

**Pendal MicroCap Opportunities Fund**WYCLIFFE P/L  
ATF HUTCHINGS S/F  
14 DUNVEGAN PLACE  
CARINDALE QLD 4152

Investor Number C13837117

Enquiries 1800 813 886  
Fax (02) 9274 5786  
Internet [www.pendalgroup.com](http://www.pendalgroup.com)

Issue Date 25 September 2020

**SUMMARY: Information for your 2020 tax return**

tax return instructions item	amount	tax return label
non-primary production income	\$1.03	13U
franked distributions	\$232.69	13C
franking credits	\$742.91	13Q
credit for TFN amounts withheld	\$0.00	13R
total capital gains	\$0.00	18H
net capital gains	\$0.00	18A
foreign income	\$0.00	20E/20M
Australian franking credits from a NZ company	\$0.00	20F
foreign income tax offset	\$0.00	20O
MIT withholding tax	\$0.00	

If you are a complying Superannuation Fund, your net capital gain is \$0.00.

If you are a company your net capital gain is \$0.00.

If you are a non-complying Superannuation Fund, your net capital gain is \$0.00.

The summary shows the taxable components of all the distributions you received from your investments during the financial year.  
Individual details for each fund are overleaf.

## Please note:

- Your Tax Statement forms a payment summary for the purpose of the Taxation Administration Act 1953. Please retain your Tax Statement for income tax purposes.
- No details are provided for funds that did not distribute over the financial year.

If you are a Australian tax resident individual and require help with completing your Australian tax return, please refer to the 'Pendal Tax Statement Guide 2020', available at [www.pendalgroup.com/financialyearend](http://www.pendalgroup.com/financialyearend). If you are completing your tax return online, please refer to the 'Pendal Tax Statement (MyTax) Guide 2020' available at [www.pendalgroup.com/financialyearend](http://www.pendalgroup.com/financialyearend).

### TAX COMPONENTS BY FUND

	distributions received	tax offsets	taxable income
<b>BT Wholesale Funds</b>			
Pendal Microcap Opportunities Fund - RFA0061AU			
non-primary production income			
- other income	\$0.00		\$1.03
franked dividends	\$0.00	\$742.91	\$232.69
<b>Total distributions</b>	<b>\$0.00</b>		
AMIT cost base net amount-excess	\$509.19		

### STATEMENT INFORMATION

#### BT Investment Management (Fund Services) Limited (ABN 13 161 249 332, AFS Licence No. 431426)

Pendal MicroCap Opportunities Fund

BT Investment Management (Fund Services) Limited (BTIM), the Responsible Entity listed above (referred to as the 'company') has issued this statement, and the information contained within is current as at 30 June 2020. Although we are able to give you information about your investment, we are unable to give you tax advice.

If you require further information in order to complete your tax return, we suggest you consult your accountant or tax adviser to obtain professional tax advice. Please retain your Tax Statement for tax purposes. This information is for general information purposes only, should not be considered as a comprehensive statement on any matter and should not be relied upon as such. It has been prepared without taking into account any recipient's personal objectives, financial situation or needs.

This information may contain material provided by third parties, is given in good faith and has been derived from sources believed to be accurate as at its issue date. While such material is published with necessary permission, and while all reasonable care has been taken to ensure that the information in this statement is complete and correct, to the maximum extent permitted by law neither BTIM nor any company in the BTIM group accepts any responsibility or liability for the accuracy or completeness of this information.

**AMMA STATEMENT**  
**1 July 2019 to 30 June 2020**  
**Pendal MicroCap Opportunities Fund**

Investor Number	C13837117
Enquiries	1800 813 886
Fax	(02) 9274 5786
Internet	<a href="http://www.pendalgroup.com">www.pendalgroup.com</a>
Issue Date	25 September 2020

### **STATEMENT INFORMATION (continued)**

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Capital gains that are classified as 'TARP' in your Tax Statement refer to capital gains that arise from taxable Australian real property. Capital gains classified as 'NTARP' in your Tax Statement refer to capital gains that arise from non-taxable Australian real property.

Where 'Conduit Foreign Income' has been paid to you through your distributions, this has been included in your Tax Statements as 'unfranked dividends - CFI'. Conduit Foreign Income broadly refers to foreign income that is subject to specific Australian tax treatment.

For further information on these components and how they should be included in your tax return, please refer to the 'Pendal Tax Statement Guide 2020' available at [www.pendalgroup.com/financialyearend](http://www.pendalgroup.com/financialyearend).





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**Issue Date** 14 July 2020

This is not a Tax statement. Use your Pental Tax statements to complete your return.

