

Interactive Brokers Australia Pty Ltd., Level 11, 175 Pitt Street, Sydney, New South Wales 2000, Australia

## Account Information

Name	Coolcarknee Superannuation fund
Account	U5595384
Address of Account Holder(s)	L 1 21 Shierlaw Ave Canterbury, AU-VIC 3126 Australia
Account Type	Individual
Customer Type	Trust
Account Capabilities	Cash
Base Currency	AUD

## Net Asset Value

	June 30, 2022	June 30, 2023			Change	Change in NAV		Total
	Total	Long	Short	Total				
Cash	243.25	47.44	0.00	47.44	-195.82	Starting Value		24,185.81
Stock	23,942.56	27,788.54	0.00	27,788.54	3,845.98	Mark-to-Market		3,846.56
<b>Total</b>	<b>24,185.81</b>	<b>27,835.97</b>	<b>0.00</b>	<b>27,835.97</b>	<b>3,650.16</b>	Other Fees		-178.55
						GST		-17.86
						Ending Value		27,835.97
<b>Time Weighted Rate of Return</b>					<b>15.09%</b>			

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L						Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total		
Stocks											
DVAX	500	500	12.5900	12.9200	305.46	0.00	0.00	0.00	305.46		
PATH	165	165	18.1900	16.5700	-505.83	0.00	0.00	0.00	-505.83		
SNAP	100	100	13.1300	11.8400	-222.15	0.00	0.00	0.00	-222.15		
STNE	550	550	7.7000	12.7400	3,973.95	0.00	0.00	0.00	3,973.95		
TASK	100	100	16.8600	11.3200	-838.45	0.00	0.00	0.00	-838.45		
Total Stocks					2,712.98	0.00	0.00	0.00	2,712.98		
Forex											
AUD	227.01	30.60	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00		
USD	11.22	11.22	1.4484	1.5007	1,133.59	0.00	0.00	0.00	1,133.59		
Total Forex					1,133.59	0.00	0.00	0.00	1,133.59		

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total	
Total (All Assets)					3,846.56	0.00	0.00	0.00	3,846.56	
Other Fees								-178.55		
Total P/L for Statement Period								3,668.01		

## Positions and Mark-to-Market Profit and Loss

Symbol			Quantity		Price		Market Value		Mark-to-Market Profit and Loss				
			Prior	Current	Prior	Current	Prior	Current	Position	Trading	Comm.	Other	Total
Stocks													
USD													
DVAX	DYNAVAX TECHNOLOGIES CORP		500	500	12.59	12.92	6,295.00	6,460.00	165.00	0.00	0.00	0.00	165.00
PATH	UIPATH INC - CLASS A		165	165	18.19	16.57	3,001.35	2,734.05	-267.30	0.00	0.00	0.00	-267.30
SNAP	SNAP INC - A		100	100	13.13	11.84	1,313.00	1,184.00	-129.00	0.00	0.00	0.00	-129.00
STNE	STONECO LTD-A		550	550	7.70	12.74	4,235.00	7,007.00	2,772.00	0.00	0.00	0.00	2,772.00
TASK	TASKUS INC-A		100	100	16.86	11.32	1,686.00	1,132.00	-554.00	0.00	0.00	0.00	-554.00
Total Stocks							16,530.35	18,517.05	1,986.70	0.00	0.00	0.00	1,986.70
Total in AUD							23,942.56	27,788.54	2,712.98	0.00	0.00	0.00	2,712.98
Forex													
AUD													
AUD			227.01	30.60	1.00	1.00	227.01	30.60	0.00	0.00	0.00	0.00	0.00
USD			11.22	11.22	1.45	1.50	16.25	16.84	1,133.59	0.00	0.00	0.00	1,133.59
USD	Multiple Days	FX Translation		0.00		-		0.00	0.59	0.00	0.00	0.00	0.59
USD	Multiple Days	FX Translation		0.00		-		0.00	1,133.00	0.00	0.00	0.00	1,133.00
Total Forex							243.25	47.44	1,133.59	0.00	0.00	0.00	1,133.59
Total (Combined Assets)									3,846.56	0.00	0.00	0.00	3,846.56

## Realized & Unrealized Performance Summary

Cost Adj.		Realized					Unrealized						
Symbol		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	Total	Code
Stocks													
DVAX	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5,376.26	-5,376.26	-5,376.26	
PATH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-11,639.43	-11,639.43	-11,639.43	
SNAP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-9,009.45	-9,009.45	-9,009.45	
STNE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,040.26	-4,040.26	-4,040.26	
TASK	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-9,551.96	-9,551.96	-9,551.96	
Total Stocks	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-39,617.35	-39,617.35	-39,617.35	
Forex													
USD	0.00	0.00	0.00	0.00	0.00	0.00	1.63	0.00	0.00	0.00	1.63	1.63	
Total Forex	0.00	0.00	0.00	0.00	0.00	0.00	1.63	0.00	0.00	0.00	1.63	1.63	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	1.63	0.00	0.00	-39,617.35	-39,615.72	-39,615.72	

