

# Self-managed superannuation fund annual return 2020

## Section A: Fund Information

1 **Your tax file number**

The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the declaration.

2 **Name of self-managed superannuation fund (SMSF)**

4 **Current postal address**

Street

Suburb/State/P'code

### 5 Annual return status

Is this the first required return for a newly registered SMSF?

### 6 SMSF auditor

Auditor's name  
 Title   
 Family name   
 Given names

SMSF Auditor Number  Auditor's phone number

Postal address  
 Street   
 Suburb/State/P'code

Date audit was completed

Was Part A of the audit report qualified?      
 Was Part B of the audit report qualified?

### 7 Electronic funds transfer (EFT)

#### A Fund's financial institution account details

BSB no  Account no

Fund account name

I would like my tax refunds made to this account.

### 8 Status of SMSF

Australian superannuation fund      
 Fund benefit structure      
 Does the fund trust deed allow acceptance of the Government's Super Co-contributions and Low Income Super-Contribution?

9 **Was the fund wound up during the income year?**

Sensitive (when completed)

**10 Exempt current pension income**

Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

N

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**Section B: Income**  
**11 Income**

Did you have a CGT event during the year?  
 Have you applied an exemption or rollover?

<b>G</b>	Y
<b>M</b>	N

Net capital gain  
 Gross interest  
 Net foreign income

<b>A</b>	74103
<b>C</b>	233
<b>D</b>	1466

Gross foreign income

<b>D1</b>	1466
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Unfranked dividend amount

<b>J</b>	
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Franked dividend amount

<b>K</b>	
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Dividend franking credit

<b>L</b>	
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Gross trust distributions

<b>M</b>	3319	<b>P</b>
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**Calculation of assessable contributions**

<b>R</b>	0
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plus No-TFN-quoted contributions

<b>R3</b>	0
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**GROSS INCOME**

<b>W</b>	79121
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**TOTAL ASSESSABLE INCOME**

<b>V</b>	79121
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**Section C: Deductions and non-deductible expenses**  
**12 Deductions and non-deductible expenses**

**Deductions**

**Non-deductible expenses**

SMSF auditor fee  
 Investment expenses  
 Management and administration expenses  
 Tax losses deducted

<b>H1</b>	1100
<b>I1</b>	10052
<b>J1</b>	2506
<b>M1</b>	10991

<b>H2</b>	
<b>I2</b>	
<b>J2</b>	

**TOTAL DEDUCTIONS (A1 to M1)**

<b>N</b>	24649
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**TOTAL NON-DEDUCTIBLE EXPENSES (A2 to L2)**

<b>Y</b>	
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**TOTAL SMSF EXPENSES (N + Y)**

<b>Z</b>	24649
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**TOTAL INCOME OR LOSS**  
 (Total assessable income less deductions)

<b>O</b>	54472
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**Section D: Income tax calculation statement**

**13 Income tax calculation statement**

		Taxable income	<b>A</b>	54472.00
		Tax on taxable income	<b>T1</b>	8170.80
		Tax on no-TFN-quoted contributions	<b>J</b>	0.00
		<b>Gross tax</b>	<b>B</b>	8170.80
Foreign inc. tax offsets	<b>C1</b>	83.41	<b>C</b>	83.41
Rebates and tax offsets	<b>C2</b>			
		Non-refundable non-c/f tax offsets (C1 + C2)		
		<b>SUBTOTAL 1</b>	<b>T2</b>	8087.39
ESVCLP tax offset	<b>D1</b>			
ESVCLP tax offset c/f from previous year	<b>D2</b>			
ESIC tax offset	<b>D3</b>			
ESIC tax offset c/f from previous year	<b>D4</b>			
		Non-refundable c/f tax offsets (D1+D2+D3+D4)	<b>D</b>	
		<b>SUBTOTAL 2</b>	<b>T3</b>	8087.39
Complying fund's franking credits tax offset	<b>E1</b>	0.00		
No-TFN tax offset	<b>E2</b>			
NRAS tax offset	<b>E3</b>			
Exploration cr. tax offset	<b>E4</b>			
<i>Credit:</i>				
Int. on early payments	<b>H1</b>			
Foreign res. w/holding (excl. capital gains)	<b>H2</b>			
ABN/TFN not quoted	<b>H3</b>			
TFN w/held from closely held trusts	<b>H5</b>			
Int. on no-TFN tax offset	<b>H6</b>			
Credit for foreign res. capital gains w/holding	<b>H8</b>			
		Refundable tax offsets (E1 + E2 + E3 + E4)	<b>E</b>	0.00
		<b>TAX PAYABLE</b>	<b>T5</b>	8087.39
		Section 102AAM int. charge	<b>G</b>	
		Eligible credits (H1 + H2 + H3 + H5 + H6 + H8)	<b>H</b>	
		Tax offset refunds	<b>I</b>	0.00
		PAYG installments raised	<b>K</b>	
		Supervisory levy	<b>L</b>	259.00
		Supervisory levy adj. for wound up funds	<b>M</b>	
		Supervisory levy adj. for new funds	<b>N</b>	
		<b>TOTAL AMOUNT DUE</b>	<b>S</b>	8346.39
		(T5 + G - H - I - K + L - M + N)		

