Refer to E16-E30 (CommSec Transaction Summary)

E1

# INVESTMENT TRANSACTION SUMMARY

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| A | | | | | | | | | | | | | | | | | | | |
| 88 Energy Limited | 88E | 2-Nov-20 | | | | 35,000 | 213.50 | | 1-Apr-21 | 35,000 | 213.50 | 2,605.05 | - | 2,391.55 | - | - | - | | |
| | | 2-Nov-20 | | | | 35,000 | 213.50 | | 6-Apr-21 | 35,000 | 213.50 | 830.00 | - | 616.50 | - | - | - | | |
| | | 2-Nov-20 | | | | 30,000 | 183.00 | | 5-May-21 | 30,000 | 183.00 | 740.00 | - | 557.00 | - | - | - | | |
| | | | | | | **100,000** | **610.00** | - | | **100,000** | **610.00** | **4,175.05** | **-** | **3,565.05** | **-** | **-** | **-** | **-** | **-** |
| Adairs Limited | ADH | 11-Nov-20 | | | | 500 | 1,699.95 | | | | | - | - | - | - | 500 | 1,699.95 | 4.2500 | 2,125.00 |
| | | | | | | **500** | **1,699.95** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **500** | **1,699.95** | | **2,125.00** |
| AGL Energy Limited | AGL | 17-Apr-20 | 100 | 1,755.95 | | | | | 22-Sep-20 | 100 | 1,755.95 | 1,387.05 | - | - | (368.90) | - | - | | - |
| | | 17-Apr-20 | 100 | 1,777.95 | | | | | 3-Mar-21 | 100 | 1,777.95 | 941.01 | - | - | (836.94) | - | - | | - |
| | | 26-May-20 | 200 | 3,339.95 | | | | | 3-Mar-21 | 200 | 3,339.95 | 1,882.03 | - | - | (1,457.93) | - | - | | - |
| | | 19-Aug-20 | | | | 100 | 1,580.95 | | 3-Mar-21 | 100 | 1,580.95 | 941.01 | - | - | (639.94) | - | - | | - |
| | | 20-Aug-20 | | | | 100 | 1,570.95 | | 26-May-21 | 100 | 1,570.95 | 826.64 | - | - | (744.31) | - | - | | - |
| | | 24-Aug-20 | | | | 200 | 3,127.95 | | 26-May-21 | 200 | 3,127.95 | 1,653.27 | - | - | (1,474.68) | - | - | | - |
| | | | 400 | **6,873.85** | - | **400** | **6,279.85** | - | | **800** | **13,153.70** | **7,631.01** | **-** | **-** | **(5,522.69)** | **-** | **-** | | **-** |
| Aeris Resources Limited | AIS | 11-Jun-21 | | | | 2,500 | 510.00 | | | | | - | - | - | - | 2,500 | 510.00 | 0.1950 | 487.50 |
| | | | | | | **2,500** | **510.00** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **2,500** | **510.00** | | **487.50** |
| Ampol Ltd | ALD | 11-Jul-18 | 100 | 3,133.95 | | | | | 12-Nov-20 | 100 | 3,133.95 | 2,758.05 | - | - | (375.90) | - | - | | - |
| | | 2-May-19 | 100 | 2,705.45 | | | | | 30-Nov-20 | 100 | 2,705.45 | 3,034.01 | 328.56 | - | - | - | - | | - |
| | | 20-Jun-19 | 100 | 2,119.95 | | | | | 30-Nov-20 | 100 | 2,119.95 | 3,034.01 | 914.06 | - | - | - | - | | - |
| | | 20-Jun-19 | 100 | 2,116.95 | | | | | 30-Nov-20 | 100 | 2,116.95 | 3,034.01 | 917.06 | - | - | - | - | | - |
| | | 14-Aug-19 | 200 | 5,299.95 | | | | | 30-Nov-20 | 200 | 5,299.95 | 6,068.02 | 768.07 | - | - | - | - | | - |
| | | | **600** | **15,376.25** | - | **-** | **-** | **-** | | **600** | **15,376.25** | **17,928.10** | **2,927.75** | **-** | **(375.90)** | **-** | **-** | | **-** |
| Alliance Aviation Services Limited | AQZ | 5-May-21 | | | | 600 | 2,509.95 | | 6-May-21 | 600 | 2,509.95 | 2,476.05 | - | - | (33.90) | - | - | | - |
| | | | - | - | - | **600** | **2,509.95** | - | | **600** | **2,509.95** | **2,476.05** | **-** | **-** | **(33.90)** | **-** | **-** | **-** | **-** |
| Amcor Limited FPO | AMC | 30-May-18 | 100 | 1,406.98 | | | | | | | | | - | - | - | 100 | 1,406.98 | 15.1300 | 1,513.00 |
| | | 13-May-19 | 300 | 4,921.95 | | | | | | | | | - | - | - | 300 | 4,921.95 | 15.1300 | 4,539.00 |
| | | 26-Feb-20 | 100 | 1,500.95 | | | | | | | | | - | - | - | 100 | 1,500.95 | 15.1300 | 1,513.00 |
| | | 12-May-20 | 100 | 1,400.45 | | | | | | | | | - | - | - | 100 | 1,400.45 | 15.1300 | 1,513.00 |
| | | | **600** | **9,230.33** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **600** | **9,230.33** | | **9,078.00** |
| Australia and New Zealand Banking Group Ltd | ANZ | 23-Mar-20 | 100 | 1,459.98 | | | | | | | | | - | - | - | 100 | 1,459.98 | 28.1500 | 2,815.00 |
| | | 2-Apr-20 | 100 | 1,636.95 | | | | | | | | | - | - | - | 100 | 1,636.95 | 28.1500 | 2,815.00 |
| | | | **200** | **3,096.93** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **200** | **3,096.93** | | **5,630.00** |
| Australian Mines LTD FPO | AUZ | 6-Sep-17 | 35,000 | 535.00 | | | | | 5-May-21 | 35,000 | 535.00 | 726.54 | 191.54 | - | - | - | - | | - |
| | | 31-Jan-18 | 10,000 | 1,010.00 | | | | | 5-May-21 | 10,000 | 1,010.00 | 207.58 | - | - | (802.42) | - | - | | - |
| | | 12-Sep-18 | 10,000 | 510.00 | | | | | 5-May-21 | 10,000 | 510.00 | 207.58 | - | - | (302.42) | - | - | | - |
| | | 15-May-19 | 27,500 | 532.50 | | | | | 5-May-21 | 27,500 | 532.50 | 570.85 | 38.35 | - | - | - | - | | - |
| | | | **82,500** | **2,587.50** | - | **-** | **-** | **-** | | **82,500** | **2,587.50** | **1,712.55** | **229.89** | **-** | **(1,104.84)** | **-** | **-** | | **-** |
| Avita Therapeutics | AVH | 24-Jul-19 | 55 | 440.00 | | | | | 28-Jan-21 | 55 | 440.00 | 382.81 | - | - | (57.19) | - | - | | - |
| Renamed from Avita Medical Ltd | | 31-Jul-19 | 60 | 520.00 | | | | | 28-Jan-21 | 60 | 520.00 | 417.61 | - | - | (102.39) | - | - | | - |
| | | 31-Jul-19 | 60 | 541.00 | | | | | 28-Jan-21 | 60 | 541.00 | 417.61 | - | - | (123.39) | - | - | | - |
| | | 22-Oct-19 | 75 | 1,084.95 | | | | | 28-Jan-21 | 75 | 1,084.95 | 522.01 | - | - | (562.94) | - | - | | - |
| | | 14-Nov-19 | 50 | 605.00 | | | | | 28-Jan-21 | 50 | 605.00 | 348.01 | - | - | (257.00) | - | - | | - |
| | | 10-Dec-19 | 100 | 1,099.95 | | | | | 28-Jan-21 | 100 | 1,099.95 | 696.01 | - | - | (403.94) | - | - | | - |
| | | 22-Apr-20 | 100 | 940.00 | | | | | 28-Jan-21 | 100 | 940.00 | 696.01 | - | - | (243.99) | - | - | | - |
| | | | **500** | **5,230.90** | - | **-** | **-** | **-** | | **500** | **5,230.90** | **3,480.05** | **-** | **-** | **(1,750.85)** | **-** | **-** | | **-** |
| Alumina Limited | AWC | 24-Apr-19 | 100 | 235.83 | | | | | 9-Jul-20 | 100 | 235.83 | 160.75 | - | - | (75.07) | - | - | | - |
| | | 29-May-19 | 900 | 2,179.95 | | | | | 9-Jul-20 | 900 | 2,179.95 | 1,446.77 | - | - | (733.18) | - | - | | - |
| | | 28-Jun-19 | 1,000 | 2,339.95 | | | | | 9-Jul-20 | 1,000 | 2,339.95 | 1,607.53 | - | - | (732.43) | - | - | | - |
| | | 28-Aug-20 | | | | 1,000 | 1,629.95 | | | | | | - | - | - | 1,000 | 1,629.95 | 1.6450 | 1,645.00 |
| | | 22-Sep-20 | | | | 1,000 | 1,449.95 | | | | | | - | - | - | 1,000 | 1,449.95 | 1.6450 | 1,645.00 |
| | | 29-Sep-20 | | | | 1,000 | 1,459.95 | | | | | | - | - | - | 1,000 | 1,459.95 | 1.6450 | 1,645.00 |
| | | 27-Oct-20 | | | | 2,000 | 2,879.95 | | | | | | - | - | - | 2,000 | 2,879.95 | 1.6450 | 3,290.00 |
| | | | **2,000** | **4,755.73** | - | **5,000** | **7,419.80** | - | | **2,000** | **4,755.73** | **3,215.05** | **-** | **-** | **(1,540.68)** | **5,000** | **7,419.80** | | **8,225.00** |
| Accent Group Ltd | AX1 | 28-Jan-20 | 1,000 | 1,729.95 | | | | | | | | | - | - | - | 1,000 | 1,729.95 | 2.7900 | 2,790.00 |
| | | 28-Feb-20 | 1,000 | 1,694.95 | | | | | | | | | - | - | - | 1,000 | 1,694.95 | 2.7900 | 2,790.00 |
| | | 10-Mar-20 | 1,000 | 1,284.95 | | | | | | | | | - | - | - | 1,000 | 1,284.95 | 2.7900 | 2,790.00 |
| | | 20-Mar-20 | 1,000 | 730.00 | | | | | | | | | - | - | - | 1,000 | 730.00 | 2.7900 | 2,790.00 |
| | | | **4,000** | **5,439.85** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **4,000** | **5,439.85** | | **11,160.00** |
| Aurizon Holding Ltd | AZJ | 18-Sep-18 | 200 | 866.30 | | | | | | | | | - | - | - | 200 | 866.30 | 3.7200 | 744.00 |
| | | 11-Dec-18 | 200 | 886.00 | | | | | | | | | - | - | - | 200 | 886.00 | 3.7200 | 744.00 |
| | | 11-Dec-18 | 200 | 886.00 | | | | | | | | | - | - | - | 200 | 886.00 | 3.7200 | 744.00 |
| | | 6-May-19 | 300 | 1,483.95 | | | | | | | | | - | - | - | 300 | 1,483.95 | 3.7200 | 1,116.00 |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 15-Feb-21 | | | | 500 | 2,029.95 | | | | | | - | - | - | 500 | 2,029.95 | 3.7200 | 1,860.00 |
| | | 18-Feb-21 | | | | 600 | 2,401.95 | | | | | | - | - | - | 600 | 2,401.95 | 3.7200 | 2,232.00 |
| | | 24-Feb-21 | | | | 200 | 796.00 | | | | | | - | - | - | 200 | 796.00 | 3.7200 | 744.00 |
| | | 10-May-21 | | | | 800 | 2,971.95 | | | | | | - | - | - | 800 | 2,971.95 | 3.7200 | 2,976.00 |
| | | 30-Jun-21 | | | | 480 | 1,815.15 | | | | | | - | - | - | 480 | 1,815.15 | 3.7200 | 1,785.60 |
| | | | **900** | **4,122.25** | - | **2,580** | **10,015.00** | - | | - | - | - | **-** | **-** | **-** | **3,480** | **14,137.25** | | **12,945.60** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| B | | | | | | | | | | | | | - | - | - | - | | | |
| Bendigo and Adelaide | BEN | 25-Aug-16 | 400 | 4,319.31 | | | | | 20-Aug-20 | 400 | 4,319.31 | 2,550.05 | - | - | (1,769.26) | - | - | | - |
| | | 25-Aug-16 | 500 | 5,399.13 | | | | | 8-Sep-20 | 100 | 1,079.83 | 638.00 | - | - | (441.83) | 400 | 4,319.31 | 10.4900 | 4,196.00 |
| | | | **900** | **9,718.44** | - | **-** | **-** | - | | **500** | **5,399.13** | **3,188.05** | **-** | **-** | **(2,211.08)** | **400** | **4,319.31** | | **4,196.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Bellevue Gold Ltd | BGL | 22-Jun-20 | 500 | 529.98 | | | | | 4-Jan-21 | 500 | 529.98 | 555.00 | - | 25.02 | - | - | - | | - |
| | | 22-Jun-20 | 500 | 529.97 | | | | | 27-Jan-21 | 500 | 529.97 | 506.28 | - | - | (23.70) | - | - | | - |
| | | 24-Jun-20 | 500 | 582.14 | | | | | 27-Jan-21 | 500 | 582.14 | 506.28 | - | - | (75.87) | - | - | | - |
| | | 24-Jun-20 | 200 | 232.86 | | | | | 15-Feb-21 | 200 | 232.86 | 194.86 | - | - | (38.00) | - | - | | - |
| | | 31-Jul-20 | | | | 1,300 | 1,345.95 | | 15-Feb-21 | 1,300 | 1,345.95 | 1,266.59 | - | - | (79.36) | - | - | | - |
| | | 10-Nov-20 | | | | 2,000 | 2,679.95 | | 15-Feb-21 | 2,000 | 2,679.95 | 1,948.60 | - | - | (731.35) | - | - | | - |
| | | | **1,700** | **1,874.95** | | **3,300** | **4,025.90** | - | | **5,000** | **5,900.85** | **4,977.60** | **-** | **25.02** | **(948.27)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | | | | | | | |
| BHP Billiton | BHP | 6-Mar-10 | 100 | 3,293.69 | | | | | 23-Sep-20 | 100 | 3,293.69 | 3,678.05 | 384.36 | - | - | - | - | | - |
| | | 6-Mar-10 | 100 | 3,293.68 | | | | | 5-Oct-20 | 100 | 3,293.68 | 3,581.05 | 287.37 | - | - | - | - | | - |
| | | 9-Mar-20 | 100 | 2,852.95 | | | | | | | | | - | - | - | 100 | 2,852.95 | 48.5700 | 4,857.00 |
| | | 10-Mar-20 | 100 | 2,844.95 | | | | | | | | | - | - | - | 100 | 2,844.95 | 48.5700 | 4,857.00 |
| | | 13-Mar-20 | 100 | 2,469.95 | | | | | | | | | - | - | - | 100 | 2,469.95 | 48.5700 | 4,857.00 |
| | | 1-May-20 | 100 | 3,101.95 | | | | | | | | | - | - | - | 100 | 3,101.95 | 48.5700 | 4,857.00 |
| | | 7-May-20 | 200 | 6,199.95 | | | | | | | | | - | - | - | 200 | 6,199.95 | 48.5700 | 9,714.00 |
| | | | **800** | **24,057.12** | - | **-** | **-** | - | | **200** | **6,587.37** | **7,259.10** | **671.73** | **-** | **-** | **600** | **17,469.75** | | **29,142.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Boss Resources Ltd | BOE | 10-Oct-18 | 8,000 | 554.00 | | | | | | | | | - | - | - | 8,000 | 554.00 | 0.1800 | 1,440.00 |
| | | 1-Oct-20 | | | | 8,000 | 546.00 | | | | | | - | - | - | 8,000 | 546.00 | 0.1800 | 1,440.00 |
| | | 12-Apr-21 | | | | 3,200 | 490.00 | | | | | | - | - | - | 3,200 | 490.00 | 0.1800 | 576.00 |
| | | | **8,000** | **554.00** | - | **11,200** | **1,036.00** | | | - | - | - | **-** | **-** | **-** | **19,200** | **1,590.00** | | **3,456.00** |
| | | | | | | | | | | | | | | | | | | | |
| Brickworks Limited FPO | BKW | 28-Jul-17 | 200 | 2,699.95 | | | | | | | | | - | - | - | 200 | 2,699.95 | 25.0700 | 5,014.00 |
| | | 11-Aug-17 | 200 | 2,664.00 | | | | | | | | | - | - | - | 200 | 2,664.00 | 25.0700 | 5,014.00 |
| | | | **400** | **5,363.95** | - | **-** | **-** | - | | - | - | - | **-** | **-** | **-** | **400** | **5,363.95** | | **10,028.00** |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Bigtincan Holding | BTH | 21-Oct-20 | | | | 500 | 740.00 | | 12-Apr-21 | 500 | 740.00 | 495.00 | - | - | (245.00) | - | - | | - |
| | | | **-** | **-** | - | **500** | **740.00** | - | | **500** | **740.00** | **495.00** | **-** | **-** | **(245.00)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | | | | | | | |
| C | | | | | | | | | | | | | | | | | | | |
| Commonwealth Bank of Australia | CBA | 4-Sep-15 | 200 | 14,437.95 | | | | | | | | | - | - | - | 200 | 14,437.95 | 99.87 | 19,974.00 |
| | | 8-Jul-16 | 100 | 7,253.45 | | | | | | | | | - | - | - | 100 | 7,253.45 | 99.87 | 9,987.00 |
| | | | **300** | **21,691.40** | - | **-** | **-** | - | | - | - | - | **-** | **-** | **-** | **300** | **21,691.40** | | **29,961.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Codan Limited | CDA | 9-Aug-18 | 100 | 296.00 | | | | | 16-Feb-21 | 100 | 296.00 | 1,297.05 | 1,001.05 | - | - | - | - | 18.03 | - |
| | | 24-Aug-18 | 300 | 985.00 | | | | | 30-Jun-21 | 100 | 328.33 | 1,802.05 | 1,473.72 | - | - | 200 | 656.67 | 18.03 | 3,606.00 |
| | | 28-Aug-18 | 400 | 1,283.95 | | | | | | | | | - | - | - | 400 | 1,283.95 | 18.03 | 7,212.00 |
| | | | **800** | **2,564.95** | - | **-** | **-** | - | | **200** | **624.33** | **3,099.10** | **2,474.77** | **-** | **-** | **600** | **1,940.62** | | **10,818.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Coca - Cola Amatil Ltd | CCL | 21-Aug-15 | 4 | 35.28 | | | | | 28-Oct-20 | 4 | 35.28 | 49.61 | 14.34 | - | - | - | - | | - |
| | | 6-Oct-15 | 10 | 80.80 | | | | | 28-Oct-20 | 10 | 80.80 | 124.04 | 43.24 | - | - | - | - | | - |
| | | 22-Jan-16 | 186 | 1,519.11 | | | | | 28-Oct-20 | 186 | 1,519.11 | 2,307.07 | 787.96 | - | - | - | - | | - |
| | | 17-Feb-16 | 100 | 873.65 | | | | | 28-Oct-20 | 100 | 873.65 | 1,240.36 | 366.71 | - | - | - | - | | - |
| | | 17-Feb-16 | 200 | 1,747.30 | | | | | 2-Nov-20 | 200 | 1,747.30 | 2,465.05 | 717.75 | - | - | - | - | | - |
| | | | **500** | **4,256.14** | - | **-** | **-** | - | | **500** | **4,256.14** | **6,186.13** | **1,929.99** | **-** | **-** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| City CHIC Collective Limited | CCX | 5-Aug-20 | | | | 400 | 1,355.93 | | 27-Aug-20 | 400 | 1,355.93 | 1,304.05 | - | - | (51.88) | - | - | | - |
| | | 1-Feb-21 | | | | 200 | 782.00 | | 24-Feb-21 | 200 | 782.00 | 784.00 | - | 2.00 | - | - | - | | - |
| | | | - | - | - | **600** | **2,137.93** | - | | **600** | **2,137.93** | **2,088.05** | **-** | **2.00** | **(51.88)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | | | | | | | |
| Challenger Ltd | CGF | 16-Feb-16 | 600 | 4,451.97 | | | | | 11-Aug-20 | 600 | 4,451.97 | 2,444.03 | - | - | (2,007.95) | - | - | | - |
| | | 25-May-20 | 600 | 2,875.95 | | | | | 11-Aug-20 | 600 | 2,875.95 | 2,444.02 | - | - | (431.93) | - | - | | - |
| | | | **1,200** | **7,327.92** | - | **-** | **-** | - | | **1,200** | **7,327.92** | **4,888.05** | **-** | **-** | **(2,439.88)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| The Citiadel Ltd | CGL | 10-Jun-20 | 1,000 | 3,579.95 | | | | | 15-Sep-20 | 1,000 | 3,579.95 | 5,550.05 | - | 1,970.10 | - | - | - | | - |
| | | | **1,000** | **3,579.95** | - | **-** | **-** | - | | **1,000** | **3,579.95** | **5,550.05** | **-** | **1,970.10** | **-** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Capricorn Metals Ltd | CMM | 26-Jul-19 | 620 | 521.50 | | | | | 27-Jan-21 | 620 | 521.50 | 1,035.60 | 514.10 | - | - | - | - | | - |
| | | | **620** | **521.50** | - | **-** | **-** | - | | **620** | **521.50** | **1,035.60** | **514.10** | **-** | **-** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | | | | | | | |
| Coles Group Ltd | COL | 22-Apr-20 | 100 | 1,599.98 | | | | | | | | | - | - | - | 100 | 1,599.98 | 17.0900 | 1,709.00 |
| | | 29-Apr-20 | 200 | 3,123.95 | | | | | | | | | - | - | - | 200 | 3,123.95 | 17.0900 | 3,418.00 |
| | | 17-May-21 | | | | 200 | 3,291.50 | | | | | | - | - | - | 200 | 3,291.50 | 17.0900 | 3,418.00 |
| | | | **300** | **4,723.93** | - | **200** | **3,291.50** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **500** | **8,015.43** | | **8,545.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| Castile Resources | CST | 2-Sep-19 | 52 | 142.22 | | | | | 22-Oct-20 | 52 | 142.22 | 20.28 | | - | (121.94) | - | - | | - |
| Capital payment - Unmarket sale | | 15-Oct-19 | 75 | 178.48 | | | | | 22-Oct-20 | 75 | 178.48 | 29.25 | | - | (149.23) | - | - | | - |
| | | | | **320.69** | | **-** | **-** | **-** | | **127** | **320.69** | **49.53** | **-** | **-** | **(271.16)** | **-** | **-** | | **-** |
| Cashrewards Limited | CRW | 25-Jan-20 | | | | 1,000 | 1,719.95 | | 1-Mar-21 | 1,000 | 1,719.95 | 1,635.05 | - | - | (84.90) | - | - | | - |
| | | | **-** | **-** | **-** | **1,000** | **1,719.95** | **-** | | **1,000** | **1,719.95** | **1,635.05** | **-** | **-** | **(84.90)** | **-** | **-** | **-** | **-** |
| Cedar Woods Prop | CWP | 4-Oct-16 | 100 | 490.00 | | | | | | | | | - | - | - | 100 | 490.00 | 6.7100 | 671.00 |
| | | 4-Oct-16 | (100) | (490.00) | | | | | | | | | - | - | - | (100) | (490.00) | 6.7100 | (671.00) |
| | | 20-Dec-16 | 900 | 4,643.96 | | | | | | | | | - | - | - | 900 | 4,643.96 | 6.7100 | 6,039.00 |
| | | 20-Dec-16 | (200) | (1,031.99) | | | | | | | | | - | - | - | (200) | (1,031.99) | 6.7100 | (1,342.00) |
| | | 22-Aug-17 | 1,000 | 5,209.95 | | | | | | | | | - | - | - | 1,000 | 5,209.95 | 6.7100 | 6,710.00 |
| | | | **1,700** | **8,821.92** | **-** | | | | | | | | **-** | **-** | **-** | **1,700** | **8,821.92** | | **11,407.00** |
| D | | | | | | | | | | | | | - | - | - | - | - | | |
| Dacian Gold Ltd | DCN | 20-Jun-19 | 1,000 | 650.00 | | | | | 12-Feb-21 | 1,000 | 650.00 | 428.77 | - | - | (221.23) | - | - | | - |
| | | 8-May-20 | 1,000 | 300.00 | | | | | 12-Feb-21 | 1,000 | 300.00 | 428.77 | - | 128.77 | - | - | - | | - |
| | | 31-Dec-20 | | | | 1,200 | 502.00 | | 12-Feb-21 | 1,200 | 502.00 | 514.52 | - | 12.52 | - | - | - | | - |
| | | | **2,000** | **950.00** | **-** | **1,200** | **502.00** | **-** | **13-May-63** | **3,200** | **1,452.00** | **1,372.05** | **-** | **141.28** | **(221.23)** | **-** | **-** | | **-** |
| Deep Yellow Limited | DYL | 26-Sep-18 | 1,000 | 565.00 | | | | | | | | | - | - | - | - | - | | |
| | | 5-Jul-19 | 3,226 | 1,000.00 | | | | | | | | | - | - | - | 1,000 | 565.00 | 0.7150 | 715.00 |
| | | | **4,226** | **1,565.00** | **-** | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | 3,226 | 1,000.00 | 0.7150 | 2,306.59 |
| E | | | | | | | | | | | | | **-** | **-** | **-** | **4,226** | **1,565.00** | | **3,021.59** |
| EML Payments Limited | EML | 10-Jun-21 | | | | 600 | 2,377.95 | | 15-Jun-21 | 600 | 2,377.95 | 2,248.05 | - | - | (129.90) | - | - | | - |
| | | | **-** | **-** | **-** | **600** | **2,377.95** | **-** | | **600** | **2,377.95** | **2,248.05** | **-** | **-** | **(129.90)** | **-** | **-** | **-** | **-** |
| Evolution Mining Ltd | EVN | 6-Nov-19 | 500 | 2,069.95 | | | | | | | | | - | - | - | - | - | | |
| | | 14-Nov-19 | 500 | 1,969.95 | | | | | | | | | - | - | - | 500 | 2,069.95 | 4.5000 | 2,250.00 |
| | | 19-Dec-19 | 1,000 | 3,369.95 | | | | | | | | | - | - | - | 500 | 1,969.95 | 4.5000 | 2,250.00 |
| | | 30-Dec-19 | 1,000 | 3,769.95 | | | | | | | | | - | - | - | 1,000 | 3,369.95 | 4.5000 | 4,500.00 |
| | | 13-Feb-20 | 1,000 | 4,089.95 | | | | | | | | | - | - | - | 1,000 | 3,769.95 | 4.5000 | 4,500.00 |
| | | 18-Feb-20 | 1,000 | 4,299.95 | | | | | | | | | - | - | - | 1,000 | 4,089.95 | 4.5000 | 4,500.00 |
| | | 2-Dec-20 | | | | 500 | 2,604.13 | | | | | | - | - | - | 1,000 | 4,299.95 | 4.5000 | 4,500.00 |
| | | 4-Dec-20 | | | | 500 | 2,499.95 | | | | | | - | - | - | 500 | 2,604.13 | 4.5000 | 2,250.00 |
| | | 7-Jan-21 | | | | 1,000 | 5,099.95 | | | | | | - | - | - | 500 | 2,499.95 | 4.5000 | 2,250.00 |
| | | 17-Feb-21 | | | | 1,000 | 4,279.95 | | | | | | - | - | - | 1,000 | 5,099.95 | 4.5000 | 4,500.00 |
| | | 16-Mar-21 | | | | 1,000 | 4,209.95 | | | | | | - | - | - | 1,000 | 4,279.95 | 4.5000 | 4,500.00 |
| | | 18-Mar-21 | | | | 500 | 2,129.95 | | | | | | - | - | - | 1,000 | 4,209.95 | 4.5000 | 4,500.00 |
| | | | **5,000** | **19,569.70** | | **4,500** | **20,823.88** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | 500 | 2,129.95 | 4.5000 | 2,250.00 |
| F | | | | | | | | | | | | | - | - | - | **9,500** | **40,393.58** | | **42,750.00** |
| Flight Centre Travel Group Ltd | FLT | 6-Mar-20 | 12 | 325.19 | | | | | 16-Feb-21 | 12 | 325.19 | 168.01 | - | - | (157.19) | - | - | | - |
| | | 8-May-20 | 88 | 633.60 | | | | | 16-Feb-21 | 88 | 633.60 | 1,232.04 | - | 598.44 | - | - | - | | - |
| | | 8-May-20 | 200 | 1,440.00 | | | | | 17-Mar-21 | 200 | 1,440.00 | 3,059.05 | - | 1,619.05 | - | - | - | | - |
| | | | **300** | **2,398.79** | **-** | **-** | **-** | **-** | | **300** | **2,398.79** | **4,459.10** | **-** | **2,217.49** | **(157.19)** | **-** | **-** | | **-** |
| G | | | | | | | | | | | | | - | - | - | - | - | | |
| Gold Road Resources Ltd | GOR | 4-Mar-16 | 850 | 432.65 | | | | | 16-Feb-21 | 850 | 432.65 | 1,032.79 | 600.14 | - | - | - | - | | - |
| | | 24-May-15 | 685 | 301.40 | | | | | 16-Feb-21 | 150 | 66.00 | 182.26 | 116.26 | - | - | 535 | 235.40 | 1.2600 | 674.10 |
| | | 1-May-17 | 1,000 | 684.95 | | | | | | | | | - | - | - | 1,000 | 684.95 | 1.2600 | 1,260.00 |
| | | 19-May-17 | 2,000 | 1,509.95 | | | | | | | | | - | - | - | 2,000 | 1,509.95 | 1.2600 | 2,520.00 |
| | | 14-May-19 | 1,000 | 1,059.95 | | | | | | | | | - | - | - | 1,000 | 1,059.95 | 1.2600 | 1,260.00 |
| | | 30-May-19 | 1,000 | 995.00 | | | | | | | | | - | - | - | 1,000 | 995.00 | 1.2600 | 1,260.00 |
| | | 10-Jul-19 | 1,465 | 1,726.68 | | | | | | | | | - | - | - | 1,465 | 1,726.68 | 1.2600 | 1,845.90 |
| | | 7-Apr-20 | 1,000 | 1,409.95 | | | | | | | | | - | - | - | 1,000 | 1,409.95 | 1.2600 | 1,260.00 |
| | | 16-Sep-20 | | | | 2,000 | 3,349.95 | | | | | | - | - | - | 2,000 | 3,349.95 | 1.2600 | 2,520.00 |
| | | 30-Oct-20 | | | | 1,000 | 1,339.95 | | | | | | - | - | - | 1,000 | 1,339.95 | 1.2600 | 1,260.00 |
| | | 22-Mar-21 | | | | 1,000 | 1,239.95 | | | | | | - | - | - | 1,000 | 1,239.95 | 1.2600 | 1,260.00 |
| | | | **9,000** | **8,120.53** | **-** | **4,000** | **5,929.85** | **-** | | **1,000** | **498.65** | **1,215.05** | **716.40** | **-** | **-** | **12,000** | **13,551.73** | | **15,120.00** |
| I | | | | | | | | | | | | | - | - | - | - | - | | |
| IDP Education Limited FPO | IEL | 12-May-20 | 100 | 1,063.83 | | | | | 5-Aug-20 | 100 | 1,063.83 | 1,284.05 | - | 220.22 | - | - | - | | - |
| | | | **-** | **1,063.83** | **-** | **-** | **-** | **-** | | **100** | **1,063.83** | **1,284.05** | **-** | **220.22** | **-** | **-** | **-** | | **-** |
| IOOF Holdings Limited | IFL | 5-Oct-20 | - | - | | 1,000 | 3,199.95 | | | | | | - | - | - | 1,000 | 3,199.95 | 4.2700 | 4,270.00 |
| | | | **-** | **-** | **-** | **1,000** | **3,199.95** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **1,000** | **3,199.95** | **4.27** | **4,270.00** |
| Imdex Limited | IMD | 7-Jul-20 | - | - | | 2,000 | 2,314.95 | | 27-Oct-20 | 2,000 | 2,314.95 | 2,550.05 | - | 235.10 | - | - | - | | - |
| | | | **-** | **-** | **-** | **2,000** | **2,314.95** | **-** | | **2,000** | **2,314.95** | **2,550.05** | **-** | **235.10** | **-** | **-** | **-** | **-** | **-** |
| K | | | | | | | | | | | | | - | - | - | - | - | | |
| Kina Securities Ltd | KSL | 5-Jul-18 | 1,000 | 860.00 | | | | | 5-Oct-20 | 1,000 | 860.00 | 770.00 | - | - | (90.00) | - | - | | - |
| | | | **1,000** | **860.00** | **-** | **-** | **-** | **-** | | **1,000** | **860.00** | **770.00** | **-** | **-** | **(90.00)** | **-** | **-** | | **-** |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| L | | | | | | | | | | | | | - | - | - | - | - | | |
| Lynas Corporation Ltd | LYC | 7-May-18 | 400 | 1,155.95 | | | | | | | | | - | - | - | 400 | 1,155.95 | 5.7100 | 2,284.00 |
| | | 4-May-18 | 200 | 506.00 | | | | | | | | | - | - | - | 200 | 506.00 | 5.7100 | 1,142.00 |
| | | 6-Sep-18 | 600 | 1,255.95 | | | | | | | | | - | - | - | 600 | 1,255.95 | 5.7100 | 3,426.00 |
| | | | **1,200** | **2,917.90** | - | - | - | - | | - | - | - | - | - | - | **1,200** | **2,917.90** | | **6,852.00** |
| | | | | | | | | | | | | | | | | | | | |
| Lycopodium Ltd | LYL | 30-Jul-19 | 300 | 1,720.95 | | | | | 2-Oct-20 | 300 | 1,720.95 | 1,206.03 | - | - | (514.92) | - | - | | - |
| | | 31-Jul-19 | 300 | 1,747.95 | | | | | 2-Oct-20 | 200 | 1,165.30 | 804.02 | - | - | (361.28) | 100 | 582.65 | | - |
| | | 31-Jul-19 | | | | | | | 16-Dec-20 | 100 | 582.65 | 465.00 | - | - | (117.65) | (100) | (582.65) | | - |
| | | 24-Sep-19 | 400 | 2,219.95 | | | | | 16-Dec-20 | 100 | 554.99 | 465.00 | - | - | (89.99) | 300 | 1,664.96 | | - |
| | | 24-Sep-19 | | | | | | | 7-Jan-21 | 300 | 1,664.96 | 1,458.02 | - | - | (206.95) | (300) | (1,664.96) | | - |
| | | 8-Nov-19 | 200 | 1,073.95 | | | | | 7-Jan-21 | 200 | 1,073.95 | 972.01 | - | - | (101.94) | - | - | | - |
| | | 19-Jun-19 | 200 | 974.00 | | | | | 7-Jan-21 | 200 | 974.00 | 972.01 | - | - | (1.99) | - | - | | - |
| | | 22-Jul-20 | | | | 300 | 1,288.95 | | 7-Jan-21 | 300 | 1,288.95 | 1,458.02 | - | 169.07 | - | - | - | | - |
| | | | **1,400** | **7,736.80** | - | **300** | **1,288.95** | - | | **1,700** | **9,025.75** | **7,800.10** | **-** | **169.07** | **(1,394.72)** | **-** | **0** | | **-** |
| M | | | | | | | | | | | | | - | - | - | - | - | | |
| Metal Bank Ltd FPO | MBK | 16-Oct-17 | 27,000 | 739.00 | | | | | | | | | - | - | - | 27,000 | 739.00 | 0.0070 | 189.00 |
| | | 24-Nov-17 | 5,400 | 108.00 | | | | | | | | | - | - | - | 5,400 | 108.00 | 0.0070 | 37.80 |
| | | 11-Apr-18 | 30,000 | 520.00 | | | | | | | | | - | - | - | 30,000 | 520.00 | 0.0070 | 210.00 |
| | | | **62,400** | **1,367.00** | - | - | - | - | | - | - | - | - | - | - | **62,400** | **1,367.00** | | **436.80** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Mineral Resources Ltd | MIN | 10-Jul-18 | 150 | 2,361.21 | | | | | 20-Aug-20 | 100 | 1,574.14 | 2,663.05 | 1,088.91 | - | - | 50 | 787.07 | | - |
| | | 10-Jul-18 | | | | | | | 4-Sep-20 | 50 | 787.07 | 1,402.53 | 615.46 | - | - | (50) | (787.07) | | - |
| | | 9-Aug-18 | 50 | 800.00 | | | | | 4-Sep-20 | 50 | 800.00 | 1,402.53 | 602.53 | - | - | - | - | | - |
| | | 16-Aug-18 | 200 | 2,808.95 | | | | | 17-Sep-20 | 200 | 2,808.95 | 5,007.08 | 2,198.13 | - | - | - | - | | - |
| | | 21-Nov-18 | 200 | 2,567.95 | | | | | 17-Sep-20 | 200 | 2,567.95 | 5,007.08 | 2,439.13 | - | - | - | - | | - |
| | | 1-Feb-19 | 100 | 1,562.95 | | | | | 17-Sep-20 | 100 | 1,562.95 | 2,503.54 | 940.59 | - | - | - | - | | - |
| | | 1-Mar-19 | 100 | 1,509.57 | | | | | 17-Sep-20 | 100 | 1,509.57 | 2,503.54 | 993.97 | - | - | - | - | | - |
| | | 3-Jun-19 | 200 | 2,954.95 | | | | | 17-Sep-20 | 200 | 2,954.95 | 5,007.08 | 2,052.13 | - | - | - | - | | - |
| | | | **1,000** | **14,565.58** | - | - | - | - | | **1,000** | **14,565.58** | **25,496.40** | **10,930.82** | **-** | **-** | **-** | **(0)** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Monadelphous Group | MND | 25-Feb-16 | 600 | 3,979.95 | | | | | | | | | - | - | - | 600 | 3,979.95 | 10.4500 | 6,270.00 |
| | | | **600** | **3,979.95** | - | - | - | - | | - | - | - | - | - | - | **600** | **3,979.95** | | **6,270.00** |
| | | | | | | | | | | | | | | | | | | | |
| Medibank Private Ltd | MPL | 15-Apr-20 | 1,000 | 2,779.95 | | | | | | | | | - | - | - | 1,000 | 2,779.95 | 3.1600 | 3,160.00 |
| | | 6-Aug-20 | | | | 2,000 | 5,659.95 | | | | | | - | - | - | 2,000 | 5,659.95 | 3.1600 | 6,320.00 |
| | | 28-Oct-20 | | | | 1,000 | 2,669.95 | | | | | | - | - | - | 1,000 | 2,669.95 | 3.1600 | 3,160.00 |
| | | | **1,000** | **2,779.95** | | **3,000** | **8,329.90** | - | | - | - | - | - | - | - | **4,000** | **11,109.85** | | **12,640.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Metcash Limited | MTS | 16-Dec-20 | | | | 1,000 | 3,519.95 | | | | | | - | - | - | 1,000 | 3,519.95 | 3.9900 | 3,990.00 |
| | | | - | - | - | **1,000** | **3,519.95** | - | | - | - | - | - | - | - | **1,000** | **3,519.95** | **3.99** | **3,990.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| N | | | | | | | | | | | | | - | - | - | - | - | | |
| National Australia Bank Ltd | NAB | 15-Oct-18 | 100 | 2,571.70 | | | | | | | | | - | - | - | 100 | 2,571.70 | 26.2200 | 2,622.00 |
| | | 2-Nov-18 | 200 | 5,029.95 | | | | | | | | | - | - | - | 200 | 5,029.95 | 26.2200 | 5,244.00 |
| | | | **300** | **7,601.65** | - | - | - | - | | - | - | - | - | - | - | **300** | **7,601.65** | | **7,866.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Newcrest Ltd | NCM | 12-Feb-21 | | | | 100 | 2,624.95 | | | | | | - | - | - | 100 | 2,624.95 | 25.2800 | 2,528.00 |
| | | 12-Feb-21 | | | | 100 | 2,647.95 | | | | | | - | - | - | 100 | 2,647.95 | 25.2800 | 2,528.00 |
| | | 15-Feb-21 | | | | 100 | 2,653.95 | | | | | | - | - | - | 100 | 2,653.95 | 25.2800 | 2,528.00 |
| | | 16-Feb-21 | | | | 100 | 2,609.95 | | | | | | - | - | - | 100 | 2,609.95 | 25.2800 | 2,528.00 |
| | | 1-Feb-21 | | | | 100 | 2,590.95 | | | | | | - | - | - | 100 | 2,590.95 | 25.2800 | 2,528.00 |
| | | 26-Feb-21 | | | | 100 | 2,489.95 | | | | | | - | - | - | 100 | 2,489.95 | 25.2800 | 2,528.00 |
| | | 25-Mar-21 | | | | 100 | 2,551.95 | | | | | | - | - | - | 100 | 2,551.95 | 25.2800 | 2,528.00 |
| | | 1-Apr-21 | | | | 100 | 2,528.67 | | | | | | - | - | - | 100 | 2,528.67 | 25.2800 | 2,528.00 |
| | | 6-Apr-21 | | | | 100 | 2,554.95 | | | | | | - | - | - | 100 | 2,554.95 | 25.2800 | 2,528.00 |
| | | | - | - | - | **900** | **23,253.27** | - | | - | - | - | - | - | - | **900** | **23,253.27** | | **22,752.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Nelson Resources Limited | NES | 3-Feb-21 | - | - | | 5,300 | 529.40 | | 15-Feb-21 | 5,300 | 529.40 | 493.50 | - | - | (35.90) | - | - | | - |
| | | | - | - | - | **5,300** | **529.40** | - | | **5,300** | **529.40** | **493.50** | **-** | **-** | **(35.90)** | **-** | **-** | - | **-** |
| | | | | | | | | | | | | | | | | | | | |
| Northern Star Ltd | NST | 30-Apr-18 | 200 | 1,289.30 | | | | | | | | | - | - | - | 200 | 1,289.30 | 9.78 | 1,956.00 |
| | | 4-May-18 | 200 | 1,347.95 | | | | | | | | | - | - | - | 200 | 1,347.95 | 9.78 | 1,956.00 |
| | | 22-May-18 | 100 | 642.00 | | | | | | | | | - | - | - | 100 | 642.00 | 9.78 | 978.00 |
| | | 23-May-18 | 100 | 630.00 | | | | | | | | | - | - | - | 100 | 630.00 | 9.78 | 978.00 |
| | | 24-May-18 | 100 | 629.50 | | | | | | | | | - | - | - | 100 | 629.50 | 9.78 | 978.00 |
| | | 24-Apr-19 | 200 | 1,635.95 | | | | | | | | | - | - | - | 200 | 1,635.95 | 9.78 | 1,956.00 |
| Allotment | | 3-Feb-20 | 121 | 1,089.00 | | | | | | | | | - | - | - | 121 | 1,089.00 | 9.78 | 1,183.38 |
| Scheme SAR-NST | | 12-Feb-21 | | | | 1,731 | 14,629.62 | | | | | | - | - | | 1,731 | 14,629.62 | 9.78 | 16,929.18 |
| | | 3-Mar-21 | | | | 200 | 1,971.95 | | | | | | - | - | - | 200 | 1,971.95 | 9.78 | 1,956.00 |
| | | 3-Mar-21 | | | | 200 | 2,006.95 | | | | | | - | - | - | 200 | 2,006.95 | 9.78 | 1,956.00 |
| | | 19-Apr-21 | | | | 400 | 4,599.95 | | | | | | - | - | - | 400 | 4,599.95 | 9.78 | 3,912.00 |
| | | 6-May-21 | | | | 240 | 2,562.75 | | | | | | - | - | - | 240 | 2,562.75 | 9.78 | 2,347.20 |
| | | 7-May-21 | | | | 188 | 2,065.39 | | | | | | - | - | - | 188 | 2,065.39 | 9.78 | 1,838.64 |
| | | 26-May-21 | | | | 220 | 2,558.75 | | | | | | - | - | - | 220 | 2,558.75 | 9.78 | 2,151.60 |
| | | | **1,021** | **7,263.70** | - | **3,179** | **30,395.36** | - | | - | - | - | - | - | - | **4,200** | **37,659.06** | | **41,076.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| NRW Holdings Limited | NWH | 19-May-21 | | | | 1,000 | 1,599.95 | | | | | | - | - | - | 1,000 | 1,599.95 | 1.4650 | 1,465.00 |
| | | 21-Jun-21 | | | | 1,000 | 1,389.95 | | | | | | - | - | - | 1,000 | 1,389.95 | 1.4650 | 1,465.00 |
| | | | - | - | - | **2,000** | **2,989.90** | - | | - | - | - | - | - | - | **2,000** | **2,989.90** | | **2,930.00** |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| O | | | | | | | | | | | | | - | - | - | - | - | | |
| OceanaGold Corp | OGC | 9-Dec-19 | 1,000 | 2,677.11 | | | | | 12-Feb-21 | 1,000 | 2,677.11 | 2,240.05 | - | - | (437.06) | - | - | 2.44 | - |
| | | 12-Mar-20 | 1,000 | 2,199.95 | | | | | | | | | - | - | - | 1,000 | 2,199.95 | 2.44 | 2,440.00 |
| | | 2-Oct-20 | | | | 1,000 | 2,159.95 | | | | | | - | - | - | 1,000 | 2,159.95 | 2.44 | 2,440.00 |
| | | | **2,000** | **4,877.06** | - | **1,000** | **2,159.95** | - | | **1,000** | **2,677.11** | **2,240.05** | **-** | **-** | **(437.06)** | **2,000** | **4,359.90** | | **4,880.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Oricobre Litmted FPO | ORE | 21-Sep-18 | 400 | 1,651.62 | | | | | 26-Nov-20 | 400 | 1,651.62 | 1,580.05 | - | - | (71.57) | - | - | | - |
| | | 21-Sep-18 | 100 | 412.90 | | | | | 13-Jan-21 | 100 | 412.90 | 502.03 | 89.12 | - | - | - | - | | - |
| | | 11-Jun-19 | 100 | 322.49 | | | | | 13-Jan-21 | 100 | 322.49 | 502.03 | 179.53 | - | - | - | - | | - |
| | | 11-Jun-19 | 700 | 2,257.46 | | | | | 21-Jun-21 | 300 | 967.48 | 1,684.05 | 716.57 | - | - | 400 | 1,289.98 | 6.47 | 2,588.00 |
| | | 6-Aug-19 | 600 | 1,567.92 | | | | | | | | | - | - | - | 600 | 1,567.92 | 6.47 | 3,882.00 |
| | | | **1,900** | **6,212.39** | - | **-** | **-** | **-** | | **900** | **3,354.50** | **4,268.15** | **985.22** | **-** | **(71.57)** | **1,000** | **2,857.90** | | **6,470.00** |
| | | | | | | | | | | | | | - | - | - | - | | | - |
| Oil Search Ltd | OSH | 21-Apr-20 | 500 | 1269.95 | | | | | 17-Dec-20 | 500 | 1,269.95 | 1,790.05 | - | 520.10 | - | - | - | | - |
| | | | | **1,269.95** | | **-** | **-** | **-** | | **500** | **1,269.95** | **1,790.05** | **-** | **520.10** | **-** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| OZ Minerals Ltd | OZL | 17-Jun-19 | 90 | 874.49 | | | | | 7-May-21 | 90 | 874.49 | 2,301.15 | 1,426.67 | - | - | - | - | | - |
| | | 17-Jun-19 | 110 | 1,068.82 | | | | | 10-Jun-21 | 110 | 1,068.82 | 2,757.55 | 1,688.74 | - | - | - | - | | - |
| | | 27-Aug-19 | 300 | 2,614.95 | | | | | | | | | - | - | - | 300 | 2,614.95 | 22.48 | 6,744.00 |
| | | | **500** | **4,558.25** | - | **-** | **-** | **-** | | **200** | **1,943.30** | **5,058.70** | **3,115.40** | **-** | **-** | **300** | **2,614.95** | | **6,744.00** |
| P | | | | | | | | | | | | | - | - | - | - | - | | |
| Pendal Group Limited | PDL | 2-Dec-20 | | | | 300 | 2,176.95 | | | | | | - | - | - | 300 | 2,176.95 | 8.0600 | 2,418.00 |
| | | 12-Feb-21 | | | | 300 | 2,065.95 | | | | | | - | - | - | 300 | 2,065.95 | 8.0600 | 2,418.00 |
| | | 1-Mar-21 | | | | 300 | 1,833.45 | | | | | | - | - | - | 300 | 1,833.45 | 8.0600 | 2,418.00 |
| SPP | | 15-Jun-21 | | | | 368 | 2,502.40 | | | | | | - | - | - | 368 | 2,502.40 | 8.0600 | 2,966.08 |
| | | | **-** | **-** | - | **1,268** | **8,578.75** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **1,268** | **8,578.75** | | **10,220.08** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Paladin Energy Ltd | PDN | 16-Feb-18 | 2,500 | 516.25 | | | | | | | | | - | - | - | 2,500 | 516.25 | 0.5150 | 1,287.50 |
| | | 15-Jun-18 | 2,600 | 504.00 | | | | | | | | | - | - | - | 2,600 | 504.00 | 0.5150 | 1,339.00 |
| | | 19-Jun-20 | 5,000 | 510.00 | | | | | | | | | - | - | - | 5,000 | 510.00 | 0.5150 | 2,575.00 |
| Retail Entitlement Offer | | 16-Apr-21 | | | | 1,784 | 660.08 | | | | | | - | - | - | 1,784 | 660.08 | 0.5150 | 918.76 |
| | | | **10,100** | **1,530.25** | - | **1,784** | **660.08** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **11,884** | **2,190.33** | | **6,120.26** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Province Resources Ltd Name changed from Scandivanadium Ltd (SVD) | PRL | 5-Aug-20 | | | | 17,000 | 520.00 | | 17-Feb-21 | 17,000 | 520.00 | 1,107.04 | - | 587.04 | - | - | - | | - |
| Right issue acceptance | | 23-Dec-20 | | | | 5,667 | 45.34 | | 17-Feb-21 | 5,667 | 45.34 | 369.03 | - | 323.70 | - | - | - | | - |
| | | | **-** | **-** | - | **22,667** | **565.34** | - | | **22,667** | **565.34** | **1,476.07** | **-** | **910.73** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Platinum Asset Ltd | PTM | 19-May-20 | 600 | 2,257.95 | | | | | 1-Jul-20 | 600 | 2,257.95 | 2,206.05 | - | - | (51.90) | - | - | | - |
| | | 27-Aug-20 | | | | 400 | 1,519.95 | | | | | | - | - | - | 400 | 1,519.95 | 4.9100 | 1,964.00 |
| | | | **600** | **2,257.95** | - | **400** | **1,519.95** | - | | **600** | **2,257.95** | **2,206.05** | **-** | **-** | **(51.90)** | **400** | **1,519.95** | | **1,964.00** |
| Q | | | | | | | | | | | | | - | - | - | - | - | | |
| Quickfee Limited | QFE | 31-Aug-20 | | | | 1,000 | 830.00 | | | | | | - | - | - | 1,000 | 830.00 | 0.2500 | 250.00 |
| | | 9-Oct-20 | | | | 1,000 | 550.00 | | | | | | - | - | - | 1,000 | 550.00 | 0.2500 | 250.00 |
| | | | **-** | **-** | - | **2,000** | **1,380.00** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **2,000** | **1,380.00** | | **500.00** |
| | | | | | | | | | | | | | | | | | | | |
| Qantm Intellectual Ltd | QIP | 31-Dec-19 | 1,000 | 1,381.90 | | | | | 5-Oct-20 | 1,000 | 1,381.90 | 985.05 | - | - | (396.85) | - | - | | - |
| | | | **1,000** | **1,381.90** | - | **-** | **-** | **-** | | **1,000** | **1,381.90** | **985.05** | **-** | **-** | **(396.85)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| QV Equities Limited | QVE | 20-May-19 | 5,000 | 5,319.95 | | | | | | | | | - | - | - | 5,000 | 5,319.95 | 0.9950 | 4,975.00 |
| | | 20-Jun-19 | 1,600 | 1,667.95 | | | | | | | | | - | - | - | 1,600 | 1,667.95 | 0.9950 | 1,592.00 |
| | | | **6,600** | **6,987.90** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **6,600** | **6,987.90** | | **6,567.00** |
| | | | | | | | | | | | | | | | | | | | |
| R | | | | | | | | | | | | | - | - | - | - | - | | |
| Reckon Limited | RKN | 31-Aug-20 | - | - | | 1,000 | 855.00 | | 16-Feb-21 | 1,000 | 855.00 | 860.00 | - | 5.00 | - | - | - | | - |
| | | | **-** | **-** | - | **1,000** | **855.00** | - | | **1,000** | **855.00** | **860.00** | **-** | **5.00** | **-** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Ramelius Resources Ltd | RMS | 3-Dec-19 | 1,000 | 997.50 | | | | | | | | | - | - | - | 1,000 | 997.50 | 1.6950 | 1,695.00 |
| | | 25-Nov-20 | | | | 1,000 | 1,679.95 | | | | | | - | - | - | 1,000 | 1,679.95 | 1.6950 | 1,695.00 |
| | | | **1,000** | **997.50** | - | **1,000** | **1,679.95** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **2,000** | **2,677.45** | | **3,390.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Red Mount Min Limited | RMX | 29-Oct-20 | - | - | | 40,000 | 570.00 | | 18-Mar-21 | 40,000 | 570.00 | 430.00 | - | - | (140.00) | - | - | | - |
| | | | **-** | **-** | - | **40,000** | **570.00** | - | | **40,000** | **570.00** | **430.00** | **-** | **-** | **(140.00)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Regis Resources Ltd | RRL | 6-Aug-18 | 100 | 426.25 | | | | | 9-Oct-20 | 100 | 426.25 | 499.50 | 73.25 | - | - | - | - | | - |
| | | 6-Aug-18 | 1,400 | 5,967.46 | | | | | 16-Mar-21 | 1,400 | 5,967.46 | 4,156.52 | - | - | (1,810.94) | - | - | | - |
| | | 8-Oct-18 | 1,000 | 3,969.95 | | | | | 8-Apr-21 | 1,000 | 3,969.95 | 3,105.05 | - | - | (864.90) | - | - | | - |
| | | 6-Dec-18 | 1,000 | 4,075.78 | | | | | 10-May-21 | 1,000 | 4,075.78 | 2,658.76 | - | - | (1,417.02) | - | - | | - |
| | | 13-Dec-19 | 100 | 426.50 | | | | | 10-May-21 | 100 | 426.50 | 265.88 | - | - | (160.62) | - | - | | - |
| | | 13-Dec-19 | 900 | 3,838.46 | | | | | 10-May-21 | 900 | 3,838.46 | 2,387.55 | - | - | (1,450.91) | - | - | | - |
| | | 7-Apr-20 | 1,000 | 4,119.95 | | | | | | | | | - | - | - | 1,000 | 4,119.95 | 2.3600 | 2,360.00 |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 23-Apr-20 | 1,000 | 4,549.95 | | | | | | | | | - | - | - | 1,000 | 4,549.95 | 2.3600 | 2,360.00 |
| | | 23-Sep-20 | | | | 500 | 2,624.95 | | | | | | - | - | - | 500 | 2,624.95 | 2.3600 | 1,180.00 |
| | | 3-Nov-20 | | | | 1,100 | 4,650.95 | | | | | | - | - | - | 1,100 | 4,650.95 | 2.3600 | 2,596.00 |
| | | 25-Nov-20 | | | | 1,000 | 3,699.95 | | | | | | - | - | - | 1,000 | 3,699.95 | 2.3600 | 2,360.00 |
| | | 15-Dec-20 | | | | 1,000 | 3,699.95 | | | | | | - | - | - | 1,000 | 3,699.95 | 2.3600 | 2,360.00 |
| | | 8-Mar-21 | | | | 2,000 | 6,219.95 | | | | | | - | - | - | 2,000 | 6,219.95 | 2.3600 | 4,720.00 |
| | | 11-Mar-21 | | | | 500 | 1,579.95 | | | | | | - | - | - | 500 | 1,579.95 | 2.3600 | 1,180.00 |
| | | 15-Apr-21 | | | | 1,000 | 2,669.95 | | | | | | - | - | - | 1,000 | 2,669.95 | 2.3600 | 2,360.00 |
| | | 28-Apr-21 | | | | 900 | 2,359.95 | | | | | | - | - | - | 900 | 2,359.95 | 2.3600 | 2,124.00 |
| | | | **6,500** | **27,374.28** | - | **8,000** | **27,505.60** | - | | **4,500** | **18,704.38** | **13,073.26** | **73.25** | **-** | **(5,704.38)** | **10,000** | **36,175.50** | | **23,600.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| S | | | | | | | | | | | | | - | - | - | - | - | | |
| Saracen Mineral FPO | SAR | 18-Sep-19 | 282 | 984.17 | | | | | 22-Jul-20 | 200 | 697.99 | 1,214.05 | - | 516.06 | - | 82 | 286.18 | | - |
| | | 18-Sep-19 | | | | | | | 5-Aug-20 | 82 | 286.18 | 485.44 | - | 199.26 | - | (82) | (286.18) | | - |
| | | 3-Oct-19 | 800 | 2,923.95 | | | | | 5-Aug-20 | 18 | 65.79 | 106.56 | - | 40.77 | - | 782 | 2,858.16 | | - |
| | | 3-Oct-19 | | | | | | | 6-Aug-20 | 100 | 365.49 | 581.00 | - | 215.51 | - | (100) | (365.49) | | - |
| | | 3-Oct-19 | | | | | | | 7-Aug-20 | 300 | 1,096.48 | 1,747.05 | - | 650.57 | - | (300) | (1,096.48) | | - |
| | | 3-Oct-19 | | | | | | | 31-Aug-20 | 300 | 1,096.48 | 1,552.05 | - | 455.57 | - | (300) | (1,096.48) | | - |
| | | 3-Oct-19 | | | | | | | 9-Nov-20 | 82 | 299.70 | 512.51 | 212.81 | - | - | (82) | (299.70) | | - |
| | | 15-Oct-19 | 318 | 1,073.23 | | | | | 9-Nov-20 | 318 | 1,073.23 | 1,987.56 | 914.33 | - | - | - | - | | - |
| Scheme SAR-NST | | 15-Oct-19 | 482 | 1,626.72 | | | | | 12-Feb-21 | 482 | 1,626.72 | 1,626.72 | - | - | - | - | - | | - |
| | | 16-Oct-19 | 1,000 | 3,279.95 | | | | | 12-Feb-21 | 1,000 | 3,279.95 | 3,279.95 | - | - | - | - | - | | - |
| | | 18-Oct-19 | 400 | 1,339.95 | | | | | 12-Feb-21 | 400 | 1,339.95 | 1,339.95 | - | - | - | - | - | | - |
| | | 8-Nov-19 | 500 | 1,719.95 | | | | | 12-Feb-21 | 500 | 1,719.95 | 1,719.95 | - | - | - | - | - | | - |
| | | 20-Nov-19 | 1,000 | 3,069.95 | | | | | 12-Feb-21 | 1,000 | 3,069.95 | 3,069.95 | - | - | - | - | - | | - |
| | | 13-Dec-19 | 1,218 | 3,593.10 | | | | | 12-Feb-21 | 1,218 | 3,593.10 | 3,593.10 | - | - | - | - | - | | - |
| | | | **6,000** | **19,610.97** | - | **-** | **-** | **-** | | **6,000** | **19,610.97** | **22,815.84** | **1,127.14** | **2,077.74** | **-** | **-** | **(0.00)** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| ST Barbara Ltd | SBM | 13-Sep-19 | 1,000 | 2,899.95 | | | | | 21-Aug-20 | 1,000 | 2,899.95 | 3,380.56 | - | 480.61 | - | - | - | | - |
| | | 1-Jul-20 | | | | 1,000 | 3,249.95 | | 19-May-21 | 1,000 | 3,249.95 | 1,710.05 | - | - | (1,539.90) | - | - | | - |
| | | 21-Jul-20 | | | | 1,000 | 3,749.95 | | | | | | - | - | - | 1,000 | 3,749.95 | 1.7050 | 1,705.00 |
| | | 27-Jan-21 | | | | 1,000 | 2,299.95 | | | | | | - | - | - | 1,000 | 2,299.95 | 1.7050 | 1,705.00 |
| | | 10-May-21 | | | | 1,000 | 2,069.95 | | | | | | - | - | - | 1,000 | 2,069.95 | 1.7050 | 1,705.00 |
| | | | **1,000** | **2,899.95** | - | **4,000** | **11,369.80** | **-** | | **2,000** | **6,149.90** | **5,090.61** | **-** | **480.61** | **(1,539.90)** | **3,000** | **8,119.85** | | **5,115.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Shriro Holdings Limited | SHM | 22-Sep-20 | | | | 5,500 | 3,759.95 | | | | | | - | - | - | 5,500 | 3,759.95 | 1.1900 | 6,545.00 |
| | | | **-** | **-** | - | **5,500** | **3,759.95** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **5,500** | **3,759.95** | | **6,545.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| ST George Min Ltd OPT 30-09-2020 | SGQOB | 3-Oct-17 | 520 | - | | | | | 30-Sep-20 | 520 | | | - | - | - | - | - | | - |
| | | | **520** | **-** | - | **-** | **-** | **-** | | **520** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Smartgroup Corporation Ltd | SIQ | 26-Feb-20 | 200 | 1,421.92 | | | | | 7-Jul-20 | 200 | 1,421.92 | 1,266.70 | - | - | (155.22) | - | - | | - |
| | | 27-Feb-20 | 100 | 702.99 | | | | | 7-Jul-20 | 100 | 702.99 | 633.35 | - | - | (69.64) | - | - | | - |
| | | 27-Feb-20 | 300 | 2,108.96 | | | | | 23-Sep-20 | 300 | 2,108.96 | 1,740.03 | - | - | (368.93) | - | - | | - |
| | | 30-Apr-20 | 200 | 1,060.65 | | | | | 23-Sep-20 | 200 | 1,060.65 | 1,160.02 | - | 99.37 | - | - | - | | - |
| | | 30-Apr-20 | 400 | 2,121.30 | | | | | | | | | - | - | - | 400 | 2,121.30 | 7.3800 | 2,952.00 |
| | | 20-Aug-20 | | | | 600 | 3,757.95 | | | | | | - | - | - | 600 | 3,757.95 | 7.3800 | 4,428.00 |
| | | | **1,200** | **7,415.82** | - | **600** | **3,757.95** | **-** | | **800** | **5,294.52** | **4,800.10** | **-** | **99.37** | **(593.79)** | **1,000** | **5,879.25** | | **7,380.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Silver Lake Resources | SLR | 5-Apr-19 | 4,233 | 3,555.72 | | | | | | | | | - | - | - | 4,233 | 3,555.72 | 1.6600 | 7,026.78 |
| | | | **4,233** | **3,555.72** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **4,233** | **3,555.72** | | **7,026.78** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Spark New Zealand | SPK | 5-Feb-19 | 500 | 1,949.95 | | | | | | | | | - | - | - | 500 | 1,949.95 | 4.4600 | 2,230.00 |
| | | 9-Apr-19 | 1,000 | 3,484.95 | | | | | | | | | - | - | - | 1,000 | 3,484.95 | 4.4600 | 4,460.00 |
| | | 31-May-19 | 500 | 1,812.45 | | | | | | | | | - | - | - | 500 | 1,812.45 | 4.4600 | 2,230.00 |
| | | | **2,000** | **7,247.35** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **2,000** | **7,247.35** | | **8,920.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Strandline Res Ltd | STA | 23-Oct-15 | 21 | 172.90 | | | | | | | | | - | - | - | 21 | 172.90 | 0.2150 | 4.52 |
| | | | **21** | **172.90** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **21** | **172.90** | | **4.52** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Syrah Resources | SYR | 9-Jul-18 | 400 | 1,187.95 | | | | | 18-Mar-21 | 400 | 1,187.95 | 452.74 | - | - | (735.21) | - | - | | - |
| | | 11-Jun-19 | 800 | 834.00 | | | | | 18-Mar-21 | 800 | 834.00 | 905.48 | 71.48 | - | - | - | - | | - |
| | | 16-Jul-19 | 240 | 194.40 | | | | | 18-Mar-21 | 240 | 194.40 | 271.64 | 77.24 | - | - | - | - | | - |
| | | | **1,440** | **2,216.35** | - | **-** | **-** | **-** | | **1,440** | **2,216.35** | **1,629.86** | **148.72** | **-** | **(735.21)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Suncorp Group Ltd | SUN | 23-May-16 | 168 | 2,028.55 | | | | | | | | | - | - | - | 168 | 2,028.55 | 11.1100 | 1,866.48 |
| | | 18-Jul-16 | 291 | 3,763.95 | | | | | | | | | - | - | - | 291 | 3,763.95 | 11.1100 | 3,233.01 |
| | | 10-Aug-16 | 341 | 4,709.95 | | | | | | | | | - | - | - | 341 | 4,709.95 | 11.1100 | 3,788.51 |
| | | | **800** | **10,502.45** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **800** | **10,502.45** | | **8,888.00** |
| | | | | | | | | | | | | | | | | | | | |
| Seven Group Holding Ltd | SVW | 4-May-20 | 300 | 4,012.95 | | | | | | | | | - | - | - | 300 | 4,012.95 | 20.3500 | 6,105.00 |
| | | | **300** | **4,012.95** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **300** | **4,012.95** | | **6,105.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Sunrise Energy Metals Limited | SRL | 9-Feb-18 | 500 | 500.00 | | | | | | | | | - | - | - | 500 | 500.00 | | |
| Change name from Clean TEQ HLDGS Ltd | | 11-Apr-18 | 1,000 | 830.00 | | | | | | | | | - | - | - | 1,000 | 830.00 | | |
| | | 3-May-18 | 1,500 | 1,099.95 | | | | | | | | | - | - | - | 1,500 | 1,099.95 | | - |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 29-Jun-18 | 1,000 | 540.00 | | | | | | | | | - | - | - | 1,000 | 540.00 | | - |
| | | 25-Jul-18 | 2,000 | 697.50 | | | | | | | | | - | - | - | 2,000 | 697.50 | | - |
| | | 8-Sep-20 | | | | 2,000 | 650.00 | | | | | | - | - | - | 2,000 | 650.00 | | - |
| | | 10-Sep-20 | | | | 2,000 | 700.00 | | | | | | - | - | - | 2,000 | 700.00 | | - |
| 24/03/2021 consolidation | | | | | | | | | 24-Mar-21 | 9,000 | | | - | - | - | (9,000) | - | | - |
| | | | | | | | | | 6-Apr-21 | 1,000 | 5,017.45 | 2,230.05 | - | - | (2,787.40) | (1,000) | (5,017.45) | | - |
| | | | **6,000** | **3,667.45** | - | **4,000** | **1,350.00** | - | | **10,000** | **5,017.45** | **2,230.05** | **-** | **-** | **(2,787.40)** | **-** | **-** | | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| T | | | | | | | | | | | | | - | - | - | - | - | | - |
| Talga Resources FPO | TLG | 29-Apr-18 | 199 | 141.29 | | | | | 3-Feb-21 | 199 | 141.29 | 284.52 | 143.23 | - | - | - | - | | - |
| | | 29-Apr-18 | 391 | 277.61 | | | | | 2-Mar-21 | 391 | 277.61 | 517.85 | 240.24 | - | - | - | - | | - |
| | | 29-Apr-18 | 410 | 291.10 | | | | | 26-Apr-21 | 410 | 291.10 | 708.29 | 417.19 | - | - | - | - | | - |
| | | 13-Dec-19 | 1,190 | 523.77 | | | | | 26-Apr-21 | 1,190 | 523.77 | 2,055.76 | 1,531.99 | - | - | - | - | | - |
| | | 13-Dec-19 | 1,082 | 476.23 | | | | | | | | | - | - | - | 1,082 | 476.23 | 1.3300 | 1,439.06 |
| SPP | | 27-Jan-21 | | | | 718 | 1,041.10 | | | | | | - | - | - | 718 | 1,041.10 | 1.3300 | 954.94 |
| | | | **3,272** | **1,710.00** | - | **718** | **1,041.10** | - | | **2,190** | **1,233.77** | **3,566.42** | **2,332.65** | **-** | **-** | **1,800** | **1,517.33** | | **2,394.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Telstra Corporation Ltd | TLS | 9-Apr-19 | 1,500 | 4,957.46 | | | | | 31-Aug-20 | 600 | 1,982.99 | 1,714.05 | - | - | (268.94) | 900 | 2,974.48 | 3.7600 | 3,384.00 |
| | | 11-Aug-20 | - | - | | 1,500 | 5,142.45 | | | | | | - | - | - | 1,500 | 5,142.45 | 3.7600 | 5,640.00 |
| | | | **1,500** | **4,957.46** | - | **1,500** | **5,142.45** | - | | **600** | **1,982.99** | **1,714.05** | **-** | **-** | **(268.94)** | **2,400** | **8,116.93** | | **9,024.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Thomson Res Limited | TMZ | 16-Dec-20 | | | | 5,000 | 505.00 | | 1-Feb-21 | 5,000 | 505.00 | 940.00 | - | 435.00 | - | - | - | | - |
| | | 16-Dec-20 | | | | 5,000 | 505.00 | | 8-Apr-21 | 5,000 | 505.00 | 540.00 | - | 35.00 | - | - | - | | - |
| | | | | | | **10,000** | **1,010.00** | - | | **10,000** | **1,010.00** | **1,480.00** | **-** | **470.00** | **-** | **-** | **-** | **-** | **-** |
| Tyro Payments Ltd | TYR | 19-Oct-20 | | | | 300 | 1,327.95 | | 14-Jan-21 | 300 | 1,327.95 | 789.04 | - | - | (538.91) | - | - | | - |
| | | 4-Jan-21 | | | | 100 | 331.33 | | 14-Jan-21 | 100 | 331.33 | 263.01 | - | - | (68.32) | - | - | | - |
| | | 4-Jan-21 | | | | 200 | 662.67 | | 8-Apr-21 | 200 | 662.67 | 709.35 | - | 46.68 | - | - | - | | - |
| | | 13-Jan-21 | | | | 400 | 1,187.95 | | 8-Apr-21 | 400 | 1,187.95 | 1,418.70 | - | 230.75 | - | - | - | | - |
| | | | | | | **1,000** | **3,509.90** | - | | **1,000** | **3,509.90** | **3,180.10** | **-** | **277.43** | **(607.23)** | **-** | **-** | **-** | **-** |
| U | | | | | | | | | | | | | - | - | - | - | - | | - |
| Unibailrodawestfield CDI | URW | 23-Jun-20 | 300 | 1,381.95 | | | | | 16-Sep-20 | 300 | 1,381.95 | 940.53 | - | - | (441.42) | - | - | | - |
| | | 25-Jun-20 | 200 | 818.00 | | | | | 16-Sep-20 | 200 | 818.00 | 627.02 | - | - | (190.98) | - | - | | - |
| | | | | **2,199.95** | | **-** | **-** | **-** | | **500** | **2,199.95** | **1,567.55** | **-** | **-** | **(632.40)** | **-** | **-** | | **-** |
| W | | | | | | | | | | | | | - | - | - | - | - | | |
| WAM Capital Ltd | WAM | 7-Oct-15 | 1,000 | 2,053.30 | | | | | | | | | - | - | - | 1,000 | 2,053.30 | 2.1900 | 2,190.00 |
| | | 11-Dec-15 | 2,000 | 4,139.95 | | | | | | | | | - | - | - | 2,000 | 4,139.95 | 2.1900 | 4,380.00 |
| | | 14-Jan-16 | 2,000 | 4,199.95 | | | | | | | | | - | - | - | 2,000 | 4,199.95 | 2.1900 | 4,380.00 |
| | | 26-Aug-16 | 2,336 | 5,000.00 | | | | | | | | | - | - | - | 2,336 | 5,000.00 | 2.1900 | 5,115.84 |
| | | 14-Oct-16 | 800 | 1,963.95 | | | | | | | | | - | - | - | 800 | 1,963.95 | 2.1900 | 1,752.00 |
| | | 24-Mar-17 | 1,000 | 2,519.95 | | | | | | | | | - | - | - | 1,000 | 2,519.95 | 2.1900 | 2,190.00 |
| | | 12-Apr-17 | 1,000 | 2,574.95 | | | | | | | | | - | - | - | 1,000 | 2,574.95 | 2.1900 | 2,190.00 |
| | | | **10,136** | **22,452.05** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **10,136** | **22,452.05** | | **22,197.84** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| WAM Research Ltd | WAX | 27-May-20 | 1,000 | 1,399.95 | | | | | | | | | - | - | - | 1,000 | 1,399.95 | 1.6450 | 1,645.00 |
| | | 24-Sep-20 | | | | 2,000 | 2,989.95 | | | | | | - | - | - | 2,000 | 2,989.95 | 1.6450 | 3,290.00 |
| | | | **1,000** | **1,399.95** | | **2,000** | **2,989.95** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **3,000** | **4,389.90** | | **4,935.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| WAM Strategic Value Limited | WAR | 30-Jun-21 | | | | 1,800 | 2,250.00 | | | | | | - | - | - | 1,800 | 2,250.00 | 1.2750 | 2,295.00 |
| Issuer Sponsored | | | **-** | **-** | | **1,800** | **2,250.00** | | | **-** | **-** | **-** | **-** | **-** | **-** | **1,800** | **2,250.00** | | **2,295.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Westpac Banking Corporation | WBC | 2-Oct-18 | 100 | 2,731.83 | | | | | 19-Aug-20 | 100 | 2,731.83 | 1,709.05 | - | - | (1,022.78) | - | - | | - |
| | | 2-Oct-18 | 200 | 5,463.67 | | | | | 24-Sep-20 | 200 | 5,463.67 | 3,224.05 | - | - | (2,239.62) | - | - | | - |
| | | 17-Dec-20 | | | | 200 | 4,045.95 | | | | | | - | - | - | 200 | 4,045.95 | 25.8100 | 5,162.00 |
| | | 2-Mar-21 | | | | 100 | 2,464.95 | | | | | | - | - | - | 100 | 2,464.95 | 25.8100 | 2,581.00 |
| | | 8-Apr-21 | | | | 100 | 2,549.95 | | | | | | - | - | - | 100 | 2,549.95 | 25.8100 | 2,581.00 |
| | | 8-Apr-21 | | | | 100 | 2,548.95 | | | | | | - | - | - | 100 | 2,548.95 | 25.8100 | 2,581.00 |
| | | | **300** | **8,195.50** | - | **500** | **11,609.80** | - | | **300** | **8,195.50** | **4,933.10** | **-** | **-** | **(3,262.40)** | **500** | **11,609.80** | | **12,905.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Weebit Nano Limited | WBT | 7/05/2021 | | | | 1,000 | 2,159.95 | | 7-May-21 | 1,000 | 2,159.95 | 1,960.05 | - | - | (199.90) | - | - | | |
| | | | | | | **1,000** | **2,159.95** | - | | **1,000** | **2,159.95** | **1,960.05** | **-** | **-** | **(199.90)** | **-** | **-** | **-** | **-** |
| WAM Global Limited | WGB | 11/12/2020 | | | | 1,000 | 2,409.95 | | | | | | - | - | - | 1,000 | 2,409.95 | 2.6200 | 2,620.00 |
| | | | | | | **1,000** | **2,409.95** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **1,000** | **2,409.95** | | **2,620.00** |
| WAM Global Limited Opt Sep22 | WGBO | 11/03/2021 | | | | 1,000 | | | | | | | - | - | - | 1,000 | - | 0.0830 | 83.00 |
| | | | | | | **1,000** | **-** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **1,000** | **-** | | **83.00** |
| Westgold Resources Ltd | WGX | 12-Mar-20 | 100 | 162.00 | | | | | 2-Mar-21 | 100 | 162.00 | 188.69 | - | 26.69 | - | - | - | | - |
| | | 13-Mar-20 | 1,000 | 1,334.95 | | | | | 2-Mar-21 | 1,000 | 1,334.95 | 1,886.86 | - | 551.91 | - | - | - | | - |
| | | | **1,100** | **1,496.95** | - | **-** | **-** | **-** | | **1,100** | **1,496.95** | **2,075.55** | **-** | **578.60** | **-** | **-** | **-** | | **-** |
| WAM Leaders Limited | WLE | 21/07/2020 | | | | 1 | 11.13 | | | | | | - | - | - | 1 | 11.13 | 1.5900 | 1.59 |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 28/07/2020 | | | | 3,999 | 4,628.81 | | | | | | - | - | - | 3,999 | 4,628.81 | 1.5900 | 6,358.41 |
| SPP | | 30/09/2020 | | | | 4,269 | 5,000.00 | | | | | | - | - | - | 4,269 | 5,000.00 | 1.5900 | 6,787.71 |
| | | 16/10/2020 | | | | 1,731 | 2,201.01 | | | | | | - | - | - | 1,731 | 2,201.01 | 1.5900 | 2,752.29 |
| | | | - | - | - | **10,000** | **11,840.95** | - | | - | - | - | - | - | - | **10,000** | **11,840.95** | | **15,900.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Wiluna Mining Corporation Limited | WMX | 4/09/2020 | | | | 2,000 | 2,899.95 | | 4-Dec-20 | 2,000 | 2,899.95 | 2,920.05 | - | 20.10 | - | - | - | | - |
| | | | | | | **2,000** | **2,899.95** | - | | **2,000** | **2,899.95** | **2,920.05** | - | **20.10** | - | - | - | | - |
| Woodside petroleum FPO | WPL | 24/01/2020 | 100 | 3,563.95 | | | | | 17-Dec-20 | 100 | 3,563.95 | 2,280.05 | - | - | (1,283.90) | - | - | | - |
| | | 10/02/2020 | 100 | 3,385.95 | | | | | 18-Feb-21 | 100 | 3,385.95 | 2,512.05 | - | - | (873.90) | - | - | | - |
| | | 9/03/2020 | 100 | 2,129.95 | | | | | 22-Feb-21 | 100 | 2,129.95 | 2,352.55 | - | 222.60 | - | - | - | | - |
| | | 10/03/2020 | 100 | 2,186.95 | | | | | 1-Mar-21 | 100 | 2,186.95 | 2,447.05 | - | 260.10 | - | - | - | | - |
| | | 19/03/2020 | 100 | 1,622.95 | | | | | 25-Mar-21 | 100 | 1,622.95 | 2,405.05 | 782.10 | - | - | - | - | | - |
| | | 3/04/2020 | 100 | 2,014.95 | | | | | | | | | - | - | - | 100 | 2,014.95 | 22.2100 | 2,221.00 |
| | | 5/10/2020 | | | | 300 | 5,194.95 | | | | | | - | - | - | 300 | 5,194.95 | 22.2100 | 6,663.00 |
| | | | **600** | **14,904.70** | - | **300** | **5,194.95** | - | | **500** | **12,889.75** | **11,996.75** | **782.10** | **482.70** | **(2,157.80)** | **400** | **7,209.90** | | **8,884.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Z | | | | | | | | | | | | | - | - | - | - | - | | - |
| ZIP Company Limited | Z1P | 28-Jan-21 | | | | 500 | 3,684.95 | | 8-Feb-21 | 500 | 3,684.95 | 4,915.05 | - | 1,230.10 | - | - | - | | - |
| | | 16-Feb-21 | | | | 100 | 1,364.95 | | 16-Sep-20 | 100 | 1,364.95 | 780.03 | - | - | (584.93) | - | - | | - |
| | | 17-Feb-21 | | | | 100 | 1,243.95 | | 16-Sep-20 | 100 | 1,243.95 | 780.03 | - | - | (463.93) | - | - | | - |
| | | | | | | **700** | **6,293.85** | - | | **700** | **6,293.85** | **6,475.10** | - | **1,230.10** | **(1,048.85)** | - | - | | - |
| **Unit In Listed Units Trust** | | | | | | | | | | | | | - | - | - | - | - | | |
| Atlas Arteria Stapled | ALX | 23-Oct-18 | 25 | 175.41 | | | | | 6-Aug-20 | 25 | 175.41 | 160.13 | - | - | (15.29) | - | - | | - |
| | | 16-Dec-19 | 75 | 517.50 | | | | | 6-Aug-20 | 75 | 517.50 | 480.38 | - | - | (37.12) | - | - | | - |
| | | 16-Mar-20 | 500 | 2,722.24 | | | | | 6-Aug-20 | 500 | 2,722.24 | 3,202.53 | - | 480.29 | - | - | - | | - |
| Allotment | | 2-Jul-20 | | | | 200 | 1,240.00 | | 6-Aug-20 | 200 | 1,240.00 | 1,281.01 | - | 41.01 | - | - | - | | - |
| | | | **600** | **3,415.15** | - | **200** | **1,240.00** | - | | **800** | **4,655.15** | **5,124.05** | - | **521.30** | **(52.41)** | - | - | - | - |
| APA Group Stapled | APA | 22-Apr-20 | 200 | 2,115.95 | (76.84) | | | | | | | | - | - | - | 200 | 2,115.95 | 8.9000 | 1,780.00 |
| | | 22-May-20 | 300 | 3,379.95 | (115.27) | | | | | | | | - | - | - | 300 | 3,379.95 | 8.9000 | 2,670.00 |
| | | | **500** | **5,495.90** | **(192.11)** | - | - | - | | - | - | - | - | - | - | **500** | **5,495.90** | | **4,450.00** |
| Beta Global Energy | FUEL | 21-May-20 | 500 | 1,869.95 | | | | | 11-Nov-20 | 500 | 1,869.95 | 1,680.05 | - | - | (189.90) | - | - | | - |
| | | | **500** | **1,869.95** | - | - | - | - | | **500** | **1,869.95** | **1,680.05** | - | - | **(189.90)** | - | - | | - |
| Centuria Office Reit | COF | 7-Aug-20 | | | | 1,000 | 1,969.95 | | | | | | - | - | - | 1,000 | 1,969.95 | 2.3400 | 2,340.00 |
| | | 11-Aug-20 | | | | 1,000 | 1,949.95 | | | | | | - | - | - | 1,000 | 1,949.95 | 2.3400 | 2,340.00 |
| | | | - | - | - | **2,000** | **3,919.90** | - | | - | - | - | - | - | - | **2,000** | **3,919.90** | **4.68** | **4,680.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Charter Hall Retail Unit | CQR | 18-Dec-18 | 500 | 2,319.95 | (66.39) | | | | | | | | - | - | - | 500 | 2,319.95 | 3.8000 | 1,900.00 |
| | | 20-Dec-18 | 200 | 944.00 | (26.55) | | | | | | | | - | - | - | 200 | 944.00 | 3.8000 | 760.00 |
| | | | **700** | **3,263.95** | **(92.94)** | - | - | - | | - | - | - | - | - | - | **700** | **3,263.95** | | **2,660.00** |
| Growthpoint Property Stapled | GOZ | 21-Oct-15 | 2,000 | 5,609.74 | (128.93) | | | | | | | | - | - | - | 2,000 | 5,480.82 | 4.0700 | 8,140.00 |
| | | 12-Dec-18 | 114 | 387.45 | (7.35) | | | | | | | | - | - | - | 114 | 380.10 | 4.0700 | 463.98 |
| | | 31-Jul-19 | 1,260 | 4,922.74 | (81.22) | | | | | | | | - | - | - | 1,260 | 4,841.51 | 4.0700 | 5,128.20 |
| | | | **3,374** | **10,919.93** | **(217.50)** | - | - | - | | - | - | - | - | - | - | **3,374** | **10,702.43** | | **13,732.18** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| MOGL (Managed Fund) TMF Units | MOGL | 5-Jan-18 | 1,500 | 4,869.32 | (42.01) | | | | 1-Jul-20 | 400 | 1,298.49 | 1,268.05 | - | - | (30.44) | 1,100 | 3,528.82 | 3.7400 | 4,114.00 |
| | | 5-Jan-18 | | | | | | | 16-Oct-20 | 600 | 1,947.73 | 2,068.05 | 120.32 | - | - | (600) | (1,947.73) | 3.7400 | (2,244.00) |
| | | 5-Jan-18 | | | | | | | | | | | - | - | - | - | - | 3.7400 | - |
| | | | **1,500** | **4,869.32** | **(42.01)** | - | - | - | | **1,000** | **3,246.21** | **3,336.10** | **120.32** | - | **(30.44)** | **500** | **1,581.10** | | **1,870.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| National Storage Stapled | NSR | 10-Jun-20 | 1,000 | 1,964.95 | (56.99) | | | | | | | | - | - | - | 1,000 | 1,964.95 | 1.9800 | 1,980.00 |
| | | | **1,000** | **1,964.95** | **(56.99)** | - | - | - | | - | - | - | - | - | - | **1,000** | **1,964.95** | | **1,980.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Betasharescrudeoil Eft Units | OOO | 29-Apr-20 | 1,000 | 2,609.95 | | | | | 10-Sep-20 | 200 | 521.99 | 748.00 | - | 226.01 | - | 800 | 2,087.96 | | - |
| | | 29-Apr-20 | | | | | | | 19-Oct-20 | 400 | 1,043.98 | 1,596.05 | - | 552.07 | - | (400) | (1,043.98) | | - |
| | | 29-Apr-20 | | | | | | | 21-Oct-20 | 200 | 521.99 | 806.00 | - | 284.01 | - | (200) | (521.99) | | - |
| | | 29-Apr-20 | | | | | | | 16-Feb-21 | 200 | 521.99 | 1,148.70 | - | 626.71 | - | (200) | (521.99) | | - |
| | | 22-May-20 | 500 | 1,694.56 | | | | | 16-Feb-21 | 100 | 338.91 | 574.35 | - | 235.44 | - | 400 | 1,355.65 | | - |
| | | 22-May-20 | | | | | | | 22-Mar-21 | 200 | 677.82 | 1,156.05 | - | 478.23 | - | (200) | (677.82) | | - |
| | | 22-May-20 | | | | | | | 19-Apr-21 | 200 | 677.82 | 1,210.30 | - | 532.48 | - | (200) | (677.82) | | - |
| | | 1-Jun-20 | 500 | 1,849.95 | | | | | 19-Apr-21 | 500 | 1,849.95 | 3,025.75 | - | 1,175.80 | - | - | - | | - |
| | | | **2,000** | **6,154.46** | | - | - | - | | **2,000** | **6,154.46** | **10,265.20** | - | **4,110.74** | - | - | - | | - |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Rural Funds Group | RFF | 4-May-20 | 400 | 774.00 | | | | | | | | | - | - | - | 400 | 774.00 | 2.6500 | 1,060.00 |
| | | 11-May-20 | 1,000 | 1,919.95 | | | | | | | | | - | - | - | 1,000 | 1,919.95 | 2.6500 | 2,650.00 |
| | | 21-May-20 | 600 | 1,147.95 | | | | | | | | | - | - | - | 600 | 1,147.95 | 2.6500 | 1,590.00 |
| | | | **2,000** | **3,841.90** | | - | - | - | | - | - | - | - | - | - | **2,000** | **3,841.90** | | **5,300.00** |
| Scentre Group | SCG | 9-Nov-20 | | | 4.89 | 1,000 | 2,424.95 | | | | | | - | - | - | 1,000 | 2,429.84 | 2.7400 | 2,740.00 |

