



Address - Locked Bag 22 Australia Square NSW 1215  
 Telephone - 13 15 19  
 Facsimile - 1300 131 519  
 Email - shares@commsec.com.au  
 Internet - commsec.com.au

**TAX INVOICE**

**SELL**

**ORIGINAL  
 CONFIRMATION**

Please retain for taxation purposes

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR DAVID JAMES MACDONALD + MRS SANDRA JOY MACDONALD <D & S MACDONALD  
 SUPER A/C>  
 16 MARLAND CT  
 AVOCA QLD 4670**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** ARAFURA RESOURCES LIMITED  
**SECURITY** ORDINARY FULLY PAID

**ARU**

**DATE:** 01/02/2021  
**AS AT DATE:** 01/02/2021  
**CONFIRMATION NO:** 112853518  
**ORDER NO:** N138850909  
**ACCOUNT NO:** 2165087  
**TOTAL UNITS:** 100,000  
**CONSIDERATION (AUD):** \$21,000.00  
**BROKERAGE & COSTS INCL GST:** \$29.95  
*(may include printed confirmation postal fee)*  
**APPLICATION MONEY:** \$0.00  
**NET PROCEEDS:** \$20,970.05 ✓  
**TOTAL GST:** \$2.72  
**SETTLEMENT DATE:** 03/02/2021  
**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED  
 SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE  
 FOLLOWING DAY.**

UNITS AT PRICE	
100,000	0.210000
<b>AVERAGE PRICE: 0.210000</b>	

**PID 1402 HIN 13222703  
 ORDER COMPLETED  
 CROSSED TRADE**

**CONTRACT COMMENTS:**  
 50147786 0743310840  
 105

**If not already provided, Security Document and/or Holder Information to be provided by 03/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:  
 a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");  
 b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.  
 . Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHES Settlement Facility, if a CHES Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.  
 you request a Confirmation Contract Note to be sent to you by post, a \$1.95 fee will be charged in addition to brokerage.  
 Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 124 and a Participant of the ASX Group and Chi-X Australia.  
 our order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation confirms the volume weighted average price for those market transactions.



Address - Locked Bag 22 Australia Square NSW 1215  
 Telephone - 13 15 19  
 Facsimile - 1300 131 519  
 Email - shares@commsec.com.au  
 Internet - commsec.com.au

# SELL

TAX INVOICE

ORIGINAL  
CONFIRMATION

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

Please retain for taxation purposes

**MR DAVID JAMES MACDONALD + MRS SANDRA JOY MACDONALD <D & S MACDONALD SUPER A/C>**  
**16 MARLAND CT**  
**AVOCA QLD 4670**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** ARAFURA RESOURCES LIMITED  
**SECURITY** ORDINARY FULLY PAID

**ARU**

**DATE:** 01/03/2021  
**AS AT DATE:** 01/03/2021  
**CONFIRMATION NO:** 114855600  
**ORDER NO:** N141608027  
**ACCOUNT NO:** 2165087  
**TOTAL UNITS:** 150,000  
**CONSIDERATION (AUD):** \$31,569.01  
**BROKERAGE & COSTS INCL GST:** \$37.88  
 (may include printed confirmation postal fee)  
**APPLICATION MONEY:** \$0.00  
**NET PROCEEDS:** \$31,531.13  
**TOTAL GST:** \$3.44  
**SETTLEMENT DATE:** 03/03/2021  
**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

UNITS AT PRICE	
13,802	0.215000
136,198	0.210000
<b>AVERAGE PRICE: 0.210460</b>	

**PID 1402 HIN 13222703**  
**ORDER COMPLETED**  
**CROSSED TRADE**

**CONTRACT COMMENTS:**  
**50147786 0743310840**  
 105

**If not already provided, Security Document and/or Holder Information to be provided by 03/03/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

- a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
  - b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.
- Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESSE Settlement Facility, if a CHESSE Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.
- If you request a Confirmation Contract Note to be sent to you by post, a \$1.95 fee will be charged in addition to brokerage.
- Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 124 and a Participant of the ASX Group and Chi-X Australia.
- Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation confirms the volume weighted average price for those market transactions.



# BUY

## ORIGINAL CONFIRMATION

TAX INVOICE

Please retain for taxation purposes

Address - Locked Bag 22 Australia Square NSW 1215  
Telephone - 13 15 19  
Facsimile - 1300 131 519  
Email - shares@commsec.com.au  
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by  
Commonwealth Securities Limited.

