a</b> Intermediary or flow-through entity's EIN, if any		<b>15b</b> Ch. 3 status code	<b>15c</b> Ch. 4 status code
<b>12f</b> Country code <b>US</b>		<b>12g</b> Foreign taxpayer identification number, if any		<b>15d</b> Intermediary or flow-through entity's name			
<b>12h</b> Address (number and street) <b>ONE PERSHING PLAZA</b>		<b>12i</b> City or town, state or province, country, ZIP or foreign postal code <b>JERSEY CITY,NJ 07399</b>		<b>15e</b> Intermediary or flow-through entity's GIIN		<b>15f</b> Country code	
<b>13a</b> Recipient's name <b>MIRTAP SUPERANNUATION FUND</b>		<b>13b</b> Recipient's country code <b>AS</b>		<b>15g</b> Foreign tax identification number, if any		<b>15h</b> Address (number and street)	
<b>13c</b> Address (number and street) <b>UAD 10/18/05 T M CAFER &amp; M M CAFER TTEES</b>		<b>13d</b> City or town, state or province, country, ZIP or foreign postal code <b>4 HIDEAWAY MOUNTAIN COURT CORNUBIA QLD 4130 AUSTRALIA</b>		<b>16a</b> Payer's name		<b>16b</b> Payer's TIN	
<b>17a</b> State income tax withheld		<b>17b</b> Payer's state tax no.		<b>16c</b> Payer's GIIN		<b>16d</b> Ch. 3 status code	<b>16e</b> Ch. 4 status code
<b>17c</b> Name of state							

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Cat. No. 11386R

Form **1042-S** (2018)

## U.S. Income Tax Filing Requirements

Generally, every nonresident alien individual, nonresident alien fiduciary, and foreign corporation with United States income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, or foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. Corporations file Form 1120-F; all others file Form 1040NR (or Form 1040NR-EZ if eligible). You may get the return forms and instructions at IRS.gov, at any United States Embassy or consulate, or by writing to: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

En règle générale, tout étranger non-résident, tout organisme fidéicommissaire étranger non-résident et toute société étrangère percevant un revenu aux Etats-Unis, y compris tout revenu dérivé, en fait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, doit produire une déclaration d'impôt sur le revenu auprès des services fiscaux des Etats-Unis. Cependant aucune déclaration d'impôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidéicommissaire étranger non-résident, ou d'une société étrangère s'ils n'ont pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'année fiscale et si les impôts dont ils sont redevables, ont été entièrement acquittés par une retenue à la source sur leur salaire. Les sociétés doivent faire leur déclaration d'impôt en remplissant le formulaire 1120-F; tous les autres redevables doivent remplir le formulaire 1040NR (ou 1040NR-EZ s'ils en remplissent les conditions). On peut se procurer les formulaires de déclarations d'impôts et les instructions y afférentes à IRS.gov et dans toutes les ambassades et tous les consulats des Etats-Unis. L'on peut également s'adresser pour tout renseignement à: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Por regla general, todo extranjero no residente, todo organismo fideicomisario extranjero no residente y toda sociedad anónima extranjera que reciba ingresos en los Estados Unidos, incluyendo ingresos relacionados con la conducción de un negocio o comercio dentro de los Estados Unidos, deberá presentar una declaración estadounidense de impuestos sobre el ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsabilidad con los impuestos de tal persona ha sido satisfecha plenamente mediante retención del impuesto de los Estados Unidos en la fuente. Las sociedades anónimas envían el Formulario 1120-F; todos los demás contribuyentes envían el Formulario 1040NR (o el Formulario 1040NR-EZ si les corresponde). Se podrá obtener formularios e instrucciones en IRS.gov y en cualquier Embajada o Consulado de los Estados Unidos o escribiendo directamente a: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Im allgemeinen muss jede ausländische Einzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, welches direkt mit der Ausübung von Handel oder Gewerbe innerhalb der Staaten verbunden ist, eine Einkommensteuererklärung der Vereinigten Staaten abgeben. Eine Erklärung, muss jedoch nicht von Ausländern, ausländischen Bevollmächtigten oder ausländischen Gesellschaften in den Vereinigten Staaten eingereicht werden, falls eine solche Person während des Steuerjahres kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einbehaltung der Steuern der Vereinigten Staaten durch die Einkommensquelle abgegolten ist. Gesellschaften reichen den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR (oder wenn passend das Formblatt 1040NR-EZ) ein. Einkommensteuererklärungen und Instruktionen können unter IRS.gov und bei den Botschaften und Konsulaten der Vereinigten Staaten eingeholt werden. Um weitere Informationen wende man sich bitte an: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