E9

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 12-Nov-20 | | | 4.89 | 1,000 | 2,699.95 | | | | | | - | - | - | 1,000 | 2,699.95 | 2.7400 | 2,740.00 |
| | | | | | **9.78** | **2,000** | **5,124.90** | - | | - | - | - | - | - | - | **2,000** | **5,129.79** | **5.48** | **5,480.00** |
| Spark Infrastructure | SKI | 22-May-19 | 1,000 | 2,250.45 | (58.00) | | | | | | | | - | - | - | 1,000 | 2,250.45 | 2.2500 | 2,250.00 |
| | | 24-May-19 | 1,000 | 2,270.45 | (58.00) | | | | | | | | - | - | - | 1,000 | 2,270.45 | 2.2500 | 2,250.00 |
| | | | **2,000** | **4,520.90** | **(116.00)** | - | - | - | | - | - | - | - | - | - | **2,000** | **4,520.90** | | **4,500.00** |
| Syd Airport Stapled | SYD | 8-Apr-20 | 500 | 2,739.95 | | | | | 16-Sep-20 | 500 | 2,739.95 | 2,740.05 | - | 0.10 | - | - | - | | - |
| | | | **500** | **2,739.95** | | - | - | - | | **500** | **2,739.95** | **2,740.05** | - | **0.10** | - | - | - | | - |
| Syd Airport Rtsforusd (Rights=appsclose 02Sep20) | SYDR | 14-Aug-20 | | | | 98 | | | 18-Aug-20 | 98 | - | 71.83 | - | 71.83 | - | - | - | | - |
| | | | - | - | | **98** | - | - | | **98** | - | **71.83** | - | **71.83** | - | - | - | | - |
| Transurban Group | TCL | 28-Mar-18 | 79 | 896.24 | | | | | 29-Sep-20 | 79 | 896.24 | 1,142.38 | 246.14 | - | - | - | - | | - |
| | | 28-Mar-18 | 21 | 235.74 | | | | | 29-Sep-20 | 21 | 235.74 | 303.67 | 67.93 | - | - | - | - | | - |
| | | 28-Mar-18 | 50 | 561.28 | | | | | 1-Oct-20 | 50 | 561.28 | 704.50 | 143.22 | - | - | - | - | | - |
| | | 28-Mar-18 | 129 | 1,448.10 | | | | | 5-Oct-20 | 129 | 1,448.10 | 1,840.86 | 392.76 | - | - | - | - | | - |
| | | 26-Sep-18 | 71 | 754.73 | | | | | 5-Oct-20 | 71 | 754.73 | 1,013.19 | 258.46 | - | - | - | - | | - |
| | | | **350** | **3,896.09** | - | - | - | - | | **350** | **3,896.09** | **5,004.60** | **1,108.51** | - | - | - | - | | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Waypoint Reit Stapled | WPR | 28/03/2018 | 1,000 | 1,944.09 | (47.34) | | | | | | | | - | - | - | 1,000 | 1,896.75 | 2.62 | 2,620.00 |
| Name changed from Viva Energy Reit Stapled | | 4/04/2018 | 1,000 | 1,982.01 | (47.34) | | | | | | | | - | - | - | 1,000 | 1,934.67 | 2.62 | 2,620.00 |
| | | 1/05/2018 | 1,000 | 2,007.01 | (47.34) | | | | | | | | - | - | - | 1,000 | 1,959.67 | 2.62 | 2,620.00 |
| | | 14/06/2018 | 1,000 | 2,062.01 | (47.34) | | | | | | | | - | - | - | 1,000 | 2,014.67 | 2.62 | 2,620.00 |
| | | 21/06/2018 | 900 | 1,947.80 | (42.60) | | | | | | | | - | - | - | 900 | 1,905.20 | 2.62 | 2,358.00 |
| | | 26/06/2018 | 1,000 | 2,207.01 | (47.34) | | | | | | | | - | - | - | 1,000 | 2,159.67 | 2.62 | 2,620.00 |
| | | 20/07/2018 | 1,000 | 2,187.01 | (47.34) | | | | | | | | - | - | - | 1,000 | 2,139.67 | 2.62 | 2,620.00 |
| | | 11/10/2018 | 1,100 | 2,354.21 | (52.07) | | | | | | | | - | - | - | 1,100 | 2,302.14 | 2.62 | 2,882.00 |
| | | 1/11/2018 | 1,000 | 2,142.01 | (47.34) | | | | | | | | - | - | - | 1,000 | 2,094.67 | 2.62 | 2,620.00 |
| | | | **9,000** | **18,833.13** | **(426.03)** | - | - | - | | - | - | - | - | - | - | **9,000** | **18,407.10** | | **23,580.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| BetaShares Australian Top 20 Equity Yield Maximiser Fund | YMAX | 30/06/2015 | 1,400 | 11,519.84 | (688.37) | | | | | | | | - | - | - | 1,400 | 10,831.47 | 8.2500 | 11,550.00 |
| | | | **1,400** | **11,519.84** | **(688.37)** | - | - | - | | - | - | - | - | - | - | **1,400** | **10,831.47** | | **11,550.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| **TOTAL COMMSEC** | | | | **494,202.12** | **(1,822.17)** | | **281,801.05** | - | | | **256,729.67** | **267,801.95** | **30,188.76** | **20,401.79** | **(39,518.27)** | | **517,904.48** | **930.12** | **608,638.15** |
| | | | | | | | | | | | | | | | | | | | |
| **The Montgomery Fund** | | 30/06/2015 | 16,000 | (4.39) | | | | | 10-Jul-20 | 4,000 | (1.10) | 5,169.20 | 5,170.30 | - | - | 12,000 | (3.29) | | - |
| | | | | | | | | | 30-Jul-20 | 3,000 | (0.82) | 3,901.50 | 3,902.32 | - | - | (3,000) | 0.82 | | - |
| | | | | | | | | | 2-Nov-20 | 4,000 | (1.10) | 5,290.40 | 5,291.50 | - | - | (4,000) | 1.10 | | - |
| | | | | | | | | | 24-Mar-21 | 2,000 | (0.55) | 3,058.20 | 3,058.75 | - | - | (2,000) | 0.55 | | - |
| | | | | | | | | | 27-May-21 | 3,000 | (0.82) | 4,848.90 | 4,849.72 | - | - | (3,000) | 0.82 | | - |
| | | | **16,000** | **(4.39)** | - | - | - | - | | **16,000** | **(4.39)** | **22,268.20** | **22,272.59** | - | - | - | - | | - |
| | | | | | | | | | | | | E283 | | | | | | | |
| TOTAL | | | | 494,197.73 | (1,822.17) | | 281,801.05 | - | | | 256,725.28 | 290,070.15 | 52,461.35 | 20,401.79 | (39,518.27) | | 517,904.48 | 930.12 | 608,638.15 |

E13

| | | | | |
|---|---|---|---|---|
| *CG from International Investment* | *4,534.68* | *3,306.97* | *(3,537.11)* | E10-11 |
| *CG from Distribution* | *148.54* | *8.13* | - | O99 |
| | ***57,144.57*** | ***23,716.89*** | ***(43,055.38)*** | ***37,806.08*** |

| | |
|---|---|
| ***Listed Trust*** | ***79,782.18*** |
| ***Listed Share*** | ***528,855.97*** |

| | | |
|---|---|---|
| ***Total Buy as per Comsec*** | ***254,432.52*** | E16 |
| ***Add: Acquisition outside CommSec*** | | |
| *ALX Allotment* | *1,240.00* | E63/E65 |
| *NST-SAR Scheme* | *14,629.62* | E33-35 |
| *PDL Allotment* | *2,502.40* | E36/E39 |
| *PDN Offer* | *660.08* | E40/E42 |
| *PRL Issue* | *45.34* | E43/E44 |
| *TLG Allotment* | *1,041.10* | E48/E51 |
| *WAR issue sponsored* | *2,250.00* | E52/E54 |
| *WLE Allotment* | *5,000.00* | E60/E62 |
| *Rouding* | *0* | |
| | ***281,801.05*** | |
| ***Difference*** | ***-*** | |

| | | |
|---|---|---|
| ***Total Sell as per Comsec*** | ***253,122.81*** | E16 |
| ***Add: Sell outside CommSec*** | | |
| *CST* | *49.53* | E31-32 |
| *NST-SAR Scheme* | *14,629.62* | E33-35 |
| *Rounding* | *(0.01)* | |
| | ***267,801.95*** | |
| ***Difference*** | ***0.00*** | |

# INTERNATIONAL SHARES TRANSACTION SUMMARY



Rate: 0.7518

| Investment | Code | Date | Opening balance | | Ref | Purchase | | Split/ Consolidation (Units) | ROC ($) | Disposal | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 (USD) | Market Value (USD) | Market Value (AUD) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | | | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | | |
| ENDEAVOUR SILVER CORP COM | EXK | 5-Jul-16 | 200.00 | 1,063.62 | | | | | | | | | | - | - | - | 200.00 | 1,063.62 | 6.1200 | 1,224.00 | 1,628.09 |
| | | 4-Aug-16 | 200.00 | 1,339.82 | | | | | | | | | | - | - | - | 200.00 | 1,339.82 | 6.1200 | 1,224.00 | 1,628.09 |
| | | 18-Oct-19 | 600.00 | 1,991.79 | | | | | | | | | | - | - | - | 600.00 | 1,991.79 | 6.1200 | 3,672.00 | 4,884.28 |
| | | | **1,000.00** | **4,395.23** | - | - | - | - | - | | - | - | - | - | - | - | **1,000.00** | **4,395.23** | | **6,120.00** | **8,140.46** |
| YAMAHA GOLD INC COME | AUY | 5-Jul-16 | 100.00 | 722.31 | | | | | | | | | | - | - | - | 100.00 | 722.31 | 4.2200 | 422.00 | 561.32 |
| | | | **100.00** | **722.31** | - | - | - | - | - | | - | - | - | - | - | - | **100.00** | **722.31** | | **422.00** | **561.32** |
| FIRST MAJESTIC SILVER CORP COM | AG | 22-Jul-19 | 100.00 | 1,492.53 | | | | | | 1-Feb-21 | 100.00 | 1,492.53 | 3,200.28 | 1,707.75 | - | - | - | - | | - | - |
| | | 27-Feb-20 | 100.00 | 1,331.13 | | | | | | 1-Feb-21 | 100.00 | 1,331.13 | 3,200.28 | - | 1,869.15 | - | - | - | | - | - |
| | | 28-Feb-20 | 100.00 | 1,093.25 | | | | | | | | | | - | - | - | 100.00 | 1,093.25 | 15.8100 | 1,581.00 | 2,102.95 |
| | | | **300.00** | **3,916.91** | - | - | - | - | - | | **200.00** | **2,823.66** | **6,400.56** | **1,707.75** | **1,869.15** | - | **100.00** | **1,093.25** | | **1,581.00** | **2,102.95** |
| BATTLE NORTH GOLD CORPORATION REGISTERED SHS | BNAUF | 28-Dec-16 | 3.00 | 748.89 | | | | | | 25-May-21 | 3.00 | 748.89 | 8.82 | - | - | (740.07) | - | - | | - | - |
| name changed from RUBICON MINERALS CORP (RBYCF) | | | **3.00** | **748.89** | - | - | - | - | - | | **3.00** | **748.89** | **8.82** | - | - | **(740.07)** | - | - | | - | - |
| NEVADA GOLD CORP COM | NVGC | 20-Nov-13 | 1,200.00 | 486.52 | | | | | | | | | - | - | - | - | 1,200.00 | 486.52 | - | - | - |
| | | 22-Nov-13 | 1,200.00 | 559.58 | | | | | | | | | - | - | - | - | 1,200.00 | 559.58 | - | - | - |
| | | 27-Nov-13 | 1,000.00 | 651.76 | | | | | | | | | - | - | - | - | 1,000.00 | 651.76 | - | - | - |
| | | 14-Aug-14 | 35.00 | 0.51 | | | | | | | | | - | - | - | - | 35.00 | 0.51 | - | - | - |
| | | 15-Aug-14 | 4,004.00 | 125.49 | | | | | | | | | - | - | - | - | 4,004.00 | 125.49 | - | - | - |
| | | | **7,439.00** | **1,823.86** | - | - | - | - | - | | - | - | - | - | - | - | **7,439.00** | **1,823.86** | | - | - |
| ABACUS MNG & EXPL CORP COM | ABCFF | 29-Nov-18 | 3,190.00 | 309.12 | | | | | | 23-Dec-20 | 3,190.00 | 309.12 | 418.66 | 109.54 | - | - | - | - | | - | - |
| | | 30-Nov-19 | 810.00 | 102.09 | | | | | | 23-Dec-20 | 810.00 | 102.09 | 106.30 | 4.21 | - | - | - | - | | - | - |
| | | | **4,000.00** | **411.21** | - | - | - | - | - | | **4,000.00** | **411.21** | **524.96** | **113.75** | - | - | - | - | | - | - |
| DIREXION SHS EFT TR DAILY JR GOLD MINES | JNUG | | | | | | | | | | | | | | | | | | | | |
| | | 13-Jan-20 | 8.00 | 1,059.21 | | | | | | 7-Jul-20 | 8.00 | 1,059.21 | 1,145.06 | - | 85.85 | - | - | - | | - | - |
| | | 13-Jan-20 | 2.00 | 264.80 | | | | | | 7-Jul-20 | 2.00 | 264.80 | 286.27 | - | 21.46 | - | - | - | | - | - |
| | | 26-Feb-20 | 10.00 | 1,324.02 | | | | | | 21-Jul-20 | 10.00 | 1,324.02 | 1,714.01 | - | 389.99 | - | - | - | | - | - |
| | | 26-Feb-20 | 8.00 | 1,059.22 | | | | | | 21-Jul-20 | 8.00 | 1,059.22 | 1,811.63 | - | 752.42 | - | - | - | | - | - |
| | | 9-Mar-20 | 5.00 | 662.01 | | | | | | 6-Aug-20 | 2.00 | 264.80 | 452.91 | - | 188.10 | - | 3.00 | 397.21 | 81.5700 | 244.71 | 325.50 |
| | | 11-Mar-20 | 1.00 | 132.40 | | | | | | | | | | - | - | - | 1.00 | 132.40 | 81.5700 | 81.57 | 108.50 |
| | | 12-Mar-20 | 6.00 | 794.41 | | | | | | | | | | - | - | - | 6.00 | 794.41 | 81.5700 | 489.42 | 651.00 |
| | | 13-Mar-20 | 20.00 | 2,648.04 | | | | | | | | | | - | - | - | 20.00 | 2,648.04 | 81.5700 | 1,631.40 | 2,169.99 |
| | | | **60.00** | **7,944.11** | - | - | - | - | - | | **30.00** | **3,972.06** | **5,409.88** | - | **1,437.82** | - | **30.00** | **3,972.06** | | **2,447.10** | **3,254.99** |
| SMART CANNABIS CORP COM | SCNA | 28-Aug-18 | 1,000.00 | 103.82 | | | | | | | | | | - | - | - | 1,000.00 | 103.82 | 0.0156 | 15.60 | 20.75 |
| | | | **1,000.00** | **103.82** | - | - | - | - | - | | - | - | - | - | - | - | **1,000.00** | **103.82** | | **15.60** | **20.75** |
| EURO SUN MINING INC | CPNFF | 11-Apr-18 | 1,000.00 | 953.38 | | | | | | 19-Feb-21 | 1,000.00 | 953.38 | 301.79 | - | - | (651.59) | - | - | | - | - |
| | | 11-Apr-18 | 200.00 | 190.68 | | | | | | 19-Feb-21 | 200.00 | 190.68 | 65.44 | - | - | (125.24) | - | - | | - | - |
| | | 18-Nov-19 | 2,000.00 | 664.36 | | | | | | 22-Feb-21 | 1,000.00 | 332.18 | 291.98 | - | - | (40.20) | 1,000.00 | 332.18 | 0.3029 | 302.88 | 402.87 |
| | | | **3,200.00** | **1,808.41** | - | - | - | - | - | | **2,200.00** | **1,476.23** | **659.21** | - | - | **(817.02)** | **1,000.00** | **332.18** | | **302.88** | 402.87 |
| SOL GLOBAL INVTS CORP COM | SOLCF | 3-Oct-18 | 100.00 | 512.29 | | | | | | 19-Feb-21 | 100.00 | 512.29 | 531.79 | 19.51 | - | - | - | - | | - | - |
| | | | **100.00** | **512.29** | - | - | - | - | - | | **100.00** | **512.29** | **531.79** | **19.51** | - | - | - | - | | - | - |
| UNITED LITHIUM CORP NEW COM | UBMCF | 18-Oct-18 | 71.00 | 668.54 | | | | | | | | | | - | - | - | 71.00 | 668.54 | 0.6400 | 45.44 | 60.44 |
| Renamed from UNITED BATTERY METALS CORP | | 14-Nov-18 | 86.00 | 857.69 | | | | | | | | | - | - | - | - | 86.00 | 857.69 | 0.6400 | 55.04 | 73.21 |
| | | 22-Feb-18 | 257.00 | 146.44 | | | | | | | | | - | - | - | - | 257.00 | 146.44 | 0.6400 | 164.48 | 218.78 |
| | | | **414.00** | **1,672.67** | - | - | - | - | - | | - | - | - | - | - | - | **414.00** | **1,672.67** | | **264.96** | **352.43** |
| SILVER ELEPHANT MNG CORP | SILEF | 29-Oct-18 | 1,850.00 | 1,088.57 | | | | | | | | | | - | - | - | 1,850.00 | 1,088.57 | 0.2060 | 381.03 | 506.82 |
| | | | **1,850.00** | **1,088.57** | - | - | - | - | - | | - | - | - | - | - | - | **1,850.00** | **1,088.57** | | **381.03** | **506.82** |
| OROCOBRE LTD MILTON | OROCF | 9-May-18 | 85.00 | 653.46 | | | | | | 1-Feb-21 | 85.00 | 653.46 | 425.43 | - | - | (228.03) | - | - | | - | - |
| Renamed from ADVANTAGE LITHIUM | AVLIF | 21-May-18 | 71.00 | 573.09 | | | | | | 1-Feb-21 | 71.00 | 573.09 | 355.36 | - | - | (217.73) | - | - | | - | - |
| | | 31-May-18 | 113.00 | 806.69 | | | | | | 1-Feb-21 | 113.00 | 806.69 | 565.57 | - | - | (241.12) | - | - | | - | - |
| | | | **269.00** | **2,033.24** | - | - | - | - | - | | **269.00** | **2,033.24** | **1,346.36** | - | - | **(686.88)** | - | - | | - | - |
| AUXLY CANNFABIS GROUP INC | CBWTF | 19-Dec-18 | 800.00 | 777.76 | | | | | | 23-Dec-20 | 800.00 | 777.76 | 203.59 | - | - | (574.17) | - | - | | - | - |
| | | | **800.00** | **777.76** | - | - | - | - | - | | **800.00** | **777.76** | **203.59** | - | - | **(574.17)** | - | - | | - | - |
| AVRUPA MINERALS LLTD COM | AVPMF | 16-May-18 | 5,000.00 | 535.10 | | | | | | | | | | - | - | - | 5,000.00 | 535.10 | 0.0646 | 323.08 | 429.74 |
| *Reverse split* | | 23-Dec-20 | | | | | | (3,750.00) | | | | | | - | - | - | (3,750.00) | - | 0.0646 | (242.31) | (322.31) |
| | | | **5,000.00** | **535.10** | - | - | - | **(3,750.00)** | - | | - | - | - | - | - | - | **1,250.00** | **535.10** | | **80.77** | **107.44** |
| BARRICK GOLD CORP | GOLD | 6-Apr-20 | 100.00 | 3,024.06 | | | | | (18.82) | | | | | - | - | - | 100.00 | 3,005.24 | 20.6800 | 2,068.00 | 2,750.73 |
| | | 1-Feb-21 | | | | 100.00 | 3,074.38 | | (18.82) | | | | | - | - | - | 100.00 | 3,055.56 | 20.6800 | 2,068.00 | 2,750.73 |
| | | 19-Feb-21 | | | | 100.00 | 2,757.03 | | (18.82) | | | | | - | - | - | 100.00 | 2,738.21 | 20.6800 | 2,068.00 | 2,750.73 |

| Investment | Code | Date | Opening balance | | Ref | Purchase | | Split/ Consolidation (Units) | ROC ($) | Disposal | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/21 (USD) | Market Value (USD) | Market Value (AUD) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | | | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | | |
| | | 19-Feb-21 | | | | 100.00 | 2,736.01 | | (18.82) | | | | | - | - | - | 100.00 | 2,717.19 | 20.6800 | 2,068.00 | 2,750.73 |
| | | 22-Feb-21 | | | | 100.00 | 2,710.16 | | (18.82) | | | | | - | - | - | 100.00 | 2,691.34 | 20.6800 | 2,068.00 | 2,750.73 |
| | | | **100.00** | **3,024.06** | - | **400.00** | **11,277.58** | - | **(94.08)** | | - | - | - | - | - | - | **500.00** | **14,207.56** | | **10,340.00** | **13,753.66** |
| FISSION URANIUM CORP COM | FCUUF | 17-Oct-17 | 2,000.00 | 1,232.53 | | | | | | | | | | - | - | - | 2,000.00 | 1,232.53 | 0.5088 | 1,017.69 | 1,353.67 |
| | | 12-Jun-17 | 800.00 | 586.65 | | | | | | | | | | - | - | - | 800.00 | 586.65 | 0.5088 | 407.08 | 541.47 |
| | | 21-Oct-19 | 2,200.00 | 764.68 | | | | | | | | | | - | - | - | 2,200.00 | 764.68 | 0.5088 | 1,119.46 | 1,489.04 |
| | | | **5,000.00** | **2,583.86** | - | - | - | - | - | | - | - | - | - | - | - | **5,000.00** | **2,583.86** | | **2,544.22** | **3,384.17** |
| HECLA MINING COMPANY | HL | 20-Sep-17 | 200.00 | 1,313.11 | | | | | | 3-Feb-21 | 200.00 | 1,313.11 | 1,914.85 | 601.74 | - | - | - | - | | - | - |
| | | 15-Feb-18 | 200.00 | 713.88 | | | | | | 3-Feb-21 | 200.00 | 713.88 | 2,171.22 | 1,457.34 | - | - | - | - | | - | - |
| | | 15-Feb-18 | 300.00 | 1,070.82 | | | | | | | | | | - | - | - | 300.00 | 1,070.82 | 7.4400 | 2,232.00 | 2,968.87 |
| | | 27-May-20 | 400.00 | 1,796.96 | | | | | | | | | | - | - | - | 400.00 | 1,796.96 | 7.4400 | 2,976.00 | 3,958.50 |
| | | 7-Jul-20 | | | | 350.00 | 1,532.12 | | | | | | | - | - | - | 350.00 | 1,532.12 | 7.4400 | 2,604.00 | 3,463.69 |
| | | | **1,100.00** | **4,894.77** | - | **350.00** | **1,532.12** | - | - | | **400.00** | **2,026.99** | **4,086.07** | **2,059.08** | - | - | **1,050.00** | **4,399.90** | | **7,812.00** | **10,391.06** |
| LEADING EDGE MATLS CORP COM | LEMIF | 29-May-18 | 1,000.00 | 690.19 | | | | | | | | | - | - | - | - | 1,000.00 | 690.19 | 0.2082 | 208.17 | 276.90 |
| | | | **1,000.00** | **690.19** | - | - | - | - | - | | - | - | - | - | - | - | **1,000.00** | **690.19** | | **208.17** | **276.90** |
| LEAFBUYER TECHNOLOGIES ING COM | LBUY | 4-Jun-18 | 500.00 | 793.11 | | | | | | | | | - | - | - | - | 500.00 | 793.11 | 0.1300 | 65.00 | 86.46 |
| | | | **500.00** | **793.11** | - | - | - | - | - | | - | - | - | - | - | - | **500.00** | **793.11** | | **65.00** | **86.46** |
| PERPETUA RES CORP COM | PPTA | 16-Jan-18 | 1,000.00 | 814.31 | | | | | | 14-Oct-20 | 1,000.00 | 814.31 | 1,358.64 | 544.33 | - | - | - | - | | - | - |
| Renamed from MIDAS GOLD CORP COM (MDRPF) | | 9-May-18 | 1,100.00 | 1,163.50 | | | | | | 14-Oct-20 | 300.00 | 317.32 | 407.59 | 90.27 | - | - | 800.00 | 846.18 | 7.3338 | 5,867.04 | 7,803.99 |
| *Reverse split* | | 22-Jul-20 | | | | 1,300.00 | 1,653.11 | | | | | | | - | - | - | 1,300.00 | 1,653.11 | 7.3338 | 9,533.94 | 12,681.48 |
| | | 6-Aug-20 | | | | 1,000.00 | 1,887.05 | | | | | | | - | - | - | 1,000.00 | 1,887.05 | 7.3338 | 7,333.80 | 9,754.99 |
| | | 1-Feb-21 | | | | | | (2,790.00) | | | | | | - | - | - | (2,790.00) | - | 7.3338 | (20,461.30) | (27,216.42) |
| | | | | | | | | | | 23-Feb-21 | 210.00 | 2,971.39 | 2,591.19 | - | - | (380.20) | (210.00) | (2,971.39) | 7.3338 | (1,540.10) | (2,048.55) |
| | | | | | | | | | | | | | | - | - | - | - | - | 7.3338 | - | - |
| | | | **2,100.00** | **1,977.81** | - | **2,300.00** | **3,540.16** | **(2,790.00)** | - | | **1,510.00** | **4,103.02** | **4,357.42** | **634.60** | - | **(380.20)** | **100.00** | **1,414.95** | | **733.38** | **975.50** |
| MILLROCK RES INC COM NEW | MLRKF | 12-Jun-18 | 2,000.00 | 665.54 | | | | | | | | | - | - | - | - | 2,000.00 | 665.54 | 0.0719 | 143.77 | 191.23 |
| | | | **2,000.00** | **665.54** | - | - | - | - | - | | - | - | - | - | - | - | **2,000.00** | **665.54** | | **143.77** | **191.23** |
| NORTHERN CRAPHITE CORP COM | NGPHF | 18-Jun-18 | 1,000.00 | 378.34 | | | | | | 21-Dec-20 | 1,000.00 | 378.34 | 218.65 | - | - | (159.69) | - | - | | - | - |
| | | | **1,000.00** | **378.34** | - | - | - | - | - | | **1,000.00** | **378.34** | **218.65** | - | - | **(159.69)** | - | - | | - | - |
| QUANTUM COBAL CPRP REGISTERED SHS | BRVVF | 18-Jun-18 | 1,000.00 | 1,713.24 | | | | | | | | | - | - | - | - | 1,000.00 | 1,713.24 | 4.3400 | 4,340.00 | 5,772.81 |
| *Reverse split* | | 8-Feb-21 | | | | | | (900.00) | | | | | - | - | - | - | (900.00) | - | 4.3400 | (3,906.00) | (5,195.53) |
| | | | **1,000.00** | **1,713.24** | - | - | - | **(900.00)** | - | | - | - | - | - | - | - | **100.00** | **1,713.24** | | **434.00** | **577.28** |
| REVIVAL GOLD INC REGISTERD SHS | RVLGF | 5-Jun-18 | 1,000.00 | 863.98 | | | | | | | | | - | - | - | - | 1,000.00 | 863.98 | 0.4923 | 492.30 | 654.83 |
| | | | **1,000.00** | **863.98** | - | - | - | - | - | | - | - | - | - | - | - | **1,000.00** | **863.98** | | **492.30** | **654.83** |
| GREAT PANTHER MINING LTD | GPL | 17-Apr-19 | 1,773.00 | 2,000.88 | | | | | | | | | | - | - | - | 1,773.00 | 2,000.88 | 0.6249 | 1,107.95 | 1,473.73 |
| | | | **1,773.00** | **2,000.88** | - | - | - | - | - | | - | - | - | - | - | - | **1,773.00** | **2,000.88** | | **1,107.95** | **1,473.73** |
| MAWSON GOLD LIMITED REGISTERED SHS | MWSNF | 7-Feb-20 | 1,000.00 | 319.79 | | | | | | | | | | - | - | - | 1,000.00 | 319.79 | 0.1978 | 197.80 | 263.10 |
| Renamed from MAWSON RES LTD NEW CON ISIN | | | **1,000.00** | **319.79** | - | - | - | - | - | | - | - | - | - | - | - | **1,000.00** | **319.79** | **0.1978** | **197.80** | **263.10** |
| PRETIUM RES INC COM | PVG | 27-Feb-20 | 100.00 | 1,202.31 | | | | | | | | | | - | - | - | 100.00 | 1,202.31 | 9.5600 | 956.00 | 1,271.61 |
| | | | **100.00** | **1,202.31** | - | - | - | - | - | | - | - | - | - | - | - | **100.00** | **1,202.31** | | **956.00** | **1,271.61** |
| SILVERCORP METALS INC | SVM | 1-May-20 | 200.00 | 1,188.96 | | | | | | | | | | - | - | - | 200.00 | 1,188.96 | 5.4900 | 1,098.00 | 1,460.49 |
| | | 1-May-20 | 100.00 | 582.22 | | | | | | | | | | - | - | - | 100.00 | 582.22 | 5.4900 | 549.00 | 730.25 |
| | | | **300.00** | **1,771.18** | - | - | - | - | - | | - | - | - | - | - | - | **300.00** | **1,771.18** | | **1,647.00** | **2,190.74** |
| MINARUM GOLD INC COM | MMRGF | 6-Oct-20 | | | | 800.00 | 523.77 | | | | | | | - | - | - | 800.00 | 523.77 | 0.3231 | 258.46 | 343.79 |
| | | | | | - | **800.00** | **523.77** | - | - | | - | - | - | - | - | - | **800.00** | **523.77** | **0.3231** | **258.46** | **343.79** |
| VIZSLA RESOURCES CORP REGISTERED SHS REG S | VIZSF | 14-Oct-20 | | | | 1,000.00 | 1,706.95 | | | 24-Feb-21 | 1,000.00 | 1,706.95 | 1,527.88 | - | - | (179.07) | - | - | | - | - |
| | | | | | - | **1,000.00** | **1,706.95** | - | - | | **1,000.00** | **1,706.95** | **1,527.88** | - | - | **(179.07)** | - | - | - | - | - |
| DOLLY VARDEN SILVER CORP COM | DOLLF | 23-Dec-20 | | | | 1,000.00 | 1,020.29 | | | | | | | - | - | - | 1,000.00 | 1,020.29 | 0.4980 | 498.00 | 662.41 |
| | | | | | - | **1,000.00** | **1,020.29** | - | - | | - | - | - | - | - | - | **1,000.00** | **1,020.29** | **0.4980** | **498.00** | **662.41** |
| NOMAD ROYAL COMPANY LTD | NSRXF | 13-Apr-21 | | | | 200.00 | 232.39 | | | | | | | - | - | - | 200.00 | 232.39 | 7.8103 | 1,562.06 | 2,077.76 |
| Reverse split | | 4-Jun-21 | | | | | | | | | 180.00 | | | - | - | - | (180.00) | - | 7.8103 | (1,405.85) | (1,869.98) |
| | | | | | - | **200.00** | **232.39** | - | - | | **180.00** | - | - | - | - | - | **20.00** | **232.39** | **15.6206** | **156.21** | **207.78** |
| **TOTAL** | | | | **51,373.45** | - | | **19,833.26** | **(7,440.00)** | **(94.08)** | | | **20,970.65** | **25,275.19** | **4,534.68** | **3,306.97** | **(3,537.11)** | | **50,141.97** | | **39,209.68** | **52,154.40** |
| | | | | | | | | | | | | | **(4,304.54)** | | | **4,304.54** | | | | | |