**MR DAVID JAMES MACDONALD + MRS SANDRA JOY MACDONALD <D & S  
MACDONALD SUPER A/C>**  
**16 MARLAND CT**  
**AVOCA QLD 4670**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ARAFURA RESOURCES LIMITED**

**ARU**

**SECURITY: ORDINARY FULLY PAID**

**DATE:** 30/06/2021  
**SETTLED AT DATE:** 30/06/2021  
**CONFIRMATION NO:** 120045920  
**ORDER NO:** N148486480  
**ACCOUNT NO:** 2165087  
**TOTAL UNITS:** 15,000  
**CONSIDERATION (AUD):** \$1,950.00  
**BROKERAGE & COSTS INCL GST:** \$19.95  
**YOU MAY INCLUDE PRINTED CONFIRMATION POSTAL FEE)**  
**APPLICATION MONEY:** \$0.00  
**TOTAL COST:** \$1,969.95  
**TOTAL GST:** \$1.81  
**SETTLEMENT DATE:** 02/07/2021

UNITS AT PRICE	
15,000	0.130000
<b>AVERAGE PRICE: 0.130000</b>	

**PID 1402 HIN 13222703**  
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50147786 0743310840**

105

Cleared in bank 02/07/21

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED  
FUNDS FROM NOMINATED BANK A/C ON  
SETTLEMENT DATE.**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(1) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(2) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a \$1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 3 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

# Arafura Resources Limited

ABN: 22 080 933 455  
Place of Incorporation/Registration: WA

## CHESS HOLDING STATEMENT

For statement enquiries contact  
your CHESS Sponsor:

**COMMONWEALTH SECURITIES  
LIMITED**

LOCKED BAG 22  
AUSTRALIA SQUARE  
SYDNEY, NSW 1215

☎ **131519**

**Holder ID Number (HIN): 0013222703**

**CHESS Sponsor's ID (PID): 01402**

**Statement Period: March 2021**

**Page: 1 of 1**



280595 01402

MR DAVID JAMES MACDONALD &  
MRS SANDRA JOY MACDONALD  
<D & S MACDONALD SUPER A/C>  
16 MARLAND CT  
AVOCA QLD 4670

## ARU - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 02 Feb 21</b>					150000
02 Mar 21	Movement of Securities due to Purchase, Sale or Transfer	0140221686047500			150000	0

### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*

### Share Registry Details:

LINK MARKET SERVICES LIMITED  
LOCKED BAG A14,  
SYDNEY SOUTH NSW 1235  
Ph: 02 82807111



**ASX**

Issued By:

ASX Settlement Pty Limited | ABN 40 009 504 522 | PO Box 4227, Australia Square, Sydney NSW 1215

## Transaction History

◀ View:

ARU, X\*\*\*\*\*2703 (MR DAVID JAMES MACDONALD + MRS SANDRA JOY MACDONALD <D & S MACDONALD SUPER A/C>)

Date range from (dd/mm/yyyy)   to (dd/mm/yyyy)  

Displaying Transaction History from 31 Aug 2019 to 31 Aug 2021

HIN/SRN EMP ID	Security Code	Date	Transaction	Change	Running Balance
<a href="#">X*****2703</a>	<a href="#">ARU</a>	09/08/2021	Holding Net Movement (CHESS 510)	150,000	180,000
<a href="#">X*****2703</a>	<a href="#">ARU</a>	08/07/2021	Holding Net Movement (CHESS 510)	15,000	30,000
<a href="#">X*****2703</a>	<a href="#">ARU</a>	02/07/2021	Holding Net Movement (CHESS 510)	15,000	15,000
<a href="#">X*****2703</a>	<a href="#">ARU</a>	02/03/2021	Holding Net Movement (CHESS 510)	-150,000	0
<a href="#">X*****2703</a>	<a href="#">ARU</a>	02/02/2021	Holding Net Movement (CHESS 510)	-100,000	150,000
<a href="#">X*****2703</a>	<a href="#">ARU</a>	15/06/2020	Holding Net Movement (CHESS 510)	250,000	250,000
<a href="#">X*****2703</a>	<a href="#">ARU</a>	06/05/2020	Holding Net Movement (CHESS 510)	-270,000	0

Viewing 1 - 7 of 7

Ask us now 