

# Application for Membership

## NEARY SUPERANNUATION FUND

To the Trustees of:

NEARY SUPERANNUATION FUND

I, DEBRA FAYE CUSHING, apply for admission to membership of the Fund and undertake as follows:-

1. I will be bound by the Trust Deed governing the Fund as it is presently constituted or as it may be varied from time to time.
2. I understand the terms and conditions of the Trust Deed including benefits payable to Members and understand my rights and the rights of my dependants pursuant to the Trust Deed.

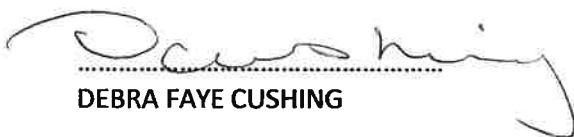
My personal details and those of my employer/s are attached to this application. I acknowledge that the discretion vested in you as to the application of benefits in circumstances where I cannot receive them myself is an absolute, free and unfettered discretion, but I express the wish that in the exercise of that discretion you give consideration to paying any such benefits to the following person, in the following proportions:

Name of Designated Beneficiary	Address of Designated Beneficiary	Relationship to Member	Proportion of Benefits
Nigel Cushing	13 Oaklands St Alexandra Hills	Husband	100%

I understand that the Trustee is required to request that I provide my Tax File Number for the purposes of Section 299F of the Superannuation Industry (Supervision) Act 1993. I further understand that I am under no obligation to supply this number, but that should I fail to do so, tax may be deducted from my account at the top marginal rate.

Dated:

Signature



DEBRA FAYE CUSHING



# APPLICATION FOR MEMBERSHIP

## CONFIDENTIAL

TO: THE TRUSTEE

### THE NEARY SUPERANNUATION FUND

I, the undersigned person, being eligible hereby apply for admission to Membership of the Fund. I agree and undertake as follows:

- (a) I will be bound by the Trust Deed governing the Fund as it is or may be varied from time to time.
- (b) I understand the terms and conditions of the Trust Deed and more particularly the terms and conditions of Division B of the Deed concerning Benefits payable.
- (c) I consent to the Trustee acting as Trustee of the Fund.
- (d) I will notify the Trustee if at anytime I cease to be Gainfully Employed as defined in the Deed.
- (e) I declare that at the time I was given this application for Membership I was also given advice in writing about the benefits to which I would be entitled on joining the Fund, the method of determining that entitlement and the conditions relating to those Benefits.
- (f) I declare that to the extent that I have completed the attachments the information contained is accurate in every respect.
- (g) I declare that I have been provided with a copy of the latest report to Members together with details of the rate of allotment of net earnings to the Fund to the class of membership to which I would belong upon joining the Fund.

Date: 23/6/97

Member's Signature

## 1. PERSONAL DETAILS

Name: Surname: NEARY Given Names: MARK ADAM  
Address: 25 Allamanda Pl Mt Gravatt East  
Occupation: Director / Rigger Date of Birth: 16/6/72  
Home Phone: (07) 33438810 Gender M/F M

## 2. NOMINATED DEPENDANT(S)

I nominate the undermentioned persons as my Nominated Dependants:

SURNAME	GIVEN NAMES	RELATIONSHIP TO MEMBER	% OF TOTAL BENEFIT
<del>NEARY</del>	<del>MARK ADAM</del>	<del>FATHER</del>	<del>33 1/3%</del>
NEARY	BREANNA MAREE	DAUGHTER	33 1/3%
NEARY	TALISA ANN	DAUGHTER	33 1/3%
NEARY	ANNELESE JADE	DAUGHTER	33 1/3%



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- (g) I declare that I have been provided with a copy of the latest report to Members together with details of the rate of allotment of net earnings to the Fund to the class of membership to which I would belong upon joining the Fund.

Date: 23/6/97

Member's Signature

#### 1. PERSONAL DETAILS

Name: Surname: NEARY Given Names: DEBRA FAYE  
Address: 25 ALLAMANDA PL MT GRAVATT 4122  
Occupation: CLERK Date of Birth: 20/7/74  
Home Phone: (07) 334 38810 Gender M/F F

#### 2. NOMINATED DEPENDANT(S)

I nominate the undermentioned persons as my Nominated Dependants:

SURNAME	GIVEN NAMES	RELATIONSHIP TO MEMBER	% OF TOTAL BENEFIT
Cushing	Nigel	Husband	100%



To the trustee of the  
NEARY SUPERANNUATION FUND  
663 Boston Road,  
Chandler Queensland 4155

Dear Trustees

### **Audit Engagement Letter**

#### **Objectives and Scope of the Audit**

You have requested that we audit the financial statements of the NEARY SUPERANNUATION FUND for the year ended 30/06/2022. We are pleased to confirm our acceptance and understanding of this engagement by means of this letter.

Our audit will be performed in accordance with Australian Auditing Standards, the *Superannuation Industry (Supervision) Act 1993* (SISA) and the *Superannuation Industry (Supervision) Regulations* (SISR) with the objective of expressing an opinion on the financial report and the fund's compliance with the specified requirements of the SISA and the SISR.

#### **Our Responsibilities**

We will conduct our audit in accordance with Australian Auditing Standards. Those Auditing Standards require that we comply with relevant ethical requirements relating to audit engagements and plan and perform the audit to obtain reasonable assurance as to whether the financial report is free from material misstatement.

An audit involves performing audit procedures to obtain audit evidence about the amounts and disclosures in the financial report. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial report, whether due to fraud or error. An audit also includes evaluating the appropriateness of the financial reporting framework, accounting policies used and the implementation and operation of accounting and internal control systems that are designed to prevent and detect fraud and error, as well as evaluating the overall presentation of the financial report.

Because of the inherent limitations of an audit, together with the inherent limitations of internal control, there is an unavoidable risk that some material misstatement may not be detected, even though the audit is properly planned and performed in accordance with Australian Auditing Standards.

In making our risk assessments, we consider internal control relevant to the entity's preparation of the financial report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. We will, however, communicate to you in writing any significant deficiencies in internal control relevant to the audit of the financial report that we have identified during the audit.

#### **Trustees' Responsibilities**

Our audit will be conducted on the basis that the Trustee(s) acknowledge and understand that they have responsibilities:

- For the preparation of the financial report that gives a true and fair view in accordance with the Australian Auditing Standards, other mandatory reporting requirements and the SIS Act and SIS Regulations is that of the Trustee(s);
- For such internal control as the Trustee(s) determine is necessary to enable the preparation of the financial report that is free from material misstatement, whether due to fraud or error; and

■ To provide us with:

- Access to all information of which the Trustees are aware that is relevant to the preparation of the financial report such as records, documentation and other matters;
- Additional information that we may request from the Trustees for the purpose of the audit; and
- Unrestricted access to persons within the entity from whom we determine it necessary to obtain audit evidence.

As part of our audit process, we will request from Trustees written confirmation concerning representations made to us in connection with the audit.

Australian Auditing Standards require that we determine whether the financial reporting framework applied in the preparation of this special purpose of financial report is acceptable. If we determine the financial reporting framework to be unacceptable, we will not be able to undertake the audit engagement unless the framework is amended and then determined to be acceptable.

If a qualified audit report is to be issued following the completion of our audit, we will advise the details to you in a timely manner and prior to the issue of our report.

#### **Audit of SIS Compliance**

For the year ended 30/06/2022, we are required to form an opinion in respect of compliance with certain aspects of SIS. Our report must refer to the following sections and regulations:

Sections: 17A, 35AE, 35B, 35C(2), 52, 62, 65, 66, 67, 67A, 67B, 82-85, 103, 104, 104A, 105, 109, 126K

Regulations: 1.06(9A), 4.09, 4.09A, 5.03, 5.08, 6.17, 7.04, 8.02B, 13.12, 13.13, 13.14, 13.18AA, 13.22C

#### **Report on Significant Matters**

Under section 129 of the SISA we are required to report to you in writing if during the course of, or in connection with, our audit, we become aware of any contravention of the Act or Regulations which we believe has occurred, is occurring or may occur.

We are also required to report to the ATO, as regulator, any contravention of the SISA and the SISR, where we believe the contravention may affect the interests of the members of beneficiaries of the fund.

In addition, we are also required under section 130 to report to you if we believe the superannuation fund may be, or may be about to become, in an unsatisfactory financial position. If we are not satisfied with your response as Trustee(s) as to the action taken to rectify the situation or we receive no response, we are obliged to report the matter to the ATO.

A failure on the part of the Trustee to rectify these breaches to the satisfaction of the ATO may result in significant penalties to the Trustee and the fund itself.

In addition to our report on the financial statements, we will also report to you any material weaknesses in the fund's system of accounting and internal control which come to our notice during the course of our audit.

#### **Quality Control**

The conduct of our audit in accordance Australian Auditing Standards means that information acquired by us in the course of our audit is subject to strict confidentiality requirements. Information will not be disclosed by us to other parties except as required or allowed for by law or professional standards, or with your expressed consent.



Our audit files may, however, be subject to review as part of the quality control review program of Regulators and or Professional Bodies which monitors compliance with professional standards by its members.

We advise you that by signing this letter you acknowledge that, if requested, our audit files relating to this audit will be made available under this program. Should this occur, we will advise you. The same strict confidentiality requirements apply under this program as apply to us as your auditor.

#### **Independence/Conflict of Interest**

We have established policies and procedures designed to ensure our independence, including policies on holding financial interests in the superannuation fund and other related parties, rotation of audit partners, business relationships, employment relationships, and the provision of non-audit services in accordance with professional statement APES 110 – Code of Ethics for Professional Accountants.

#### **Outsourced Services**

We do not use any outsourced services in overseas locations when conducting client assignments.

#### **Data Storage**

We use data storage located in the office but it may be replicated to other locations.

Accepting our services as part of this engagement agreement indicates your acceptance of the use of outsourced services, cloud hosted software and outsourced data storage under the conditions outlined above.

#### **Limitation of Liability**

Our firm's liability to you or any other user of the audit report is limited by a Scheme approved under Professional Standards Legislation.

#### **Other**

We would appreciate acknowledgement of terms and conditions set out in this letter. Please note that this letter will be effective for future years unless the terms of the engagement are altered by future correspondence.

Please sign and return the attached copy of this letter to indicate that it is in accordance with your understanding of the arrangements for our audit of the financial report.

If you have any queries in relation to this please contact me.

Yours sincerely

ANTHONY BOYS – REGISTERED COMPANY AUDITOR

To: MR ANTHONY BOYS

I/We hereby confirm your appointment as Auditor under the above terms of engagement.

For and on behalf of the Trustee(s) of the NEARY SUPERANNUATION FUND

SIGNED:

  
Douglas Neary

  
Mavis Neary

DATED:

30-09-2022

Mr Anthony Boys  
PO Box 3376  
Rundle Mall SA 5000

Dear Anthony,

**NEARY SUPERANNUATION FUND**  
**Superannuation Fund Trustee Representation Letter**

In connection with your audit examination of the financial report of **NEARY SUPERANNUATION FUND** ('the Fund') for the year ended 30/06/2022, we hereby confirm, at your request that to best of our knowledge and belief, the following representation relating to the accounts are correct.

**Financial Report**

We have fulfilled our responsibilities, as set out in the terms of the audit engagement letter, for the preparation of the financial report.

The Trustees have determined that the Fund is not a reporting entity for the year ended 30/06/2022 and that the requirement to apply Australian Accounting Standards and other mandatory reporting requirements do not apply to the fund. Accordingly, the financial report prepared is a special purpose financial report, which is for distribution to members of the fund and to satisfy the requirement of the SISA and the SISR, and to confirm that the financial report is free of material misstatements, including omissions.

We confirm, to the best of our knowledge and belief, the following representations are made to you during your audit.

**Sole Purpose**

The fund has been maintained for the sole purpose of providing superannuation benefits to its members and their dependents.

**Superannuation Fund Books/Records/Minutes**

- (a) We have made available to you all financial records and related data, other information, explanations and assistance necessary for the conduct of the audit.
- (b) We have made available to you Minutes of all Trustee(s)' meetings and the Trust Deed.
- (c) We have established and maintained an adequate internal control structure to facilitate the preparation of reliable financial statements, and adequate financial records have been maintained. There are no material transactions that have not been properly recorded in the accounting records underlying the financial statements.
- (d) We have no plans or intentions that may materially affect the carrying values, or classification, of assets and liabilities.
- (e) Records maintained during the period were in accordance with the Australian Taxation Office requirements.

**Asset Form**

The assets of the superannuation fund are being held in a form suitable for the benefit of the members of the fund, and have been held in accordance with the fund's investment strategy.

**Ownership and Pledging of Assets**

The superannuation fund has satisfactory title to all assets disclosed in the Statement of Financial Position. Investments are registered in the name of the Trustee(s).

No assets of the superannuation fund have been pledged to secure liabilities of the superannuation fund or of others.

**Investments**

- (a) We have considered the requirement of generally accepted accounting standards in regards to impairment of assets when assessing the impairment of assets and in ensuring that no assets are stated in excess of their recoverable amount.
- (b) There are no commitments, fixed or contingent, for the purchase or sale of long-term investments that have not been disclosed in the financial statements.

- (c) The investment strategy has been determined with due regard to risk, return, liquidity, diversity and the insurance needs of fund members, and the assets of the fund are in line with this strategy.
- (d) All investments are acquired, maintained and disposed of on an arm's length basis.

#### **Trust Deed**

The superannuation fund is being conducted in accordance with its Trust Deed.

The superannuation fund has satisfactory title to all assets disclosed in the Statement of Financial Position. Investments are registered in the name of the Trustee(s).

No assets of the superannuation fund have been pledged to secure liabilities of the superannuation fund or of others.

