

Rollover benefits statement

4070-02

WHEN TO USE THIS STATEMENT

❶ Only use this version of the form for transactions occurring on or after 1 July 2013.
If you need to correct an error regarding a payment made before 1 July 2013, use NAT 70944-05.2007.

❶ You must provide your member with a member statement using this form (or a similar form you create that includes the same information) for all rollovers, including if you applied the data standards and you didn't use this form for the fund-to-fund transaction.

Complete this form (or a similar form you create that collects the same information) if you are a trustee of a superannuation fund or provider of a retirement savings account (RSA) and any of the following apply:

- You are paying a rollover superannuation benefit to another fund or RSA, and you are not already providing all of this information electronically under the rollover data standards.
- You have paid a rollover superannuation benefit to another fund or RSA and are providing a statement about the rollover to your member.
- You are the trustee of a non-complying fund and are paying member benefits to another superannuation fund or RSA (complete section D instead of section C).

COMPLETING THIS STATEMENT

- Print clearly in BLOCK LETTERS using a black pen only.
- Place X in ALL applicable boxes.
- Use a separate form for each rollover payment you are making.

❶ Read the instructions carefully. Penalties may apply if you make a false or misleading statement on this form without taking reasonable care.

Section A: Receiving fund's details

1 Australian business number (ABN)

2 Fund name

3 Postal address
Street address

Suburb/town/locality
 State/territory Postcode
Country if outside Australia

4
(a) Member client identifier
(b) Unique superannuation identifier (USI)

Section B: Member's details

5 Tax file number (TFN)

6 Full name
Title
Family name

First given name Other given names

7 Residential address
Street address

Suburb/town/locality State/territory Postcode
Country if outside Australia

8 Date of birth

9 Sex

10 Daytime phone number (include area code)

11 Email address (if applicable)

Section C: Rollover transaction details

! Include dollars and cents. The totals at item 13 and 14 must both equal the amount of the rollover payment.

12 Service period start date

13 Tax components	
Tax-free component	<input type="text" value="\$801.97"/>
KiwiSaver tax-free component	<input type="text" value="\$0.00"/>
Taxable component:	
Element taxed in the fund	<input type="text" value="\$252,186.62"/>
Element untaxed in the fund	<input type="text" value="\$0.00"/>

Tax components TOTAL

! Make sure you apply the proportioning rule to the tax components if you are not rolling over the member's full interest in your superannuation fund.

14 Preservation amounts	
Preserved amount	<input type="text" value="\$252,988.59"/>
KiwiSaver preserved amount	<input type="text" value="\$0.00"/>
Restricted non-preserved amount	<input type="text" value="\$0.00"/>
Unrestricted non-preserved amount	<input type="text" value="\$0.00"/>

Preservation components TOTAL

! If the rollover payment contains a KiwiSaver preserved amount, you can't make the rollover payment to a self-managed superannuation fund (SMSF) under the preservation rules.

Section D: Non-complying funds

! Only complete this section if you are a trustee of a non-complying fund.

15 Contributions made to a non-complying fund on or after 10 May 2006

Section E: Transferring fund

16 Fund ABN

17 Fund name

18 Contact name
Title

Family name

First given name Other given names

19 Daytime phone number (include area code)

20 Email address (if applicable)

Section F: Declaration

Complete the declaration that applies to you. Print your full name then sign and date declaration.

! Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

TRUSTEE, DIRECTOR OR AUTHORISED OFFICER DECLARATION

Complete this declaration if you are the trustee, director or authorised officer of the superannuation fund or other provider shown in the section above.

I declare that the information contained in the statement is true and correct.

Name (BLOCK LETTERS)

Trustee, director or authorised officer signature

Date
Day Month Year
 / /

OR

AUTHORISED REPRESENTATIVE DECLARATION

Complete this declaration if you are an authorised representative of the superannuation fund or other provider shown in section E.

I declare that:

- *I have prepared the statement with the information supplied by the superannuation provider*
- *I have received a declaration made by the superannuation provider that the information provided to me for the preparation of this statement is true and correct*
- *I am authorised by the superannuation provider to give the information in the statement to the ATO.*

Name (BLOCK LETTERS)

Authorised Representative signature

Date

Day Month Year

 / /

Tax agent number (if you are a registered tax agent)

Where to send this form

If the rollover data standards **do not apply** to the transaction, you must do all of the following:

- send the form to the receiving fund in section A within seven days of paying them the rollover
- provide a copy to the member in section B within 30 days of paying the rollover
- keep a copy in your records for a period of five years.

If the rollover data standards **do apply** to the transaction, you must do all of the following:

- comply with the requirements of the data standard for the fund-to-fund interaction (do not send this form to the receiving fund in section A)
- use this form only to provide a statement to the member in section B within 30 days of paying the rollover
- keep a copy of the member statement in your records for a period of five years

**ORMROD SUPER FUND
(Fund)
REQUEST TO TRANSFER BENEFITS**

TO: THE TRUSTEE OF THE FUND

I, Janet Marie Ormrod, of 32 Russell Road Seville VIC 3139, hereby request that you arrange for the transfer of all my benefit entitlements in the Fund, being the amount standing to the credit of my Fund account(s) together with accumulated earnings and interim earnings (if applicable) to the VIC SUPER FUTURE SVER (New Fund) under the transfer out provisions of the trust deed for the Fund.