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Stocks							
DVAX	DYNAVAX TECHNOLOGIES CORP	1,101.24	1,665.46	0.00	0.00	0.00	0.00
PATH	UIPATH INC - CLASS A	-318.05	905.54	0.00	0.00	0.00	0.00
SNAP	SNAP INC - A	244.70	418.16	0.00	0.00	0.00	0.00
STNE	STONECO LTD-A	160.68	2,633.21	0.00	0.00	0.00	0.00
TASK	TASKUS INC-A	73.86	-858.73	0.00	0.00	0.00	0.00
Total Stocks		1,262.42	4,763.65	0.00	0.00	0.00	0.00

## Cash Report

	Total	Month to Date	Year to Date
<b>Base Currency Summary</b>			
Starting Cash	243.25		
Other Fees	-178.55	-15.38	-89.17
GST	-17.86	-1.54	-8.92
Cash FX Translation Gain/Loss	0.59		
Ending Cash	47.44		
Ending Settled Cash	47.44		
<b>AUD</b>			
Starting Cash	227.01		
Other Fees	-178.55	-15.38	-89.17
GST	-17.86	-1.54	-8.92
Ending Cash	30.60		
Ending Settled Cash	30.60		
<b>USD</b>			
Starting Cash	11.22		
Ending Cash	11.22		
Ending Settled Cash	11.22		

## Statement of Funds

Report Date	Activity Date	Description	Debit	Credit	Balance
<b>Base Currency Summary</b>					
<b>2022-07-01</b>		<b>Opening Balance</b>			<b>243.25</b>
2022-07-05	2022-07-06	u*****12:Professional US Securities Snapshot Bundle for Jul 2022	-14.48		228.77
2022-07-05	2022-07-05	GST u*****12:Professional US Securities Snapshot Bundle	-1.45		227.33
2022-08-03	2022-08-04	u*****12:Professional US Securities Snapshot Bundle for Aug 2022	-14.31		213.02
2022-08-03	2022-08-03	GST u*****12:Professional US Securities Snapshot Bundle	-1.43		211.59
2022-09-05	2022-09-06	u*****12:Professional US Securities Snapshot Bundle for Sep 2022	-14.61		196.98
2022-09-05	2022-09-05	GST u*****12:Professional US Securities Snapshot Bundle	-1.46		195.51
2022-10-04	2022-10-05	u*****12:Professional US Securities Snapshot Bundle for Oct 2022	-15.62		179.89
2022-10-04	2022-10-04	GST u*****12:Professional US Securities Snapshot Bundle	-1.56		178.33
2022-11-03	2022-11-04	u*****12:Professional US Securities Snapshot Bundle for Nov 2022	-15.63		162.70

## Statement of Funds

Report Date	Activity Date	Description	Debit	Credit	Balance
2022-11-03	2022-11-03	GST u*****12:Professional US Securities Snapshot Bundle	-1.56		161.14
2022-12-02	2022-12-03	u*****12:Professional US Securities Snapshot Bundle for Dec 2022	-14.73		146.41
2022-12-02	2022-12-02	GST u*****12:Professional US Securities Snapshot Bundle	-1.47		144.94
2023-01-04	2023-01-05	u*****12:Professional US Securities Snapshot Bundle for Jan 2023	-14.67		130.27
2023-01-04	2023-01-04	GST u*****12:Professional US Securities Snapshot Bundle	-1.47		128.80
2023-02-02	2023-02-03	u*****12:Professional US Securities Snapshot Bundle for Feb 2023	-14.18		114.62
2023-02-02	2023-02-02	GST u*****12:Professional US Securities Snapshot Bundle	-1.42		113.20
2023-03-02	2023-03-03	u*****12:Professional US Securities Snapshot Bundle for Mar 2023	-14.86		98.34
2023-03-02	2023-03-02	GST u*****12:Professional US Securities Snapshot Bundle	-1.49		96.86
2023-04-04	2023-04-05	u*****12:Professional US Securities Snapshot Bundle for Apr 2023	-14.96		81.90
2023-04-04	2023-04-04	GST u*****12:Professional US Securities Snapshot Bundle	-1.50		80.40
2023-05-02	2023-05-03	u*****12:Professional US Securities Snapshot Bundle for May 2023	-15.12		65.28
2023-05-02	2023-05-02	GST u*****12:Professional US Securities Snapshot Bundle	-1.51		63.77
2023-06-02	2023-06-03	u*****12:Professional US Securities Snapshot Bundle for Jun 2023	-15.38		48.39
2023-06-02	2023-06-02	GST u*****12:Professional US Securities Snapshot Bundle	-1.54		46.85
2023-06-30		FX Translation P&L		0.59	47.44
2023-06-30		<b>Closing Balance</b>	<b>-196.41</b>	<b>0.59</b>	<b>47.44</b>

