

Interactive Brokers Australia Pty, AFSL 453554, ABN 98 166 929 568, Level 40, Grosvenor Place, 255 George Street, Sydney NSW

## Account Information

**Name** Goodyer Superannuation Fund  
**Account Alias** Superannuation Fund  
**Account** U7953066  
**Address of Account Holder(s)** 54 Clanville Road  
 Roseville, AU-NSW 2069  
 Australia  
**Investment Advisor** Derek Clive Goodyer  
**Account Type** Advisor Client  
**Customer Type** Trust  
**Account Capabilities** Cash  
**Base Currency** AUD

## Net Asset Value

	June 30, 2020			June 30, 2021			Change	Change in NAV		Total
	Total	Long	Short	Total	Long	Short		Starting Value	Ending Value	
Cash	318,026.24	76,530.72	0.00	76,530.72	-241,495.51	0.00	-241,495.51	Mark-to-Market	800,812.66	800,812.66
Stock	482,786.43	860,518.64	0.00	860,518.64	377,732.21	0.00	377,732.21	Deposits & Withdrawals	35,601.95	35,601.95
<b>Total</b>	<b>800,812.66</b>	<b>937,049.37</b>	<b>0.00</b>	<b>937,049.37</b>	<b>136,236.70</b>	<b>0.00</b>	<b>136,236.70</b>	Dividends	100,000.00	100,000.00
<b>Time Weighted Rate of Return</b>								Withholding Tax	932.63	932.63
								Change in Dividend Accruals	-139.90	-139.90
								Commissions	-4.01	-4.01
								Other FX Translations	-157.97	-157.97
								Ending Value	4.01	4.01
									937,049.37	937,049.37

## Mark-to-Market Performance Summary

Symbol	Stocks	Quantity		Price		Position	Mark-to-Market P/L		Total	Code
		Prior	Current	Prior	Current		Transaction	Commissions		
ADCT	0	585	24.3500	--	24.3500	-512.62	-493.83	-3.86	-1,010.31	
AKRO	667	526	24.8100	24.9200	24.8100	8,554.66	-76.96	-9.11	8,468.59	
ALEC	940	940	20.8300	24.4400	20.8300	-5,556.52	0.00	0.00	-5,556.52	
ANET	75	75	362.3100	210.0300	362.3100	15,381.23	0.00	0.00	15,381.23	
ARCT	0	238	33.8400	--	33.8400	-8,744.35	-3.06	-1.53	-8,748.95	

# Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L		Other	Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions			
BCACU	0	1,334	--	10.8000	-878.12	68.24	-8.53	0.00	-818.40	
BCEL	787	787	21.2800	8.5200	-13,797.74	0.00	0.00	0.00	-13,797.74	
BMFN	174	174	123.3400	83.4400	-9,755.00	0.00	0.00	0.00	-9,755.00	
COLIU	0	1,445	--	10.0706	-597.33	-37.36	-9.34	0.00	-644.03	
CPUHU	0	1,336	--	10.2200	-2,088.73	337.74	-8.44	0.00	-1,759.43	
CRNT	0	2,965	--	3.7700	-4,853.74	-113.04	-18.84	0.00	-4,985.62	
CRU U	0	1,308	--	10.0500	-2,572.59	115.38	-8.77	0.00	-2,465.99	
DTIL	1,100	1,100	8.3300	12.5200	6,097.77	0.00	0.00	0.00	6,097.77	
EDIT	559	559	29.5800	56.6400	20,909.02	0.00	0.00	0.00	20,909.02	
EPHYU	0	1,380	--	10.0601	-1,586.77	72.63	-9.33	0.00	-1,523.46	
FISV	0	133	--	106.8900	-1,255.29	388.54	-1.28	0.00	-868.03	
FIXX	810	810	15.1900	7.2700	-8,716.69	0.00	0.00	0.00	-8,716.69	
GLW	500	500	25.9000	40.9000	10,289.38	0.00	0.00	523.28	10,812.66	
GOSS	1,000	1,000	13.0000	8.1200	-6,699.77	0.00	0.00	0.00	-6,699.77	
HARP	1,115	1,115	16.6000	13.8700	-4,263.88	0.00	0.00	0.00	-4,263.88	
HOOK	1,250	1,250	11.6200	9.1600	-4,091.98	0.00	0.00	0.00	-4,091.98	
IMM	31,265	31,265	0.1550	0.5500	12,349.67	0.00	0.00	0.00	12,349.67	
KLDO	1,667	1,667	7.4300	7.4400	-5.06	0.00	0.00	0.00	-5.06	
LMACU	0	1,155	--	10.5950	-3,919.43	291.98	-7.30	0.00	-3,634.75	
LOGC	2,145	2,145	8.4600	4.4400	-11,381.23	0.00	0.00	0.00	-11,381.23	
LYRA	0	1,115	--	8.0300	-8,236.47	290.21	-7.26	0.00	-7,953.51	
MAY	975,000	975,000	0.0060	0.0220	15,600.00	0.00	0.00	0.00	15,600.00	
MAYXE	108,333	108,333	0.000001	0.000001	0.00	0.00	0.00	0.00	0.00	
MCHP	155	155	105.3100	149.7400	9,268.78	0.00	0.00	269.44	9,538.22	
MED	3,631	3,631	0.0060	0.0060	0.00	0.00	0.00	0.00	0.00	
MU	0	298	--	84.9800	13,361.48	378.70	-2.08	0.00	13,738.11	
MYL	3,632	3,632	0.0630	0.0700	25.42	0.00	0.00	0.00	25.42	
NDACU	0	1,485	--	10.0600	-111.69	19.47	-9.74	0.00	-101.95	
PANW	63	63	229.6700	371.0500	12,091.05	0.00	0.00	0.00	12,091.05	
PING	0	449	--	22.9000	-6,692.49	251.53	-3.14	0.00	-6,444.11	
PLRX	0	538	--	29.1200	139.13	740.89	-3.60	0.00	876.42	
PRSRU	0	1,368	--	10.0000	-1,811.29	55.00	-9.39	0.00	-1,765.69	
REGN	40	40	623.6500	558.5400	-3,764.58	0.00	0.00	0.00	-3,764.58	
RVMD	0	645	--	31.7400	6,996.90	941.67	-4.53	0.00	7,934.04	
SPRO	0	790	--	13.9600	-4,955.40	-279.60	-4.99	0.00	-5,239.99	
SQ	193	193	104.9400	243.8000	36,996.12	0.00	0.00	0.00	36,996.12	
STOK	487	487	23.8300	33.6600	7,139.02	0.00	0.00	0.00	7,139.02	
SVFAU	0	1,200	--	10.1700	-3,713.28	60.98	-7.62	0.00	-3,659.93	
TCRR	1,110	723	15.3600	16.4100	17,141.84	614.06	-13.04	0.00	17,742.86	
Total					71,779.41	3,623.18	-151.71	792.73	76,043.60	
Forex										
AUD	259,342.74	76,394.71	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
USD	40,510.49	102.00	1.4486	1.3335	-40,459.57	658.94	-6.26	0.00	-39,806.90	
Total					-40,459.57	658.94	-6.26	0.00	-39,806.90	
Total (All Assets)					31,319.83	4,282.12	-157.97	792.73	36,236.70	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	S/T Profit	S/T Loss	Realized L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	Unrealized L/T Profit	L/T Loss	Total	Code
Stocks												
ADCT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,025.83	0.00	0.00	-1,025.83	-1,025.83
AKRO	0.00	13,305.41	0.00	0.00	0.00	13,305.41	0.00	-2,605.78	0.00	0.00	-2,605.78	10,699.63
ALEC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6,060.62	0.00	6,060.62	6,060.62
ANET	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	16,231.70	0.00	16,231.70	16,231.70
ARCT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-9,224.45	0.00	0.00	-9,224.45	-9,224.45
BCACU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-827.18	0.00	0.00	-827.18	-827.18
BECL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-11,056.11	-11,056.11	-11,056.11	-11,056.11
BMRN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-711.34	-711.34	-711.34
COLIU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-663.62	0.00	0.00	-663.62	-663.62
CPHU U	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,843.91	0.00	0.00	-1,843.91	-1,843.91
CRNT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5,120.21	0.00	0.00	-5,120.21	-5,120.21
CRU U	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,549.02	0.00	0.00	-2,549.02	-2,549.02
DTIL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,811.56	-1,811.56	-1,811.56
EDIT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	22,195.07	0.00	22,195.07	22,195.07
EPHYU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,573.03	0.00	0.00	-1,573.03	-1,573.03
FISV	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-889.88	0.00	0.00	-889.88	-889.88
FIXX	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-12,135.32	-12,135.32	-12,135.32
GLW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7,264.24	0.00	7,264.24	7,264.24
GOSX	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-9,181.15	-9,181.15	-9,181.15
HARP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	542.70	0.00	542.70	542.70
HOOK	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,758.93	-4,758.93	-4,758.93
IMM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,500.00	-2,996.75	-2,996.75	-2,996.75
KLDO	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,501.14	-3,501.14	-3,501.14
LMACU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,727.26	0.00	0.00	-3,727.26	-3,727.26
LOGC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-7,336.82	-7,336.82	-7,336.82
LYRA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-8,081.04	0.00	0.00	-8,081.04	-8,081.04
MAY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	4,900.12	-8,268.18	-3,368.06	-3,368.06
MAYXE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.01	-0.01	-0.01
MCHP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	10,876.89	0.00	10,876.89	10,876.89
MED	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,374.67	-2,374.67	-2,374.67
MU	0.00	0.00	0.00	0.00	0.00	0.00	13,743.49	0.00	0.00	0.00	13,743.49	13,743.49
MYL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-33.29	-33.29	-33.29
NDACU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-108.91	0.00	0.00	-108.91	-108.91
PANW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	11,205.67	0.00	11,205.67	11,205.67
PING	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-6,319.72	0.00	0.00	-6,319.72	-6,319.72
PLRX	0.00	0.00	0.00	0.00	0.00	0.00	871.67	0.00	0.00	0.00	871.67	871.67
PRSRU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,852.76	0.00	0.00	-1,852.76	-1,852.76
REGN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9,788.69	0.00	9,788.69	9,788.69
RVMD	0.00	0.00	0.00	0.00	0.00	0.00	7,298.01	0.00	0.00	0.00	7,298.01	7,298.01
SPRO	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5,325.27	0.00	0.00	-5,325.27	-5,325.27
SQ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	42,667.29	0.00	42,667.29	42,667.29
STOK	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,821.61	0.00	1,821.61	1,821.61
SVFAU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,752.47	0.00	0.00	-3,752.47	-3,752.47
TCRR	0.00	23,698.02	0.00	0.00	0.00	23,698.02	0.00	-4,378.37	38.47	0.00	-4,339.90	19,358.13