#### **Superannuation Industry (Supervision) Act and Regulations**

- (a) The fund meets the definition of a self-managed superannuation fund under the SISA.
- (b) The fund has been conducted in accordance with the SISA, the SISR and its governing rules at all times during the year. Also there were no amendments to the governing rules during the year, except as notified to you.
- (c) The fund is being conducted in accordance with the SISA and the SISR, in particular the relevant requirements of the following provisions:  
Sections: 17A, 35AE, 35B, 35C(2), 62, 65, 66, 67,67A, 67B, 82-85, 103, 104, 104A, 105, 109, 126K  
Regulations: 1.06(9A), 4.09, 4.09A, 5.03, 5.08, 6.17, 7.04, 8.02B, 13.12, 13.13, 13.14, 13.18AA
- (d) The Trustee(s) have been nominated and may only be removed in such manner and circumstances as are allowed in the Trust Deed.
- (e) The Trustees are not disqualified persons under s126K of the SISA.
- (f) Any vacancy among the Trustee(s) is filled in accordance with the Trust Deed.
- (g) The Trustee(s) have complied with all Trustee standards set out in SISR and the covenant prescribed by section 52 of the SISA.
- (h) The Trustee(s) have complied with all investment standards set out in the SISA and the SISR.
- (i) Information retention obligations have been complied with.
- (j) All contributions accepted and benefits paid have been in accordance with the governing rules of the fund and relevant provisions of the SISA and the SISR.

There are no breaches or possible breaches of the SIS legislation whose effects should be considered for disclosure in the financial report or to the Australian Taxation Office.

#### **Commitments**

- (a) There are no material commitments for construction or acquisition of property, plant and equipment to acquire other non-current assets, such as investments or intangibles, other than those disclosed in the financial report.
- (b) There were no commitments for purchase or sale of securities or assets or any options given by the fund including options over share capital.

#### **Taxation**

- (a) We have calculated income tax expense, current tax liability, deferred tax liability and deferred tax asset according to the definitions of taxable income and allowable deductions. We have calculated and recognised all other applicable taxes according to the relevant tax legislation.
- (b) There are no activities that invoke the anti-avoidance provisions of any applicable tax legislation.

#### **Borrowings**

The Trustees have not borrowed money on behalf of the superannuation fund with the exception of borrowings which were allowable under the SIS Act and the SIS Regulations.

#### **Related Parties**

- (a) The fund has not made any loans to, or provided financial assistance to members of the fund or their relatives.
- (b) No asset has been acquired from a member or related party other than as permitted under the SISA and the SISR.

- (c) Related party transactions and related amounts receivable or payable have been properly disclosed in the financial statements.

### **Accounting Misstatement Detected by Audit**

There has been no misstatement noted by audit during the course of the current year audit.

### **Insurance**

The superannuation fund has an established procedure whereby an officer reviews at least annually the adequacy of insurance cover on all assets and insurable risks where relevant. This review has been performed and where it is considered appropriate, assets and insurable risks of the superannuation fund are adequately covered by insurance.

### **Accounting Estimates**

We confirm the significant assumptions used in making accounting estimates are reasonable.

### **Fair Value Measurements and Disclosures**

We confirm that significant assumptions used in fair value measurements and disclosures are reasonable and appropriately reflect our intent and ability to carry out specific courses of action on behalf of the fund.

### **Going Concern**

In the opinion of the Trustees there are reasonable grounds to believe that the superannuation fund will be able to:

- Pay its debts as and when they fall due.
- Continue as a going concern for the foreseeable future.

We, therefore, confirm that the going concern basis is appropriate for the financial report.

### **Events after Balance Sheet Date**

We are not aware of any events that have occurred between the financial reporting date to the date of this letter that we need to disclose or recognise in the financial report.

### **Comparative Information**

We confirm that there have been no restatements made to correct a material misstatement in the prior period financial report that affects the comparative information.

### **Fraud and Error**

- (a) There has been no:
- (i) Fraud, error, or non-compliance with laws and regulations involving management or employees who have a significant role in the internal control structure.
  - (ii) Fraud, error, or non-compliance with laws and regulations that could have a material effect on the financial report.
  - (iii) Communication from regulatory agencies concerning non-compliance with, or deficiencies in, financial reporting practices that could have a material effect on the financial report.
- (b) The superannuation fund has disclosed to the auditor all significant facts relating to any frauds or suspected frauds known to management that may have affected the superannuation fund.
- (c) The superannuation fund has disclosed to the auditor the results of its assessment of the risk that the financial report may be materially misstated as a result of fraud.

### **Legal Matters**

We confirm that all matters that may result in legal action against the fund or the Trustees in respect of the fund, have been discussed with a solicitor and brought to the attention of the auditor so that a solicitor's representation letter may be obtained.

### **General**

- (a) Neither the superannuation fund nor any Trustees have any plans or intentions that may materially affect the book value or classification of assets and liabilities at balance sheet date.
- (b) The superannuation fund accepts responsibility for the implementation and operations of accounting and internal control systems that are designed to prevent and detect fraud and error. We have established and maintained adequate internal control to facilitate the preparation of a reliable financial report, and adequate financial records have been maintained. There are no material transactions that have not been properly recorded in the accounting records underlying the financial report.

# RETURN TO HFB GROUP

## How to complete this section

- Calculate your GST on sales (1A) and GST on purchases (1B) for the period shown on the front of this form using information from your accounts or by using the GST calculation sheet. Complete 1A & 1B
- If you have a wine equalisation tax obligation, complete 1C & 1D (if appropriate)
- If you have a luxury car tax obligation, complete 1E & 1F (if appropriate)
- If you are a GST instalment payer, add up the amounts at G21 (or G23 if you varied your instalment amount) on your activity statement(s) for the period shown on the front of this form. Write the amount at 1H
- Calculate and complete 2A & 2B
- Complete the 'Payment or refund' section

## Summary

### Amounts you owe the ATO

GST on sales **1A** \$ 0

Wine equalisation tax **1C** \$

Luxury car tax **1E** \$

**1A + 1C + 1E 2A** \$ 0

### Amounts the ATO owes you

GST on purchases **1B** \$ 242

Wine equalisation tax refundable **1D** \$

Luxury car tax refundable **1F** \$

GST instalments (amounts reported at G21 or G23 in your BAS for the period shown on front) **1H** \$

**1B + 1D + 1F + 1H 2B** \$ 242

## Payment or refund?

Is 2A more than 2B?  
(indicate with X)

☐

Yes, then write the result of 2A minus 2B at 9. This amount is payable to the ATO.

☒

No, then write the result of 2B minus 2A at 9. This amount is refundable to you (or offset against any other tax debt you have).

### Your payment or refund amount

**9** \$ 242

❗ Do not use symbols such as +, -, /, \$

**Declaration** I declare that the information given on this form is true and correct, and that I am authorised to make this declaration. The tax invoice requirements have been met.

Signature

Date 30-09-22

**Return this completed form to**  
Locked Bag 1793  
Penrith NSW 1793

HRS

MINS

Estimate the time taken to complete this form. Include the time taken to collect any information.

❗ Taxation laws authorise the ATO to collect information including personal information about individuals who may complete this form. For information about privacy and personal information go to [ato.gov.au/privacy](http://ato.gov.au/privacy). Activity statement instructions are available from [ato.gov.au](http://ato.gov.au) or can be ordered by phoning 13 28 66.

**Warning:** This form has been designed to assist you to prepare the ATO's Business Activity Statement.  
This form cannot be lodged with the ATO.

### PAYMENT SLIP

ATO code 0000 0156 03

ABN

Amount paid

EFT code 372975642751660

Australian Taxation Office  
Locked Bag 1936  
ALBURY NSW 1936

**Warning:** This form has been designed to assist you to prepare the ATO's Business Activity Statement.  
This form cannot be lodged with the ATO.

## THE TRUSTEE FOR NEARY SUPERANNUATION FUND

663 BOSTON RD  
CHANDLER QLD 4155

## Annual GST return 2022

Revision number false

Document ID 47700856734

ABN 37297564275

Authorised contact person  
who completed the form

Contact phone number

When completing this form:

- print clearly using a black pen
- round down to whole dollars (do not show cents)
- if reporting a zero amount, print '0', (do not use NIL)
- leave boxes blank if not applicable (do not use N/A, NIL)
- do not report negative figures or use symbols such as +, -, /, \$.

### Why have you received this annual GST return?

You have chosen to pay GST **annually** or by **instalments**. Please complete this form to calculate and pay any amount you owe the ATO, or to calculate any amount the ATO owes you.

### When is this form due?

You **must** return this form (even if nil activity) and make any payment by 31/10/2022

### How to complete this form

- Complete the boxes (G1, G2, G3, G10 & G11) that apply to your business for the period shown above, using information from your accounts or by using the GST calculation sheet.
- Indicate whether the G1 amount includes GST by writing **X** in the appropriate box.

### Simpler BAS

Total sales  
(G1 requires 1A completed)

G1 \$ 0

Does the amount shown  
at G1 include GST?  
(Indicate with **X**)

☒

Yes

☐

No

## METHODS OF PAYMENT

### BPAY®

Contact your financial institution to make this payment from your cheque or savings account. You will need the details listed below.

**Billers Code:** 75556

**Reference:** 372975642751660

### Credit card

Payments can be made online or by phone, visit our website at [ato.gov.au/howtopay](https://ato.gov.au/howtopay) or phone **1300 898 089**. A card-payment fee applies.

### Other payment options

For more information about other payment options, you can:

- visit our website at [ato.gov.au/howtopay](https://ato.gov.au/howtopay)
- phone us on **1800 815 886**.

Payments cannot be made in person at any of our branches or shopfronts.

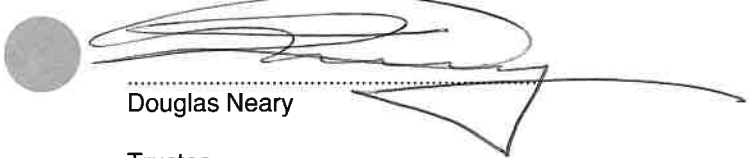
- (c) There are no violations or possible violations of laws or regulations whose effects should be considered for disclosure in the financial report or as a basis for recording an expense.
- (d) The superannuation fund has complied with all aspects of contractual agreements that would have a material effect on the financial report in the event of non-compliance.

We understand that your examination was made in accordance with the Australian Auditing Standards and was, therefore, designed primarily for the purpose of expressing an opinion on the financial report of the fund taken as a whole, and that your tests of the financial records and other auditing procedures were limited to those which you considered necessary for that purpose.

**Additional Matters**


There are no additional matters.

**Signed by the Trustee(s) of the NEARY SUPERANNUATION FUND**



Douglas Neary

Trustee



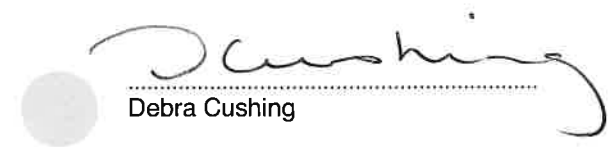
Mavis Neary

Trustee



Mark Neary

Trustee



Debra Cushing

Trustee





## BAS/IAS Lodgement Declaration

For the year ended 30 June 2022

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RETURN TO  
HFB GROUP

### Privacy

Taxation laws authorise the ATO to collect information including personal information about individuals who may complete this form. For information about privacy and personal information go to [ato.gov.au/privacy](https://ato.gov.au/privacy)


### Important

The tax law provides heavy penalties for false or misleading statements.

### Declaration

I declare that:

- All of the information I have provided to the agent for the preparation of this document is true and correct
- I authorise the agent to give this document to the Commissioner of Taxation.



Douglas Neary

Date: 30/09/2022



# Self-managed superannuation fund annual return 2022

## Who should complete this annual return?

Only self-managed superannuation funds (SMSFs) can complete this annual return. All other funds must complete the *Fund income tax return 2022* (NAT 71287).

- ❗ The *Self-managed superannuation fund annual return instructions 2022* (NAT 71606) (the instructions) can assist you to complete this annual return.
- ⊖ The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via ABR.gov.au or complete the Change of details for superannuation entities form (NAT 3036).

## To complete this annual return

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M I T H S T R

- Place **X** in ALL applicable boxes.

### ➤ Postal address for annual returns:

**Australian Taxation Office**  
GPO Box 9845  
[insert the name and postcode  
of your capital city]

For example;

**Australian Taxation Office**  
GPO Box 9845  
SYDNEY NSW 2001

## Section A: Fund information

### 1 Tax file number (TFN) Provided

➤ To assist processing, write the fund's TFN at the top of pages 3, 5, 7, 9 and 11.

- ❗ The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the Declaration.

### 2 Name of self-managed superannuation fund (SMSF)

NEARY SUPERANNUATION FUND

### 3 Australian business number (ABN) (if applicable) 37297564275

### 4 Current postal address

PO Box 24

Suburb/town

Cleveland

State/territory

QLD

Postcode

4163

### 5 Annual return status

Is this an amendment to the SMSF's 2022 return?

**A** No ☒ Yes ☐

Is this the first required return for a newly registered SMSF?

**B** No ☒ Yes ☐

Tax File Number **Provided**

**6 SMSF auditor**

Auditor's name

Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other

Family name

**Boys**

First given name

**Anthony**

Other given names

**William**

SMSF Auditor Number

**100014140**

Auditor's phone number

**61410712708**

Postal address

**PO Box 3376**

Suburb/town

**Rundle Mall**

State/territory

**SA**

Postcode

**5000**

Date audit was completed **A** **30** / **08** / **2022**

Was Part A of the audit report qualified?

**B** No ☒ Yes ☐

Was Part B of the audit report qualified?