## Explanation of Codes

### Box 1. Income Code.

Code	Types of Income	Code	Description
<b>01</b>	Interest paid by U.S. obligors—general	<b>34</b>	Substitute payment—dividends
<b>02</b>	Interest paid on real property mortgages	<b>40</b>	Other dividend equivalents under IRC section 871(m) (formerly 871(l))
<b>03</b>	Interest paid to controlling foreign corporations	<b>52</b>	Dividends paid on certain actively traded or publicly offered securities <sup>1</sup>
<b>04</b>	Interest paid by foreign corporations	<b>53</b>	Substitute payments-dividends from certain actively traded or publicly offered securities <sup>1</sup>
<b>05</b>	Interest on tax-free covenant bonds	<b>09</b>	Capital gains
<b>22</b>	Interest paid on deposit with a foreign branch of a domestic corporation or partnership	<b>10</b>	Industrial royalties
<b>29</b>	Deposit Interest	<b>11</b>	Motion picture or television copyright royalties
<b>30</b>	Original issue discount (OID)	<b>12</b>	Other royalties (for example, copyright, software, broadcasting, endorsement payments)
<b>31</b>	Short-term OID	<b>13</b>	Royalties paid on certain publicly offered securities <sup>1</sup>
<b>33</b>	Substitute payment—interest	<b>14</b>	Real property income and natural resources royalties
<b>51</b>	Interest paid on certain actively traded or publicly offered securities <sup>1</sup>	<b>15</b>	Pensions, annuities, alimony, and/or insurance premiums
<b>54</b>	Substitute payments—interest from certain actively traded or publicly offered securities <sup>1</sup>	<b>16</b>	Scholarship or fellowship grants
<b>06</b>	Dividends paid by U.S. corporations—general	<b>17</b>	Compensation for independent personal services <sup>2</sup>
<b>07</b>	Dividends qualifying for direct dividend rate	<b>18</b>	Compensation for dependent personal services <sup>2</sup>
<b>08</b>	Dividends paid by foreign corporations	<b>19</b>	Compensation for teaching <sup>2</sup>

See back of Copy C for additional codes

<sup>1</sup> This code should only be used if the income paid is described in Regulations section 1.1441-6(c)(2) and withholding agent has reduced the rate of withholding under an income tax treaty without the recipient providing a U.S. or foreign TIN.

<sup>2</sup> If compensation that otherwise would be covered under Income Codes 17 through 20 is directly attributable to the recipient's occupation as an artist or athlete, use Income Code 42 or 43 instead.

**Explanation of Codes (continued)**

- 20 Compensation during studying and training<sup>2</sup>
- 23 Other income
- 24 Qualified investment entity (QIE) distributions of capital gains
- 25 Trust distributions subject to IRC section 1445
- 26 Unsevered growing crops and timber distributions by a trust subject to IRC section 1445
- 27 Publicly traded partnership distributions subject to IRC section 1446
- 28 Gambling winnings<sup>3</sup>
- 32 Notional principal contract income<sup>4</sup>
- 35 Substitute payment—other
- 36 Capital gains distributions
- 37 Return of capital
- 38 Eligible deferred compensation items subject to IRC section 877A(d)(1)
- 39 Distributions from a nongrantor trust subject to IRC section 877A(f)(1)
- 41 Guarantee of indebtedness
- 42 Earnings as an artist or athlete—no central withholding agreement<sup>5</sup>
- 43 Earnings as an artist or athlete—central withholding agreement<sup>5</sup>
- 44 Specified federal procurement payments
- 50 Income previously reported under escrow procedure<sup>6</sup>

**Boxes 3a and 4a. Exemption Code (applies if the tax rate entered in box 3b or 4b is 00.00).**

Code	Authority for Exemption
<b>Chapter 3</b>	
01	Effectively connected income
02	Exempt under IRC (other than portfolio interest)
03	Income is not from U.S. sources
04	Exempt under tax treaty
05	Portfolio interest exempt under IRC

- 06 QI that assumes primary withholding responsibility
- 07 WFP or WFT
- 08 U.S. branch treated as U.S. Person
- 09 Territory FI treated as U.S. Person
- 10 QI represents that income is exempt
- 11 QSL that assumes primary withholding responsibility
- 12 Payee subjected to chapter 4 withholding
- 22 QDD that assumes primary withholding responsibility
- 23 Exempt under section 897(l)

**Chapter 4**

- 13 Grandfathered payment
- 14 Effectively connected income
- 15 Payee not subject to chapter 4 withholding
- 16 Excluded nonfinancial payment
- 17 Foreign Entity that assumes primary withholding responsibility
- 18 U.S. Payees—of participating FFI or registered deemed-compliant FFI
- 19 Exempt from withholding under IGA<sup>7</sup>
- 20 Dormant account<sup>8</sup>
- 21 Other—payment not subject to chapter 4 withholding

**Boxes 12b, 12c, 13f, 13g, 15b, 15c, 16d, and 16e. Withholding Agent, Recipient, Intermediary, and Payer Chapter 3 and Chapter 4 Status Codes.**

**Type of Recipient, Withholding Agent, Payer, or Intermediary Code**

**Chapter 3 Status Codes**

- 01 U.S. Withholding Agent—FI
- 02 U.S. Withholding Agent—Other
- 03 Territory FI—treated as U.S. Person
- 04 Territory FI—not treated as U.S. Person
- 05 U.S. branch—treated as U.S. Person
- 06 U.S. branch—not treated as U.S. Person
- 07 U.S. branch—ECI presumption applied
- 08 Partnership other than Withholding Foreign Partnership
- 09 Withholding Foreign Partnership

*See back of Copy D for additional codes*

<sup>2</sup> If compensation that otherwise would be covered under Income Codes 17 through 20 is directly attributable to the recipient's occupation as an artist or athlete, use Income Code 42 or 43 instead.