## Change in Position Value

<b>Stocks</b>		
<b>Base Currency Summary</b>		
Prior Period Value		23,942.56
MTM P/L On Prior Period		2,712.98
Positions FX Translation P/L		1,133.00
End Of Period Value		27,788.54
<b>USD</b>		
Prior Period Value		16,530.35
MTM P/L On Prior Period		1,986.70
End Of Period Value		18,517.05

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>USD</b>								
DVAX	500	1	20.0850	10,042.50	12.9200	6,460.00	-3,582.50	
PATH	165	1	63.57606061	10,490.05	16.5700	2,734.05	-7,756.00	
SNAP	100	1	71.8750	7,187.50	11.8400	1,184.00	-6,003.50	
STNE	550	1	17.6350	9,699.25	12.7400	7,007.00	-2,692.25	
TASK	100	1	74.9700	7,497.00	11.3200	1,132.00	-6,365.00	
<b>Total</b>				<b>44,916.30</b>		<b>18,517.05</b>	<b>-26,399.25</b>	
<b>Total in AUD</b>				<b>67,405.89</b>		<b>27,788.54</b>	<b>-39,617.35</b>	

## Forex Balances

Description	Quantity	Cost Price	Cost Basis in AUD	Close Price	Value in AUD	Unrealized P/L in AUD	Code
<b>Forex</b>							
<b>AUD</b>							
AUD	30.60	0.0000	-30.60	1.0000	30.60	0.00	
USD	11.22	1.3552	-15.20	1.5007	16.84	1.63	
<b>Total</b>			<b>-45.80</b>		<b>47.44</b>	<b>1.63</b>	

## Option Exercises, Assignments and Expirations

No exercises, assignments or expirations for July 1, 2022 - June 30, 2023

## MTM P&L On Previous Period's Positions

Symbol	Description	Date	Price	MTM P&L On Previous Period's Positions
<b>Stocks</b>				
<b>USD</b>				
DVAX	DYNAVAX TECHNOLOGIES CORP		11.69869919	165.00
PATH	UIPATH INC - CLASS A		15.25741935	-267.30
SNAP	SNAP INC - A		10.51419355	-129.00
STNE	STONECO LTD-A		10.5184739	2,772.00
TASK	TASKUS INC-A		16.52907631	-554.00
<b>Total</b>				<b>1,986.70</b>
<b>Total in AUD</b>				<b>2,712.98</b>

## Fees

Date	Description	Amount
<b>Other Fees</b>		
<b>AUD</b>		
2022-07-06	u*****12:Professional US Securities Snapshot Bundle for Jul 2022 <sup>1</sup>	-14.48
2022-08-04	u*****12:Professional US Securities Snapshot Bundle for Aug 2022 <sup>1</sup>	-14.31
2022-09-06	u*****12:Professional US Securities Snapshot Bundle for Sep 2022 <sup>1</sup>	-14.61
2022-10-05	u*****12:Professional US Securities Snapshot Bundle for Oct 2022 <sup>1</sup>	-15.62
2022-11-04	u*****12:Professional US Securities Snapshot Bundle for Nov 2022 <sup>1</sup>	-15.63
2022-12-03	u*****12:Professional US Securities Snapshot Bundle for Dec 2022 <sup>1</sup>	-14.73
2023-01-05	u*****12:Professional US Securities Snapshot Bundle for Jan 2023 <sup>1</sup>	-14.67
2023-02-03	u*****12:Professional US Securities Snapshot Bundle for Feb 2023 <sup>1</sup>	-14.18
2023-03-03	u*****12:Professional US Securities Snapshot Bundle for Mar 2023 <sup>1</sup>	-14.86
2023-04-05	u*****12:Professional US Securities Snapshot Bundle for Apr 2023 <sup>1</sup>	-14.96
2023-05-03	u*****12:Professional US Securities Snapshot Bundle for May 2023 <sup>1</sup>	-15.12
2023-06-03	u*****12:Professional US Securities Snapshot Bundle for Jun 2023 <sup>1</sup>	-15.38
<b>Total</b>		<b>-178.55</b>