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	S/T Profit	S/T Loss	L/T Profit		
Total	0.00	37,003.43	0.00	0.00	21,913.17	-59,868.71	-65,665.26	31,472.28	68,475.71
Forex									
USD	0.00	358.33	-10,091.45	0.00	0.23	0.00	0.00	0.23	-9,732.89
Total	0.00	358.33	-10,091.45	0.00	0.23	0.00	0.00	0.23	-9,732.89
Total (All Assets)	0.00	37,361.76	-10,091.45	0.00	21,913.41	-59,868.71	-65,665.26	31,472.51	58,742.82

Cash Report

Base Currency Summary		Total
Starting Cash		318,026.24
Commissions		-157.97
Deposits		100,000.00
Dividends		932.63
Trades (Sales)		361,573.33
Trades (Purchase)		-694,973.71
Withholding Tax		-139.90
Cash FX Translation Gain/Loss		-8,729.88
Ending Cash		76,530.72
Ending Settled Cash		76,530.72
AUD		
Starting Cash		259,342.74
Commissions		-6.26
Deposits		100,000.00
Trades (Sales)		2.23
Trades (Purchase)		-282,944.00
Ending Cash		76,394.71
Ending Settled Cash		76,394.71
USD		
Starting Cash		40,510.49
Commissions		-116.71
Deposits		698.63
Trades (Sales)		274,344.94
Trades (Purchase)		-315,230.55
Withholding Tax		-104.80
Ending Cash		102.00
Ending Settled Cash		102.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
AUD								