<sup>3</sup> Subject to 30% withholding rate unless the recipient is from one of the treaty countries listed under *Gambling winnings (Income Code 28)* in Pub. 515.

<sup>4</sup> Use appropriate Interest Income Code for embedded interest in a notional principal contract.

<sup>5</sup> Income Code 43 should only be used if Letter 4492, Venue Notification has been issued by the Internal Revenue Service (otherwise use Income Code 42 for earnings as an artist or athlete). If Income Code 42 or 43 is used, Recipient Code 22 (artist or athlete) should be used instead of Recipient Code 16 (individual), 15 (corporation), or 08 (partnership other than withholding foreign partnership).

<sup>6</sup> Use only to report gross income the tax for which is being deposited in the current year because such tax was previously escrowed for chapters 3 and 4 and the withholding agent previously reported the gross income in a prior year and checked the box to report the tax as not deposited under the escrow procedure. See the instructions to this form for further explanation.

<sup>7</sup> Use only to report a U.S. reportable account or nonconsenting U.S. account that is receiving a payment subject to chapter 3 withholding.

<sup>8</sup> Use only if applying the escrow procedure for dormant accounts under Regulations section 1.1471-4(b)(6). If tax was withheld and deposited under chapter 3, do not check box 7b ("tax not deposited with IRS pursuant to escrow procedure"). You must instead enter "3" in box 3 and complete box 3b.

## Explanation of Codes (continued)

- 10 Trust other than Withholding Foreign Trust
- 11 Withholding Foreign Trust
- 12 Qualified Intermediary
- 13 Qualified Securities Lender—Qualified Intermediary
- 14 Qualified Securities Lender—Other
- 15 Corporation
- 16 Individual
- 17 Estate
- 18 Private Foundation
- 19 Government or International Organization
- 20 Tax Exempt Organization (Section 501(c) entities)
- 21 Unknown Recipient
- 22 Artist or Athlete
- 23 Pension
- 24 Foreign Central Bank of Issue
- 25 Nonqualified Intermediary
- 26 Hybrid entity making Treaty Claim
- 34 U.S. Withholding Agent—Foreign branch of FI
- 35 Qualified Derivatives Dealer

### Pooled Reporting Codes<sup>9</sup>

- 27 Withholding Rate Pool—General
- 28 Withholding Rate Pool—Exempt Organization
- 29 PAI Withholding Rate Pool—General
- 30 PAI Withholding Rate Pool—Exempt Organization
- 31 Agency Withholding Rate Pool—General
- 32 Agency Withholding Rate Pool—Exempt Organization

## Chapter 4 Status Codes

- 01 U.S. Withholding Agent—FI
- 02 U.S. Withholding Agent—Other
- 03 Territory FI—not treated as U.S. Person
- 04 Territory FI—treated as U.S. Person
- 05 Participating FFI—Other
- 06 Participating FFI—Reporting Model 2 FFI
- 07 Registered Deemed-Compliant FFI—Reporting Model 1 FFI
- 08 Registered Deemed-Compliant FFI—Sponsored Entity
- 09 Registered Deemed-Compliant FFI—Other
- 10 Certified Deemed-Compliant FFI—Other
- 11 Certified Deemed-Compliant FFI—FFI with Low Value Accounts
- 12 Certified Deemed-Compliant FFI—Nonregistering Local Bank
- 13 Certified Deemed-Compliant FFI—Sponsored Entity
- 14 Certified Deemed-Compliant FFI—Investment Entity that does not maintain financial accounts
- 15 Nonparticipating FFI
- 16 Owner-Documented FFI
- 17 U.S. Branch—treated as U.S. person
- 18 U.S. Branch—not treated as U.S. person (reporting under section 1471)
- 19 Passive NFFE identifying Substantial U.S. Owners

- 20 Passive NFFE with no Substantial U.S. Owners
- 21 Publicly Traded NFFE or Affiliate of Publicly Traded NFFE
- 22 Active NFFE
- 23 Individual
- 24 Section 501(c) Entities
- 25 Excepted Territory NFFE
- 26 Excepted NFFE—Other
- 27 Exempt Beneficial Owner
- 28 Entity Wholly Owned by Exempt Beneficial Owners
- 29 Unknown Recipient
- 30 Recalcitrant Account Holder
- 31 Nonreporting IGA FFI
- 32 Direct reporting NFFE
- 33 U.S. reportable account
- 34 Nonconsenting U.S. account
- 35 Sponsored direct reporting NFFE
- 36 Excepted Inter-affiliate FFI
- 37 Undocumented Preexisting Obligation
- 38 U.S. Branch—ECI presumption applied
- 39 Account Holder of Excluded Financial Account<sup>10</sup>
- 40 Passive NFFE reported by FFI<sup>11</sup>
- 41 NFFE subject to 1472 withholding
- 50 U.S. Withholding Agent—Foreign branch of FI