## Notes

1. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

## Other Fees

Date	Description	Amount	Code
<b>AUD</b>			
2022-07-06	u*****12:Professional US Securities Snapshot Bundle for Jul 2022	-14.48	
2022-08-04	u*****12:Professional US Securities Snapshot Bundle for Aug 2022	-14.31	
2022-09-06	u*****12:Professional US Securities Snapshot Bundle for Sep 2022	-14.61	
2022-10-05	u*****12:Professional US Securities Snapshot Bundle for Oct 2022	-15.62	
2022-11-04	u*****12:Professional US Securities Snapshot Bundle for Nov 2022	-15.63	
2022-12-03	u*****12:Professional US Securities Snapshot Bundle for Dec 2022	-14.73	
2023-01-05	u*****12:Professional US Securities Snapshot Bundle for Jan 2023	-14.67	
2023-02-03	u*****12:Professional US Securities Snapshot Bundle for Feb 2023	-14.18	
2023-03-03	u*****12:Professional US Securities Snapshot Bundle for Mar 2023	-14.86	
2023-04-05	u*****12:Professional US Securities Snapshot Bundle for Apr 2023	-14.96	
2023-05-03	u*****12:Professional US Securities Snapshot Bundle for May 2023	-15.12	
2023-06-03	u*****12:Professional US Securities Snapshot Bundle for Jun 2023	-15.38	
<b>Total</b>		<b>-178.55</b>	

## GST Details

Date	Description	Country	Type	Taxable Amount	Tax Rate	Sales Tax	Code
<b>AUD</b>							
2022-07-05	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.48	0.1	-1.45	
2022-08-03	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.31	0.1	-1.43	
2022-09-05	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.61	0.1	-1.46	
2022-10-04	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-15.62	0.1	-1.56	
2022-11-03	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-15.63	0.1	-1.56	
2022-12-02	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.73	0.1	-1.47	
2023-01-04	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.67	0.1	-1.47	
2023-02-02	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.18	0.1	-1.42	
2023-03-02	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.86	0.1	-1.49	
2023-04-04	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-14.96	0.1	-1.50	
2023-05-02	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-15.12	0.1	-1.51	
2023-06-02	u*****12:Professional US Securities Snapshot Bundle	Australia	GST	-15.38	0.1	-1.54	
<b>Total</b>						<b>-17.86</b>	

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
<b>Stocks</b>							
DVAX	DYNAVAX TECHNOLOGIES CORP	173246175	US2681582019	NASDAQ	1	COMMON	
PATH	UIPATH INC - CLASS A	483311199	US90364P1057	NYSE	1	COMMON	
SNAP	SNAP INC - A	268060148	US83304A1060	NYSE	1	COMMON	
STNE	STONECO LTD-A	339001919	KYG851581069	NASDAQ	1	COMMON	
TASK	TASKUS INC-A	495923502	US87652V1098	NASDAQ	1	COMMON	

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
ADR	ADR Fee Accrual	Lo	Direct Loan
AEx	Automatic exercise for dividend-related recommendation.	M	Entered manually by IB
AFx	AutoFX conversion resulting from trading	MEx	Manual exercise for dividend-related recommendation.
Adj	Adjustment	ML	Maximize Losses tax basis election
Al	Allocation	MLG	Maximize Long Term Gain tax basis election
Aw	Away Trade	MLL	Maximize Long Term Loss tax basis election
B	Automatic Buy-in	MSG	Maximize Short Term Gain tax basis election
Bo	Direct Borrow	MSL	Maximize Short Term Loss tax basis election
C	Closing Trade	O	Opening Trade
CD	Cash Delivery	P	Partial Execution
CP	Complex Position	PE	Perpetual Investment
Ca	Cancelled	PI	Price Improvement
Co	Corrected Trade	Po	Interest or Dividend Accrual Posting
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
ETF	ETF Creation/Redemption	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RED	Redemption to Investor
Ex	Exercise	RI	Recurring Investment
FP	The fractional portion of this trade was executed against IB or an affiliate.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
FPA	The fractional portion of this trade was executed against IB or an affiliate. IB acted as agent for the whole share portion of this trade.	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	Rb	Rebill
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SF	Trade is subject to IBKR Lite Surcharge Fee if volume of such trades exceeds 10% of the total monthly Lite trade volume
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	The transaction was executed against IB or an affiliate	SO	This order was marked as solicited by your Introducing Broker
IM	A portion of the order was executed against IB or an affiliate; IB acted as agent on a portion.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale	XCH	Mutual Fund Exchange Transaction
LI	Last In, First Out (LIFO) tax basis election		

## Notes/Legal Notes

### Notes

- This statement has been prepared by Interactive Brokers Australia Pty Ltd AFSL 453554, ABN 98 166 929 568, Level 11, 175 Pitt Street, Sydney, New South Wales 2000, Australia to confirm the Transactions covered herein.  
  