# Open Positions

IMM	31,265	1	0.6458499	20,192.50	0.5500	17,195.75	-2,996.75
MAY	975,000	1	0.0254544	24,818.06	0.0220	21,450.00	-3,368.06
MAYXE	108,333	1	0.0000011	0.12	0.000001	0.11	-0.01
MED	3,631	1	0.6600	2,396.46	0.0060	21.79	-2,374.67
MYL	3,632	1	0.0791667	287.53	0.0700	254.24	-33.29
<b>Total</b>				<b>47,694.67</b>		<b>38,921.89</b>	<b>-8,772.78</b>
<b>USD</b>							
ADCT	585	1	25.6650	15,014.02	24.3500	14,244.75	-769.28
AKRO	526	1	28.5250	15,004.15	24.8100	13,050.06	-1,954.09
ALEC	940	1	15.9950	15,035.30	20.8300	19,580.20	4,544.90
ANET	75	1	200.0133333	15,001.00	362.3100	27,173.25	12,172.25
ARCT	238	1	62.9050	14,971.39	33.8400	8,053.92	-6,917.47
BCACU	1,334	1	11.2650	15,027.51	10.8000	14,407.20	-620.31
BCEL	787	1	19.0550	14,996.28	8.5200	6,705.24	-8,291.04
BMRN	174	1	86.5057471	15,052.00	83.4400	14,518.56	-533.44
COLIU	1,445	1	10.4150	15,049.68	10.0706	14,552.02	-497.66
CPUH U	1,336	1	11.2550	15,036.68	10.2200	13,653.92	-1,382.76
CRNT	2,965	1	5.0650	15,017.72	3.7700	11,178.05	-3,839.68
CRU U	1,308	1	11.5114141	15,056.93	10.0500	13,145.40	-1,911.53
DTIL	1,100	1	13.7550	15,130.50	12.5200	13,772.00	-1,358.50
EDIT	559	1	26.8650	15,017.54	56.6400	31,661.76	16,644.22
EPHYU	1,380	1	10.914902	15,062.56	10.0601	13,882.94	-1,179.62
FISV	133	1	111.9075188	14,883.70	106.8900	14,216.37	-667.33
FIXX	810	1	18.5050	14,989.05	7.2700	5,888.70	-9,100.35
GLW	500	1	30.0050	15,002.50	40.9000	20,450.00	5,447.50
GOSS	1,000	1	15.0050	15,005.00	8.1200	8,120.00	-6,885.00
HARP	1,115	1	13.5050	15,058.08	13.8700	15,465.05	406.98
HOOK	1,250	1	12.0150	15,018.75	9.1600	11,450.00	-3,568.75
KLDO	1,667	1	9.0150	15,028.00	7.4400	12,402.48	-2,625.52
LMACU	1,155	1	13.0150	15,032.32	10.5950	12,237.23	-2,795.10
LOGC	2,145	1	7.0050	15,025.72	4.4400	9,523.80	-5,501.92
LYRA	1,115	1	13.4650	15,013.48	8.0300	8,953.45	-6,060.02
MCHP	155	1	97.1164516	15,063.05	149.7400	23,209.70	8,156.65
MU	298	1	50.3950	15,017.71	84.9800	25,324.04	10,306.33
NDACU	1,485	1	10.1150	15,020.78	10.0600	14,939.10	-81.68
PANW	63	1	237.665873	14,972.95	371.0500	23,376.15	8,403.20
PING	449	1	33.4550	15,021.30	22.9000	10,282.10	-4,739.20
PLRX	538	1	27.9050	15,012.89	29.1200	15,666.56	653.67
PRSRU	1,368	1	11.0156409	15,069.40	10.0000	13,680.00	-1,389.40
REGN	40	1	375.0250	15,001.00	558.5400	22,341.60	7,340.60
RVMD	645	1	23.2550	14,999.48	31.7400	20,472.30	5,472.82
SPRO	790	1	19.0150	15,021.85	13.9600	11,028.40	-3,993.45
SQ	193	1	78.0151813	15,056.93	243.8000	47,053.40	31,996.47
STOK	487	1	30.8550	15,026.38	33.6600	16,392.42	1,366.04
SVFAU	1,200	1	12.5150	15,018.00	10.1700	12,204.00	-2,814.00
TCRR	723	1	20.9114039	15,118.94	16.4100	11,864.43	-3,254.52
<b>Total</b>				<b>585,940.53</b>		<b>616,120.55</b>	<b>30,180.02</b>