### Pooled Reporting Codes

- 42 Recalcitrant Pool—No U.S. Indicia
- 43 Recalcitrant Pool—U.S. Indicia
- 44 Recalcitrant Pool—Dormant Account
- 45 Recalcitrant Pool—U.S. Persons
- 46 Recalcitrant Pool—Passive NFFEs
- 47 Nonparticipating FFI Pool
- 48 U.S. Payees Pool
- 49 QI-Recalcitrant Pool—General<sup>12</sup>

**Box 13j. LOB Code (enter the code that best describes the applicable limitation on benefits (LOB) category that qualifies the taxpayer for the requested treaty benefits).**

LOB Code	LOB Treaty Category
02	Government – contracting state/political subdivision/local authority
03	Tax exempt pension trust/Pension fund
04	Tax exempt/Charitable organization
05	Publicly traded corporation
06	Subsidiary of publicly traded corporation
07	Company that meets the ownership and base erosion test
08	Company that meets the derivative benefits test
09	Company with an item of income that meets the active trade or business test
10	Discretionary determination
11	Other

<sup>9</sup> Codes 27 through 32 should only be used by a QI, QSL, WP, or WT. A QI acting as a QDD may use code 27 or 28.

<sup>10</sup> This code should only be used if income is paid to an account that is excluded from the definition of financial account under Regulations section 1.1471-5(b)(2) or under Annex II of the applicable Model 1 IGA or Model 2 IGA.

<sup>11</sup> This code should only be used when the withholding agent has received a certification on the FFI withholding statement of a participating FFI or registered deemed-compliant FFI that maintains the account that the FFI has reported the account held by the passive NFFE as a U.S. account (or U.S. reportable account) under its FATCA requirements. The withholding agent must report the name and GIIIN of such FFI in boxes 15d and 15e.

<sup>12</sup> This code should only be used by a withholding agent that is reporting a payment (or portion of a payment) made to a QI with respect to the QI's recalcitrant account holders.

MIRTAP SUPERANNUATION FUND  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA

April 1, 2019 - June 30, 2019  
 Account Number: NG7-066483

**Beginning Account Value for this Period:**  
 \$30,747.80

**Your Investment Advisor:**

**Ending Account Value for this Period:**

[Cash - Op Bal of \$339.39 + net dividends of \$471.22 less inactive account charge of \$65 = USD \$745.61]

**\$43,418.61**

### Asset Summary

Percent	Asset Type	This Period
2%	Cash, Money Funds, and Bank Deposits	745.61
98%	Equities	42,673.00
100%	<b>Account Total</b>	<b>\$43,418.61</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: WWW.ORDS.COM.AU

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

#### Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input type="checkbox"/>	Trade Confirmations
<input type="checkbox"/>	Tax Documents
<input type="checkbox"/>	Notifications
<input type="checkbox"/>	Prospectus
<input type="checkbox"/>	Proxy/Shareholder Communications

Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au

The above e-mail address is partially masked for your security. Please log in to your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 2.00% of Portfolio</b>					
Cash Balance		625.00	745.61		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		<b>\$625.00</b>	<b>\$745.61</b>	<b>\$0.00</b>	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 98.00% of Portfolio</b>					
<b>Common Stocks</b>					
<b>GALLAGHER ARTHUR J &amp; CO</b>	330.000	87.5900	28,904.70	567.60	1.96%

Security Identifier: AJG  
 CUSIP: 363576109  
 Dividend Option: Cash

Portfolio Holdings (continued)

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES (continued)</b>					
<b>Common Stocks (continued)</b>					
GRAYSCALE BITCOIN TR BTC SHS	910.000	15.1300	13,768.30		
Security Identifier: GBTC					
CUSIP: 389637109					
Dividend Option: Cash					
<b>Total Common Stocks</b>			\$42,673.00	\$567.60	
<b>TOTAL EQUITIES</b>			\$42,673.00	\$567.60	
			<b>Market Value</b>	<b>Accrued Interest</b>	<b>Estimated Annual Income</b>
<b>Total Portfolio Holdings</b>			\$43,418.61	\$0.00	\$567.60

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

## Portfolio Holdings Disclosures *(continued)*

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Dividends and Interest</b>	\$141.90	\$0.00	\$141.90	\$283.80	\$0.00	\$283.80
<b>Fees</b>	\$0.00	\$0.00	\$0.00	\$0.00	-\$65.00	-\$65.00
<b>Taxes Withheld</b>	\$0.00	-\$21.29	-\$21.29	\$0.00	-\$42.58	-\$42.58
<b>Totals</b>	\$141.90	-\$21.29	\$120.61	\$283.80	-\$107.58	\$176.22

## Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
06/21/19	CASH DIVIDEND RECEIVED AJG	330 SHRS GALLAGHER ARTHUR J & CO RD 06/07 PD 06/21/19				141.90	USD
06/21/19	NON-RESIDENT ALIEN TAX AJG	NRA TAX WITHHELD ON 330 SHRS GALLAGHER ARTHUR J & CO RD 06/07 PD 06/21/19				-21.29	USD
<b>Total Value of Transactions</b>						\$0.00	\$120.61 USD

The price and quantity displayed may have been rounded.



## Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.

## Important Information and Disclosures *(continued)*

### Important Arbitration Disclosures *(continued)*

- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT [WWW.PERSHING.COM](http://WWW.PERSHING.COM).
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: [WWW.PERSHING.COM/DISCLOSURES](http://WWW.PERSHING.COM/DISCLOSURES).
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

### PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

MIRTAP SUPERANNUATION FUND  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA

March 1, 2019 - March 31, 2019  
 Account Number: NG7-066483

**Your Investment Advisor:**

**Beginning Account Value for this Period:**  
 \$31,120.39

**Ending Account Value for this Period:**  
 \$30,747.80

### Asset Summary

Percent	Asset Type	This Period
2%	Cash, Money Funds, and Bank Deposits	625.00
98%	Equities	30,122.80
100%	<b>Account Total</b>	<b>\$30,747.80</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: WWW.ORDS.COM.AU

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

#### Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input type="checkbox"/>	Trade Confirmations
<input type="checkbox"/>	Tax Documents
<input type="checkbox"/>	Notifications
<input type="checkbox"/>	Prospectus
<input type="checkbox"/>	Proxy/Shareholder Communications

Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au

The above e-mail address is partially masked for your security.  
 Please log in to your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 2.00% of Portfolio</b>					
Cash Balance		569.39	625.00		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		<b>\$569.39</b>	<b>\$625.00</b>	<b>\$0.00</b>	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 98.00% of Portfolio</b>					
<b>Common Stocks</b>					
GALLAGHER ARTHUR J & CO	330.000	78.1000	25,773.00	567.60	2.20%
Security Identifier: AJG					
CUSIP: 363576109					
Dividend Option: Cash					

March 1, 2019 - March 31, 2019  
 MIRTAP SUPERANNUATION FUND

Portfolio Holdings (continued)

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES (continued)</b>					
<b>Common Stocks (continued)</b>					
GRAYSCALE BITCOIN TR BTC SHS Security Identifier: GBTC CUSIP: 389637109 Dividend Option: Cash	910.000	4.7800	4,349.80		
<b>Total Common Stocks</b>			\$30,122.80	\$567.60	
<b>TOTAL EQUITIES</b>			\$30,122.80	\$567.60	
			<b>Market Value</b>	<b>Accrued Interest</b>	<b>Estimated Annual Income</b>
<b>Total Portfolio Holdings</b>			\$30,747.80	\$0.00	\$567.60

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

## Portfolio Holdings Disclosures *(continued)*

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Dividends and Interest</b>	\$141.90	\$0.00	\$141.90	\$141.90	\$0.00	\$141.90
<b>Fees</b>	\$0.00	-\$65.00	-\$65.00	\$0.00	-\$65.00	-\$65.00
<b>Taxes Withheld</b>	\$0.00	-\$21.29	-\$21.29	\$0.00	-\$21.29	-\$21.29
<b>Totals</b>	\$141.90	-\$86.29	\$55.61	\$141.90	-\$86.29	\$55.61

## Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
03/01/19	ANNUAL INACTIVE ACCOUNT FEE USD999997	ANNUAL CUSTODIAL MAINTENANCE FEE MIXED ACCT NG7066483				-65.00	USD
03/15/19	CASH DIVIDEND RECEIVED AJG	330 SHRS GALLAGHER ARTHUR J & CO RD 03/01 PD 03/15/19				141.90	USD



Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
03/15/19	NON-RESIDENT ALIEN TAX AIG	NRA TAX WITHHELD ON 330 SHRS GALLAGHER ARTHUR J & CO RD 03/01 PD 03/15/19				-21.29	USD
<b>Total Value of Transactions</b>					<b>\$0.00</b>	<b>\$55.61</b>	<b>USD</b>

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after



## Important Information and Disclosures *(continued)*

### **The Role of Pershing** *(continued)*

receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.

- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

### **Important Arbitration Disclosures**

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### **Important Arbitration Agreement**

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
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- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: [WWW.PERSHING.COM/DISCLOSURES](http://WWW.PERSHING.COM/DISCLOSURES).
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**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

MIRTAP SUPERANNUATION FUND  
UAD 10/18/05  
T M CAFER & M M CAFER TTEES  
4 HIDEAWAY MOUNTAIN COURT  
CORNUBIA QLD 4130  
AUSTRALIA

February 1, 2019 - February 28, 2019  
Account Number: NG7-066483

**Your Investment Advisor:**

**Beginning Account Value for this Period:**  
\$28,854.59

**Ending Account Value for this Period:**  
\$31,120.39

### Asset Summary

Percent	Asset Type	This Period
2%	Cash, Money Funds, and Bank Deposits	569.39
98%	Equities	30,551.00
100%	<b>Account Total</b>	<b>\$31,120.39</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: [WWW.ORDS.COM.AU](http://WWW.ORDS.COM.AU)