IBA is a participant of ASX market, the ASX 24 market and the Cboe Australia market.
- Transactions in products on Australia exchanges which settle via CHESS ((including ordinary shares, units, bonds, hybrids, CDs, exchange-traded Australian Government Bonds (AGBs) , exchange-traded products (including ETFs), warrants and instalments)) settle on trade date plus two days, i.e. T+2, and options and futures settle on trade date plus one day, i.e. T+1. Share (including stock) transactions on international exchanges generally settle on trade date plus 2 days, i.e. T+2 (some exchanges have longer or shorter settlement periods) and options and futures traded on international exchanges settle on the trade date plus one day, i.e. T+1. A reference to a "trade date" is a reference to the trade date in the place where the market for the relevant product is located. Ending settled cash reflects the cash which has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Times are displayed in Australian Eastern Standard Time (AEST)/Australian Eastern Daylight Savings Time (AEDT); there will therefore be a difference between the times reported in this statement/report and the times that a trade is reported by the exchange to have occurred in markets which operate in a different time zone. This report covers the period which begins at 10am on a given day through to 10am on the day following the given day unless otherwise indicated.
- You are responsible for promptly checking this statement. You must immediately notify IBA if you become aware that there is an error in this statement. We are entitled to assume that the trades confirmed in this statement are correct unless you notify us of any error within 24 hours following IBA (or someone on its behalf) giving you this statement.  
  
If IBA confirms execution or cancellation in error and you delay reporting such error, IBA reserves the right to remove the Transaction from the account or require you to accept the Transaction, in IBA's absolute discretion.  
  
Please contact the Interactive Brokers Australia Customer Service Department through by lodging a ticket via account management or via the other means outlined on our website. You may also contact IB by phone, but if you report an error by phone, you should reconfirm such oral communication in writing.
- Unless otherwise noted, Interactive Brokers acted as agent in the execution of all exchange traded products. IBA acts as principal in all over-the-counter transactions, including foreign currency spot and contracts for difference and derivatives issued to you under the Stock Yield Enhancement Program.

## Notes/Legal Notes

10. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IBA, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
11. All reports, statements and confirmations issued by IBA are subject to the Applicable Laws, the directions, decisions and requirements of the relevant market operator, the operating rules of the relevant market, the clearing rules and where relevant, the relevant settlement rules, the customs and usages of the relevant financial market and the correction of errors and omissions.
12. Trades in Cash Market Products (as that term is defined in the relevant market integrity rules) on ASX and Cboe Australia are cleared by BNP Paribas, ARBN 149 440 291, AFSL 402467, who is a participant of ASX Clear Pty Ltd and ASX Settlement Pty Ltd.  
  
Trades in Derivative Products on ASX and all products on ASX 24 are cleared by Interactive Brokers Australia as a participant of ASX Clear Pty Ltd and ASX Clear (Futures) Pty Ltd.  
  
If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
13. Applicable fees for your transactions is available on the Interactive Brokers Australia website [www.interactivebrokers.com.au](http://www.interactivebrokers.com.au) under "Pricing".
14. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the collateral value on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
15. The closing prices on this Activity Statement are indicative and may come from third-party sources. While Interactive Brokers Australia reasonably believes the information presented in this statement is correct, Interactive Brokers Australia does not warrant the accuracy of the prices provided by third-party sources.
16. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
17. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

**Offshore Markets Notes: The following specific notices relate to transactions occurring on non-Australian markets only:**

18. Affiliates of Interactive Brokers Australia may receive compensation from fund companies in connection with the purchase and holding of mutual fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees which are paid out of the funds assets. The source and amount of any remuneration received will be furnished upon written request of the customer.
19. The following entities clear non-Australian exchange transactions: Interactive Brokers LLC and Interactive Brokers (U.K.) Limited.
20. Affiliates of IBA may also receive liquidity rebates from Alternative Trading Systems and exchanges for certain orders. Affiliates of IBA may receive payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. Affiliates of IBA do not generally accept payment for order flow for security futures orders. The source and nature of any compensation received by an affiliate of IBA in connection with any transaction is available upon written request of the customer. For further information, including a full list of IBA's affiliates, check the IB AU website or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).