**Section E: Losses**

**14 Losses information**

Losses carried forward to later income years **U** 0

**Section F: Member information**

**MEMBER NUMBER: 1**

Title	<input type="text" value="Mr"/>	Account status	<input type="text" value="O"/>
First name	<input type="text" value="MARK"/>		
Other names	<input type="text"/>		
Surname	<input type="text" value="PULLEN"/>		
Suffix	<input type="text"/>		
Member's TFN	<input type="text" value="211 340 609"/>	Date of birth	<input type="text" value="15/12/1966"/>

See the Privacy note in the Declaration

<b>OPENING ACCOUNT BALANCE</b>	<input type="text" value="601797.00"/>
<b>TOTAL CONTRIBUTIONS</b> (Sum of labels A to M)	<b>N</b> <input type="text" value="0.00"/>

**Other transactions**

Allocated earnings or losses		<b>O</b> <input type="text" value="152426.00"/>
Accumulation phase account balance	<b>S1</b> <input type="text" value="754223.00"/>	
Retirement phase account bal. - Non CDBIS	<b>S2</b> <input type="text" value="0.00"/>	
Retirement phase account balance - CDBIS	<b>S3</b> <input type="text" value="0.00"/>	
TRIS Count	<input type="text" value="0"/>	
<b>CLOSING ACCOUNT BALANCE</b>		<b>S</b> <input type="text" value="754223.00"/>
Accumulation phase value		<b>X1</b> <input type="text" value="754223.00"/>

**MEMBER NUMBER: 2**

Title	<input type="text" value="MRS"/>	Account status	<input type="text" value="O"/>
First name	<input type="text" value="ROSALIND"/>		
Other names	<input type="text"/>		
Surname	<input type="text" value="BASSETT"/>		
Suffix	<input type="text"/>		
Member's TFN	<input type="text" value="422 452 452"/>	Date of birth	<input type="text" value="16/05/1972"/>

See the Privacy note in the Declaration

<b>OPENING ACCOUNT BALANCE</b>	<input type="text" value="102496.00"/>
<b>TOTAL CONTRIBUTIONS</b> (Sum of labels A to M)	<b>N</b> <input type="text" value="0.00"/>

**Other transactions**

Allocated earnings or losses		<b>O</b> <input type="text" value="25960.00"/>
Accumulation phase account balance	<b>S1</b> <input type="text" value="128456.00"/>	
Retirement phase account bal. - Non CDBIS	<b>S2</b> <input type="text" value="0.00"/>	
Retirement phase account balance - CDBIS	<b>S3</b> <input type="text" value="0.00"/>	
TRIS Count	<input type="text" value="0"/>	
<b>CLOSING ACCOUNT BALANCE</b>		<b>S</b> <input type="text" value="128456.00"/>
Accumulation phase value		<b>X1</b> <input type="text" value="128456.00"/>

**Section H: Assets and liabilities**

**15 ASSETS**

15a Australian managed investments	
Listed trusts	<b>A</b> <input type="text" value="168893"/>

Sensitive (when completed)

15b Australian direct investments

**Limited Recourse Borrowing Arrangements**

Australian residential real property	<b>J1</b>		Cash and term deposits	<b>E</b>	278841
Australian non-residential real property	<b>J2</b>		Debt securities	<b>F</b>	
Overseas real property	<b>J3</b>		Loans	<b>G</b>	
Australian shares	<b>J4</b>		Listed shares	<b>H</b>	483759
Overseas shares	<b>J5</b>		Unlisted shares	<b>I</b>	
Other	<b>J6</b>		Limited recourse borrowing arrangements (J1 to J6)	<b>J</b>	
Property count	<b>J7</b>		Non-residential real property	<b>K</b>	
			Residential real property	<b>L</b>	
			Collectables and personal use assets	<b>M</b>	
			Other assets	<b>O</b>	

**TOTAL AUSTRALIAN AND OVERSEAS ASSETS**  
 (sum of labels A to T)

**U** 931493

15e In-house assets

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year?