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

#### Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input type="checkbox"/>	Trade Confirmations
<input type="checkbox"/>	Tax Documents
<input type="checkbox"/>	Notifications
<input type="checkbox"/>	Prospectus
<input type="checkbox"/>	Proxy/Shareholder Communications

Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au

The above e-mail address is partially masked for your security. Please log in to your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 2.00% of Portfolio</b>					
<b>Cash Balance</b>		569.39	569.39		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		\$569.39	\$569.39	\$0.00	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 98.00% of Portfolio</b>					
<b>Common Stocks</b>					
GALLAGHER ARTHUR J & CO Security Identifier: AJG CUSIP: 363576109 Dividend Option: Cash	330.000	80.2800	26,492.40	567.60	2.14%

Portfolio Holdings (continued)

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES (continued)</b>					
<b>Common Stocks (continued)</b>					
GRAYSCALE BITCOIN TR BTC SHS Security Identifier: GBTC CUSIP: 389637109 Dividend Option: Cash	910.000	4.4600	4,058.60		
<b>Total Common Stocks</b>			\$30,551.00	\$567.60	
<b>TOTAL EQUITIES</b>			\$30,551.00	\$567.60	
			<b>Market Value</b>	<b>Accrued Interest</b>	<b>Estimated Annual Income</b>
<b>Total Portfolio Holdings</b>			\$31,120.39	\$0.00	\$567.60

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

## Portfolio Holdings Disclosures *(continued)*

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the

## Important Information and Disclosures *(continued)*

### The Role of Pershing *(continued)*

Disclosure Statement, please contact your financial institution.

- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

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MIRTAP SUPERANNUATION FUND  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA

January 1, 2019 - January 31, 2019  
 Account Number: NG7-066483

**Your Investment Advisor:**

**Beginning Account Value for this Period:**  
 \$28,498.54

**Ending Account Value for this Period:**  
 \$28,854.59

### Asset Summary

Percent	Asset Type	This Period
2%	Cash, Money Funds, and Bank Deposits	569.39
98%	Equities	28,285.20
100%	<b>Account Total</b>	<b>\$28,854.59</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: [WWW.ORDS.COM.AU](http://WWW.ORDS.COM.AU)



## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

#### Electronic Delivery

Enrollment	Communication
<input checked="" type="checkbox"/>	Statements and Reports
<input type="checkbox"/>	Trade Confirmations
<input type="checkbox"/>	Tax Documents
<input type="checkbox"/>	Notifications
<input type="checkbox"/>	Prospectus
<input type="checkbox"/>	Proxy/Shareholder Communications

Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au

The above e-mail address is partially masked for your security. Please log in to your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 2.00% of Portfolio</b>					
<b>Cash Balance</b>		569.39	569.39		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		\$569.39	\$569.39	\$0.00	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 98.00% of Portfolio</b>					
<b>Common Stocks</b>					
GALLAGHER ARTHUR J & CO Security Identifier: AJG CUSIP: 363576109 Dividend Option: Cash	330.000	74.7100	24,654.30	567.60	2.30%

Portfolio Holdings (continued)

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES (continued)</b>					
<b>Common Stocks (continued)</b>					
GRAYSCALE BITCOIN TR BTC SHS Security Identifier: GBTC CUSIP: 389637109 Dividend Option: Cash	910.000	3.9900	3,630.90		
<b>Total Common Stocks</b>			\$28,285.20	\$567.60	
<b>TOTAL EQUITIES</b>			\$28,285.20	\$567.60	
			<b>Market Value</b>	<b>Accrued Interest</b>	<b>Estimated Annual Income</b>
<b>Total Portfolio Holdings</b>			\$28,854.59	\$0.00	\$567.60

Portfolio Holdings Disclosures

Pricing

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## Portfolio Holdings Disclosures *(continued)*

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## Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
01/18/19	NAME CHANGED 09173T108	BITCOIN INVT TR SHS N/C EFF 1/17/19 1 OLD/1 CU 389637109 GRAYSCALE BITCOIN TR	-910.000			0.00	USD
01/18/19	NAME CHANGED GBTC	GRAYSCALE BITCOIN TR BTC SHS	910.000			0.00	USD
<b>Total Value of Transactions</b>						<b>\$0.00</b>	<b>\$0.00 USD</b>

The price and quantity displayed may have been rounded.