## INVESTMENT SUMMARY

<b>Account Balance at 30 June 2019</b>		<b>\$140,741.82</b>	<b>(O)</b>
<b>Investments</b>	<b>Total Investments</b>	<b>\$0.00</b>	<b>(I)</b>
<b>Deductions</b>	Total Distributions / Flexi Payment Plan payments <sup>1</sup>	\$15,995.02	
	<b>Total Deductions</b>	<b>\$15,995.02</b>	<b>(D)</b>
<b>Net Earnings<sup>2</sup></b>		<b>\$11,283.46</b>	<b>(N)</b>
<b>Account Balance at 30 June 2020</b>		<b>\$136,030.26</b>	<b>(C)</b>
<b>Withdrawal Value at 30 June 2020</b>		<b>\$136,030.26</b>	

<sup>1</sup> Details of distributions and Flexi Payment Plan payments are contained in your Transaction Details. 1 July distribution amounts listed in your Transaction Details relate to income accrued in the previous period (that is, the previous financial year ended 30 June) and were paid this period. For Australian taxation purposes this amount is deemed to be income for the previous financial year.

### <sup>2</sup> Net Earnings

**N = C (balance 30 June 2020) + D (deductions) - I (investments) - O (balance 30 June 2019)**

Net earnings reflects the change in market value of the funds underlying investments over the period plus any distribution amounts you have been paid in the period.

Any management fee rebates relating to your investment that were paid to you as a cash payment during the financial year have not been included in this statement.

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## TRANSACTION DETAILS

		gross amount	rebates and fees <sup>1</sup>	net amount	unit price	number of units
<b>Pendal Funds</b>						
Pendal MicroCap Opportunities Fund - RFA0061AU						
30 Jun 2019	opening balance	\$140,741.82		\$140,741.82	\$2.8378	49,595.40
1 Jul 2019	distribution					
	paid to bank	\$15,995.02				
30 Jun 2020	closing balance	\$136,030.26		\$136,030.26	\$2.7428	49,595.40
<b>Net earnings for the period</b>						<b>\$11,283.46</b>

Total fees you paid		
Sum of fees included above		\$0.00
Indirect costs of your investment	This approximate amount has been deducted from your investment and covers amounts that have reduced the return on your investment but are not charged to you directly as a fee. This includes the management/issuer fee and where applicable, performance fee, expense recoveries, and indirect costs.	\$3,346.94
Total fees you paid	This approximate amount includes all the fees and costs which affected your investment during the period.	\$3,346.94

1 Jul distribution at 32.25102 cents per unit : \$15,995.02

<sup>1</sup> Any management fee rebates relating to your investment that were paid to you as a cash payment during the financial year have not been included in this amount.

a = accrued income

c = contribution fee

m = more than 1 charge

n = non-resident w' tax

s = stamp duty

t = TFN/ABN w' tax

w = withdrawal fee

## STATEMENT INFORMATION

**BT Investment Management (Fund Services) Limited (ABN 13 161 249 332, AFS Licence No. 431426 )**

Pendal MicroCap Opportunities Fund

BT Investment Management (Fund Services) Limited (BTIM), the Responsible Entity of the funds listed above, has issued this statement.

An investment in a fund is not a deposit with, or any other liability of BTIM or any company in its group of companies. It is subject to investment risk, including possible delays in repayment or loss of income and principal invested.

Some fees and expenses are deducted from the Fund as a whole; these include the investment managers' fees and expenses we incur in managing the Fund. These fees and expenses are not directly deducted from your investment. However, when they are deducted from the value of the Fund, they reduce the price of units in the Fund and, therefore, the value of your investment. The Product Disclosure Statement (PDS) or Information Memorandum (IM) (as the case may be) for the Fund gives you more information about these fees and expenses. A copy of the latest PDS or IM (as the case may be) is available from your adviser or by contacting BT Customer Relations.

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<b>Internet</b>	<b><u><a href="http://www.pendalgroupp.com">www.pendalgroupp.com</a></u></b>
<b>Issue Date</b>	<b>14 July 2020</b>

## STATEMENT INFORMATION (continued)

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### Questions / Complaints

Further information in relation to this statement and other investment strategies, is available on request.

If you have any concerns or complaints, as a first step please contact us and we will do our best to resolve your concern quickly and fairly. If you believe that your matter has not been dealt with satisfactorily, you can lodge a complaint with the Australian Financial Complaints Authority, or AFCA.

Website: [www.afca.org.au](http://www.afca.org.au)  
Email: [info@afca.org.au](mailto:info@afca.org.au)  
Telephone: 1800 931 678 (free call)  
In writing to: Australian Financial Complaints Authority  
GPO Box 3, Melbourne VIC 3001

For more detailed information on how we deal with complaints please visit [www.pendalgroupp.com](http://www.pendalgroupp.com), click on 'Contact', and click on 'Concerns or Complaints'.