Agree to Comsec USD D190

Opening balance Cost 51,373.45
0.00

Less: GPL -
**Total Buy** **19,833.26**
Argeed to Bank Transaction

Total 25,369.27
Rounding 0.01
**25,369.28**
**Total Sell** **25,369.28**
Argeed to Bank Transaction

# MARKET MOVEMENT

| Name | Opening Value | Acquisition | Disposal | Gains/Losses | ROC | Closing Value | Change of Market Movement |
|---|---|---|---|---|---|---|---|
| | | | | | | | |
| **Shares in listed companies** | 538,526.60 | 281,801.05 | 256,729.67 | E9 | O99 1,822.17 | 608,638.15 | E13 (46,862.34) |
| *Rounding* | | | | | | | *(2.40)* |
| | | | | | | | |
| **The Montgomery Fund** | 20,729.60 | - | (4.39) | E9 | | - | E283 20,733.99 |
| | | | | | | | |
| **Cash - USD** | | | | | | | D196 173.97 |
| **Cash - CAD** | | | | | | | D196 (0.11) |
| | | | | | | | |
| | | | | | | | **(25,956.89)** |
| | | | | | | | |
| **Listed shares International** | 40,601.20 | 19,833.26 | 20,970.65 | E11 | 94.08 | 52,154.40 | D190 (12,784.67) |
| Rounding | | | | | | | *(0.01)* |
| | | | | | | | |
| **Total** | | | | | | | **(38,741.57)** |

# Financial Year Summary

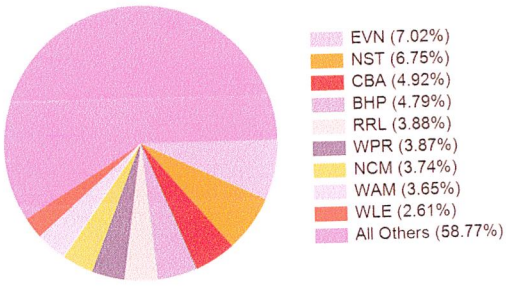
CommSec

1 JULY 2020 - 30 JUNE 2021

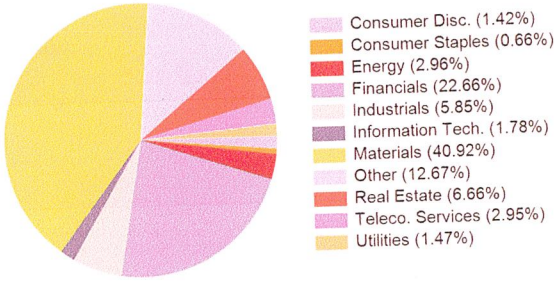
| Portfolio Valuation | Account Number | Account Name | Value as at 30 June 2021 |
|---|---|---|---|
| Shares | 4337759 | MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C> | $608,638.15 |
| CDIA | 10639881 | SYDNEY & SANDRA JOFFE ATF SYDSAN SMSF | $54.61 |
| TOTAL PORTFOLIO VALUE | | | $608,692.76 |

## Allocation as at 30 June 2021

### Shares in your portfolio




### Sectors in your portfolio




| Estimated Interest & Dividends | 2020-2021 Financial Year |
|---|---|
| Est. Franked Dividends | $15,852.27 |
| Est. Unfranked Dividends | $5,644.87 |
| Est. Franking Credits | $6,793.86 |
| Est. Interest Received from Interest Rate Securities | $0.00 |
| TOTAL INCOME | $21,497.14 |

| Fees & Charges | 2020-2021 Financial Year |
|---|---|
| Total Brokerage (inc. GST) | $4,011.01 |
| Total Subscriptions (inc. GST) | $0.00 |
| Other Fees (inc. GST) | $0.00 |
| TOTAL FEES & CHARGES | $4,011.01 |

# Portfolio Valuation

30 JUNE 2021

CommSec

**SHARES - 4337759 - HIN 54631961**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

| Portfolio | Units | Unit Price | Portfolio Value | % of Portfolio |
|---|---|---|---|---|
| ADH - ADAIRS LIMITED FPO (ORDINARY FULLY PAID) | 500 | $4.2500 | $2,125.00 | 0.35% |
| AIS - AERIS RESOURCES LTD FPO (ORDINARY FULLY PAID) | 2,500 | $0.1950 | $487.50 | 0.08% |
| AMC - AMCOR PLC CDI 1:1 (CDI 1:1 FOREIGN EXEMPT NYSE) | 600 | $15.1300 | $9,078.00 | 1.49% |
| ANZ - ANZ BANKING GRP LTD FPO (ORDINARY FULLY PAID) | 200 | $28.1500 | $5,630.00 | 0.92% |
| APA - APA GROUP STAPLED (FULLY PAID UNITS STAPLED SECURITIES) | 500 | $8.9000 | $4,450.00 | 0.73% |
| AWC - ALUMINA LIMITED FPO (ORDINARY FULLY PAID) | 5,000 | $1.6450 | $8,225.00 | 1.35% |
| AX1 - ACCENT GROUP LTD FPO (ORDINARY FULLY PAID) | 4,000 | $2.7900 | $11,160.00 | 1.83% |
| AZJ - AURIZON HOLDINGS LTD FPO (ORDINARY FULLY PAID) | 3,480 | $3.7200 | $12,945.60 | 2.13% |
| BEN - BENDIGO AND ADELAIDE FPO (ORDINARY FULLY PAID) | 400 | $10.4900 | $4,196.00 | 0.69% |
| BHP - BHP GROUP LIMITED FPO (ORDINARY FULLY PAID) | 600 | $48.5700 | $29,142.00 | 4.79% |
| BKW - BRICKWORKS LIMITED FPO (ORDINARY FULLY PAID) | 400 | $25.0700 | $10,028.00 | 1.65% |
| BOE - BOSS ENERGY LTD FPO (ORDINARY FULLY PAID) | 19,200 | $0.1800 | $3,456.00 | 0.57% |
| CBA - COMMONWEALTH BANK. FPO (ORDINARY FULLY PAID) | 300 | $99.8700 | $29,961.00 | 4.92% |
| CDA - CODAN LIMITED FPO (ORDINARY FULLY PAID) | 600 | $18.0300 | $10,818.00 | 1.78% |
| COF - CENTURIA OFFICE REIT ORD UNIT (ORDINARY UNITS FULLY PAID) | 2,000 | $2.3400 | $4,680.00 | 0.77% |
| COL - COLES GROUP FPO (ORDINARY FULLY PAID) | 500 | $17.0900 | $8,545.00 | 1.40% |
| CQR - CHARTER HALL RETAIL UNIT (UNITS FULLY PAID) | 700 | $3.8000 | $2,660.00 | 0.44% |
| CWP - CEDAR WOODS PROP. FPO (ORDINARY FULLY PAID) | 1,700 | $6.7100 | $11,407.00 | 1.87% |
| DYL - DEEP YELLOW LIMITED FPO (ORDINARY FULLY PAID) | 4,226 | $0.7150 | $3,021.59 | 0.50% |
| EVN - EVOLUTION MINING LTD FPO (ORDINARY FULLY PAID) | 9,500 | $4.5000 | $42,750.00 | 7.02% |
| GOR - GOLD ROAD RES LTD FPO (ORDINARY FULLY PAID) | 12,000 | $1.2600 | $15,120.00 | 2.48% |
| GOZ - GROWTHPOINT PROPERTY STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES) | 3,374 | $4.0700 | $13,732.18 | 2.26% |
| IFL - IOOF HOLDINGS LTD FPO (ORDINARY FULLY PAID) | 1,000 | $4.2700 | $4,270.00 | 0.70% |
| LYC - LYNAS RARE EARTHS FPO (ORDINARY FULLY PAID) | 1,200 | $5.7100 | $6,852.00 | 1.13% |
| MBK - METAL BANK LTD FPO (ORDINARY FULLY PAID) | 62,400 | $0.0070 | $436.80 | 0.07% |
| MND - MONADELPHOUS GROUP FPO (ORDINARY FULLY PAID) | 600 | $10.4500 | $6,270.00 | 1.03% |
| MOGL - MOGL (MANAGED FUND) TMF UNITS (MONTAKA GLOBAL LONG ONLY EQUITIES FUND) | 500 | $3.7400 | $1,870.00 | 0.31% |
| MPL - MEDIBANK PRIVATE LTD FPO (ORDINARY FULLY PAID) | 4,000 | $3.1600 | $12,640.00 | 2.08% |
| MTS - METCASH LIMITED FPO (ORDINARY FULLY PAID) | 1,000 | $3.9900 | $3,990.00 | 0.66% |
| NAB - NATIONAL AUST. BANK FPO (ORDINARY FULLY PAID) | 300 | $26.2200 | $7,866.00 | 1.29% |
| NCM - NEWCREST MINING FPO (ORDINARY FULLY PAID) | 900 | $25.2800 | $22,752.00 | 3.74% |
| NSR - NATIONAL STORAGE STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES) | 1,000 | $1.9800 | $1,980.00 | 0.33% |
| NST - NORTHERN STAR FPO (ORDINARY FULLY PAID) | 4,200 | $9.7800 | $41,076.00 | 6.75% |
| NWH - NRW HOLDINGS LIMITED FPO (ORDINARY FULLY PAID) | 2,000 | $1.4650 | $2,930.00 | 0.48% |

# Portfolio Valuation

30 JUNE 2021

CommSec

| Portfolio | Units | Unit Price | Portfolio Value | % of Portfolio |
|---|---|---|---|---|
| OGC - OCEANAGOLD CORP. CDI 1:1 (CHESS DEPOSITARY INTEREST 1:1) | 2,000 | $2.4400 | $4,880.00 | 0.80% |
| ORE - OROCOBRE LIMITED FPO (ORDINARY FULLY PAID) | 1,000 | $6.4700 | $6,470.00 | 1.06% |
| OZL - OZ MINERALS FPO (ORDINARY FULLY PAID) | 300 | $22.4800 | $6,744.00 | 1.11% |
| PDL - PENDAL GROUP LTD FPO (ORDINARY FULLY PAID) | 1,268 | $8.0600 | $10,220.08 | 1.68% |
| PDN - PALADIN ENERGY LTD FPO (ORDINARY FULLY PAID) | 11,884 | $0.5150 | $6,120.26 | 1.01% |
| PTM - PLATINUM ASSET FPO (ORDINARY FULLY PAID) | 400 | $4.9100 | $1,964.00 | 0.32% |
| QFE - QUICKFEE LIMITED FPO (ORDINARY FULLY PAID) | 2,000 | $0.2500 | $500.00 | 0.08% |
| QVE - QV EQUITIES LIMITED FPO (ORDINARY FULLY PAID) | 6,600 | $0.9950 | $6,567.00 | 1.08% |
| RFF - RURAL FUNDS GROUP STAPLED (FULLY PAID UNITS STAPLED SECURITIES) | 2,000 | $2.6500 | $5,300.00 | 0.87% |
| RMS - RAMELIUS RESOURCES FPO (ORDINARY FULLY PAID) | 2,000 | $1.6950 | $3,390.00 | 0.56% |
| RRL - REGIS RESOURCES FPO (ORDINARY FULLY PAID) | 10,000 | $2.3600 | $23,600.00 | 3.88% |
| SBM - ST BARBARA LIMITED FPO (ORDINARY FULLY PAID) | 3,000 | $1.7050 | $5,115.00 | 0.84% |
| SCG - SCENTRE GRP STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES) | 2,000 | $2.7400 | $5,480.00 | 0.90% |
| SHM - SHRIRO HOLDINGS LTD FPO (ORDINARY FULLY PAID) | 5,500 | $1.1900 | $6,545.00 | 1.08% |
| SIQ - SMARTGRP CORPORATION FPO (ORDINARY FULLY PAID) | 1,000 | $7.3800 | $7,380.00 | 1.21% |
| SKI - SPARK INFRASTRUCTURE STP FORUS (STAPLED $0.65 LOAN NOTE AND UNIT US PROHIBITED) | 2,000 | $2.2500 | $4,500.00 | 0.74% |
| SLR - SILVER LAKE RESOURCE FPO (ORDINARY FULLY PAID) | 4,233 | $1.6600 | $7,026.78 | 1.15% |
| SPK - SPARK NEW ZEALAND FPO NZX (ORDINARY FULLY PAID FOREIGN EXEMPT NZX) | 2,000 | $4.4600 | $8,920.00 | 1.47% |
| STA - STRANDLINE RES LTD FPO (ORDINARY FULLY PAID) | 21 | $0.2150 | $4.52 | 0.00% |
| SUN - SUNCORP GROUP LTD FPO (ORDINARY FULLY PAID) | 800 | $11.1100 | $8,888.00 | 1.46% |
| SVW - SEVEN GROUP HOLDINGS FPO (ORDINARY FULLY PAID) | 300 | $20.3500 | $6,105.00 | 1.00% |
| TLG - TALGA GROUP LTD FPO (ORDINARY FULLY PAID) | 1,800 | $1.3300 | $2,394.00 | 0.39% |
| TLS - TELSTRA CORPORATION. FPO (ORDINARY FULLY PAID) | 2,400 | $3.7600 | $9,024.00 | 1.48% |
| WAM - WAM CAPITAL LIMITED FPO (ORDINARY FULLY PAID) | 10,136 | $2.1900 | $22,197.84 | 3.65% |
| WAR - WAM STRATEGIC VALUE FPO (ORDINARY FULLY PAID) | 1,800 | $1.2750 | $2,295.00 | 0.38% |
| WAX - WAM RESEARCH LTD FPO (ORDINARY FULLY PAID) | 3,000 | $1.6450 | $4,935.00 | 0.81% |
| WBC - WESTPAC BANKING CORP FPO (ORDINARY FULLY PAID) | 500 | $25.8100 | $12,905.00 | 2.12% |
| WGB - WAM GLOBAL LIMITED FPO (ORDINARY FULLY PAID) | 1,000 | $2.6200 | $2,620.00 | 0.43% |
| WGBO - WAM GLOBAL LIMITED OPT SEP22 (OPTION EXPIRING 12-SEP-2022) | 1,000 | $0.0830 | $83.00 | 0.01% |
| WLE - WAM LEADERS LIMITED FPO (ORDINARY FULLY PAID) | 10,000 | $1.5900 | $15,900.00 | 2.61% |
| WPL - WOODSIDE PETROLEUM FPO (ORDINARY FULLY PAID) | 400 | $22.2100 | $8,884.00 | 1.46% |
| WPR - WAYPOINT REIT STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES) | 9,000 | $2.6200 | $23,580.00 | 3.87% |
| YMAX - BETASHARESYIELDMAX TMF UNITS (BETASHARES AUS TOP20 EQY YIELD MAX (MANAGED FUND)) | 1,400 | $8.2500 | $11,550.00 | 1.90% |
| | | **Sub Total** | **$608,638.15** | **99.99%** |

# Transaction Summary

1 JULY 2020 - 30 JUNE 2021

CommSec

SHARES - 4337759 - HIN 54631961

MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE
<SYDSAN SELF MANAGED S/F A/C>

| Total Buys and Sells | 2020 - 2021 Financial Year |
|---|---|
| Total Buys (inc. Brokerage + GST) | $254,432.52 |
| Total Sells (inc. Brokerage + GST) | $253,122.81 |

E16

## 88E - 88 ENERGY LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-May-2021 | Sell | -30,000 | $.0250 | -$750.00 | $10.00 | $0.91 | 117868322 | -$740.00 |
| 06-Apr-2021 | Sell | -35,000 | $.0240 | -$840.00 | $10.00 | $0.91 | 116534315 | -$830.00 |
| 01-Apr-2021 | Sell | -35,000 | $.0750 | -$2,625.00 | $19.95 | $1.81 | 116513183 | -$2,605.05 |
| 02-Nov-2020 | Buy | 100,000 | $.0060 | $600.00 | $10.00 | $0.91 | 108531522 | $610.00 |
| | | | | **Sub Total** | **$49.95** | **$4.54** | | **-$3,565.05** |

## ADH - ADAIRS LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 11-Nov-2020 | Buy | 500 | $3.3600 | $1,680.00 | $19.95 | $1.81 | 109018426 | $1,699.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$1,699.95** |

## AGL - AGL ENERGY LIMITED. FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 26-May-2021 | Sell | -300 | $8.3329 | -$2,499.86 | $19.95 | $1.81 | 118749725 | -$2,479.91 |
| 03-Mar-2021 | Sell | -400 | $9.4600 | -$3,784.00 | $19.95 | $1.81 | 115073425 | -$3,764.05 |
| 22-Sep-2020 | Sell | -100 | $14.0700 | -$1,407.00 | $19.95 | $1.81 | 106559704 | -$1,387.05 |
| 24-Aug-2020 | Buy | 200 | $15.5400 | $3,108.00 | $19.95 | $1.81 | 104888188 | $3,127.95 |
| 20-Aug-2020 | Buy | 100 | $15.5100 | $1,551.00 | $19.95 | $1.81 | 104689897 | $1,570.95 |
| 19-Aug-2020 | Buy | 100 | $15.6100 | $1,561.00 | $19.95 | $1.81 | 104663363 | $1,580.95 |
| | | | | **Sub Total** | **$119.70** | **$10.86** | | **-$1,351.16** |

## AIS - AERIS RESOURCES LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 11-Jun-2021 | Buy | 2,500 | $.2000 | $500.00 | $10.00 | $0.91 | 119344601 | $510.00 |
| | | | | **Sub Total** | **$10.00** | **$0.91** | | **$510.00** |

## ALD - AMPOL LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 30-Nov-2020 | Sell | -500 | $30.4000 | -$15,200.00 | $29.95 | $2.72 | 109874281 | -$15,170.05 |
| 12-Nov-2020 | Sell | -100 | $27.7800 | -$2,778.00 | $19.95 | $1.81 | 109124495 | -$2,758.05 |
| | | | | **Sub Total** | **$49.90** | **$4.53** | | **-$17,928.10** |

E17

### ALX - ATLAS ARTERIA FPOSFORUS (FPO STAP US PROHIBITED EXCLUDING QUP)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 06-Aug-2020 | Sell | -800 | $6.4300 | -$5,144.00 | $19.95 | $1.81 | 104026176 | -$5,124.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$5,124.05** |

### AQZ - ALLIANCE AVIATION FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 06-May-2021 | Sell | -600 | $4.1600 | -$2,496.00 | $19.95 | $1.81 | 117912421 | -$2,476.05 |
| 05-May-2021 | Buy | 600 | $4.1500 | $2,490.00 | $19.95 | $1.81 | 117821956 | $2,509.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$33.90** |

### AUZ - AUSTRALIAN MINES LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-May-2021 | Sell | -82,500 | $.0210 | -$1,732.50 | $19.95 | $1.81 | 117874460 | -$1,712.55 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,712.55** |

### AVH - AVITA MEDICAL CDI 5:1 (CHESS DEPOSITARY INTERESTS 5:1)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 28-Jan-2021 | Sell | -500 | $7.0000 | -$3,500.00 | $19.95 | $1.81 | 112725303 | -$3,480.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$3,480.05** |

### AWC - ALUMINA LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 27-Oct-2020 | Buy | 2,000 | $1.4300 | $2,860.00 | $19.95 | $1.81 | 108296349 | $2,879.95 |
| 29-Sep-2020 | Buy | 1,000 | $1.4400 | $1,440.00 | $19.95 | $1.81 | 106881818 | $1,459.95 |
| 22-Sep-2020 | Buy | 1,000 | $1.4300 | $1,430.00 | $19.95 | $1.81 | 106558563 | $1,449.95 |
| 28-Aug-2020 | Buy | 1,000 | $1.6100 | $1,610.00 | $19.95 | $1.81 | 105248427 | $1,629.95 |
| 09-Jul-2020 | Sell | -2,000 | $1.6175 | -$3,235.00 | $19.95 | $1.81 | 102759343 | -$3,215.05 |
| | | | | **Sub Total** | **$99.75** | **$9.05** | | **$4,204.75** |

### AZJ - AURIZON HOLDINGS LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 30-Jun-2021 | Buy | 480 | $3.7400 | $1,795.20 | $19.95 | $1.81 | 120063616 | $1,815.15 |
| 10-May-2021 | Buy | 800 | $3.6900 | $2,952.00 | $19.95 | $1.81 | 118048292 | $2,971.95 |
| 24-Feb-2021 | Buy | 200 | $3.9300 | $786.00 | $10.00 | $0.91 | 114571638 | $796.00 |
| 18-Feb-2021 | Buy | 600 | $3.9700 | $2,382.00 | $19.95 | $1.81 | 114223915 | $2,401.95 |
| 15-Feb-2021 | Buy | 500 | $4.0200 | $2,010.00 | $19.95 | $1.81 | 113739720 | $2,029.95 |
| | | | | **Sub Total** | **$89.80** | **$8.15** | | **$10,015.00** |

E18

**BEN - BENDIGO AND ADELAIDE FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 08-Sep-2020 | Sell | -100 | $6.4800 | -$648.00 | $10.00 | $0.91 | 105828063 | -$638.00 |
| 20-Aug-2020 | Sell | -400 | $6.4250 | -$2,570.00 | $19.95 | $1.81 | 104719515 | -$2,550.05 |
| | | | | **Sub Total** | **$29.95** | **$2.72** | | **-$3,188.05** |

**BGL - BELLEVUE GOLD LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 15-Feb-2021 | Sell | -3,500 | $.9800 | -$3,430.00 | $19.95 | $1.81 | 113727086 | -$3,410.05 |
| 27-Jan-2021 | Sell | -1,000 | $1.0325 | -$1,032.50 | $19.95 | $1.81 | 112603702 | -$1,012.55 |
| 04-Jan-2021 | Sell | -500 | $1.1300 | -$565.00 | $10.00 | $0.91 | 111260087 | -$555.00 |
| 10-Nov-2020 | Buy | 2,000 | $1.3300 | $2,660.00 | $19.95 | $1.81 | 108973889 | $2,679.95 |
| 31-Jul-2020 | Buy | 1,300 | $1.0200 | $1,326.00 | $19.95 | $1.81 | 103777435 | $1,345.95 |
| | | | | **Sub Total** | **$89.80** | **$8.15** | | **-$951.70** |

**BHP - BHP GROUP LIMITED FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Oct-2020 | Sell | -100 | $36.0100 | -$3,601.00 | $19.95 | $1.81 | 107147395 | -$3,581.05 |
| 23-Sep-2020 | Sell | -100 | $36.9800 | -$3,698.00 | $19.95 | $1.81 | 106643025 | -$3,678.05 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$7,259.10** |

**BOE - BOSS RESOURCES LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 12-Apr-2021 | Buy | 3,200 | $.1500 | $480.00 | $10.00 | $0.91 | 116778186 | $490.00 |
| 01-Oct-2020 | Buy | 8,000 | $.0670 | $536.00 | $10.00 | $0.91 | 107020234 | $546.00 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **$1,036.00** |

**BTH - BIGTINCAN HLDGS LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 12-Apr-2021 | Sell | -500 | $1.0100 | -$505.00 | $10.00 | $0.91 | 116833700 | -$495.00 |
| 21-Oct-2020 | Buy | 500 | $1.4600 | $730.00 | $10.00 | $0.91 | 107951347 | $740.00 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **$245.00** |

**CCL - COCA-COLA AMATIL FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 02-Nov-2020 | Sell | -200 | $12.4250 | -$2,485.00 | $19.95 | $1.81 | 108546558 | -$2,465.05 |
| 28-Oct-2020 | Sell | -300 | $12.4701 | -$3,741.03 | $19.95 | $1.81 | 108318483 | -$3,721.08 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$6,186.13** |

E19

### CCX - CITY CHIC COLLECTIVE FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 24-Feb-2021 | Sell | -200 | $3.9700 | -$794.00 | $10.00 | $0.91 | 114552417 | -$784.00 |
| 01-Feb-2021 | Buy | 200 | $3.8600 | $772.00 | $10.00 | $0.91 | 112880736 | $782.00 |
| 27-Aug-2020 | Sell | -400 | $3.3100 | -$1,324.00 | $19.95 | $1.81 | 105134831 | -$1,304.05 |
| 05-Aug-2020 | Buy | 400 | $3.3399 | $1,335.98 | $19.95 | $1.81 | 103974937 | $1,355.93 |
| | | | | **Sub Total** | **$59.90** | **$5.44** | | **$49.88** |

### CDA - CODAN LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 30-Jun-2021 | Sell | -100 | $18.2200 | -$1,822.00 | $19.95 | $1.81 | 120063438 | -$1,802.05 |
| 16-Feb-2021 | Sell | -100 | $13.1700 | -$1,317.00 | $19.95 | $1.81 | 113950275 | -$1,297.05 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$3,099.10** |

### CGF - CHALLENGER LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 11-Aug-2020 | Sell | -1,200 | $4.0900 | -$4,908.00 | $19.95 | $1.81 | 104230883 | -$4,888.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$4,888.05** |

### CGL - THE CITADEL GRP FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 15-Sep-2020 | Sell | -1,000 | $5.5700 | -$5,570.00 | $19.95 | $1.81 | 106208201 | -$5,550.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$5,550.05** |

### CLQ - CLEAN TEQ HLDGS LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 10-Sep-2020 | Buy | 2,000 | $.3450 | $690.00 | $10.00 | $0.91 | 105982855 | $700.00 |
| 08-Sep-2020 | Buy | 2,000 | $.3200 | $640.00 | $10.00 | $0.91 | 105826800 | $650.00 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **$1,350.00** |

### CMM - CAPRICORN METALS FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 27-Jan-2021 | Sell | -620 | $1.7025 | -$1,055.55 | $19.95 | $1.81 | 112603191 | -$1,035.60 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,035.60** |

### COF - CENTURIA OFFICE REIT ORD UNIT (ORDINARY UNITS FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 14-Aug-2020 | Buy | 1,000 | $1.9300 | $1,930.00 | $19.95 | $1.81 | 104412567 | $1,949.95 |
| 07-Aug-2020 | Buy | 1,000 | $1.9500 | $1,950.00 | $19.95 | $1.81 | 104066296 | $1,969.95 |

E20

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$3,919.90** |

**COL - COLES GROUP FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 17-May-2021 | Buy | 200 | $16.3578 | $3,271.55 | $19.95 | $1.81 | 118345771 | $3,291.50 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$3,291.50** |

**CRW - CASHREWARDS FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 01-Mar-2021 | Sell | -1,000 | $1.6550 | -$1,655.00 | $19.95 | $1.81 | 114896908 | -$1,635.05 |
| 25-Jan-2021 | Buy | 1,000 | $1.7000 | $1,700.00 | $19.95 | $1.81 | 112421382 | $1,719.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$84.90** |

**DCN - DACIAN GOLD LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 12-Feb-2021 | Sell | -3,200 | $.4350 | -$1,392.00 | $19.95 | $1.81 | 113708369 | -$1,372.05 |
| 31-Dec-2020 | Buy | 1,200 | $.4100 | $492.00 | $10.00 | $0.91 | 111246687 | $502.00 |
| | | | | **Sub Total** | **$29.95** | **$2.72** | | **-$870.05** |

**EML - EML PAYMENTS LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 15-Jun-2021 | Sell | -600 | $3.7800 | -$2,268.00 | $19.95 | $1.81 | 119397630 | -$2,248.05 |
| 10-Jun-2021 | Buy | 600 | $3.9300 | $2,358.00 | $19.95 | $1.81 | 119311741 | $2,377.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$129.90** |

**EVN - EVOLUTION MINING LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 18-Mar-2021 | Buy | 500 | $4.2200 | $2,110.00 | $19.95 | $1.81 | 115809645 | $2,129.95 |
| 16-Mar-2021 | Buy | 1,000 | $4.1900 | $4,190.00 | $19.95 | $1.81 | 115691604 | $4,209.95 |
| 17-Feb-2021 | Buy | 1,000 | $4.2600 | $4,260.00 | $19.95 | $1.81 | 114048744 | $4,279.95 |
| 07-Jan-2021 | Buy | 1,000 | $5.0800 | $5,080.00 | $19.95 | $1.81 | 111515464 | $5,099.95 |
| 04-Dec-2020 | Buy | 500 | $4.9600 | $2,480.00 | $19.95 | $1.81 | 110155060 | $2,499.95 |
| 02-Dec-2020 | Buy | 500 | $5.1684 | $2,584.18 | $19.95 | $1.81 | 110029778 | $2,604.13 |
| | | | | **Sub Total** | **$119.70** | **$10.86** | | **$20,823.88** |

**FLT - FLIGHT CENTRE TRAVEL FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 17-May-2021 | Sell | -200 | $15.3950 | -$3,079.00 | $19.95 | $1.81 | 118395212 | -$3,059.05 |
| 16-Feb-2021 | Sell | -100 | $14.2000 | -$1,420.00 | $19.95 | $1.81 | 113858041 | -$1,400.05 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$4,459.10** |

E21

### FUEL - BETA GLOBAL ENERGY ETF UNITS (BETASHARES GLOBAL ENERGY CO ETF - CURRENCY HEDGED)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 11-Nov-2020 | Sell | -500 | $3.4000 | -$1,700.00 | $19.95 | $1.81 | 109018616 | -$1,680.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,680.05** |

### GOR - GOLD ROAD RES LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 22-Mar-2021 | Buy | 1,000 | $1.2200 | $1,220.00 | $19.95 | $1.81 | 115943431 | $1,239.95 |
| 16-Feb-2021 | Sell | -1,000 | $1.2350 | -$1,235.00 | $19.95 | $1.81 | 113950479 | -$1,215.05 |
| 30-Oct-2020 | Buy | 1,000 | $1.3200 | $1,320.00 | $19.95 | $1.81 | 108518616 | $1,339.95 |
| 16-Sep-2020 | Buy | 2,000 | $1.6650 | $3,330.00 | $19.95 | $1.81 | 106278095 | $3,349.95 |
| | | | | **Sub Total** | **$79.80** | **$7.24** | | **$4,714.80** |

### IEL - IDP EDUCATION LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Aug-2020 | Sell | -100 | $13.0400 | -$1,304.00 | $19.95 | $1.81 | 103974820 | -$1,284.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,284.05** |

### IFL - IOOF HOLDINGS LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Oct-2020 | Buy | 1,000 | $3.1800 | $3,180.00 | $19.95 | $1.81 | 107147209 | $3,199.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$3,199.95** |

### IMD - IMDEX LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 27-Oct-2020 | Sell | -2,000 | $1.2850 | -$2,570.00 | $19.95 | $1.81 | 108296801 | -$2,550.05 |
| 07-Jul-2020 | Buy | 2,000 | $1.1475 | $2,295.00 | $19.95 | $1.81 | 102574545 | $2,314.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$235.10** |

### KSL - KINA SECURITIES LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Oct-2020 | Sell | -1,000 | $.7800 | -$780.00 | $10.00 | $0.91 | 107144868 | -$770.00 |
| | | | | **Sub Total** | **$10.00** | **$0.91** | | **-$770.00** |

### LYL - LYCOPODIUM LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 07-Jan-2021 | Sell | -1,000 | $4.8800 | -$4,880.00 | $19.95 | $1.81 | 111515226 | -$4,860.05 |
| 16-Dec-2020 | Sell | -200 | $4.7000 | -$940.00 | $10.00 | $0.91 | 110740222 | -$930.00 |
| 02-Oct-2020 | Sell | -500 | $4.0600 | -$2,030.00 | $19.95 | $1.81 | 107092341 | -$2,010.05 |

E22

### LYL - LYCOPODIUM LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 22-Jul-2020 | Buy | 300 | $4.2300 | $1,269.00 | $19.95 | $1.81 | 103349944 | $1,288.95 |
| | | | | **Sub Total** | **$69.85** | **$6.34** | | **-$6,511.15** |

### MIN - MINERAL RESOURCES. FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 17-Sep-2020 | Sell | -800 | $25.0728 | -$20,058.25 | $29.95 | $2.72 | 106396152 | -$20,028.30 |
| 04-Sep-2020 | Sell | -100 | $28.2500 | -$2,825.00 | $19.95 | $1.81 | 105696505 | -$2,805.05 |
| 20-Aug-2020 | Sell | -100 | $26.8300 | -$2,683.00 | $19.95 | $1.81 | 104685504 | -$2,663.05 |
| | | | | **Sub Total** | **$69.85** | **$6.34** | | **-$25,496.40** |

### MOGL - MOGL (MANAGED FUND) TMF UNITS (TRADING MANAGED UNITS FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Oct-2020 | Sell | -600 | $3.4800 | -$2,088.00 | $19.95 | $1.81 | 107735721 | -$2,068.05 |
| 01-Jul-2020 | Sell | -400 | $3.2200 | -$1,288.00 | $19.95 | $1.81 | 102352741 | -$1,268.05 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$3,336.10** |

### MPL - MEDIBANK PRIVATE LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 28-Oct-2020 | Buy | 1,000 | $2.6500 | $2,650.00 | $19.95 | $1.81 | 108312793 | $2,669.95 |
| 06-Aug-2020 | Buy | 2,000 | $2.8200 | $5,640.00 | $19.95 | $1.81 | 104024187 | $5,659.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$8,329.90** |

### MTS - METCASH LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Dec-2020 | Buy | 1,000 | $3.5000 | $3,500.00 | $19.95 | $1.81 | 110710809 | $3,519.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$3,519.95** |

### NCM - NEWCREST MINING FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 06-Apr-2021 | Buy | 100 | $25.3500 | $2,535.00 | $19.95 | $1.81 | 116554279 | $2,554.95 |
| 01-Apr-2021 | Buy | 100 | $25.0872 | $2,508.72 | $19.95 | $1.81 | 116513380 | $2,528.67 |
| 25-Mar-2021 | Buy | 100 | $25.3200 | $2,532.00 | $19.95 | $1.81 | 116144837 | $2,551.95 |
| 26-Feb-2021 | Buy | 100 | $24.7000 | $2,470.00 | $19.95 | $1.81 | 114820304 | $2,489.95 |
| 16-Feb-2021 | Buy | 100 | $25.7100 | $2,571.00 | $19.95 | $1.81 | 113949884 | $2,590.95 |
| 16-Feb-2021 | Buy | 100 | $25.9000 | $2,590.00 | $19.95 | $1.81 | 113895139 | $2,609.95 |
| 15-Feb-2021 | Buy | 100 | $26.3400 | $2,634.00 | $19.95 | $1.81 | 113778598 | $2,653.95 |
| 12-Feb-2021 | Buy | 100 | $26.2800 | $2,628.00 | $19.95 | $1.81 | 113666736 | $2,647.95 |

E23

**NCM - NEWCREST MINING FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 12-Feb-2021 | Buy | 100 | $26.0500 | $2,605.00 | $19.95 | $1.81 | 113686389 | $2,624.95 |
| | | | | **Sub Total** | **$179.55** | **$16.29** | | **$23,253.27** |

**NES - NELSON RESOURCES. FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 15-Feb-2021 | Sell | -5,300 | $.0950 | -$503.50 | $10.00 | $0.91 | 113823301 | -$493.50 |
| 03-Feb-2021 | Buy | 5,300 | $.0980 | $519.40 | $10.00 | $0.91 | 113072555 | $529.40 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **$35.90** |

**NST - NORTHERN STAR FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 26-May-2021 | Buy | 220 | $11.5400 | $2,538.80 | $19.95 | $1.81 | 118740702 | $2,558.75 |
| 07-May-2021 | Buy | 188 | $10.8800 | $2,045.44 | $19.95 | $1.81 | 118007275 | $2,065.39 |
| 06-May-2021 | Buy | 240 | $10.5950 | $2,542.80 | $19.95 | $1.81 | 117912629 | $2,562.75 |
| 19-Apr-2021 | Buy | 400 | $11.4500 | $4,580.00 | $19.95 | $1.81 | 117141887 | $4,599.95 |
| 03-Mar-2021 | Buy | 200 | $9.9350 | $1,987.00 | $19.95 | $1.81 | 115073345 | $2,006.95 |
| 03-Mar-2021 | Buy | 200 | $9.7600 | $1,952.00 | $19.95 | $1.81 | 115020316 | $1,971.95 |
| | | | | **Sub Total** | **$119.70** | **$10.86** | | **$15,765.74** |

**NWH - NRW HOLDINGS LIMITED FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 21-Jun-2021 | Buy | 1,000 | $1.3700 | $1,370.00 | $19.95 | $1.81 | 119679931 | $1,389.95 |
| 19-May-2021 | Buy | 1,000 | $1.5800 | $1,580.00 | $19.95 | $1.81 | 118472575 | $1,599.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$2,989.90** |

**OGC - OCEANAGOLD CORP. CDI 1:1 (CHESS DEPOSITARY INTEREST 1:1)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 12-Feb-2021 | Sell | -1,000 | $2.2600 | -$2,260.00 | $19.95 | $1.81 | 113682208 | -$2,240.05 |
| 02-Oct-2020 | Buy | 1,000 | $2.1400 | $2,140.00 | $19.95 | $1.81 | 107063467 | $2,159.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$80.10** |

**OOO - BETASHARESCRUDEOIL ETF UNITS (EXCHANGE TRADED FUND UNITS FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 19-Apr-2021 | Sell | -700 | $6.0800 | -$4,256.00 | $19.95 | $1.81 | 117141990 | -$4,236.05 |
| 22-Mar-2021 | Sell | -200 | $5.8800 | -$1,176.00 | $19.95 | $1.81 | 115950426 | -$1,156.05 |
| 16-Feb-2021 | Sell | -300 | $5.8100 | -$1,743.00 | $19.95 | $1.81 | 113897313 | -$1,723.05 |
| 21-Oct-2020 | Sell | -200 | $4.0800 | -$816.00 | $10.00 | $0.91 | 107956218 | -$806.00 |

E24

### OOO - BETASHARESCRUDEOIL ETF UNITS (EXCHANGE TRADED FUND UNITS FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 19-Oct-2020 | Sell | -400 | $4.0400 | -$1,616.00 | $19.95 | $1.81 | 107829040 | -$1,596.05 |
| 10-Sep-2020 | Sell | -200 | $3.7900 | -$758.00 | $10.00 | $0.91 | 106036612 | -$748.00 |
| | | | | **Sub Total** | **$99.80** | **$9.06** | | **-$10,265.20** |

### ORE - OROCOBRE LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 21-Jun-2021 | Sell | -300 | $5.6800 | -$1,704.00 | $19.95 | $1.81 | 119682044 | -$1,684.05 |
| 13-Jan-2021 | Sell | -200 | $5.1200 | -$1,024.00 | $19.95 | $1.81 | 111783372 | -$1,004.05 |
| 26-Nov-2020 | Sell | -400 | $4.0000 | -$1,600.00 | $19.95 | $1.81 | 109763641 | -$1,580.05 |
| | | | | **Sub Total** | **$59.85** | **$5.43** | | **-$4,268.15** |

### OSH - OIL SEARCH LTD FPO 10T (10 TOEA ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 17-Dec-2020 | Sell | -500 | $3.6200 | -$1,810.00 | $19.95 | $1.81 | 110776704 | -$1,790.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,790.05** |

### OZL - OZ MINERALS FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 10-Jun-2021 | Sell | -110 | $25.2500 | -$2,777.50 | $19.95 | $1.81 | 119312271 | -$2,757.55 |
| 07-May-2021 | Sell | -90 | $25.7900 | -$2,321.10 | $19.95 | $1.81 | 117992758 | -$2,301.15 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$5,058.70** |

### PDL - PENDAL GROUP LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 01-Mar-2021 | Buy | 300 | $6.0450 | $1,813.50 | $19.95 | $1.81 | 114896636 | $1,833.45 |
| 12-Jan-2021 | Buy | 300 | $6.8200 | $2,046.00 | $19.95 | $1.81 | 111700522 | $2,065.95 |
| 02-Dec-2020 | Buy | 300 | $7.1900 | $2,157.00 | $19.95 | $1.81 | 110031830 | $2,176.95 |
| | | | | **Sub Total** | **$59.85** | **$5.43** | | **$6,076.35** |

### PRL - PROVINCE RESOURCES FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 17-Feb-2021 | Sell | -22,667 | $.0660 | -$1,496.02 | $19.95 | $1.81 | 114015170 | -$1,476.07 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,476.07** |

### PTM - PLATINUM ASSET FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 27-Aug-2020 | Buy | 400 | $3.7500 | $1,500.00 | $19.95 | $1.81 | 105133810 | $1,519.95 |

E25

### PTM - PLATINUM ASSET FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 01-Jul-2020 | Sell | -600 | $3.7100 | -$2,226.00 | $19.95 | $1.81 | 102303281 | -$2,206.05 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$686.10** |

### QFE - QUICKFEE LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 09-Oct-2020 | Buy | 1,000 | $.5400 | $540.00 | $10.00 | $0.91 | 107389289 | $550.00 |
| 31-Aug-2020 | Buy | 1,000 | $.8200 | $820.00 | $10.00 | $0.91 | 105357979 | $830.00 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **$1,380.00** |

### QIP - QANTM INTELLECTUAL FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Oct-2020 | Sell | -1,000 | $1.0050 | -$1,005.00 | $19.95 | $1.81 | 107136861 | -$985.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$985.05** |

### RKN - RECKON LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Feb-2021 | Sell | -1,000 | $.8700 | -$870.00 | $10.00 | $0.91 | 113895870 | -$860.00 |
| 31-Aug-2020 | Buy | 1,000 | $.8450 | $845.00 | $10.00 | $0.91 | 105345950 | $855.00 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **-$5.00** |

### RMS - RAMELIUS RESOURCES FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 25-Nov-2020 | Buy | 1,000 | $1.6600 | $1,660.00 | $19.95 | $1.81 | 109706017 | $1,679.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$1,679.95** |

### RMX - RED MOUNT MIN LTD FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 18-Mar-2021 | Sell | -40,000 | $.0110 | -$440.00 | $10.00 | $0.91 | 115812922 | -$430.00 |
| 29-Oct-2020 | Buy | 40,000 | $.0140 | $560.00 | $10.00 | $0.91 | 108382445 | $570.00 |
| | | | | **Sub Total** | **$20.00** | **$1.82** | | **$140.00** |

### RRL - REGIS RESOURCES FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 10-May-2021 | Sell | -900 | $2.6750 | -$2,407.50 | $19.95 | $1.81 | 118049609 | -$2,387.55 |
| 10-May-2021 | Sell | -1,100 | $2.6769 | -$2,944.59 | $19.95 | $1.81 | 118048532 | -$2,924.64 |
| 28-Apr-2021 | Buy | 900 | $2.6000 | $2,340.00 | $19.95 | $1.81 | 117548012 | $2,359.95 |
| 15-Apr-2021 | Buy | 1,000 | $2.6500 | $2,650.00 | $19.95 | $1.81 | 116972222 | $2,669.95 |

E26

### RRL - REGIS RESOURCES FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 08-Apr-2021 | Sell | -1,000 | $3.1250 | -$3,125.00 | $19.95 | $1.81 | 116708408 | -$3,105.05 |
| 16-Mar-2021 | Sell | -1,400 | $2.9832 | -$4,176.47 | $19.95 | $1.81 | 115691817 | -$4,156.52 |
| 11-Mar-2021 | Buy | 500 | $3.1200 | $1,560.00 | $19.95 | $1.81 | 115487389 | $1,579.95 |
| 08-Mar-2021 | Buy | 2,000 | $3.1000 | $6,200.00 | $19.95 | $1.81 | 115271739 | $6,219.95 |
| 15-Dec-2020 | Buy | 1,000 | $3.6800 | $3,680.00 | $19.95 | $1.81 | 110684944 | $3,699.95 |
| 25-Nov-2020 | Buy | 1,000 | $3.6800 | $3,680.00 | $19.95 | $1.81 | 109675061 | $3,699.95 |
| 03-Nov-2020 | Buy | 1,100 | $4.2100 | $4,631.00 | $19.95 | $1.81 | 108600417 | $4,650.95 |
| 09-Oct-2020 | Sell | -100 | $5.0950 | -$509.50 | $10.00 | $0.91 | 107428891 | -$499.50 |
| 23-Sep-2020 | Buy | 500 | $5.2100 | $2,605.00 | $19.95 | $1.81 | 106668256 | $2,624.95 |
| | | | | **Sub Total** | **$249.40** | **$22.63** | | **$14,432.34** |

### SAR - SARACEN MINERAL FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 09-Nov-2020 | Sell | -400 | $6.3000 | -$2,520.02 | $19.95 | $1.81 | 108818878 | -$2,500.07 |
| 31-Aug-2020 | Sell | -300 | $5.2400 | -$1,572.00 | $19.95 | $1.81 | 105345574 | -$1,552.05 |
| 07-Aug-2020 | Sell | -300 | $5.8900 | -$1,767.00 | $19.95 | $1.81 | 104104549 | -$1,747.05 |
| 06-Aug-2020 | Sell | -100 | $5.9100 | -$591.00 | $10.00 | $0.91 | 104039388 | -$581.00 |
| 05-Aug-2020 | Sell | -100 | $6.0200 | -$602.00 | $10.00 | $0.91 | 103993765 | -$592.00 |
| 22-Jul-2020 | Sell | -200 | $6.1700 | -$1,234.00 | $19.95 | $1.81 | 103364779 | -$1,214.05 |
| | | | | **Sub Total** | **$99.80** | **$9.06** | | **-$8,186.22** |

### SBM - ST BARBARA LIMITED FPO (ORDINARY FULLY PAID)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 19-May-2021 | Sell | -1,000 | $1.7300 | -$1,730.00 | $19.95 | $1.81 | 118502177 | -$1,710.05 |
| 10-May-2021 | Buy | 1,000 | $2.0500 | $2,050.00 | $19.95 | $1.81 | 118049887 | $2,069.95 |
| 27-Jan-2021 | Buy | 1,000 | $2.2800 | $2,280.00 | $19.95 | $1.81 | 112584335 | $2,299.95 |
| 24-Aug-2020 | Sell | -1,000 | $3.4005 | -$3,400.51 | $19.95 | $1.81 | 104905834 | -$3,380.56 |
| 21-Jul-2020 | Buy | 1,000 | $3.7300 | $3,730.00 | $19.95 | $1.81 | 103274114 | $3,749.95 |
| 01-Jul-2020 | Buy | 1,000 | $3.2300 | $3,230.00 | $19.95 | $1.81 | 102302998 | $3,249.95 |
| | | | | **Sub Total** | **$119.70** | **$10.86** | | **$6,279.19** |

### SCG - SCENTRE GRP STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES)

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 12-Nov-2020 | Buy | 1,000 | $2.6800 | $2,680.00 | $19.95 | $1.81 | 109122548 | $2,699.95 |
| 09-Nov-2020 | Buy | 1,000 | $2.4050 | $2,405.00 | $19.95 | $1.81 | 108818118 | $2,424.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$5,124.90** |

E27

**SHM - SHRIRO HOLDINGS LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 22-Sep-2020 | Buy | 5,500 | $.6800 | $3,740.00 | $19.95 | $1.81 | 106570034 | $3,759.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$3,759.95** |

**SIQ - SMARTGRP CORPORATION FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 23-Sep-2020 | Sell | -500 | $5.8400 | -$2,920.00 | $19.95 | $1.81 | 106641045 | -$2,900.05 |
| 20-Aug-2020 | Buy | 600 | $6.2300 | $3,738.00 | $19.95 | $1.81 | 104699701 | $3,757.95 |
| 07-Jul-2020 | Sell | -300 | $6.4000 | -$1,920.00 | $19.95 | $1.81 | 102622311 | -$1,900.05 |
| | | | | **Sub Total** | **$59.85** | **$5.43** | | **-$1,042.15** |

**SRL - SUNRISE FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Apr-2021 | Sell | -1,000 | $2.2500 | -$2,250.00 | $19.95 | $1.81 | 117045880 | -$2,230.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$2,230.05** |

**SVD - SCANDIVANADIUM LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Aug-2020 | Buy | 17,000 | $.0300 | $510.00 | $10.00 | $0.91 | 103956175 | $520.00 |
| | | | | **Sub Total** | **$10.00** | **$0.91** | | **$520.00** |

**SYD - SYD AIRPORT STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Sep-2020 | Sell | -500 | $5.5200 | -$2,760.00 | $19.95 | $1.81 | 106304781 | -$2,740.05 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$2,740.05** |

**SYDR - SYD AIRPORT RTSFORUSD (RIGHTS-APPSCLOSE 02SEP2020 US PROHIBITED DEFERRED)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 18-Aug-2020 | Sell | -98 | $.8350 | -$81.83 | $10.00 | $0.91 | 104583581 | -$71.83 |
| | | | | **Sub Total** | **$10.00** | **$0.91** | | **-$71.83** |

**SYR - SYRAH RESOURCES FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 18-Mar-2021 | Sell | -1,440 | $1.1457 | -$1,649.81 | $19.95 | $1.81 | 115812780 | -$1,629.86 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,629.86** |

E28

**TCL - TRANSURBAN GROUP STAPLED (FULLY PAID ORDINARY/UNITS STAPLED SECURITIES)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 05-Oct-2020 | Sell | -200 | $14.3700 | -$2,874.00 | $19.95 | $1.81 | 107129655 | -$2,854.05 |
| 01-Oct-2020 | Sell | -50 | $14.2900 | -$714.50 | $10.00 | $0.91 | 107027636 | -$704.50 |
| 29-Sep-2020 | Sell | -100 | $14.6600 | -$1,466.00 | $19.95 | $1.81 | 106881688 | -$1,446.05 |
| | | | | **Sub Total** | **$49.90** | **$4.53** | | **-$5,004.60** |

**TLG - TALGA GROUP LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 26-Apr-2021 | Sell | -1,600 | $1.7400 | -$2,784.00 | $19.95 | $1.81 | 117447626 | -$2,764.05 |
| 02-Mar-2021 | Sell | -391 | $1.3500 | -$527.85 | $10.00 | $0.91 | 115001780 | -$517.85 |
| 03-Feb-2021 | Sell | -199 | $1.4800 | -$294.52 | $10.00 | $0.91 | 113109280 | -$284.52 |
| | | | | **Sub Total** | **$39.95** | **$3.63** | | **-$3,566.42** |

**TLS - TELSTRA CORPORATION. FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 31-Aug-2020 | Sell | -600 | $2.8900 | -$1,734.00 | $19.95 | $1.81 | 105357791 | -$1,714.05 |
| 11-Aug-2020 | Buy | 1,500 | $3.4150 | $5,122.50 | $19.95 | $1.81 | 104230532 | $5,142.45 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$3,428.40** |

**TMZ - THOMSON RES LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 08-Apr-2021 | Sell | -5,000 | $.1100 | -$550.00 | $10.00 | $0.91 | 116708254 | -$540.00 |
| 01-Feb-2021 | Sell | -5,000 | $.1900 | -$950.00 | $10.00 | $0.91 | 112870668 | -$940.00 |
| 16-Dec-2020 | Buy | 10,000 | $.1000 | $1,000.00 | $10.00 | $0.91 | 110737246 | $1,010.00 |
| | | | | **Sub Total** | **$30.00** | **$2.73** | | **-$470.00** |

**TYR - TYRO PAYMENTS FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 08-Apr-2021 | Sell | -600 | $3.5800 | -$2,148.00 | $19.95 | $1.81 | 116708324 | -$2,128.05 |
| 14-Jan-2021 | Sell | -400 | $2.6800 | -$1,072.00 | $19.95 | $1.81 | 111831617 | -$1,052.05 |
| 13-Jan-2021 | Buy | 400 | $2.9200 | $1,168.00 | $19.95 | $1.81 | 111783856 | $1,187.95 |
| 04-Jan-2021 | Buy | 300 | $3.2800 | $984.00 | $10.00 | $0.91 | 111264768 | $994.00 |
| 19-Oct-2020 | Buy | 300 | $4.3600 | $1,308.00 | $19.95 | $1.81 | 107826856 | $1,327.95 |
| | | | | **Sub Total** | **$89.80** | **$8.15** | | **$329.80** |

**URW - UNIBAILRODAWESTFIELD CDI 20:1 (CDI 20:1 FOREIGN EXEMPT XPAR)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Sep-2020 | Sell | -500 | $3.1750 | -$1,587.50 | $19.95 | $1.81 | 106304596 | -$1,567.55 |

E29

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$1,567.55** |

**WAX - WAM RESEARCH LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 24-Sep-2020 | Buy | 2,000 | $1.4850 | $2,970.00 | $19.95 | $1.81 | 106723569 | $2,989.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$2,989.95** |

**WBC - WESTPAC BANKING CORP FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 08-Apr-2021 | Buy | 100 | $25.2900 | $2,529.00 | $19.95 | $1.81 | 116693663 | $2,548.95 |
| 08-Apr-2021 | Buy | 100 | $25.3000 | $2,530.00 | $19.95 | $1.81 | 116692206 | $2,549.95 |
| 02-Mar-2021 | Buy | 100 | $24.4500 | $2,445.00 | $19.95 | $1.81 | 114939947 | $2,464.95 |
| 17-Dec-2020 | Buy | 200 | $20.1300 | $4,026.00 | $19.95 | $1.81 | 110777265 | $4,045.95 |
| 24-Sep-2020 | Sell | -200 | $16.2200 | -$3,244.00 | $19.95 | $1.81 | 106726737 | -$3,224.05 |
| 19-Aug-2020 | Sell | -100 | $17.2900 | -$1,729.00 | $19.95 | $1.81 | 104663449 | -$1,709.05 |
| | | | | **Sub Total** | **$119.70** | **$10.86** | | **$6,676.70** |

**WBT - WEEBIT NANO LTD FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 07-May-2021 | Buy | 1,000 | $2.1400 | $2,140.00 | $19.95 | $1.81 | 117988862 | $2,159.95 |
| 07-May-2021 | Sell | -1,000 | $1.9800 | -$1,980.00 | $19.95 | $1.81 | 118006996 | -$1,960.05 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **$199.90** |

**WGB - WAM GLOBAL LIMITED FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 11-Dec-2020 | Buy | 1,000 | $2.3900 | $2,390.00 | $19.95 | $1.81 | 110526832 | $2,409.95 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **$2,409.95** |

**WGX - WESTGOLD RESOURCES. FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 02-Mar-2021 | Sell | -1,100 | $1.9050 | -$2,095.50 | $19.95 | $1.81 | 114979445 | -$2,075.55 |
| | | | | **Sub Total** | **$19.95** | **$1.81** | | **-$2,075.55** |

**WLE - WAM LEADERS LIMITED FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 16-Oct-2020 | Buy | 1,731 | $1.2600 | $2,181.06 | $19.95 | $1.81 | 107734732 | $2,201.01 |
| 28-Jul-2020 | Buy | 3,999 | $1.1550 | $4,618.85 | $9.96 | $0.91 | 103614393 | $4,628.81 |
| 21-Jul-2020 | Buy | 1 | $1.1300 | $1.13 | $10.00 | $0.91 | 103305614 | $11.13 |
| | | | | **Sub Total** | **$39.91** | **$3.63** | | **$6,840.95** |

E30

**WMX - WILUNA MINING CORP FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 04-Dec-2020 | Sell | -2,000 | $1.4700 | -$2,940.00 | $19.95 | $1.81 | 110154508 | -$2,920.05 |
| 04-Sep-2020 | Buy | 2,000 | $1.4400 | $2,880.00 | $19.95 | $1.81 | 105710276 | $2,899.95 |
| | | | | **Sub Total** | **$39.90** | **$3.62** | | **-$20.10** |

**WPL - WOODSIDE PETROLEUM FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 25-Mar-2021 | Sell | -100 | $24.2500 | -$2,425.00 | $19.95 | $1.81 | 116186426 | -$2,405.05 |
| 01-Mar-2021 | Sell | -100 | $24.6700 | -$2,467.00 | $19.95 | $1.81 | 114880555 | -$2,447.05 |
| 22-Feb-2021 | Sell | -100 | $23.7250 | -$2,372.50 | $19.95 | $1.81 | 114424598 | -$2,352.55 |
| 18-Feb-2021 | Sell | -100 | $25.3200 | -$2,532.00 | $19.95 | $1.81 | 114225504 | -$2,512.05 |
| 17-Dec-2020 | Sell | -100 | $23.0000 | -$2,300.00 | $19.95 | $1.81 | 110777052 | -$2,280.05 |
| 05-Oct-2020 | Buy | 300 | $17.2500 | $5,175.00 | $19.95 | $1.81 | 107136340 | $5,194.95 |
| | | | | **Sub Total** | **$119.70** | **$10.86** | | **-$6,801.80** |

**Z1P - ZIP CO LTD. FPO (ORDINARY FULLY PAID)**

| Date | Type | Quantity | Unit Price | Trade Value | Brokerage+GST | GST | CNote | Total Value |
|---|---|---|---|---|---|---|---|---|
| 11-Mar-2021 | Sell | -200 | $7.9000 | -$1,580.00 | $19.95 | $1.81 | 115486643 | -$1,560.05 |
| 17-Feb-2021 | Buy | 100 | $12.2400 | $1,224.00 | $19.95 | $1.81 | 114002684 | $1,243.95 |
| 16-Feb-2021 | Buy | 100 | $13.4500 | $1,345.00 | $19.95 | $1.81 | 113856916 | $1,364.95 |
| 08-Feb-2021 | Sell | -500 | $9.8700 | -$4,935.00 | $19.95 | $1.81 | 113343863 | -$4,915.05 |
| 28-Jan-2021 | Buy | 500 | $7.3300 | $3,665.00 | $19.95 | $1.81 | 112725662 | $3,684.95 |
| | | | | **Sub Total** | **$99.75** | **$9.05** | | **-$181.25** |

CASTILE
RESOURCES LTD
ACN 124 314 085

**Update your information:**

E31

**Online:**
www.investorcentre.com/contact

**By Mail:**
Computershare Investor Services Pty Limited
GPO Box 2975 Melbourne
Victoria 3001 Australia

**Enquiries:**
(within Australia) 1300 850 505
(international) +61 3 9415 4000

⊢ 001202 000 CST

MR SYDNEY JOFFE &
MRS SANDRA GAIL JOFFE
<SYDSAN SELF MANAGED S/F A/C>
152 BRADFORD STREET
YOKINE WA 6060

**Holder Identification Number (HIN)**

| | |
|---|---|
| ASX Code | CST |
| Payment Date | 12 November 2020 |
| Direct Credit Reference No. | 801548 |

# Share Sale Facility Payment

Further to our letter to you dated 4 September 2020 regarding the sale of your less than a marketable parcel of shares, please be advised that your shares have been sold under the terms of the Share Sale Facility.