I understand that once I cease to be a trustee of the Fund or my benefits have been transferred out of the Fund, I will no longer be a member of the Fund.

The New Fund is a regulated superannuation fund within the meaning of the Superannuation Industry (Supervision) Act 1993.

I request that in transferring these benefits entitlements in the Fund to the New Fund, you ensure the preservation of all rights, entitlements and interests held by me in the Fund at the time of transfer. I also request that you arrange for the New Fund to meet any liabilities of the Fund in relation to my membership in the Fund.

DATED 26/4/2021



JANET MARIE ORMROD

ROBERT WILLIAM ORMROD

AND

JANET MARIE ORMROD

**FINANCIAL AGREEMENT UNDER SECTION 90D
OF THE FAMILY LAW ACT 1975**

AMJ Legal Pty Ltd
11 Clarke Street, Lilydale VIC 3140
PO Box 152, Lilydale 3140
DX 34059 Lilydale
Phone: 03 9735 5599
Fax: (03) 9735 2965
Email: info@amjlegal.com.au
Ref: GB:19-0024

- (i) Within 14 days of becoming entitled to receive a superannuation benefit from the fund, the wife will give the trustees of the fund all such forms and related information as necessary to enable it to determine the nature and quantum of their superannuation entitlement.
- (j) Until such time as the superannuation split to the wife pursuant to this agreement can be rolled over into a separate account to the wife:
 - (i) The husband will give to the wife written notice not less than 28 days before such time as he elects to retire from and/or take voluntary retirement and/or for any reason accept or become entitled to access in whole or in part his entitlement in the fund.
 - (ii) The husband will direct and authorise the trustee of the fund to communicate with the wife and/or any person authorised by them in writing:
 - (1) To answer any reasonable inquires as may be made by them or on their behalf from time to time regarding their entitlement in the fund; and
 - (2) To give to the wife and/or her authorised representative a copy of any notice of any application or request by the husband which seeks release of entitlements in the fund in so far as that release may affect the wife's entitlement in the fund pursuant to this agreement.
 - (iii) The husband, his servants and/or agents be and are restrained from doing any act or thing which would prevents the wife, her heirs, executors, administrators or nominees from receiving the benefits in the fund to which she is entitled pursuant to this agreement.
- (k) Within 14 days after service by the trustees of the payment split notice pursuant to Regulation 7A.03 of the Superannuation Industry (Supervision) Regulations 1994, the [husband OR wife] will do all such acts and things and sign all such documents as may be necessary, including but not limited to:
 - (i) Exercising their request pursuant to Regulation 7A.06 of the Superannuation Industry (Supervision) Regulations 1994, for the rollover or transfer of the transferable benefits from the fund to the wife's new superannuation fund; and
 - (ii) Rollover or transfer the wife's interest in the fund to the wife's new superannuation fund.

Each party retain their superannuation entitlements to the exclusion of the other party.

6. Omnibus

Unless otherwise specified in this agreement and save for the purpose of enforcing any money due under the terms of this agreement:

Robert William Ormrod

Janet Marie Ormrod

Witness

Witness

of the RAV 4 to Janet, she being responsible for payment of all transfer fees and stamp duty (if any) thereon.

- (b) Effect Janet's resignation from all positions held by her in the company including but not limited to her directorship thereof within 30 days of this Agreement.
- (c) Janet hereby assigns any entitlement she may have under any loan account of the company and all of her entitlements as a beneficiary of the Ormrods Family Trust ("the trust") and releases Robert, the company and the trust with respect thereto.
- (d) To effect a release by the company and the trust of any liability (if any) that Janet has to the company and/or the trust.

5. Superannuation

- (a) Janet and Robert are the current trustees and members of the Ormrod Superannuation Fund (the fund) however Janet's current interest in the fund is Nil and accordingly is, where the context so admits, referred to as the "non-member spouse".
- (b) Janet will upon request do all such things and sign all such documents as may reasonably be required to resign her position as a trustee of the fund.
- (c) The balance of their interest in the fund as at 30 June 2019 was \$609,428.00.
- (d) In accordance with section 90XJ(1)(c)(i) of the Act, the base amount specified in respect of the non-member spouse's interest in the fund is \$259,988.59
- (e) No agreement between the parties in relation to superannuation has been terminated or set aside, and no orders have been made, or agreements executed by the parties relating to the superannuation.
- (f) Having been accorded procedural fairness, this superannuation agreement binds the trustee of the fund.
- (g) The operative time for this payment split is the beginning of the fourth business day after the date of service of the agreement on the trustee of the fund. [Note the requirements of section 90XI which sets out the documents to accompany the agreement when served on the trustee].
- (h) In accordance with section 90XJ(2) of the Act, the non-member spouse is entitled using the base amount set out in clause (d) to the amount calculated in accordance with Part 6 of the Family Law (Superannuation) Regulations 2001 and the husband's entitlement in the fund is correspondingly reduced.

Robert William Ormrod

Janet Marie Ormrod

Witness

Witness