### Annual Investment Income Report to the Australian Taxation Office (ATO)

From the 2017/18 financial year, the Taxation Administration Act 1953 requires the reporting of transactions involving shares and units in managed investment schemes. One of the main aims of this new requirement is to enable the ATO to assist taxpayers with the preparation of tax returns and activity statements. These transactions will now be reported in addition to annual investment income, and as such information appearing on your Capital Gains Tax (CGT) statement, where applicable, has also been forwarded to the ATO to comply with this new requirement.

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Issue Date 8 April 2020

**INVESTMENT SUMMARY**

Opening balance at 1 January 2020:	\$140,374.82
Closing balance at 1 April 2020:	\$101,110.14

**Transaction Details**

	gross amount	rebates and fees <sup>1</sup>	net amount	unit price	number of units
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**Pendal Funds**

Pendal MicroCap Opportunities Fund - RFA0061AU

1 Jan 2020	opening balance	\$140,374.82	\$140,374.82	\$2.8304	49,595.40
1 Apr 2020	closing balance	\$101,110.14	\$101,110.14	\$2.0387	49,595.40

<sup>1</sup>Any management fee rebates relating to your investment that were paid to you as a cash payment during the financial year have not been included in this amount.

**Total value as  
at 1 April 2020** **\$101,110.14**

 a = accrued income  
 s = stamp duty

 c = contribution fee  
 t = TFN/ABN w' tax

 m = more than 1 charge  
 w = withdrawal fee

n = non-resident w' tax

## DID YOU KNOW?

Investors in our funds can find information such as Product Disclosure Statements (PDS), unit prices, distribution information, fund performance, fund fact sheets, investment manager commentaries and investment insights at [www.pendalgroup.com](http://www.pendalgroup.com).

You can also view your accounts online via a secure login section at [www.pendalgroup.com](http://www.pendalgroup.com). If you are a new user, you will need to register by following the 'New User?' link, or you can email [clientservice@pendalgroup.com](mailto:clientservice@pendalgroup.com) for a registration form. Once registered, you can conveniently and safely access your account balances, month-end statements, transaction histories and distribution details 24 hours, 7 days a week.

## STATEMENT INFORMATION

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Pendal MicroCap Opportunities Fund

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An investment in a fund is not a deposit with, or any other liability of BTIM or any company in its group of companies. It is subject to investment risk, including possible delays in repayment or loss of income and principal invested.

If you pay PAYG tax instalments, for the purposes of calculating your instalment income, you should include any distribution amounts in the period received.

## SUMMARY OF YOUR INVESTMENT

	units	exit price*	investment value
<b>Pendal Funds</b>			
Pendal MicroCap Opportunities Fund	49,595.40	\$2.0259	\$100,475.32
<b>Total Investment Value</b>			<b>\$100,475.32</b>

\* At 31 March 2020

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 Issue Date **14 July 2020**

## INVESTMENT SUMMARY

Opening balance at 1 April 2020:	\$101,110.14
Closing balance at 1 July 2020:	\$137,671.87

## Transaction Details

	gross amount	rebates and fees <sup>1</sup>	net amount	unit price	number of units
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### Pendal Funds

Pendal MicroCap Opportunities Fund - RFA0061AU

1 Apr 2020	opening balance	\$101,110.14	\$101,110.14	\$2.0387	49,595.40
1 Jul 2020	closing balance	\$137,671.87	\$137,671.87	\$2.7759	49,595.40

<sup>1</sup>Any management fee rebates relating to your investment that were paid to you as a cash payment during the financial year have not been included in this amount.

**Total value as  
at 1 July 2020** **\$137,671.87**

a = accrued income

c = contribution fee

m = more than 1 charge

n = non-resident w' tax

s = stamp duty

t = TFN/ABN w' tax

w = withdrawal fee

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Issue Date 9 October 2020

## INVESTMENT SUMMARY

Opening balance at 1 July 2020:	\$137,671.87
Closing balance at 1 October 2020:	\$155,898.18

## Transaction Details

	gross amount	rebates and fees <sup>1</sup>	net amount	unit price	number of units
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### Pendal Funds

Pendal MicroCap Opportunities Fund - RFA0061AU

1 Jul 2020	opening balance	\$137,671.87	\$137,671.87	\$2.7759	49,595.40
1 Oct 2020	closing balance	\$155,898.18	\$155,898.18	\$3.1434	49,595.40

<sup>1</sup>Any management fee rebates relating to your investment that were paid to you as a cash payment during the financial year have not been included in this amount.

**Total value as** **\$155,898.18**  
**at 1 October 2020**

a = accrued income

c = contribution fee

m = more than 1 charge

n = non-resident w' tax

s = stamp duty

t = TFN/ABN w' tax

w = withdrawal fee

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## SUMMARY OF YOUR INVESTMENT

	units	exit price*	investment value
<b>Pendal Funds</b>			
Pendal MicroCap Opportunities Fund	49,595.40	\$3.1550	\$156,473.48
<b>Total Investment Value</b>			<b>\$156,473.48</b>

\* At 30 September 2020