The brokerage costs associated with the sale of your shares have been met by the Castile Resources Limited (CST).

If your shares were held on the Issuer Sponsored sub-register you will receive a statement at the end of the month.

If your shares were held on the CHESS sub-register, you will receive a CHESS statement from the Australian Securities Exchange (ASX) during the following month.

As your CST shares have been sold, you may be considered to have disposed of your shares for capital gains tax purposes and you may have incurred a capital gains or loss on that disposal depending on your cost base for the shares. If you have any queries regarding taxation issues, you should consult your taxation or financial advisor.

| Class Description | Amount per Security | Number of CST Shares Sold | Total Payment |
|---|---|---|---|
| Ordinary Fully Paid Shares | $0.39 | 127 | $49.53 |

**Note:** You should retain this statement to assist you in preparing your tax return.

# Your Payment Instruction

**Amount Deposited**

**AUD$49.53**

If payment cannot be made to the above instruction, a cheque will be forwarded to your registered address.

Computershare Investor Centre

Document created: 15/08/2021 1:34 AM
Filter selected: X******1961, All

# Transactions

## CASTILE RESOURCES LTD

### Recent Transactions

**Mr Sydney Joffe + Mrs Sandra Gail Joffe X******1961**

ORDINARY FULLY PAID SHARES

| Date | Transaction | Movement | Running balance |
|---|---|---|---|
| 22/10/2020 | 2020 UNMARKETABLE SALE | -127 | 0 |
| 3/12/2019 | IN-SPECIE TO TRADEABLE ORD | +127 | 127 |



| CERTAINTY | INGENUITY | ADVANTAGE |

NORTHERN STAR RESOURCES LIMITED

ABN 43 092 832 892

All Registry communications to:
Link Market Services Limited
Locked Bag A14
Sydney South NSW 1235 Australia
Telephone: 1300 554 474 (within Australia)
Telephone: +61 1300 554 474 (outside Australia)
ASX Code: NST
Email: registrars@linkmarketservices.com.au
Website: www.linkmarketservices.com.au

050/014594

MR SYDNEY JOFFE &
MRS SANDRA GAIL JOFFE
<SYDSAN SELF MANAGED S/F A/C>
152 BRADFORD STREET
YOKINE WA 6060

**Date:** 12 February 2021

**Holder Identification Number (HIN):** X00054631961

**Subregister:** CHESS

# *TRANSACTION CONFIRMATION STATEMENT - CHESS HOLDERS*

This statement confirms that the ordinary shares in Saracen Mineral Holdings Limited (**Saracen Shares**) registered in your name as at 7.00pm (AEDT) on 5 February 2021 (**Scheme Record Date**) were transferred to Northern Star Resources Limited (**NST**) in accordance with the Scheme of Arrangement between Saracen and its ordinary shareholders (**Scheme**) which was approved by the Supreme Court of Western Australia on 2 February 2021, and that on 12 February 2021 you received 0.3763 fully paid ordinary shares in the capital of NST (**NST Shares**) for each of those Saracen Shares in connection with that transfer.

In accordance with the Scheme, if you would be entitled to a fraction of a NST Share your fractional entitlement of:
- 0.5 or more will be rounded up to the nearest whole number; and
- less than 0.5 will be rounded down to the nearest whole number

You have received the following new NST Shares pursuant to the Scheme:

| Securities | Saracen Shares held on Scheme Record Date | New NST Shares Issued (ASX Code: NST) |
|---|---|---|
| Shares | 4,600 | 1,731 |

LINK Market Services

NOTE: Securityholders should not rely on the balance shown in this statement as being a complete record of their current holding and the Issuer will not be liable for any financial loss incurred by a securityholder who relies on the balance shown without making their own adjustments for any transfers relating to market and off market transactions which have yet to be registered.

Please note your HIN recorded above. This HIN must be used in all communications with the company or registry and with your stockbroker when buying or selling these securities. This statement is an important document and should be kept in a safe place. If lost or destroyed a charge will be levied for a replacement statement. Full terms and conditions of the above securities can be obtained from the registry.

THE AUSTRALIAN TAXATION OFFICE (ATO) ADVISES YOU TO KEEP THIS STATEMENT. DISPOSAL OF SECURITIES MAY LEAD TO CAPITAL GAINS TAX. PHONE THE ATO ON 1300 720 092 IF YOU NEED THE "GUIDE TO CAPITAL GAINS TAX".

# NORTHERN STAR RESOURCES LTD

ABN 43 092 832 892
State of Incorporation/Registration: WA

## CHESS HOLDING STATEMENT

For statement enquiries contact your CHESS Sponsor:

COMMONWEALTH SECURITIES LIMITED
LOCKED BAG 22
AUSTRALIA SQUARE
SYDNEY, NSW 1215
☎ 131519

392779 01402
MR SYDNEY JOFFE &
MRS SANDRA GAIL JOFFE
<SYDSAN SELF MANAGED S/F A/C>
152 BRADFORD STREET
YOKINE WA 6060

| | |
|---|---|
| **Holder ID Number (HIN):** | **0054631961** |
| CHESS Sponsor's ID (PID): | 01402 |
| Statement Period: | February 2021 |
| Page: | 1 of 1 |

## NST - ORDINARY FULLY PAID

| Date | Transaction Type | Transaction ID | Ex/Cum Status | Quantity On | Quantity Off | Holding Balance |
|---|---|---|---|---|---|---|
| | **Balance Brought Forward from 03 Feb 20** | | | | | 1021 |
| 12 Feb 21 | Adjustment due to a Scheme of Arrangement | 6300005384822100 | | 1731 | | 2752 |

### FOR YOUR INFORMATION

- To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- For information about CHESS Depositary Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depositary Nominees Pty Ltd go to www.asx.com.au/cdis or phone 131 279.
- ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*

**Share Registry Details:**

LINK MARKET SERVICES LIMITED
LOCKED BAG A14,
SYDNEY SOUTH NSW 1235
Ph: 02 82807111

ASX

**Issued By:**
ASX Settlement Pty Limited | ABN 49 008 504 532 | PO Box H227, Australia Square, Sydney NSW 1215

Computershare Investor Centre

Document created: 13/09/2021 8:11 PM
Filter selected: X******1961, All

# Transactions

## SARACEN MINERAL HOLDINGS LIMITED

### Recent Transactions

**Mr Sydney Joffe + Mrs Sandra Gail Joffe X******1961**

ORDINARY FULLY PAID SHARES

| Date | Transaction | Movement | Running balance |
|---|---|---|---|
| 12/02/2021 | SCHEME IMPLEMENTATION | -4600 | 0 |
| 10/11/2020 | CHESS DAILY MOVEMENT DOWN | -400 | 4600 |
| 1/09/2020 | CHESS DAILY MOVEMENT DOWN | -300 | 5000 |
| 10/08/2020 | CHESS DAILY MOVEMENT DOWN | -300 | 5300 |
| 7/08/2020 | CHESS DAILY MOVEMENT DOWN | -100 | 5600 |
| 6/08/2020 | CHESS DAILY MOVEMENT DOWN | -100 | 5700 |
| 23/07/2020 | CHESS DAILY MOVEMENT DOWN | -200 | 5800 |
| 20/02/2020 | CHESS DAILY MOVEMENT DOWN | -2000 | 6000 |
| 7/01/2020 | CHESS DAILY MOVEMENT DOWN | -1000 | 8000 |
| 13/12/2019 | RETAIL ENTITLEMENT OFFER ALLOT | +1218 | 9000 |
| 11/12/2019 | CHESS DAILY MOVEMENT DOWN | -218 | 7782 |
| 22/11/2019 | CHESS DAILY MOVEMENT UP | +1000 | 8000 |
| 12/11/2019 | CHESS DAILY MOVEMENT UP | +500 | 7000 |
| 22/10/2019 | CHESS DAILY MOVEMENT UP | +400 | 6500 |
| 18/10/2019 | CHESS DAILY MOVEMENT UP | +1000 | 6100 |
| 17/10/2019 | CHESS DAILY MOVEMENT UP | +800 | 5100 |
| 7/10/2019 | CHESS DAILY MOVEMENT UP | +800 | 4300 |
| 20/09/2019 | CHESS DAILY MOVEMENT UP | +1000 | 3500 |
| 13/09/2019 | CHESS DAILY MOVEMENT UP | +500 | 2500 |
| 9/09/2019 | CHESS DAILY MOVEMENT UP | +500 | 2000 |
| 28/08/2019 | CHESS DAILY MOVEMENT UP | +500 | 1500 |
| 23/08/2019 | CHESS DAILY MOVEMENT UP | +400 | 1000 |
| 22/08/2019 | CHESS DAILY MOVEMENT UP | +300 | 600 |
| 3/12/2018 | CHESS DAILY MOVEMENT UP | +300 | 300 |
| 10/11/2017 | CHESS DAILY MOVEMENT DOWN | -1000 | 0 |
| 21/08/2017 | CHESS DAILY MOVEMENT UP | +1000 | 1000 |
| 11/04/2017 | CHESS DAILY MOVEMENT DOWN | -1600 | 0 |
| 14/07/2016 | CHESS DAILY MOVEMENT DOWN | -1600 | 1600 |
| 17/03/2016 | CHESS DAILY MOVEMENT DOWN | -1300 | 3200 |
| 4/02/2016 | CHESS DAILY MOVEMENT UP | +1000 | 4500 |
| 12/10/2015 | CHESS DAILY MOVEMENT UP | +1000 | 3500 |
| 28/07/2015 | CHESS DAILY MOVEMENT UP | +1500 | 2500 |
| 28/05/2015 | CHESS DAILY MOVEMENT UP | +1000 | 1000 |



| CERTAINTY | INGENUITY | ADVANTAGE |

Pendal Group Limited
Level 14, The Chifley Tower
2 Chifley Square
Sydney NSW 2000 Australia
ABN 28 126 385 822

PENDAL GROUP

# ASX Announcement

10 June 2021

NOT FOR RELEASE OR DISTRIBUTION IN THE UNITED STATES

## Successful Completion of Share Purchase Plan

Pendal Group Limited (ASX: PDL) ("Pendal or the "Company") today announced the successful completion of its Share Purchase Plan (**SPP**).

- There were total applications of approximately $218 million from eligible retail shareholders.
- 10,118 eligible retail shareholders applied for shares, a participation rate of 37%[1].
- The average application was $19,411[2].
- An overall scale back of 13% was applied resulting in a total equity raising from the SPP of $190 million, the same amount as in the institutional placement (Placement).
- The scale back allocation policy takes into consideration both shareholding and application size, so that all eligible retail shareholder applications at the SPP record date:
  - Received at least their pro-rata entitlement up to the $30,000 SPP limit.
  - Have not been diluted in respect of the equity raising, except in the instances where shareholders applied for less than their pro-rata entitlement under the offer, or their pro-rata entitlement was greater than the $30,000 SPP limit.
- The scale back policy provides that:
  - Shareholders with at least 1,500 shares had their application satisfied in full.
  - Those with less than 1,500 shares received as a minimum, an allocation of shares equivalent to $2,500, and applications over $2,500 were scaled back on a pro-rata basis having regard to their individual shareholding.
- Approximately 27.9 million fully paid ordinary shares (New Shares) will be issued in respect of the SPP.
- The issue price of the New Shares is $6.80 per share, equivalent to that paid by investors in the Placement.
- The New Shares allotted under the SPP represent 7.9% of Pendal's present issued capital.

The Chairman of Pendal Group, Mr James Evans said, "The Board is delighted with the strong support from our retail shareholders in the capital raising to fund Pendal's acquisition of Thompson, Siegel & Walmsley LLC (TSW), the US-based value-oriented investment management company for US$320 million (A$413 million)[3].

"We are pleased that Pendal shareholders, retail and institutional, have demonstrated their support for this strategic and compelling acquisition through their strong participation in the capital raisings.

[1] The number of applications includes applications from registered holdings only.
[2] Excludes applications from custodians and their underlying holders
[3] Based on an exchange rate of AUD:USD 0.7743

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"The total equity raised was $380 million, reducing the debt and balance sheet funding required to complete the transaction to $57 million (US$44 million), providing additional balance sheet strength and capacity for Pendal to accelerate growth opportunities.

"The Board was determined to offer retail shareholders the opportunity to increase their investment in Pendal in a material way if they chose to, and to participate at a price at least as advantageous as institutions.

"There was no cap on the amount to be raised under the SPP. However, the Board took the decision to scale back the SPP to $190 million, equivalent to the amount raised from institutional investors in the Placement, which was oversubscribed.

"The Board believes that this acquisition facilitates our growth opportunities in the US by expanding our successful diversified business model in the largest equity market in the world; will deliver scale and diversification benefits for Pendal across investment capability, asset classes, geographies and distribution channels. Importantly, it will accelerate shareholder returns and strengthen the diversity of earnings."

New Shares issued under the SPP will rank equally with existing shares on issue, and like the new shares placed with institutions, will not participate in the dividend declared on 10 May 2021.

New Shares will be allotted on Tuesday, 15 June 2021, and ordinary trading will commence on Wednesday, 16 June 2021. Shareholders will be able to ascertain the number of New Shares allocated to them in respect of the SPP, on Tuesday,15 June through their Link Market Services account.

-ENDS-

Authorised for release by Joanne Hawkins, Group Company Secretary, Pendal Group Limited.

For further information please contact:

**Rachel Cooper**
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**Louise Watson**
Managing Director
Symbol Strategic Communications
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Email: lwatson@symbolstrategic.com.au

**About Pendal Group Limited**

Pendal Group (Pendal) is an independent global investment manager focused on delivering superior investment returns for clients through active management. Pendal manages A$101.7 billion in FUM (as at 31 March 2021) through J O Hambro UK, Europe & Asia; JOHCM USA; Pendal Australia and Regnan.

Pendal operates a multi-boutique style business across a global marketplace through a meritocratic investment-led culture. Its experienced, long-tenured fund managers have the autonomy to offer a broad range of investment strategies with high conviction based on an investment philosophy that fosters success from a diversity of insights and investment approaches.

Listed on the Australian Securities Exchange since 2007 (ASX: PDL), the company has offices in Sydney, Melbourne, London, Prague, Singapore, New York, Boston and Berwyn.

For further information about Pendal Group, please visit https://www.pendalgroup.com/

## IMPORTANT NOTICE AND DISCLAIMER

This announcement is not financial product advice and has not taken into account your objectives, financial situation or needs. This announcement has been prepared for release in Australia. This announcement may not be released or distributed in the United States. This announcement does not constitute an offer to sell, or a solicitation of an offer to buy, any securities in the United States or in any other jurisdiction in which, or to any person to whom, it would not be lawful to make such an offer. The offer and sale of the New Shares referred to in this announcement have not been, and will not be, registered under the United States Securities Act of 1933 (the U.S. Securities Act) or the securities laws of any state or other jurisdiction of the United States. Accordingly, the New Shares may not be offered, sold or resold, directly or indirectly, to persons in the United States or to persons acting for the account or benefit of persons in the United States except pursuant to an exemption from, or in a transaction not subject to, the registration requirements of the U.S. Securities Act and any other applicable securities laws of any state or other jurisdiction of the United States.

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E39

LINK Market Services

Investor Centre

# Transaction History

**View:**

PDL, X*******1961 (MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>)

Date range from (dd/mm/yyyy) 15/08/2019 to (dd/mm/yyyy) 15/08/2021

**Displaying Transaction History from 15 Aug 2019 to 15 Aug 2021**

| HIN/SRN EMP ID | Security Code | Date | Transaction | Change | Running Balance |
|---|---|---|---|---|---|
| X*******1961 | PDL | 15/06/2021 | Share Purchase Plan | 368 | 1,268 |
| X*******1961 | PDL | 03/03/2021 | Holding Net Movement (CHESS 510) | 300 | 900 |
| X*******1961 | PDL | 14/01/2021 | Holding Net Movement (CHESS 510) | 300 | 600 |
| X*******1961 | PDL | 04/12/2020 | Holding Net Movement (CHESS 510) | 300 | 300 |

Viewing 1 - 4 of 4

**Cost base = 368 units * $6.80 (E36) = $2,502.40**

Ask us now

*Not for release to US wire services or distribution in the United States*

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PALADIN

Clean energy. Clear future.

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paladinenergy.com.au

**ASX Announcement**
**14 April 2021**

PALADIN ENERGY LTD
ABN 47 061 681 098
ASX code: PDN

# SUCCESSFUL COMPLETION OF RETAIL ENTITLEMENT OFFER

Paladin Energy Ltd (ASX: PDN) (**Paladin** or **Company**) is pleased to announce the successful completion of the retail component (**Retail Entitlement Offer**) of its 1 for 8.5 fully underwritten accelerated non-renounceable pro-rata entitlement offer (**Entitlement Offer**) of fully paid ordinary shares in the Company (**New Shares**), as announced to the ASX on Wednesday, 17 March 2021.

The Retail Entitlement Offer closed on Friday, 9 April 2021 and raised a total of approximately A$26.2 million at the offer price of A$0.37 per New Share (**Offer Price**). This follows the successful completion of the institutional component of the Entitlement Offer **(Institutional Offer)** and the placement to institutional investors (**Placement**). The results of the Institutional Offer were announced to the ASX on Friday, 19 March 2021, which raised approximately A$64.0 million. In total, the Placement and Entitlement Offer raised approximately A$218.7 million (before costs).

Eligible retail shareholders subscribed for 57,393,635 New Shares under the Retail Entitlement Offer aggregating to approximately A$21.2 million. This represents a take-up rate by eligible retail shareholders of approximately 80.1% of available Retail Entitlement Offer shares.

In addition, applications totalling approximately A$5 million (or 13,318,571 New Shares) (**Additional Shares**) were accepted in respect of New Shares under the retail shortfall facility (**Retail Shortfall Facility**), increasing the total take-up from eligible retail shareholders to approximately A$26.2 million (representing a total take-up rate of 100% of available Retail Entitlement Offer Shares). A further amount of approximately $750,000 of Retail Shortfall Facility applications were in excess of the amount available for allocation.

A scale back was applied as follows in relation to the Retail Shortfall Facility, (subject to each holder not being allocated more than an additional 50% of their Entitlement Offer shares):

- full allocation for all Additional Shares applications up to $1,073 (2,900 shares); and
- 85.7% of application allocated to all holders applying over $1,073 (2,900 shares).

New Shares under the Retail Entitlement Offer (including the Retail Shortfall Facility) are expected to be allotted on Friday, 16 April 2021 and commence trading on a normal settlement basis on the ASX on Monday, 19 April 2021. New Shares issued under the Retail Entitlement Offer will rank equally with the Company's existing fully paid ordinary shares as at their date of issue.

Further information regarding the Retail Entitlement Offer is contained in the Retail Offer Booklet released to ASX on Wednesday, 24 March 2021.

**Amount Raised Under the Placement and Entitlement Offer**

| | Shares | Amount (million) |
|---|---|---|
| Placement | 347,315,015 | $128.5 |
| Institutional Entitlement Offer | 173,016,928 | $64.0 |
| Retail Entitlement Offer | 70,712,206 | $26.2 |
| Total | 591,044,149 | $218.7 |

*This announcement has been authorised for release by the Board of Directors of Paladin Energy Ltd.*

**Not an offer in the United States**

This announcement has been prepared for publication in Australia and may not be released to US wire services or distributed in the United States. This announcement does not constitute an offer to sell, or a solicitation of an offer to buy, securities in the United States or any other jurisdiction. Any securities described in this announcement have not been, and will not be, registered under the US Securities Act of 1933 and may not be offered or sold in the United States except in transactions exempt from, or not subject to, the registration of the US Securities Act and applicable US state securities laws.

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Computershare Investor Centre

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# Transactions

## PALADIN ENERGY LTD

### Recent Transactions

**Mr Sydney Joffe + Mrs Sandra Gail Joffe X******1961**

FULLY PAID ORDINARY SHARES

| Date | Transaction | Movement | Running balance |
|---|---|---|---|
| 6/09/2021 | CHESS DAILY MOVEMENT DOWN | -2784 | 9100 |
| 16/04/2021 | RETAIL ENTITLEMENT OFFER ALLOT | +1784 | 11884 |
| 23/06/2020 | CHESS DAILY MOVEMENT UP | +5000 | 10100 |
| 19/06/2018 | CHESS DAILY MOVEMENT UP | +2600 | 5100 |
| 27/02/2018 | CHESS DAILY MOVEMENT UP | +2500 | 2500 |
| 25/05/2015 | CHESS DAILY MOVEMENT DOWN | -1600 | 0 |
| 30/12/2014 | CHESS DAILY MOVEMENT UP | +1600 | 1600 |

**Cost base = 1,784 units * $0.37 (E40) = $660.08**



| CERTAINTY | INGENUITY | ADVANTAGE |

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21 December 2020

**COMPLETION OF RIGHTS ISSUE – SHORTFALL NOTIFICATION**

**Province Resources Limited** (ASX:PRL) (**Province** or the **Company**) has completed a capital raising comprising a Placement and subsequent fully underwritten 1 for 3 pro-rate non-renounceable rights issue ("Rights Issue") to raise a total of $2,129,917 (before costs).

The Company raised $599,039 through a placement pursuant to Section 708 of the corporations Act at an issue price of $0.008 (0.8 cents) per share (see ASX announcement dated 25 November 2020).

The Rights issue announced on 25 November 2020 closed on 16 December 2020. The Rights Issue offered 191,360,457 New Shares on the basis of 1 new share for every 3 ordinary shares held by Shareholders at the record date at an issue price of $0.008 (0.8 cents) to raise $1,530,883. A total of 96,939,762 shares (representing a 51% take-up) have been subscribed for raising gross proceeds of $775,519 leaving a shortfall of $755,364.

The shortfall is being managed by the Underwriter in accordance with the terms of the Underwriting Agreement.

All refund cheques in relation to shortfall applications that were not accepted by the Underwriter will be sent out next week.

This ASX release has been approved by David Frances, Chairman.

For further information, please contact:

**Ian Hobson**
Company Secretary
Phone +61 8 9388 8290
Email: ian@provinceresources.com

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**E** hello@automic.com.au **ABN 27152260814**

## PRL Transaction History

PRL Transaction history from: 01-June-2020 to: 15-August-2021

| Date | Description | Amount | Closing Balance |
|---|---|---|---|
| 07-August-2020 | Market movement | 17,000 | 17,000 |
| 23-December-2020 | Rights issue acceptance | 5,667 | 22,667 |
| 18-February-2021 | Market movement | -22,667 | 0 |

**Cost base = 5,667 units * $0.008 (E43) = $45.34**

ST GEORGE MINING LIMITED

28 August 2020

Dear Optionholder

**NOTICE TO HOLDERS OF LISTED OPTIONS EXERCISABLE AT $0.20 ON OR BEFORE 30 SEPTEMBER 2020**
**ASX: SGQOB ("EXPIRING OPTIONS")**

We write to you as a registered holder of Expiring Options. These options entitle you to acquire ordinary fully paid shares in St George Mining Limited (ACN 139 308 973) (**St George** or the **Company**). Each option held is for the acquisition of one fully paid share in the capital of the Company (**Share**), and the exercise price is $0.20 per option.

You are formally advised that the Expiring Options will expire at 5:00 pm Western Standard Time (WST) on 30 September 2020, and official quotation of these options on the Australian Securities Exchange (**ASX**) will cease at close of trading on 24 September 2020.

The Expiring Options may be exercised in whole or in part by completing the form entitled Notice of Exercise of Options and Application for Shares (**Notice of Exercise**) overleaf and returning it to the Company, together with payment of $0.20 for each Share applied for, by no later than 5:00 pm (WST) on 30 September 2020. Please note a Notice of Exercise is only effective when the Company has received payment in full for the quantity of Shares being applied for in cleared funds.

If the Notice of Exercise, together with payment in cleared funds, is not received by Computershare Investor Services Pty Limited on or before 5:00 pm (WST) on 30 September 2020, then your Expiring Options will expire with no value and no further entitlement will exist.

As at the date of this notice, there is no underwriting agreement in place for exercise of the Expiring Options.

**Market (Closing) Prices of Shares**
The latest available market (closing) price of Shares in St George on the ASX was $0.098 on 28 August 2020, being the last trading day prior to the date of this notice.

During the three months preceding the date of this notice, the highest market (closing) price of Shares in the Company on the ASX was $0.125 on 22 June 2020 and the lowest market (closing) price of Shares in the Company on the ASX was $0.082 on 17 and 18 August 2020.

The market price of Shares in the Company may rise and fall between the date of this letter and the expiry of the Options on 30 September 2020. We recommend that you consider price movements of Shares in the Company prior to exercising any Options and obtain financial and taxation advice as you may require in relation to the exercise of the Options.

**How to Exercise your Options**
Once your Notice of Exercise is complete and signed, please email your form to sarah.shipway@stgm.com.au and we will respond with details for payment of application moneys by electronic funds transfer.

Please be advised cleared funds are required prior to 5:00 pm WST on 30 September 2020.

St George provides the information contained in this notice for your information. The Company notes that, as item 5.3 of Appendix 6A of the ASX Listing Rules applies to the Expiring Options, the Company is not required to provide all of the information set out in item 5.2 of Appendix 6A of the ASX Listing Rules.

If you have any enquiries concerning this notice or your option holding, please contact the Company Secretary, Sarah Shipway on 0437 220 697, Monday to Friday (excluding public holidays) between 8:30 am and 5:30 pm (WST).

Sarah Shipway
**Non-Executive Director/Company Secretary**
**St George Mining Limited**

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ST GEORGE MINING LIMITED

**Application Form on Exercise of Listed Options Expiring 30 September 2020 – ASX: SGQOB**

To the Directors, St George Mining Limited

I/We hereby exercise _____ option(s) with payment of $ _____

being $0.20 per share on application for _____ ordinary shares in the capital of the Company.

I/We request that you allot me that number of shares and I/we agree to accept that number of shares on the terms below and the Constitution of the Company, and I/we authorise you to place my/our name on the register.

My/Our SRN/HIN (as shown on my/our Issuer Sponsored holding statement or CHESS holdings statement) is _____

Sign Here – This section must be signed for your instructions to be executed

I/We authorise you to act in accordance with my/our instructions set out above.

| Individual or Securityholder 1 | Securityholder 2 | Securityholder 3 |
|---|---|---|
| | | |
| Director | Director/Company Secretary | Sole Director and Sole Company Secretary |

Note: when signed under Power of Attorney, the attorney states that they have not received a notice of revocation. Computershare Investor Services Pty Limited needs to sight a certified copy of the Power of Attorney.

| Contact Name | Contact Telephone Number | Day/Date Month Year |
|---|---|---|
| | | / / |

**LODGEMENT INSTRUCTIONS - IMPORTANT**

Payment of application moneys to the Company should be made by electronic funds transfer (EFT). Please email your completed Application Form to sarah.shipway@stgm.com.au who will provide account details for the EFT.

The application for shares on exercise of the options will not be effective unless cleared funds for the application are received by the Company or the Company's Registry, Computershare Investor Services Pty Limited, on or before 5:00 pm (WST) on 30 September 2020.

**TERMS AND CONDITIONS OF THE LISTED OPTIONS EXPIRING 30 SEPTEMBER 2020**

The following are the terms and conditions of the Listed Options:

(a) each Option entitles the holder to subscribe for one Share upon payment of $0.20 (**Exercise Price**);

(b) the Options are exercisable on or before 30 September 2020 at any time;

(c) the Options will expire on 30 September 2020. Options not exercised on the expiry date will automatically lapse;

(d) the Options may be exercised in whole or in part, by notice in writing to the Company;

(e) holders of Options will be permitted to participate in new issues of securities only following the prior exercise of the Option, in which case the record date must be at least seven (7) Business Days, or such lesser number of days as is permitted under the ASX Listing Rules, after announcement of the new issue, to allow exercise of the Options;

(f) Shares issued on the exercise of the Options will be issued not more than fourteen (14) days after receipt of a properly executed "form of exercise of Options" and the specified option exercise date;

(g) Shares issued pursuant to the exercise of an Option will rank equally with the then issued Shares;

(h) an Option does not confer the right to a change in Exercise Price or a change in the number of Shares over which the Option can be exercised; and

(i) in the event of any reconstruction (including consolidation, subdivision, reduction or returns) of the issued capital of the Company, the number of Options or Exercise Price or both shall be reconstructed in a manner consistent with the Corporations Act and the ASX Listing Rules at the time of the reconstruction.

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**24 March 2021**

# New Constitution & Share Consolidation

**MELBOURNE, Australia – In accordance with ASX Listing Rule 15.4, Clean TeQ Holdings Limited ('Clean TeQ or 'Company') (ASX: CLQ; OTCQX: CTEQF), to be renamed Sunrise Energy Metals Limited, hereby provides a copy of the new Constitution adopted by Shareholders at the General Meeting of 24 March 2021.**

**The Company will now also proceed with the consolidation of its share capital through the conversion of every ten (10) shares into one (1) share in accordance with the indicative timetable (subject to change) set out below:**

| Key Event | Date |
|---|---|
| Notification to ASX that Share Consolidation is approved & Effective date | 24 March 2021 |
| Last day for trading in pre-consolidated securities | 26 March 2021 |
| Trading in consolidated securities on a deferred settlement basis commences | 29 March 2021 |
| Record Date - Last day to register transfers on a pre-consolidation basis | 30 March 2021 |
| First day for Company to update register and send new holding statements | 31 March 2021 |
| Completion of despatch of new holding statements. Deferred settlement trading ends | 8 April 2021 |
| Normal trading starts | 9 April 2021 |

**The Company anticipates the name change and new ticker "SRL" will be implemented on or about 12 April 2021 following completion of the share consolidation.**

**For more information, please contact:**

Ben Stockdale, CFO and Investor Relations +61 3 9797 6700

This announcement is authorised for release to the market by the Board of Directors of Clean TeQ Holdings Limited.

**About Clean TeQ Holdings Limited (ASX: CLQ)** – Based in Melbourne, Australia, Clean TeQ is a global leader in metals recovery and industrial water treatment through the application of its proprietary Clean-iX® continuous ion exchange technology. For more information about Clean TeQ please visit the Company's website www.cleanteq.com.

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# Talga Upsizes Heavily Oversubscribed Share Purchase Plan

Battery anode company Talga Group Ltd ("**Talga**" or "**the Company**") (**ASX:TLG**) advises it has successfully completed its Share Purchase Plan ("**SPP**") announced on 15 December 2020.

The SPP, targeting A$10 million, was heavily oversubscribed with eligible applications received for A$53.5 million at the issue price of A$1.45 per share. Talga received 3,991 applications, representing a participation level of ~53% of eligible shareholders.

Given the strong support from shareholders, and the potential to bring forward a number of development opportunities and further de-risk project development, the Talga Board has exercised its discretion under the terms of the SPP to increase the size of the offer to ~A$30 million. Activities planned to be brought forward using the additional capital include:

- Completion of 25,000 tonne trial mine at Niska South and subsequent production of up to 5,000 tonnes of commercial Talnode® product samples;
- Commencement of early stage infrastructure works and securing long lead items for the commercial 19,000 tonnes anode per annum Vittangi Anode Project with planned 2023 production start;
- Increased drilling to expand Talga's Swedish graphite resources and upgrade/deepen its Vittangi resources across Nunasvaara South (DFS expected March 2021), Niska South, Niska North and Nunasvaara North (Scoping Study released 7 December 2020); and
- Accelerate the development and commercialisation of next generation Talnode® products including silicon and solid state anodes.

The strong demand from eligible shareholders necessitates a significant scale-back of SPP applications. Scale backs have been applied using a range of factors outlined in the SPP Offer Document including trading activity since the SPP Record Date and metrics to reward longer-term shareholders. Talga Directors eligible to participate in the offer have all applied for the maximum SPP entitlement and have been scaled back using the same factors.

**Talga Non-Executive Chair, Terry Stinson commented:** *"To honour the support of our shareholders the Board has decided to accept SPP oversubscriptions to allow participants to partake in a reasonable entitlement considering the significant number of applications. On behalf of the Company I would like to thank all of our shareholders for their support."*

**Talga Managing Director, Mark Thompson commented:** *"The proceeds from the SPP and recently completed institutional placement provides significantly enhanced capability for Talga to grow the company into a world-class provider of low emission high performance battery materials. We are excited to commence early stage works on our Swedish flagship project and accelerate the development and commercialisation of the full range of Talnode® products, including our silicon and solid state anodes."*

The SPP shares are expected to be issued on Wednesday, 27 January 2021 and commence trading on the ASX on Thursday, 28 January 2021. Holding statements are expected to be dispatched on Wednesday, 27 January 2021.

A total of 20,722,065 million new fully-paid ordinary shares will be issued, representing approximately 7.3% of Talga's ordinary shares on issue prior to the SPP.

**Refund Process**

The payment of refunds for scaled back applications, as well as invalid applications, is expected to commence on Monday, 25 January 2021.

To expedite the refund process, shareholders are encouraged to provide their nominated bank account details. If shareholders need to update their nominated bank account details this can be done on the Automic Investor Portal at: **www.investor.automic.com.au**. Any changes to nominated bank account details need to be completed by 5.00pm (AWST), Friday, 22 January 2021.

If shareholders have not provided their nominated bank account details their refund will be received via cheque, mailed in the post to the relevant registered address as shown in the share register. For any queries, shareholders should contact the Company's share registry, Automic, on +61 (0) 2 9698 5414 or at **hello@automicgroup.com.au**.

Authorised for release by the Board of Directors of Talga Group Ltd.

For further information please contact:

**Mark Thompson**
Managing Director
**Talga Group Ltd**
T: +61 (0) 8 9481 6667

**Dean Scarparolo**
Company Secretary
**Talga Group Ltd**
T: +61 (0) 8 9481 6667

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**About Talga**

Talga Group Ltd (ASX:TLG) is building a European battery anode and graphene additives supply chain, to offer advanced materials critical to its customers' innovation and the shift towards a more sustainable world. Vertical integration, including ownership of several high-grade Swedish graphite projects, provides security of supply and creates long-lasting value for stakeholders.

Company website: **www.talgagroup.com**

**Forward-looking statements & Disclaimer**

Statements in this document regarding the Company's business or proposed business, which are not historical facts, are forward-looking statements that involve risks and uncertainties, such as estimates and statements that describe the Company's future plans, objectives or goals, including words to the effect that the Company or management expects a stated condition or result to occur. Since forward-looking statements address future events and conditions, by their very nature, they involve inherent risks and uncertainties. Actual results in each case could differ materially from those currently anticipated in such statements. Investors are cautioned not to place undue reliance on forward-looking statements.

This announcement may not be distributed in any jurisdiction except in accordance with the legal requirements applicable in such jurisdiction. Recipients should inform themselves of the restrictions that apply in their own jurisdiction. A failure to do so may result in a violation of securities laws in such jurisdiction. This document does not constitute investment advice and has been prepared without taking into account the recipient's investment objectives, financial circumstances or particular needs and the opinions and recommendations in this representation are not intended to represent recommendations of particular investments to particular investments to particular persons.

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**F** +61 (0)2 8583 3040
**E** hello@automic.com.au **ABN 27152260814**

## TLG Transaction History

TLG Transaction history from: 01-June-2020 to: 15-August-2021

| Date | Description | Amount | Closing Balance |
|---|---|---|---|
| 27-January-2021 | Share purchase plan acceptance | 718 | 3,990 |
| 04-February-2021 | Market movement | -199 | 3,791 |
| 03-March-2021 | Market movement | -391 | 3,400 |
| 27-April-2021 | Market movement | -1,600 | 1,800 |
| 16-July-2021 | Market movement | -300 | 1,500 |
| 26-July-2021 | Market movement | -1,500 | 0 |

**Cost base = 718 units * $1.45 (E48) = $1,041.10**

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# Market Announcement

24 June 2021

## WAM Strategic Value Limited (ASX: WAR) – Admission and Commencement of Official Quotation

### Description

WAM Strategic Value Limited ('WAR') was admitted to the Official List of ASX Limited ('ASX') today, Thursday, 24 June 2021.

Official quotation of WAR's ordinary fully paid shares will commence at 11:30AM AEST on Monday, 28 June 2021.

WAR raised $225,000,000 pursuant to the offer under its replacement prospectus dated 17 May 2021 ('Replacement Prospectus') by the issue 180,000,000 shares at an issue price of $1.25 per share.

### Quotation information

| | |
|---|---|
| **Quoted Securities:** | 180,000,001 fully paid ordinary shares |
| **ASX Code:** | WAR |
| **Time:** | 11:30AM AEST |
| **Date:** | Monday, 28 June 2021 |
| **ASX Trade Abbreviation:** | WAM STRATG |
| **ISIN:** | AU0000155707 |
| **Home Branch:** | Sydney |
| **Registered Office:** | Address: Level 26, Government Phillip Tower, 1 Farrer Place, Sydney NSW 2000<br>Phone: (02) 9247 6755<br>Email: info@wilsonassetmanagement.com.au |
| **Company Secretary:** | Jesse Michael Hamilton |
| **Share Registry:** | Automic Pty Limited<br>Address: Level 5, 126 Phillip Street, Sydney NSW 2000<br>Phone: 1300 288 664<br>Email: hello@automic.com.au |
| **Balance Date:** | 30 June |
| **CHESS:** | Participating. CHESS and Issuer Sponsored. |
| **Place of Incorporation:** | Australia |
| **Dividend Policy:** | See section 4.11 of the Replacement Prospectus |
| **Activities:** | Listed Investment Company |
| **Lead Manager:** | Taylor Collison Limited & Morgans Financial Limited |
| **Underwriter:** | N/A |

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| | |
|---|---|
| **ASX Restricted Securities:** | N/A |
| **Other Securities Not Quoted:** | N/A |

## What do I need to do and by when?

Please refer to WAR's Replacement Prospectus.

## Need more information?

For further information, please contact Wilson Asset Management on (02) 9247 6755 or email at info@wilsonassetmanagement.com.au/strategicvalue

## Disclaimer

Please refer to the following disclaimer.

## Issued by

**Andrew Kabega**
Senior Adviser, Listings Compliance (Sydney)

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## WAR Transaction History

WAR Transaction history from: 01-June-2020 to: 15-August-2021

| Date | Description | Amount | Closing Balance |
|---|---|---|---|
| 30-June-2021 | Issuer Sponsored to CHESS conversion | 1,800 | 1,800 |

**Cost base = 1,800 units * $1.25 (E52) = $2,250**

# WAM Global announces Bonus Issue of Options to all shareholders

10 February 2021
ASX announcement

The WAM Global Limited (ASX: WGB) Board of Directors today announces a one-for-one Bonus Option Issue to all shareholders of the Company, at no additional cost.

Each WAM Global Option provides holders with the opportunity, but not the requirement, to purchase one additional WAM Global share for $2.54 per Option, without paying brokerage fees. The Options can be exercised at any time, up until they expire on 12 September 2022. Shares issued on Options exercised on or before 26 May 2021 will also receive the fully franked interim dividend of 5.0 cents per share, which represents an annualised fully franked dividend yield of 3.9% and a grossed-up dividend yield of 5.6%* on the exercise price of $2.54 per Option.

Chairman Geoff Wilson AO said: "Approaching the third anniversary of WAM Global's listing on the ASX, we are pleased that we have delivered shareholders diversified global equity exposure, solid investment portfolio performance and increased fully franked dividends, while closing the share price discount to net tangible assets. We are excited by the opportunity to significantly grow the Company's assets to the benefit of all shareholders."

The investment portfolio currently holds 4.4%** in cash and the investment team sees significant opportunities that fit the WAM Global investment process. Lead Portfolio Manager Catriona Burns and the team discussed their positive outlook and a selection of undervalued global growth companies during the WAM Global Interim Results Webinar on 4 February 2021.

The primary purpose of the Bonus Option Issue is to equitably and efficiently grow the Company's assets, increase its relevance in the market, improve the prospect of broker and research coverage, and gain additional interest from financial planners. The increased size is also expected to reduce the fixed expense ratio of the Company for shareholders.

The Options will trade on the ASX under the code WGBO from 15 March 2021. WAM Global's share registry, Boardroom Pty Limited, will email Option Exercise Forms on 15 March 2021, to eligible shareholders who have elected to receive communication via email to their email addresses on the share register, this email will be sent from companies@boardroomlimited.com.au. Printed copies will be mailed only to those shareholders who elected to receive communication via mail. If you would like to change your communication preferences, please contact Boardroom directly on 1300 420 372 or wilsonam@boardroomlimited.com.au. WAM Global will trade ex-bonus Option entitlement on 10 March 2021.

**The record date to determine entitlements under the Bonus Option Issue is 11 March 2021.**

We have attached a factsheet that explains how options work. Please call Corporate Affairs Advisor Olivia Harris on (02) 9247 6755 or email info@wilsonassetmanagement.com.au with any questions about the Bonus Option Issue.

## Financial year to 31 December 2020

| | |
|---|---|
| **Investment portfolio performance^** | **Investment portfolio outperformance^** |
| 15.5% | +5.8% |
| **Fully franked interim dividend** | **Annualised fully franked interim dividend yield^^** |
| 5.0cps | 3.9% |
| **Profits reserve** | **Dividend coverage#** |
| 43.0cps | 4.3 years |
| **Exercise price** | **Share price^^** |
| $2.54 | $2.54 |

*Grossed-up dividend yield includes the benefits of franking credits and is based on a tax rate of 30.0%.
**Cash holdings as at 9 February 2021.
^Investment portfolio performance and index returns are before expenses, fees and taxes.
^^Based on the 9 February 2021 share price of $2.54 per share.
#Dividend coverage is based on the profits reserve of 43.0 cents per share as at 31 December 2020 and before the fully franked interim dividend of 5.0 cents per share.



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## Indicative timetable

| | |
|---|---|
| Lodgement of Bonus Option Issue prospectus | 10 February 2021 |
| Shares trade ex Bonus Option Entitlements | 10 March 2021 |
| Record date to determine entitlements under the Bonus Option Issue | 11 March 2021 |
| Intended date for issue and entry of Options on the register | 12 March 2021 |
| Electronic prospectus available to shareholders | 15 March 2021 |
| Despatch of Option Exercise Form | 15 March 2021 |
| Trading in Options commences on ASX | 15 March 2021 |
| Exercise Options on or before to receive the FY2021 fully franked interim dividend of 5.0 cents per share | 26 May 2021 |
| Shares trade ex-dividend | 31 May 2021 |
| Payment date of FY2021 fully franked interim dividend | 7 June 2021 |
| Options expire | 12 September 2022 (5:00pm Sydney time) |

## WAM Global FY2021 Interim Results Webinar

Chairman and Chief Investment Officer Geoff Wilson AO, Lead Portfolio Manager Catriona Burns, Portfolio Manager Nick Healy and Equity Analyst William Liu discussed WAM Global's FY2021 interim results and their views on global equity markets and answered shareholders' questions on Thursday 4 February 2021. You can access a recording **here**.

## About WAM Global

WAM Global Limited, one of Australia's leading LICs, is managed by Wilson Asset Management. Listed in June 2018, WAM Global provides investors with exposure to an actively managed diversified portfolio of undervalued international growth companies. WAM Global's investment objectives are to deliver investors a stream of fully franked dividends, provide capital growth over the medium-to-long term and preserve capital. All major platforms provide access to WAM Global, including AMP North, BT Panorama, Colonial First State FirstWrap, Netwealth, Macquarie Wrap and Hub24. WAM Global receives coverage from the following independent investment research providers:

BELL POTTER ORD MINNETT INDEPENDENT INVESTMENT RESEARCH

## About Wilson Asset Management

Wilson Asset Management has a track record of making a difference for shareholders and the community for more than 20 years. As the Investment Manager for seven leading LICs: WAM Capital, WAM Leaders, WAM Global, WAM Microcap, WAM Alternative Assets, WAM Research and WAM Active, Wilson Asset Management invests over $3.5 billion on behalf of more than 90,000 retail investors. Wilson Asset Management created and is the lead supporter of the first listed investment companies to deliver both investment and social returns: Future Generation Australia (ASX: FGX) and Future Generation Global (ASX: FGG). Wilson Asset Management advocates and acts for retail investors, is a member of the global philanthropic Pledge 1% movement, is a significant funder of many Australian charities and provides all team members with $10,000 each year to donate to charities of their choice. All philanthropic investments are made by the Investment Manager.

Wilson Asset Management | OVER 20 YEARS Making a difference

For further information, visit wilsonassetmanagement.com.au or contact:

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Chairman &
Chief Investment Officer
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**Kate Thorley**
Chief Executive Officer
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# LIC options explained

Many listed investment companies (LICs) issue bonus options to shareholders giving optionholders various benefits, including the opportunity to buy shares at a discount. This fact sheet explains the key features and benefits of LIC options.

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## Overview

Options give the optionholder the right, but not the obligation, to buy shares in the LIC at a fixed price until they expire. If the share price increases above the option exercise price optionholders have the opportunity to acquire new shares at a discount.

**Optionholders have two main choices before their options expire:**

1. Exercise some or all options for the exercise price and be issued new shares in the LIC.
2. Sell some or all options for a profit.

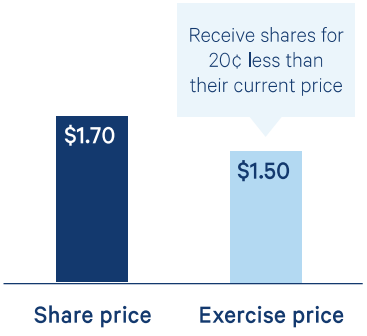
*If optionholders take no action, their options will simply expire.*

## Buy shares at a discount

EXAMPLE:

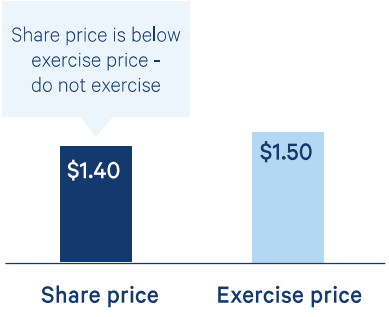
**If shares are trading on the ASX *above* the exercise price:**

Optionholders can exercise their options and be issued new shares in the company at a discount to the current market price.




**If shares are trading on the ASX *below* the option exercise price:**

Optionholders can choose not to exercise their options and instead buy additional shares on market at less than the exercise price.




## Key terms

**Bonus option issue**

The issue of free options to shareholders by a company. A company may issue bonus options when it lists on the ASX (initial public offering) or once it is trading.

**Exercise price**

The price shares can be purchased when an option is exercised. Sometimes called the strike price.

**Expiry date**

The last day to exercise options.

**In the money**

A phrase used when the share price of the underlying shares is higher than the exercise price of the option.

**Option price**

The price options are trading at on the ASX.

**Underlying shares**

The company shares or securities over which the options are issued. Options can be exercised to receive additional underlying shares.

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## Benefits

**Acquire shares at a discount**

Until the time the options expire, optionholders can exercise options to receive shares in the company for a fixed price (the exercise price). If the exercise price is lower than the current price of the underlying shares, optionholders acquire shares at a discount.

**Receive dividends**

If optionholders exercise their options before the record date for dividends, they are entitled to receive any announced dividends for each option exercised. This assumes the shares received from the exercise of options are held on the dividend record date.

**Grow assets**

Issuing options grows the assets of a LIC. This growth can reduce the fixed expense ratio for shareholders and increase the on-market liquidity of shares in the LIC.

**Sell options for a gain**

As options trade on the ASX, optionholders can sell their options for a profit. Options are issued at no cost to the optionholder.

**No brokerage**

Unlike buying shares trading on the ASX, exercising options allows optionholders to acquire new shares without paying brokerage.

**Flexibility**

Optionholders have maximum flexibility and can exercise, sell or hold their options in any combination until they expire.

**Wilson**
Asset Management

If you would like further information about LIC options, please contact us:

**(02) 9247 6755 | info@wilsonassetmanagement.com.au**
**wilsonassetmanagement.com.au**

Disclaimer: The information provided in this document is general only. It does not take into account the investment objectives, financial situation or particular needs of any person and may not be appropriate for your requirements. We strongly suggest that investors consult a financial adviser prior to making any investment decision.

W | A | M *Leaders*

*Actively investing in the highest quality Australian companies.*

# WAM Leaders shareholders offered SPP

20 August 2020
ASX announcement

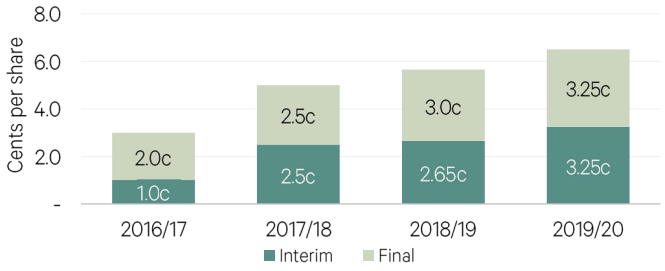
In response to market opportunities and shareholder demand for additional exposure to WAM Leaders Limited's (ASX: WLE) track record of strong outperformance and an increasing stream of fully franked dividends, the Board of Directors has announced a Share Purchase Plan (SPP).

The SPP entitles existing shareholders to increase their interest in the Company by up to $30,000 of additional shares, acquired at a discount to the current share price and without paying brokerage costs, and to receive the increased fully franked final dividend of 3.25 cents per share[*].

The FY2020 fully franked full year dividend equates to a fully franked dividend yield of 5.5%[**] and a grossed-up dividend yield of 7.9%[^].

## Increased fully franked dividends

The WAM Leaders investment portfolio's significant outperformance during the 12-month period to 30 June 2020 contributed to the 15.0% increase on the FY2019 fully franked full year dividend to 6.5 cents per share. In FY2020, the investment portfolio outperformed the S&P/ASX 200 Accumulation Index by 10.4%, increasing 2.7%[^^]. As at 31 July 2020, the Company had 16.2 cents per share available in its profits reserve, before the payment of the fully franked final dividend of 3.25 cents per share. Since inception, WAM Leaders has paid 16.9 cents per share in fully franked dividends to shareholders.




## Outperformance over all periods since inception

WAM Leaders' active investment approach, which focuses on large-cap companies with compelling fundamentals, a robust macroeconomic thematic and a catalyst to drive the share price higher, has led to strong investment portfolio outperformance in all periods throughout the Company's history.

| Performance at 31 July 2020 | Fin YTD | 3 mths | 1 yr | 3 yrs %pa | Since inception %pa (May-16) |
|---|---|---|---|---|---|
| WLE Investment Portfolio[^^] | 0.8% | 9.1% | 1.2% | 10.4% | 10.2% |
| S&P/ASX 200 Accumulation Index | 0.5% | 7.6% | -9.9% | 5.4% | 6.5% |
| **Outperformance** | **+0.3%** | **+1.5%** | **+11.1%** | **+5.0%** | **+3.7%** |

The proceeds of the additional capital raised by the SPP will be invested in accordance with the Company's disciplined and proven investment process. WAM Leaders' gross assets as at 31 July 2020 were $950.3 million, 93.8% of which were allocated to equities, with the remaining 6.2% held in cash. The current cash weighting supports the flexible investment mandate to actively pursue opportunities in the market as they arise, while protecting shareholder capital.

## Additional benefits to all shareholders

The proceeds of the SPP will grow the Company's assets, which is expected to increase its relevance in the market, improve the prospect of broker and research coverage and increase interest from financial planners. WAM Leaders currently receives coverage from independent investment research providers including Bell Potter, EL&C Baillieu, Morningstar, Wilsons and Zenith, and recently received a "Recommended" rating in its first review by respected research house Lonsec[#]. In addition, WAM Leaders' fixed administration expense ratio will reduce as the Company's assets increase.

[*]Assumes shares issued under the SPP are held on the dividend record date of Tuesday, 20 October 2020.
[**]Based on the 19 August 2020 share price of $1.18.
[^]Grossed-up dividend yield includes the benefit of franking credits and is based on a tax rate of 30.0%.
[^^]Investment portfolio performance and Index returns are before expenses, fees and taxes.
[#]The rating issued 10/08/2020 is published by Lonsec Research Pty Ltd ABN 11 151 658 561 AFSL 421 445 (Lonsec). Ratings are general advice only, and have been prepared without taking account of your objectives, financial situation or needs. Consider your personal circumstances, read the product disclosure statement and seek independent financial advice before investing. The rating is not a recommendation to purchase, sell or hold any product. Past performance information is not indicative of future performance. Ratings are subject to change without notice and Lonsec assumes no obligation to update. Lonsec uses objective criteria and receives a fee from the Fund Manager. Visit lonsec.com.au for ratings information and to access the full report. © 2020 Lonsec. All rights reserved.



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## Key details and dates

The SPP offers existing shareholders the opportunity to increase their interest in the Company by up to $30,000 of additional ordinary, fully paid WAM Leaders shares without incurring any brokerage or transaction costs. The Issue Price for each SPP Share is expected to be $1.1711, the Company's 31 July 2020 net tangible assets (NTA) per share and may be adjusted if required to comply with the ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547 and ASX Listing Rules. Shares in WAM Leaders closed at $1.18 per share on Wednesday, 19 August 2020. Up to 236 million additional shares can be issued under the SPP. The new shares issued under the SPP will rank pari passu with existing ordinary shares.

The key SPP dates are as follows:

| | |
|---|---|
| SPP Record date (AEST 7:00pm) | Wednesday, 19 August 2020 |
| Issue of SPP Offer Booklet and application forms | Thursday, 20 August 2020 |
| SPP opens | Friday, 21 August 2020 |
| SPP closes (AEST 5:00pm) | Tuesday, 22 September 2020 |
| Issue date of new shares | Wednesday, 30 September 2020 |
| Ex dividend date | Monday, 19 October 2020 |
| Dividend payment date | Friday, 30 October 2020 |

## Participating in the Offer

Shareholders on the WAM Leaders register as at 7:00pm (AEST) on Wednesday, 19 August 2020 with a registered address in Australia or New Zealand will be entitled to participate in the SPP. The SPP is subject to the terms and conditions set out in the SPP booklet, which will be announced today. Eligible shareholders are encouraged to read the SPP booklet carefully.

WAM Leaders' share registry, Boardroom Pty Limited, will email application forms to eligible shareholders who have email addresses on the share register, this email will be sent from companies@boardroomlimited.com.au. Printed copies will be mailed only to those shareholders who do not have email addresses on the share register. The Offer closes on Tuesday, 22 September 2020. If you currently receive postal correspondence from Boardroom and would like to receive an email application form earlier, please contact Boardroom directly on 1300 420 372 or wilsonam@boardroomlimited.com.au.

Shareholders who have questions regarding the SPP are encouraged to call Kate Thorley on 0405 115 644, Jesse Hamilton on 0401 944 807 or James McNamara on 0416 734 080, or email Olivia Harris at info@wilsonassetmanagement.com.au. Alternatively, shareholders can consult their financial advisor or stockbroker. If you are a professional and sophisticated investor* and have any enquiries regarding the Offer, please contact Hamish Nairn from Taylor Collison on (08) 8217 3908.

## About WAM Leaders

WAM Leaders Limited is a listed investment company (LIC) managed by Wilson Asset Management. Listed in May 2016, WAM Leaders provides investors with exposure to an active investment process focused on identifying large-cap companies with compelling fundamentals, a robust macroeconomic thematic and a catalyst to drive the share price higher. WAM Leaders is currently available on AMP North, BT Panorama, Colonial First State FirstWrap, Netwealth, Macquarie Wrap, Powerwrap and Hub24.

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*These terms are defined in the Corporations Act and refer to individuals with net assets greater than $2.5 million, or those who earn gross income of $250,000,or a Superannuation Fund with net assets of at least $10 million.

## About Wilson Asset Management

Wilson Asset Management has a track record of making a difference for shareholders and the community for more than 20 years. As the investment manager for six leading LICs: WAM Capital, WAM Leaders, WAM Global, WAM Microcap, WAM Research and WAM Active, Wilson Asset Management invests over $3 billion on behalf of more than 85,000 retail investors. Wilson Asset Management is the creator and lead supporter of Future Generation Australia and Future Generation Global, a passionate advocate for retail investors and a member of the global philanthropic Pledge 1% movement.

This announcement has been authorised by the Board of WAM Leaders Limited.

**For more information visit www.wilsonassetmanagement.com.au or contact:**

**Geoff Wilson AO**
Chairman &
Chief Investment Officer
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**Kate Thorley**
Chief Executive Officer
(02) 9247 6755
0405 115 644

**Jesse Hamilton**
Chief Financial Officer
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**James McNamara**
Head of Corporate Affairs
(02) 9258 4915
0416 734 080

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# Transaction History

## WAM Leaders Limited

MR SYDNEY JOFFE & - As at 16/08/2021

**MR SYDNEY JOFFE &**
MRS SANDRA GAIL JOFFE
<SYDSAN SELF MANAGED S/F A/C>
152 BRADFORD STREET
YOKINE WA 6060

**Security Class**
Fully Paid Ordinary Shares

| Subregister | Reference | Transaction | Date | No. of Securities | Total Securities |
|---|---|---|---|---|---|
| | | **Closing Balance** | **Cost base = 4,269 units * $1.1711 (E60) = $5,000** | | **12,000** |
| CHESS | 0054631961 | Allotment Ex Rights | 13-Aug-2021 | 2,000 | 12,000 |
| CHESS | 0054631961 | Holding Movement | 20-Oct-2020 | 1,731 | 10,000 |
| CHESS | 0054631961 | Securities Purchase Plan | 30-Sep-2020 | 4,269 | 8,269 |
| CHESS | 0054631961 | Holding Movement | 30-Jul-2020 | 3,999 | 4,000 |
| CHESS | 0054631961 | Holding Movement | 23-Jul-2020 | 1 | 1 |
| CHESS | 0054631961 | Holding Movement | 29-Oct-2018 | -4,000 | 0 |
| CHESS | 0054631961 | Option Conversion | 17-Nov-2017 | 4,000 | 4,000 |
| CHESS | 0054631961 | Holding Movement | 17-Oct-2016 | -1,700 | 0 |
| CHESS | 0054631961 | Holding Movement | 09-Aug-2016 | -1,300 | 1,700 |
| CHESS | 0054631961 | Holding Movement | 05-Aug-2016 | -1,000 | 3,000 |
| CHESS | 0054631961 | Prospectus Issue Allotment | 24-May-2016 | 4,000 | 4,000 |

No prior transactions

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29 June 2020

**Atlas Arteria Completes Security Purchase Plan**

Atlas Arteria (**ASX:ALX**) is pleased to confirm the successful completion of its Security Purchase Plan (**SPP**), which closed at 5.00pm (Melbourne time) on Thursday, 25 June 2020. The SPP was first announced to the Australian Securities Exchange (**ASX**) on Thursday, 28 May 2020 in conjunction with Atlas Arteria's $420 million institutional placement (**Placement**).