**A N**

**16 Liabilities**

Borrowings for limited recourse borrowing arrangements	<b>V1</b>		Borrowings	<b>V</b>	
Permissible temporary borrowings	<b>V2</b>		Total member closing account balances	<b>W</b>	882679
Other borrowings	<b>V3</b>		Reserve accounts	<b>X</b>	
			Other liabilities	<b>Y</b>	48814
			<b>TOTAL LIABILITIES</b>	<b>Z</b>	931493

**Section K: Declarations**  
**Important**

Before making this declaration check to ensure all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

**Privacy**

The ATO is authorised by the Taxation Administration Act 1953 to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However, if you do not provide the TFN the processing of this form may be delayed. Taxation law authorises the ATO to collect information and disclose it to other government agencies. For more information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**TRUSTEE'S OR DIRECTOR'S DECLARATION:**

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature  Date

**Preferred trustee, director or public officer's contact details:**

Title   
Family name and suffix PULLEN  
Given and other names MARK  
Phone number 02 82367500  
Non-individual trustee name ROOBOOMOO HOLDINGS PTY LTD

Time taken to prepare and complete this tax return (hours)

**TAX AGENT'S DECLARATION:**

We declare that the Self-managed superannuation fund annual return 2020 has been prepared in accordance with information provided by the trustees, that the trustees have given us a declaration stating that the information provided to us is true and correct, and that the trustees have authorised us to lodge this annual return.

Tax agent's signature  Date

**Tax agent's contact details**

Title   
Family name and suffix TAYLOR  
Given and other names ROBERT MARK  
Tax agent's practice STIRLING WARTON TAYLOR PTY LTD  
Tax agent's phone 02 8236 7500  
Reference number PUL101  
Tax agent number 72517001

**NOTE: THIS PRINT-OUT IS NOT TO BE LODGED WITH THE ATO.**

**Sensitive** (when completed)

1. Current year capital gains and capital losses

	Capital gain	Capital loss
Units in unit trusts listed on an Australian securities exchange	<b>C</b> 212713	<b>M</b> 115419
Amount of capital gains from a trust (including a managed fund)	<b>G</b> 13861	
<b>Total current year capital gains</b>	<b>J</b> 226574	

2. Capital losses

<b>Total current year capital losses</b>	<b>A</b> 115419
Total current year capital losses applied	<b>B</b> 115419
<b>Total capital losses applied</b>	<b>E</b> 115419

4. CGT discount

Total CGT discount applied	<b>A</b> 37052
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6. Net capital gain

Net capital gain	<b>A</b> 74103
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NOTE: THIS PRINT-OUT IS NOT TO BE LODGED WITH THE ATO.



**Part A** - Losses carried forward to the 2020-2021 income year -excludes film losses

**1 Tax losses carried forward to later income years**

Year of loss

Total

**U**

Transfer the amount at label U to the corresponding label on your tax return

**Part F** - Tax losses reconciliation statement

Balance of tax losses brought forward from the  
prior income years

**A**

**SUBTRACT** Tax losses deducted

**H**

Total Tax losses carried forward to later income years

**J**

Transfer the amount at **J** to the **Tax losses carried forward to later income years** label on your tax return

**NOTE: THIS PRINT-OUT IS NOT TO BE LODGED WITH THE ATO.**

**Gross dividends**  
 (excluding distributions from cash management, property and certain other unit trusts)

Company Share status (if applicable)	Unfranked dividends	Franked dividends	Franking credits	TFN withholding
PER MANAGED PORTFOLIO				
Total				

**Foreign Income Schedule**

**LIST OF TRANSACTIONS**

Type Description Currency	Gross Amount	Deductions	Net Amount	Foreign Tax Paid
Type G General Description: Dft - SHAW PARTNERS MANAGED PORTFOLIO AUD (Australia, Dollars)	1466		1466	83.41
TOTALS SUMMARY (Includes any available prior year unutilised foreign tax).	1466		1466	83.41

**Distributions from Trusts**  
**Including Cash Management or Listed Property Trusts**

Trust Name	SHAW PARTNERS MANAGED PORTFOLIO		
TFN		Trust Type	P

**Arm's length income**

Unfranked Dividend	Franked Dividend	Franking Credit	Other Trust Income
		0.00	3319

Capital gains	Indexed	Discount (net)	Other
		7671	2355

Capital gains/losses on disposal of units in a Unit Trust	Indexed	Discount (net)	Other
		137071	7107
	Loss		
	115419		

Foreign income	Income	Tax credit	Class	Deduction
	1466	83.41	G	

Trust Name			
TFN		Trust Type	

**Subtotals**

**Arm's length income**

Unfranked Dividend	Franked Dividend	Franking Credit	Other Trust Income
		0.00	3319