## Messages

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- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or

## Important Information and Disclosures *(continued)*

### **Important Arbitration Agreement** *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

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MIRTAP SUPERANNUATION FUND  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA

December 1, 2018 - December 31, 2018  
 Account Number: NG7-066483

**Your Investment Advisor:**

**Beginning Account Value for this Period:**  
 \$30,428.39

**Ending Account Value for this Period:**  
 \$28,498.54

### Asset Summary

Percent	Asset Type	This Period
2%	Cash, Money Funds, and Bank Deposits	569.39
98%	Equities	27,929.15
100%	<b>Account Total</b>	<b>\$28,498.54</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: [WWW.ORDS.COM.AU](http://WWW.ORDS.COM.AU)

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

You are currently enrolled to receive the following account communications via electronic delivery:  
 Statements and Reports  
 Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au

The above e-mail address is partially masked for your security.  
 Please log into your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 2.00% of Portfolio</b>					
Cash Balance		454.39	569.39		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		\$454.39	\$569.39	\$0.00	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 98.00% of Portfolio</b>					
<b>Common Stocks</b>					
BITCOIN INVT TR SHS Security Identifier: GBTC CUSIP: 09173T108 Dividend Option: Cash	910.000	3.9650	3,608.15		
GALLAGHER ARTHUR J & CO Security Identifier: AJG CUSIP: 363576109 Dividend Option: Cash	330.000	73.7000	24,321.00	541.20	2.22%
<b>Total Common Stocks</b>			\$27,929.15	\$541.20	
<b>TOTAL EQUITIES</b>			\$27,929.15	\$541.20	

	Market Value	Accrued Interest	Estimated Annual Income
<b>TOTAL PORTFOLIO HOLDINGS</b>	\$28,498.54	\$0.00	\$541.20

## Portfolio Holdings Disclosures

### Pricing

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### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

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## Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Dividends and Interest</b>	\$135.30	\$0.00	\$135.30	\$541.20	\$0.00	\$541.20
<b>Taxes Withheld</b>	\$0.00	-\$20.30	-\$20.30	\$0.00	-\$81.20	-\$81.20
<b>Totals</b>	\$135.30	-\$20.30	\$115.00	\$541.20	-\$81.20	\$460.00

## Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/21/18	CASH DIVIDEND RECEIVED AJG	330 SHRS GALLAGHER ARTHUR J & CO RD 12/07 PD 12/21/18				135.30	USD
12/21/18	NON-RESIDENT ALIEN TAX AJG	NRA TAX WITHHELD ON 330 SHRS GALLAGHER ARTHUR J & CO RD 12/07 PD 12/21/18				-20.30	USD
<b>Total Value of Transactions</b>						\$0.00	\$115.00 USD

The price and quantity displayed may have been rounded.

## Messages

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- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.

## Important Information and Disclosures *(continued)*

### The Role of Pershing *(continued)*

- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT [WWW.PERSHING.COM](http://WWW.PERSHING.COM).
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: [WWW.PERSHING.COM/DISCLOSURES](http://WWW.PERSHING.COM/DISCLOSURES).
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

### PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

MIRTAP SUPERANNUATION FUND  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA

October 1, 2018 - November 30, 2018  
 Account Number: NG7-066483

**Your Investment Advisor:**

**Beginning Account Value for this Period:**  
 \$32,244.99

**Ending Account Value for this Period:**  
 \$30,428.39

### Asset Summary

Percent	Asset Type	This Period
1%	Cash, Money Funds, and Bank Deposits	454.39
99%	Equities	29,974.00
100%	<b>Account Total</b>	<b>\$30,428.39</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: [WWW.ORDS.COM.AU](http://WWW.ORDS.COM.AU)

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

You are currently enrolled to receive the following account communications via electronic delivery:  
 Statements and Reports  
 Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au

The above e-mail address is partially masked for your security.  
 Please log into your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 1.00% of Portfolio</b>					
Cash Balance		454.39	454.39		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		\$454.39	\$454.39	\$0.00	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 99.00% of Portfolio</b>					
<b>Common Stocks</b>					
BITCOIN INVT TR SHS Security Identifier: GBTC CUSIP: 09173T108 Dividend Option: Cash	910.000	4.9900	4,540.90		
GALLAGHER ARTHUR J & CO Security Identifier: AJG CUSIP: 363576109 Dividend Option: Cash	330.000	77.0700	25,433.10	541.20	2.12%
<b>Total Common Stocks</b>			\$29,974.00	\$541.20	
<b>TOTAL EQUITIES</b>			\$29,974.00	\$541.20	

	Market Value	Accrued Interest	Estimated Annual Income
<b>TOTAL PORTFOLIO HOLDINGS</b>	\$30,428.39	\$0.00	\$541.20

## Portfolio Holdings Disclosures

### Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.  
THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.



## Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Dividends and Interest</b>	\$0.00	\$0.00	\$0.00	\$405.90	\$0.00	\$405.90
<b>Taxes Withheld</b>	\$0.00	\$0.00	\$0.00	\$0.00	-\$60.90	-\$60.90
<b>Totals</b>	\$0.00	\$0.00	\$0.00	\$405.90	-\$60.90	\$345.00

## Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
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- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.

## Important Information and Disclosures (*continued*)

### Important Arbitration Disclosures (*continued*)

- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.