The SPP received strong support from securityholders, with approximately $180 million of valid applications received from eligible securityholders, exceeding the SPP target size of $75 million. As a result and in accordance with the terms of the SPP as set out in the SPP booklet released to the ASX and dispatched to eligible securityholders on 5 June 2020, Atlas Arteria will scale back applications having regard to the size of the existing security holdings of applicants. The scale back will also be subject to a minimum allocation of $1,000 and the maximum $30,000 permitted.

Approximately 12 million new Atlas Arteria stapled securities (**New Stapled Securities**) will be issued at an issue price of $6.20 per New Stapled Security (**Issue Price**) to eligible applicants under the SPP. The Issue Price is the same as the issue price paid by institutional investors for each stapled security issued under the Placement.

The SPP offer was made to approximately 26,000 eligible securityholders and Atlas Arteria received valid applications from approximately 9,300 beneficial eligible securityholders. The valid applications received represent an average application amount of $19,600.

The New Stapled Securities to be issued under the SPP are expected to commence trading on Friday, 3 July 2020 with holding statements expected to be dispatched on the same day. Application monies which are not applied to the acquisition of New Stapled Securities (including as a result of the scale back) will be refunded to applicants in accordance with the terms of the SPP. All New Stapled Securities issued under the SPP will rank equally with existing Atlas Arteria stapled securities from the date of allotment.

Atlas Arteria CEO Graeme Bevans said: “On behalf of the Atlas Arteria I would like to thank our retail securityholders for their strong support of the SPP and demonstrating their confidence in the outlook for the group. The additional proceeds raised under the SPP will be used to provide flexibility for growth opportunities and general corporate purposes".

- ENDS -

**Atlas Arteria Limited**
ACN 141 075 201
Level 5, 141 Flinders Lane, MELBOURNE 3000

**Atlas Arteria International Limited**
EC43828
4th Floor, Cedar House, 41 Cedar Avenue
Hamilton HM12 BERMUDA

**For further information please contact:**

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Director, Investor Relations
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investors@atlasarteria.com

**Media:**
Lisa Keenan
Nightingale Advisors
+61 (0) 409 1509 771
lisa@nightingaleadvisors.com.au

This announcement has been authorised for release by Clayton McCormack, General Counsel and Company Secretary.

**About Atlas Arteria**

Atlas Arteria (ASX:ALX) is a global operator and developer of toll roads, creating value for our investors over the long-term through considered and disciplined management. The roads we own, operate and develop benefit communities through reduced travel time, greater time certainty, reduced fuel consumption and carbon emissions.

Today the Atlas Arteria Group consists of four businesses. We own a 31.14% interest in the APRR toll road group in France. Adjacent to the APRR business is the smaller ADELAC business which connects to APRR in south-east France. Together APRR and ADELAC comprise a 2,318km motorway network located in the East and South East of France. In the US, we have 100% of the economic interest in the Dulles Greenway, a 22km toll road in the Commonwealth of Virginia. In Germany, we own 100% of the Warnow Tunnel in the north-east city of Rostock.

www.atlasarteria.com

**Important Notices and Disclaimer**

**Not for release or distribution in the United States**

This announcement may not be distributed or released in the United States. This announcement does not constitute an offer to sell, or a solicitation of an offer to buy, securities in the United States or to "US persons" (as defined in Regulation S under the US Securities Act of 1933 ("US Securities Act")). Any securities described in this announcement have not been, and will not be, registered under the US Securities Act and may not be offered or sold in the United States or to US persons except in transactions exempt from, or not subject to, registration under the US Securities Act and applicable US state securities laws. Accordingly, the New Securities will only be offered and sold outside the United States to non-US persons in "offshore transactions" in compliance with Regulation S under the US Securities Act.

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Computershare Investor Centre

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# Transactions

## ATLAS ARTERIA

### Recent Transactions

**Mr Sydney Joffe + Mrs Sandra Gail Joffe X******1961**

STAPLED UNITS

| Date | Transaction | Movement | Running balance |
|---|---|---|---|
| 7/08/2020 | CHESS DAILY MOVEMENT DOWN | -800 | 0 |
| 2/07/2020 | SPP ALLOTMENT JUN20 @$6.20 | +200 | 800 |
| 2/06/2020 | CHESS DAILY MOVEMENT DOWN | -275 | 600 |
| 18/03/2020 | CHESS DAILY MOVEMENT UP | +500 | 875 |
| 16/12/2019 | RETAIL ENTITLEMENT OFFER ALLOT | +75 | 375 |
| 25/10/2018 | CHESS DAILY MOVEMENT UP | +300 | 300 |
| 4/12/2015 | CHESS DAILY MOVEMENT DOWN | -500 | 0 |
| 1/12/2015 | CHESS DAILY MOVEMENT UP | +500 | 500 |

**Cost base = 200 units * $6.20 (E31) = $1,240**



| CERTAINTY | INGENUITY | ADVANTAGE |

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11 August 2020

The Manager
Market Announcements Office
ASX Limited
Exchange Centre, 20 Bridge St
Sydney NSW 2000

Dear Sir / Madam

# Notice under sections 708AA(2)(f) and 1012DAA(2)(f) of the Corporations Act 2001 (Cth)

This notice is given by Sydney Airport Limited (ABN 18 165 056 360) and The Trust Company (Sydney Airport) Limited (ABN 83 115 967 087) as responsible entity of Sydney Airport Trust 1 (ARSN 099 597 921) (ABN 18 165 056 360) (together, ***Sydney Airport***) (ASX Code: SYD) under sections 708AA(2)(f) and 1012DAA(2)(f) of the *Corporations Act 2001* (Cth) (the ***Act***) as modified by Australian Securities and Investments Commission (***ASIC***) Corporations (Non-Traditional Rights Issues) Instrument 2016/84 and ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73.

Sydney Airport has today announced a pro rata accelerated renounceable entitlement offer (with retail rights trading) (the ***Entitlement Offer***) of 1 new fully-paid stapled security in Sydney Airport (***New Securities***) for every 5.15 fully-paid stapled securities in Sydney Airport held as at 7.00pm (AEST) on Friday, 14 August 2020.

Sydney Airport confirms the following: **Number units of SYDR = 500 units (E71) / 5.15 = 98 units**

(a) The New Securities will be offered for issue without disclosure to investors under Part 6D.2 or Part 7.9 of the Act and without a prospectus or product disclosure statement being prepared.

(b) This notice is being given under sections 708AA(2)(f) and 1012DAA(2)(f) of the Act.

(c) As a disclosing entity, Sydney Airport is subject to regular reporting and disclosure obligations.

(d) As at the date of this notice, Sydney Airport has complied with:

(i) the provisions of Chapter 2M of the Act as they apply to Sydney Airport; and

(ii) section 674 of the Act as it applies to Sydney Airport.

(e) As at the date of this notice, there is no 'excluded information' of the type referred to in sections 708AA(8), 708AA(9), 1012DAA(8) and 1012DAA(9) of the Act.

(f) The potential effect that the issue of the New Securities will have on the control of Sydney Airport, and the consequences of that effect, will depend on a number of factors, including investor demand and existing securityholdings. However, given:

(i) the structure of the Entitlement Offer as a pro rata issue; and

(ii) the underwriting arrangements in place for the Entitlement Offer and the current level of holdings of substantial holdings (based on substantial holding notices that have been given to Sydney Airport and lodged with ASX on or prior to the date of this notice),

Sydney Airport does not expect that the Entitlement Offer will have a material effect on the control of Sydney Airport and, as such, does not expect any material consequences to flow from that.

Yours sincerely

Karen Tompkins
General Counsel & Company Secretary

**Authorised for release by** the SAL and TTCSAL Boards of Directors

**Contacts for further information**

**Belinda Shaw**
GM Investor Relations and Financial Control

T +61 2 9667 9409
M +61 427 098 524
E belinda.shaw@syd.com.au

**Josh Clements**
Head of Media and Communications

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E josh.clements@syd.com.au

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NOT FOR DISTRIBUTION OR RELEASE IN THE UNITED STATES

**ASX Release**

7 September 2020

# Sydney Airport successfully completes Retail Entitlement Offer

Sydney Airport today announced the successful completion of the retail component of its fully underwritten, pro rata accelerated renounceable 1 for 5.15 entitlement offer (with retail rights trading) (**Retail Entitlement Offer**).

Strong support resulted in approximately 53,000 retail securityholders electing to partially or fully take up their entitlements (**Retail Entitlements**), which amounted to eligible retail securityholders subscribing for approximately 94.2 million New Securities, being approximately $430 million, reflecting a participation rate of 62% by value.

The Retail Entitlement Offer closed on Wednesday, 2 September 2020, and under the offer eligible retail securityholders were provided with a number of options for dealing with their Retail Entitlements, including the ability to trade their Retail Entitlements on the ASX.

Retail Entitlements worth approximately $19 million were traded on the ASX between Friday, 14 August 2020 and Wednesday, 26 August 2020, in a range between $0.55 to $0.89. The volume weighted average price for Retail Entitlements traded during this period was $0.78.

Retail Entitlements not taken up by renouncing or ineligible retail securityholders, amounting to approximately 58.1 million New Securities, will be offered for sale in the retail shortfall bookbuild (**Retail Bookbuild**). Any retail premium, being the excess of the price at which New Securities are sold through the Retail Bookbuild over the offer price of $4.56 less expenses (**Retail Premium**), will be paid to those renouncing and ineligible retail securityholders on or about Tuesday, 15 September 2020.

Sydney Airport advises that the Retail Bookbuild will be conducted after market close today, Monday, 7 September 2020.

Sydney Airport Chairman, Trevor Gerber said: "We chose to raise equity via a renounceable entitlement offer because it was important to the Board that we treated all securityholders equitably. We are pleased with the take up rate from our retail securityholders, and that those that did not or could not participate could receive value by trading their entitlements or through the Retail Bookbuild to be conducted later today".

For personal use only

## Issue of New Securities

The settlement date for New Securities issued under the Retail Entitlement Offer and the Retail Bookbuild is Thursday, 10 September 2020. Allotment of these New Securities is expected to occur on Friday, 11 September 2020 and trading on ASX is expected to commence on Monday, 14 September 2020.

## Further information

For further information on the Retail Entitlement Offer, please contact the Sydney Airport Securityholder Offer Information Line on:

- 1800 102 368 (from within Australia); or
- +61 3 9415 4195 (from outside Australia),

between 8.30am and 5.00pm (AEST) Monday to Friday.

**Authorised for release by the SAL and TTCSAL Boards**

**Contacts for further information**

**Rob Catterall**
Head of Investor Relations

T +61 2 9667 6418
M +61 412 111 016
E rob.catterall@syd.com.au

**Josh Clements**
Head of Media and Communications

T +61 2 9667 9590
M +61 437 033 479
E josh.clements@syd.com.au

For personal use only

## IMPORTANT INFORMATION

### IMPORTANT NOTICES

This announcement is not financial product or investment advice, a recommendation to acquire securities or accounting, legal or tax advice. It does not constitute an invitation or offer to apply for securities. It has been prepared without taking into account the objectives, financial or tax situation or needs of individuals. Before making an investment decision, prospective investors should consider the appropriateness of the information having regard to their own objectives, financial and tax situation and needs and seek legal and taxation advice appropriate for their jurisdiction. Sydney Airport is not licensed to provide financial product advice in respect of an investment in securities.

### NOT FOR RELEASE OR DISTRIBUTION IN THE UNITED STATES

This announcement has been prepared for publication in Australia and may not be released or distributed in the United States. This announcement does not constitute an offer, invitation or recommendation to subscribe for or purchase any security or financial product and neither this announcement nor anything attached to this announcement shall form the basis of any contract or commitment. In particular, this announcement does not constitute an offer to sell, or the solicitation of an offer to buy, securities in the United States or any other jurisdiction in which such an offer would be illegal. Any securities described in this announcement have not been, and will not be, registered under the U.S. Securities Act of 1933, as amended (the **U.S. Securities Act**) or the securities laws of any state or jurisdiction of the United States. Accordingly, the securities may not be offered or sold, directly or indirectly, in the United States or to any person acting for the account or benefit of any person in the United States (to the extent such person is acting for the account or benefit of a person in the United States), except in transactions exempt from, or not subject to, the registration requirements of the U.S. Securities Act and applicable securities laws of any state or other jurisdiction of the United States.

Computershare Investor Centre

Document created: 14/09/2021 12:10 AM
Filter selected: X******1961, All

# Transactions

## SYDNEY AIRPORT

### Recent Transactions

**Mr Sydney Joffe + Mrs Sandra Gail Joffe X******1961**

FULLY PAID

| Date | Transaction | Movement | Running balance |
|---|---|---|---|
| 17/09/2020 | CHESS DAILY MOVEMENT DOWN | -500 | 0 |
| 14/04/2020 | CHESS DAILY MOVEMENT UP | +500 | 500 |
| 7/03/2016 | CHESS DAILY MOVEMENT DOWN | -165 | 0 |
| 29/01/2013 | CHESS DAILY MOVEMENT UP | +165 | 165 |



| CERTAINTY | INGENUITY | ADVANTAGE |

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **88 ENERGY LIMITED**
SECURITY ORDINARY FULLY PAID

**88E**

| | |
|---|---|
| DATE: | 05/05/2021 |
| AS AT DATE: | 05/05/2021 |
| CONFIRMATION NO: | 117868322 |
| ORDER NO: | N145720823 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 30,000 |
| CONSIDERATION (AUD): | $750.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$740.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **07/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 30,000 | 0.025000 |

**AVERAGE PRICE: 0.025000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

E73

# SELL

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

## CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **88 ENERGY LIMITED**

SECURITY ORDINARY FULLY PAID

**88E**

| | |
|---|---|
| DATE: | 01/04/2021 |
| AS AT DATE: | 01/04/2021 |
| CONFIRMATION NO: | 116513183 |
| ORDER NO: | N143897971 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 35,000 |
| CONSIDERATION (AUD): | $2,625.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,605.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 35,000 | 0.075000 |

**AVERAGE PRICE: 0.075000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/04/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

F74

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: 88 ENERGY LIMITED**

SECURITY: ORDINARY FULLY PAID

**88E**

| | |
|---|---|
| DATE: | 02/11/2020 |
| AS AT DATE: | 02/11/2020 |
| CONFIRMATION NO: | 108531522 |
| ORDER NO: | N131392869 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100,000 |
| CONSIDERATION (AUD): | $600.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$610.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **04/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100,000 | 0.006000 |

**AVERAGE PRICE: 0.006000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E75

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ADAIRS LIMITED**

SECURITY: ORDINARY FULLY PAID

**ADH**

| | |
|---|---|
| DATE: | 11/11/2020 |
| AS AT DATE: | 11/11/2020 |
| CONFIRMATION NO: | 109018426 |
| ORDER NO: | N133590759 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $1,680.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,699.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **13/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 3.360000 |

**AVERAGE PRICE: 3.360000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **AGL ENERGY LIMITED.**
SECURITY ORDINARY FULLY PAID

**AGL**

| | |
|---|---|
| DATE: | 26/05/2021 |
| AS AT DATE: | 26/05/2021 |
| CONFIRMATION NO: | 118749725 |
| ORDER NO: | N146895846 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $2,499.86 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,479.91** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **28/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 172 | 8.335000 |
| 128 | 8.330000 |
| **AVERAGE PRICE:** | **8.332867** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 28/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E77

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **AGL ENERGY LIMITED.**

SECURITY ORDINARY FULLY PAID

**AGL**

| | |
|---|---|
| DATE: | 03/03/2021 |
| AS AT DATE: | 03/03/2021 |
| CONFIRMATION NO: | 115073425 |
| ORDER NO: | N141899500 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $3,784.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,764.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **05/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 400 | 9.460000 |

**AVERAGE PRICE: 9.460000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 05/03/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E78

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **AGL ENERGY LIMITED.**

SECURITY ORDINARY FULLY PAID

**AGL**

| | |
|---|---|
| DATE: | 22/09/2020 |
| AS AT DATE: | 22/09/2020 |
| CONFIRMATION NO: | 106559704 |
| ORDER NO: | N130131826 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,407.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,387.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/09/2020** |

| UNITS | AT PRICE |
|---|---|
| 100 | 14.070000 |

**AVERAGE PRICE: 14.070000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 24/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

579

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: AGL ENERGY LIMITED.**

SECURITY: ORDINARY FULLY PAID

**AGL**

| | |
|---|---|
| DATE: | 24/08/2020 |
| AS AT DATE: | 24/08/2020 |
| CONFIRMATION NO: | 104888188 |
| ORDER NO: | N127477929 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $3,108.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,127.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **26/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 200 | 15.540000 |

**AVERAGE PRICE: 15.540000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E&O

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**MPANY: AGL ENERGY LIMITED.**

SECURITY: ORDINARY FULLY PAID

**AGL**

| | |
|---|---|
| DATE: | 20/08/2020 |
| AS AT DATE: | 20/08/2020 |
| CONFIRMATION NO: | 104689897 |
| ORDER NO: | N127485124 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,551.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **( include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,570.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

UNITS AT PRICE

100 15.510000

**AVERAGE PRICE: 15.510000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: AGL ENERGY LIMITED.**

SECURITY: ORDINARY FULLY PAID

**AGL**

| | |
|---|---|
| DATE: | 19/08/2020 |
| AS AT DATE: | 19/08/2020 |
| CONFIRMATION NO: | 104663363 |
| ORDER NO: | N127445569 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,561.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(Includes printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,580.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 15.610000 |

**AVERAGE PRICE: 15.610000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: AERIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**AIS**

| | |
|---|---|
| DATE: | 11/06/2021 |
| AS AT DATE: | 11/06/2021 |
| CONFIRMATION NO: | 119344601 |
| ORDER NO: | N147707999 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,500 |
| CONSIDERATION (AUD): | $500.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$510.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **16/06/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,500 | 0.200000 |

**AVERAGE PRICE: 0.200000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# SELL E83

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Equal Access Buyback**

**ALD**

**COMPANY** **AMPOL LIMITED**
SECURITY ORDINARY FULLY PAID

| | |
|---|---|
| DATE: | 30/11/2020 |
| AS AT DATE: | 30/11/2020 |
| CONFIRMATION NO: | 109874281 |
| ORDER NO: | N134788236 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $15,200.00 |
| **BROKERAGE & COSTS INCL GST:** | **$29.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$15,170.05** |
| **TOTAL GST:** | **$2.72** |
| **SETTLEMENT DATE:** | **02/12/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

UNITS AT PRICE

500 30.400000

**AVERAGE PRICE: 30.400000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 02/12/2020**

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Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

E84

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **AMPOL LIMITED**
SECURITY ORDINARY FULLY PAID

**ALD**

| | |
|---|---|
| DATE: | 12/11/2020 |
| AS AT DATE: | 12/11/2020 |
| CONFIRMATION NO: | 109124495 |
| ORDER NO: | N133737194 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,778.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,758.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **16/11/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 27.780000 |

**AVERAGE PRICE: 27.780000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 16/11/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E85

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **ATLAS ARTERIA** |
| SECURITY | FPO STAP US PROHIBITED EXCLUDING QUP |

**ALX**

| | |
|---|---|
| DATE: | 06/08/2020 |
| AS AT DATE: | 06/08/2020 |
| CONFIRMATION NO: | 104026176 |
| ORDER NO: | N126535938 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 800 |
| CONSIDERATION (AUD): | $5,144.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$5,124.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **10/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 800 | 6.430000 |

**AVERAGE PRICE: 6.430000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 10/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **ALLIANCE AVIATION SERVICES LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**AQZ**

| | |
|---|---|
| DATE: | 06/05/2021 |
| AS AT DATE: | 06/05/2021 |
| CONFIRMATION NO: | 117912421 |
| ORDER NO: | N145797289 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,496.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,476.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **10/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 600 | 4.160000 |

**AVERAGE PRICE: 4.160000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 10/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# BUY

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ALLIANCE AVIATION SERVICES LIMITED**

SECURITY: ORDINARY FULLY PAID

**AQZ**

| | |
|---|---|
| DATE: | 05/05/2021 |
| AS AT DATE: | 05/05/2021 |
| CONFIRMATION NO: | 117821956 |
| ORDER NO: | N145682217 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,490.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,509.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 600 | 4.150000 |

**AVERAGE PRICE: 4.150000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **AUSTRALIAN MINES LIMITED**
SECURITY ORDINARY FULLY PAID

**AUZ**

| | |
|---|---|
| DATE: | 05/05/2021 |
| AS AT DATE: | 05/05/2021 |
| CONFIRMATION NO: | 117874460 |
| ORDER NO: | N145721106 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 82,500 |
| CONSIDERATION (AUD): | $1,732.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,712.55** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 47,600 | 0.021000 |
| 34,900 | 0.021000 |

**AVERAGE PRICE: 0.021000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E89

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Reconstructed**

| | |
|---|---|
| **COMPANY** | **AVITA MEDICAL INC.** |
| SECURITY | CHESS DEPOSITARY INTERESTS 5:1 |

**AVH**

| | |
|---|---|
| [D]E: | 28/01/2021 |
| AS AT DATE: | 28/01/2021 |
| CONFIRMATION NO: | 112725303 |
| ORDER NO: | N138673678 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $3,500.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,480.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **01/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE [F]OLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 44 | 7.000000 |
| 456 | 7.000000 |
| **AVERAGE PRICE:** | **7.000000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 01/02/2021**

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

F90

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ALUMINA LIMITED**

SECURITY: ORDINARY FULLY PAID

**AWC**

| | |
|---|---|
| DATE: | 27/10/2020 |
| AS AT DATE: | 27/10/2020 |
| CONFIRMATION NO: | 108296349 |
| ORDER NO: | N132578356 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $2,860.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,879.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **29/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 1.430000 |

**AVERAGE PRICE: 1.430000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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CommSec

E91

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: ALUMINA LIMITED**

SECURITY: ORDINARY FULLY PAID

**AWC**

| | |
|---|---|
| DATE: | 28/08/2020 |
| AS AT DATE: | 28/08/2020 |
| CONFIRMATION NO: | 105248427 |
| ORDER NO: | N127811880 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,610.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,629.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **01/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.610000 |

**AVERAGE PRICE: 1.610000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

E92

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: ALUMINA LIMITED**

SECURITY: ORDINARY FULLY PAID

**AWC**

| | |
|---|---|
| DATE: | 22/09/2020 |
| AS AT DATE: | 22/09/2020 |
| CONFIRMATION NO: | 106558563 |
| ORDER NO: | N130129945 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,430.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,449.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.430000 |

**AVERAGE PRICE: 1.430000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

F93

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

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**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ALUMINA LIMITED**

SECURITY: ORDINARY FULLY PAID

**AWC**

| | |
|---|---|
| DATE: | 29/09/2020 |
| AS AT DATE: | 29/09/2020 |
| CONFIRMATION NO: | 106881818 |
| ORDER NO: | N130594509 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,440.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(m[illegible]clude printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,459.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **01/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.440000 |

**AVERAGE PRICE: 1.440000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# SELL E94

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **ALUMINA LIMITED**
SECURITY ORDINARY FULLY PAID

**AWC**

| | |
|---|---|
| DA[illegible] | 09/07/2020 |
| AS AT DATE: | 09/07/2020 |
| CONFIRMATION NO: | 102759343 |
| ORDER NO: | N124756853 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $3,235.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,215.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **13/07/2020** |

| UNITS | AT PRICE |
|---|---|
| 2,000 | 1.617500 |
| **AVERAGE PRICE:** | **1.617500** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SE[illegible]EMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOL[illegible]OWING DAY.**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 13/07/2020**

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E95

CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: AURIZON HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**AZJ**

| | |
|---|---|
| DATE: | 05/07/2021 |
| AS AT DATE: | 05/07/2021 |
| CONFIRMATION NO: | 120236139 |
| ORDER NO: | N148869730 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 440 |
| CONSIDERATION (AUD): | $1,691.80 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,711.75** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/07/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 440 | 3.845000 |

**AVERAGE PRICE: 3.845000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

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Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: AURIZON HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**AZJ**

| | |
|---|---|
| DATE: | 10/05/2021 |
| AS AT DATE: | 10/05/2021 |
| CONFIRMATION NO: | 118048292 |
| ORDER NO: | N145977642 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 800 |
| CONSIDERATION (AUD): | $2,952.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,971.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 800 | 3.690000 |

**AVERAGE PRICE: 3.690000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

E97

# BUY

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: AURIZON HOLDINGS LIMITED**

**AZJ**

SECURITY: ORDINARY FULLY PAID

| | |
|---|---|
| DATE: | 24/02/2021 |
| AS AT DATE: | 24/02/2021 |
| CONFIRMATION NO: | 114571638 |
| ORDER NO: | N141208902 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $786.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$796.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **26/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 200 | 3.930000 |

**AVERAGE PRICE: 3.930000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: AURIZON HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**AZJ**

| | |
|---|---|
| DATE: | 18/02/2021 |
| AS AT DATE: | 18/02/2021 |
| CONFIRMATION NO: | 114223915 |
| ORDER NO: | N140725562 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,382.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,401.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **22/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 600 | 3.970000 |

**AVERAGE PRICE: 3.970000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

E99

# BUY

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: AURIZON HOLDINGS LIMITED**

CURITY: ORDINARY FULLY PAID

**AZJ**

| | |
|---|---|
| DATE: | 15/02/2021 |
| AS AT DATE: | 15/02/2021 |
| CONFIRMATION NO: | 113739720 |
| ORDER NO: | N140069016 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,010.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,029.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **17/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 4.020000 |

**AVERAGE PRICE: 4.020000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E100

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BENDIGO AND ADELAIDE BANK LIMITED**
SECURITY ORDINARY FULLY PAID

**BEN**

| | |
|---|---|
| DATE: | 20/08/2020 |
| AS AT DATE: | 20/08/2020 |
| CONFIRMATION NO: | 104719515 |
| ORDER NO: | N127525123 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $2,570.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,550.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/08/2020** |

| UNITS | AT PRICE |
|---|---|
| 400 | 6.425000 |

**AVERAGE PRICE: 6.425000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 24/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E101

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BENDIGO AND ADELAIDE BANK LIMITED**

SECURITY ORDINARY FULLY PAID

**BEN**

| | |
|---|---|
| DATE: | 08/09/2020 |
| AS AT DATE: | 08/09/2020 |
| CONFIRMATION NO: | 105828063 |
| ORDER NO: | N129089978 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $648.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$638.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **10/09/2020** |

UNITS AT PRICE

100 6.480000

**AVERAGE PRICE: 6.480000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 10/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E102

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BELLEVUE GOLD LIMITED**
SECURITY ORDINARY FULLY PAID

**BGL**

| | |
|---|---|
| DATE: | 15/02/2021 |
| AT DATE: | 15/02/2021 |
| CONFIRMATION NO: | 113727086 |
| ORDER NO: | N140073700 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 3,500 |
| CONSIDERATION (AUD): | $3,430.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,410.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **17/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 3,500 | 0.980000 |
| **AVERAGE PRICE:** | **0.980000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 17/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL 103

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | | |
|---|---|---|
| **COMPANY** | **BELLEVUE GOLD LIMITED** | **BGL** |
| SECURITY | ORDINARY FULLY PAID | |

| | |
|---|---|
| DATE | 27/01/2021 |
| AS AT DATE: | 27/01/2021 |
| CONFIRMATION NO: | 112603702 |
| ORDER NO: | N138518048 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,032.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,012.55** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **29/01/2021** |

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.032500 |
| **AVERAGE PRICE:** | **1.032500** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 29/01/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E104

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **BELLEVUE GOLD LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**BGL**

| | |
|---|---|
| DATE: | 04/01/2021 |
| AS AT DATE: | 04/01/2021 |
| CONFIRMATION NO: | 111260087 |
| ORDER NO: | N136678739 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $565.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$555.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **06/01/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 500 | 1.130000 |

**AVERAGE PRICE: 1.130000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 06/01/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# BUY

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Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: BELLEVUE GOLD LIMITED**

SECURITY: ORDINARY FULLY PAID

**BGL**

| | |
|---|---|
| DATE: | 10/11/2020 |
| AS AT DATE: | 10/11/2020 |
| CONFIRMATION NO: | 108973889 |
| ORDER NO: | N133527485 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $2,660.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,679.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 1.330000 |

**AVERAGE PRICE: 1.330000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E106

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: BELLEVUE GOLD LIMITED**

SECURITY: ORDINARY FULLY PAID

**BGL**

| | |
|---|---|
| DATE: | 31/07/2020 |
| AS AT DATE: | 31/07/2020 |
| CONFIRMATION NO: | 103777435 |
| ORDER NO: | N126186426 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,300 |
| CONSIDERATION (AUD): | $1,326.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,345.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **04/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,300 | 1.020000 |

**AVERAGE PRICE: 1.020000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

E107

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BHP GROUP LIMITED**
SECURITY ORDINARY FULLY PAID

**BHP**

| | |
|---|---|
| DATE: | 05/10/2020 |
| AS AT DATE: | 05/10/2020 |
| CONFIRMATION NO: | 107147395 |
| ORDER NO: | N130962263 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $3,601.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,581.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 36.010000 |

**AVERAGE PRICE: 36.010000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E108

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BHP GROUP LIMITED**
SECURITY ORDINARY FULLY PAID

**BHP**

| | |
|---|---|
| DATE: | 23/09/2020 |
| AS AT DATE: | 23/09/2020 |
| CONFIRMATION NO: | 106643025 |
| ORDER NO: | N130247740 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $3,698.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,678.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **25/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 36.980000 |

**AVERAGE PRICE: 36.980000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 25/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: BOSS ENERGY LTD**

SECURITY: ORDINARY FULLY PAID

**BOE**

| | |
|---|---|
| DATE: | 12/04/2021 |
| AS AT DATE: | 12/04/2021 |
| CONFIRMATION NO: | 116778186 |
| ORDER NO: | N144057208 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 3,200 |
| CONSIDERATION (AUD): | $480.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$490.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **14/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

UNITS AT PRICE

3,200 0.150000

**AVERAGE PRICE: 0.150000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

E110

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

## CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**CO...PANY: BOSS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**BOE**

| | |
|---|---|
| DATE: | 01/10/2020 |
| AS AT DATE: | 01/10/2020 |
| CONFIRMATION NO: | 107020234 |
| ORDER NO: | N130761306 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 8,000 |
| CONSIDERATION (AUD): | $536.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(m...clude printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$546.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **05/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 8,000 | 0.067000 |

**AVERAGE PRICE: 0.067000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
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Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | | |
|---|---|---|
| **COMPANY** | **BIGTINCAN HOLDINGS LIMITED** | **BTH** |
| SECURITY | ORDINARY FULLY PAID | |

| | |
|---|---|
| DATE: | 12/04/2021 |
| AS AT DATE: | 12/04/2021 |
| CONFIRMATION NO: | 116833700 |
| ORDER NO: | N144357503 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $505.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$495.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **14/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 500 | 1.010000 |
| **AVERAGE PRICE:** | **1.010000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 14/04/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E112

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: BIGTINCAN HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**BTH**

| | |
|---|---|
| DATE: | 21/10/2020 |
| AS AT DATE: | 21/10/2020 |
| CONFIRMATION NO: | 107951347 |
| ORDER NO: | N131387161 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $730.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(must include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$740.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **23/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 1.460000 |

**AVERAGE PRICE: 1.460000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

SEL113

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **COCA-COLA AMATIL LIMITED**
SECURITY ORDINARY FULLY PAID

**CCL**

| | |
|---|---|
| DATE: | 02/11/2020 |
| AS AT DATE: | 02/11/2020 |
| CONFIRMATION NO: | 108546558 |
| ORDER NO: | N132932223 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $2,485.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,465.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **04/11/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 200 | 12.425000 |

**AVERAGE PRICE: 12.425000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 04/11/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SEEL[14]

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **COCA-COLA AMATIL LIMITED**
SECURITY ORDINARY FULLY PAID

**CCL**

| | |
|---|---|
| DATE: | 28/10/2020 |
| AS AT DATE: | 28/10/2020 |
| CONFIRMATION NO: | 108318483 |
| ORDER NO: | N132619427 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $3,741.03 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,721.08** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **30/10/2020** |

| UNITS | AT PRICE |
|---|---|
| 6 | 12.475000 |
| 294 | 12.470000 |
| **AVERAGE PRICE:** | **12.470100** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 30/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E115

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: CITY CHIC COLLECTIVE LIMITED**

SECURITY: ORDINARY FULLY PAID

**CCX**

| | |
|---|---|
| DATE: | 05/08/2020 |
| AS AT DATE: | 05/08/2020 |
| CONFIRMATION NO: | 103974937 |
| ORDER NO: | N126460450 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,335.98 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,355.93** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 395 | 3.340000 |
| 5 | 3.335000 |

**AVERAGE PRICE: 3.339938**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E116

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **CITY CHIC COLLECTIVE LIMITED**

SECURITY ORDINARY FULLY PAID

**CCX**

| | |
|---|---|
| DATE: | 27/08/2020 |
| AS AT DATE: | 27/08/2020 |
| CONFIRMATION NO: | 105134831 |
| ORDER NO: | N128113643 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,324.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,304.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **31/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 400 | 3.310000 |

**AVERAGE PRICE: 3.310000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 31/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: CITY CHIC COLLECTIVE LIMITED**

SECURITY: ORDINARY FULLY PAID

**CCX**

| | |
|---|---|
| DATE: | 01/02/2021 |
| AS AT DATE: | 01/02/2021 |
| CONFIRMATION NO: | 112880736 |
| ORDER NO: | N138881923 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $772.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$782.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **03/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 200 | 3.860000 |

**AVERAGE PRICE: 3.860000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **CITY CHIC COLLECTIVE LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**CCX**

| | |
|---|---|
| DATE: | 24/02/2021 |
| AT DATE: | 24/02/2021 |
| CONFIRMATION NO: | 114552417 |
| ORDER NO: | N141194329 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $794.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$784.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **26/02/2021** |

| UNITS | AT PRICE |
|---|---|
| 200 | 3.970000 |
| **AVERAGE PRICE:** | **3.970000** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 26/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **CODAN LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**CDA**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113950275 |
| ORDER NO: | N140364546 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,317.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,297.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 13.170000 |

**AVERAGE PRICE: 13.170000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E120

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **CODAN LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**CDA**

| | |
|---|---|
| DATE: | 30/06/2021 |
| S AT DATE: | 30/06/2021 |
| CONFIRMATION NO: | 120063438 |
| ORDER NO: | N148633979 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,822.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,802.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **02/07/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 18.220000 |

**AVERAGE PRICE: 18.220000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 02/07/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **CHALLENGER LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**CGF**

| | |
|---|---|
| DATE: | 11/08/2020 |
| AS AT DATE: | 11/08/2020 |
| CONFIRMATION NO: | 104230883 |
| ORDER NO: | N126830928 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,200 |
| CONSIDERATION (AUD): | $4,908.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$4,888.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **13/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 631 | 4.090000 |
| 569 | 4.090000 |
| **AVERAGE PRICE:** | **4.090000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 13/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

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CommSec

E122

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **THE CITADEL GROUP LIMITED**

SECURITY ORDINARY FULLY PAID

**CGL**

| | |
|---|---|
| DATE: | 15/09/2020 |
| AS AT DATE: | 15/09/2020 |
| CONFIRMATION NO: | 106208201 |
| ORDER NO: | N129637528 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $5,570.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$5,550.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **17/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 5.570000 |
| **AVERAGE PRICE:** | **5.570000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 17/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

F123

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: CLEAN TEQ HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**CLQ**

| | |
|---|---|
| DATE: | 08/09/2020 |
| AS AT DATE: | 08/09/2020 |
| CONFIRMATION NO: | 105826800 |
| ORDER NO: | N129018784 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $640.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$650.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **10/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 0.320000 |

**AVERAGE PRICE: 0.320000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E124

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: CLEAN TEQ HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**CLQ**

| | |
|---|---|
| DATE: | 10/09/2020 |
| AS AT DATE: | 10/09/2020 |
| CONFIRMATION NO: | 105982855 |
| ORDER NO: | N129312414 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $690.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$700.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **14/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 0.345000 |

**AVERAGE PRICE: 0.345000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E125

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **CAPRICORN METALS LTD**
SECURITY ORDINARY FULLY PAID

**CMM**

| | |
|---|---|
| DATE | 27/01/2021 |
| AS AT DATE: | 27/01/2021 |
| CONFIRMATION NO: | 112603191 |
| ORDER NO: | N138517514 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 620 |
| CONSIDERATION (AUD): | $1,055.55 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,035.60** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **29/01/2021** |

| UNITS | AT PRICE |
|---|---|
| 620 | 1.702500 |

**AVERAGE PRICE: 1.702500**

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 29/01/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E126

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: CENTURIA OFFICE REIT**

SECURITY: ORDINARY UNITS FULLY PAID

**COF**

| | |
|---|---|
| DATE: | 07/08/2020 |
| AS AT DATE: | 07/08/2020 |
| CONFIRMATION NO: | 104066296 |
| ORDER NO: | N126593107 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,950.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,969.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.950000 |

**AVERAGE PRICE: 1.950000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E127

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: CENTURIA OFFICE REIT**

SECURITY: ORDINARY UNITS FULLY PAID

**COF**

| | |
|---|---|
| DATE: | 14/08/2020 |
| AS AT DATE: | 14/08/2020 |
| CONFIRMATION NO: | 104412567 |
| ORDER NO: | N127026594 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,930.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,949.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.930000 |

**AVERAGE PRICE: 1.930000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: COLES GROUP LIMITED.**

SECURITY: ORDINARY FULLY PAID

**COL**

| | |
|---|---|
| DATE: | 17/05/2021 |
| AS AT DATE: | 17/05/2021 |
| CONFIRMATION NO: | 118345771 |
| ORDER NO: | N146370114 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $3,271.55 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,291.50** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **19/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS AT PRICE | |
|---|---|
| 155 | 16.360000 |
| 45 | 16.350000 |

**AVERAGE PRICE: 16.357750**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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https://www.portphillippublishing.com.au/2021/04/23/take-up-regis-resources-share-offer-and-buy-for-new-members/

CommSec

# SELL E129

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **CASHREWARDS LIMITED**
SECURITY ORDINARY FULLY PAID

**CRW**

| | |
|---|---|
| DATE: | 01/03/2021 |
| AS AT DATE: | 01/03/2021 |
| NFIRMATION NO: | 114896908 |
| ORDER NO: | N141658058 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,655.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,635.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **03/03/2021** |

UNITS AT PRICE

1,000 1.655000

**AVERAGE PRICE: 1.655000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 03/03/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

BUY F130

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: CASHREWARDS LIMITED**

SECURITY: ORDINARY FULLY PAID

**CRW**

| | |
|---|---|
| DATE: | 25/01/2021 |
| AS AT DATE: | 25/01/2021 |
| CONFIRMATION NO: | 112421382 |
| ORDER NO: | N138276699 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,700.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,719.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **28/01/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.700000 |

**AVERAGE PRICE: 1.700000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

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CommSec

# SELL E131

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **DACIAN GOLD LIMITED**
SECURITY ORDINARY FULLY PAID

**DCN**

| | |
|---|---|
| DATE: | 12/02/2021 |
| AT DATE: | 12/02/2021 |
| CONFIRMATION NO: | 113708369 |
| ORDER NO: | N140026410 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 3,200 |
| CONSIDERATION (AUD): | $1,392.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,372.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **16/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 3,200 | 0.435000 |

**AVERAGE PRICE: 0.435000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 16/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E132

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**W IAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: DACIAN GOLD LIMITED**

SECURITY: ORDINARY FULLY PAID

**DCN**

| | |
|---|---|
| DATE: | 31/12/2020 |
| AS AT DATE: | 31/12/2020 |
| CONFIRMATION NO: | 111246687 |
| ORDER NO: | N136638306 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,200 |
| CONSIDERATION (AUD): | $492.00 |
| **B KERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$502.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **05/01/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,200 | 0.410000 |

**AVERAGE PRICE: 0.410000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: EML PAYMENTS LIMITED**

SECURITY: ORDINARY FULLY PAID

**EML**

| | |
|---|---|
| DATE: | 10/06/2021 |
| AS AT DATE: | 10/06/2021 |
| CONFIRMATION NO: | 119311741 |
| ORDER NO: | N147658156 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,358.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,377.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **15/06/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 600 | 3.930000 |

**AVERAGE PRICE: 3.930000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **EML PAYMENTS LIMITED**
SECURITY ORDINARY FULLY PAID

**EML**

| | |
|---|---|
| DATE: | 15/06/2021 |
| AS AT DATE: | 15/06/2021 |
| CONFIRMATION NO: | 119397630 |
| ORDER NO: | N147783442 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,268.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,248.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **17/06/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 600 | 3.780000 |

**AVERAGE PRICE: 3.780000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 17/06/2021**

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: EVOLUTION MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**EVN**

| | |
|---|---|
| DATE: | 18/03/2021 |
| AS AT DATE: | 18/03/2021 |
| CONFIRMATION NO: | 115809645 |
| ORDER NO: | N142922793 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,110.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,129.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **22/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 4.220000 |

**AVERAGE PRICE: 4.220000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: EVOLUTION MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**EVN**

| | |
|---|---|
| DATE: | 16/03/2021 |
| AS AT DATE: | 16/03/2021 |
| CONFIRMATION NO: | 115691604 |
| ORDER NO: | N142757868 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $4,190.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$4,209.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

UNITS AT PRICE

1,000 4.190000

**AVERAGE PRICE: 4.190000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

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**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: EVOLUTION MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**EVN**

| | |
|---|---|
| DATE: | 17/02/2021 |
| AS AT DATE: | 17/02/2021 |
| CONFIRMATION NO: | 114048744 |
| ORDER NO: | N140502539 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $4,260.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$4,279.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **19/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 4.260000 |

**AVERAGE PRICE: 4.260000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
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Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E138

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: EVOLUTION MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**EVN**

| | |
|---|---|
| DATE: | 07/01/2021 |
| AS AT DATE: | 07/01/2021 |
| CONFIRMATION NO: | 111515464 |
| ORDER NO: | N137019170 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $5,080.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$5,099.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/01/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 5.080000 |

**AVERAGE PRICE: 5.080000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

5139

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: EVOLUTION MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**EVN**

| | |
|---|---|
| DATE: | 04/12/2020 |
| AS AT DATE: | 04/12/2020 |
| CONFIRMATION NO: | 110155060 |
| ORDER NO: | N135162168 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,480.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,499.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **08/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 4.960000 |

**AVERAGE PRICE: 4.960000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

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**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

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**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: EVOLUTION MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**EVN**

| | |
|---|---|
| DATE: | 02/12/2020 |
| AS AT DATE: | 02/12/2020 |
| CONFIRMATION NO: | 110029778 |
| ORDER NO: | N134990450 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,584.18 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,604.13** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **04/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 336 | 5.170000 |
| 164 | 5.165000 |

**AVERAGE PRICE: 5.168360**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **FLIGHT CENTRE TRAVEL GROUP LIMITED**
SECURITY ORDINARY FULLY PAID

**FLT**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113858041 |
| ORDER NO: | N140252281 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,420.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,400.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 14.200000 |

**AVERAGE PRICE: 14.200000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/02/2021**

. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **FLIGHT CENTRE TRAVEL GROUP LIMITED**
SECURITY ORDINARY FULLY PAID

**FLT**

| | |
|---|---|
| DATE: | 17/05/2021 |
| AS AT DATE: | 17/05/2021 |
| CONFIRMATION NO: | 118395212 |
| ORDER NO: | N146426627 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $3,079.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,059.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **19/05/2021** |

| UNITS | AT PRICE |
|---|---|
| 200 | 15.395000 |
| **AVERAGE PRICE:** | **15.395000** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 19/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL[43]

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **BETASHARES GLOBAL ENERGY COMPANIES ETF - CURRENCY HEDGED** |
| SECURITY | BETASHARES GLOBAL ENERGY CO ETF - CURRENCY HEDGED |

**FUEL**

| | |
|---|---|
| DATE: | 11/11/2020 |
| AS AT DATE: | 11/11/2020 |
| CONFIRMATION NO: | 109018616 |
| ORDER NO: | N133591002 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $1,700.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,680.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **13/11/2020** |

**PAY NT METHOD - DIRECT CREDIT TO NOMINATED SET EMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 500 | 3.400000 |

**AVERAGE PRICE: 3.400000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 13/11/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

F144

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: GOLD ROAD RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**GOR**

| | |
|---|---|
| DATE: | 16/09/2020 |
| AS AT DATE: | 16/09/2020 |
| CONFIRMATION NO: | 106278095 |
| ORDER NO: | N129731694 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $3,330.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(Incl. include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,349.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/09/2020** |

UNITS AT PRICE

2,000 1.665000

**AVERAGE PRICE: 1.665000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

E145

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: GOLD ROAD RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**GOR**

| | |
|---|---|
| DATE: | 30/10/2020 |
| AS AT DATE: | 30/10/2020 |
| CONFIRMATION NO: | 108518616 |
| ORDER NO: | N132882809 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,320.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,339.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **03/11/2020** |

UNITS AT PRICE

1,000 1.320000

**AVERAGE PRICE: 1.320000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

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CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

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**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **GOLD ROAD RESOURCES LIMITED**
SECURITY ORDINARY FULLY PAID

**GOR**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113950479 |
| ORDER NO: | N140364773 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,235.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,215.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.235000 |

**AVERAGE PRICE: 1.235000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/02/2021**

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: GOLD ROAD RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**GOR**

| | |
|---|---|
| DATE: | 22/03/2021 |
| AS AT DATE: | 22/03/2021 |
| CONFIRMATION NO: | 115943431 |
| ORDER NO: | N143116554 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,220.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,239.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.220000 |

**AVERAGE PRICE: 1.220000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

SEL[E148]

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **IDP EDUCATION LIMITED**

SECURITY ORDINARY FULLY PAID

**IEL**

| | |
|---|---|
| DAT[illegible] | 05/08/2020 |
| AS AT DATE: | 05/08/2020 |
| CONFIRMATION NO: | 103974820 |
| ORDER NO: | N126460218 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,304.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,284.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/08/2020** |

| UNITS | AT PRICE |
|---|---|
| 100 | 13.040000 |
| **AVERAGE PRICE:** | **13.040000** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FO[illegible]WING DAY.**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E149

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: IOOF HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**IFL**

| | |
|---|---|
| DATE: | 05/10/2020 |
| AS AT DATE: | 05/10/2020 |
| CONFIRMATION NO: | 107147209 |
| ORDER NO: | N130961920 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,180.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(not include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,199.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.180000 |

**AVERAGE PRICE: 3.180000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E150

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: IMDEX LIMITED**

SECURITY: ORDINARY FULLY PAID

**IMD**

| | |
|---|---|
| DATE: | 07/07/2020 |
| AS AT DATE: | 07/07/2020 |
| CONFIRMATION NO: | 102574545 |
| ORDER NO: | N124500341 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $2,295.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,314.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **09/07/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 1.147500 |

**AVERAGE PRICE: 1.147500**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL 151

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **IMDEX LIMITED**

SECURITY ORDINARY FULLY PAID

**IMD**

| | |
|---|---|
| DATE: | 27/10/2020 |
| AS AT DATE: | 27/10/2020 |
| CONFIRMATION NO: | 108296801 |
| ORDER NO: | N132586183 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $2,570.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,550.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **29/10/2020** |

UNITS AT PRICE

2,000 1.285000

**AVERAGE PRICE: 1.285000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**P/ ENT METHOD - DIRECT CREDIT TO NOMINATED SE LEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 29/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E152

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **KINA SECURITIES LIMITED**
SECURITY ORDINARY FULLY PAID

**KSL**

| | |
|---|---|
| DATE: | 05/10/2020 |
| AS AT DATE: | 05/10/2020 |
| CONFIRMATION NO: | 107144868 |
| ORDER NO: | N130958974 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $780.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$770.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **07/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 0.780000 |

**AVERAGE PRICE: 0.780000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E153

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **LYCOPODIUM LIMITED**

SECURITY ORDINARY FULLY PAID

**LYL**

| | |
|---|---|
| DATE: | 02/10/2020 |
| AS AT DATE: | 02/10/2020 |
| CONFIRMATION NO: | 107092341 |
| ORDER NO: | N130883727 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,030.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,010.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **06/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 250 | 4.050000 |
| 250 | 4.070000 |
| **AVERAGE PRICE:** | **4.060000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 06/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL[E]54

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **LYCOPODIUM LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**LYL**

| | |
|---|---|
| DAT[illegible] | 16/12/2020 |
| AS AT DATE: | 16/12/2020 |
| CONFIRMATION NO: | 110740222 |
| ORDER NO: | N134523004 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $940.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$930.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **18/12/2020** |

| UNITS | AT PRICE |
|---|---|
| 200 | 4.700000 |
| **AVERAGE PRICE:** | **4.700000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FO[illegible]WING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 18/12/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E155

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **LYCOPODIUM LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**LYL**

| | |
|---|---|
| DATE: | 07/01/2021 |
| AS AT DATE: | 07/01/2021 |
| CONFIRMATION NO: | 111515226 |
| ORDER NO: | N136898352 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $4,880.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$4,860.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/01/2021** |

**PA[illegible]ENT METHOD - DIRECT CREDIT TO NOMINATED SE[illegible]LEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 4.880000 |

**AVERAGE PRICE: 4.880000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 11/01/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

E156

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: LYCOPODIUM LIMITED**

SECURITY: ORDINARY FULLY PAID

**LYL**

| | |
|---|---|
| DATE: | 22/07/2020 |
| AS AT DATE: | 22/07/2020 |
| CONFIRMATION NO: | 103349944 |
| ORDER NO: | N125579929 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,269.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,288.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/07/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 300 | 4.230000 |

**AVERAGE PRICE: 4.230000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

E157

# SELL

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **MINERAL RESOURCES LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**MIN**

| | |
|---|---|
| DATE: | 17/09/2020 |
| AS AT DATE: | 17/09/2020 |
| CONFIRMATION NO: | 106396152 |
| ORDER NO: | N129899931 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 800 |
| CONSIDERATION (AUD): | $20,058.25 |
| **BROKERAGE & COSTS INCL GST:** | **$29.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$20,028.30** |
| **TOTAL GST:** | **$2.72** |
| **SETTLEMENT DATE:** | **21/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 225 | 25.080000 |
| 575 | 25.070000 |
| **AVERAGE PRICE:** | **25.072813** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 21/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **MINERAL RESOURCES LIMITED**

SECURITY ORDINARY FULLY PAID **MIN**

| | |
|---|---|
| DATE: | 04/09/2020 |
| AS AT DATE: | 04/09/2020 |
| CONFIRMATION NO: | 105696505 |
| ORDER NO: | N128894675 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,825.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,805.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **08/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 28.250000 |
| **AVERAGE PRICE:** | **28.250000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 08/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E159

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY** **MINERAL RESOURCES LIMITED**

SECURITY ORDINARY FULLY PAID

**MIN**

| | |
|---|---|
| TRADE: | 20/08/2020 |
| AS AT DATE: | 20/08/2020 |
| CONFIRMATION NO: | 104685504 |
| ORDER NO: | N127484372 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,683.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,663.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 26.830000 |
| **AVERAGE PRICE:** | **26.830000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 24/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E160

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **MONTGOMERY GLOBAL EQUITIES FUND (MANAGED FUND)**

SECURITY TRADING MANAGED UNITS FULLY PAID — **MOGL**

| | |
|---|---|
| DATE: | 01/07/2020 |
| AS AT DATE: | 01/07/2020 |
| CONFIRMATION NO: | 102352741 |
| ORDER NO: | N124174621 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,288.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,268.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **03/07/2020** |

| UNITS | AT PRICE |
|---|---|
| 400 | 3.220000 |
| **AVERAGE PRICE:** | **3.220000** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 03/07/2020**

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

E161

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **MONTGOMERY GLOBAL EQUITIES FUND (MANAGED FUND)**

SECURITY TRADING MANAGED UNITS FULLY PAID **MOGL**

| | |
|---|---|
| DATE: | 16/10/2020 |
| AS AT DATE: | 16/10/2020 |
| CONFIRMATION NO: | 107735721 |
| ORDER NO: | N131806255 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,088.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,068.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **20/10/2020** |

| UNITS | AT PRICE |
|---|---|
| 600 | 3.480000 |
| **AVERAGE PRICE:** | **3.480000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**P[illegible]MENT METHOD - DIRECT CREDIT TO NOMINATED SE[illegible]LEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 20/10/2020**

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CommSec

E162

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: MEDIBANK PRIVATE LIMITED**

SECURITY: ORDINARY FULLY PAID

**MPL**

| | |
|---|---|
| DATE: | 06/08/2020 |
| AS AT DATE: | 06/08/2020 |
| CONFIRMATION NO: | 104024187 |
| ORDER NO: | N126532282 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $5,640.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(m[illegible]clude printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$5,659.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **10/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 2.820000 |

**AVERAGE PRICE: 2.820000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

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Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

E163

# BUY

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: MEDIBANK PRIVATE LIMITED**

SECURITY: ORDINARY FULLY PAID

**MPL**

| | |
|---|---|
| DATE: | 28/10/2020 |
| AS AT DATE: | 28/10/2020 |
| CONFIRMATION NO: | 108312793 |
| ORDER NO: | N132610861 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,650.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,669.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **30/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.650000 |

**AVERAGE PRICE: 2.650000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

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Email - shares@commsec.com.au
Internet - commsec.com.au

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**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**CO ANY: METCASH LIMITED**

SECURITY: ORDINARY FULLY PAID

**MTS**

| | |
|---|---|
| DATE: | 16/12/2020 |
| AS AT DATE: | 16/12/2020 |
| CONFIRMATION NO: | 110710809 |
| ORDER NO: | N135227125 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,500.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,519.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.500000 |

**AVERAGE PRICE: 3.500000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

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Facsimile - 1300 131 519
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Internet - commsec.com.au

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 01/04/2021 |
| AS AT DATE: | 01/04/2021 |
| CONFIRMATION NO: | 116513380 |
| ORDER NO: | N143898195 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,508.72 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,528.67** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 44 | 25.090000 |
| 56 | 25.085000 |

**AVERAGE PRICE: 25.087200**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
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Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 25/03/2021 |
| AS AT DATE: | 25/03/2021 |
| CONFIRMATION NO: | 116144837 |
| ORDER NO: | N143397239 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,532.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,551.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **29/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 25.320000 |

**AVERAGE PRICE: 25.320000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 26/02/2021 |
| AS AT DATE: | 26/02/2021 |
| CONFIRMATION NO: | 114820304 |
| ORDER NO: | N141549946 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,470.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,489.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **02/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 24.700000 |

**AVERAGE PRICE: 24.700000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113949884 |
| ORDER NO: | N140363998 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,571.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,590.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 25.710000 |

**AVERAGE PRICE: 25.710000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

# BUY

CommSec

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Email - shares@commsec.com.au
Internet - commsec.com.au

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**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113895139 |
| ORDER NO: | N140288778 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,590.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,609.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 25.900000 |

**AVERAGE PRICE: 25.900000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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E170

# BUY

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 15/02/2021 |
| AS AT DATE: | 15/02/2021 |
| CONFIRMATION NO: | 113778598 |
| ORDER NO: | N140135045 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,634.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,653.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **17/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 26.340000 |

**AVERAGE PRICE: 26.340000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

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Internet - commsec.com.au

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**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NEWCREST MINING LIMITED**

CURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 12/02/2021 |
| AS AT DATE: | 12/02/2021 |
| CONFIRMATION NO: | 113666736 |
| ORDER NO: | N139973407 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,628.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,647.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **16/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 26.280000 |

**AVERAGE PRICE: 26.280000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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E172

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

## CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NEWCREST MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 06/04/2021 |
| AS AT DATE: | 06/04/2021 |
| CONFIRMATION NO: | 116554279 |
| ORDER NO: | N143971192 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,535.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,554.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **08/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 25.350000 |

**AVERAGE PRICE: 25.350000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

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Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NEWCREST MINING LIMITED**

CURITY: ORDINARY FULLY PAID

**NCM**

| | |
|---|---|
| DATE: | 12/02/2021 |
| AS AT DATE: | 12/02/2021 |
| CONFIRMATION NO: | 113686389 |
| ORDER NO: | N139997903 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,605.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,624.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **16/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

UNITS AT PRICE

100 26.050000

**AVERAGE PRICE: 26.050000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

# SELL E174

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **NELSON RESOURCES LIMITED.**
SECURITY ORDINARY FULLY PAID

**NES**

| | |
|---|---|
| DATE: | 15/02/2021 |
| AT DATE: | 15/02/2021 |
| CONFIRMATION NO: | 113823301 |
| ORDER NO: | N140189806 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 5,300 |
| CONSIDERATION (AUD): | $503.50 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$493.50** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **17/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 5,300 | 0.095000 |

**AVERAGE PRICE: 0.095000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 17/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

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CommSec

E175

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NELSON RESOURCES LIMITED.**

SECURITY: ORDINARY FULLY PAID

**NES**

| | |
|---|---|
| DATE: | 03/02/2021 |
| AS AT DATE: | 03/02/2021 |
| CONFIRMATION NO: | 113072555 |
| ORDER NO: | N139141732 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 5,300 |
| CONSIDERATION (AUD): | $519.40 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$529.40** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **05/02/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 5,300 | 0.098000 |

**AVERAGE PRICE: 0.098000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NORTHERN STAR RESOURCES LTD**

SECURITY: ORDINARY FULLY PAID

**NST**

| | |
|---|---|
| DATE: | 03/03/2021 |
| AS AT DATE: | 03/03/2021 |
| CONFIRMATION NO: | 115020316 |
| ORDER NO: | N141827699 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $1,952.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,971.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **05/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 200 | 9.760000 |

**AVERAGE PRICE: 9.760000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

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Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

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**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: NORTHERN STAR RESOURCES LTD**

SECURITY: ORDINARY FULLY PAID

**NST**

| | |
|---|---|
| DATE: | 03/03/2021 |
| AS AT DATE: | 03/03/2021 |
| CONFIRMATION NO: | 115073345 |
| ORDER NO: | N141899399 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $1,987.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,006.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **05/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 200 | 9.935000 |

**AVERAGE PRICE: 9.935000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NORTHERN STAR RESOURCES LTD**

SECURITY: ORDINARY FULLY PAID

**NST**

| | |
|---|---|
| DATE: | 19/04/2021 |
| AS AT DATE: | 19/04/2021 |
| CONFIRMATION NO: | 117141887 |
| ORDER NO: | N144777646 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $4,580.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$4,599.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 400 | 11.450000 |