**C** No ☒ Yes ☐

If Part B of the audit report was qualified, have the reported issues been rectified?

**D** No ☐ Yes ☐

**7 Electronic funds transfer (EFT)**

We need your self-managed super fund's financial institution details to pay any super payments and tax refunds owing to you.

**A Fund's financial institution account details**

This account is used for super contributions and rollovers. Do not provide a tax agent account here.

Fund BSB number **064000**

Fund account number **10177785**

Fund account name

**NEARY SUPERANNUATION FUND**

I would like my tax refunds made to this account. ☒ Go to C.

**B Financial Institution account details for tax refunds**

This account is used for tax refunds. You can provide a tax agent account here.

BSB number

Account number

Account name

**C Electronic service address alias**

Provide the electronic service address alias (ESA) issued by your SMSF messaging provider.  
(For example, SMSFdataESAAlias). See instructions for more information.

**AUSPOSTSMSF**

Tax File Number **Provided**

- 8 Status of SMSF** Australian superannuation fund **A** No ☐ Yes ☒ Fund benefit structure **B** **A** Code
- Does the fund trust deed allow acceptance of the Government's Super Co-contribution and Low Income Super Amounts? **C** No ☐ Yes ☒

**9 Was the fund wound up during the income year?**

No ☒ Yes ☐ If yes, provide the date on which the fund was wound up  Day /  Month /  Year Have all tax lodgment and payment obligations been met? No ☐ Yes ☐

**10 Exempt current pension income**

Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

☒ To claim a tax exemption for current pension income, you must pay at least the minimum benefit payment under the law. Record exempt current pension income at Label **A**.

No ☐ Go to Section B: Income.

Yes ☒ Exempt current pension income amount **A** \$ **37,753**

Which method did you use to calculate your exempt current pension income?

Segregated assets method **B** ☐

Unsegregated assets method **C** ☒ Was an actuarial certificate obtained? **D** Yes ☒

Did the fund have any other income that was assessable?

**E** Yes ☒ Go to Section B: Income.

No ☐ Choosing 'No' means that you do not have any assessable income, including no-TFN quoted contributions. Go to Section C: Deductions and non-deductible expenses. (Do **not** complete Section B: Income.)

☒ If you are entitled to claim any tax offsets, you can list these at Section D: Income tax calculation statement.

**Section B: Income**

**Do not complete this section** if all superannuation interests in the SMSF were supporting superannuation income streams in the retirement phase for the **entire year**, there was **no** other income that was assessable, and you **have not** realised a deferred notional gain. If you are entitled to claim any tax offsets, you can record these at Section D: Income tax calculation statement.

**11 Income**

Did you have a capital gains tax (CGT) event during the year?

**G** No ☐ Yes ☒

If the total capital loss or total capital gain is greater than \$10,000 or you elected to use the transitional CGT relief in 2017 and the deferred notional gain has been realised, complete and attach a *Capital gains tax (CGT) schedule 2022*.

Have you applied an exemption or rollover?

**M** No ☒ Yes ☐

Code

☐

Net capital gain **A** \$ 40,177

Gross rent and other leasing and hiring income **B** \$

Gross interest **C** \$ 4,078

Forestry managed investment scheme income **X** \$

Gross foreign income		Loss	
<b>D1</b> \$ <span style="border: 1px solid black; padding: 2px;"></span>	Net foreign income <b>D</b> \$ <span style="border: 1px solid black; padding: 2px;"></span>		<input type="checkbox"/>

Australian franking credits from a New Zealand company

**E** \$

Transfers from foreign funds

**F** \$

Number

0

Gross payments where ABN not quoted

**H** \$

Loss

☐

Gross distribution from partnerships

**I** \$

\*Unfranked dividend amount

**J** \$

\*Franked dividend amount

**K** \$ 5,730

\*Dividend franking credit

**L** \$ 2,455

\*Gross trust distributions

**M** \$

Code

☐

**Calculation of assessable contributions**

Assessable employer contributions

**R1** \$ 12,123

plus Assessable personal contributions

**R2** \$

plus \*\*No-TFN-quoted contributions

**R3** \$ 0

(an amount must be included even if it is zero)

less Transfer of liability to life insurance company or PST

**R6** \$

**Assessable contributions**  
(**R1** plus **R2**  
plus **R3** less **R6**)

**R** \$ 12,123

**Calculation of non-arm's length income**

\*Net non-arm's length private company dividends

**U1** \$

plus \*Net non-arm's length trust distributions

**U2** \$

plus \*Net other non-arm's length income

**U3** \$

\*Other income

**S** \$

Code

☐

\*Assessable income due to changed tax status of fund

**T** \$

**Net non-arm's length income**  
(subject to 45% tax rate)  
(**U1** plus **U2** plus **U3**)

**U** \$

\*This is a mandatory label.

\*If an amount is entered at this label, check the instructions to ensure the correct tax treatment has been applied.

**GROSS INCOME**  
(Sum of labels **A** to **U**)

**W** \$ 64,563

Loss

☐

Exempt current pension income

**Y** \$ 37,753

**TOTAL ASSESSABLE INCOME**  
(**W** less **Y**)

**V** \$ 26,810

Loss

☐

**Section C: Deductions and non-deductible expenses****12 Deductions and non-deductible expenses**

- Under 'Deductions' list all expenses and allowances you are entitled to claim a deduction for. Under 'Non-deductible expenses', list all other expenses or normally allowable deductions that you cannot claim as a deduction (for example, all expenses related to exempt current pension income should be recorded in the 'Non-deductible expenses' column).

DEDUCTIONS		NON-DEDUCTIBLE EXPENSES	
Interest expenses within Australia	<b>A1</b> \$		<b>A2</b> \$
Interest expenses overseas	<b>B1</b> \$		<b>B2</b> \$
Capital works expenditure	<b>D1</b> \$		<b>D2</b> \$
Decline in value of depreciating assets	<b>E1</b> \$		<b>E2</b> \$
Insurance premiums – members	<b>F1</b> \$ 3,480		<b>F2</b> \$
SMSF auditor fee	<b>H1</b> \$ 388		<b>H2</b> \$ 546
Investment expenses	<b>I1</b> \$		<b>I2</b> \$
Management and administration expenses	<b>J1</b> \$ 1,543		<b>J2</b> \$ 1,809
Forestry managed investment scheme expense	<b>U1</b> \$		<b>U2</b> \$
Other amounts	<b>L1</b> \$	Code <input type="checkbox"/>	<b>L2</b> \$ 1,193
Tax losses deducted	<b>M1</b> \$		

**TOTAL DEDUCTIONS**

**N** \$ 5,411  
(Total **A1** to **M1**)

**TOTAL NON-DEDUCTIBLE EXPENSES**

**Y** \$ 3,548  
(Total **A2** to **L2**)

**\*TAXABLE INCOME OR LOSS**

**O** \$ 21,399 ☐ Loss  
(**TOTAL ASSESSABLE INCOME** less **TOTAL DEDUCTIONS**)

**TOTAL SMSF EXPENSES**

**Z** \$ 8,959  
(**N** plus **Y**)

\*This is a mandatory label.

**Section D: Income tax calculation statement****\*Important:**

Section B label **R3**, Section C label **O** and Section D labels **A, T1, J, T5** and **I** are mandatory. If you leave these labels blank, you will have specified a zero amount.

**13 Calculation statement**

Please refer to the  
*Self-managed superannuation  
fund annual return instructions  
2022* on how to complete the  
calculation statement.

\*Taxable income **A** \$   
(an amount must be included even if it is zero)

\*Tax on taxable income **T1** \$   
(an amount must be included even if it is zero)

\*Tax on no-TFN-quoted contributions **J** \$   
(an amount must be included even if it is zero)

Gross tax **B** \$   
(**T1** plus **J**)

Foreign income tax offset <b>C1</b> \$ <input type="text"/>	Non-refundable non-carry forward tax offsets <b>C</b> \$ <input type="text"/> ( <b>C1</b> plus <b>C2</b> )
Rebates and tax offsets <b>C2</b> \$ <input type="text"/>	

**SUBTOTAL 1**

**T2** \$   
(**B** less **C** – cannot be less than zero)

Early stage venture capital limited partnership tax offset <b>D1</b> \$ <input type="text" value="0.00"/>	Non-refundable carry forward tax offsets <b>D</b> \$ <input type="text" value="0.00"/> ( <b>D1</b> plus <b>D2</b> plus <b>D3</b> plus <b>D4</b> )
Early stage venture capital limited partnership tax offset carried forward from previous year <b>D2</b> \$ <input type="text" value="0.00"/>	
Early stage investor tax offset <b>D3</b> \$ <input type="text" value="0.00"/>	
Early stage investor tax offset carried forward from previous year <b>D4</b> \$ <input type="text" value="0.00"/>	

**SUBTOTAL 2**

**T3** \$   
(**T2** less **D** – cannot be less than zero)

Complying fund's franking credits tax offset <b>E1</b> \$ <input type="text" value="2,455.85"/>	Refundable tax offsets <b>E</b> \$ <input type="text" value="2,455.85"/> ( <b>E1</b> plus <b>E2</b> plus <b>E3</b> plus <b>E4</b> )
No-TFN tax offset <b>E2</b> \$ <input type="text"/>	
National rental affordability scheme tax offset <b>E3</b> \$ <input type="text"/>	
Exploration credit tax offset <b>E4</b> \$ <input type="text" value="0.00"/>	

\*TAX PAYABLE **T5** \$   
(**T3** less **E** – cannot be less than zero)

## Section 102AAM interest charge

**G** \$



Tax File Number

<b>H1</b>	\$	<input type="text"/>	Credit for interest on early payments – amount of interest
<b>H2</b>	\$	<input type="text"/>	Credit for tax withheld – foreign resident withholding (excluding capital gains)
<b>H3</b>	\$	<input type="text" value="704.03"/>	Credit for tax withheld – where ABN or TFN not quoted (non-individual)
<b>H5</b>	\$	<input type="text" value="0.00"/>	Credit for TFN amounts withheld from payments from closely held trusts
<b>H6</b>	\$	<input type="text"/>	Credit for interest on no-TFN tax offset
<b>H8</b>	\$	<input type="text" value="0.00"/>	Credit for foreign resident capital gains withholding amounts
<b>Eligible credits</b>			
<b>H</b>	\$	<input type="text" value="704.03"/>	(H1 plus H2 plus H3 plus H5 plus H6 plus H8)

**\*Tax offset refunds** **I** \$   
(Remainder of refundable tax offsets)  
*(unused amount from label E – an amount must be included even if it is zero)*

PAYG instalments raised

**K** \$

Supervisory levy

**L** \$

Supervisory levy adjustment for wound up funds

**M** \$

Supervisory levy adjustment for new funds

**N** \$

**AMOUNT DUE OR REFUNDABLE**  
A positive amount at **S** is what you owe, while a negative amount is refundable to you.

**S** \$   
*(T5 plus G less H less I less K plus L less M plus N)*

*\*This is a mandatory label.*

## Section E: Losses

### 14 Losses

**!** If total loss is greater than \$100,000, complete and attach a *Losses schedule 2022*.

Tax losses carried forward to later income years **U** \$   
Net capital losses carried forward to later income years **V** \$

Tax File Number **Provided**Section F: **Member information****MEMBER 1**Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other 

Family name

**Neary**

First given name

**Douglas**Other given names 

Member's TFN

See the Privacy note in the Declaration. **Provided**

Date of birth

Day Month Year

**Provided****Contributions**OPENING ACCOUNT BALANCE \$ **833,173.58****i** Refer to instructions for completing these labels.

Employer contributions

**A** \$ 

ABN of principal employer

**A1** 

Personal contributions

**B** \$ 

CGT small business retirement exemption

**C** \$ 

CGT small business 15-year exemption amount

**D** \$ 

Personal injury election

**E** \$ 

Spouse and child contributions

**F** \$ 

Other third party contributions

**G** \$ 

Proceeds from primary residence disposal

**H** \$ 

Day Month Year

**H1** Receipt date  /  / 

Assessable foreign superannuation fund amount

**I** \$ 

Non-assessable foreign superannuation fund amount

**J** \$ 

Transfer from reserve: assessable amount

**K** \$ 

Transfer from reserve: non-assessable amount

**L** \$ Contributions from non-complying funds  
and previously non-complying funds**T** \$ Any other contributions  
(including Super Co-contributions  
and Low Income Super Amounts)**M** \$ **TOTAL CONTRIBUTIONS****N** \$ (Sum of labels **A** to **M**)**Other transactions**

Accumulation phase account balance

**S1** \$  0.00Retirement phase account balance  
– Non CDBIS**S2** \$  791,759.11Retirement phase account balance  
– CDBIS**S3** \$  0.00

Allocated earnings or losses

**O** \$  16,584.47

Loss

**L**

Inward rollovers and transfers

**P** \$ 

Outward rollovers and transfers

**Q** \$ 

Lump Sum payments

**R1** \$  4,000.00

Code

**A**

Income stream payments

**R2** \$  20,830.00

Code

**M****0** TRIS Count**CLOSING ACCOUNT BALANCE****S** \$  791,759.11

(S1 plus S2 plus S3)

Accumulation phase value **X1** \$ Retirement phase value **X2** \$ Outstanding limited recourse  
borrowing arrangement amount**Y** \$

Tax File Number **Provided**

**MEMBER 2**

Title: Mr ☐ Mrs ☒ Miss ☐ Ms ☐ Other

Family name

**Neary**

First given name

**Mavis**

Other given names

**Joan**

Member's TFN **Provided**

See the Privacy note in the Declaration.

Date of birth **Provided**

**Contributions**

OPENING ACCOUNT BALANCE \$ **639,198.04**

❗ Refer to instructions for completing these labels.

