

**Account Information**

Name	Maxtrom Superannuation Fund
Account	U895620
Master Name	Halifax Investment Services Ltd
Account Type	Broker Client
Customer Type	Trust
Account Capabilities	Margin
Base Currency	AUD

**Net Asset Value**

	June 30, 2017		June 29, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	98,371.43	105,876.80	0.00	<b>105,876.80</b>	7,505.38	Starting Value	106,995.86	
Stock	10,800.00	5,023.44	0.00	<b>5,023.44</b>	-5,776.56	Mark-to-Market	-793.85	
Options	-2,165.17	0.00	0.00	<b>0.00</b>	2,165.17	Dividends	4,947.59	
Interest Accruals	-10.40	0.00	0.00	<b>0.00</b>	10.40	Interest	-39.57	
<b>Total</b>	<b>106,995.86</b>	<b>110,900.24</b>	<b>0.00</b>	<b>110,900.24</b>	<b>3,904.38</b>	Change in Interest Accruals	10.40	
<b>Time Weighted Rate of Return</b>						<b>3.65%</b>	Other Fees	-129.24
						Commissions	-90.95	
						Ending Value	110,900.24	

**Mark-to-Market Performance Summary**

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
<b>Stocks</b>										
AGF	30,000	30,000	0.3600	0.167448	-5,776.56	0.00	0.00	4,947.59	-828.97	
<b>Total</b>					<b>-5,776.56</b>	<b>0.00</b>	<b>0.00</b>	<b>4,947.59</b>	<b>-828.97</b>	
<b>Equity and Index Options</b>										
SPY 21JUL17 241.0 P	-10	0	1.6650	--	2,134.61	-18.86	-25.15	0.00	2,090.60	
SPY 18AUG17 240.0 P	0	0	--	--	740.20	106.78	-50.37	0.00	796.61	
<b>Total</b>					<b>2,874.81</b>	<b>87.92</b>	<b>-75.52</b>	<b>0.00</b>	<b>2,887.21</b>	
<b>Forex</b>										
AUD	-3,605.34	71,158.01	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
USD	78,419.54	25,721.44	1.3004	1.3498	1,756.60	263.38	-15.43	0.00	2,004.55	
<b>Total</b>					<b>1,756.60</b>	<b>263.38</b>	<b>-15.43</b>	<b>0.00</b>	<b>2,004.55</b>	
<b>Total (All Assets)</b>					<b>-1,145.15</b>	<b>351.30</b>	<b>-90.95</b>	<b>4,947.59</b>	<b>4,062.79</b>	
Broker Interest Paid and Received									-39.57	
Other Fees									-129.24	

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other			
<b>Total P/L for Statement Period</b>										<b>3,893.98</b>	

## Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
<b>Stocks</b>													
AGF	-3,815.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-17,911.62	-17,911.62	-17,911.62	
<b>Total</b>	<b>-3,815.40</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-17,911.62</b>	<b>-17,911.62</b>	<b>-17,911.62</b>	
<b>Equity and Index Options</b>													
SPY 21JUL17 241.0 P	0.00	2,074.88	0.00	0.00	0.00	2,074.88	0.00	0.00	0.00	0.00	0.00	2,074.88	
SPY 18AUG17 240.0 P	0.00	794.93	0.00	0.00	0.00	794.93	0.00	0.00	0.00	0.00	0.00	794.93	
<b>Total</b>	<b>0.00</b>	<b>2,869.81</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,869.81</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,869.81</b>	
<b>Forex</b>													
USD	0.00	43.59	-1,793.75	0.00	0.00	-1,750.16	481.60	-85.74	0.00	0.00	395.86	-1,354.30	
<b>Total</b>	<b>0.00</b>	<b>43.59</b>	<b>-1,793.75</b>	<b>0.00</b>	<b>0.00</b>	<b>-1,750.16</b>	<b>481.60</b>	<b>-85.74</b>	<b>0.00</b>	<b>0.00</b>	<b>395.86</b>	<b>-1,354.30</b>	
<b>Total (All Assets)</b>	<b>-3,815.40</b>	<b>2,913.40</b>	<b>-1,793.75</b>	<b>0.00</b>	<b>0.00</b>	<b>1,119.65</b>	<b>481.60</b>	<b>-85.74</b>	<b>0.00</b>	<b>-17,911.62</b>	<b>-17,515.76</b>	<b>-16,396.11</b>	

