

Foreign Person's U.S. Source Income Subject to Withholding

2019

OMB No. 1545-0096

Information about Form 1042-S and its separate instructions is at www.irs.gov/form1042s.

Copy B
for Recipient

7 8 0 0 1 0 3 6 1 2 UNIQUE FORM IDENTIFIER AMENDED AMENDMENT NO.

1 Income code 06	2 Gross income \$ 285	3 Chapter Indicator. Enter "3" or "4" 3	3a Exemption code 00	4a Exemption code 15	5 Withholding allowance	7b Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>	
		3b Tax rate 30.00	4b Tax rate 00.00	6 Net income	7a Federal tax withheld \$ 85	7c Check if withholding occurred in subsequent year with respect to a partnership interest. <input type="checkbox"/>	
				7 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions)		15 Check if pro-rata basis reporting <input type="checkbox"/>	
8 Tax withheld by other agents \$ 0				9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions)			
10 Total withholding credit (combine boxes 7a, 8, and 9) \$ 85				11 Tax paid by withholding agent (amounts not withheld) (see instructions)			
12a Withholding agent's EIN 133439945		12b Ch. 3 status code 02	12c Ch. 4 status code 02		12e Withholding agent's Global Intermediary Identification Number (GIIN)		
13e Recipient's U.S. TIN, if any				12f Country code	12g Foreign taxpayer identification number, if any		
13f Ch. 3 status code 16		13g Ch. 4 status code 23		13b Recipient's country code AS			
13a Recipient's U.S. TIN, if any				14a Primary Withholding Agent's Name (if applicable)			
<p>VANECK VECTORS CHINAAMC CSI 300 ETF C/O AMERICAN STOCK TRANSFER & TRUST CO., LLC 6201 15TH AVENUE BROOKLYN, NY 11219 Phone: 866-668-8555</p>							
14b Primary Withholding Agent's EIN							
15a Intermediary or flow-through entity's EIN, if any		15b Ch. 3 status code	15c Ch. 4 status code		15d Intermediary or flow-through entity's name		
15e Intermediary or flow-through entity's GIIN							
15f Country code		15g Foreign tax identification number, if any					
15h Address (number and street)							
15i City or town, state or province, country, ZIP or foreign postal code							
13h Recipient's GIIN							
13i Recipient's foreign tax identification number, if any						13j LOB code	
13k Recipient's account number 78001 9000003612				13l Recipient's date of birth 00000000			
16a Payer's name VANECK VECTORS CHINAAMC CSI 300 ETF		16b Payer's TIN 264640074		16c Payer's GIIN			
16d Ch. 3 status code 02		16e Ch. 4 status code 02		17a State income tax withheld			
17b Payer's state tax no.		17c Name of state					

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Cat. No. 11386R

Form **1042-S** (2019)



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2019

OMB No. 1545-0096

Information about Form 1042-S and its separate instructions is at www.irs.gov/form1042s.

Copy C
for Recipient
Attach to any Federal tax return you file

7 8 0 0 1 0 3 6 1 2 UNIQUE FORM IDENTIFIER AMENDED AMENDMENT NO.

1 Income code 06	2 Gross income \$ 285	3 Chapter Indicator. Enter "3" or "4" 3	3a Exemption code 00	4a Exemption code 15	5 Withholding allowance	7b Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>	
		3b Tax rate 30.00	4b Tax rate 00.00	6 Net income	7a Federal tax withheld \$ 85	7c Check if withholding occurred in subsequent year with respect to a partnership interest. <input type="checkbox"/>	
				7 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions)		15 Check if pro-rata basis reporting <input type="checkbox"/>	
8 Tax withheld by other agents \$ 0				9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions)			
10 Total withholding credit (combine boxes 7a, 8, and 9) \$ 85				11 Tax paid by withholding agent (amounts not withheld) (see instructions)			
12a Withholding agent's EIN 133439945		12b Ch. 3 status code 02	12c Ch. 4 status code 02		12e Withholding agent's Global Intermediary Identification Number (GIIN)		
13e Recipient's U.S. TIN, if any				12f Country code	12g Foreign taxpayer identification number, if any		
13f Ch. 3 status code 16		13g Ch. 4 status code 23		13b Recipient's country code AS			
13a Recipient's U.S. TIN, if any				14a Primary Withholding Agent's Name (if applicable)			
<p>VANECK VECTORS CHINAAMC CSI 300 ETF C/O AMERICAN STOCK TRANSFER & TRUST CO., LLC 6201 15TH AVENUE BROOKLYN, NY 11219 Phone: 866-668-8555</p>							
14b Primary Withholding Agent's EIN							
15a Intermediary or flow-through entity's EIN, if any		15b Ch. 3 status code	15c Ch. 4 status code		15d Intermediary or flow-through entity's name		
15e Intermediary or flow-through entity's GIIN							
15f Country code		15g Foreign tax identification number, if any					
15h Address (number and street)							
15i City or town, state or province, country, ZIP or foreign postal code							
13h Recipient's GIIN							
13i Recipient's foreign tax identification number, if any						13j LOB code	
13k Recipient's account number 78001 9000003612				13l Recipient's date of birth 00000000			
16a Payer's name VANECK VECTORS CHINAAMC CSI 300 ETF		16b Payer's TIN 264640074		16c Payer's GIIN			
16d Ch. 3 status code 02		16e Ch. 4 status code 02		17a State income tax withheld			
17b Payer's state tax no.		17c Name of state					