Employer contributions  
**A \$**

ABN of principal employer  
**A1**

Personal contributions  
**B \$**

CGT small business retirement exemption  
**C \$**

CGT small business 15-year exemption amount  
**D \$**

Personal injury election  
**E \$**

Spouse and child contributions  
**F \$**

Other third party contributions  
**G \$**

Proceeds from primary residence disposal  
**H \$**

**H1** Receipt date  /  /

Assessable foreign superannuation fund amount  
**I \$**

Non-assessable foreign superannuation fund amount  
**J \$**

Transfer from reserve: assessable amount  
**K \$**

Transfer from reserve: non-assessable amount  
**L \$**

Contributions from non-complying funds  
and previously non-complying funds  
**T \$**

Any other contributions  
(including Super Co-contributions  
and Low Income Super Amounts)  
**M \$**

**TOTAL CONTRIBUTIONS N \$**   
(Sum of labels **A** to **M**)

**Other transactions**

Accumulation phase account balance  
**S1 \$**  **0.00**

Retirement phase account balance  
- Non CDBIS  
**S2 \$**  **508,841.64**

Retirement phase account balance  
- CDBIS  
**S3 \$**  **0.00**

**0** TRIS Count

**CLOSING ACCOUNT BALANCE S \$**  **508,841.64**  
(**S1** plus **S2** plus **S3**)

Allocated earnings or losses  
**O \$**  **11,869.20** **L**

Inward rollovers and transfers  
**P \$**

Outward rollovers and transfers  
**Q \$**

Lump Sum payments  
**R1 \$**  **102,507.20** **A**

Income stream payments  
**R2 \$**  **15,980.00** **M**

Accumulation phase value **X1 \$**

Retirement phase value **X2 \$**

Outstanding limited recourse  
borrowing arrangement amount **Y \$**

Tax File Number **Provided**

**MEMBER 3**

Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other

Family name

**Neary**

First given name

**Mark**

Other given names

**Adam**

Member's TFN

See the Privacy note in the Declaration.

**Provided**

Date of birth

Day Month Year  
**Provided**

**Contributions**

OPENING ACCOUNT BALANCE \$ **362,723.44**

**1** Refer to instructions for completing these labels.

Employer contributions

**A** \$ **12,123.99**

ABN of principal employer

**A1**

Personal contributions

**B** \$

CGT small business retirement exemption

**C** \$

CGT small business 15-year exemption amount

**D** \$

Personal injury election

**E** \$

Spouse and child contributions

**F** \$

Other third party contributions

**G** \$

Proceeds from primary residence disposal

**H** \$

Day Month Year

**H1** Receipt date  /  /

Assessable foreign superannuation fund amount

**I** \$

Non-assessable foreign superannuation fund amount

**J** \$

Transfer from reserve: assessable amount

**K** \$

Transfer from reserve: non-assessable amount

**L** \$

Contributions from non-complying funds and previously non-complying funds

**T** \$

Any other contributions (including Super Co-contributions and Low Income Super Amounts)

**M** \$

**TOTAL CONTRIBUTIONS N** \$ **12,123.99**

(Sum of labels **A** to **M**)

**Other transactions**

Accumulation phase account balance

**S1** \$ **362,283.92**

Retirement phase account balance - Non CDBIS

**S2** \$ **0.00**

Retirement phase account balance - CDBIS

**S3** \$ **0.00**

TRIS Count

**CLOSING ACCOUNT BALANCE S** \$ **362,283.92**

(**S1** plus **S2** plus **S3**)

Allocated earnings or losses

**O** \$ **12,563.51**

Loss

Inward rollovers and transfers

**P** \$

Outward rollovers and transfers

**Q** \$

Lump Sum payments

**R1** \$

Code

Income stream payments

**R2** \$

Code

Accumulation phase value **X1** \$

Retirement phase value **X2** \$

Outstanding limited recourse borrowing arrangement amount **Y** \$

Tax File Number **Provided**

**MEMBER 4**

Title: Mr ☐ Mrs ☒ Miss ☐ Ms ☐ Other

Family name

**Cushing**

First given name

**Debra**

Other given names

**Faye**

Member's TFN

See the Privacy note in the Declaration.

**Provided**

Date of birth

Day Month Year

**Provided**

**Contributions**

OPENING ACCOUNT BALANCE \$ **175,723.81**

**!** Refer to instructions for completing these labels.

Employer contributions  
**A \$**

ABN of principal employer  
**A1**

Personal contributions  
**B \$**

CGT small business retirement exemption  
**C \$**

CGT small business 15-year exemption amount  
**D \$**

Personal injury election  
**E \$**

Spouse and child contributions  
**F \$**

Other third party contributions  
**G \$**

Proceeds from primary residence disposal

**H \$**

**H1** Receipt date  /  /

Assessable foreign superannuation fund amount  
**I \$**

Non-assessable foreign superannuation fund amount  
**J \$**

Transfer from reserve: assessable amount  
**K \$**

Transfer from reserve: non-assessable amount  
**L \$**

Contributions from non-complying funds  
and previously non-complying funds  
**T \$**

Any other contributions  
(including Super Co-contributions  
and Low Income Super Amounts)  
**M \$**

**TOTAL CONTRIBUTIONS N \$**

(Sum of labels **A** to **M**)

**Other transactions**

Accumulation phase account balance  
**S1 \$**  **170,525.83**

Retirement phase account balance  
- Non CDBIS  
**S2 \$**  **0.00**

Retirement phase account balance  
- CDBIS  
**S3 \$**  **0.00**

TRIS Count

**CLOSING ACCOUNT BALANCE S \$**  **170,525.83**

(**S1** plus **S2** plus **S3**)

Allocated earnings or losses  
**O \$**  **5,197.98**

Inward rollovers and transfers  
**P \$**

Outward rollovers and transfers  
**Q \$**

Lump Sum payments  
**R1 \$**

Income stream payments  
**R2 \$**

Loss

**L**

Code

Code

Accumulation phase value **X1 \$**

Retirement phase value **X2 \$**

Outstanding limited recourse  
borrowing arrangement amount **Y \$**

Section H: **Assets and liabilities****15 ASSETS****15a Australian managed investments**Listed trusts **A** \$ Unlisted trusts **B** \$ Insurance policy **C** \$ Other managed investments **D** \$ **15b Australian direct investments**Cash and term deposits **E** \$1,704,042**Limited recourse borrowing arrangements**

Australian residential real property

**J1** \$

Australian non-residential real property

**J2** \$

Overseas real property

**J3** \$

Australian shares

**J4** \$

Overseas shares

**J5** \$

Other

**J6** \$

Property count

**J7**Debt securities **F** \$ Loans **G** \$ Listed shares **H** \$129,175Unlisted shares **I** \$ Limited recourse  
borrowing arrangements **J** \$ Non-residential  
real property **K** \$ Residential  
real property **L** \$ Collectables and  
personal use assets **M** \$ Other assets **O** \$1,585**15c Other investments**Crypto-Currency **N** \$ **15d Overseas direct investments**Overseas shares **P** \$ Overseas non-residential real property **Q** \$ Overseas residential real property **R** \$ Overseas managed investments **S** \$ Other overseas assets **T** \$ **TOTAL AUSTRALIAN AND OVERSEAS ASSETS U** \$1,834,802  
(Sum of labels **A** to **T**)**15e In-house assets**Did the fund have a loan to, lease to  
or investment in, related parties  
(known as in-house assets)  
at the end of the income year?**A** No ☒Yes ☐\$

Tax File Number Provided

**15f Limited recourse borrowing arrangements**

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution?

**A** No ☐ Yes ☐

Did the members or related parties of the fund use personal guarantees or other security for the LRBA?

**B** No ☐ Yes ☐

**16 LIABILITIES**

Borrowings for limited recourse borrowing arrangements	
<b>V1</b> \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	
Permissible temporary borrowings	
<b>V2</b> \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	
Other borrowings	
<b>V3</b> \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	
Borrowings <b>V</b> \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	

Total member closing account balances (total of all <b>CLOSING ACCOUNT BALANCES</b> from Sections F and G)	<b>W</b> \$ <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> 1,833,410
Reserve accounts	<b>X</b> \$ <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>
Other liabilities	<b>Y</b> \$ <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> 1,392
<b>TOTAL LIABILITIES</b>	<b>Z</b> \$ <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> 1,834,802

**Section I: Taxation of financial arrangements**

**17 Taxation of financial arrangements (TOFA)**

Total TOFA gains **H** \$

Total TOFA losses **I** \$

**Section J: Other information**

**Family trust election status**

If the trust or fund has made, or is making, a family trust election, write the four-digit **income year specified** of the election (for example, for the 2021–22 income year, write **2022**).

**A**

If revoking or varying a family trust election, print **R** for revoke or print **V** for variation, and complete and attach the *Family trust election, revocation or variation 2022*.

**B** ☐

**Interposed entity election status**

If the trust or fund has an existing election, write the earliest income year specified. If the trust or fund is making one or more elections this year, write the earliest income year being specified and complete an *Interposed entity election or revocation 2022* for each election.

**C**

If revoking an interposed entity election, print **R**, and complete and attach the *Interposed entity election or revocation 2022*.

**D** ☐

Tax File Number **Provided****Section K: Declarations**

Penalties may be imposed for false or misleading information in addition to penalties relating to any tax shortfalls.

**Important**

Before making this declaration check to ensure that all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you leave labels blank, you will have specified a zero amount or the label was not applicable to you. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

**Privacy**


The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However if you do not provide the TFN, the processing of this form may be delayed.

Taxation law authorises the ATO to collect information and disclose it to other government agencies. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**TRUSTEE'S OR DIRECTOR'S DECLARATION:**

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature

 Date **30** / **09** / **2022**

**Preferred trustee or director contact details:**

Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other ☐

Family name

**Neary**

First given name

**Douglas**

Other given names

Phone number **07 3286 1322**

Email address

Non-individual trustee name (if applicable)

ABN of non-individual trustee

Time taken to prepare and complete this annual return  Hrs

The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this annual return to maintain the integrity of the register. For further information, refer to the instructions.

**TAX AGENT'S DECLARATION:**

I declare that the *Self-managed superannuation fund annual return 2022* has been prepared in accordance with information provided by the trustees, that the trustees have given me a declaration stating that the information provided to me is true and correct, and that the trustees have authorised me to lodge this annual return.

Tax agent's signature

 Date  /  /

**Tax agent's contact details**

Title: Mr ☐ Mrs ☒ Miss ☐ Ms ☐ Other ☐

Family name

**Sherman**

First given name

**Shona**

Other given names

**Lorraine**

Tax agent's practice

**HFB Super Pty Ltd**

Tax agent's phone number

**07 3286 1322**

Reference number

**NEAD**

Tax agent number

**24805931**



# Capital gains tax (CGT) schedule

# 2022

## When completing this form

- Print clearly, using a black or dark blue pen only.
- Use BLOCK LETTERS and print one character in each box.

S H / 7 H S T

- Do not use correction fluid or covering stickers.
- Sign next to any corrections with your **full signature** (not initials).

- Use in conjunction with company, trust, fund income tax return or the self-managed superannuation fund annual return.
- Refer to the *Guide to capital gains tax 2022* available on our website at [ato.gov.au](http://ato.gov.au) for instructions on how to complete this schedule.

**Tax file number (TFN)** Provided

- ! We are authorised by law to request your TFN. You do not have to quote your TFN. However, if you don't it could increase the chance of delay or error in processing your form.

**Australian business number (ABN)** 37297564275

**Taxpayer's name**

NEARY SUPERANNUATION FUND

## 1 Current year capital gains and capital losses

	Capital gain	Capital loss
Shares in companies listed on an Australian securities exchange	<b>A \$</b> <span style="border: 1px solid black; padding: 2px;">42,285</span>	<b>K \$</b> <span style="border: 1px solid black; padding: 2px;">2,108</span>
Other shares	<b>B \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>L \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Units in unit trusts listed on an Australian securities exchange	<b>C \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>M \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Other units	<b>D \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>N \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Real estate situated in Australia	<b>E \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>O \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Other real estate	<b>F \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>P \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Amount of capital gains from a trust (including a managed fund)	<b>G \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	
Collectables	<b>H \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>Q \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Other CGT assets and any other CGT events	<b>I \$</b> <span style="border: 1px solid black; padding: 2px;"></span>	<b>R \$</b> <span style="border: 1px solid black; padding: 2px;"></span>
Amount of capital gain previously deferred under transitional CGT relief for superannuation funds	<b>S \$</b> <span style="border: 1px solid black; padding: 2px;">0</span>	
<b>Total current year capital gains</b>	<b>J \$</b> <span style="border: 1px solid black; padding: 2px;">42,285</span>	

Add the amounts at labels **K** to **R** and write the total in item 2 label **A – Total current year capital losses**.

Tax File Number Provided**2 Capital losses**Total current year capital losses **A \$** 2,108Total current year capital losses applied **B \$** 2,108Total prior year net capital losses applied **C \$** Total capital losses transferred in applied  
(only for transfers involving a foreign bank branch or  
permanent establishment of a foreign financial entity) **D \$** Total capital losses applied **E \$** 2,108

Add amounts at B, C and D.

**3 Unapplied net capital losses carried forward**Net capital losses from collectables carried forward to later income years **A \$** Other net capital losses carried forward to later income years **B \$** Add amounts at A and B and transfer the total  
to label V – Net capital losses carried forward  
to later income years on your tax return.**4 CGT discount**Total CGT discount applied **A \$** **5 CGT concessions for small business**Small business active asset reduction **A \$** Small business retirement exemption **B \$** Small business rollover **C \$** Total small business concessions applied **D \$** **6 Net capital gain**Net capital gain **A \$** 40,1771J less 2E less 4A less 5D (cannot be less than  
zero). Transfer the amount at A to label A – Net  
capital gain on your tax return.

