

# APPLICATION FOR ADMISSION OF AN ADULT MEMBER TO A SELF MANAGED SUPER FUND



Application for admission as a member of Black Eagle Super Fund ABN 902 889 957 23 ("the Superannuation Fund").

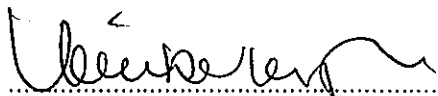
I apply for membership of the Superannuation Fund and agree to be bound by the Governing Rules of the Superannuation Fund as they are amended from time to time.

I confirm I have received a copy of the General Product Disclosure Statement in respect of the Superannuation Fund which was issued by the trustee of the Superannuation Fund.

I understand that the Superannuation Fund is a regulated superannuation fund and also a self managed superannuation fund.

I confirm that:

- (a) I have attained the age of 18 years;
- (b) no notice of disqualification has been made in respect of me pursuant to s126A of the *Superannuation Industry (Supervision) Act 1993*;
- (c) I am not an insolvent under administration;
- (d) no civil penalty order under the *Superannuation Industry (Supervision) Act 1993* has been made against me; and
- (e) I have not been convicted (whether in Australia or elsewhere) of any offence involving dishonest conduct.



Signature of <Insert Name of Member>

8/12-14 Bay Road

North Sydney

Residential address

Date of birth: <insert date of birth of member>

10/6/1970



**Written resolutions of the trustee of the Black Eagle Super Fund ABN 902 889 957 223 ("the Superannuation Fund").**

**1. Purpose of resolutions**

The purpose of these resolutions is to:

- (a) authorise the issue of a General Product Disclosure Statement in respect of superannuation interests to be issued by the Superannuation Fund including accumulation interests and pension interests;
- (b) admit the applicant as a new member of the fund;
- (c) accept contributions made by or in respect of the applicant;
- (d) accept benefit transfers and rollovers in respect of the applicant; and
- (e) authorise various actions to be undertaken as a consequence of the admission of the applicant as a new member and the acceptance of contributions, benefit transfers and rollovers.

**2. Issue of General Product Disclosure Statement**

The General Product Disclosure Statement describes both accumulation benefits and account pension benefits (namely, account-based, transition to retirement and market-linked pensions) which can be provided by the Superannuation Fund.

**Trustee resolution – adoption & issue of PDS**

**Resolved that** the General Product Disclosure Statement is adopted by the trustee and its issue to new members and prospective members is authorised.

**3. Admission of applicant as a member**

Resolutions in relation to the admission of the applicant

**Noted** that the applicant is eligible to be admitted as a member of the Superannuation Fund and that the applicant is not disqualified from participating in the management of the Superannuation Fund.

**Noted** that the admission of the applicant as a member will not cause the Superannuation Fund to cease to satisfy the definition of "self managed superannuation fund" as the applicant has been or will be appointed as a director of the company which acts as trustee or has been or will be appointed as a trustee (as may be appropriate) and the admission of the applicant will not cause the number of members to exceed four.

**Noted** that as the applicant is an Australian resident for taxation purposes, the admission of the applicant and the acceptance of contributions by or in respect of the applicant and the acceptance of transfers and rollovers in respect of the applicant will not prejudice the status of the Superannuation Fund as an Australian Superannuation Fund.

**Noted** that the trustee has received an application to admit the applicant as a new member of the Superannuation Fund. The application has been signed by the applicant.



**Resolved** that the applicant is admitted as a member of the Superannuation Fund with immediate effect.

**Resolved** that the administrator of the fund be instructed to notify the ATO of the change in the persons associated with Superannuation Fund by reason of the admission of the applicant as a member and the appointment as a trustee or director of the company which acts as trustee (as may be appropriate) of the Superannuation Fund by either completing and submitting form NAT 3036 or online using an AUSKey or an ATO Digital Certificate.

**Noted** that the ATO must be notified of the applicant's admission as a member within 28 days of the date of this resolution.