## Cash Report

	Total	Securities	Futures
<b>Base Currency Summary</b>			
Starting Cash	98,371.43	98,371.43	0.00
Commissions	-90.95	-90.95	0.00
Dividends	4,947.59	4,947.59	0.00
Broker Interest Paid and Received	-39.57	-39.57	0.00
Net Trades (Sales)	70,892.19	70,892.19	0.00
Net Trades (Purchase)	-69,837.39	-69,837.39	0.00
Other Fees	-129.24	-129.24	0.00
Cash FX Translation Gain/Loss	1,762.76	1,762.76	0.00
Ending Cash	105,876.80	105,876.80	0.00
Ending Settled Cash	105,876.80	105,876.80	0.00
<b>AUD</b>			
Starting Cash	-3,605.34	-3,605.34	0.00
Commissions	-15.43	-15.43	0.00
Dividends	4,947.59	4,947.59	0.00
Broker Interest Paid and Received	-39.57	-39.57	0.00
Net Trades (Sales)	70,000.00	70,000.00	0.00
Other Fees	-129.24	-129.24	0.00
Ending Cash	71,158.01	71,158.01	0.00
Ending Settled Cash	71,158.01	71,158.01	0.00
<b>USD</b>			
Starting Cash	78,419.54	78,419.54	0.00

## Cash Report

	Total	Securities	Futures
Commissions	-60.00	-60.00	0.00
Net Trades (Sales)	710.00	710.00	0.00
Net Trades (Purchase)	-53,348.10	-53,348.10	0.00
Ending Cash	25,721.44	25,721.44	0.00
Ending Settled Cash	25,721.44	25,721.44	0.00

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>AUD</b>								
AGF	30,000	1	0.7645021	22,935.06	0.167448	5,023.44	-17,911.62	
<b>Total</b>				<b>22,935.06</b>		<b>5,023.44</b>	<b>-17,911.62</b>	

## Forex Balances

Description	Quantity	Cost Price	Cost Basis in AUD	Close Price	Value in AUD	Unrealized P/L in AUD	Code
<b>Forex</b>							
<b>AUD</b>							
AUD	71,158.01	1.0000	-71,158.01	1.0000	71,158.01	0.00	
USD	25,721.44	1.3344097	-34,322.94	1.3498	34,718.80	395.86	
<b>Total</b>			<b>-105,480.94</b>		<b>105,876.80</b>	<b>395.86</b>	

## Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
<b>Equity and Index Options</b>										
<b>USD</b>										
SPY 21JUL17 241.0 P	2017-07-19, 09:31:28	10	0.0400	0.0250	-40.00	-20.00	1,710.00	1,650.00	-15.00	C;P
<b>Total SPY 21JUL17 241.0 P</b>		<b>10</b>			<b>-40.00</b>	<b>-20.00</b>	<b>1,710.00</b>	<b>1,650.00</b>	<b>-15.00</b>	
SPY 18AUG17 240.0 P	2017-07-20, 11:37:36	-10	0.7100	0.6200	710.00	-20.00	-690.00	0.00	90.00	O
SPY 18AUG17 240.0 P	2017-08-16, 09:37:09	10	0.0400	0.0350	-40.00	-20.00	690.00	630.00	-5.00	C
<b>Total SPY 18AUG17 240.0 P</b>		<b>0</b>			<b>670.00</b>	<b>-40.00</b>	<b>0.00</b>	<b>630.00</b>	<b>85.00</b>	
<b>Total</b>					<b>630.00</b>	<b>-60.00</b>	<b>1,710.00</b>	<b>2,280.00</b>	<b>70.00</b>	
<b>Total in AUD</b>					<b>791.41</b>	<b>-75.52</b>	<b>2,153.91</b>	<b>2,869.81</b>	<b>87.92</b>	
<b>Forex</b>										
<b>USD</b>										
AUD.USD	2017-09-06, 10:00:25	10,000	0.79765	0.0000	-7,976.50	-7.50			30.17	

## Trades

AUD.USD	2017-11-20, 17:16:53	60,000	0.75486	0.0000	-45,291.60	-7.93			233.20
<b>Total AUD.USD</b>		<b>70,000</b>			<b>-53,268.10</b>	<b>-15.43</b>			<b>263.38</b>
<b>Total</b>					<b>-53,268.10</b>	<b>-15.43</b>			<b>263.38</b>
<b>Total in AUD</b>					<b>-69,736.62</b>	<b>-15.43</b>			<b>263.38</b>