Tax File Number

Provided

**7 Earnout arrangements**

Are you a party to an earnout arrangement? **A** Yes, as a buyer ☐ Yes, as a seller ☐ No ☐  
 (Print ☐ in the appropriate box.)

**!** If you are a party to more than one earnout arrangement, copy and attach a separate sheet to this schedule providing the details requested here for each additional earnout arrangement.

How many years does the earnout arrangement run for? **B**

What year of that arrangement are you in? **C**

If you are the seller, what is the total estimated capital proceeds from the earnout arrangement? **D** \$

Amount of any capital gain or loss you made under your non-qualifying arrangement in the income year. **E** \$  /  <sup>LOSS</sup>

**! Request for amendment**

If you received or provided a financial benefit under a look-through earnout right created in an earlier income year and you wish to seek an amendment to that earlier income year, complete the following:

Income year earnout right created **F**

Amended net capital gain or capital losses carried forward **G** \$  /  <sup>LOSS</sup>

**8 Other CGT information required (if applicable)**

Small business 15 year exemption – exempt capital gains **A** \$  /  <sup>CODE</sup>

Capital gains disregarded by a foreign resident **B** \$

Capital gains disregarded as a result of a scrip for scrip rollover **C** \$

Capital gains disregarded as a result of an inter-company asset rollover **D** \$

Capital gains disregarded by a demerging entity **E** \$

Tax File Number Provided**Taxpayer's declaration**

**!** If the schedule is not lodged with the income tax return you are required to sign and date the schedule.

**Important**

Before making this declaration check to ensure that all the information required has been provided on this form and any attachments to this form, and that the information provided is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the ATO. The income tax law imposes heavy penalties for false or misleading statements.

**Privacy**

Taxation law authorises the ATO to collect information and disclose it to other government agencies. This includes personal information of the person authorised to sign the declaration. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

*I declare that the information on this form is true and correct.*

Signature



Date

Day	Month	Year
30	09	2022

Contact name

Douglas Neary

Daytime contact number (include area code)

07 3286 1322

## Electronic Lodgment Declaration (SMSF)

This declaration is to be completed where the tax return is to be lodged via an approved ATO electronic channel.

It is the responsibility of the taxpayer to retain this declaration for a period of five years after the declaration is made, penalties may apply for failure to do so.

### Privacy

The ATO is authorised by the Taxation Administration Act 1953 to request the provision of tax file numbers (TFNs). The ATO will use the TFNs to identify each partner or beneficiary or entity in our records. It is not an offence not to provide the TFNs. However, you cannot lodge your tax return electronically if you do not quote your TFN.

Taxation law authorises the ATO to collect information and disclose it to other government agencies, including personal information about the person authorised to sign the declaration. For information about privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

### The Australian Business Register

The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this tax return to maintain the integrity of the register.

Please refer to the privacy statement on the Australian Business Register (ABR) website ([www.abr.gov.au](http://www.abr.gov.au)) for further information - it outlines our commitment to safeguarding your details.

### Electronic funds transfer - direct debit

Where you have requested an EFT direct debit some of your details will be provided to your financial institution and the Tax Office's sponsor bank to facilitate the payment of your taxation liability from your nominated account.

Tax File Number	Name of Fund	Year
Provided	NEARY SUPERANNUATION FUND	2022

I authorise my tax agent to electronically transmit this tax return via an approved ATO electronic channel.

### Important

Before making this declaration please check to ensure that all income has been disclosed and the tax return is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the Tax Office.

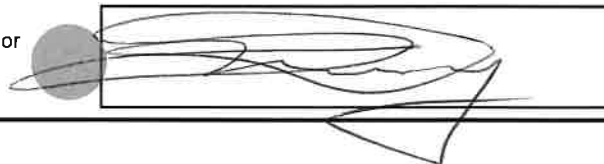
The tax law provides heavy penalties for false or misleading statements on tax returns.

### Declaration:

I declare that:

- All the information provided to the agent for the preparation of this tax return, including any applicable schedules is true and correct; and
- I authorise the agent to lodge this tax return.

Signature of Partner, Trustee, or Director



Date

30/09/2022

## ELECTRONIC FUNDS TRANSFER CONSENT

This declaration is to be completed when an electronic funds transfer (EFT) of a refund is requested and the tax return is being lodged through an approved ATO electronic channel.

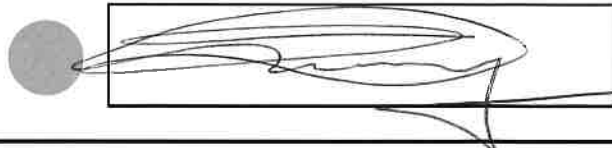
This declaration must be signed by the partner, trustee, director or public officer prior to the EFT details being transmitted to the Tax Office. If you elect for an EFT, all details below must be completed.

**Important:** Care should be taken when completing EFT details as the payment of any refund will be made to the account specified.

Account Name	NEARY SUPERANNUATION FUND	
Account Number	064000 10177785	Client Reference
		NEAD

I authorise the refund to be deposited directly to the specified account

Signature



Date

30/09/2022

## Tax Agent's Declaration

**I declare that:**

- I have prepared this tax return in accordance with the information supplied by the partner, trustee, director or public officer
- I have received a declaration made by the entity that the information provided to me for the preparation of this tax return is true and correct; and
- I am authorised by the partner, trustee, director or public officer to lodge this tax return, including any applicable schedules.

**Agent's signature**

**Date**

**Contact name**

Shona Sherman

**Client Reference**

NEAD

**Agent's Phone Number**

07 3286 1322

**Tax Agent Number**

24805931

---

## **Neary Superannuation Fund**

### **Projected Investment Strategy**

For the year ended 30 June 2023

---

#### **Background**

The Trustees of the Fund have devised this Investment strategy for the Fund considering the objective of the Fund and in line with the governing rules of the Fund and superannuation law. Through this investment strategy the Trustees are seeking to maximise the superannuation benefits for all its members of the Fund having regard to risk and reward of each type of investment.

Among other things, the Trustees have considered and determined that the Fund's current asset mix of assets are appropriate for its members for the financial year. Trustees have given regard to the members age and their in-ability to withdraw from the Fund their superannuation interests for retirement purposes in devising a strategy for the next financial year.

There are four members in the Fund.

- Douglas Neary is 75 years of age and has retired. His member balance as at 30 June 2022 was \$791,759.11
- Mavis Neary is 70 years of age and has retired. Her member balance as at 30 June 2022 was \$508,841.64
- Mark Neary is 50 years of age and is eligible for retirement in 10 years. His member balance as at 30 June 2022 was \$362,283.92
- Debra Cushing is 47 years of age and is eligible for retirement in 13 years. Her member balance as at 30 June 2022 was \$170,525.83

#### **Investment Objective**

To maximise returns and minimise the risks of investment of the Fund's assets in managing and providing superannuation benefits to members and their dependants in meeting their retirement needs.

To ensure all investments are consistent with the governing rules of the Fund and appropriate Superannuation Legislations and the Fund's investment strategy.

The Fund currently has invested in Australian term deposits and Australian listed securities in order to earn regular interest and dividend income.

#### **Risk & Return on Investments**

Each investment decision will be made with due regard to the associated, risk and expected return. With this in mind, the Trustees will, from time to time, seek professional investment advice in order to assist in the process of determining what investments are suitable for the Fund and also taking into account the concerns of the members.

The overall strategy is to maximise the returns of the Fund's investments and minimise the exposure of risk from any particular investment, the Fund will try to maintain a diversified portfolio.

In making a decision on the types of investments made by the Fund, the Trustees have consulted with the members in this regard. They have taken into account the members overall risk profile and their concerns about various investment opportunities.





After discussions with the Members of the Fund, the Trustees have determined that they will invest most of the Fund in Australian term deposits and listed securities and keep some cash for unforeseen circumstances and future investment opportunities.

#### Liquidity of Fund's Assets

Each investment decision will be made with due regard to the cash flow requirements of the Fund. The Trustees will consider the liabilities (current and prospective) of the Fund and ensure adequate cash is maintained by the Fund to meet its liabilities as and when they fall due.

The Fund's liquidity needs will be considered at the time of each investment and also during the regular review of the Fund's portfolio and investment strategy.

The Trustees have considered and determined that the current investment provides the Fund with all the necessary expenses of the Fund for the next year.

The Trustees are aware that once Mark and Debra reach pension age, are temporarily or permanently disabled or they will need to withdraw from the Fund due to compassionate or other grounds, the Fund will need higher cash flows.

The Trustees are aware that Doug and Mavis have retired and currently have account-based pensions. In the current economic environment, income from the assets are sufficient to meet the minimum pension requirement.

#### Asset Class

The Fund's asset classes as at the beginning of the year (1st July 2021) and as close of the year (30th June 2022) are as below with the Trustees asset allocation for the following year:

Asset Class	Assets 1/07/2021 \$	Assets 30/06/2022 \$	Target Range (% of Total Fund Assets)			
Australian Shares	26,467	129,175	0	% -	40	%
International Shares				% -		%
Cash	1,230,629	204,042	10	% -	80	%
Australian Fixed Interest	755,184	1,500,000	10	% -	90	%
International Fixed Interest				% -		%
Mortgages				% -		%
Direct Property				% -		%
Listed Property				% -		%
Other	0	0	0	% -	10	%



### Insurance Cover

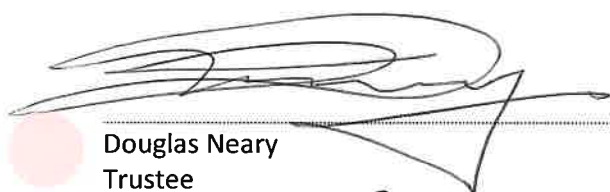
The Trustees will assess the need of insurance for all members and will seek financial insurance advice where necessary to ensure all members are adequately insured. Insurance policies may be held by the Fund or by members personally.

Both Mark and Debra Neary have life insurance cover in the Fund. The policies have been reviewed and the Trustees have decided to continue with both policies.

### Review

This Fund's strategy will be reviewed regularly and updated if any modifications are required.

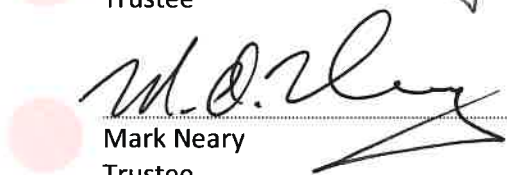
Approved at meeting of Trustees dated: 29 / 08 / 2022

A stylized, handwritten signature in black ink, appearing to be 'D. Neary', written over a horizontal dotted line.

Douglas Neary  
Trustee

A handwritten signature in black ink, appearing to be 'm. neary', written over a horizontal dotted line.

Mavis Neary  
Trustee

A handwritten signature in black ink, appearing to be 'M. Neary', written over a horizontal dotted line.

Mark Neary  
Trustee

A handwritten signature in black ink, appearing to be 'D. Cushing', written over a horizontal dotted line.

Debra Cushing  
Trustee



# Neary Superannuation Fund

## Projected Investment Strategy – Memorandum of Resolution

For the year ended 30 June 2023

### ADOPTION OF INVESTMENT STRATEGY:

The investment strategy for the financial year 2022 - 23, formulated by the Trustees of the Fund to achieve investment objectives of the Fund having regard to the whole of the circumstances of the Fund was tabled. The following was discussed:

#### 1. Risk & Return on Investments

Have Trustees considered the risk involved in making, holding and realising a single asset class with risk to likely return of the fund, having regard to its objectives and its expected cash flow requirements for the next financial year.

#### 2. Risk Associated with limited diversification

Have Trustees considered lack of diversification in this investment strategy, all associated risks due to inadequate diversification and considered the following:

- Current volatility and investment climate in local and international share market - loss of capital;
- Low interest rates offered by deposit taking entities & Banks;
- Age of members, members wishes etc;
- Quality of the current property investment, rental return.

#### 3. Liquidity of the Fund's Assets

Have Trustees considered the liquidity of the Fund's investments having regard to its expected cash flow requirements in the next two years and considered the following;

- Ability of the fund to discharge its existing and future liabilities;
- Ability of the fund to make any LRBA loan repayments considering anticipated contributions from members;
- Cash inflow and Fund expenses from past investment performance of the Fund.

### RESOLUTION:

It was resolved to adopt the Investment Strategy as set out in the attached document and implement the strategy for the following year.

### CLOSURE:

Signed as a true record –

Date: 29 / 08 / 2022

Douglas Neary  
Trustee

Mavis Neary  
Trustee

Mark Neary  
Trustee

Debra Cushing  
Trustee



Douglas Neary  
NEARY SUPERANNUATION FUND  
663 Boston Road, Chandler, Queensland 4155

Dear Sir/Madam

**NEARY SUPERANNUATION FUND**  
**Continuation of Account Based Pension**

We have recently completed a review of the assets of **NEARY SUPERANNUATION FUND** and your Account Based Pension account in the Fund as at 01 July 2021. It is confirmed that the pension balance will automatically revert to Mavis Neary upon the death of the member.

Based on the account balance and the legislative requirements for Account Based Pension, I have set out below the Minimum income stream which must be taken for the year ending 30 June 2022.

Your balance contains:

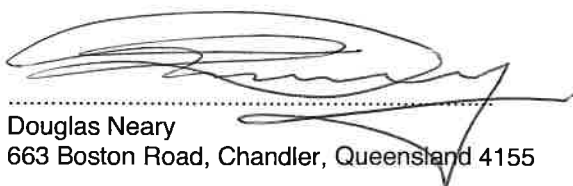
- a Taxable Balance of: \$507,171.91; and
- a Tax Free Balance of: \$326,001.67

Tax Free proportion: 39.22%.