**AVERAGE PRICE: 11.450000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NORTHERN STAR RESOURCES LTD** — **NST**

SECURITY: ORDINARY FULLY PAID

| | |
|---|---|
| DATE: | 06/05/2021 |
| AS AT DATE: | 06/05/2021 |
| CONFIRMATION NO: | 117912629 |
| ORDER NO: | N145797527 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 240 |
| CONSIDERATION (AUD): | $2,542.80 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,562.75** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **10/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 240 | 10.595000 |

**AVERAGE PRICE: 10.595000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NORTHERN STAR RESOURCES LTD**

SECURITY: ORDINARY FULLY PAID

**NST**

| | |
|---|---|
| DATE: | 07/05/2021 |
| AS AT DATE: | 07/05/2021 |
| CONFIRMATION NO: | 118007275 |
| ORDER NO: | N145919896 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 188 |
| CONSIDERATION (AUD): | $2,045.44 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,065.39** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 188 | 10.880000 |

**AVERAGE PRICE: 10.880000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NORTHERN STAR RESOURCES LTD**

SECURITY: ORDINARY FULLY PAID

**NST**

| | |
|---|---|
| DATE: | 26/05/2021 |
| AS AT DATE: | 26/05/2021 |
| CONFIRMATION NO: | 118740702 |
| ORDER NO: | N146867208 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 220 |
| CONSIDERATION (AUD): | $2,538.80 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,558.75** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **28/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 220 | 11.540000 |

**AVERAGE PRICE: 11.540000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NRW HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**NWH**

| | |
|---|---|
| DATE: | 19/05/2021 |
| AS AT DATE: | 19/05/2021 |
| CONFIRMATION NO: | 118472575 |
| ORDER NO: | N146523200 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,580.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,599.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.580000 |

**AVERAGE PRICE: 1.580000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

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CommSec

# BUY

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Internet - commsec.com.au

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**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: NRW HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**NWH**

| | |
|---|---|
| DATE: | 21/06/2021 |
| AS AT DATE: | 21/06/2021 |
| CONFIRMATION NO: | 119679931 |
| ORDER NO: | N148108588 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,370.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,389.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **23/06/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.370000 |

**AVERAGE PRICE: 1.370000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

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CommSec

# SELL E184

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Internet - commsec.com.au

**TAX INVOICE**

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**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **OCEANAGOLD CORPORATION**
SECURITY CHESS DEPOSITARY INTEREST 1:1

**OGC**

| | |
|---|---|
| DATE: | 12/02/2021 |
| AT DATE: | 12/02/2021 |
| CONFIRMATION NO: | 113682208 |
| ORDER NO: | N139993976 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,260.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,240.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **16/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.260000 |
| **AVERAGE PRICE:** | **2.260000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 16/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

E185

# BUY

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**W[illegible]AVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: OCEANAGOLD CORPORATION**

SECURITY: CHESS DEPOSITARY INTEREST 1:1

**OGC**

| | |
|---|---|
| DATE: | 02/10/2020 |
| AS AT DATE: | 02/10/2020 |
| CONFIRMATION NO: | 107063467 |
| ORDER NO: | N130823864 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,140.00 |
| **B[illegible]KERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,159.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **06/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.140000 |

**AVERAGE PRICE: 2.140000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E186

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BETASHARES CRUDE OIL INDEX ETF-CURRENCY HEDGED (SYNTHETIC)**
SECURITY BETASHARES CRUDE OIL INDEX ETF - CH (SYNTHETIC)

**OOO**

| | |
|---|---|
| DATE: | 21/10/2020 |
| AS AT DATE: | 21/10/2020 |
| CONFIRMATION NO: | 107956218 |
| ORDER NO: | N132118683 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $816.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$806.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **23/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 200 | 4.080000 |

**AVERAGE PRICE: 4.080000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 23/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BETASHARES CRUDE OIL INDEX ETF-CURRENCY HEDGED (SYNTHETIC)**
SECURITY BETASHARES CRUDE OIL INDEX ETF - CH (SYNTHETIC)

**OOO**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113897313 |
| ORDER NO: | N140295435 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,743.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,723.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 300 | 5.810000 |
| **AVERAGE PRICE:** | **5.810000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/02/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **BETASHARES CRUDE OIL INDEX ETF-CURRENCY HEDGED (SYNTHETIC)** |
| SECURITY | BETASHARES CRUDE OIL INDEX ETF - CH (SYNTHETIC) |

**OOO**

| | |
|---|---|
| DATE: | 22/03/2021 |
| AS AT DATE: | 22/03/2021 |
| CONFIRMATION NO: | 115950426 |
| ORDER NO: | N143126453 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $1,176.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,156.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 200 | 5.880000 |
| **AVERAGE PRICE:** | **5.880000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 24/03/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **BETASHARES CRUDE OIL INDEX ETF-CURRENCY HEDGED (SYNTHETIC)** |
| SECURITY | BETASHARES CRUDE OIL INDEX ETF - CH (SYNTHETIC) |

**OOO**

| | |
|---|---|
| DATE: | 19/04/2021 |
| AS AT DATE: | 19/04/2021 |
| CONFIRMATION NO: | 117141990 |
| ORDER NO: | N144777742 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 700 |
| CONSIDERATION (AUD): | $4,256.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$4,236.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 700 | 6.080000 |
| **AVERAGE PRICE:** | **6.080000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 21/04/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E190

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BETASHARES CRUDE OIL INDEX ETF-CURRENCY HEDGED (SYNTHETIC)**

SECURITY EXCHANGE TRADED FUND UNITS FULLY PAID

**OOO**

| | |
|---|---|
| DATE: | 19/10/2020 |
| AS AT DATE: | 19/10/2020 |
| CONFIRMATION NO: | 107829040 |
| ORDER NO: | N131940664 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,616.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,596.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/10/2020** |

| UNITS | AT PRICE |
|---|---|
| 400 | 4.040000 |

**AVERAGE PRICE: 4.040000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 21/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

SELL 191

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **BETASHARES CRUDE OIL INDEX ETF-CURRENCY HEDGED (SYNTHETIC)**

SECURITY EXCHANGE TRADED FUND UNITS FULLY PAID

**OOO**

| | |
|---|---|
| DATE: | 10/09/2020 |
| AS AT DATE: | 10/09/2020 |
| CONFIRMATION NO: | 106036612 |
| ORDER NO: | N129386754 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $758.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$748.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **14/09/2020** |

| UNITS | AT PRICE |
|---|---|
| 200 | 3.790000 |
| **AVERAGE PRICE:** | **3.790000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 14/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

SEL92

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **OROCOBRE LIMITED**
SECURITY ORDINARY FULLY PAID

**ORE**

| | |
|---|---|
| DAT | 26/11/2020 |
| AS AT DATE: | 26/11/2020 |
| CONFIRMATION NO: | 109763641 |
| ORDER NO: | N134409081 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,600.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,580.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **30/11/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 400 | 4.000000 |

**AVERAGE PRICE: 4.000000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 30/11/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL E193

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **OROCOBRE LIMITED**

SECURITY ORDINARY FULLY PAID

**ORE**

| | |
|---|---|
| DATE: | 13/01/2021 |
| AS AT DATE: | 13/01/2021 |
| CONFIRMATION NO: | 111783372 |
| ORDER NO: | N137389805 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $1,024.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,004.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **15/01/2021** |

| UNITS | AT PRICE |
|---|---|
| 200 | 5.120000 |

**AVERAGE PRICE: 5.120000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 15/01/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL 5194

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **OROCOBRE LIMITED**
SECURITY ORDINARY FULLY PAID

**ORE**

| | |
|---|---|
| DATE: | 21/06/2021 |
| AS AT DATE: | 21/06/2021 |
| CONFIRMATION NO: | 119682044 |
| ORDER NO: | N148133629 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,704.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,684.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **23/06/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 300 | 5.680000 |

**AVERAGE PRICE: 5.680000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 23/06/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **OIL SEARCH LIMITED**

SECURITY 10 TOEA ORDINARY FULLY PAID **OSH**

| | |
|---|---|
| DA | 17/12/2020 |
| AS AT DATE: | 17/12/2020 |
| CONFIRMATION NO: | 110776704 |
| ORDER NO: | N136000959 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $1,810.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,790.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/12/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SE EMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FO OWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 500 | 3.620000 |
| **AVERAGE PRICE:** | **3.620000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 21/12/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | | |
|---|---|---|
| **COMPANY** | **OZ MINERALS LIMITED** | **OZL** |
| SECURITY | ORDINARY FULLY PAID | |

| | |
|---|---|
| DATE: | 10/06/2021 |
| AS AT DATE: | 10/06/2021 |
| CONFIRMATION NO: | 119312271 |
| ORDER NO: | N147660130 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 110 |
| CONSIDERATION (AUD): | $2,777.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,757.55** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **15/06/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 110 | 25.250000 |
| **AVERAGE PRICE:** | **25.250000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 15/06/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **OZ MINERALS LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**OZL**

| | |
|---|---|
| DATE: | 07/05/2021 |
| AS AT DATE: | 07/05/2021 |
| CONFIRMATION NO: | 117992758 |
| ORDER NO: | N145902251 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 90 |
| CONSIDERATION (AUD): | $2,321.10 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,301.15** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 90 | 25.790000 |
| **AVERAGE PRICE:** | **25.790000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 11/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: PENDAL GROUP LIMITED**

SECURITY: ORDINARY FULLY PAID

**PDL**

| | |
|---|---|
| DATE: | 02/12/2020 |
| AS AT DATE: | 02/12/2020 |
| CONFIRMATION NO: | 110031830 |
| ORDER NO: | N134954689 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $2,157.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,176.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **04/12/2020** |

UNITS AT PRICE

300 7.190000

**AVERAGE PRICE: 7.190000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

E199

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: PENDAL GROUP LIMITED**

SECURITY: ORDINARY FULLY PAID

**PDL**

| | |
|---|---|
| DATE: | 12/01/2021 |
| AS AT DATE: | 12/01/2021 |
| CONFIRMATION NO: | 111700522 |
| ORDER NO: | N137277228 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $2,046.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,065.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **14/01/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 300 | 6.820000 |

**AVERAGE PRICE: 6.820000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

E200

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: PENDAL GROUP LIMITED**

SECURITY: ORDINARY FULLY PAID

**PDL**

| | |
|---|---|
| DATE: | 01/03/2021 |
| AS AT DATE: | 01/03/2021 |
| CONFIRMATION NO: | 114896636 |
| ORDER NO: | N141657793 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,813.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,833.45** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **03/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 300 | 6.045000 |

**AVERAGE PRICE: 6.045000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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CommSec

# SELL E201

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **PROVINCE RESOURCES LTD** — **PRL**

SECURITY ORDINARY FULLY PAID

| | |
|---|---|
| DATE: | 17/02/2021 |
| AT DATE: | 17/02/2021 |
| CONFIRMATION NO: | 114015170 |
| ORDER NO: | N140455445 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 22,667 |
| CONSIDERATION (AUD): | $1,496.02 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,476.07** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **19/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 22,667 | 0.066000 |

**AVERAGE PRICE: 0.066000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**
**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 19/02/2021**

This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E202

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: PLATINUM ASSET MANAGEMENT LIMITED**

SECURITY: ORDINARY FULLY PAID

**PTM**

| | |
|---|---|
| DATE: | 27/08/2020 |
| AS AT DATE: | 27/08/2020 |
| CONFIRMATION NO: | 105133810 |
| ORDER NO: | N128112528 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,500.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(Includes printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,519.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **31/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 400 | 3.750000 |

**AVERAGE PRICE: 3.750000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **PLATINUM ASSET MANAGEMENT LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**PTM**

| | |
|---|---|
| DATE: | 01/07/2020 |
| AS AT DATE: | 01/07/2020 |
| CONFIRMATION NO: | 102303281 |
| ORDER NO: | N124107258 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,226.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,206.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **03/07/2020** |

| UNITS | AT PRICE |
|---|---|
| 600 | 3.710000 |

**AVERAGE PRICE: 3.710000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 03/07/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E204

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: QUICKFEE LIMITED**

SECURITY: ORDINARY FULLY PAID

**QFE**

| | |
|---|---|
| DATE: | 31/08/2020 |
| AS AT DATE: | 31/08/2020 |
| CONFIRMATION NO: | 105357979 |
| ORDER NO: | N128313450 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $820.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(...y include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$830.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **02/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 0.820000 |

**AVERAGE PRICE: 0.820000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E205

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: QUICKFEE LIMITED**

SECURITY: ORDINARY FULLY PAID

**QFE**

| | |
|---|---|
| DATE: | 09/10/2020 |
| AS AT DATE: | 09/10/2020 |
| CONFIRMATION NO: | 107389289 |
| ORDER NO: | N131314598 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $540.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$550.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **13/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

UNITS AT PRICE

1,000 0.540000

**AVERAGE PRICE: 0.540000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **QANTM INTELLECTUAL PROPERTY LIMITED**

SECURITY ORDINARY FULLY PAID **QIP**

| | |
|---|---|
| DATE: | 05/10/2020 |
| AS AT DATE: | 05/10/2020 |
| CONFIRMATION NO: | 107136861 |
| ORDER NO: | N130953294 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,005.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$985.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.005000 |

**AVERAGE PRICE: 1.005000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E207

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: RECKON LIMITED**

SECURITY: ORDINARY FULLY PAID

**RKN**

| | |
|---|---|
| DATE: | 31/08/2020 |
| AS AT DATE: | 31/08/2020 |
| CONFIRMATION NO: | 105345950 |
| ORDER NO: | N128313463 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $845.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(...y include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$855.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **02/09/2020** |

UNITS AT PRICE

1,000 0.845000

**AVERAGE PRICE: 0.845000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **RECKON LIMITED**
SECURITY ORDINARY FULLY PAID

**RKN**

| | |
|---|---|
| DATE: | 16/02/2021 |
| AS AT DATE: | 16/02/2021 |
| CONFIRMATION NO: | 113895870 |
| ORDER NO: | N140293888 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $870.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$860.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **18/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 0.870000 |

**AVERAGE PRICE: 0.870000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/02/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

BUY 5309

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: RAMELIUS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RMS**

| | |
|---|---|
| DATE: | 25/11/2020 |
| AS AT DATE: | 25/11/2020 |
| CONFIRMATION NO: | 109706017 |
| ORDER NO: | N134361761 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,660.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,679.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **27/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.660000 |

**AVERAGE PRICE: 1.660000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

BUY

5210

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: RED MOUNTAIN MINING LIMITED**

SECURITY: ORDINARY FULLY PAID

**RMX**

| | |
|---|---|
| DATE: | 29/10/2020 |
| AS AT DATE: | 29/10/2020 |
| CONFIRMATION NO: | 108382445 |
| ORDER NO: | N132706340 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 40,000 |
| CONSIDERATION (AUD): | $560.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$570.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **02/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 40,000 | 0.014000 |

**AVERAGE PRICE: 0.014000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E211

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **RED MOUNTAIN MINING LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**RMX**

| | |
|---|---|
| DATE: | 18/03/2021 |
| AS AT DATE: | 18/03/2021 |
| CONFIRMATION NO: | 115812922 |
| ORDER NO: | N142931643 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 40,000 |
| CONSIDERATION (AUD): | $440.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$430.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **22/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 40,000 | 0.011000 |

**AVERAGE PRICE: 0.011000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 22/03/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

E212

Terms and Conditions Privacy Policy

© 2021 Computershare Limited

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **REGIS RESOURCES LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**RRL**

| | |
|---|---|
| DATE: | 10/05/2021 |
| AS AT DATE: | 10/05/2021 |
| CONFIRMATION NO: | 118048532 |
| ORDER NO: | N145977975 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,100 |
| CONSIDERATION (AUD): | $2,944.59 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,924.64** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 759 | 2.680000 |
| 341 | 2.670000 |
| **AVERAGE PRICE:** | **2.676900** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 12/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **REGIS RESOURCES LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**RRL**

| | |
|---|---|
| DATE: | 10/05/2021 |
| AS AT DATE: | 10/05/2021 |
| CONFIRMATION NO: | 118049609 |
| ORDER NO: | N145979230 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 900 |
| CONSIDERATION (AUD): | $2,407.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,387.55** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 900 | 2.675000 |

**AVERAGE PRICE: 2.675000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 12/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Entitlement**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 15/04/2021 |
| AS AT DATE: | 15/04/2021 |
| CONFIRMATION NO: | 116972222 |
| ORDER NO: | N144551096 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,650.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,669.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **19/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.650000 |

**AVERAGE PRICE: 2.650000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Entitlement**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 28/04/2021 |
| AS AT DATE: | 28/04/2021 |
| CONFIRMATION NO: | 117548012 |
| ORDER NO: | N145299289 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 900 |
| CONSIDERATION (AUD): | $2,340.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,359.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **30/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 900 | 2.600000 |

**AVERAGE PRICE: 2.600000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
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Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Entitlement**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 15/04/2021 |
| AS AT DATE: | 15/04/2021 |
| CONFIRMATION NO: | 116972222 |
| ORDER NO: | N144551096 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,650.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,669.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **19/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.650000 |

**AVERAGE PRICE: 2.650000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **REGIS RESOURCES LIMITED**
SECURITY ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 08/04/2021 |
| AS AT DATE: | 08/04/2021 |
| CONFIRMATION NO: | 116708408 |
| ORDER NO: | N144180749 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,125.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,105.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.125000 |

**AVERAGE PRICE: 3.125000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 12/04/2021**

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 11/03/2021 |
| AS AT DATE: | 11/03/2021 |
| CONFIRMATION NO: | 115487389 |
| ORDER NO: | N142463170 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $1,560.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,579.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **15/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 3.120000 |

**AVERAGE PRICE: 3.120000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

# SELL E220

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **REGIS RESOURCES LIMITED**

SECURITY ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 16/03/2021 |
| AS AT DATE: | 16/03/2021 |
| CONFIRMATION NO: | 115691817 |
| ORDER NO: | N142758221 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,400 |
| CONSIDERATION (AUD): | $4,176.47 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$4,156.52** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 893 | 2.985000 |
| 507 | 2.980000 |
| **AVERAGE PRICE:** | **2.983189** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**Not already provided, Security Document and/or Holder Information to be provided by 18/03/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

E221

# BUY

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: REGIS RESOURCES LIMITED**

ECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 08/03/2021 |
| AS AT DATE: | 08/03/2021 |
| CONFIRMATION NO: | 115271739 |
| ORDER NO: | N142027034 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $6,200.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$6,219.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **10/03/2021** |

UNITS AT PRICE

2,000 3.100000

**AVERAGE PRICE: 3.100000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 15/12/2020 |
| AS AT DATE: | 15/12/2020 |
| CONFIRMATION NO: | 110684944 |
| ORDER NO: | N135873061 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,680.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,699.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **17/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.680000 |

**AVERAGE PRICE: 3.680000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

E223

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 25/11/2020 |
| AS AT DATE: | 25/11/2020 |
| CONFIRMATION NO: | 109675061 |
| ORDER NO: | N134505618 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,680.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,699.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **27/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.680000 |

**AVERAGE PRICE: 3.680000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

E224

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 03/11/2020 |
| AS AT DATE: | 03/11/2020 |
| CONFIRMATION NO: | 108600417 |
| ORDER NO: | N133004770 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,100 |
| CONSIDERATION (AUD): | $4,631.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$4,650.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **05/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,100 | 4.210000 |

**AVERAGE PRICE: 4.210000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

E225

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **REGIS RESOURCES LIMITED**

SECURITY ORDINARY FULLY PAID

**Ex Dividend**

**RRL**

| | |
|---|---|
| DATE: | 09/10/2020 |
| AS AT DATE: | 09/10/2020 |
| CONFIRMATION NO: | 107428891 |
| ORDER NO: | N131368646 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $509.50 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$10.00** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$499.50** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **13/10/2020** |

| UNITS | AT PRICE |
|---|---|
| 100 | 5.095000 |

**AVERAGE PRICE: 5.095000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**P[illegible]IENT METHOD - DIRECT CREDIT TO NOMINATED SE[illegible]LEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 13/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: REGIS RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**RRL**

| | |
|---|---|
| DATE: | 23/09/2020 |
| AS AT DATE: | 23/09/2020 |
| CONFIRMATION NO: | 106668256 |
| ORDER NO: | N130170324 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,605.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(Include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,624.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **25/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 500 | 5.210000 |

**AVERAGE PRICE: 5.210000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL E227

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SARACEN MINERAL HOLDINGS LIMITED**
SECURITY ORDINARY FULLY PAID

**SAR**

| | |
|---|---|
| DATE: | 09/11/2020 |
| AS AT DATE: | 09/11/2020 |
| CONFIRMATION NO: | 108818878 |
| ORDER NO: | N133320303 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $2,520.02 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,500.07** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/11/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOR THE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 3 | 6.305000 |
| 397 | 6.300000 |
| **AVERAGE PRICE:** | **6.300038** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 11/11/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 23 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

## Notes

The current values shown on this statement must be viewed with caution because they are calculated using the latest unit price updated on the system and might not be for the current date. No account has been taken of transactions and/or investment amounts not yet processed. No guarantee of values is expressed or implied. Any future values shown are not guaranteed and they assume: a future growth rate of 10% per annum; all required premiums will be paid including premium increases (where applicable); and that nothing has changed or will change on the policy. Values payable may be restricted by legislation. This policy statement should always be read in conjunction with your policy terms and conditions.

Values quoted within the next 12 months are based on current market values, and no further growth is assumed. For values quoted after 12 months we assume a future bonus rate of 10% p.a. compound will be achieved on the present value of the portfolio, and the investment portion of any future contributions (if applicable).

BTS0101 2020/11/01

CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SARACEN MINERAL HOLDINGS LIMITED**

SECURITY ORDINARY FULLY PAID

**SAR**

| | |
|---|---|
| DATE: | 31/08/2020 |
| AS AT DATE: | 31/08/2020 |
| CONFIRMATION NO: | 105345574 |
| ORDER NO: | N128408150 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,572.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,552.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **02/09/2020** |

UNITS AT PRICE

300 5.240000

**AVERAGE PRICE: 5.240000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 02/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL E230

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SARACEN MINERAL HOLDINGS LIMITED**
SECURITY ORDINARY FULLY PAID

**SAR**

| | |
|---|---|
| DATE: | 06/08/2020 |
| AS AT DATE: | 06/08/2020 |
| CONFIRMATION NO: | 104039388 |
| ORDER NO: | N126554558 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $591.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$581.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **10/08/2020** |

| UNITS | AT PRICE |
|---|---|
| 100 | 5.910000 |

**AVERAGE PRICE: 5.910000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 10/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E231

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **SARACEN MINERAL HOLDINGS LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**SAR**

| | |
|---|---|
| DATE | 05/08/2020 |
| AS AT DATE: | 05/08/2020 |
| CONFIRMATION NO: | 103993765 |
| ORDER NO: | N126484721 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $602.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$592.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **07/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 6.020000 |
| **AVERAGE PRICE:** | **6.020000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL

E232

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SARACEN MINERAL HOLDINGS LIMITED**

SECURITY ORDINARY FULLY PAID **SAR**

| | |
|---|---|
| DATE: | 07/08/2020 |
| AS AT DATE: | 07/08/2020 |
| CONFIRMATION NO: | 104104549 |
| ORDER NO: | N126648295 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,767.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,747.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/08/2020** |

| UNITS | AT PRICE |
|---|---|
| 300 | 5.890000 |

**AVERAGE PRICE: 5.890000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 11/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL 283

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **SARACEN MINERAL HOLDINGS LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**SAR**

| | |
|---|---|
| DATE: | 22/07/2020 |
| AS AT DATE: | 22/07/2020 |
| CONFIRMATION NO: | 103364779 |
| ORDER NO: | N125604801 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $1,234.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,214.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/07/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 200 | 6.170000 |

**AVERAGE PRICE: 6.170000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 24/07/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ST BARBARA LIMITED**

SECURITY: ORDINARY FULLY PAID

**SBM**

| | |
|---|---|
| DATE: | 01/07/2020 |
| AS AT DATE: | 01/07/2020 |
| CONFIRMATION NO: | 102302998 |
| ORDER NO: | N124098253 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,230.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,249.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **03/07/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.230000 |

**AVERAGE PRICE: 3.230000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

E235

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ST BARBARA LIMITED**

SECURITY: ORDINARY FULLY PAID

**SBM**

| | |
|---|---|
| DATE: | 21/07/2020 |
| AS AT DATE: | 21/07/2020 |
| CONFIRMATION NO: | 103274114 |
| ORDER NO: | N125355325 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,730.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(not include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,749.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **23/07/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 3.730000 |

**AVERAGE PRICE: 3.730000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL 236

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **ST BARBARA LIMITED**
SECURITY ORDINARY FULLY PAID

**SBM**

| | |
|---|---|
| D..E: | 24/08/2020 |
| AS AT DATE: | 24/08/2020 |
| CONFIRMATION NO: | 104905834 |
| ORDER NO: | N127787987 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $3,400.51 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,380.56** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **26/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED S..TLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 102 | 3.405000 |
| 898 | 3.400000 |
| **AVERAGE PRICE:** | **3.400510** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 26/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

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CommSec

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ST BARBARA LIMITED**

SECURITY: ORDINARY FULLY PAID

**SBM**

| | |
|---|---|
| DATE: | 27/01/2021 |
| AS AT DATE: | 27/01/2021 |
| CONFIRMATION NO: | 112584335 |
| ORDER NO: | N138494800 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,280.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,299.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **29/01/2021** |

UNITS AT PRICE

1,000 2.280000

**AVERAGE PRICE: 2.280000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# BUY

**TAX INVOICE**

CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: ST BARBARA LIMITED**

SECURITY: ORDINARY FULLY PAID

**SBM**

| | |
|---|---|
| DATE: | 10/05/2021 |
| AS AT DATE: | 10/05/2021 |
| CONFIRMATION NO: | 118049887 |
| ORDER NO: | N145979545 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,050.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,069.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.050000 |

**AVERAGE PRICE: 2.050000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **ST BARBARA LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**SBM**

| | |
|---|---|
| DATE: | 19/05/2021 |
| AS AT DATE: | 19/05/2021 |
| CONFIRMATION NO: | 118502177 |
| ORDER NO: | N146566353 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,730.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,710.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.730000 |
| **AVERAGE PRICE:** | **1.730000** |

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 21/05/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

BUY E240

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: SCENTRE GROUP**

SECURITY: FULLY PAID ORDINARY/UNITS STAPLED SECURITIES

**SCG**

| | |
|---|---|
| DATE: | 12/11/2020 |
| AS AT DATE: | 12/11/2020 |
| CONFIRMATION NO: | 109122548 |
| ORDER NO: | N133723297 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,680.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,699.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **16/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.680000 |

**AVERAGE PRICE: 2.680000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: SCENTRE GROUP**

SECURITY: FULLY PAID ORDINARY/UNITS STAPLED SECURITIES

**SCG**

| | |
|---|---|
| DATE: | 09/11/2020 |
| AS AT DATE: | 09/11/2020 |
| CONFIRMATION NO: | 108818118 |
| ORDER NO: | N133301337 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,405.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,424.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/11/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.405000 |

**AVERAGE PRICE: 2.405000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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## Notes

The current values shown on this statement must be viewed with caution because they are calculated using the latest unit price updated on the system and might not be for the current date. No account has been taken of transactions and/or investment amounts not yet processed. No guarantee of values is expressed or implied. Any future values shown are not guaranteed and they assume: a future growth rate of 10% per annum; all required premiums will be paid including premium increases (where applicable); and that nothing has changed or will change on the policy. Values payable may be restricted by legislation. This policy statement should always be read in conjunction with your policy terms and conditions.

Values quoted within the next 12 months are based on current market values, and no further growth is assumed. For values quoted after 12 months we assume a future bonus rate of 10% p.a. compound will be achieved on the present value of the portfolio, and the investment portion of any future contributions (if applicable).

BTS0101 2020/11/01

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E243

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY: SHRIRO HOLDINGS LIMITED**

SECURITY: ORDINARY FULLY PAID

**SHM**

| | |
|---|---|
| DATE: | 22/09/2020 |
| AS AT DATE: | 22/09/2020 |
| CONFIRMATION NO: | 106570034 |
| ORDER NO: | N130005634 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 5,500 |
| CONSIDERATION (AUD): | $3,740.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,759.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 5,500 | 0.680000 |

**AVERAGE PRICE: 0.680000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E244

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: SMARTGROUP CORPORATION LTD**

SECURITY: ORDINARY FULLY PAID

**SIQ**

| | |
|---|---|
| DATE: | 20/08/2020 |
| AS AT DATE: | 20/08/2020 |
| CONFIRMATION NO: | 104699701 |
| ORDER NO: | N127498023 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $3,738.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$3,757.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **24/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 600 | 6.230000 |

**AVERAGE PRICE: 6.230000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **SMARTGROUP CORPORATION LTD** |
| SECURITY | ORDINARY FULLY PAID |

**SIQ**

| | |
|---|---|
| DATE: | 23/09/2020 |
| AS AT DATE: | 23/09/2020 |
| CONFIRMATION NO: | 106641045 |
| ORDER NO: | N130248290 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,920.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,900.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **25/09/2020** |

| UNITS | AT PRICE |
|---|---|
| 500 | 5.840000 |

**AVERAGE PRICE: 5.840000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 25/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Reconstructed**

**COMPANY** **SUNRISE ENERGY METALS LIMITED**

SECURITY ORDINARY FULLY PAID

**SRL**

| | |
|---|---|
| DATE: | 16/04/2021 |
| AS AT DATE: | 16/04/2021 |
| CONFIRMATION NO: | 117045880 |
| ORDER NO: | N144598640 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,250.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,230.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **20/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.250000 |
| **AVERAGE PRICE:** | **2.250000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 20/04/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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CommSec

5247

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: SCANDIVANADIUM LTD**

SECURITY: ORDINARY FULLY PAID

**SVD**

| | |
|---|---|
| DATE: | 05/08/2020 |
| AS AT DATE: | 05/08/2020 |
| CONFIRMATION NO: | 103956175 |
| ORDER NO: | N126432737 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 17,000 |
| CONSIDERATION (AUD): | $510.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$520.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **07/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 17,000 | 0.030000 |

**AVERAGE PRICE: 0.030000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

SELL

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Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SYDNEY AIRPORT**
SECURITY FULLY PAID ORDINARY/UNITS STAPLED SECURITIES

**SYD**

| | |
|---|---|
| DATE: | 16/09/2020 |
| AS AT DATE: | 16/09/2020 |
| CONFIRMATION NO: | 106304781 |
| ORDER NO: | N129770908 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $2,760.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,740.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 500 | 5.520000 |

**AVERAGE PRICE: 5.520000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E249

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SYDNEY AIRPORT**

SECURITY RIGHTS-APPSCLOSE 02SEP2020 US PROHIBITED DEFERRED **SYDR**

| | |
|---|---|
| DATE: | 18/08/2020 |
| AS AT DATE: | 18/08/2020 |
| CONFIRMATION NO: | 104583581 |
| ORDER NO: | N127333926 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 98 |
| CONSIDERATION (AUD): | $81.83 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$71.83** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **21/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 98 | 0.835000 |

**AVERAGE PRICE: 0.835000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 21/08/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **SYRAH RESOURCES LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**SYR**

| | |
|---|---|
| DATE: | 18/03/2021 |
| AS AT DATE: | 18/03/2021 |
| CONFIRMATION NO: | 115812780 |
| ORDER NO: | N142931436 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,440 |
| CONSIDERATION (AUD): | $1,649.81 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$19.95** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,629.86** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **22/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 201 | 1.150000 |
| 1,239 | 1.145000 |

**AVERAGE PRICE: 1.145698**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 22/03/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

# SELL

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

## CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **SMARTGROUP CORPORATION LTD**

SECURITY ORDINARY FULLY PAID

**SIQ**

| | |
|---|---|
| DATE: | 07/07/2020 |
| AS AT DATE: | 07/07/2020 |
| CONFIRMATION NO: | 102622311 |
| ORDER NO: | N124564623 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,920.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,900.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **09/07/2020** |

| UNITS | AT PRICE |
|---|---|
| 300 | 6.400000 |
| **AVERAGE PRICE:** | **6.400000** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 09/07/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL E252

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **TRANSURBAN GROUP**

SECURITY FULLY PAID ORDINARY/UNITS STAPLED SECURITIES **TCL**

| | |
|---|---|
| DA[illegible] | 29/09/2020 |
| AS AT DATE: | 29/09/2020 |
| CONFIRMATION NO: | 106881688 |
| ORDER NO: | N130594296 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,466.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,446.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **01/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SE[illegible]EMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FO[illegible]OWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 14.660000 |
| **AVERAGE PRICE:** | **14.660000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 01/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **TRANSURBAN GROUP** |
| SECURITY | FULLY PAID ORDINARY/UNITS STAPLED SECURITIES |

**TCL**

| | |
|---|---|
| DATE: | 01/10/2020 |
| AS AT DATE: | 01/10/2020 |
| CONFIRMATION NO: | 107027636 |
| ORDER NO: | N130793486 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 50 |
| CONSIDERATION (AUD): | $714.50 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$704.50** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **05/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 50 | 14.290000 |

**AVERAGE PRICE: 14.290000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 05/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E254

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **TRANSURBAN GROUP**
SECURITY FULLY PAID ORDINARY/UNITS STAPLED SECURITIES

**TCL**

| | |
|---|---|
| DATE: | 05/10/2020 |
| AS AT DATE: | 05/10/2020 |
| CONFIRMATION NO: | 107129655 |
| ORDER NO: | N130949700 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $2,874.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,854.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **07/10/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 200 | 14.370000 |

**AVERAGE PRICE: 14.370000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 07/10/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | | |
|---|---|---|
| **COMPANY** | **TALGA GROUP LTD** | **TLG** |
| SECURITY | ORDINARY FULLY PAID | |

| | |
|---|---|
| DATE: | 26/04/2021 |
| AS AT DATE: | 26/04/2021 |
| CONFIRMATION NO: | 117447626 |
| ORDER NO: | N145181260 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,600 |
| CONSIDERATION (AUD): | $2,784.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,764.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **28/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,600 | 1.740000 |
| **AVERAGE PRICE:** | **1.740000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 28/04/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E256

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **TALGA GROUP LTD** |
| SECURITY | ORDINARY FULLY PAID |

**TLG**

| | |
|---|---|
| DATE: | 02/03/2021 |
| AS AT DATE: | 02/03/2021 |
| CONFIRMATION NO: | 115001780 |
| ORDER NO: | N141799728 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 391 |
| CONSIDERATION (AUD): | $527.85 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$517.85** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **04/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 391 | 1.350000 |

**AVERAGE PRICE: 1.350000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 04/03/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E257

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **TALGA GROUP LTD**

SECURITY ORDINARY FULLY PAID

**TLG**

| | |
|---|---|
| DATE: | 03/02/2021 |
| AS AT DATE: | 03/02/2021 |
| CONFIRMATION NO: | 113109280 |
| ORDER NO: | N139195053 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 199 |
| CONSIDERATION (AUD): | $294.52 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$284.52** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **05/02/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 199 | 1.480000 |

**AVERAGE PRICE: 1.480000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 05/02/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**COMPANY** **TELSTRA CORPORATION LIMITED.**

SECURITY ORDINARY FULLY PAID

**TLS**

| | |
|---|---|
| DATE: | 31/08/2020 |
| AS AT DATE: | 31/08/2020 |
| CONFIRMATION NO: | 105357791 |
| ORDER NO: | N128421915 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $1,734.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,714.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **02/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 600 | 2.890000 |

**AVERAGE PRICE: 2.890000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 02/09/2020**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

E359

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: TELSTRA CORPORATION LIMITED.**

SECURITY: ORDINARY FULLY PAID

**TLS**

| | |
|---|---|
| DATE: | 11/08/2020 |
| AS AT DATE: | 11/08/2020 |
| CONFIRMATION NO: | 104230532 |
| ORDER NO: | N126762384 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,500 |
| CONSIDERATION (AUD): | $5,122.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$5,142.45** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **13/08/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,500 | 3.415000 |

**AVERAGE PRICE: 3.415000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **THOMSON RESOURCES LIMITED**
SECURITY ORDINARY FULLY PAID

**TMZ**

| | |
|---|---|
| DATE: | 08/04/2021 |
| AS AT DATE: | 08/04/2021 |
| CONFIRMATION NO: | 116708254 |
| ORDER NO: | N144180565 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 5,000 |
| CONSIDERATION (AUD): | $550.00 |
| **BROKERAGE & COSTS INCL GST:** (may include printed confirmation postal fee) | **$10.00** |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$540.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **12/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 5,000 | 0.110000 |

**AVERAGE PRICE: 0.110000**

**PID 1402 HIN 54631961**
**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 12/04/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

SELL E261

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **THOMSON RESOURCES LIMITED**

SECURITY ORDINARY FULLY PAID

**TMZ**

| | |
|---|---|
| DAT | 01/02/2021 |
| AS AT DATE: | 01/02/2021 |
| CONFIRMATION NO: | 112870668 |
| ORDER NO: | N138880426 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 5,000 |
| CONSIDERATION (AUD): | $950.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$940.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **03/02/2021** |

UNITS AT PRICE

5,000 0.190000

**AVERAGE PRICE: 0.190000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

**If not already provided, Security Document and/or Holder Information to be provided by 03/02/2021**

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: THOMSON RESOURCES LIMITED**

SECURITY: ORDINARY FULLY PAID

**TMZ**

| | |
|---|---|
| DATE: | 16/12/2020 |
| AS AT DATE: | 16/12/2020 |
| CONFIRMATION NO: | 110737246 |
| ORDER NO: | N135897220 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 10,000 |
| CONSIDERATION (AUD): | $1,000.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,010.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **18/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 10,000 | 0.100000 |

**AVERAGE PRICE: 0.100000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

# SELL

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **TYRO PAYMENTS LIMITED** |
| SECURITY | ORDINARY FULLY PAID |

**TYR**

| | |
|---|---|
| DATE: | 08/04/2021 |
| AS AT DATE: | 08/04/2021 |
| CONFIRMATION NO: | 116708324 |
| ORDER NO: | N144180658 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 600 |
| CONSIDERATION (AUD): | $2,148.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,128.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/04/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 600 | 3.580000 |

**AVERAGE PRICE: 3.580000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 12/04/2021**

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CommSec

# BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: TYRO PAYMENTS LIMITED**

SECURITY: ORDINARY FULLY PAID

**TYR**

| | |
|---|---|
| DATE: | 13/01/2021 |
| AS AT DATE: | 13/01/2021 |
| CONFIRMATION NO: | 111783856 |
| ORDER NO: | N137390447 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,168.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,187.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **15/01/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 400 | 2.920000 |

**AVERAGE PRICE: 2.920000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

E265

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: TYRO PAYMENTS LIMITED**

SECURITY: ORDINARY FULLY PAID

**TYR**

| | |
|---|---|
| DATE: | 04/01/2021 |
| AS AT DATE: | 04/01/2021 |
| CONFIRMATION NO: | 111264768 |
| ORDER NO: | N136685228 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $984.00 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(m include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$994.00** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **06/01/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 300 | 3.280000 |

**AVERAGE PRICE: 3.280000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

E266

BUY

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**W AVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: TYRO PAYMENTS LIMITED**

SECURITY: ORDINARY FULLY PAID

**TYR**

| | |
|---|---|
| DATE: | 19/10/2020 |
| AS AT DATE: | 19/10/2020 |
| CONFIRMATION NO: | 107826856 |
| ORDER NO: | N131937629 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 300 |
| CONSIDERATION (AUD): | $1,308.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$1,327.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 300 | 4.360000 |

**AVERAGE PRICE: 4.360000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# SELL E267

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

## CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **TYRO PAYMENTS LIMITED**
SECURITY ORDINARY FULLY PAID

**TYR**

| | |
|---|---|
| DATE: | 14/01/2021 |
| AS AT DATE: | 14/01/2021 |
| CONFIRMATION NO: | 111831617 |
| ORDER NO: | N137458510 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 400 |
| CONSIDERATION (AUD): | $1,072.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,052.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/01/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 400 | 2.680000 |

**AVERAGE PRICE: 2.680000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 18/01/2021**

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CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | |
|---|---|
| **COMPANY** | **UNIBAIL-RODAMCO-WESTFIELD** |
| SECURITY | CDI 20:1 FOREIGN EXEMPT XPAR |

**URW**

| | |
|---|---|
| DATE: | 16/09/2020 |
| AS AT DATE: | 16/09/2020 |
| CONFIRMATION NO: | 106304596 |
| ORDER NO: | N129770731 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 500 |
| CONSIDERATION (AUD): | $1,587.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,567.55** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **18/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 500 | 3.175000 |

**AVERAGE PRICE: 3.175000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

E269

BUY

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: WAM RESEARCH LIMITED**

SECURITY: ORDINARY FULLY PAID

**WAX**

| | |
|---|---|
| DATE: | 24/09/2020 |
| AS AT DATE: | 24/09/2020 |
| CONFIRMATION NO: | 106723569 |
| ORDER NO: | N129521588 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 2,000 |
| CONSIDERATION (AUD): | $2,970.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(Include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,989.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **28/09/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 2,000 | 1.485000 |

**AVERAGE PRICE: 1.485000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

SELL

Address - Locked Bag 22 Australia Square NSW 1215
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Email - shares@commsec.com.au
Internet - commsec.com.au

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**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **WESTPAC BANKING CORPORATION**
SECURITY ORDINARY FULLY PAID

**WBC**

| | |
|---|---|
| DATE: | 19/08/2020 |
| AS AT DATE: | 19/08/2020 |
| CONFIRMATION NO: | 104663449 |
| ORDER NO: | N127445681 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $1,729.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,709.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/08/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 100 | 17.290000 |

**AVERAGE PRICE: 17.290000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 21/08/2020**

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CommSec

SELL 1

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

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**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **WESTPAC BANKING CORPORATION**
SECURITY ORDINARY FULLY PAID

**WBC**

| | |
|---|---|
| DATE: | 24/09/2020 |
| AS AT DATE: | 24/09/2020 |
| CONFIRMATION NO: | 106726737 |
| ORDER NO: | N130362702 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $3,244.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$3,224.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **28/09/2020** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 200 | 16.220000 |
| **AVERAGE PRICE:** | **16.220000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 28/09/2020**

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CommSec

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Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E272

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Ex Dividend**

**CO. ANY: WESTPAC BANKING CORPORATION**

SECURITY: ORDINARY FULLY PAID

**WBC**

| | |
|---|---|
| DATE: | 17/12/2020 |
| AS AT DATE: | 17/12/2020 |
| CONFIRMATION NO: | 110777265 |
| ORDER NO: | N135989148 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 200 |
| CONSIDERATION (AUD): | $4,026.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(ma clude printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$4,045.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **21/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

UNITS AT PRICE

200 20.130000

**AVERAGE PRICE: 20.130000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

# BUY

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**ORIGINAL**
CONFIRMATION

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**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: WESTPAC BANKING CORPORATION**

SECURITY: ORDINARY FULLY PAID

**WBC**

| | |
|---|---|
| DATE: | 02/03/2021 |
| AS AT DATE: | 02/03/2021 |
| CONFIRMATION NO: | 114939947 |
| ORDER NO: | N141716108 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,445.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,464.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **04/03/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 24.450000 |

**AVERAGE PRICE: 24.450000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: WESTPAC BANKING CORPORATION**

SECURITY: ORDINARY FULLY PAID

**WBC**

| | |
|---|---|
| DATE: | 08/04/2021 |
| AS AT DATE: | 08/04/2021 |
| CONFIRMATION NO: | 116692206 |
| ORDER NO: | N144158261 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,530.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,549.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 25.300000 |

**AVERAGE PRICE: 25.300000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and/or omissions. Under the Rules of ASIC and the Market Operators, CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: WESTPAC BANKING CORPORATION**

SECURITY: ORDINARY FULLY PAID

**WBC**

| | |
|---|---|
| DATE: | 08/04/2021 |
| AS AT DATE: | 08/04/2021 |
| CONFIRMATION NO: | 116693663 |
| ORDER NO: | N144158533 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 100 |
| CONSIDERATION (AUD): | $2,529.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,548.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **12/04/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 100 | 25.290000 |

**AVERAGE PRICE: 25.290000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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Address - Locked Bag 22 Australia Square NSW 1215
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Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

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**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: WEEBIT NANO LTD**

SECURITY: ORDINARY FULLY PAID

**WBT**

| | |
|---|---|
| DATE: | 07/05/2021 |
| AS AT DATE: | 07/05/2021 |
| CONFIRMATION NO: | 117988862 |
| ORDER NO: | N145896017 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,140.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,159.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/05/2021** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.140000 |

**AVERAGE PRICE: 2.140000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# SELL

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

| | | |
|---|---|---|
| **COMPANY** | **WEEBIT NANO LTD** | **WBT** |
| SECURITY | ORDINARY FULLY PAID | |

| | |
|---|---|
| DATE: | 07/05/2021 |
| AS AT DATE: | 07/05/2021 |
| CONFIRMATION NO: | 118006996 |
| ORDER NO: | N145919532 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $1,980.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$1,960.05** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **11/05/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 1.980000 |
| **AVERAGE PRICE:** | **1.980000** |

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 11/05/2021**

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2. Credits from sales of securities are not available until the settlement date and all documents and security holder information have been delivered to Commonwealth Securities Limited and verified through CHESS Settlement Facility, if a CHESS Participant Sponsored Holding security has been sold. Subject to the relevant Terms and Conditions, the proceeds of your sale will be paid to you in accordance with your standing instructions on the settlement date, unless otherwise agreed.

If you request a Confirmation Contract Note to be sent to you by post, a $1.95 fee will be charged in addition to brokerage.

Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**COMPANY: WAM GLOBAL LIMITED**

SECURITY: ORDINARY FULLY PAID

**WGB**

| | |
|---|---|
| DATE: | 11/12/2020 |
| AS AT DATE: | 11/12/2020 |
| CONFIRMATION NO: | 110526832 |
| ORDER NO: | N135655416 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,000 |
| CONSIDERATION (AUD): | $2,390.00 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,409.95** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **15/12/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,000 | 2.390000 |

**AVERAGE PRICE: 2.390000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

(b) Directions, decisions and requirements of the Market Operators, the ASIC Market Integrity Rules, the Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the Market Operators, and the correction of any errors and of omissions. Under the Rules of ASIC and the Market Operators CommSec is required to act consistently with a fair and orderly market. CommSec or the Market Operators are entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the Market Operating Rules.

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Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned, but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124 and a Participant of the ASX Group and Chi-X Australia.

Your order may have been executed as multiple market transactions across multiple trade execution venues in accordance with our Best Execution policy. This Confirmation specifies the volume weighted average price for those market transactions.

CommSec

# SELL

E279

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**
**152 BRADFORD STREET**
**YOKINE**
**WA 6060**

**WE HAVE SOLD THE FOLLOWING SECURITIES FOR YOU**

**COMPANY** **WESTGOLD RESOURCES LIMITED.**
SECURITY ORDINARY FULLY PAID

**WGX**

| | |
|---|---|
| DATE: | 02/03/2021 |
| AS AT DATE: | 02/03/2021 |
| CONFIRMATION NO: | 114979445 |
| ORDER NO: | N141774238 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,100 |
| CONSIDERATION (AUD): | $2,095.50 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **NET PROCEEDS:** | **$2,075.55** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **04/03/2021** |

**PAYMENT METHOD - DIRECT CREDIT TO NOMINATED SETTLEMENT A/C ON SETTLEMENT DAY. FUNDS AVAILABLE FOLLOWING DAY.**

| UNITS | AT PRICE |
|---|---|
| 1,100 | 1.905000 |

**AVERAGE PRICE: 1.905000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

**If not already provided, Security Document and/or Holder Information to be provided by 04/03/2021**

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(a) The current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");

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CommSec

Address - Locked Bag 22 Australia Square NSW 1215
Telephone - 13 15 19
Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

E280

# BUY

**TAX INVOICE**

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: WAM LEADERS LIMITED**

SECURITY: ORDINARY FULLY PAID

**WLE**

| | |
|---|---|
| DATE: | 16/10/2020 |
| AS AT DATE: | 16/10/2020 |
| CONFIRMATION NO: | 107734732 |
| ORDER NO: | N131799518 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 1,731 |
| CONSIDERATION (AUD): | $2,181.06 |
| **BROKERAGE & COSTS INCL GST:** | **$19.95** |
| **(may include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$2,201.01** |
| **TOTAL GST:** | **$1.81** |
| **SETTLEMENT DATE:** | **20/10/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 1,731 | 1.260000 |

**AVERAGE PRICE: 1.260000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

BUY

Address - Locked Bag 22 Australia Square NSW 1215
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Facsimile - 1300 131 519
Email - shares@commsec.com.au
Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

# CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: WAM LEADERS LIMITED**

SECURITY: ORDINARY FULLY PAID

**WLE**

| | |
|---|---|
| DATE: | 28/07/2020 |
| AS AT DATE: | 28/07/2020 |
| CONFIRMATION NO: | 103614393 |
| ORDER NO: | N124882682 |
| ACCOUNT NO: | 4337759 |
| TOTAL UNITS: | 3,999 |
| CONSIDERATION (AUD): | $4,618.85 |
| **BROKERAGE & COSTS INCL GST:** | **$9.96** |
| **(May include printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$4,628.81** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **30/07/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

| UNITS | AT PRICE |
|---|---|
| 3,999 | 1.155000 |

**AVERAGE PRICE: 1.155000**

**PID 1402 HIN 54631961**

**ORDER COMPLETED**

**CROSSED TRADE**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

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CommSec

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Internet - commsec.com.au

Trading, Clearing and Settlement of this transaction is undertaken by Commonwealth Securities Limited.

**TAX INVOICE**

E282

# BUY

**ORIGINAL**
CONFIRMATION

**Please retain for taxation purposes**

**MR SYDNEY JOFFE + MRS SANDRA GAIL JOFFE <SYDSAN SELF MANAGED S/F A/C>**

**152 BRADFORD STREET**

**YOKINE**

**WA 6060**

**WE HAVE BOUGHT THE FOLLOWING SECURITIES FOR YOU**

**Cum Dividend Stock**

**COMPANY: WAM LEADERS LIMITED**

SECURITY: ORDINARY FULLY PAID

**WLE**

| | |
|---|---|
| DATE: | 21/07/2020 |
| AS AT DATE: | 21/07/2020 |
| CONFIRMATION NO: | 103305614 |
| ORDER NO: | N124882682 |
| ACCOUNT NO: | 4337759 |

| UNITS | AT PRICE |
|---|---|
| 1 | 1.130000 |

**AVERAGE PRICE: 1.130000**

**PID 1402 HIN 54631961**

| | |
|---|---|
| TOTAL UNITS: | 1 |
| CONSIDERATION (AUD): | $1.13 |
| **BROKERAGE & COSTS INCL GST:** | **$10.00** |
| **(m[illegible]nclude printed confirmation postal fee)** | |
| **APPLICATION MONEY:** | **$0.00** |
| **TOTAL COST:** | **$11.13** |
| **TOTAL GST:** | **$0.91** |
| **SETTLEMENT DATE:** | **23/07/2020** |

**PAYMENT METHOD - DIRECT DEBIT OF CLEARED FUNDS FROM NOMINATED BANK A/C ON SETTLEMENT DATE.**

**CONTRACT COMMENTS:**

**50563525 0439692153**

105

1. This Confirmation Contract Note is issued by Commonwealth Securities Limited and is issued subject to:

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MONTGOMERY

30/06/2021

Mr S Joffe Mrs S Joffe
Sydsan Self Managed Superannuation Fund
152 Bradford Street
YOKINE WA 6060
AUSTRALIA

**Investor No. 14998**

**The Montgomery Fund**
Periodic Statement for the Period 01/07/2020 to 30/06/2021

**Summary of your investment**

| Date | Description | Units | Unit Price | Market Value |
|---|---|---|---|---|
| 01/07/2020 | Opening Balance | 16,000.0000 | $1.2956 | $20,729.60 |
| 30/06/2021 | Pre Distribution Balance | | | $0.00 |
| 30/06/2021 | Distribution | | | $0.00 |
| 30/06/2021 | Closing Balance | 0.0000 | $1.6347 | $0.00 |

**Details of your transactions** **Page 1 of 1**

| Effective Date | Description | Transaction Amount | Unit Price | Number of Units | Unit Balance |
|---|---|---|---|---|---|
| 01/07/2020 | Opening balance | | $1.2956 | | 16,000.0000 |
| 10/07/2020 | Redemption | $-5,169.20 | $1.2923 | -4,000.0000 | 12,000.0000 |
| 30/07/2020 | Redemption | $-3,901.50 | $1.3005 | -3,000.0000 | 9,000.0000 |
| 02/11/2020 | Redemption | $-5,290.40 | $1.3226 | -4,000.0000 | 5,000.0000 |
| 31/12/2020 | Distribution | $23.00 | $0.0000 | 0.0000 | 5,000.0000 |
| 24/03/2021 | Redemption | $-3,058.20 | $1.5291 | -2,000.0000 | 3,000.0000 |
| 27/05/2021 | Redemption | $-4,848.90 | $1.6163 | -3,000.0000 | 0.0000 |
| 30/06/2021 | Closing Balance | | $1.6347 | | 0.0000 |
| **Total** | | -$22,268.20 | | -16,000.0000 | |
| **Return On Investment** | | | | | $1,561.60 |

Please keep this statement as a record of your transactions for the period.

Noting that the distribution amount in the summary table may differ from the distribution figure in the transaction details. This is due to any withholding tax withheld. Please refer to your Distribution Statement for more information.

**More Information about this Periodic Statement**

*Indirect costs of your investment* -$110.12
This approximate amount has been deducted from your investment and includes amounts that have reduced the return on your investment but are not charged to you directly as a fee. Please note this amount does not, and is not required to, include any transactional and operational costs incurred.

MONTGOMERY

31/12/2020

**Investor No. 14998**

Mr S Joffe Mrs S Joffe
Sydsan Self Managed Superannuation Fund
152 Bradford Street
YOKINE WA 6060
AUSTRALIA

**The Montgomery Fund**

**Distribution Confirmation**

| Effective Date | Number of Units | Distribution Cents per Unit | Distribution Amount | Withholding Tax | Net Distribution |
|---|---|---|---|---|---|
| 31/12/2020 | 5,000.0000 | 0.4601 | $23.00 | $0.00 | $23.00 |

**Summary of Distribution**

| Effective Date | Description | Transaction Amount | Unit Price | Number of Units | Unit Balance |
|---|---|---|---|---|---|
| 01/01/2021 | Opening balance | | 1.4455 | | 5,000.0000 |
| 31/12/2020 | Distribution | $23.00 | | | 5,000.0000 |
| 01/01/2021 | Closing balance | | 1.4455 | | 5,000.0000 |
| **Total** | | $23.00 | | 0.0000 | |

Distribution proceeds have been paid to your account as per your instructions.

Please keep this confirmation as a record of your distribution.

MONTGOMERY

13 July 2020

**Investor No. 14998**

Mr S Joffe & Mrs S Joffe
Sydsan Self Managed Superannuation Fund
152 Bradford Street
YOKINE WA 6060

## The Montgomery Fund

Transaction Confirmation

| Effective Date | Description | Transaction Amount | Unit Price | Number Of Units | Unit Balance |
|---|---|---|---|---|---|
| 10 Jul 20 | Redemption | ($5,169.20) | $1.2923 | (4,000.0000) | 12,000.0000 |

Redemption proceeds have been remitted to your nominated bank account, CBA, BSB No. 066-000, Account No. 11109967 as per your instructions.

Please keep this confirmation as a record of your redemption.

MONTGOMERY

Mr S Joffe & Mrs S Joffe
Sydsan Self Managed Superannuation Fund
152 Bradford Street
YOKINE WA 6060

03 November 2020
**Investor No. 14998**

## The Montgomery Fund

Transaction Confirmation

| Effective Date | Description | Transaction Amount | Unit Price | Number Of Units | Unit Balance |
|---|---|---|---|---|---|
| 02 Nov 20 | Redemption | ($5,290.40) | $1.3226 | (4,000.0000) | 5,000.0000 |

Redemption proceeds have been remitted to your nominated bank account, CBA, BSB No. 066-000, Account No. 11109967 as per your instructions.

Please keep this confirmation as a record of your redemption.

MONTGOMERY

28 May 2021

**Investor No. 14998**

Mr S Joffe & Mrs S Joffe
Sydsan Self Managed Superannuation Fund
152 Bradford Street
YOKINE WA 6060

## The Montgomery Fund

Transaction Confirmation

| Effective Date | Description | Transaction Amount | Unit Price | Number Of Units | Unit Balance |
|---|---|---|---|---|---|
| 27 May 21 | Full Redemption | ($4,848.90) | $1.6163 | (3,000.0000) | 0.0000 |

Redemption proceeds have been remitted to your nominated bank account, CBA, BSB No. 066-000, Account No. 11109967 as per your instructions.

Please keep this confirmation as a record of your full redemption.