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

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Form **1042-S** (2019)

Explanation of Codes

Box 1. Income Code.

Table with 2 columns: Code, Types of Income. Rows include Interest (01-33), Dividend (06-08).

Table with 2 columns: Code, Description. Rows include Dividend (34-53), Other (09-19).

See back of Copy C for additional codes

1 This code should only be used if the income paid is described in Regulations section 1.1441-6(c)(2) and withholding agent has reduced the rate of withholding under an income tax treaty without the recipient providing a U.S. or foreign TIN.

Explanation of Codes (continued)

Table with 2 columns: Code, Description. Rows include 20-42.

Table with 2 columns: Code, Description. Rows include 06-23, Chapter 4 (13-19), 20-21.

Table with 2 columns: Code, Description. Rows include 42-50, Chapter 3 (01-05).

Table with 2 columns: Code, Description. Rows include Boxes 12b, 12c, 13f, 13g, 15b, 15c, 16d, and 16e. Withholding Agent, Recipient, Intermediary, and Payer Chapter 3 and Chapter 4 Status Codes. Type of Recipient, Withholding Agent, Payer, or Intermediary Code. Chapter 3 Status Codes.

2 If compensation that otherwise would be covered under Income Codes 17 through 20 is directly attributable to the recipient's occupation as an artist or athlete, use Income Code 42 or 43 instead.
3 Subject to 30% withholding rate unless the recipient is from one of the treaty countries listed under Gambling winnings (Income Code 28) in Pub. 515.
4 Use appropriate Interest Income Code for embedded interest in a notional principal contract.
5 Income Code 43 should only be used if Letter 4492, Venue Notification has been issued by the Internal Revenue Service (otherwise use Income Code 42 for earnings as an artist or athlete). If Income Code 42 or 43 is used, Recipient Code 22 (artist or athlete) should be used instead of Recipient Code 16 (individual), 13 (corporation), or 08 (partnership other than withholding foreign partnerships).
6 Use only to report gross income the tax for which is being deposited in the current year because such tax was previously escrowed for chapters 3 and 4 and the withholding agent previously reported the gross income in a prior year and checked the box to report the tax as not deposited under the escrow procedure. See the instructions to this form for further explanation.
7 Use only to report a U.S. reportable account or nonconsenting U.S. account that is receiving a payment subject to chapter 3 withholding.
8 Use only if applying the escrow procedure for dormant accounts under Regulations section 1.1471-10(b)(8). If tax was withheld and deposited under chapter 3, do not check box 7b ("tax not deposited with IRS pursuant to escrow procedure"). You must instead enter "3" in box 3 and complete box 3b.

Explanation of Codes (continued)

Table with 2 columns: Code, Description. Rows include 10-32, Chapter 4 Status Codes (01-19), Pooled Reporting Codes (27-32).

Table with 2 columns: Code, Description. Rows include 20-32, Chapter 4 Status Codes (01-19), Pooled Reporting Codes (42-49).

9 Codes 27 through 32 should only be used by a QI, QSL, WP, or WT. A QI acting as a QDD may use code 27 or 28.
10 This code should only be used if income is paid to an account that is excluded from the definition of financial account under Regulations section 1.1471-5(b)(2) or under Annex II of the applicable Model 1 IGA or Model 2 IGA.
11 This code should only be used when the withholding agent has received a certification on the FFI withholding statement of a participating FFI or registered deemed-compliant FFI that maintains the account that the FFI has reported the account held by the passive NFFE as a U.S. account (or U.S. reportable account) under its FATCA requirements. The withholding agent must report the name and GIN of such FFI in boxes 15d and 15e.
12 This code should only be used by a withholding agent that is reporting a payment (or portion of a payment) made to a QI with respect to the QI's recalcitrant account holders.

Box 13j. LOB Code (enter the code that best describes the applicable limitation on benefits (LOB) category that qualifies the taxpayer for the requested treaty benefits).

Table with 2 columns: LOB Code, LOB Treaty Category. Rows include 02-11.

19 Passive NFFE identifying Substantial U.S. Owners