Your Minimum income stream applicable is \$20,830.00.

If you have any queries with regard to the above, please do not hesitate to contact me.

Yours sincerely



Douglas Neary  
663 Boston Road, Chandler, Queensland 4155

RETURN TO  
HFB GROUP

Mavis Neary  
NEARY SUPERANNUATION FUND  
663 Boston Road, Chandler, Queensland 4155

Dear Sir/Madam

**NEARY SUPERANNUATION FUND**  
**Continuation of Account Based Pension**

We have recently completed a review of the assets of **NEARY SUPERANNUATION FUND** and your Account Based Pension account in the Fund as at 01 July 2021. It is confirmed that the pension balance will automatically revert to Douglas Neary upon the death of the member.

Based on the account balance and the legislative requirements for Account Based Pension, I have set out below the Minimum income stream which must be taken for the year ending 30 June 2022.

Your balance contains:


- a Taxable Balance of: \$172,386.09; and
- a Tax Free Balance of: \$810.73

Tax Free proportion: 0.47%.

Your Minimum income stream applicable is \$4,330.00.

If you have any queries with regard to the above, please do not hesitate to contact me.

Yours sincerely



Mavis Neary  
663 Boston Road, Chandler, Queensland 4155



Mavis Neary  
NEARY SUPERANNUATION FUND  
663 Boston Road, Chandler, Queensland 4155

Dear Sir/Madam

**NEARY SUPERANNUATION FUND**  
**Continuation of Account Based Pension**

We have recently completed a review of the assets of **NEARY SUPERANNUATION FUND** and your Account Based Pension account in the Fund as at 01 July 2021. It is confirmed that the pension balance will automatically revert to Douglas Neary upon the death of the member.

Based on the account balance and the legislative requirements for Account Based Pension, I have set out below the Minimum income stream which must be taken for the year ending 30 June 2022.

Your balance contains:


- a Taxable Balance of: \$10,300.07; and
- a Tax Free Balance of: \$455,701.15

Tax Free proportion: 97.79%.

Your Minimum income stream applicable is \$11,650.00.

If you have any queries with regard to the above, please do not hesitate to contact me.

Yours sincerely



.....  
Mavis Neary  
663 Boston Road, Chandler, Queensland 4155



## NEARY SUPERANNUATION FUND

### Minutes of a Meeting of the Trustee(s)

held on \_\_\_\_\_ at 663 Boston Road, Chandler, Queensland 4155

---

**PRESENT:**

Douglas Neary, Mavis Neary, Mark Neary and Debra Cushing

**PENSION CONTINUATION:**

Douglas Neary wishes to continue existing Account Based Pension with a commencement date of 30/04/2008. It is confirmed that the pension balance will automatically revert to Mavis Neary upon the death of the member.

The Pension Account Balance as at 01/07/2021 \$833,173.58, consisting of:

- Taxable amount of \$507,171.91; and
- Tax Free amount of \$326,001.67
- Tax Free proportion: 39.22%.

**TRUSTEE ACKNOWLEDGEMENT:**

It was resolved that Trustee(s) have agreed to this and has taken action to ensure the following:

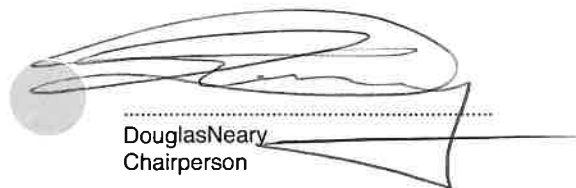
- The member's minimum pension payments are to be made at least annually
- An amount or percentage of the pension will not be prescribed as being left-over when the pension ceases
- The pension can be transferred only on the death of the pensioner to their beneficiary(s).
- The Trustee(s) will comply with ATO obligations such as PAYG withholding and payment summary obligations
- The fund's trust deed provides for payment of this pension to the member
- The Trustee(s) will notify the member, in writing, of their pension amount and assessable amount each year

**PAYMENT:**

It was resolved that the trustees have agreed to pay at least the minimum pension payment for the current year of \$20,830.00 in the frequency of at least an annual payment.

**CLOSURE:**

Signed by the trustee(s) pursuant to the Fund Deed.



Douglas Neary  
Chairperson

**NEARY SUPERANNUATION FUND**

**Minutes of a Meeting of the Trustee(s)**

held on \_\_\_\_\_ at 663 Boston Road, Chandler, Queensland 4155

---

**PRESENT:**

Douglas Neary, Mavis Neary, Mark Neary and Debra Cushing

**PENSION CONTINUATION:**

Mavis Neary wishes to continue existing Account Based Pension with a commencement date of 30/04/2008. It is confirmed that the pension balance will automatically revert to Douglas Neary upon the death of the member.

The Pension Account Balance as at 01/07/2021 \$173,196.82, consisting of:

- Taxable amount of \$172,386.09; and
- Tax Free amount of \$810.73
- Tax Free proportion: 0.47%.

**TRUSTEE ACKNOWLEDGEMENT:**

It was resolved that Trustee(s) have agreed to this and has taken action to ensure the following:

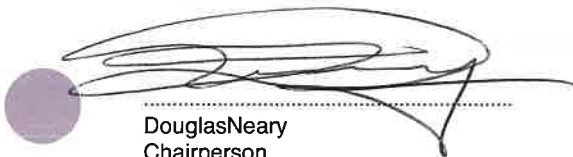
- The member's minimum pension payments are to be made at least annually
- An amount or percentage of the pension will not be prescribed as being left-over when the pension ceases
- The pension can be transferred only on the death of the pensioner to their beneficiary(s).
- The Trustee(s) will comply with ATO obligations such as PAYG withholding and payment summary obligations
- The fund's trust deed provides for payment of this pension to the member
- The Trustee(s) will notify the member, in writing, of their pension amount and assessable amount each year

**PAYMENT:**

It was resolved that the trustees have agreed to pay at least the minimum pension payment for the current year of \$4,330.00 in the frequency of at least an annual payment.

**CLOSURE:**

Signed by the trustee(s) pursuant to the Fund Deed.

  
.....  
Douglas Neary  
Chairperson

**NEARY SUPERANNUATION FUND**

**Minutes of a Meeting of the Trustee(s)**

held on \_\_\_\_\_ at 663 Boston Road, Chandler, Queensland 4155

---

**PRESENT:**

Douglas Neary, Mavis Neary, Mark Neary and Debra Cushing

**PENSION CONTINUATION:**

Mavis Neary wishes to continue existing Account Based Pension with a commencement date of 01/07/2012. It is confirmed that the pension balance will automatically revert to Douglas Neary upon the death of the member.

The Pension Account Balance as at 01/07/2021 \$466,001.22, consisting of:

- Taxable amount of \$10,300.07; and
- Tax Free amount of \$455,701.15
- Tax Free proportion: 97.79%.

**TRUSTEE ACKNOWLEDGEMENT:**

It was resolved that Trustee(s) have agreed to this and has taken action to ensure the following:

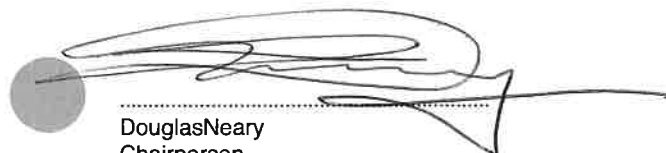
- The member's minimum pension payments are to be made at least annually
- An amount or percentage of the pension will not be prescribed as being left-over when the pension ceases
- The pension can be transferred only on the death of the pensioner to their beneficiary(s).
- The Trustee(s) will comply with ATO obligations such as PAYG withholding and payment summary obligations
- The fund's trust deed provides for payment of this pension to the member
- The Trustee(s) will notify the member, in writing, of their pension amount and assessable amount each year

**PAYMENT:**

It was resolved that the trustees have agreed to pay at least the minimum pension payment for the current year of \$11,650.00 in the frequency of at least an annual payment.

**CLOSURE:**

Signed by the trustee(s) pursuant to the Fund Deed.



.....  
Douglas Neary  
Chairperson



- the trustee of the fund for a super fund, including self-managed super funds (SMSFs) or an approved deposit fund (ADF)
- the retirement savings account (RSA) provider for an RSA
- a director of the life insurance company for a life insurance company.

Place  $X$  in ALL applicable boxes.

749231117

[illegible]

## Page 2



## Section D-1: Event one

**I** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a second event in Section D-2.

### 11 Is the first event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**I** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**I** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**I** If you select one of the below events you can only report one event in this report. Refer to the instructions for more information.

Child death benefit income stream ☐

Child reversionary income stream ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**I** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

## Other information

### 15 Total super balance

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value ☐ Retirement phase value (30 June 2018 only) ☐

### 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

Event type:

Uncapped notional taxed contributions (from 2017–18 financial year onwards) ☐

## Event details

❗ You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

17 Effective date 

Day	0	9	/	Month	1	0	/	Year	2	0	2	1
-----	---	---	---	-------	---	---	---	------	---	---	---	---

18 Value \$ 

					1	5			1	9	0	:	0	0
--	--	--	--	--	---	---	--	--	---	---	---	---	---	---

19 If you are responding to a commutation authority, was the commutation paid directly to the member?  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-1: Member account details

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

### 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017 ☐

Market-linked capped defined benefit income stream just before 1 July 2017 ☐

Capped defined benefit income stream on or after 1 July 2017 ☐

### 21 Is the account closed?

No ☒ Yes ☐

### 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

### 23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P								
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

### 24 Member client identifier (number)

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1								
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

## Section D-2: Event two

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a third event in Section D-3.

### 11 Is the second event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➤** Go to Event details on the next page.



## Section D-3: Event three

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a fourth event in Section D-4.

### 11 Is the third event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

## Other information

### 15 Total super balance

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](https://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value ☐ Retirement phase value (30 June 2018 only) ☐

### 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

Event type:

Uncapped notional taxed contributions (from 2017–18 financial year onwards) ☐

## Event details

❗ You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

17 Effective date 

Day	0	1	Month	1	2	Year	2	0	2	1
-----	---	---	-------	---	---	------	---	---	---	---

18 Value \$ 

				1	0	0	0	0	:	0	0
--	--	--	--	---	---	---	---	---	---	---	---

19 If you are responding to a commutation authority, was the commutation paid directly to the member?  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-3: Member account details

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

### 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017 ☐

Market-linked capped defined benefit income stream just before 1 July 2017 ☐

Capped defined benefit income stream on or after 1 July 2017 ☐

### 21 Is the account closed?

No ☒ Yes ☐

### 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

### 23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P									
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--

### 24 Member client identifier (number)

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1									
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--

## Section D-4: Event four

**i** Refer to the instructions for more information on how to complete this section. Only report one event in this section.

### 11 Is the fourth event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**i** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**i** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**i** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

## Other information

### 15 Total super balance

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](https://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value ☐ Retirement phase value (30 June 2018 only) ☐

### 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

Event type:

Uncapped notional taxed contributions (from 2017–18 financial year onwards) ☐

## Event details

❗ You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

17 Effective date 

Day	0	3	/	Month	0	1	/	Year	2	0	2	2
-----	---	---	---	-------	---	---	---	------	---	---	---	---

18 Value \$ 

					1	5	0	0	0	:	0	0
--	--	--	--	--	---	---	---	---	---	---	---	---

19 If you are responding to a commutation authority, was the commutation paid directly to the member?  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full or Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-4: Member account details

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

### 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017 ☐

Market-linked capped defined benefit income stream just before 1 July 2017 ☐

Capped defined benefit income stream on or after 1 July 2017 ☐

### 21 Is the account closed?

No ☒ Yes ☐

### 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

### 23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P								
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

### 24 Member client identifier (number)

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1								
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--



**F**



**25 Tax file number (TFN)**



**26 Name**

Family name

[illegible]

First given name

[illegible]

Other given names

[illegible]

**27 Date of birth**   /   /

**27 Date of birth**

## Section G: Declarations

Complete the declaration that applies to you. Print your full name then sign and date the declaration.

- i** Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

### Trustee, director or authorised officer declaration

Complete this declaration if you are the trustee, director or authorised officer of the super provider.

*I declare that the information contained in the statement is true and correct.*

Name

M A V I S N E A R Y

Business hours phone number (include area code) 0 7 3 2 8 6 1 3 2 2

Trustee, director or authorised officer signature

*m. neary*

Date Day 1 5 / Month 0 9 / Year 2 0 2 2

OR

### Authorised representative declaration

Complete this declaration if you are an authorised representative of the super provider or life insurance company.

*I declare that:*

- ☒ I have prepared the statement with the information supplied by the super provider or life insurance company  
☒ I have received a declaration made by the super provider or life insurance company that the information provided to me for the preparation of this statement is true and correct  
☒ I am authorised by the super provider or life insurance company to give the information in the statement to the ATO.

Name

Business hours phone number (include area code)

Authorised representative signature

Date Day / Month / Year

## Lodging this report

Do not remove any pages when lodging your report, all pages must be returned for the form to be accepted.

You can lodge this report via:

- ☒ Tax Agent Portal  
☒ Business Portal if you are a business portal user  
☒ Post

Lodging through the Tax Agent Portal or Business Portal means you will receive an instant receipt.

If you are lodging by post, use the postal address below:

**Australian Taxation Office**

**PO BOX 3006**

**PENRITH NSW 2740**



## Super transfer balance account report

### Who should complete this report?

Super providers and life insurance companies should complete this report when:

- there is a transfer balance account reporting requirement
- further information is required to calculate a member's total super balance
- further information is required to determine a member's concessional contributions amount
- incorrect information has previously been reported to us.