# INVESTMENT TRANSACTION SUMMARY

| | |
|---|---|
| Client | D. BAKERS & ASSOCIATED F |
| End Client | SYDSAN SELF MANAGED SU |
| Year | 2020 |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| A | | | | | | | | | | | | | | | | | | | |
| Adairs Limited | ADH | 13-Dec-19 | | | | 300 | 685.00 | | 19-Mar-20 | 300 | 685.00 | 238.67 | - | - | (446.33) | - | - | | |
| | | 21-Jan-20 | | | | 300 | 637.00 | | 19-Mar-20 | 300 | 637.00 | 238.67 | - | - | (398.33) | - | - | | |
| | | 11-Feb-20 | | | | 300 | 736.00 | | 19-Mar-20 | 300 | 736.00 | 238.67 | - | - | (497.33) | - | - | | |
| | | 25-Feb-20 | | | | 400 | 1,075.95 | | 19-Mar-20 | 400 | 1,075.95 | 318.23 | - | - | (757.72) | - | - | | |
| | | 27-Feb-20 | | | | 200 | 510.00 | | 19-Mar-20 | 200 | 510.00 | 159.11 | - | - | (350.89) | - | - | | |
| | | 28-Feb-20 | | | | 400 | 986.00 | | 19-Mar-20 | 400 | 986.00 | 318.23 | - | - | (667.77) | - | - | | |
| | | | | | | **1,900** | **4,629.95** | - | | **1,900** | **4,629.95** | **1,511.57** | **-** | **-** | **(3,118.38)** | **-** | **-** | - | - |
| Aust Finance Group Ltd | AFG | 14-Oct-19 | | | | 1,000 | 2,489.95 | | 12-Feb-20 | 1,000 | 2,489.95 | 2,697.78 | - | 207.83 | - | - | - | | |
| | | 18-Oct-19 | - | - | | 1,000 | 2,339.95 | | 12-Feb-20 | 1,000 | 2,339.95 | 2,636.48 | - | 296.53 | - | - | - | | - |
| | | | - | - | - | **2,000** | **4,829.90** | - | | **2,000** | **4,829.90** | **5,334.26** | **-** | **504.36** | **-** | **-** | **-** | - | - |
| AGL Energy Limited | AGL | 17-Apr-20 | - | - | | 100 | 1,755.95 | | | | | | - | - | - | 100 | 1,755.95 | 17.0500 | 1,705.00 |
| | | 17-Apr-20 | | | | 100 | 1,777.95 | | | | | | - | - | - | 100 | 1,777.95 | 17.0500 | 1,705.00 |
| | | 26-May-20 | | | | 200 | 3,339.95 | | | | | | - | - | - | 200 | 3,339.95 | 17.0500 | 3,410.00 |
| | | | - | - | - | **400** | **6,873.85** | - | | **-** | **-** | **-** | **-** | **-** | **-** | **400** | **6,873.85** | | **6,820.00** |
| Air New Zealand | AIZ | 14-May-19 | 1,000 | 2,599.95 | | | | | 20-Mar-20 | 1,000 | 2,599.95 | 1,019.65 | - | - | (1,580.30) | - | - | | - |
| | | 07-Aug-19 | - | - | | 300 | 793.00 | | 20-Mar-20 | 300 | 793.00 | 305.90 | - | - | (487.10) | - | - | | - |
| | | | **1,000** | **2,599.95** | - | **300** | **793.00** | - | | **1,300** | **3,392.95** | **1,325.55** | **-** | **-** | **(2,067.40)** | **-** | **-** | - | - |
| Amcor Limited FPO | AMC | 30-May-18 | 200 | 2,813.95 | | | | | 26-Feb-20 | 100 | 1,406.98 | 1,450.55 | 43.58 | - | - | 100 | 1,406.98 | 14.4800 | 1,448.00 |
| | | 13-May-19 | 300 | 4,921.95 | | | | | | | | | - | - | - | 300 | 4,921.95 | 14.4800 | 4,344.00 |
| | | 26-Feb-20 | | | | 100 | 1,500.95 | | | | | | - | - | - | 100 | 1,500.95 | 14.4800 | 1,448.00 |
| | | 12-May-20 | | | | 100 | 1,400.45 | | | | | | - | - | - | 100 | 1,400.45 | 14.4800 | 1,448.00 |
| | | | **500** | **7,735.90** | - | **200** | **2,901.40** | - | | **100** | **1,406.98** | **1,450.55** | **43.58** | **-** | **-** | **600** | **9,230.33** | | **8,688.00** |
| Australia and New Zealand Banking Group Ltd | ANZ | 20-Jan-16 | 200 | 4,711.95 | | | | | 22-Apr-20 | 200 | 4,711.95 | 3,182.06 | - | - | (1,529.89) | - | - | | - |
| | | 09-Nov-16 | 400 | 10,893.95 | | | | | 30-Apr-20 | 200 | 5,446.98 | 3,308.05 | - | - | (2,138.93) | 200 | 5,446.98 | 18.6400 | 3,728.00 |
| | | 09-Nov-16 | | | | | | | 01-May-20 | 200 | 5,446.98 | 3,186.05 | - | - | (2,260.93) | (200) | (5,446.98) | 18.6400 | (3,728.00) |
| | | 19-Mar-20 | | | | 100 | 1,750.95 | | 25-May-20 | 100 | 1,750.95 | 1,531.53 | - | - | (219.43) | - | - | 18.6400 | - |
| | | 23-Mar-20 | | | | 200 | 2,919.95 | | | 100 | 1,459.98 | 1,531.53 | - | 71.55 | - | 100 | 1,459.98 | 18.6400 | 1,864.00 |
| | | 02-Apr-20 | | | | 100 | 1,636.95 | | | | | | - | - | - | 100 | 1,636.95 | 18.6400 | 1,864.00 |
| | | | **600** | **15,605.90** | - | **400** | **6,307.85** | - | | **800** | **18,816.83** | **12,739.21** | **-** | **71.55** | **(6,149.17)** | **200** | **3,096.93** | | **3,728.00** |
| Arafura Resource Ltd | ARU | 21-Jun-19 | 7,500 | 872.50 | | | | | 10-Dec-19 | 7,500 | 872.50 | 630.10 | - | - | (242.40) | - | - | | - |
| Allotment | | 19-Jul-19 | - | - | | 2,625 | 223.13 | | 10-Dec-19 | 2,625 | 223.13 | 220.53 | - | - | (2.60) | - | - | | - |
| | | | **7,500** | **872.50** | - | **2,625** | **223.13** | - | | **10,125** | **1,095.63** | **850.63** | **-** | **-** | **(245.00)** | **-** | **-** | - | - |
| ARTEMIS RESOURCES | ARV | 09-Aug-18 | 3,000 | 550.00 | | | | | 23-Jun-20 | 3,000 | 550.00 | 191.26 | - | - | (358.74) | - | - | | - |
| | | 12-Feb-20 | - | - | | 13,000 | 504.00 | | 23-Jun-20 | 13,000 | 504.00 | 828.79 | - | 324.79 | - | - | - | | - |
| | | | **3,000** | **550.00** | - | **13,000** | **504.00** | - | | **16,000** | **1,054.00** | **1,020.05** | **-** | **324.79** | **(358.74)** | **-** | **-** | - | - |
| Australian Mines LTD FPO | AUZ | 06-Sep-17 | 35,000 | 535.00 | | | | | | | | | - | - | - | 35,000 | 535.00 | 0.0080 | 280.00 |
| | | 31-Jan-18 | 10,000 | 1,010.00 | | | | | | | | | - | - | - | 10,000 | 1,010.00 | 0.0080 | 80.00 |
| | | 12-Sep-18 | 10,000 | 510.00 | | | | | | | | | - | - | - | 10,000 | 510.00 | 0.0080 | 80.00 |
| | | 15-May-19 | 27,500 | 532.50 | | | | | | | | | - | - | - | 27,500 | 532.50 | 0.0080 | 220.00 |
| | | | **82,500** | **2,587.50** | - | - | - | - | | - | - | - | **-** | **-** | **-** | **82,500** | **2,587.50** | | **660.00** |
| Avita Therapeutics | AVH | 22-May-19 | 1,100 | 527.00 | | | | | 20-Jan-20 | 1,000 | 479.09 | 750.00 | - | 270.91 | - | 100 | 47.91 | | - |
| Renamed from Avita Medical Ltd | | 22-May-19 | | | | | | | 21-Jan-20 | 100 | 47.91 | 72.51 | - | 24.60 | - | (100) | (47.91) | | - |
| | | 24-Jul-19 | | | | 900 | 360.00 | | 21-Jan-20 | 900 | 360.00 | 652.57 | - | 292.57 | - | - | - | | - |
| | | 24-Jul-19 | | | | 1,100 | 440.00 | | 29-Jun-20 | 1,045 | | | - | - | - | 55 | 440.00 | 9.0000 | 495.00 |
| | | 31-Jul-19 | | | | 1,200 | 520.00 | | 29-Jun-20 | 1,140 | | | - | - | - | 60 | 520.00 | 9.0000 | 540.00 |
| Scheme | | 31-Jul-19 | | | | 1,200 | 541.00 | | 29-Jun-20 | 1,140 | | | - | - | - | 60 | 541.00 | 9.0000 | 540.00 |
| | | 22-Oct-19 | | | | 1,500 | 1,084.95 | | 29-Jun-20 | 1,425 | | | - | - | - | 75 | 1,084.95 | 9.0000 | 675.00 |
| | | 14-Nov-19 | | | | 1,000 | 605.00 | | 29-Jun-20 | 950 | | | - | - | - | 50 | 605.00 | 9.0000 | 450.00 |
| | | 10-Dec-19 | | | | 2,000 | 1,099.95 | | 29-Jun-20 | 1,900 | | | - | - | - | 100 | 1,099.95 | 9.0000 | 900.00 |
| | | 22-Apr-20 | | | | 2,000 | 940.00 | | 29-Jun-20 | 1,900 | | | - | - | - | 100 | 940.00 | 9.0000 | 900.00 |
| | | | **1,100** | **527.00** | - | **10,900** | **5,590.90** | - | | **11,500** | **887.00** | **1,475.08** | **-** | **588.08** | **-** | **500** | **5,230.90** | | **4,500.00** |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| Alumina Limited | AWC | 07-May-18 | 500 | 1,442.45 | | | | | 18-Oct-19 | 500 | 1,442.45 | 1,135.03 | - | - | (307.43) | - | - | | - |
| | | 17-Oct-18 | 500 | 1,324.95 | | | | | 18-Oct-19 | 500 | 1,324.95 | 1,135.03 | - | - | (189.93) | - | - | | - |
| | | 19-Nov-18 | 300 | 724.00 | | | | | 29-Oct-19 | 300 | 724.00 | 675.02 | - | - | (48.98) | - | - | | - |
| | | 20-Nov-18 | 200 | 462.00 | | | | | 29-Oct-19 | 200 | 462.00 | 450.01 | - | - | (11.99) | - | - | | - |
| | | 28-Nov-18 | 1,000 | 2,299.95 | | | | | 29-Oct-19 | 500 | 1,149.98 | 1,125.03 | - | - | (24.95) | 500 | 1,149.98 | | - |
| | | 28-Nov-18 | | | | | | | 25-Feb-20 | 500 | 1,149.98 | 1,045.03 | - | - | (104.95) | (500) | (1,149.98) | | - |
| | | 24-Apr-19 | 600 | 1,414.95 | | | | | 25-Feb-20 | 500 | 1,179.13 | 1,045.03 | - | - | (134.10) | 100 | 235.83 | 1.6250 | 162.50 |
| | | 29-May-19 | 900 | 2,179.95 | | | | | | | | | - | - | - | 900 | 2,179.95 | 1.6250 | 1,462.50 |
| | | 28-Jun-19 | 1,000 | 2,339.95 | | | | | | | | | - | - | - | 1,000 | 2,339.95 | 1.6250 | 1,625.00 |
| | | | **5,000** | **12,188.20** | - | **-** | **-** | **-** | | **3,000** | **7,432.48** | **6,610.15** | **-** | **-** | **(822.32)** | **2,000** | **4,755.73** | | **3,250.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Accent Group Ltd | AX1 | 28-Jan-20 | | | | 1,000 | 1,729.95 | | | | | | - | - | - | 1,000 | 1,729.95 | 1.4000 | 1,400.00 |
| | | 28-Feb-20 | | | | 1,000 | 1,694.95 | | | | | | - | - | - | 1,000 | 1,694.95 | 1.4000 | 1,400.00 |
| | | 10-Mar-20 | | | | 1,000 | 1,284.95 | | | | | | - | - | - | 1,000 | 1,284.95 | 1.4000 | 1,400.00 |
| | | 20-Mar-20 | | | | 1,000 | 730.00 | | | | | | - | - | - | 1,000 | 730.00 | 1.4000 | 1,400.00 |
| | | | **-** | **-** | - | **4,000** | **5,439.85** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **4,000** | **5,439.85** | | **5,600.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Aurizon Holding Ltd | AZJ | 18-Sep-18 | 300 | 1,299.45 | | | | | 13-Nov-19 | 100 | 433.15 | 559.00 | 125.85 | - | - | 200 | 866.30 | 4.9200 | 984.00 |
| | | 11-Dec-18 | 200 | 886.00 | | | | | | | | | - | - | - | 200 | 886.00 | 4.9200 | 984.00 |
| | | 11-Dec-18 | 200 | 886.00 | | | | | | | | | - | - | - | 200 | 886.00 | 4.9200 | 984.00 |
| | | 06-May-19 | 300 | 1,483.95 | | | | | | | | | - | - | - | 300 | 1,483.95 | 4.9200 | 1,476.00 |
| | | | **1,000** | **4,555.40** | - | **-** | **-** | **-** | | **100** | **433.15** | **559.00** | **125.85** | **-** | **-** | **900** | **4,122.25** | | **4,428.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| B | | | | | | | | | | | | | - | - | - | - | - | | |
| Bapcor Limited FPO | BAP | 11-Feb-16 | 800 | 3,335.96 | | | | | 20-Dec-19 | 100 | 417.00 | 642.00 | 225.01 | - | - | 700 | 2,918.97 | | - |
| | | 11-Feb-16 | | | | | | | 30-Jan-20 | 100 | 417.00 | 620.00 | 203.01 | - | - | (100) | (417.00) | | - |
| | | 11-Feb-16 | - | - | | | | | 10-Mar-20 | 600 | 2,501.97 | 3,211.05 | 709.08 | - | - | (600) | (2,501.97) | | - |
| | | | **800** | **3,335.96** | - | **-** | **-** | **-** | | **800** | **3,335.96** | **4,473.05** | **1,137.09** | **-** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Bendigo and Adelaide | BEN | 25-Aug-16 | 1,100 | 11,878.09 | | | | | 18-Jul-19 | 100 | 1,079.83 | 1,118.06 | 38.23 | - | - | 1,000 | 10,798.26 | 7.0100 | 7,010.00 |
| | | 25-Aug-16 | | | | | | | 22-Jun-20 | 100 | 1,079.83 | 707.00 | - | - | (372.83) | (100) | (1,079.83) | 7.0100 | (701.00) |
| | | | **1,100** | **11,878.09** | - | **-** | **-** | **-** | | **200** | **2,159.65** | **1,825.06** | **38.23** | **-** | **(372.83)** | **900** | **9,718.44** | | **6,309.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Bellevue Gold Ltd | BGL | 22-Jun-20 | | | | 1,000 | 1,059.95 | | | | | | - | - | - | 1,000 | 1,059.95 | 1.06 | 1,060.00 |
| | | 24-Jun-20 | | | | 700 | 815.00 | | | | | | - | - | - | 700 | 815.00 | 1.06 | 742.00 |
| | | | **-** | **-** | | **1,700** | **1,874.95** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **1,700** | **1,874.95** | | **1,802.00** |
| | | | | | | | | | | | | | | | | | | | |
| BHP Billiton | BHP | 06-Mar-10 | - | - | | 200 | 6,587.37 | | | | | | - | - | - | 200 | 6,587.37 | 35.8200 | 7,164.00 |
| | | 09-Mar-20 | | | | 100 | 2,852.95 | | | | | | - | - | - | 100 | 2,852.95 | 35.8200 | 3,582.00 |
| | | 10-Mar-20 | | | | 100 | 2,844.95 | | | | | | - | - | - | 100 | 2,844.95 | 35.8200 | 3,582.00 |
| | | 13-Mar-20 | | | | 100 | 2,469.95 | | | | | | - | - | - | 100 | 2,469.95 | 35.8200 | 3,582.00 |
| | | 01-May-20 | | | | 100 | 3,101.95 | | | | | | - | - | - | 100 | 3,101.95 | 35.8200 | 3,582.00 |
| | | 07-May-20 | | | | 200 | 6,199.95 | | | | | | - | - | - | 200 | 6,199.95 | 35.8200 | 7,164.00 |
| | | | **-** | **-** | - | **800** | **24,057.12** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **800** | **24,057.12** | | **28,656.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Boss Resources Ltd | BOE | 10-Oct-18 | 8,000 | 554.00 | | | | | | | | | - | - | - | 8,000 | 554.00 | 0.0530 | 424.00 |
| | | | **8,000** | **554.00** | - | | | | | | | | **-** | **-** | **-** | **8,000** | **554.00** | | **424.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Berkeley Energia Ltd FPO | BKY | 19-Dec-17 | 1,000 | 1,010.00 | | | | | 19-Jun-20 | 1,000 | 1,010.00 | 473.75 | - | - | (536.25) | - | - | | - |
| | | 25-May-18 | 600 | 502.00 | | | | | 19-Jun-20 | 600 | 502.00 | 284.25 | - | - | (217.75) | - | - | | - |
| | | | **1,600** | **1,512.00** | - | **-** | **-** | **-** | | **1,600** | **1,512.00** | **758.00** | **-** | **-** | **(754.00)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Brickworks Limited FPO | BKW | 28-Jul-17 | 200 | 2,699.95 | | | | | | | | | - | - | - | 200 | 2,699.95 | 15.8300 | 3,166.00 |
| | | 11-Aug-17 | 200 | 2,664.00 | | | | | | | | | - | - | - | 200 | 2,664.00 | 15.8300 | 3,166.00 |
| | | | **400** | **5,363.95** | - | **-** | **-** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **400** | **5,363.95** | | **6,332.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Boral Limited FPO | BLD | | - | - | | | | | | | | | - | - | - | - | - | | - |
| | | 23-Nov-17 | 400 | 3,115.95 | | | | | 06-Nov-19 | 300 | 2,336.96 | 1,477.05 | - | - | (859.91) | 100 | 778.99 | | - |
| | | 23-Nov-17 | | | | | | | 07-Nov-19 | 100 | 778.99 | 490.85 | - | - | (288.14) | (100) | (778.99) | | - |
| | | 21-May-18 | 200 | 1,271.95 | | | | | 07-Nov-19 | 200 | 1,271.95 | 981.70 | - | - | (290.25) | - | - | | - |
| | | | **600** | **4,387.90** | - | **-** | **-** | **-** | | **600** | **4,387.90** | **2,949.60** | **-** | **-** | **(1,438.30)** | **-** | **0.00** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Bank of Queensland | BOQ | | | | | | | | | | | | - | - | - | - | - | | |
| | | 30-Mar-17 | 200 | 2,308.65 | | | | | 24-Sep-19 | 200 | 2,308.65 | 1,945.69 | - | - | (362.96) | - | - | | - |
| | | 12-Apr-17 | 600 | 7,393.95 | | | | | 24-Sep-19 | 100 | 1,232.33 | 972.85 | - | - | (259.48) | 500 | 6,161.63 | | - |
| | | 12-Apr-17 | | | | | | | 05-Feb-20 | 300 | 3,696.98 | 2,233.05 | - | - | (1,463.93) | (300) | (3,696.98) | | - |
| | | 12-Apr-17 | | | | | | | 08-Apr-20 | 200 | 2,464.65 | 994.88 | - | - | (1,469.77) | (200) | (2,464.65) | | - |
| | | 19-Apr-17 | 500 | 6,069.95 | | | | | 08-Apr-20 | 400 | 4,855.96 | 1,989.75 | - | - | (2,866.21) | 100 | 1,213.99 | | - |
| | | 19-Apr-17 | | | | | | | 17-Apr-20 | 100 | 1,213.99 | 501.35 | - | - | (712.64) | (100) | (1,213.99) | | - |
| | | 31-Oct-17 | 200 | 2,689.95 | | | | | 17-Apr-20 | 200 | 2,689.95 | 1,002.70 | - | - | (1,687.25) | - | - | | - |
| | | | **1,500** | **18,462.50** | - | **-** | **-** | **-** | | **1,500** | **18,462.50** | **9,640.27** | **-** | **-** | **(8,822.23)** | **-** | **(0.00)** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Breaker Res NL | BRB | 12-Feb-19 | 2,000 | 790.00 | | | | | 02-Sep-19 | 2,000 | 790.00 | 660.00 | - | - | (130.00) | - | - | | - |
| | | | **2,000** | **790.00** | - | **-** | **-** | **-** | | **2,000** | **790.00** | **660.00** | **-** | **-** | **(130.00)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Brambles Limited | BXB | 13-Nov-18 | 200 | 2,137.95 | | | | | 22-Aug-19 | 200 | 2,137.95 | 2,252.05 | - | 114.10 | - | - | - | | - |
| | | | **200** | **2,137.95** | - | **-** | **-** | **-** | | **200** | **2,137.95** | **2,252.05** | **-** | **114.10** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| C | | | | | | | | | | | | | - | - | - | - | - | | |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| Commonwealth Bank of Australia | CBA | 02-Sep-15 | 91 | 6,634.76 | | | | | 02-Mar-20 | 91 | 6,634.76 | 7,266.40 | 631.63 | - | - | - | - | | - |
| | | 18-Sep-15 | 9 | 643.50 | | | | | 02-Mar-20 | 9 | 643.50 | 718.65 | 75.15 | - | - | - | - | | - |
| | | 04-Sep-15 | 200 | 14,437.95 | | | | | | | | | - | - | - | 200 | 14,437.95 | 69.42 | 13,884.00 |
| | | 08-Jul-16 | 100 | 7,253.45 | | | | | | | | | - | - | - | 100 | 7,253.45 | 69.42 | 6,942.00 |
| | | | **400** | **28,969.66** | - | - | - | - | | **100** | **7,278.26** | **7,985.05** | **706.79** | **-** | **-** | **300** | **21,691.40** | | **20,826.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Codan Limited | CDA | 09-Aug-18 | 200 | 592.00 | | | | | 12-Mar-20 | 100 | 296.00 | 568.00 | 272.00 | - | - | 100 | 296.00 | 7.09 | 709.00 |
| | | 24-Aug-18 | 300 | 985.00 | | | | | | | | | - | - | - | 300 | 985.00 | 7.09 | 2,127.00 |
| | | 28-Aug-18 | 400 | 1,283.95 | | | | | | | | | - | - | - | 400 | 1,283.95 | 7.09 | 2,836.00 |
| | | | **900** | **2,860.95** | - | - | - | - | | **100** | **296.00** | **568.00** | **272.00** | **-** | **-** | **800** | **2,564.95** | | **5,672.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Continental Coal Ltd - DELISTED | CCC | 04-Jan-12 | 9,200 | 607.45 | | | | | 30-Jun-20 | 9,200 | 607.45 | | - | - | (607.45) | - | - | | - |
| Claim loss | | 04-Jan-12 | 41,400 | 207.00 | | | | | | 41,400 | 207.00 | | - | - | (207.00) | - | - | | - |
| | | | **50,600** | **814.45** | - | - | - | - | | **50,600** | **814.45** | **-** | **-** | **-** | **(814.45)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Coca - Cola Amatil Ltd | CCL | 21-Aug-15 | 4 | 35.28 | | | | | | | | | - | - | - | 4 | 35.28 | 8.6600 | 34.64 |
| | | 06-Oct-15 | 10 | 80.80 | | | | | | | | | - | - | - | 10 | 80.80 | 8.6600 | 86.60 |
| | | 22-Jan-16 | 186 | 1,519.11 | | | | | | | | | - | - | - | 186 | 1,519.11 | 8.6600 | 1,610.76 |
| | | 17-Feb-16 | 300 | 2,620.95 | | | | | | | | | - | - | - | 300 | 2,620.95 | 8.6600 | 2,598.00 |
| | | | **500** | **4,256.14** | - | - | - | - | | - | - | - | **-** | **-** | **-** | **500** | **4,256.14** | | **4,330.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Cadence Capital Ltd | CDM | | - | - | | | | | | | | | - | - | - | - | - | | - |
| | | 26-Jun-18 | 1,000 | 1,249.95 | | | | | 04-Sep-19 | 1,000 | 1,249.95 | 750.00 | - | - | (499.95) | - | - | | - |
| | | 26-Oct-18 | 4,000 | 4,379.95 | | | | | 28-Feb-20 | 4,000 | 4,379.95 | 2,520.05 | - | - | (1,859.90) | - | - | | - |
| | | | **5,000** | **5,629.90** | - | - | - | - | | **5,000** | **5,629.90** | **3,270.05** | **-** | **-** | **(2,359.85)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Challenger Ltd | CGF | 16-Feb-16 | 900 | 6,677.96 | | | | | 10-Oct-19 | 100 | 742.00 | 669.00 | - | - | (73.00) | 800 | 5,935.96 | 4.4100 | 3,528.00 |
| | | 16-Feb-16 | | | | | | | 11-Oct-19 | 200 | 1,483.99 | 1,354.41 | - | - | (129.58) | (200) | (1,483.99) | 4.4100 | (882.00) |
| | | 25-May-20 | - | - | | 600 | 2,875.95 | | | | | | - | - | - | 600 | 2,875.95 | 4.4100 | 2,646.00 |
| | | | **900** | **6,677.96** | - | **600** | **2,875.95** | - | | **300** | **2,225.99** | **2,023.41** | **-** | **-** | **(202.58)** | **1,200** | **7,327.92** | | **5,292.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| The Citiadel Ltd | CGL | 10-Jun-20 | - | - | | 1,000 | 3,579.95 | | | | | | - | - | - | 1,000 | 3,579.95 | 3.1700 | 3,170.00 |
| | | | **-** | **-** | - | **1,000** | **3,579.95** | - | | - | - | - | **-** | **-** | **-** | **1,000** | **3,579.95** | | **3,170.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Clean TEQ HLDGS Ltd | CLQ | 09-Feb-18 | 500 | 630.00 | | | | | 12-Feb-20 | 500 | 630.00 | 127.50 | - | - | (502.50) | - | - | | |
| | | 11-Apr-18 | 1,000 | 1,179.95 | | | | | 12-Feb-20 | 1,000 | 1,179.95 | 255.00 | - | - | (924.95) | - | - | | |
| | | 03-May-18 | 1,000 | 1,000.00 | | | | | 12-Feb-20 | 500 | 500.00 | 127.50 | - | - | (372.50) | 500 | 500.00 | 0.14 | 70.00 |
| | | 29-Jun-18 | 1,000 | 830.00 | | | | | | | | | - | - | - | 1,000 | 830.00 | 0.14 | 140.00 |
| | | 25-Jul-18 | 1,500 | 1,099.95 | | | | | | | | | - | - | - | 1,500 | 1,099.95 | 0.14 | 210.00 |
| | | 06-Sep-18 | 1,000 | 540.00 | | | | | | | | | - | - | - | 1,000 | 540.00 | 0.14 | 140.00 |
| | | 09-Jul-19 | | | | 2,000 | 697.50 | | | | | | - | - | - | 2,000 | 697.50 | 0.14 | 280.00 |
| | | | **6,000** | **5,279.90** | - | **2,000** | **697.50** | - | | **2,000** | **2,309.95** | **510.00** | **-** | **-** | **(1,799.95)** | **6,000** | **3,667.45** | | **840.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Capricorn Metals Ltd | CMM | 26-Jul-19 | | | | 3,100 | 521.50 | | 26-Nov-19 | 2,480 | | | - | - | - | 620 | 521.50 | 1.80 | 1,112.90 |
| 26/11/19 consolidation | | | **-** | **-** | - | **3,100** | **521.50** | - | | **2,480** | **-** | **-** | **-** | **-** | **-** | **620** | **521.50** | | **1,112.90** |
| | | | | | | | | | | | | | | | | | | | |
| Coles Group Ltd | COL | 22-Apr-20 | | | | 200 | 3,199.95 | | 27-May-20 | 100 | 1,599.98 | 1,507.05 | - | - | (92.93) | 100 | 1,599.98 | 17.1700 | 1,717.00 |
| | | 29-Apr-20 | | | | 200 | 3,123.95 | | | | | | - | - | - | 200 | 3,123.95 | 17.1700 | 3,434.00 |
| | | | **-** | **-** | - | **400** | **6,323.90** | - | | **100** | **1,599.98** | **1,507.05** | **-** | **-** | **(92.93)** | **300** | **4,723.93** | | **5,151.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Castile Resources | CST | 02-Sep-19 | | | | 52 | 142.22 | | | | | | | - | - | 52 | 142.22 | 0.1600 | 8.32 |
| scheme | | 15-Oct-19 | | | | 75 | 178.48 | | | | | | | - | - | 75 | 178.48 | 0.1600 | 12.00 |
| | | | | | | **127** | **320.69** | - | - | - | - | - | **-** | **-** | **-** | **127** | **320.69** | | **20.32** |
| | | | | | | | | | | | | | | | | | | | |
| CSR Limited | CSR | 02-Nov-18 | 500 | 1,669.95 | | | | | 12-May-20 | 500 | 1,669.95 | 1,815.05 | 145.10 | - | - | - | - | | - |
| | | | **500** | **1,669.95** | - | - | - | - | | **500** | **1,669.95** | **1,815.05** | **145.10** | **-** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Ampol Ltd | ALD | 08-Nov-16 | 100 | 2,961.45 | | | | | 26-Nov-19 | 100 | 2,961.45 | 3,320.05 | 358.60 | - | - | - | - | | - |
| change from Caltex Australia Ltd | | 09-Nov-16 | 100 | 2,912.54 | | | | | 10-Feb-20 | 100 | 2,912.54 | 3,257.07 | 344.53 | - | - | - | - | | - |
| | | 11-Jul-18 | 100 | 3,133.95 | | | | | | | | | - | - | - | 100 | 3,133.95 | 29.3200 | 2,932.00 |
| | | 02-May-19 | 100 | 2,705.45 | | | | | | | | | - | - | - | 100 | 2,705.45 | 29.3200 | 2,932.00 |
| | | 20-Jun-19 | 100 | 2,119.95 | | | | | | | | | - | - | - | 100 | 2,119.95 | 29.3200 | 2,932.00 |
| | | 20-Jun-19 | 100 | 2,116.95 | | | | | | | | | - | - | - | 100 | 2,116.95 | 29.3200 | 2,932.00 |
| | | 14-Aug-19 | - | - | | 200 | 5,299.95 | | | | | | - | - | - | 200 | 5,299.95 | 29.3200 | 5,864.00 |
| | | | **600** | **15,950.29** | - | **200** | **5,299.95** | - | | **200** | **5,873.99** | **6,577.12** | **703.13** | **-** | **-** | **600** | **15,376.25** | | **17,592.00** |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Cedar Woods Prop | CWP | 04-Oct-16 | 100 | 490.00 | | | | | | | | | - | - | - | 100 | 490.00 | 5.2400 | 524.00 |
| | | 04-Oct-16 | (100) | (490.00) | | | | | | | | | - | - | - | (100) | (490.00) | 5.2400 | (524.00) |
| | | 20-Dec-16 | 900 | 4,643.96 | | | | | | | | | - | - | - | 900 | 4,643.96 | 5.2400 | 4,716.00 |
| | | 20-Dec-16 | (200) | (1,031.99) | | | | | | | | | - | - | - | (200) | (1,031.99) | 5.2400 | (1,048.00) |
| | | 22-Aug-17 | 1,000 | 5,209.95 | | | | | | | | | - | - | - | 1,000 | 5,209.95 | 5.2400 | 5,240.00 |
| | | | **1,700** | **8,821.92** | - | | | | | | | | **-** | **-** | **-** | **1,700** | **8,821.92** | | **8,908.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| CYBG PLC | CYB | 15-Apr-19 | 600 | 2,386.95 | | | | | 06-Aug-19 | 300 | 1,193.48 | 791.00 | - | - | (402.48) | 300 | 1,193.48 | | - |
| | | 15-Apr-19 | | | | | | | 20-Aug-19 | 300 | 1,193.48 | 773.02 | - | - | (420.46) | (300) | (1,193.48) | | - |
| | | | **600** | **2,386.95** | - | - | - | - | | **600** | **2,386.95** | **1,564.02** | **-** | **-** | **(822.93)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| D | | | | | | | | | | | | | - | - | - | - | - | | |
| Dacian Gold Ltd | DCN | 20-Jun-19 | 1,000 | 650.00 | | | | | | | | | - | - | - | 1,000 | 650.00 | 0.4450 | 445.00 |
| Allotment | | 08-May-20 | - | - | | 1,000 | 300.00 | | | | | | - | - | - | 1,000 | 300.00 | 0.4450 | 445.00 |
| | | | **1,000** | **650.00** | - | **1,000** | **300.00** | - | **00-Jan-00** | - | - | - | **-** | **-** | **-** | **2,000** | **950.00** | | **890.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Dicker Data Ltd | DDR | 14-Nov-16 | 1,000 | 2,349.95 | | | | | 30-Jul-19 | 700 | 1,644.97 | 5,046.10 | 3,401.14 | - | - | 300 | 704.99 | | |
| | | 14-Nov-16 | | | | | | | 05-Aug-19 | 300 | 704.99 | 1,639.05 | 934.07 | - | - | (300) | (704.99) | | |
| | | 02-Dec-16 | 1,000 | 2,309.95 | | | | | 16-Sep-19 | 500 | 1,154.98 | 3,500.05 | 2,345.08 | - | - | 500 | 1,154.98 | | |
| | | 02-Dec-16 | | | | | | | 14-Oct-19 | 300 | 692.99 | 2,216.58 | 1,523.60 | - | - | (300) | (692.99) | | |
| | | 02-Dec-16 | | | | | | | 18-Oct-19 | 200 | 461.99 | 1,438.05 | 976.06 | - | - | (200) | (461.99) | | |
| | | 24-May-17 | 1,000 | 2,439.95 | | | | | 28-Nov-19 | 300 | 731.99 | 1,861.05 | 1,129.07 | - | - | 700 | 1,707.97 | | |
| | | 02-Dec-16 | | | | | | | 31-Jan-20 | 200 | 487.99 | 1,326.05 | 838.06 | - | - | (200) | (487.99) | | |
| | | 02-Dec-16 | | | | | | | 20-Feb-19 | 500 | 1,219.98 | 3,337.18 | 2,117.20 | - | - | (500) | (1,219.98) | | |
| | | 27-Jun-17 | 3,000 | 7,159.95 | | | | | 20-Feb-19 | 3,000 | 7,159.95 | 20,023.07 | 12,863.12 | - | - | - | - | | |
| | | | **6,000** | **14,259.80** | - | **-** | **-** | **-** | | **6,000** | **14,259.80** | **40,387.18** | **26,127.38** | **-** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Deep Yellow Limited | DYL | 26-Sep-18 | 1,000 | 565.00 | | | | | | | | | - | - | - | 1,000 | 565.00 | 0.2050 | 205.00 |
| Allotment | | 05-Jul-19 | - | - | | 3,226 | 1,000.00 | | | | | | - | - | - | 3,226 | 1,000.00 | 0.2050 | 661.33 |
| | | | **1,000** | **565.00** | - | **3,226** | **1,000.00** | - | | - | - | - | **-** | **-** | **-** | **4,226** | **1,565.00** | | **866.33** |
| E | | | | | | | | | | | | | - | - | - | - | - | | |
| Echo Resources | EAR | 18-Feb-19 | 3,000 | 790.00 | | | | | 29-Aug-19 | 3,000 | 790.00 | 957.50 | - | 167.50 | - | - | - | | - |
| | | | **3,000** | **790.00** | - | **-** | **-** | **-** | | **3,000** | **790.00** | **957.50** | **-** | **167.50** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Enero Group Ltd | EGG | 21-Aug-19 | | - | | 1,000 | 1,814.95 | | 14-Nov-19 | 1,000 | 1,814.95 | 1,990.05 | - | 175.10 | - | - | - | | - |
| | | 09-Sep-19 | | - | | 1,000 | 2,119.95 | | 19-Nov-19 | 1,000 | 2,119.95 | 1,940.05 | - | - | (179.90) | - | - | | - |
| | | | **-** | **-** | - | **2,000** | **3,934.90** | - | | **2,000** | **3,934.90** | **3,930.10** | **-** | **175.10** | **(179.90)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Elanor Investors Grp | ENN | 19-Nov-19 | | | | 1,000 | 2,199.95 | | 18-Feb-20 | 1,000 | 2,199.95 | 2,120.05 | - | - | (79.90) | - | - | | |
| | | | **-** | **-** | - | **1,000** | **2,199.95** | - | | **1,000** | **2,199.95** | **2,120.05** | **-** | **-** | **(79.90)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Evolution Mining Ltd | EVN | 11-Sep-19 | | | | 500 | 2,379.95 | | 15-Apr-20 | 500 | 2,379.95 | 2,442.48 | - | 62.53 | - | - | - | | |
| | | 04-Oct-19 | | | | 500 | 2,299.95 | | 15-Apr-20 | 500 | 2,299.95 | 2,442.48 | - | 142.53 | - | - | - | | |
| | | 06-Nov-19 | | | | 500 | 2,069.95 | | | | | | - | - | - | 500 | 2,069.95 | 5.6700 | 2,835.00 |
| | | 14-Nov-19 | | | | 500 | 1,969.95 | | | | | | - | - | - | 500 | 1,969.95 | 5.6700 | 2,835.00 |
| | | 19-Dec-19 | | | | 1,000 | 3,369.95 | | | | | | - | - | - | 1,000 | 3,369.95 | 5.6700 | 5,670.00 |
| | | 30-Dec-19 | | | | 1,000 | 3,769.95 | | | | | | - | - | - | 1,000 | 3,769.95 | 5.6700 | 5,670.00 |
| | | 13-Feb-20 | | | | 1,000 | 4,089.95 | | | | | | - | - | - | 1,000 | 4,089.95 | 5.6700 | 5,670.00 |
| | | 18-Feb-20 | | | | 1,000 | 4,299.95 | | | | | | - | - | - | 1,000 | 4,299.95 | 5.6700 | 5,670.00 |
| | | | | | | **6,000** | **24,249.60** | - | | **1,000** | **4,679.90** | **4,884.96** | **-** | **205.06** | **-** | **5,000** | **19,569.70** | | **28,350.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| F | | | | | | | | | | | | | - | - | - | - | - | | |
| Flight Centre Travel Group Ltd | FLT | 08-Mar-19 | 100 | 4,615.65 | | | | | 13-Dec-19 | 100 | 4,615.65 | 4,369.33 | - | - | (246.32) | - | - | | - |
| | | 26-Apr-19 | 100 | 3,891.95 | | | | | 25-Feb-20 | 100 | 3,891.95 | 3,590.05 | - | - | (301.90) | - | - | | - |
| | | 09-Oct-19 | | | | 100 | 4,150.09 | | 11-May-20 | 100 | 4,150.09 | 1,073.07 | - | - | (3,077.02) | - | - | | - |
| | | 07-Nov-19 | - | - | | 100 | 3,994.90 | | 11-May-20 | 100 | 3,994.90 | 1,073.07 | - | - | (2,921.83) | - | - | | - |
| | | 06-Mar-20 | | | | 100 | 2,709.95 | | 11-May-20 | 88 | 2,384.76 | 944.30 | - | - | (1,440.45) | 12 | 325.19 | 11.1200 | 133.44 |
| | | 19-Mar-20 | | | | 100 | 1,095.95 | | 23-Jun-20 | 100 | 1,095.95 | 1,257.70 | - | 161.75 | - | - | - | | - |
| Allotment | | 08-May-20 | | | | 288 | 2,073.60 | | | | | | - | - | - | 288 | 2,073.60 | 11.1200 | 3,202.56 |
| | | | **200** | **8,507.60** | - | **688** | **14,024.49** | - | **263,589.00** | **588** | **20,133.30** | **12,307.53** | **-** | **161.75** | **(7,987.52)** | **300** | **2,398.79** | | **3,336.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| G | | | | | | | | | | | | | - | - | - | - | - | | |
| Gold Road Resources Ltd | GOR | 20-Jul-15 | 800 | 298.64 | | | | | 05-Sep-19 | 800 | 298.64 | 1,052.04 | 753.40 | - | - | - | - | | - |
| | | 04-Mar-16 | 1,050 | 534.45 | | | | | 05-Sep-19 | 200 | 101.80 | 263.01 | 161.21 | - | - | 850 | 432.65 | 1.6700 | 1,419.50 |
| | | 24-May-15 | 685 | 301.40 | | | | | | | | | - | - | - | 685 | 301.40 | 1.6700 | 1,143.95 |
| | | 01-May-17 | 1,000 | 684.95 | | | | | | | | | - | - | - | 1,000 | 684.95 | 1.6700 | 1,670.00 |
| | | 19-May-17 | 2,000 | 1,509.95 | | | | | | | | | - | - | - | 2,000 | 1,509.95 | 1.6700 | 3,340.00 |
| | | 14-May-19 | 1,000 | 1,059.95 | | | | | | | | | - | - | - | 1,000 | 1,059.95 | 1.6700 | 1,670.00 |
| | | 30-May-19 | 1,000 | 995.00 | | | | | | | | | - | - | - | 1,000 | 995.00 | 1.6700 | 1,670.00 |
| | | 10-Jul-19 | | | | 1,465 | 1,726.68 | | | | | | - | - | - | 1,465 | 1,726.68 | 1.6700 | 2,446.55 |
| | | 07-Apr-20 | | | | 1,000 | 1,409.95 | | | | | | - | - | - | 1,000 | 1,409.95 | 1.6700 | 1,670.00 |
| | | | **7,535** | **5,384.34** | - | **2,465** | **3,136.63** | - | | **1,000** | **400.44** | **1,315.05** | **914.61** | **-** | **-** | **9,000** | **8,120.53** | | **15,030.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Grange Resources FPO | GRR | 20-Feb-19 | 2,000 | 570.00 | | | | | 04-Sep-19 | 2,000 | 570.00 | 460.00 | - | - | (110.00) | - | - | | - |
| | | | **2,000** | **570.00** | - | **-** | **-** | **-** | | **2,000** | **570.00** | **460.00** | **-** | **-** | **(110.00)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| H | | | | | | | | | | | | | - | - | - | - | - | | - |
| Helloword Travel Ltd | HLO | 05-Aug-19 | | | | 300 | 1,444.95 | | 07-Nov-19 | 300 | 1,444.95 | 1,291.12 | - | - | (153.83) | - | - | | - |
| | | | **-** | **-** | - | **300** | **1,444.95** | - | **43,776.00** | **300** | **1,444.95** | **1,291.12** | **-** | **-** | **(153.83)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Healius Fpo | HLS | 29-Jul-19 | | | | 1,000 | 2,959.95 | | 15-Oct-19 | 1,000 | 2,959.95 | 3,030.05 | - | 70.10 | - | - | - | | - |
| | | 15-Aug-19 | | | | 1,000 | 2,799.95 | | 14-Nov-19 | 200 | 559.99 | 624.00 | - | 64.01 | - | 800 | 2,239.96 | | - |
| | | 15-Aug-19 | | | | | | | 21-Nov-19 | 800 | 2,239.96 | 2,464.04 | - | 224.08 | - | (800) | (2,239.96) | | - |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 16-Aug-19 | | | | 1,000 | 2,919.95 | | | 200 | 583.99 | 616.01 | - | 32.02 | - | 800 | 2,335.96 | | - |
| | | 16-Aug-19 | | | | | | | 03-Dec-19 | 800 | 2,335.96 | 2,399.10 | - | 63.14 | - | (800) | (2,335.96) | | - |
| | | | - | - | - | **3,000** | **8,679.85** | - | | **3,000** | **8,679.85** | **9,133.20** | - | **453.35** | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Home Consortium | HMC | 24-Oct-19 | | | | 1,000 | 3,849.95 | | 31-Oct-19 | 1,000 | 3,849.95 | 3,870.05 | - | 20.10 | - | - | - | | - |
| | | | | | | **1,000** | **3,849.95** | - | | **1,000** | **3,849.95** | **3,870.05** | - | **20.10** | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Harvey Norman RTS 04Oct | HVNRB | 01-Jul-19 | | | | 30 | | | 04-Oct-19 | 30 | | 42.50 | - | 42.50 | - | - | - | | - |
| | | | | | | **30** | - | - | | **30** | - | **42.50** | - | **42.50** | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Harvey Norman | HVN | 30-Nov-18 | 500 | 1,597.45 | | | | | 16-Oct-19 | 100 | 319.49 | 389.00 | - | 69.51 | - | 400 | 1,277.96 | | - |
| | | 30-Nov-18 | - | - | | | | | 12-Mar-20 | 400 | 1,277.96 | 1,204.05 | - | - | (73.91) | (400) | (1,277.96) | | - |
| | | | **500** | **1,597.45** | - | - | - | - | | **500** | **1,597.45** | **1,593.05** | - | **69.51** | **(73.91)** | - | - | - | - |
| I | | | | | | | | | | | | | - | - | - | - | - | | |
| Insurance Australia | IAG | 05-Feb-19 | 500 | 3,702.45 | | | | | 24-Jan-20 | 500 | 3,702.45 | 3,627.55 | - | - | (74.90) | - | - | | - |
| | | | **500** | **3,702.45** | - | - | - | - | | **500** | **3,702.45** | **3,627.55** | - | - | **(74.90)** | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| IDP Education Limited FPO | IEL | 02-Mar-20 | | | | 200 | 3,810.81 | | 03-Mar-20 | 200 | 3,810.81 | 4,282.05 | - | 471.24 | - | - | - | 15.4900 | - |
| | | 18-Mar-20 | | | | 200 | 2,919.95 | | 02-Apr-20 | 100 | 1,459.98 | 1,461.05 | - | 1.08 | - | 100 | 1,459.98 | 15.4900 | 1,549.00 |
| | | 18-Mar-20 | | | | | | | 13-May-20 | 100 | 1,459.98 | 1,440.76 | - | - | (19.22) | (100) | (1,459.98) | 15.4900 | (1,549.00) |
| | | 19-Mar-20 | | | | 100 | 1,071.95 | | 13-May-20 | 100 | 1,071.95 | 1,440.76 | - | 368.81 | - | - | - | 15.4900 | - |
| Allotment | | 12-May-20 | | | | 470 | 5,000.00 | | 13-May-20 | 270 | 2,872.34 | 3,890.04 | - | 1,017.70 | - | 200 | 2,127.66 | 15.4900 | 3,098.00 |
| | | 12-May-20 | | | | | | | 10-Jun-20 | 100 | 1,063.83 | 1,690.05 | - | 626.22 | - | (100) | (1,063.83) | 15.4900 | (1,549.00) |
| | | | - | - | - | **970** | **12,802.71** | - | | **870** | **11,738.88** | **14,204.70** | - | **2,485.04** | **(19.22)** | **100** | **1,063.83** | | **1,549.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Iluka Resources | ILU | 04-Sep-19 | - | - | | 200 | 1,467.95 | | 25-Oct-19 | 200 | 1,467.95 | 1,731.05 | - | 263.10 | - | - | - | | - |
| | | | - | - | - | **200** | **1,467.95** | - | | **200** | **1,467.95** | **1,731.05** | - | **263.10** | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Imdex Limited | IMD | 28-Nov-19 | - | - | | 1,000 | 1,629.95 | | 17-Feb-20 | 1,000 | 1,629.95 | 1,385.05 | - | - | (244.90) | - | - | | - |
| | | | - | - | - | **1,000** | **1,629.95** | - | | **1,000** | **1,629.95** | **1,385.05** | - | - | **(244.90)** | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| K | | | | | | | | | | | | | - | - | - | - | - | | |
| Kina Securities Ltd | KSL | 05-Jul-18 | 1,000 | 860.00 | | | | | | | | | - | - | - | 1,000 | 860.00 | 1.0700 | 1,070.00 |
| | | | **1,000** | **860.00** | - | - | - | - | | - | - | - | - | - | - | **1,000** | **860.00** | | **1,070.00** |
| L | | | | | | | | | | | | | - | - | - | - | - | | |
| Lynas Corporation Ltd | LYC | 07-May-18 | 400 | 1,155.95 | | | | | | | | | - | - | - | 400 | 1,155.95 | 1.9350 | 774.00 |
| | | 04-May-18 | 200 | 506.00 | | | | | | | | | - | - | - | 200 | 506.00 | 1.9350 | 387.00 |
| | | 06-Sep-18 | 600 | 1,255.95 | | | | | | | | | - | - | - | 600 | 1,255.95 | 1.9350 | 1,161.00 |
| | | | **1,200** | **2,917.90** | - | - | - | - | | - | - | - | - | - | - | **1,200** | **2,917.90** | | **2,322.00** |
| | | | | | | | | | | | | | | | | | | | |
| Lycopodium Ltd | LYL | 30-Jul-19 | | | | 300 | 1,720.95 | | | | | | - | - | - | 300 | 1,720.95 | 4.7400 | 1,422.00 |
| | | 31-Jul-19 | | | | 300 | 1,747.95 | | | | | | - | - | - | 300 | 1,747.95 | 4.7400 | 1,422.00 |
| | | 24-Sep-19 | | | | 400 | 2,219.95 | | | | | | - | - | - | 400 | 2,219.95 | 4.7400 | 1,896.00 |
| | | 08-Nov-19 | | | | 200 | 1,073.95 | | | | | | - | - | - | 200 | 1,073.95 | 4.7400 | 948.00 |
| | | 19-Jun-19 | | | | 200 | 974.00 | | | | | | - | - | - | 200 | 974.00 | 4.7400 | 948.00 |
| | | | - | - | - | **1,400** | **7,736.80** | - | | - | - | - | - | - | - | **1,400** | **7,736.80** | | **6,636.00** |
| M | | | | | | | | | | | | | - | - | - | - | - | | |
| Metal Bank Ltd FPO | MBK | 16-Oct-17 | 27,000 | 739.00 | | | | | | | | | - | - | - | 27,000 | 739.00 | 0.0090 | 243.00 |
| | | 24-Nov-17 | 5,400 | 108.00 | | | | | | | | | - | - | - | 5,400 | 108.00 | 0.0090 | 48.60 |
| | | 11-Apr-18 | 30,000 | 520.00 | | | | | | | | | - | - | - | 30,000 | 520.00 | 0.0090 | 270.00 |
| | | | **62,400** | **1,367.00** | - | - | - | - | | - | - | - | - | - | - | **62,400** | **1,367.00** | | **561.60** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Mineral Resources Ltd | MIN | 23-Jun-17 | 100 | 935.00 | | | | | 16-Oct-19 | 100 | 935.00 | 1,255.55 | 320.55 | - | - | - | - | | - |
| | | 26-Jun-17 | 200 | 1,869.95 | | | | | 17-Oct-19 | 200 | 1,869.95 | 2,529.05 | 659.10 | - | - | - | - | | - |
| | | 27-Jun-17 | 100 | 964.00 | | | | | 13-Mar-20 | 100 | 964.00 | 1,310.05 | 346.05 | - | - | - | - | | - |
| | | 10-Jul-18 | 150 | 2,361.21 | | | | | | | | | - | - | - | 150 | 2,361.21 | 21.1700 | 3,175.50 |
| | | 09-Aug-18 | 50 | 800.00 | | | | | | | | | - | - | - | 50 | 800.00 | 21.1700 | 1,058.50 |
| | | 16-Aug-18 | 200 | 2,808.95 | | | | | | | | | - | - | - | 200 | 2,808.95 | 21.1700 | 4,234.00 |
| | | 21-Nov-18 | 200 | 2,567.95 | | | | | | | | | - | - | - | 200 | 2,567.95 | 21.1700 | 4,234.00 |
| | | 01-Feb-19 | 100 | 1,562.95 | | | | | | | | | - | - | - | 100 | 1,562.95 | 21.1700 | 2,117.00 |
| | | 01-Mar-19 | 100 | 1,509.57 | | | | | | | | | - | - | - | 100 | 1,509.57 | 21.1700 | 2,117.00 |
| | | 03-Jun-19 | 200 | 2,954.95 | | | | | | | | | - | - | - | 200 | 2,954.95 | 21.1700 | 4,234.00 |
| | | | **1,400** | **18,334.53** | - | - | - | - | | **400** | **3,768.95** | **5,094.65** | **1,325.70** | - | - | **1,000** | **14,565.58** | | **21,170.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Monadelphous Group | MND | 25-Feb-16 | 600 | 3,979.95 | | | | | | | | | - | - | - | 600 | 3,979.95 | 10.8200 | 6,492.00 |
| | | | **600** | **3,979.95** | - | - | - | - | | - | - | - | - | - | - | **600** | **3,979.95** | | **6,492.00** |
| | | | | | | | | | | | | | | | | | | | |
| Mortgage Choice Ltd | MOC | 10-Oct-19 | | | | 1,000 | 1,199.95 | | 02-Jan-20 | 1,000 | 1,199.95 | 1,235.05 | - | 35.10 | - | - | - | | - |
| | | | | | | **1,000** | **1,199.95** | - | | **1,000** | **1,199.95** | **1,235.05** | - | **35.10** | - | - | - | | - |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | Price @ 30/06/20 | Market Value |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Medibank Private Ltd | MPL | 15-Apr-20 | | | | 1,000 | 2,779.95 | | | | | | - | - | - | 1,000 | 2,779.95 | 2.9900 | 2,990.00 |
| | | | **-** | **-** | **-** | **1,000** | **2,779.95** | **-** | | **-** | **-** | **-** | **-** | **-** | **-** | **1,000** | **2,779.95** | | **2,990.00** |
| N | | | | | | | | | | | | | - | - | - | - | - | | |
| National Australia Bank Ltd | NAB | 28-Oct-16 | 300 | 8,371.46 | | | | | 27-Aug-19 | 100 | 2,790.49 | 2,713.05 | - | - | (77.44) | 200 | 5,580.98 | 18.2200 | 3,644.00 |
| | | 28-Oct-16 | | | | | | | 03-Oct-19 | 100 | 2,790.49 | 2,785.05 | - | - | (5.44) | (100) | (2,790.49) | 18.2200 | (1,822.00) |
| | | 28-Oct-16 | | | | | | | 10-Oct-19 | 100 | 2,790.49 | 2,494.05 | - | - | (296.44) | (100) | (2,790.49) | 18.2200 | (1,822.00) |
| | | 02-Nov-16 | 200 | 5,503.95 | | | | | 26-May-20 | 200 | 5,503.95 | 3,265.06 | - | - | (2,238.89) | - | - | 18.2200 | - |
| | | 15-Oct-18 | 100 | 2,571.70 | | | | | | | | | - | - | - | 100 | 2,571.70 | 18.2200 | 1,822.00 |
| | | 02-Nov-18 | 200 | 5,029.95 | | | | | | | | | - | - | - | 200 | 5,029.95 | 18.2200 | 3,644.00 |
| | | | **800** | **21,477.06** | **-** | **-** | **-** | **-** | | **500** | **13,875.41** | **11,257.21** | **-** | **-** | **(2,618.20)** | **300** | **7,601.65** | | **5,466.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Nick Scali Ltd | NCK | 23-Mar-20 | | | | 500 | 1,398.92 | | 24-Mar-20 | 500 | 1,398.92 | 1,570.05 | - | 171.13 | - | - | - | | - |
| | | | **-** | **-** | **-** | **500** | **1,398.92** | **-** | | **500** | **1,398.92** | **1,570.05** | **-** | **171.13** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Newcrest Ltd | NCM | 23-Feb-17 | 59 | 1,371.65 | | | | | 18-Sep-19 | 59 | 1,371.65 | 2,082.37 | 710.72 | - | - | - | - | | - |
| | | 06-Mar-17 | 37 | 787.36 | | | | | 18-Sep-19 | 37 | 787.36 | 1,305.89 | 518.53 | - | - | - | - | | - |
| | | 28-Apr-17 | 3 | 72.34 | | | | | 18-Sep-19 | 3 | 72.34 | 105.88 | 33.54 | - | - | - | - | | - |
| | | 27-Oct-17 | 1 | 9.68 | | | | | 18-Sep-19 | 1 | 9.68 | 35.29 | 25.61 | - | - | - | - | | - |
| | | 02-May-18 | 1 | 19.12 | | | | | 18-Sep-19 | 1 | 19.12 | 35.29 | 16.17 | - | - | - | - | | - |
| | | 05-Oct-18 | 1 | 15.20 | | | | | 18-Sep-19 | 1 | 15.20 | 35.29 | - | 20.09 | - | - | - | | - |
| | | 09-Oct-18 | 100 | 1,956.95 | | | | | 06-Mar-20 | 100 | 1,956.95 | 2,895.35 | 938.40 | - | - | - | - | | - |
| | | 18-Apr-18 | 200 | 5,077.94 | | | | | 06-Mar-20 | 200 | 5,077.94 | 5,790.70 | 712.76 | - | - | - | - | | - |
| | | | **402** | **9,310.24** | **-** | **-** | **-** | **-** | | **402** | **9,310.24** | **12,286.08** | **2,955.75** | **20.09** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Nine Entertaiment Ltd | NEC | 10-Jul-19 | - | - | | 1,000 | 2,004.95 | | 19-Mar-20 | 1,000 | 2,004.95 | 945.00 | - | - | (1,059.95) | - | - | | - |
| | | | **-** | **-** | **-** | **1,000** | **2,004.95** | **-** | | **1,000** | **2,004.95** | **945.00** | **-** | **-** | **(1,059.95)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Northern Star Ltd | NST | 30-Apr-18 | 200 | 1,289.30 | | | | | | | | | - | - | - | 200 | 1,289.30 | 13.36 | 2,672.00 |
| | | 04-May-18 | 200 | 1,347.95 | | | | | | | | | - | - | - | 200 | 1,347.95 | 13.36 | 2,672.00 |
| | | 22-May-18 | 100 | 642.00 | | | | | | | | | - | - | - | 100 | 642.00 | 13.36 | 1,336.00 |
| | | 23-May-18 | 100 | 630.00 | | | | | | | | | - | - | - | 100 | 630.00 | 13.36 | 1,336.00 |
| | | 24-May-18 | 100 | 629.50 | | | | | | | | | - | - | - | 100 | 629.50 | 13.36 | 1,336.00 |
| | | 24-Apr-19 | 200 | 1,635.95 | | | | | | | | | - | - | - | 200 | 1,635.95 | 13.36 | 2,672.00 |
| Allotment | | 03-Feb-20 | | | | 121 | 1,089.00 | | | | | | - | - | - | 121 | 1,089.00 | 13.36 | 1,616.56 |
| | | | **900** | **6,174.70** | **-** | **121** | **1,089.00** | **-** | **00-Jan-00** | **-** | **-** | **-** | **-** | **-** | **-** | **1,021** | **7,263.70** | | **13,640.56** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| O | | | | | | | | | | | | | - | - | - | - | - | | |
| OceanaGold Corp | OGC | 15-Oct-19 | - | | | 800 | 3,071.79 | | 16-Oct-19 | 800 | 3,071.79 | 2,684.05 | - | - | (387.74) | - | - | | - |
| | | 09-Dec-19 | - | | | 1,000 | 2,677.11 | | | | | | - | - | - | 1,000 | 2,677.11 | 3.04 | 3,040.00 |
| | | 12-Mar-20 | - | | | 1,000 | 2,199.95 | | | | | | - | - | - | 1,000 | 2,199.95 | 3.04 | 3,040.00 |
| | | | **-** | | **-** | **2,800** | **7,948.85** | **-** | | **800** | **3,071.79** | **2,684.05** | **-** | **-** | **(387.74)** | **2,000** | **4,877.06** | | **6,080.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Orora Limited FPO | ORA | 04-May-16 | 500 | 1,349.98 | | | | | 16-Aug-19 | 500 | 1,349.98 | 1,370.01 | 20.04 | - | - | - | - | | - |
| | | 16-Aug-16 | 1,500 | 4,684.95 | | | | | 16-Aug-19 | 1,500 | 4,684.95 | 4,110.04 | - | - | (574.91) | - | - | | - |
| | | | **2,000** | **6,034.93** | **-** | **-** | **-** | **-** | | **2,000** | **6,034.93** | **5,480.05** | **20.04** | **-** | **(574.91)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | - | - |
| Oricobre Litmted FPO | ORE | | - | - | | | | | | | | | - | - | - | - | - | - | - |
| | | 06-Apr-18 | 300 | 1,445.97 | | | | | 07-Oct-19 | 100 | 481.99 | 232.28 | - | - | (249.71) | 200 | 963.98 | - | - |
| | | 06-Apr-18 | | | | | | | 15-Oct-19 | 200 | 963.98 | 474.01 | - | - | (489.97) | (200) | (963.98) | - | - |
| | | 01-May-18 | 100 | 570.50 | | | | | | 100 | 570.50 | 237.01 | - | - | (333.50) | - | - | - | - |
| | | 03-May-18 | 200 | 1,195.95 | | | | | | 200 | 1,195.95 | 474.01 | - | - | (721.94) | - | - | - | - |
| | | 31-Jul-18 | 500 | 2,324.56 | | | | | | 500 | 2,324.56 | 1,185.03 | - | - | (1,139.54) | - | - | | |
| | | 21-Sep-18 | 500 | 2,064.52 | | | | | | | | | - | - | - | 500 | 2,064.52 | 2.3100 | 1,155.00 |
| | | 11-Jun-19 | 800 | 2,579.95 | | | | | | | | | - | - | - | 800 | 2,579.95 | 2.3100 | 1,848.00 |
| | | 06-Aug-19 | | | | 600 | 1,567.92 | | | | | | - | - | - | 600 | 1,567.92 | 2.3100 | 1,386.00 |
| | | | **2,400** | **10,181.45** | **-** | **600** | **1,567.92** | **-** | | **1,100** | **5,536.98** | **2,602.33** | **-** | **-** | **(2,934.65)** | **1,900** | **6,212.39** | | **4,389.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Oil Search Ltd | OSH | 21-Apr-20 | | | | 500 | 1,269.95 | | | | | | - | - | - | 500 | 1,269.95 | 3.17 | 1,585.00 |
| | | | | | | **500** | **1,269.95** | **-** | **00-Jan-00** | **-** | **-** | **-** | **-** | **-** | **-** | **500** | **1,269.95** | | **1,585.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| OZ Minerals Ltd | OZL | 17-Jun-19 | 300 | 2914.95 | | | | | 22-Oct-19 | 100 | 971.65 | 979.00 | - | 7.35 | - | 200 | 1,943.30 | 10.96 | 2,192.00 |
| | | 27-Aug-19 | | | | 300 | 2,614.95 | | | | | | - | - | - | 300 | 2,614.95 | 10.96 | 3,288.00 |
| | | | **300** | **2,914.95** | **-** | **300** | **2,614.95** | **-** | | **100** | **971.65** | **979.00** | **-** | **7.35** | **-** | **500** | **4,558.25** | | **5,480.00** |
| P | | | | | | | | | | | | | - | - | - | - | - | | |
| Panoramic Resources Ltd | PAN | 23-Feb-16 | 599 | 95.24 | | | | | 25-Feb-20 | 599 | 95.24 | 123.70 | 28.46 | - | - | - | - | | - |
| | | 03-May-16 | 2,501 | 251.00 | | | | | 25-Feb-20 | 2,501 | 251.00 | 516.48 | 265.48 | - | - | - | - | | - |
| | | 14-Jul-16 | 1,900 | 532.95 | | | | | 25-Feb-20 | 1,900 | 532.95 | 392.37 | - | - | (140.58) | - | - | | - |
| | | 01-Mar-18 | 715 | 243.10 | | | | | 25-Feb-20 | 715 | 243.10 | 147.65 | - | - | (95.45) | - | - | | - |
| | | | **5,715** | **1,122.29** | **-** | **-** | **-** | **-** | | **5,715** | **1,122.29** | **1,180.20** | **293.94** | **-** | **(236.03)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Pointsbet Holdings Ltd | PBH | 05-Aug-19 | | | | 300 | 973.00 | | 28-Sep-19 | 300 | 973.00 | 863.00 | - | - | (110.00) | - | - | | - |
| | | 31-Jan-20 | | | | 200 | 1,059.95 | | 15-Apr-20 | 100 | 529.98 | 361.00 | - | - | (168.98) | 100 | 529.98 | | - |
| | | 31-Jan-20 | | | | | | | 16-Apr-20 | 100 | 529.98 | 331.15 | - | - | (198.83) | (100) | (529.98) | | - |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | 26-Feb-20 | | | | 200 | 872.00 | | 16-Apr-20 | 200 | 872.00 | 662.30 | - | - | (209.70) | - | - | | - |
| | | 18-Mar-20 | | | | 400 | 648.00 | | 16-Apr-20 | 400 | 648.00 | 1,324.60 | - | 676.60 | - | - | - | | - |
| | | | - | - | - | **1,100** | **3,552.95** | - | | **1,100** | **3,552.95** | **3,542.05** | - | **676.60** | **(687.50)** | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Paladin Energy ltd | PDN | 16-Feb-18 | 2,500 | 516.25 | | | | | | | | | - | - | - | 2,500 | 516.25 | 0.1000 | 250.00 |
| | | 15-Jun-18 | 2,600 | 504.00 | | | | | | | | | - | - | - | 2,600 | 504.00 | 0.1000 | 260.00 |
| | | 19-Jun-20 | | | | 5,000 | 510.00 | | | | | | - | - | - | 5,000 | 510.00 | 0.1000 | 500.00 |
| | | | **5,100** | **1,020.25** | - | **5,000** | **510.00** | - | **00-Jan-00** | - | - | - | - | - | - | **10,100** | **1,530.25** | | **1,010.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Pact Group HLDGS Ltd | PGH | 30-May-19 | 1,000 | 2,359.95 | | | | | 14-Aug-19 | 1,000 | 2,359.95 | 2,544.28 | - | 184.33 | - | - | - | | - |
| | | 28-Jun-19 | 1,000 | 2,669.95 | | | | | 14-Aug-19 | 1,000 | 2,669.95 | 2,544.28 | - | - | (125.67) | - | - | | - |
| | | | **2,000** | **5,029.90** | - | - | - | - | | **2,000** | **5,029.90** | **5,088.56** | - | **184.33** | **(125.67)** | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Premier Investment FPO | PMV | 03-Jul-17 | 100 | 1,286.95 | | | | | 07-May-20 | 100 | 1,286.95 | 1,507.67 | 220.72 | - | - | - | - | | - |
| | | 05-Jul-17 | 100 | 1,328.95 | | | | | 07-May-20 | 100 | 1,328.95 | 1,507.67 | 178.72 | - | - | - | - | | - |
| | | 07-Sep-17 | 200 | 2,647.95 | | | | | 07-May-20 | 200 | 2,647.95 | 3,015.35 | 367.40 | - | - | - | - | | - |
| | | | **400** | **5,263.85** | - | - | - | - | | **400** | **5,263.85** | **6,030.69** | **766.84** | - | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Peet Limited | PPC | 20-Mar-19 | 1,000 | 970.00 | | | | | 26-Feb-20 | 1,000 | 970.00 | 1,249.18 | - | 279.18 | - | - | - | | - |
| | | | **1,000** | **970.00** | - | - | - | - | | **1,000** | **970.00** | **1,249.18** | - | **279.18** | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Platinum Asset Ltd | PTM | 09-Jun-17 | 900 | 4,166.96 | | | | | 29-Aug-19 | 900 | 4,166.96 | 3,400.05 | - | - | (766.91) | - | - | | - |
| | | 19-May-20 | | | | 600 | 2,257.95 | | | | | | - | - | - | 600 | 2,257.95 | 3.7300 | 2,238.00 |
| | | | **900** | **4,166.96** | - | **600** | **2,257.95** | - | | **900** | **4,166.96** | **3,400.05** | - | - | **(766.91)** | **600** | **2,257.95** | | **2,238.00** |
| Q | | | | | | | | | | | | | - | - | - | - | - | | |
| Quantm Intellectual Ltd | QIP | 31-Dec-19 | | | | 1,000 | 1,381.90 | | | | | | - | - | - | 1,000 | 1,381.90 | 1.1800 | 1,180.00 |
| | | | - | - | - | **1,000** | **1,381.90** | - | | - | - | - | - | - | - | **1,000** | **1,381.90** | | **1,180.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| QV Equities Limited | QVE | 20-May-19 | 5,000 | 5,319.95 | | | | | | | | | - | - | - | 5,000 | 5,319.95 | 0.7950 | 3,975.00 |
| | | 20-Jun-19 | 1,600 | 1,667.95 | | | | | | | | | - | - | - | 1,600 | 1,667.95 | 0.7950 | 1,272.00 |
| | | | **6,600** | **6,987.90** | - | - | - | - | | - | - | - | - | - | - | **6,600** | **6,987.90** | | **5,247.00** |
| R | | | | | | | | | | | | | - | - | - | - | - | | |
| Ramelius Resources Ltd | RMS | 03-Dec-19 | - | - | | 1,000 | 997.50 | | | | | | - | - | - | 1,000 | 997.50 | 1.9900 | 1,990.00 |
| | | | - | - | - | **1,000** | **997.50** | - | | - | - | - | - | - | - | **1,000** | **997.50** | | **1,990.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Regis Resources Ltd | RRL | | | | | | | | | | | | - | - | - | - | - | | - |
| | | 25-May-17 | 400 | 1,275.98 | | | | | 12-Jul-19 | 400 | 1,275.98 | 2,176.02 | 900.04 | - | - | - | - | | - |
| | | 08-Sep-17 | 1,000 | 4,254.95 | | | | | 12-Jul-19 | 600 | 2,552.97 | 3,264.03 | 711.06 | - | - | 400 | 1,701.98 | | - |
| | | 08-Sep-17 | | | | | | | 25-Jul-19 | 400 | 1,701.98 | 2,240.02 | 538.04 | - | - | (400) | (1,701.98) | | - |
| | | 20-Feb-18 | 1,000 | 4,129.95 | | | | | 25-Jul-19 | 600 | 2,477.97 | 3,360.03 | 882.06 | - | - | 400 | 1,651.98 | | - |
| | | 20-Feb-18 | | | | | | | 06-Sep-19 | 200 | 825.99 | 997.05 | 171.06 | - | - | (200) | (825.99) | | - |
| | | 20-Feb-18 | | | | | | | 26-Feb-20 | 200 | 825.99 | 864.00 | 38.01 | - | - | (200) | (825.99) | | - |
| | | 21-Feb-18 | 1,000 | 4,134.95 | | | | | 18-Mar-20 | 100 | 413.50 | 354.00 | - | - | (59.50) | 900 | 3,721.46 | | - |
| | | 21-Feb-18 | | | | | | | 15-Apr-20 | 900 | 3,721.46 | 3,708.05 | - | - | (13.41) | (900) | (3,721.46) | | - |
| | | 06-Aug-18 | 1,600 | 6,819.95 | | | | | 15-Apr-20 | 100 | 426.25 | 412.01 | - | - | (14.24) | 1,500 | 6,393.70 | 5.2200 | 7,830.00 |
| | | 08-Oct-18 | 1,000 | 3,969.95 | | | | | | | | | - | - | - | 1,000 | 3,969.95 | 5.2200 | 5,220.00 |
| | | 06-Dec-18 | 1,000 | 4,075.78 | | | | | | | | | - | - | - | 1,000 | 4,075.78 | 5.2200 | 5,220.00 |
| | | 13-Dec-19 | | | | 1,000 | 4,264.95 | | | | | | - | - | - | 1,000 | 4,264.95 | 5.2200 | 5,220.00 |
| | | 07-Apr-20 | | | | 1,000 | 4,119.95 | | | | | | - | - | - | 1,000 | 4,119.95 | 5.2200 | 5,220.00 |
| | | 23-Apr-20 | | | | 1,000 | 4,549.95 | | | | | | - | - | - | 1,000 | 4,549.95 | 5.2200 | 5,220.00 |
| | | | **7,000** | **28,661.51** | - | **3,000** | **12,934.85** | - | | **3,500** | **14,222.08** | **17,375.20** | **3,240.27** | - | **(87.15)** | **6,500** | **27,374.28** | | **33,930.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Resolute Mining | RSG | 02-Sep-19 | | - | | 400 | 664.00 | | 30-Dec-19 | 400 | 664.00 | 485.81 | - | - | (178.19) | - | - | | - |
| | | 07-Oct-19 | | | | 1,000 | 1,439.95 | | 30-Dec-19 | 1,000 | 1,439.95 | 1,214.53 | - | - | (225.43) | - | - | | - |
| | | 10-Oct-19 | | | | 1,600 | 2,155.95 | | 30-Dec-19 | 1,600 | 2,155.95 | 1,943.24 | - | - | (212.71) | - | - | | - |
| | | 27-Dec-19 | | | | 1,000 | 1,252.45 | | 30-Dec-19 | 1,000 | 1,252.45 | 1,214.53 | - | - | (37.93) | - | - | | - |
| | | | | | | **4,000** | **5,512.35** | - | | **4,000** | **5,512.35** | **4,858.10** | - | - | **(654.25)** | - | - | - | - |
| Reliance Worlwide | RWC | 08-Aug-18 | - | - | | | | | | | | | - | - | - | - | - | | - |
| | | 04-Mar-19 | 300 | 1,399.95 | | | | | 21-Aug-19 | 300 | 1,399.95 | 985.41 | - | - | (414.54) | - | - | | - |
| | | 13-May-19 | 500 | 1,909.49 | | | | | 21-Aug-19 | 500 | 1,909.49 | 1,642.35 | - | - | (267.14) | - | - | | - |
| | | 06-Jun-19 | 600 | 2,113.95 | | | | | 21-Aug-19 | 600 | 2,113.95 | 1,970.82 | - | - | (143.13) | - | - | | - |
| | | | **1,400** | **5,423.39** | - | - | - | - | | **1,400** | **5,423.39** | **4,598.59** | - | - | **(824.80)** | - | - | - | - |
| S | | | | | | | | | | | | | - | - | - | - | - | | |
| South 32 | S32 | 17-Oct-19 | | | | 500 | 1,272.45 | | 13-Feb-20 | 500 | 1,272.45 | 1,292.53 | - | 20.08 | - | - | - | | - |
| | | 17-Oct-19 | | | | 500 | 1,254.95 | | 13-Feb-20 | 500 | 1,254.95 | 1,292.53 | - | 37.58 | - | - | - | | - |
| | | | - | - | - | **1,000** | **2,527.40** | - | | **1,000** | **2,527.40** | **2,585.05** | - | **57.65** | - | - | - | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Saracen Mineral FPO | SAR | 29-Nov-18 | 300 | 733.00 | | | | | 10-Dec-19 | 218 | 532.65 | 625.47 | 92.82 | - | - | 82 | 200.35 | | - |
| | | 29-Nov-18 | | | | | | | 06-Jan-20 | 82 | 200.35 | 291.92 | 91.57 | - | - | (82) | (200.35) | | - |
| | | 20-Aug-19 | | | | 300 | 1,081.95 | | 06-Jan-20 | 300 | 1,081.95 | 1,068.02 | - | - | (13.93) | - | - | | - |
| | | 21-Aug-19 | | | | 400 | 1,519.95 | | 06-Jan-20 | 400 | 1,519.95 | 1,424.02 | - | - | (95.93) | - | - | | - |
| | | 26-Aug-19 | | | | 500 | 2,019.95 | | 06-Jan-20 | 218 | 880.70 | 776.09 | - | - | (104.61) | 282 | 1,139.25 | | - |
| | | 26-Aug-19 | | | | | | | 19-Feb-20 | 282 | 1,139.25 | 1,195.69 | - | 56.44 | - | (282) | (1,139.25) | | - |
| | | 05-Sep-19 | | | | 500 | 1,919.95 | | 19-Feb-20 | 500 | 1,919.95 | 2,120.01 | - | 200.06 | - | - | - | | - |
| | | 11-Sep-19 | | | | 500 | 1,664.95 | | 19-Feb-20 | 500 | 1,664.95 | 2,120.01 | - | 455.06 | - | - | - | | - |
| | | 18-Sep-19 | | | | 1,000 | 3,489.95 | | 19-Feb-20 | 718 | 2,505.78 | 3,044.34 | - | 538.55 | - | 282 | 984.17 | 5.4200 | 1,528.44 |
| | | 03-Oct-19 | | | | 800 | 2,923.95 | | | | | | - | - | - | 800 | 2,923.95 | 5.4200 | 4,336.00 |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | Price @ 30/06/20 | Market Value |
| | | 15-Oct-19 | | | | 800 | 2,699.95 | | | | | | - | - | - | 800 | 2,699.95 | 5.4200 | 4,336.00 |
| | | 16-Oct-19 | | | | 1,000 | 3,279.95 | | | | | | - | - | - | 1,000 | 3,279.95 | 5.4200 | 5,420.00 |
| | | 18-Oct-19 | | | | 400 | 1,339.95 | | | | | | - | - | - | 400 | 1,339.95 | 5.4200 | 2,168.00 |
| | | 08-Nov-19 | | | | 500 | 1,719.95 | | | | | | - | - | - | 500 | 1,719.95 | 5.4200 | 2,710.00 |
| | | 20-Nov-19 | | | | 1,000 | 3,069.95 | | | | | | - | - | - | 1,000 | 3,069.95 | 5.4200 | 5,420.00 |
| Allotment | | 13-Dec-19 | | | | 1,218 | 3,593.10 | | | | | | - | - | - | 1,218 | 3,593.10 | 5.4200 | 6,601.56 |
| | | | **300** | **733.00** | - | **8,918** | **30,323.50** | - | | **3,218** | **11,445.53** | **12,665.57** | **184.39** | **1,250.11** | **(214.47)** | **6,000** | **19,610.97** | | **32,520.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| ST Barbara Ltd | SBM | 09-Apr-19 | 1,000 | 3,323.14 | | | | | 10-Oct-19 | 1,000 | 3,323.14 | 2,750.05 | - | - | (573.09) | - | - | | - |
| | | 29-Apr-19 | 1,000 | 3,359.95 | | | | | 09-Mar-20 | 1,000 | 3,359.95 | 2,385.05 | - | - | (974.90) | - | - | | - |
| | | 17-May-19 | 1,000 | 2,679.95 | | | | | 10-Mar-20 | 1,000 | 2,679.95 | 2,305.05 | - | - | (374.90) | - | - | | - |
| | | 17-May-19 | 1,000 | 2,779.95 | | | | | 12-Mar-20 | 1,000 | 2,779.95 | 2,125.05 | - | - | (654.90) | - | - | | - |
| | | 13-Sep-19 | | | | 1,000 | 2,899.95 | | | | | | - | - | - | 1,000 | 2,899.95 | 3.1500 | 3,150.00 |
| | | | **4,000** | **12,142.99** | - | **1,000** | **2,899.95** | - | | **4,000** | **12,142.99** | **9,565.20** | **-** | **-** | **(2,577.79)** | **1,000** | **2,899.95** | | **3,150.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| ST George Min Ltd OPT 30-09-2020 | SGQOB | 03-Oct-17 | 520 | - | | | | | | | | | - | - | - | 520 | - | 0.0280 | 14.56 |
| | | | **520** | **-** | - | - | - | - | | - | - | - | **-** | **-** | **-** | **520** | **-** | | **14.56** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Smartgroup Corporation Ltd | SIQ | 04-Feb-20 | | | | 200 | 1,352.45 | | 09-Jun-20 | 200 | 1,352.45 | 1,388.44 | - | 35.99 | - | - | - | | - |
| | | 04-Feb-20 | | | | 200 | 1,375.95 | | 09-Jun-20 | 200 | 1,375.95 | 1,388.44 | - | 12.49 | - | - | - | | - |
| | | 25-Feb-20 | | | | 300 | 2,182.95 | | 09-Jun-20 | 300 | 2,182.95 | 2,082.66 | - | - | (100.29) | - | - | | - |
| | | 26-Feb-20 | | | | 200 | 1,421.92 | | | | | | - | - | - | 200 | 1,421.92 | 6.0700 | 1,214.00 |
| | | 27-Feb-20 | | | | 400 | 2,811.95 | | | | | | - | - | - | 400 | 2,811.95 | 6.0700 | 2,428.00 |
| | | 30-Apr-20 | | | | 600 | 3,181.95 | | | | | | - | - | - | 600 | 3,181.95 | 6.0700 | 3,642.00 |
| | | | **-** | **-** | - | **1,900** | **12,327.17** | - | | **700** | **4,911.35** | **4,859.53** | **-** | **48.47** | **(100.29)** | **1,200** | **7,415.82** | | **7,284.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Silver Lake Resources | SLR | 05-Apr-19 | 4,233 | 3,555.72 | | | | | | | | | - | - | - | 4,233 | 3,555.72 | 2.1300 | 9,016.29 |
| | | | **4,233** | **3,555.72** | - | - | - | - | | - | - | - | **-** | **-** | **-** | **4,233** | **3,555.72** | | **9,016.29** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Spark New Zealand | SPK | 05-Feb-19 | 500 | 1,949.95 | | | | | | | | | - | - | - | 500 | 1,949.95 | 4.2400 | 2,120.00 |
| | | 09-Apr-19 | 1,000 | 3,484.95 | | | | | | | | | - | - | - | 1,000 | 3,484.95 | 4.2400 | 4,240.00 |
| | | 31-May-19 | 500 | 1,812.45 | | | | | | | | | - | - | - | 500 | 1,812.45 | 4.2400 | 2,120.00 |
| | | | **2,000** | **7,247.35** | - | - | - | - | | - | - | - | **-** | **-** | **-** | **2,000** | **7,247.35** | | **8,480.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Splitit | SPT | 18-Apr-19 | 1,000 | 1,144.95 | | | | | 02-Sep-19 | 1,000 | 1,144.95 | 495.00 | - | - | (649.95) | - | - | | - |
| | | | **1,000** | **1,144.95** | - | - | - | - | | **1,000** | **1,144.95** | **495.00** | **-** | **-** | **(649.95)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Strandline Res Ltd | STA | 23-Oct-15 | 21 | 172.90 | | | | | | | | | - | - | - | 21 | 172.90 | 0.2600 | 5.46 |
| | | | **21** | **172.90** | - | - | - | - | | - | - | - | **-** | **-** | **-** | **21** | **172.90** | | **5.46** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Syrah Resources | SYR | 09-Jul-18 | 400 | 1,187.95 | | | | | | | | | - | - | - | 400 | 1,187.95 | 0.2750 | 110.00 |
| | | 11-Jun-19 | 800 | 834.00 | | | | | | | | | - | - | - | 800 | 834.00 | 0.2750 | 220.00 |
| Allotment | | 16-Jul-19 | | | | 240 | 194.40 | | | | | | - | - | - | 240 | 194.40 | 0.2750 | 66.00 |
| | | | **1,200** | **2,021.95** | - | **240** | **194.40** | - | | - | - | - | **-** | **-** | **-** | **1,440** | **2,216.35** | | **396.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Suncorp Group Ltd | SUN | 09-Feb-16 | 100 | 1,098.98 | (39.00) | (3) | | | 19-Nov-19 | 23 | 251.33 | 295.90 | 44.57 | - | - | 74 | 808.64 | | - |
| | | 09-Feb-16 | | | | | | | 19-Jun-20 | 74 | 808.64 | 700.04 | - | - | (108.60) | (74) | (808.64) | | |
| | | 23-May-16 | 200 | 2,655.95 | (78.00) | (6) | | | 19-Jun-20 | 26 | 549.40 | 245.96 | - | - | (303.44) | 168 | 2,028.55 | 9.2300 | 1,550.64 |
| | | 18-Jul-16 | 300 | 3,880.95 | (117.00) | (9) | | | | | | | - | - | - | 291 | 3,763.95 | 9.2300 | 2,685.93 |
| | | 10-Aug-16 | 350 | 4,846.45 | (136.50) | (9) | | | | | | | - | - | - | 341 | 4,709.95 | 9.2300 | 3,147.43 |
| | | | **950** | **12,482.33** | **(370.50)** | **(27)** | **-** | - | | **123** | **1,609.37** | **1,241.90** | **44.57** | **-** | **(412.04)** | **800** | **10,502.45** | | **7,384.00** |
| | | | | | | | | | | | | | | | | | | | |
| Seven Group Holding Ltd | SVW | 04-May-20 | | | | 300 | 4,012.95 | | | | | | - | - | - | 300 | 4,012.95 | 17.1800 | 5,154.00 |
| | | | | | | **300** | **4,012.95** | - | | - | - | - | **-** | **-** | **-** | **300** | **4,012.95** | | **5,154.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Sthn Cross Media Ltd | SXL | 04-Feb-20 | | | | 1,000 | 860.00 | | 11-Feb-20 | 1,000 | 860.00 | 805.00 | - | - | (55.00) | - | - | | - |
| | | | | | | **1,000** | **860.00** | - | | **1,000** | **860.00** | **805.00** | **-** | **-** | **(55.00)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| T | | | | | | | | | | | | | - | - | - | - | - | | - |
| Tabcorp Holdings Ltd | TAH | 03-Apr-17 | 500 | 2,399.95 | | | | | 21-May-20 | 500 | 2,399.95 | 1,590.03 | - | - | (809.93) | - | - | | - |
| | | 21-Apr-17 | 500 | 2,409.95 | | | | | 21-May-20 | 500 | 2,409.95 | 1,590.03 | - | - | (819.93) | - | - | | - |
| | | 20-Jun-17 | 1,000 | 4,824.95 | | | | | 22-May-20 | 1,000 | 4,824.95 | 3,210.05 | - | - | (1,614.90) | - | - | | - |
| | | | **2,000** | **9,634.85** | - | - | - | - | | **2,000** | **9,634.85** | **6,390.10** | **-** | **-** | **(3,244.75)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Talga Resources FPO | TLG | 29-Apr-18 | 1,000 | 710.00 | | | | | | | | | - | - | - | 1,000 | 710.00 | 0.5800 | 580.00 |
| Allotment | | 13-Dec-19 | | | | 2,272 | 1,000.00 | | | | | | - | - | - | 2,272 | 1,000.00 | 0.5800 | 1,317.76 |
| | | | **1,000** | **710.00** | - | **2,272** | **1,000.00** | - | | - | - | - | **-** | **-** | **-** | **3,272** | **1,710.00** | | **1,897.76** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Telstra Corporation Ltd | TLS | | | - | | | | | | | | | - | - | - | - | - | | - |
| | | 09-Apr-19 | 2,000 | 6,609.95 | | | | | 06-Nov-19 | 200 | 661.00 | 683.00 | - | 22.01 | - | 1,800 | 5,948.96 | 3.1300 | 5,634.00 |
| | | 09-Apr-19 | - | - | | | | | 07-Nov-19 | 300 | 991.49 | 1,025.55 | - | 34.06 | - | (300) | (991.49) | 3.1300 | (939.00) |
| | | | **2,000** | **6,609.95** | - | - | - | - | | **500** | **1,652.49** | **1,708.55** | **-** | **56.06** | **-** | **1,500** | **4,957.46** | | **4,695.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Troy Resources Ltd | TRY | 23-Nov-15 | 2,000 | 499.00 | | | | | 27-Dec-19 | 2,000 | 499.00 | 178.11 | - | - | (320.89) | - | - | | - |
| | | 11-Oct-16 | 364 | 131.04 | | | | | 27-Dec-19 | 364 | 131.04 | 32.42 | - | - | (98.62) | - | - | | - |
| | | 26-Apr-17 | 3,710 | 520.80 | | | | | 27-Dec-19 | 3,710 | 520.80 | 330.39 | - | - | (190.41) | - | - | | - |
| | | 26-Oct-17 | 4,500 | 505.00 | | | | | 27-Dec-19 | 4,500 | 505.00 | 400.74 | - | - | (104.26) | - | - | | - |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | Price @ 30/06/20 | Market Value |
| | | | **10,574** | **1,655.84** | - | - | - | - | | **10,574** | **1,655.84** | **941.66** | - | - | **(714.18)** | - | - | - | - |
| Treasury Wine Ltd | TWE | 29-Jan-20 | | | | 200 | 2,581.95 | | 04-Feb-20 | 200 | 2,581.95 | 2,446.05 | - | - | (135.90) | - | - | | - |
| | | | | | | **200** | **2,581.95** | - | | **200** | **2,581.95** | **2,446.05** | - | - | **(135.90)** | - | - | - | - |
| Tyro Payments Ltd | TYR | 05-Feb-20 | | | | 500 | 2,119.95 | | 15-Apr-20 | 500 | 2,119.95 | 1,343.92 | - | - | (776.03) | - | - | | - |
| | | 18-Mar-20 | | | | 400 | 564.00 | | 15-Apr-20 | 400 | 564.00 | 1,075.13 | - | 511.13 | - | - | - | | - |
| | | | | | | **900** | **2,683.95** | - | | **900** | **2,683.95** | **2,419.05** | - | **511.13** | **(776.03)** | - | - | - | - |
| U | | | | | | | | | | | | | - | - | - | - | - | | - |
| Unibailrodawestfield CDI | URW | 23-Jun-20 | | | | 300 | 1,381.95 | | | | | | - | - | - | 300 | 1,381.95 | 4.0400 | 1,212.00 |
| | | 25-Jun-20 | | | | 200 | 818.00 | | | | | | - | - | - | 200 | 818.00 | 4.0400 | 808.00 |
| | | | | | | **500** | **2,199.95** | - | | - | - | - | - | - | - | **500** | **2,199.95** | | **2,020.00** |
| V | | | | | | | | | | | | | - | - | - | - | - | | - |
| Viva Energy Ltd | VEA | 13-Jul-18 | 300 | 733.00 | | | | | 10-Oct-19 | 300 | 733.00 | 399.96 | - | - | (333.04) | - | - | | - |
| | | 20-Jul-18 | 200 | 512.00 | | | | | 10-Oct-19 | 200 | 512.00 | 266.64 | - | - | (245.36) | - | - | | - |
| | | 23-Jul-18 | 200 | 510.00 | | | | | 10-Oct-19 | 200 | 510.00 | 266.64 | - | - | (243.36) | - | - | | - |
| | | | **700** | **1,755.00** | - | - | - | - | | **700** | **1,755.00** | **933.25** | - | - | **(821.75)** | - | - | - | - |
| Villa World Ltd | VLW | 20-Dec-16 | 500 | 1,139.99 | | | | | 23-Oct-19 | 500 | 1,139.99 | 1,017.50 | - | - | (122.49) | - | - | | - |
| DELISTED | | 23-Feb-17 | 1,000 | 2,474.95 | | | | | 23-Oct-19 | 1,000 | 2,474.95 | 2,035.00 | - | - | (439.95) | - | - | | - |
| Scheme | | 31-Aug-17 | 1,000 | 2,469.95 | | | | | 23-Oct-19 | 1,000 | 2,469.95 | 2,035.00 | - | - | (434.95) | - | - | | - |
| | | | **2,500** | **6,084.89** | - | - | - | - | | **2,500** | **6,084.89** | **5,087.50** | - | - | **(997.39)** | - | - | - | - |
| W | | | | | | | | | | | | | - | - | - | - | - | | |
| WAM Capital Ltd | WAM | 23-Jul-15 | 1,500 | 2,953.60 | | | | | 09-Jul-19 | 1,000 | 1,969.07 | 1,426.70 | - | - | (542.37) | 500 | 984.53 | | - |
| | | 23-Jul-15 | | | | | | | 09-Jul-19 | 500 | 984.53 | 713.35 | - | - | (271.18) | (500) | (984.53) | | - |
| | | 07-Oct-15 | 1,500 | 3,079.95 | | | | | 07-Aug-19 | 500 | 1,026.65 | 2,100.05 | 1,073.40 | - | - | 1,000 | 2,053.30 | 1.8200 | 1,820.00 |
| | | 11-Dec-15 | 2,000 | 4,139.95 | | | | | | | | | - | - | - | 2,000 | 4,139.95 | 1.8200 | 3,640.00 |
| | | 14-Jan-16 | 2,000 | 4,199.95 | | | | | | | | | - | - | - | 2,000 | 4,199.95 | 1.8200 | 3,640.00 |
| | | 26-Aug-16 | 2,336 | 5,000.00 | | | | | | | | | - | - | - | 2,336 | 5,000.00 | 1.8200 | 4,251.52 |
| | | 14-Oct-16 | 800 | 1,963.95 | | | | | | | | | - | - | - | 800 | 1,963.95 | 1.8200 | 1,456.00 |
| | | 24-Mar-17 | 1,000 | 2,519.95 | | | | | | | | | - | - | - | 1,000 | 2,519.95 | 1.8200 | 1,820.00 |
| | | 12-Apr-17 | 1,000 | 2,574.95 | | | | | | | | | - | - | - | 1,000 | 2,574.95 | 1.8200 | 1,820.00 |
| | | | **12,136** | **26,432.30** | - | - | - | - | | **2,000** | **3,980.25** | **4,240.10** | **1,073.40** | - | **(813.55)** | **10,136** | **22,452.05** | | **18,447.52** |
| WAM Research Ltd | WAX | 27-May-20 | | | | 1,000 | 1,399.95 | | | | | | - | - | - | 1,000 | 1,399.95 | 1.3200 | 1,320.00 |
| | | | | | | **1,000** | **1,399.95** | - | | - | - | - | - | - | - | **1,000** | **1,399.95** | | **1,320.00** |
| Westpac Banking Corporation | WBC | 16-May-17 | 300 | 9,886.73 | | | | | 04-May-20 | 300 | 9,886.73 | 4,708.05 | - | - | (5,178.68) | - | - | | - |
| | | 09-May-18 | 100 | 2,990.95 | | | | | 03-Dec-19 | 100 | 2,990.95 | 2,411.06 | - | - | (579.89) | - | - | | - |
| | | 02-Oct-18 | 300 | 8,195.50 | | | | | | | | | - | - | - | 300 | 8,195.50 | 17.9500 | 5,385.00 |
| | | | **700** | **21,073.18** | - | - | - | - | | **400** | **12,877.68** | **7,119.11** | - | - | **(5,758.57)** | **300** | **8,195.50** | | **5,385.00** |
| Westgold Resources Ltd | WGX | 02-Sep-19 | | | (142.22) | 210 | 517.15 | | 06-Jan-20 | 210 | 374.93 | 496.17 | - | 121.23 | - | - | - | | - |
| Scheme | | 15-Oct-19 | | | (178.48) | 300 | 649.00 | | 06-Jan-20 | 300 | 470.53 | 708.81 | - | 238.28 | - | - | - | | - |
| | | 03-Dec-19 | | | | 500 | 975.00 | | 06-Jan-20 | 500 | 975.00 | 1,181.35 | - | 206.35 | - | - | - | | - |
| | | 12-Mar-20 | | | | 1,000 | 1,619.95 | | 02-Apr-20 | 100 | 162.00 | 173.00 | - | 11.01 | - | 900 | 1,457.96 | 2.0900 | 1,881.00 |
| | | 12-Mar-20 | | | | | | | 22-Apr-20 | 800 | 1,295.96 | 1,628.22 | - | 332.26 | - | (800) | (1,295.96) | 2.0900 | (1,672.00) |
| | | 13-Mar-20 | | | | 1,000 | 1,334.95 | | | | | | - | - | - | 1,000 | 1,334.95 | 2.0900 | 2,090.00 |
| | | | - | - | (320.69) | **3,010** | **5,096.05** | - | | **1,910** | **3,278.41** | **4,187.54** | - | **909.13** | - | **1,100** | **1,496.95** | | **2,299.00** |
| WorleyParsons Ltd | WOR | 30-Nov-18 | 200 | 2,713.95 | | | | | 07-Oct-19 | 100 | 1,356.98 | 1,236.05 | - | - | (120.93) | 100 | 1,356.98 | | - |
| | | 30-Nov-18 | | | | | | | 08-Nov-19 | 100 | 1,356.98 | 1,401.05 | - | 44.08 | - | (100) | (1,356.98) | | - |
| | | 10-Dec-18 | 100 | 1,252.95 | | | | | 05-Mar-20 | 100 | 1,252.95 | 1,223.32 | - | - | (29.63) | - | - | | - |
| | | 18-Dec-18 | 100 | 1,208.95 | | | | | 10-Mar-20 | 100 | 1,208.95 | 986.55 | - | - | (222.40) | - | - | | - |
| | | | **400** | **5,175.85** | - | - | - | - | | **400** | **5,175.85** | **4,846.97** | - | **44.08** | **(372.96)** | - | - | - | - |
| Woodside petroleum FPO | WPL | 24/01/2020 | - | - | | 100 | 3,563.95 | | | | | | - | - | - | 100 | 3,563.95 | 21.6500 | 2,165.00 |
| | | 10/02/2020 | | | | 100 | 3,385.95 | | | | | | - | - | - | 100 | 3,385.95 | 21.6500 | 2,165.00 |
| | | 09/03/2020 | | | | 100 | 2,129.95 | | | | | | - | - | - | 100 | 2,129.95 | 21.6500 | 2,165.00 |
| | | 10/03/2020 | | | | 100 | 2,186.95 | | | | | | - | - | - | 100 | 2,186.95 | 21.6500 | 2,165.00 |
| | | 19/03/2020 | | | | 100 | 1,622.95 | | | | | | - | - | - | 100 | 1,622.95 | 21.6500 | 2,165.00 |
| | | 03/04/2020 | | | | 100 | 2,014.95 | | | | | | - | - | - | 100 | 2,014.95 | 21.6500 | 2,165.00 |
| | | | - | - | - | **600** | **14,904.70** | - | | - | - | - | - | - | - | **600** | **14,904.70** | | **12,990.00** |
| Western Areas Ltd | WSA | 07/06/2018 | 500 | 1,889.95 | | | | | 24-Oct-19 | 500 | 1,889.95 | 1,505.03 | - | - | (384.92) | - | - | | - |
| | | 09/07/2019 | | | | 500 | 1,049.95 | | 24-Oct-19 | 300 | 629.97 | 903.02 | - | 273.05 | - | 200 | 419.98 | | - |
| | | 09/07/2019 | | | | | | | 29-Jan-20 | 200 | 419.98 | 517.30 | - | 97.32 | - | (200) | (419.98) | | - |
| | | 18/07/2019 | | | | 500 | 1,144.95 | | 29-Jan-20 | 500 | 1,144.95 | 1,293.25 | - | 148.30 | - | - | - | | - |
| | | | **500** | **1,889.95** | - | **1,000** | **2,194.90** | - | | **1,500** | **4,084.85** | **4,218.60** | - | **518.67** | **(384.92)** | - | **0.00** | - | - |
| Wisr Ltd | WZR | 20/01/2020 | | | | 3,000 | 685.00 | | 25-Jun-20 | 3,000 | 685.00 | 635.00 | - | - | (50.00) | - | - | | |
| | | | | | | **3,000** | **685.00** | - | | **3,000** | **685.00** | **635.00** | - | - | **(50.00)** | - | - | - | - |
| Y | | | | | | | | | | | | | - | - | - | - | - | | |
| Yandal Resources Ltd | YRL | 13/11/2019 | | | | 2,100 | 514.00 | | 13-Dec-19 | 2,100 | 514.00 | 462.00 | - | - | (52.00) | - | - | | |
| | | | | | | **2,100** | **514.00** | - | | **2,100** | **514.00** | **462.00** | - | - | **(52.00)** | - | - | - | - |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| **Unit In Listed Units Trust** | | | | | | | | | | | | | - | - | - | - | - | | |
| Aventus Retail Fund Unit | AVN | 19-Sep-17 | 1,000 | 2,319.95 | | | | | 16-Mar-20 | 1,000 | 2,319.95 | 2,280.05 | - | - | (39.90) | - | - | | - |
| | | 27-Sep-17 | 1,000 | 2,149.95 | | | | | 16-Mar-20 | 1,000 | 2,149.95 | 2,220.05 | 70.10 | - | - | - | - | | - |
| | | 24-Nov-17 | 1,000 | 2,169.95 | | | | | 17-Mar-20 | 1,000 | 2,169.95 | 2,030.05 | - | - | (139.90) | - | - | | - |
| | | 07-Dec-17 | 1,000 | 2,289.95 | | | | | 18-Mar-20 | 1,000 | 2,289.95 | 1,918.55 | - | - | (371.40) | - | - | | - |
| | | 26-Mar-18 | 1,000 | 2,319.95 | | | | | 19-Mar-20 | 1,000 | 2,319.95 | 1,700.05 | - | - | (619.90) | - | - | | - |
| | | 20-Apr-18 | 1,000 | 2,339.95 | | | | | 20-Mar-20 | 1,000 | 2,339.95 | 1,565.05 | - | - | (774.90) | - | - | | - |
| | | 21-Jun-18 | 1,000 | 2,349.95 | | | | | 23-Mar-20 | 1,000 | 2,349.95 | 1,400.03 | - | - | (949.93) | - | - | | - |
| | | 13-Jul-18 | 1,000 | 2,259.95 | | | | | 23-Mar-20 | 1,000 | 2,259.95 | 1,400.02 | - | - | (859.93) | - | - | | - |
| | | 01-Aug-18 | 2,000 | 4,539.95 | | | | | 03-Apr-20 | 1,500 | 3,404.96 | 2,177.55 | - | - | (1,227.41) | 500 | 1,134.99 | | - |
| | | 01-Aug-18 | | | | | | | 07-Apr-20 | 500 | 1,134.99 | 790.85 | - | - | (344.14) | (500) | (1,134.99) | | - |
| | | 01-Nov-18 | 1,000 | 2,004.95 | | | | | 07-Apr-20 | 1,000 | 2,004.95 | 1,581.70 | - | - | (423.25) | - | - | | - |
| | | 22-Aug-19 | | | | 1,000 | 2,619.95 | | 07-Apr-20 | 1,000 | 2,619.95 | 1,581.70 | - | - | (1,038.25) | - | - | | - |
| | | 12-Feb-20 | | | (162.26) | 1,000 | 2,929.95 | | 07-Apr-20 | 1,000 | 2,767.69 | 1,581.70 | - | - | (1,185.99) | - | 0.00 | | - |
| | | | **11,000** | **24,744.50** | **(162.26)** | **2,000** | **5,549.90** | - | | **13,000** | **30,132.14** | **22,227.34** | **70.10** | **-** | **(7,974.91)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Atlas Arteria Stapled | ALX | 23-Oct-18 | 300 | 2,104.95 | | | | | 01-Jun-20 | 275 | 1,929.54 | 1,780.73 | - | - | (148.81) | 25 | 175.41 | 6.62 | 165.50 |
| Allotment | | 16-Dec-19 | | | | 75 | 517.50 | | | | | | - | - | - | 75 | 517.50 | 6.62 | 496.50 |
| | | 16-Mar-20 | | - | | 500 | 2,722.24 | | | | | | - | - | - | 500 | 2,722.24 | 6.62 | 3,310.00 |
| | | | **300** | **2,104.95** | **-** | **575** | **3,239.74** | **-** | | **275** | **1,929.54** | **1,780.73** | **-** | **-** | **(148.81)** | **600** | **3,415.15** | | **3,972.00** |
| | | | | | | | | | | | | | | | | | | | |
| APA Group Stapled | APA | 22-Apr-20 | - | - | | 200 | 2,115.95 | | | | | | - | - | - | 200 | 2,115.95 | 11.1300 | 2,226.00 |
| | | 22-May-20 | - | - | | 300 | 3,379.95 | | | | | | - | - | - | 300 | 3,379.95 | 11.1300 | 3,339.00 |
| | | | **-** | **-** | **-** | **500** | **5,495.90** | - | | - | - | - | **-** | **-** | **-** | **500** | **5,495.90** | | **5,565.00** |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| Beta Global Energy | FUEL | 21-May-20 | | | | 500 | 1,869.95 | | | | | | - | - | - | 500 | 1,869.95 | 3.6000 | 1,800.00 |
| | | | **-** | **-** | **-** | **500** | **1,869.95** | - | | - | - | - | **-** | **-** | **-** | **500** | **1,869.95** | | **1,800.00** |
| | | | | | | | | | | | | | | | | | | | |
| Charter Hall Retail Unit | CQR | 18-Dec-18 | 500 | 2,319.95 | | | | | | | | | - | - | - | 500 | 2,319.95 | 3.3500 | 1,675.00 |
| | | 20-Dec-18 | 200 | 944.00 | | | | | | | | | - | - | - | 200 | 944.00 | 3.3500 | 670.00 |
| | | | **700** | **3,263.95** | **-** | - | - | - | | - | - | - | **-** | **-** | **-** | **700** | **3,263.95** | | **2,345.00** |
| | | | | | | | | | | | | | | | | | | | |
| Dexus Stapled | DXS | 05-Dec-18 | 500 | 5,337.45 | (45.97) | | | | 14-Jan-20 | 500 | 5,291.48 | 6,052.38 | 760.90 | - | - | - | 0.00 | | - |
| | | 18-Dec-18 | 200 | 2,241.95 | | | | | 14-Jan-20 | 200 | 2,241.95 | 2,420.95 | 179.00 | - | - | - | - | | - |
| | | 20-Dec-18 | 300 | 3,391.95 | | | | | 14-Jan-20 | 150 | 1,695.98 | 1,815.71 | 119.74 | - | - | 150 | 1,695.98 | | - |
| | | 20-Dec-18 | | | | | | | 14-Jan-20 | 150 | 1,695.98 | 1,873.33 | 177.36 | - | - | (150) | (1,695.98) | | - |
| | | | **1,000** | **10,971.35** | **(45.97)** | - | - | - | | **1,000** | **10,925.38** | **12,162.38** | **1,237.00** | **-** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | | | | | | | |
| Goodman Group Stapled | GMG | 19-Dec-18 | 100 | 1,107.45 | | | | | 21-May-20 | 100 | 1,107.45 | 1,381.03 | 273.58 | - | - | - | - | | - |
| | | 20-Dec-18 | 100 | 1,130.95 | | | | | 21-May-20 | 100 | 1,130.95 | 1,381.03 | 250.08 | - | - | - | - | | - |
| | | | **200** | **2,238.40** | **-** | - | - | - | | **200** | **2,238.40** | **2,762.05** | **523.65** | **-** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Growthpoint Property Stapled | GOZ | 21-Oct-15 | 2,000 | 5,732.38 | (122.64) | | | | | | | | - | - | - | 2,000 | 5,609.74 | 3.2000 | 6,400.00 |
| | | 12-Dec-18 | 114 | 394.44 | (6.99) | | | | | | | | - | - | - | 114 | 387.45 | 3.2000 | 364.80 |
| Allotment | | 31-Jul-19 | | | (77.26) | 1,260 | 5,000.00 | | | | | | - | - | - | 1,260 | 4,922.74 | 3.2000 | 4,032.00 |
| | | | **2,114** | **6,126.82** | **(206.89)** | **1,260** | **5,000.00** | - | | - | - | - | **-** | **-** | **-** | **3,374** | **10,919.93** | | **10,796.80** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| MOGL (Managed Fund) TMF Units | MOGL | 05-Jan-18 | 1,500 | 5,009.39 | (140.07) | | | | | | | | - | - | - | 1,500 | 4,869.32 | 3.2200 | 4,830.00 |
| | | | **1,500** | **5,009.39** | **(140.07)** | - | - | - | | - | - | - | **-** | **-** | **-** | **1,500** | **4,869.32** | | **4,830.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| National Storage Stapled | NSR | 28-May-18 | 1,000 | 1,614.95 | | | | | 05-Mar-20 | 1,000 | 1,614.95 | 2,255.05 | 640.10 | - | - | - | - | | - |
| | | 10-Jun-20 | | | | 1,000 | 1,964.95 | | | | | | - | - | - | 1,000 | 1,964.95 | 1.8450 | 1,845.00 |
| | | | **1,000** | **1,614.95** | **-** | **1,000** | **1,964.95** | - | | **1,000** | **1,614.95** | **2,255.05** | **640.10** | **-** | **-** | **1,000** | **1,964.95** | | **1,845.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Betasharescrudeoil Eft Units | OOO | 29-Apr-20 | | | | 1,000 | 2,609.95 | | | | | | - | - | - | 1,000 | 2,609.95 | 3.9700 | 3,970.00 |
| | | 22-May-20 | | | | 500 | 1,694.56 | | | | | | - | - | - | 500 | 1,694.56 | 3.9700 | 1,985.00 |
| | | 01-Jun-20 | | | | 500 | 1,849.95 | | | | | | - | - | - | 500 | 1,849.95 | 3.9700 | 1,985.00 |
| | | | | | | **2,000** | **6,154.46** | - | | - | - | - | **-** | **-** | **-** | **2,000** | **6,154.46** | | **7,940.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | - |
| Rural Funds Group | RFF | 04-May-20 | | | | 400 | 774.00 | | | | | | - | - | - | 400 | 774.00 | 1.9200 | 768.00 |
| | | 11-May-20 | | | | 1,000 | 1,919.95 | | | | | | - | - | - | 1,000 | 1,919.95 | 1.9200 | 1,920.00 |
| | | 21-May-20 | | | | 600 | 1,147.95 | | | | | | - | - | - | 600 | 1,147.95 | 1.9200 | 1,152.00 |
| | | | | | | **2,000** | **3,841.90** | - | | - | - | - | **-** | **-** | **-** | **2,000** | **3,841.90** | | **3,840.00** |
| | | | | | | | | | | | | | | | | | | | |
| Scentre Group | SCG | 31-Oct-19 | | | 89.98 | 1,000 | 3,849.87 | | 09-Mar-20 | 1,000 | 3,939.85 | 3,145.05 | - | - | (794.80) | - | - | | - |
| | | 05-Mar-20 | | | | 1,000 | 3,439.95 | | 13-Mar-20 | 1,000 | 3,439.95 | 2,382.17 | - | - | (1,057.78) | - | - | | - |
| | | | | | **89.98** | **2,000** | **7,289.82** | - | | **2,000** | **7,379.80** | **5,527.22** | **-** | **-** | **(1,852.58)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | | | | | | | |
| Stockland group | SGP | 11-Jun-15 | 1,000 | 4,083.38 | (85.33) | | | | 29-Apr-20 | 1,000 | 3,998.05 | 2,740.03 | - | - | (1,258.02) | - | - | | - |
| | | 16-Nov-15 | 1,000 | 3,790.38 | | | | | 29-Apr-20 | 1,000 | 3,790.38 | 2,740.03 | - | - | (1,050.35) | - | - | | - |
| | | | **2,000** | **7,873.75** | **(85.33)** | - | - | - | | **2,000** | **7,788.42** | **5,480.05** | **-** | **-** | **(2,308.37)** | **-** | **-** | **-** | **-** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Spark Infrastructure | SKI | 30-Apr-19 | 500 | 1,149.95 | | | | | 09-Sep-19 | 500 | 1,149.95 | 1,040.03 | - | - | (109.93) | - | - | | - |
| | | 09-May-19 | 500 | 1,129.95 | | | | | 09-Sep-19 | 500 | 1,129.95 | 1,040.03 | - | - | (89.93) | - | - | | - |
| | | 22-May-19 | 1,000 | 2,349.95 | (99.50) | | | | | | | | - | - | - | 1,000 | 2,250.45 | 2.1600 | 2,160.00 |
| | | 24-May-19 | 1,000 | 2,369.95 | (99.50) | | | | | | | | - | - | - | 1,000 | 2,270.45 | 2.1600 | 2,160.00 |