MIRTAP SUPERANNUATION FUND  
 UAD 10/18/05  
 T M CAFER & M M CAFER TTEES  
 4 HIDEAWAY MOUNTAIN COURT  
 CORNUBIA QLD 4130  
 AUSTRALIA

July 1, 2018 - September 30, 2018

Account Number: NG7-066483

**Your Investment Advisor:**

**Beginning Account Value for this Period:**

\$29,644.09

**Ending Account Value for this Period:**

\$32,244.99

### Asset Summary

Percent	Asset Type	This Period
1%	Cash, Money Funds, and Bank Deposits	454.39
99%	Equities	31,790.60
100%	<b>Account Total</b>	<b>\$32,244.99</b>

Please review your allocation periodically with your Investment Advisor.

### Client Service Information

Your Investment Advisor: ABB

Client Service Information

Web Site: WWW.ORDS.COM.AU

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
 Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Constant Yield Method  
 Include market discount in income annually: No

### ELECTRONIC DELIVERY

You are currently enrolled to receive the following account communications via electronic delivery:  
 Statements and Reports  
 Please log in to your account to make any changes to your electronic delivery preferences.

### E-mail notifications are delivered to the following e-mail address(es):

t#####@cfb.net.au  
 The above e-mail address is partially masked for your security.  
 Please log into your account to review the full e-mail address.

## Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	30-Day Yield
<b>CASH, MONEY FUNDS, AND BANK DEPOSITS 1.00% of Portfolio</b>					
Cash Balance		339.39	454.39		
<b>TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS</b>		<b>\$339.39</b>	<b>\$454.39</b>	<b>\$0.00</b>	

Description	Quantity	Market Price	Market Value	Estimated Annual Income	Estimated Yield
<b>EQUITIES 99.00% of Portfolio</b>					
<b>Common Stocks</b>					
BITCOIN INVT TR SHS Security Identifier: GBTC CUSIP: 09173T108 Dividend Option: Cash	910.000	7.9400	7,225.40		
GALLAGHER ARTHUR J & CO Security Identifier: AJG CUSIP: 363576109 Dividend Option: Cash	330.000	74.4400	24,565.20	541.20	2.20%
<b>Total Common Stocks</b>			<b>\$31,790.60</b>	<b>\$541.20</b>	
<b>TOTAL EQUITIES</b>			<b>\$31,790.60</b>	<b>\$541.20</b>	

	Market Value	Accrued Interest	Estimated Annual Income
<b>TOTAL PORTFOLIO HOLDINGS</b>	<b>\$32,244.99</b>	<b>\$0.00</b>	<b>\$541.20</b>

## Portfolio Holdings Disclosures

### Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.  
THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Dividends and Interest</b>	\$135.30	\$0.00	\$135.30	\$405.90	\$0.00	\$405.90
<b>Taxes Withheld</b>	\$0.00	-\$20.30	-\$20.30	\$0.00	-\$60.90	-\$60.90
<b>Totals</b>	\$135.30	-\$20.30	\$115.00	\$405.90	-\$60.90	\$345.00

## Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
09/21/18	CASH DIVIDEND RECEIVED AJG	330 SHRS GALLAGHER ARTHUR J & CO RD 09/07 PD 09/21/18				135.30	USD
09/21/18	NON-RESIDENT ALIEN TAX AJG	NRA TAX WITHHELD ON 330 SHRS GALLAGHER ARTHUR J & CO RD 09/07 PD 09/21/18				-20.30	USD
<b>Total Value of Transactions</b>					\$0.00	\$115.00	USD

The price and quantity displayed may have been rounded.

## Messages

Pursuant to the Securities Exchange Act of 1934, Pershing LLC (Pershing), a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis. Pershing's June Statement of Financial Condition is now available. On June 30, 2018, Pershing's net capital of \$2.68 billion was 15.25% of aggregate debit balances and exceeded the minimum requirements by \$2.33 billion. Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2018, Pershing's net capital of \$2.77 billion was 16.37% of aggregate debit balances and exceeded the minimum requirement by \$2.43 billion. A copy of the June 30, 2018, Statement of Financial Condition is available at [www.pershing.com/statement-of-financial-condition](http://www.pershing.com/statement-of-financial-condition). You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option 5.

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.

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## Important Information and Disclosures (*continued*)

### The Role of Pershing (*continued*)

- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**.

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT [WWW.PERSHING.COM](http://WWW.PERSHING.COM).
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: [WWW.PERSHING.COM/DISCLOSURES](http://WWW.PERSHING.COM/DISCLOSURES).
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

### PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



MIRTAP SUPERANNUATION FUND  
UAD 10/18/05  
T M CAFER & M M CAFER TTEES  
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CORNUBIA QLD 4130  
AUSTRALIA

July 11, 2018

**Re: Statement Message Error**

BNY Mellon's Pershing provides custody and other related services, including printing and distributing account statements that reflect your holdings and activity, for your financial organization.

In the Message section of the June statement, you may have received a notification about substitute payment reimbursements. This message was intended only for accounts that received reimbursements in June for qualified substitute payments received in 2017.

If your account statement does not reflect a substitute payment reimbursement, please disregard the message.

We apologize for any inconvenience or confusion this may have caused.

Pershing LLC