The obligation to report is on:

- the trustee of the fund for a super fund, including self-managed super funds (SMSFs) or an approved deposit fund (ADF)
- the retirement savings account (RSA) provider for an RSA
- a director of the life insurance company for a life insurance company.

## Instructions

Follow the Super transfer balance account report instructions for assistance when completing this report.

You must complete and lodge separate reports for each member. If you have more than four events to report for a member you must lodge separate reports. However, if you are reporting a child death benefit income stream or a child reversionary income stream you can only report one event per report.

To cancel or update information already reported to us, you must cancel the original report. Ensure the "Yes" box in Section B is clearly marked and lodge the report exactly the same as the original event. A new report can then be lodged with the correct information.

## Completing this report

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M I T H S T

- Place ☒ in ALL applicable boxes.

Section A: **Member details**

**1 Tax file number (TFN)**

❗ You don't have to provide the TFN to us. However, if you do, it will help us identify the member correctly and process your report quickly. For more information on privacy, refer to [ato.gov.au/privacy](https://ato.gov.au/privacy)

**2 Name**

Title: Mr ☐ Mrs ☒ Miss ☐ Ms ☐ Other

Family name

[illegible]

First given name

M	A	V	I	S								
---	---	---	---	---	--	--	--	--	--	--	--	--

Other given names

[illegible]

3 Date of birth 

Day			Month			Year		
-----	--	--	-------	--	--	------	--	--

#### 4 Current residential address

[illegible][illegible]

Suburb/town

[illegible]

State/territory

Q	L	D
---	---	---

Postcode

4	1	5	5
---	---	---	---

Country if other than Australia

[illegible]



## Section D-1: Event one

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a second event in Section D-2.

### 11 Is the first event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➔** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**!** If you select one of the below events you can only report one event in this report. Refer to the instructions for more information.

Child death benefit income stream ☐

Child reversionary income stream ☐

**➔** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➔** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

**!** Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

**Event type:**

Accumulation phase value  Retirement phase value (30 June 2018 only)

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

**1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**   /   /

18 Value \$      4 0 0 0 : 0 0

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie. paid out of the super system)

**1** Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.  
If unknown leave this question blank.

No ☐ Yes ☐

Section E-1: **Member account details**

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☒ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

[illegible]

**23 Member account number**

N	E	A	M	A	V	0	0	0	0	1	P						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

## Section D-2: Event two

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a third event in Section D-3.

### 11 Is the second event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➤** Go to Event details on the next page.

## Other information

### 15 Total super balance

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value ☐ Retirement phase value (30 June 2018 only) ☐

### 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

Event type:

Uncapped notional taxed contributions (from 2017–18 financial year onwards) ☐

## Event details

❗ You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

17 Effective date 

Day	0	1	/	Month	0	2	/	Year	2	0	2	2
-----	---	---	---	-------	---	---	---	------	---	---	---	---

18 Value \$ 

					1	2							:				
--	--	--	--	--	---	---	--	--	--	--	--	--	---	--	--	--	--

19 If you are responding to a commutation authority, was the commutation paid directly to the member?  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-2: Member account details

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

### 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017 ☐

Market-linked capped defined benefit income stream just before 1 July 2017 ☐

Capped defined benefit income stream on or after 1 July 2017 ☐

### 21 Is the account closed?

No ☒ Yes ☐

### 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

### 23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

### 24 Member client identifier (number)

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--



## Section D-3: Event three

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a fourth event in Section D-4.

### 11 Is the third event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➤** Go to Event details on the next page.



## Section D-4: Event four

**i** Refer to the instructions for more information on how to complete this section. Only report one event in this section.

### 11 Is the fourth event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**i** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**i** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**i** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

## Other information

### 15 Total super balance

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value ☐ Retirement phase value (30 June 2018 only) ☐

### 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

Event type:

Uncapped notional taxed contributions (from 2017–18 financial year onwards) ☐

## Event details

❗ You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

17 Effective date 

Day	2	7	/	Month	0	3	/	Year	2	0	2	2
-----	---	---	---	-------	---	---	---	------	---	---	---	---

18 Value \$ 

						2	0	0	0	:	0	0
--	--	--	--	--	--	---	---	---	---	---	---	---

19 If you are responding to a commutation authority, was the commutation paid directly to the member?  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-4: Member account details

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

### 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017 ☐

Market-linked capped defined benefit income stream just before 1 July 2017 ☐

Capped defined benefit income stream on or after 1 July 2017 ☐

### 21 Is the account closed?

No ☒ Yes ☐

### 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

### 23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P								
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

### 24 Member client identifier (number)

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1								
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

7



**25 Tax file number (TFN)**



**26 Name**

Family name

[illegible]

First given name

Other given names

--	--

**27 Date of birth**

Day                      Month                      Year

/   /

## Section G: Declarations

Complete the declaration that applies to you. Print your full name then sign and date the declaration.

- !** Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

### Trustee, director or authorised officer declaration

Complete this declaration if you are the trustee, director or authorised officer of the super provider.

*I declare that the information contained in the statement is true and correct.*

Name

M A V I S N E A R Y

Business hours phone number (include area code) 0 7 3 2 8 6 1 3 2 2

Trustee, director or authorised officer signature

*M. Neary*

Date Day 1 5 / Month 0 9 / Year 2 0 2 2

OR

### Authorised representative declaration

Complete this declaration if you are an authorised representative of the super provider or life insurance company.

*I declare that:*

- ☒ I have prepared the statement with the information supplied by the super provider or life insurance company  
☒ I have received a declaration made by the super provider or life insurance company that the information provided to me for the preparation of this statement is true and correct  
☒ I am authorised by the super provider or life insurance company to give the information in the statement to the ATO.

Name

Business hours phone number (include area code)

Authorised representative signature

Date Day / Month / Year

## Lodging this report

Do not remove any pages when lodging your report, all pages must be returned for the form to be accepted.

You can lodge this report via:

- ☒ Tax Agent Portal  
☒ Business Portal if you are a business portal user  
☒ Post

Lodging through the Tax Agent Portal or Business Portal means you will receive an instant receipt.

If you are lodging by post, use the postal address below:

**Australian Taxation Office**

**PO BOX 3006**

**PENRITH NSW 2740**



## Super transfer balance account report

## Who should complete this report?

Super providers and life insurance companies should complete this report when:

- there is a transfer balance account reporting requirement
- further information is required to calculate a member's total super balance
- further information is required to determine a member's concessional contributions amount
- incorrect information has previously been reported to us.

The obligation to report is on:

- the trustee of the fund for a super fund, including self-managed super funds (SMSFs) or an approved deposit fund (ADF)
- the retirement savings account (RSA) provider for an RSA
- a director of the life insurance company for a life insurance company.

## Instructions

Follow the Super transfer balance account report instructions for assistance when completing this report.

You must complete and lodge separate reports for each member. If you have more than four events to report for a member you must lodge separate reports. However, if you are reporting a child death benefit income stream or a child reversionary income stream you can only report one event per report.

To cancel or update information already reported to us, you must cancel the original report. Ensure the "Yes" box in Section B is clearly marked and lodge the report exactly the same as the original event. A new report can then be lodged with the correct information.

## Completing this report

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M I T H S T

- Place ☒ in ALL applicable boxes.



## Section A: Member details

1 Tax file number (TFN)

- ! You don't have to provide the TFN to us. However, if you do, it will help us identify the member correctly and process your report quickly. For more information on privacy, refer to [ato.gov.au/privacy](http://ato.gov.au/privacy)

## 2 Name

Title: Mr ☐ Mrs ☒ Miss ☐ Ms ☐ Other

Family name

N E A R Y

First given name

M A V I S

Other given names

J O A N

3 Date of birth   /   /

## 4 Current residential address

6 6 3 B O S T O N R O A D

Suburb/town

C H A N D L E R

Country if other than Australia

State/territory

Q L D

(Australia only)

Postcode

4 1 5 5

(Australia only)

Complete this report exactly the same as the original event. If you previously reported multiple events you only need to include details of the event/s you wish to cancel. Refer to the instructions for more information on how to cancel a previous report.



## Section D-1: Event one

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a second event in Section D-2.

### 11 Is the first event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➔** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**!** If you select one of the below events you can only report one event in this report. Refer to the instructions for more information.

Child death benefit income stream ☐

Child reversionary income stream ☐

**➔** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➔** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member. Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

**Event type:**

Accumulation phase value	Retirement phase value (30 June 2018 only)
--------------------------	--

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

**!** Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

**1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**

Day	Month	Year
3	0	3
2	0	2

18 Value \$      1 2 0 0 0 :

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

Section E-1: **Member account details**

**!** Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☒ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

[illegible]

### 23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

## Section D-2: Event two

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a third event in Section D-3.

### 11 Is the second event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➤** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member. Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

**1** Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

**Event type:**

Accumulation phase value  Retirement phase value (30 June 2018 only)

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

**1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**

Day	Month	Year
30	04	2022

18 Value \$       3 1 7 : 2 0

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

Section E-2: **Member account details**

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☒ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

[illegible]

**23 Member account number**

N	E	A	M	A	V	0	0	0	0	1	P						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

## Section D-3: Event three

**I** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a fourth event in Section D-4.

### 11 Is the third event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**I** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**I** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**I** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

**Event type:**

Accumulation phase value  Retirement phase value (30 June 2018 only)

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

**!** Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

**1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**

Day	Month	Year
01	06	2022

18 Value \$      1 2 0 0 0 : 0 0

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie. paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

Section E-3: **Member account details**

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☒ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

[illegible]

23 Member account number

N	E	A	M	A	V	0	0	0	0	1	P						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

N	E	A	R	M	A	V	I	0	0	0	1						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

## Section D-4: Event four

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section.

### 11 Is the fourth event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☐ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation ☐

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➤** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member. Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

- ❗** Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value	Retirement phase value (30 June 2018 only)
--------------------------	--

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

- ❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

- 1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**      Day      /      Month      /      Year     

[illegible]

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie, paid out of the super system)

- ❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-4: Member account details

- ❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☐ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

[illegible]

**23 Member account number**

[illegible]

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

[illegible]



**F**



**25 Tax file number (TFN)**



**26 Name**

Family name

[illegible]

First given name

[illegible]

Other given names

[illegible]

**27 Date of birth**

Day                      Month                      Year

## Section G: Declarations

Complete the declaration that applies to you. Print your full name then sign and date the declaration.

- i** Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

### Trustee, director or authorised officer declaration

Complete this declaration if you are the trustee, director or authorised officer of the super provider.

*I declare that the information contained in the statement is true and correct.*

Name

M A V I S N E A R Y

Business hours phone number (include area code)

0 7 3 2 8 6 1 3 2 2

Trustee, director or authorised officer signature

*M. Neary*

Date 

Day	1	5		
Month	0	9		
Year	2	0	2	2

OR

### Authorised representative declaration

Complete this declaration if you are an authorised representative of the super provider or life insurance company.

*I declare that:*

- ☒ I have prepared the statement with the information supplied by the super provider or life insurance company
- ☒ I have received a declaration made by the super provider or life insurance company that the information provided to me for the preparation of this statement is true and correct
- ☒ I am authorised by the super provider or life insurance company to give the information in the statement to the ATO.

Name

Business hours phone number (include area code)

Authorised representative signature

Date 

Day				
Month				
Year				

## Lodging this report

Do not remove any pages when lodging your report, all pages must be returned for the form to be accepted.

You can lodge this report via:

- ☒ Tax Agent Portal
- ☒ Business Portal if you are a business portal user
- ☒ Post

Lodging through the Tax Agent Portal or Business Portal means you will receive an instant receipt.

If you are lodging by post, use the postal address below:

**Australian Taxation Office**

**PO BOX 3006**

**PENRITH NSW 2740**



## Super transfer balance account report

## Who should complete this report?

Super providers and life insurance companies should complete this report when:

- there is a transfer balance account reporting requirement
- further information is required to calculate a member's total super balance
- further information is required to determine a member's concessional contributions amount
- incorrect information has previously been reported to us.

The obligation to report is on:

- the trustee of the fund for a super fund, including self-managed super funds (SMSFs) or an approved deposit fund (ADF)
- the retirement savings account (RSA) provider for an RSA
- a director of the life insurance company for a life insurance company.

## Instructions

Follow the Super transfer balance account report instructions for assistance when completing this report.

You must complete and lodge separate reports for each member. If you have more than four events to report for a member you must lodge separate reports. However, if you are reporting a child death benefit income stream or a child reversionary income stream you can only report one event per report.

To cancel or update information already reported to us, you must cancel the original report. Ensure the "Yes" box in Section B is clearly marked and lodge the report exactly the same as the original event. A new report can then be lodged with the correct information.

## Completing this report

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M I T H S T

- Place ☒ in ALL applicable boxes.



## Section A: Member details

1 Tax file number (TFN)

- ! You don't have to provide the TFN to us. However, if you do, it will help us identify the member correctly and process your report quickly. For more information on privacy, refer to [ato.gov.au/privacy](http://ato.gov.au/privacy)

## 2 Name

Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other

Family name

N E A R Y

First given name

D O U G L A S

Other given names

3 Date of birth   /   /

## 4 Current residential address

6 6 3 B O S T O N R O A D

Suburb/town

C H A N D L E R

Country if other than Australia

State/territory

Q L D

(Australia only)

Postcode

4 1 5 5

(Australia only)

## Page 2

## Section D-1: Event one

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a second event in Section D-2.

### 11 Is the first event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☒ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**!** If you select one of the below events you can only report one event in this report. Refer to the instructions for more information.