| Investment | ASX Code | Date | Opening balance | | Capital Payment | Purchase | | | Sales | | | | Capital Gains/Losses | | | Closing balance | | Price @ 30/06/20 | Market Value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Unit | Cost | | Unit | Cost | Ref | Date | Unit | Cost | Proceeds | Discounted | Other | Loss | Unit | Cost | | |
| | | | **3,000** | **6,999.80** | (199.00) | - | - | - | | **1,000** | **2,279.90** | **2,080.05** | - | - | (199.85) | **2,000** | **4,520.90** | | **4,320.00** |
| Switzer Asset Management | SWTZ | 23/02/2017 | 1,000 | 2,495.77 | (7.14) | | | | 22-Apr-20 | 1,000 | 2,488.63 | 1,875.05 | - | - | (613.58) | - | (0.00) | | - |
| | | 03/12/2019 | | | | 1,000 | 2,599.95 | | 19-May-20 | 1,000 | 2,599.95 | 2,040.05 | - | - | (559.90) | - | - | | - |
| | | 16/03/2020 | | | | 1,000 | 1,969.95 | | 22-May-20 | 1,000 | 1,969.95 | 2,010.05 | - | 40.10 | - | - | - | | - |
| | | | **1,000** | **2,495.77** | (7.14) | **2,000** | **4,569.90** | - | | **3,000** | **7,058.53** | **5,925.15** | - | **40.10** | **(1,173.48)** | - | **(0.00)** | - | - |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| Syd Airport Stapled | SYD | 08-Apr-20 | | | | 500 | 2,739.95 | | | | | | - | - | - | 500 | 2,739.95 | 5.6700 | 2,835.00 |
| | | | | | | **500** | **2,739.95** | - | | - | - | - | - | - | - | **500** | **2,739.95** | | **2,835.00** |
| Transurban Group | TCL | 28-Mar-18 | 79 | 909.67 | (13.43) | | | | | | | | - | - | - | 79 | 909.67 | 14.1300 | 1,116.27 |
| | | 28-Mar-18 | 200 | 2,279.11 | (33.99) | | | | | | | | - | - | - | 200 | 2,279.11 | 14.1300 | 2,826.00 |
| | | 26-Sep-18 | 71 | 766.80 | (12.07) | | | | | | | | - | - | - | 71 | 766.80 | 14.1300 | 1,003.23 |
| | | | **350** | **3,955.58** | (59.49) | - | - | - | | - | - | - | - | - | - | **350** | **3,955.58** | | **4,945.50** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| BetaShares S&P 500 Yield Maximiser Fund | UMAX | 26/10/2015 | 100 | 1,621.94 | (26.15) | | | | 25-Oct-19 | 100 | 1,595.79 | 2,037.05 | 441.26 | - | - | - | 0.00 | | - |
| | | | **100** | **1,621.94** | (26.15) | - | - | - | | **100** | **1,595.79** | **2,037.05** | **441.26** | - | - | - | **0.00** | - | - |
| Waypoint Reit Stapled | WPR | 28/03/2018 | 2,000 | 4,079.95 | (95.89) | | | | 10-Sep-19 | 1,000 | 2,039.98 | 2,990.05 | 950.08 | - | - | 1,000 | 1,944.09 | 2.60 | 2,600.00 |
| Name changed from Viva Energy Reit Stapled | | 04/04/2018 | 1,000 | 2,029.95 | (47.95) | | | | | | | | - | - | - | 1,000 | 1,982.01 | 2.60 | 2,600.00 |
| | | 01/05/2018 | 1,000 | 2,054.95 | (47.95) | | | | | | | | - | - | - | 1,000 | 2,007.01 | 2.60 | 2,600.00 |
| | | 14/06/2018 | 1,000 | 2,109.95 | (47.95) | | | | | | | | - | - | - | 1,000 | 2,062.01 | 2.60 | 2,600.00 |
| | | 21/06/2018 | 900 | 1,990.95 | (43.15) | | | | | | | | - | - | - | 900 | 1,947.80 | 2.60 | 2,340.00 |
| | | 26/06/2018 | 1,000 | 2,254.95 | (47.95) | | | | | | | | - | - | - | 1,000 | 2,207.01 | 2.60 | 2,600.00 |
| | | 20/07/2018 | 1,000 | 2,234.95 | (47.95) | | | | | | | | - | - | - | 1,000 | 2,187.01 | 2.60 | 2,600.00 |
| | | 11/10/2018 | 1,100 | 2,406.95 | (52.74) | | | | | | | | - | - | - | 1,100 | 2,354.21 | 2.60 | 2,860.00 |
| | | 01/11/2018 | 1,000 | 2,189.95 | (47.95) | | | | | | | | - | - | - | 1,000 | 2,142.01 | 2.60 | 2,600.00 |
| | | | **10,000** | **21,352.55** | (479.45) | - | - | - | | **1,000** | **2,039.98** | **2,990.05** | **950.08** | - | - | **9,000** | **18,833.13** | | **23,400.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| BetaShares Australian Top 20 Equity Yield Maximiser Fund | YMAX | | 1,400 | 12,171.51 | (651.67) | | | | | | | | - | - | - | 1,400 | 11,519.84 | 7.1600 | 10,024.00 |
| | | | **1,400** | **12,171.51** | (651.67) | - | - | - | | - | - | - | E110 - | - | - | **1,400** | **11,519.84** | | **10,024.00** |
| | | | | | | | | | | | | | - | - | - | - | - | | |
| | | | | | | | | | | | | | | | | | | | E18 |
| TOTAL COMMSEC | | | | 590,256.34 | (2,664.63) | | 353,247.30 | - | 5,963,368.00 | | 446,636.90 | 419,030.41 | 43,980.84 | 10,455.08 | (82,042.41) | | 494,261.60 | 1,046.89 | 538,526.60 |
| **The Montgomery Fund** | | 30/06/2015 | 16,000 | | (4.39) | | | | | | | | - | - | - | 16,000 | (4.39) | 1.2956 | 20,729.60 |
| | | | **16,000** | - | (4.39) | - | - | - | | - | - | | - | - | - | **16,000** | **(4.39)** | | **20,729.60** |
| | | | | | | | | | | | | | | | | | | | E449 |
| **Getbusy Plc Ord** | **GETB** | 26-Jul-17 | 426 | 44.64 | | | | | 29-Jan-20 | **426** | **44.64** | **309.45** | 264.81 | - | - | - | - | | - |
| | | | **426** | **44.64** | - | - | - | - | | **426** | **44.64** | **309.45** | **264.81** | - | - | - | - | | - |
| TOTAL | | | | 590,300.98 | (2,669.02) | - | 353,247.30 | - | 5,963,368.00 | | 446,681.54 | 419,339.86 | 44,245.65 | 10,455.08 | (82,042.41) | | 494,257.21 | 1,046.89 | 559,256.20 |

| | | | | |
|---|---|---|---|---|
| *CG from International Investment* | *6,727.13* | *24,400.13* | *(16,366.03)* | E14 |
| *CG from Distribution* | *373.72* | *74.35* | | O138 |
| | ***51,346.50*** | ***34,929.56*** | ***(98,408.44)*** | ***(12,132.38)*** |

| | |
|---|---|
| ***Listed Trust*** | ***88,458.30*** |
| ***Listed Share*** | ***450,068.30*** |

| | | |
|---|---|---|
| ***Total Buy as per Comsec*** | ***332,936.27*** | E20 |
| ***Add: Acquisition outside CommSec*** | | |
| *ALX Allotment* | *517.5* | E43 |
| *ARU Allotment* | *223.13* | E46 |
| *CST scheme* | *320.69* | E54-55 |
| *DCN Allotment* | *300* | E59 |
| *DYL Allotment* | *1000* | E60 |
| *FLT Allotment* | *2073.6* | E73 |
| *GOZ Allotment* | *5000* | E74 |
| *IEL Allotment* | *5000* | E77 |
| *NST Allotment* | *1089* | E80 |
| *SAR Allotment* | *3593.1* | E86 |
| *SYR Allotment* | *194.4* | E90 |
| *TLG Allotment* | *1000* | E95 |
| *Rouding* | *-0.39* | |
| | ***353,247.30*** | |
| ***Difference*** | ***(0.00)*** | |

| | | |
|---|---|---|
| ***Total Sell as per Comsec*** | ***413,943.39*** | E20 |
| ***Add: Sell outside CommSec*** | | |
| *VLW* | *5,087.50* | E108 |
| *Rounding* | *(0.48)* | |
| | ***419,030.41*** | |
| ***Difference*** | ***(0.00)*** | |