Capital gains	Indexed	Discount (net)	Other
		7671	2355

Foreign income	Income	Tax credit	Deduction
	1466	83.41	

Capital gains/losses on disposal of units in a Unit Trust	Indexed	Discount (net)	Other
		137071	7107
	Loss		
	115419		

Item: 1 Dft - SHAW PARTNERS MANAGED PORTFOLIO

Category: D Disposal of units from the distribution schedule  
 Method: DS Discount

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
Dft - SHAW PARTNERS MANAGED PORTFOLIO			137071		N/A	
Total:			137071			

Capital Gain/Loss

Capital Gain						<u>205607</u>
Adjusted Discount Percentage		33.33%				<u>68536</u>
Reduced Discount Capital Gain						<u>137071</u>

Item: 2 Dft - SHAW PARTNERS MANAGED PORTFOLIO

Category: D Disposal of units from the distribution schedule  
 Method: OT Other

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
Dft - SHAW PARTNERS MANAGED PORTFOLIO			7107			
Total:			7107			

Capital Gain/Loss

Capital Gain						<u>7107</u>
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Item: 3 Dft - SHAW PARTNERS MANAGED PORTFOLIO

Category: D Disposal of units from the distribution schedule  
 Method: OT Other

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
Dft - SHAW PARTNERS MANAGED PORTFOLIO	115419					115419
Total:	115419					115419
Reduced Cost Base:						115419

Capital Gain/Loss

Consideration Received						
Reduced Cost Base						115419
Capital Loss						<u>115419</u>

Item: 1 Dft - SHAW PARTNERS MANAGED PORTFOLIO

Category: Z Values from Trust distribution schedules  
 Method: DS Discount

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
Dft - SHAW PARTNERS MANAGED PORTFOLIO			7671		N/A	
Total:			7671			

Capital Gain/Loss

Capital Gain						11507
Adjusted Discount Percentage		33.33%				3836
Reduced Discount Capital Gain						7671

Item: 2 Dft - SHAW PARTNERS MANAGED PORTFOLIO

Category: Z Values from Trust distribution schedules  
 Method: OT Other

Description	Cost	Date Acquired	Consider'n Received	Date Disposed	Index Factor	Cost Base
Dft - SHAW PARTNERS MANAGED PORTFOLIO			2355			
Total:			2355			

Capital Gain/Loss

Capital Gain						2355
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Item Description	Acquired	Disposed	Consideration Received	Cost Base Amount Type	Gain/Loss
<b>Other Assets</b>					
<b>A. SUMMARY</b>					
<b>1. Frozen/Other Capital Gains</b>					
Disposal of units from the distribution schedule - Category D					
2 Dft - SHAW PARTNERS MANAGED PORTFOLIO			7107	0 C	7107
Values from P&T distribution schedules - Category Z					
2 Dft - SHAW PARTNERS MANAGED PORTFOLIO			2355	0 C	2355
					<b>9462</b>
<b>2. Discount Capital Gains</b>					
Disposal of units from the distribution schedule - Category D					
1 Dft - SHAW PARTNERS MANAGED PORTFOLIO			137071	DS	205607
Values from P&T distribution schedules - Category Z					
1 Dft - SHAW PARTNERS MANAGED PORTFOLIO			7671	DS	11507
					<b>217114</b>
					<b>Less: Discount</b>
					<b>-72372</b>
					<b>Total Discount Capital Gains</b>
					<b>144742</b>
<b>3. Current Year Capital Losses</b>					
Disposal of units from the distribution schedule - Category D					
3 Dft - SHAW PARTNERS MANAGED PORTFOLIO				115419 C	-115419
					<b>Sub-total Current Year Capital Losses</b>
					<b>-115419</b>

Key to Cost Base Type:

- C = Cost base (asset owned less than 12 months)
- R = Reduced cost base (loss)
- I = Indexed cost base (per CPI)
- D = Deemed threshold
- DS = Discount Method

Item Description	Acquired	Disposed	Consideration Received	Cost Base Amount	Type	Gain/Loss
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<b>B. APPLICATION OF CAPITAL LOSSES</b>				
Description	Capital Gain	Current Year Loss Applied	Prior Year/ T'ferred In Loss Applied	Residual Cap Gain
3,4a,4b Capital Losses		115419		
1. Frozen/Other Capital Gains	9462	9462	0	0
2. Nominal Pre-discount Gains	217114	105957	0	111157
6. Net Collectable Frozen/Other		0	0	0
7. Net Collectable Pre-disc Gains		0	0	0
8. Personal Use Frozen/Other		0	0	0
9. Personal Use Pre-discount Gains		0	0	0
Total Remaining Capital Losses		0	0	

Note: When sharing a capital gain or receiving a share a rounding error of \$1 may occur.