Child death benefit income stream ☐

Child reversionary income stream ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation ☒

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member. Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

**1** Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

**Event type:**

Accumulation phase value  Retirement phase value (30 June 2018 only)

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

**!** Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

**1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**

Day	Month	Year
19	08	2021

**18 Value**      \$       4 0 0 0 : 0 0

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

Section E-1: **Member account details**

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream ☒

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☒ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

[illegible]

## 23 Member account number

N	E	A	D	O	U	0	0	0	0	1	P						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

N	E	A	R	D	O	U	G	0	0	0	1						
---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

## Section D-2: Event two

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a third event in Section D-3.

### 11 Is the second event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☐ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☐

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.

## Other information

### 15 Total super balance

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value ☐ Retirement phase value (30 June 2018 only) ☐

### 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

❗ Only use this event type if you are reporting information for your member's notional taxed contributions.

Event type:

Uncapped notional taxed contributions (from 2017–18 financial year onwards) ☐

## Event details

❗ You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

17 Effective date  Day  /  Month  /  Year

18 Value \$  ,  ,  .

19 If you are responding to a commutation authority, was the commutation paid directly to the member?  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

## Section E-2: Member account details

❗ Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

### 20 Member account type

Account-based income stream ☐

Capped defined benefit income stream just before 1 July 2017 ☐

Market-linked capped defined benefit income stream just before 1 July 2017 ☐

Capped defined benefit income stream on or after 1 July 2017 ☐

### 21 Is the account closed?

No ☐ Yes ☐

### 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

### 23 Member account number

### 24 Member client identifier (number)

If you don't have a member client identifier leave this question blank



## Section D-3: Event three

- !** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a fourth event in Section D-4.

### 11 Is the third event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☐ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

- !** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**>** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

- !** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

- !** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation ☐

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**>** Go to Event details on the next page.



## Section D-4: Event four

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section.

### 11 Is the fourth event:

A response to a commutation authority ☐ Go to question 12.

An income stream that commenced prior to 1 July 2017 ☐ Go to question 13.

An income stream that commenced on or after 1 July 2017 ☐ Go to question 13.

A limited recourse borrowing arrangement repayment ☐ Go to question 13.

A different transfer balance cap event ☐ Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

Event type:

Commutation authority – commuted in full ☐

Commutation authority – commuted in part ☐

Commutation authority – deceased ☐

Commutation authority – defined benefit ☐

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

Event type:

Super income stream ☐

Reversionary income stream ☐

LRBA repayment ☐

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

Event type:

Member commutation ☐

Income stream stops being in retirement phase ☐

Structured settlement – post 1 July 2017 ☐

**➤** Go to Event details on the next page.

**15 Total super balance**

Complete this question to provide information regarding the total super balance of a member.  
Refer to [ato.gov.au/totalsuperbalance](http://ato.gov.au/totalsuperbalance) for more information on Total super balance.

❗ Do not use these event types if you are reporting about your member's transfer balance cap. Retirement phase value is used to calculate your member's total super balance and is only required from 30 June 2018.

Event type:

Accumulation phase value  Retirement phase value (30 June 2018 only)

## 16 Concessional contributions

Information regarding the notional taxed contributions of a member.

**!** Only use this event type if you are reporting information for your member's notional taxed contributions.

**Event type:**

Uncapped notional taxed contributions (from 2017–18 financial year onwards)

## Event details

**1** You must complete Q17 and Q18 for each event reported with the exception of Commutation authority (deceased) and commutation authority – defined benefit. Refer to the instructions for more information on how to complete this section.

**17 Effective date**

	Day			Month				Year		
--	-----	--	--	-------	--	--	--	------	--	--

[illegible]

**19 If you are responding to a commutation authority, was the commutation paid directly to the member?**  
(ie, paid out of the super system)

❗ Only complete this question if the *Reporting event type* is **Commutation authority – commuted in full** or **Commutation authority – commuted in part**.

If unknown leave this question blank.

No ☐ Yes ☐

Section E-4: **Member account details**

**!** Only certain event types require you to answer question 20. Refer to the instructions for more information on how to complete this question.

## 20 Member account type

Account-based income stream

Capped defined benefit income stream just before 1 July 2017

Market-linked capped defined benefit income stream just before 1 July 2017

Capped defined benefit income stream on or after 1 July 2017

**21 Is the account closed?**

No ☐ Yes ☐

## 22 Unique superannuation identifier (USI)

If you don't have a USI leave this question blank

### 23 Member account number

[illegible]

**24 Member client identifier (number)**

If you don't have a member client identifier leave this question blank

[illegible]

\_\_\_\_\_



!

Family name

[illegible][illegible][illegible]

Day                      Month                      Year

--	--	--	--

## Section G: Declarations

Complete the declaration that applies to you. Print your full name then sign and date the declaration.

- !** Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

### Trustee, director or authorised officer declaration

Complete this declaration if you are the trustee, director or authorised officer of the super provider.

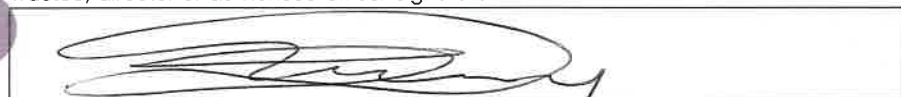
*I declare that the information contained in the statement is true and correct.*

Name

D O U G L A S N E A R Y

Business hours phone number (include area code)

Trustee, director or authorised officer signature



Date 

Day	1	5
Month	0	9
Year	2	0
	2	2

OR

### Authorised representative declaration

Complete this declaration if you are an authorised representative of the super provider or life insurance company.

*I declare that:*

- ☒ I have prepared the statement with the information supplied by the super provider or life insurance company
- ☒ I have received a declaration made by the super provider or life insurance company that the information provided to me for the preparation of this statement is true and correct
- ☒ I am authorised by the super provider or life insurance company to give the information in the statement to the ATO.

Name

Business hours phone number (include area code)

Authorised representative signature

Date 

Day		
Month		
Year		

## Lodging this report

Do not remove any pages when lodging your report, all pages must be returned for the form to be accepted.

You can lodge this report via:

- ☒ Tax Agent Portal
- ☒ Business Portal if you are a business portal user
- ☒ Post

Lodging through the Tax Agent Portal or Business Portal means you will receive an instant receipt.

If you are lodging by post, use the postal address below:

**Australian Taxation Office**  
**PO BOX 3006**  
**PENRITH NSW 2740**

## Trustees Declaration

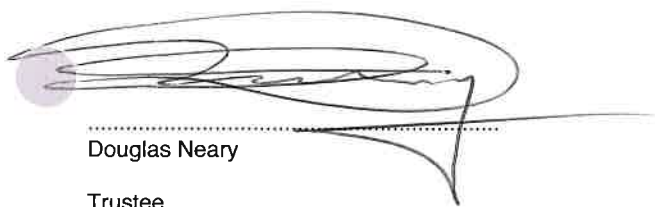
---

The trustees have determined that the fund is not a reporting entity and that the special purpose financial statements should be prepared in accordance with the accounting policies described in Note 1 to the financial statements.

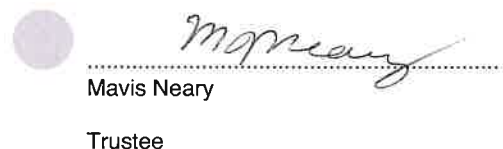
The trustees declare that:

- (i) the financial statements and notes to the financial statements for the year ended 30 June 2022 present fairly, in all material respects, the financial position of the superannuation fund at 30 June 2022 and the results of its operations for the year ended on that date in accordance with the accounting policies described in Note 1 to the financial statements;
- (ii) the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the trust deed; and
- (iii) the operation of the superannuation fund has been carried out in accordance with its trust deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 and associated Regulations during the year ended 30 June 2022.

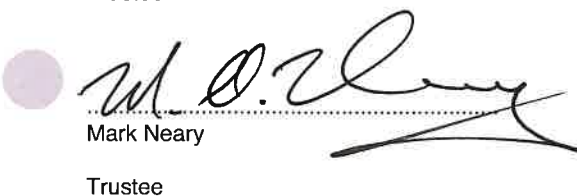
Signed in accordance with a resolution of the trustees by:



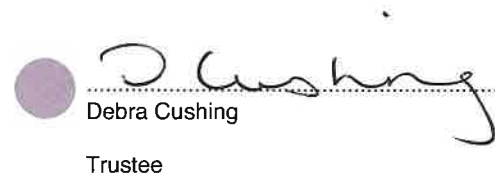
.....  
Douglas Neary  
Trustee



.....  
Mavis Neary  
Trustee



.....  
Mark Neary  
Trustee



.....  
Debra Cushing  
Trustee

.....  
Dated this ..... day of .....

NEARY SUPERANNUATION FUND

# Contributions Summary Report

For The Period 01 July 2021 - 30 June 2022

## Mark Neary

Date of Birth:	Provided
Age:	50 (at year end)
Member Code:	NEAMAR00001A
Total Super Balance* <sup>1</sup> as at 30/06/2021:	362,723.44

### Contributions Summary

#### Concessional Contribution

Employer

2022

2021

12,123.99

9,648.59

12,123.99

9,648.59

Total Contributions

12,123.99

9,648.59

I, MARK NEARY, confirm that the amounts reported above are the total contributions deposited to the fund with respect of my member balance for the period 01/07/2021 to 30/06/2022.

MARK NEARY

\*1 TSB can include information external to current fund's transaction records. The amount is per individual across all funds.



# Memorandum of Resolutions of

Douglas Neary, Mavis Neary, Mark Neary and Debra Cushing

ATF NEARY SUPERANNUATION FUND

---

**FINANCIAL STATEMENTS OF  
SUPERANNUATION FUND:**

It was resolved that the financial statements would be prepared as special purpose financial statements as, in the opinion of the trustee(s), the superannuation fund is a non-reporting entity and therefore is not required to comply with all Australian Accounting Standards.

The Chair tabled the financial statements and notes to the financial statements of the superannuation fund in respect of the year ended 30 June 2022 and it was resolved that such statements be and are hereby adopted as tabled.

**TRUSTEE'S DECLARATION:**

It was resolved that the trustee's declaration of the superannuation fund be signed.

**ANNUAL RETURN:**

Being satisfied that the fund had complied with the requirements of the Superannuation Industry (Supervision) Act 1993 (SISA) and Regulations during the year ended 30 June 2022, it was resolved that the annual return be approved, signed and lodged with the Australian Taxation Office.

**INVESTMENT STRATEGY:**

The allocation of the fund's assets and the fund's investment performance over the financial year were reviewed and found to be within the acceptable ranges outlined in the investment strategy. After considering the risk, rate of return and liquidity of the investments and the ability of the fund to discharge its existing liabilities, it was resolved that the investment strategy continues to reflect the purposes and circumstances of the fund and its members. Accordingly, no changes in the investment strategy were required.

**INSURANCE COVER:**

The trustee(s) reviewed the current life and total and permanent disability insurance coverage on offer to the members and resolved that the current insurance arrangements were appropriate for the fund.

**ALLOCATION OF INCOME:**

It was resolved that the income of the fund would be allocated to the members based on their average daily balance (an alternative allocation basis may be percentage of opening balance).

**INVESTMENT ACQUISITIONS:**

It was resolved to ratify the investment acquisitions throughout the financial year ended 30 June 2022.

**INVESTMENT DISPOSALS:**

It was resolved to ratify the investment disposals throughout the financial year ended 30 June 2022.

**AUDITORS:**

It was resolved that

Super Audits Pty Ltd

of

PO Box 3376, Rundle Mall, South Australia 5000

act as auditors of the Fund for the next financial year.

**TAX AGENTS:**

It was resolved that

HFB Super Pty Ltd

act as tax agents of the Fund for the next financial year.

**TRUSTEE STATUS:**

Each of the trustee(s) confirmed that they are qualified to act as trustee(s) of the fund and that they are not disqualified persons as defined by s 120 of the SISA.

**Memorandum of Resolutions of**  
**Douglas Neary, Mavis Neary, Mark Neary and Debra Cushing**  
**ATF NEARY SUPERANNUATION FUND**

**RETURN TO**  
**HFB GROUP**

**CONTRIBUTIONS RECEIVED:**

It was resolved that the contributions during the year be allocated to members on the basis of the schedule provided by the principal Fund employer.

**PAYMENT OF BENEFITS:**

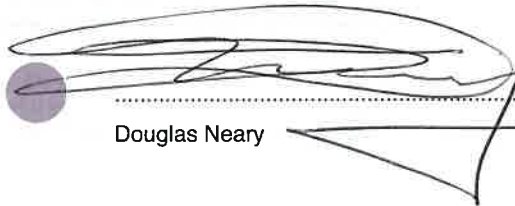
The trustee has ensured that any payment of benefits made from the Fund, meets the requirements of the Fund's deed and does not breach the superannuation laws in relation to:

1. making payments to members; and,
2. breaching the Fund or the member investment strategy.

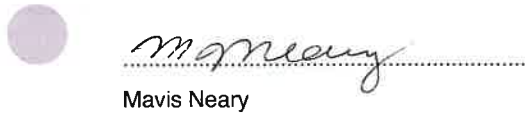
The trustee has reviewed the payment of the benefit and received advice that the transfer is in accordance with the Deed and the superannuation laws. As such the trustee has resolved to allow the payment of the benefits on behalf of the member.

**CLOSURE:**

Signed as a true record –



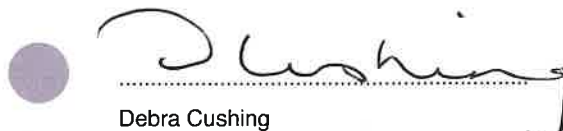
.....  
Douglas Neary



.....  
Mavis Neary



.....  
Mark Neary



.....  
Debra Cushing