

**CONFIRMATION****BUY**

**Canaccord Genuity Financial Limited**  
**AFS Licence 239052 ABN 69 008 896 311**

Level 23 Exchange Tower 2 The Esplanade Perth WA 6000, GPO Box W2024 Perth WA 6846  
 Tel (+61 8) 9263 1111

This trade was executed by Canaccord Genuity Financial Limited AFSL 239052 ABN 69 008 896 311 and cleared  
 by Pershing Securities Australia Pty Ltd AFSL No 338264 ABN 60 136 184 962

**THIS CONFIRMATION  
IS A TAX INVOICE**

This confirmation is issued by Canaccord Genuity Financial Limited subject to: the constitution, directions, decisions and requirements of the applicable Market Operator, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; the customs and usages of the applicable licensed market; and the correction of errors and omissions.

ALLWORTH'S PTY LTD  
 ARMSTRONG SUPER FUND A/C  
 ARMSTRONG ADVISORY  
 SUITE 201  
 30 FISHER ROAD  
 DEE WHY NSW 2099

**Recorded Registration Details**

ALLWORTH'S PTY LTD  
 <ARMSTRONG SUPER FUND A/C>  
 ARMSTRONG ADVISORY  
 SUITE 201  
 30 FISHER ROAD  
 DEE WHY NSW 2099

Canaccord Genuity Financial Limited has bought for you  
**COMPANY:** ATLAS ARTERIA  
**SECURITY:** FPO STAP US PROHIBITED EXCLUDING QUP

Market	ASX
Market Code:	ALX
ISIN	AU0000013559

**Account No.** R33519793  
**Advisor** Tony Porter  
**Confirmation No.** 97866792  
**Confirmation Date** 02/09/2020  
**Trade Date** 02/09/2020

Last of Order

QUANTITY	PRICE	CONSIDERATION
6,040	6.620000	\$39,984.80
TOTAL	AVERAGE	TOTAL
6,040	6.620000	\$39,984.80
All brokerage and fees have been charged by Canaccord Genuity Financial Limited		
Brokerage (1.00%)		\$399.85
GST		\$39.99
<b>AMOUNT DUE AND PAYABLE</b>		<b>\$40,424.64</b>

**ASX SETTLEMENT DATE** 04/09/2020

**SETTLEMENT INFORMATION**

Payment is due by the Settlement Date. Automatic sweep from your CMT or bank account will occur on Settlement Date. Alternatively you can pay via BPAY (please schedule before 6.00pm the day before Settlement Date).



**Bill Code: 244764**

**Ref: 00335197935**

Contact your participating bank,  
 credit union or building society to  
 make this payment from your  
 cheque or savings account

## TERMS AND CONDITIONS OF DEALING WITH CANACCORD GENUITY FINANCIAL LIMITED

In consideration of Canaccord Genuity Financial Limited ABN 69 008 896 311 AFSL No . 239 052 dealing on behalf of the Account, the Client has agreed and acknowledged the following:

1. These terms and conditions, together with the Terms and Conditions published by Canaccord Genuity Financial Limited in the Client Services Brochure, govern any dealing executed on behalf of the Account by Canaccord Genuity Financial Limited and are issued subject to: the constitution, directions, decisions and requirements of the applicable Market Operator, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; the customs and usages of the applicable licensed market; and the correction of errors and omissions.
2. The Client and/or Authorised Agent may instruct Canaccord Genuity Financial Limited to deal on behalf of the Account during the currency of these terms and conditions and Canaccord Genuity Financial Limited shall have the discretion to accept or decline such instruction at any time without the need to provide any reason therefore.
3. The client shall pay for purchases including all brokerage, taxes, costs, duties and charges in respect thereof, and make good delivery in respect of sales, to enable Canaccord Genuity Financial Limited to settle by the due date. Where the Client fails to make good delivery in respect of sales, or pay for purchases, by the due settlement date Canaccord Genuity Financial Limited is entitled to pass on to the Client all costs incurred as a result.
4. Canaccord Genuity Financial Limited may appropriate credits and all payments received from or on behalf of the Client in reduction of any monies owing by the Client to Canaccord Genuity Financial Limited whether on the Account or any other associated account.
5. The Client warrants that it is and at all times during its dealings with Canaccord Genuity Financial Limited will be in a position to meet all commitments on its part arising out of its dealings with or business conducted on its behalf by Canaccord Genuity Financial Limited.
6. If more than one person constitutes the Client then they are jointly and severally bound by this agreement.
7. The Client acknowledges that Canaccord Genuity Financial Limited will not act on a discretionary basis on behalf of or for the benefit of the Client, unless the client has a written Accolade Plus Agreement with Canaccord Genuity Financial Limited .
8. Canaccord Genuity Financial Limited reserves the right to decline to act on behalf of the Client (i) where the original instruction is more than one calendar month old or (ii) where the security or other investment medium has been subject to a trading halt and the Client has not reconfirmed the instruction subsequently.
9. The licensed market operator has the power under the Rules to cancel or amend Market Transactions or Crossings . Canaccord Genuity Financial Limited may, at any time, reissue a confirmation in order to correct any errors or omissions, and these terms and conditions mutatis mutandis are binding with respect of the reissued confirmation.
10. The Client shall notify Canaccord Genuity Financial Limited in writing within two Business Days of any change in the Client's name, address, telephone number or other material circumstance in relation to the Account .
11. Canaccord Genuity Financial Limited may vary and either party may terminate these terms and conditions by giving not less than 7 Business Days' notice in writing to the other party, subject to all outstanding obligations under these terms and conditions being duly discharged. Any notice given or demand made by either party, or confirmation issued by Canaccord Genuity Financial Limited, shall be deemed to have been received on the Business Day following the transmission or posting of the notice, demand or confirmation.
12. If a transaction has been executed on a licensed market other than the ASX , the applicable market will be denoted by a suffix after the stock code on the confirmation . Transactions that are not executed by the ASX are not eligible for the full protection offered by the National Guarantee Fund.
13. Canaccord Genuity Financial Limited is not liable for calls due after settlement.
14. The Client must provide all documents and security holder information including where applicable, the relevant holder identification number and/or shareholder reference number by 10am WST on T+2.