**4. Contributions in respect of applicant**

**Noted** that the applicant has advised that concessional and non-concessional contributions for the applicant will be made to the Superannuation Fund.

**Further noted** that in accordance with the Governing Rules the Superannuation Fund may accept concessional and non-concessional contributions in respect of the applicant so long as the SIS Regulations permitted the Superannuation Fund to accept such contributions.

**Contribution resolution – applicant**

**Resolved** to accept any concessional or non-concessional contributions made by or in respect of the applicant subject to the contributions acceptance rules being satisfied in respect of those contributions and that any contributions be credited to the member's account of the applicant.

**5. Benefit transfers and rollovers in respect of applicant**

**Noted** that the applicant has advised that benefit transfers and rollovers in respect of the applicant may be made to the Superannuation Fund.

**Further noted** that in accordance with the Governing Rules of the Superannuation Fund, the trustee may accept benefit transfers and rollovers in respect of the applicant so long as the SIS Regulations permitted the Superannuation Fund to accept such transfers and rollovers.

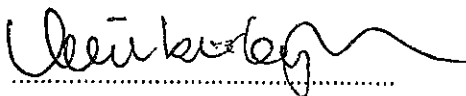
**Benefit transfers and rollovers resolution – applicant**

**Resolved** to accept any benefit transfers and rollovers in respect of the applicant subject to the contributions acceptance rules being satisfied in respect of those benefit transfers and rollovers and that any transfers and rollovers are credited to the member's account of the applicant.





Signed as a record of decision of the trustees of Black Eagle Super Fund



Miss Kimberley Dale Parker  
8/12 Bay Road, kimberleyparker70@gmail.com  
North Sydney, NSW 2060

3, 4, 2019

Date of signing



Mr Robert Wayne Heaslip  
2 Laurel Avenue  
Casino, NSW 2470

4, 4, 2019

Date of signing



ESTABLISHMENT RECORD OF DECISION  
OF THE TRUSTEE AS TRUSTEE OF THE

Black Eagle Super Fund  
(THE SUPERANNUATION FUND)

Note: To be effective these resolutions must be signed by all trustees. If signed by the trustees on different dates, the resolutions will be effective on the last date on which a trustee signs.

**1. Purpose of record of decision**

The purpose of the record of decision is to:

- (a) effect the establishment of the superannuation fund pursuant to the provisions of a Superannuation Deed which fund will qualify as a self managed superannuation fund;
- (b) authorise the signing of the Superannuation Deed to effect the establishment of the superannuation fund;
- (c) authorise the making of an election that the superannuation fund be regulated under the *Superannuation Industry (Supervision) Act 1993* ("SIS Act"); and
- (d) attend to various matters following upon the establishment of the superannuation fund.

**2. Consents and disclosures of the trustees**

**Noted** that each trustee will, on the establishment of the superannuation fund, be a trustee acting as the trustee of a regulated superannuation fund and will have, by signing the trustee's consent form for the superannuation fund, consented in writing to acting as a trustee of a regulated superannuation fund and that:

- (a) they have attained the age of 18 years;
- (b) no notice of disqualification has been made in respect of them pursuant to s 126A of the SIS Act;
- (c) they are not insolvents under administration;
- (d) no civil penalty order under the SIS Act has been made against them; and
- (e) they have not been convicted (whether in Australia or elsewhere) of any offence involving dishonest conduct.

**Noted** that each trustee by signing the trustees consent form for the superannuation fund has provided an undertaking to immediately notify their fellow trustees should any of the above information change and would, if required by their fellow trustees, resign as a trustee.

**Noted** that on the basis of the written consents and disclosures that none of the trustees are disqualified from acting as the trustee of a regulated superannuation fund.

**3. Execution of Superannuation Deed to establish the superannuation fund**

**Resolved** that the Superannuation Deed to establish the fund be executed by the trustees.

**4. Establishment of superannuation fund**

**Noted** that the superannuation fund will be formally established upon receipt by the trustees of the first contribution by or in respect of a member.

**Noted** that each member will, after execution of the Superannuation Deed, make a contribution of \$10 for their benefit.