## Fees

Date	Description	Amount
<b>Other Fees</b>		
<b>AUD</b>		
2017-07-05	Professional US Securities Snapshot Bundle for Jun 2017	-13.00
2017-07-05	Professional US Securities Snapshot Bundle for Jun 2017	13.00
2017-08-03	Professional US Securities Snapshot Bundle for Jul 2017	-12.50
2017-08-03	Professional US Securities Snapshot Bundle for Jul 2017	12.50
2017-09-07	Professional US Securities Snapshot Bundle for Aug 2017	-12.58
2017-10-04	Professional US Securities Snapshot Bundle for Sep 2017	-12.76
2017-11-02	Professional US Securities Snapshot Bundle for Oct 2017	-13.06
2017-12-05	Professional US Securities Snapshot Bundle for Nov 2017	-13.22
2018-01-03	Professional US Securities Snapshot Bundle for Dec 2017	-12.82
2018-02-05	Professional US Securities Snapshot Bundle for Jan 2018	-12.41
2018-03-06	Professional US Securities Snapshot Bundle for Feb 2018	-12.88
2018-04-04	Professional US Securities Snapshot Bundle for Mar 2018	-13.02
2018-05-03	Professional US Securities Snapshot Bundle for Apr 2018	-13.28
2018-06-05	Professional US Securities Snapshot Bundle for May 2018	-13.21
<b>Total</b>		<b>-129.24</b>

## Interest Accruals

<b>Base Currency Summary</b>	
Starting Accrual Balance	-10.40
Interest Accrued	-29.17
Accrual Reversal	39.57
FX Translation	0.00
Ending Accrual Balance	0.00

## Interest

Date	Description	Amount
<b>AUD</b>		
2017-07-06	AUD Debit Interest for Jun-2017	-12.00
2017-08-03	AUD Debit Interest for Jul-2017	-12.40
2017-09-06	AUD Debit Interest for Aug-2017	-12.38
2017-10-04	AUD Debit Interest for Sep-2017	-2.79
<b>Total</b>		<b>-39.57</b>

## Dividends

Date	Description	Amount
<b>AUD</b>		
2017-11-23	AGF(AU000000AGF9) Cash Dividend 0.12718000 AUD per Share (Return of Capital)	3,815.40
2018-02-07	AGF(AU000000AGF9) Cash Dividend 0.03773960 AUD per Share (Exempt From Withholding)	1,132.19
<b>Total</b>		<b>4,947.59</b>

## Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
<b>Starting Dividend Accruals in AUD</b>									<b>0.00</b>	
<b>Stocks</b>										
<b>AUD</b>										
AGF	2017-12-27	2017-12-28	2018-02-07	30,000	0.00	0.00	0.04	1,132.19	1,132.19	Po
AGF	2018-02-07	2017-12-28	2018-02-07	30,000	0.00	0.00	0.04	-1,132.19	-1,132.19	Re

## Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
<b>Total</b>					<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	
<b>Ending Dividend Accruals in AUD</b>									<b>0.00</b>	

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Multiplier							Code
<b>Stocks</b>											
AGF	AMP CAPITAL CHINA GROWTH FD	45201715	AU000000AGF9	1							
Symbol	Description	Conid	Multiplier	Expiry	Delivery Month	Type	Strike				Code
<b>Equity and Index Options</b>											
SPY 170721P00241000	SPY 21JUL17 241.0 P	273218327	100	2017-07-21	2017-07	P	241				
SPY 170818P00240000	SPY 18AUG17 240.0 P	273807117	100	2017-08-18	2017-08	P	240				

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by Broker
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by Broker for two Broker customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by Broker against a Broker affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Broker
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against a Broker affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	ST	Short Term P/L
L	Ordered by Broker (Margin Violation)	T	Transfer

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
LD	Adjusted by Loss Disallowed from Wash Sale		

## Notes/Legal Notes

### Notes

1. Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
3. Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
5. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
6. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
7. Trade execution times are displayed in Eastern Time.
8. Applicable commodity Regulatory Fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
9. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
10. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

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### Legal Notes

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**Halifax Investment Services Ltd, [www.halifax.com.au](http://www.halifax.com.au), 1.300.363.505**
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4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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## Notes/Legal Notes

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13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

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