Item Description	Acquired	Disposed	Consideration Received	Cost Base Amount Type	Gain/Loss
<b>C. NET CAPITAL GAIN/LOSS CALCULATION</b>					
<b>Other Assets</b>					
Frozen/Other Capital Gains					0
Nominal Pre-discount Gains					111157
Less discount		33.33%			-37052
50% Active Asset Frozen/Other Gains					0
Less discount		50.00%			0
50% Active Asset Discount Cap Gains					0
Less discount		66.66%			0
					74105
Less Roll-over exemption					
Less Retirement exemption					0
				Other Assets Total	74105
<b>Collectables</b>					
Frozen/Other Capital Gains					0
Nominal Pre-discount Gains					0
Less discount		33.33%			0
<b>Personal Use Assets</b>					
Frozen/Other Capital Gains					0
Nominal Pre-discount Gains					0
Less discount		33.33%			0
				<b>Total Net Capital Gain</b>	<b>74105</b>

**TOTAL NET CAPITAL GAIN SUMMARY**

Other Assets		74105
Personal Use Assets		
	<b>Total Net Capital Gains</b>	<b>74105</b>

Please note that due to the method of calculation, the summary report may be subject to rounding errors.



**PART A Electronic lodgment declaration (Form P, T, F, SMSF or EX)**

This declaration is to be completed where the tax return is to be lodged via an approved ATO electronic channel. It is the responsibility of the taxpayer to retain this declaration for a period of five years after the declaration is made, penalties may apply for failure to do so.

Tax File Number  Year of return

Name of Partnership, Trust, Fund or Entity

Total Income/Loss

Total Deductions

Taxable Income/Loss

**Privacy**  
The ATO is authorised by the Taxation Administration Act 1953 to request the provision of tax file numbers (TFNs). The ATO will use the TFNs to identify each partner or beneficiary or entity in our records. It is not an offence not to provide the TFNs. However, you cannot lodge your tax return electronically if you do not quote your TFN.

Taxation law authorises the ATO to collect information and disclose it to other government agencies, including personal information about the person authorised to sign the declaration. For information about privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**The Australian Business Register**  
The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this tax return to maintain the integrity of the register.

Please refer to the privacy statement on the Australian Business Register (ABR) website ([www.abr.gov.au](http://www.abr.gov.au)) for further information - it outlines our commitment to safeguarding your details.

**Electronic funds transfer - direct debit**  
Where you have requested an EFT direct debit some of your details will be provided to your financial institution and the Tax Office's sponsor bank to facilitate the payment of your taxation liability from your nominated account.

I authorise my tax agent to electronically transmit this tax return via an approved ATO electronic channel.

**Important:** Before making this declaration please check to ensure that all income has been disclosed and the tax return is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the Tax Office. The tax law provides heavy penalties for false or misleading statements on tax returns.

**Declaration:** I declare that:  
\* all the information I have provided to my registered tax agent for the preparation of this tax return, including any applicable schedules is true and correct, and  
\* I authorise the agent to give this document to the Commissioner of Taxation.

Signature of Partner, Trustee or Director

Date

**PART B ELECTRONIC FUNDS TRANSFER CONSENT**

This declaration is to be completed when an electronic funds transfer (EFT) of a refund is requested and the tax return is being lodged through an approved ATO electronic channel.

This declaration must be signed by the partner, trustee, director or public officer prior to the EFT details being transmitted to the Tax Office. If you elect for an EFT, all details below must be completed.

**Important:** Care should be taken when completing EFT details as the payment of any refund will be made to the account specified.

Account name:

I authorise the refund to be deposited directly to the specified account

Signature

Date

**PART D Tax agent's certificate (shared facilities only)**

We, STIRLING WARTON TAYLOR PTY LTD declare that:

- \* We have prepared this tax return in accordance with the information supplied by the partner, trustee, director or public officer
- \* We have received a declaration made by the entity that the information provided to us for the preparation of this tax return is true and correct, and
- \* We are authorised by the partner, trustee, director or public officer to lodge this tax return, including any applicable schedules.

Agent's Signature

Date

Agent's phone	<input type="text" value="02 8236 7500"/>	Client's reference	<input type="text" value="PUL101"/>
Agent's Contact Name	<input type="text" value="ROBERT MARK TAYLOR"/>		
Agent's reference number	<input type="text" value="72517001"/>		