**Resolved** that \$10 contribution made by each member be accepted as a contribution to the superannuation fund.

**5. Admission of initial members**

**Noted** that each person who has signed the members consent form for the Superannuation Deed will be admitted as a member of the superannuation fund upon execution of the Superannuation Deed.

**6. Election that the fund be regulated under the *Superannuation Industry (Supervision) Act 1993* and application for TFN and ABN for the fund**

**Resolved** that the *Superannuation Industry (Supervision) Act 1993* applies to the superannuation fund.

**Resolved** that the ATO form "An Application to Register for Superannuation Entities" ("Fund Registration Form") be completed in respect of the superannuation fund and submitted to the ATO.

**Noted** that by completing and submitting the fund registration form, the trustees will be applying for a tax file number and Australian Business Number for the fund as well as making an election that the *Superannuation Industry (Supervision) Act 1993* applies to the superannuation fund.

**7. Authorisation of issue of General Product Disclosure Statement**

**Noted** that a General Product Disclosure Statement which applies to superannuation interests which can be issued by the superannuation fund (both in growth phase and also in pension phase) has been prepared for approval by the trustees and issued to the initial members and prospective members of the superannuation fund.

A copy of the General Product Disclosure Statement is exhibited to each trustee at the time of their signature of this record of decision.

**Resolved** that the exhibited General Product Disclosure Statement is approved by the trustee and its issue to the initial members and prospective members of the superannuation fund is authorised.

**8. Bank account**

**Resolved** that a bank account in the name of the fund be opened at a bank and branch to be determined and conducted on the basis that the account may be operated by any trustee.

**9. Section 290 - 75 representation letter**

**Noted** that employers may require the trustees to provide a written statement that the superannuation fund:

- (a) is a resident regulated superannuation fund; and
- (b) has not received a direction under s 63 of the *Superannuation Industry (Supervision) Act 1993* from the Commissioner of Taxation not to accept employer contributions.

**Resolved** that each trustee is authorised to make on behalf of the trustees and sign a written statement to the effect that the superannuation fund is a resident regulated superannuation fund and that no direction (being a direction not to accept employer contributions) under s 63 of the *Superannuation Industry (Supervision) Act 1993* has been received.

**10. ATO trustee declarations**

**Noted** that each trustee had signed the ATO Trustee Declaration NAT 71128 as required by s 104A of the *Superannuation Industry (Supervision) Act 1993*.

SUPERANNUATION FUND COMPLIANCE  
STATEMENT

### **Instructions**

1. This statement can be used by both corporate and individual trustees.
2. The purpose of this statement is to permit employer contributions to qualify for Superannuation Guarantee Charge and tax deduction purposes.
3. If the person signing the statement has reason to believe that the fund is either not an Australian resident superannuation fund or has been issued with a s 63 notice under the *Superannuation Industry (Supervision) Act 1993* by the ATO, they must not sign the statement or provide a copy of the signed statement to a third party.
4. If the ABN of the fund is known, this will have to be inserted.



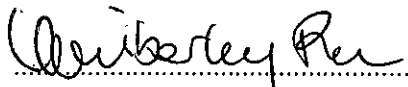
(Trustee letterhead)

This statement is made on behalf of the trustee of the Black Eagle Super Fund ABN 902 889 957 23 ("the Superannuation Fund") for the purposes of section 25 of *Superannuation Guarantee (Administration) Act 1992* (Cth) and for the purposes of section 290-75 of the *Income Tax Assessment Act 1997* (Cth).

The Superannuation Fund:

- (a) is a resident regulated superannuation fund within the meaning of the *Superannuation Industry (Supervision) Act 1993* (Cth) ("SIS Act"); and
- (b) is not subject to a direction issued by the Commissioner of Taxation under section 63 of the SIS Act that the Superannuation Fund must not accept employer contributions.

Signed for and on behalf of the trustee of the Superannuation Fund



~~Trustee/Director of Trustee~~  
Trustee of Black Eagle Super Fund

Date: 3 / 4 / 2019