## Open Positions

Total in AUD	781,351.69	821,596.75	40,245.06
Total Stocks in AUD	829,046.36	860,518.64	31,472.28

## Forex Balances

Description	Quantity	Cost Price	Cost Basis in AUD	Close Price	Value in AUD	Unrealized P/L in AUD	Code
Forex							
AUD	76,394.71	1.0000	-76,394.71	1.0000	76,394.71	0.00	
AUD							
USD	102.00	1.3312	-135.78	1.3335	136.02	0.23	
Total			-76,530.49		76,530.72	0.23	

## Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										
USD										
ADCT	2021-03-25, 02:47:28	585	25.6600	25.0200	-15,011.10	-2.92	15,014.02	0.00	-374.40	O:P
Total ADCT		585			-15,011.10	-2.92	15,014.02	0.00	-374.40	
AKRO	2020-07-16, 00:08:48	-667	36.5000	37.3100	24,345.50	-3.95	-15,017.50	9,324.04	-540.27	C:P
AKRO	2021-03-26, 02:25:16	526	28.5200	29.5200	-15,001.52	-2.63	15,004.15	0.00	526.00	O
Total AKRO		-141			9,343.98	-6.58	-13.35	9,324.04	-14.27	
ARCT	2021-01-13, 07:02:58	238	62.9000	62.8900	-14,970.20	-1.19	14,971.39	0.00	-2.38	O:P
Total ARCT		238			-14,970.20	-1.19	14,971.39	0.00	-2.38	
BCACU	2021-03-03, 04:14:21	1,334	11.2600	11.3000	-15,020.84	-6.67	15,027.51	0.00	53.36	O:P
Total BCACU		1,334			-15,020.84	-6.67	15,027.51	0.00	53.36	
COLIU	2021-03-11, 03:47:54	1,445	10.4100	10.3900	-15,042.45	-7.23	15,049.68	0.00	-28.90	O:P
Total COLIU		1,445			-15,042.45	-7.23	15,049.68	0.00	-28.90	
CPUHU	2021-02-24, 01:30:01	1,336	11.2500	11.4500	-15,030.00	-6.68	15,036.68	0.00	267.20	O
Total CPUHU		1,336			-15,030.00	-6.68	15,036.68	0.00	267.20	
CRNT	2021-02-20, 01:44:13	2,965	5.0600	5.0300	-15,002.90	-14.82	15,017.72	0.00	-88.95	O:P
Total CRNT		2,965			-15,002.90	-14.82	15,017.72	0.00	-88.95	
CRU U	2021-02-24, 07:17:35	1,304	11.5100	11.5800	-15,009.04	-6.52	15,015.56	0.00	91.28	O
CRU U	2021-04-22, 03:16:19	4	10.2400	10.2400	-40.96	-0.41	41.37	0.00	0.00	O
Total CRU U		1,308			-15,050.00	-6.93	15,056.93	0.00	91.28	
EPHYU	2021-02-03, 01:31:33	1,378	10.9100	10.9500	-15,033.98	-6.89	15,040.87	0.00	55.12	O
EPHYU	2021-02-23, 07:24:06	2	10.7400	10.8100	-21.48	-0.21	21.69	0.00	0.14	O

Trades									
Total EPHYU	1,390			-15,055.46	-7.10	15,062.56	0.00	55.26	
FISV	133	111.9000	114.1800	-14,882.70	-1.00	14,883.70	0.00	303.24	O
Total FISV	133			-14,882.70	-1.00	14,883.70	0.00	303.24	
LMACU	1,155	13.0100	13.2100	-15,026.55	-5.78	15,032.32	0.00	231.00	O:P
Total LMACU	1,155			-15,026.55	-5.78	15,032.32	0.00	231.00	
LYRA	1,115	13.4600	13.6600	-15,007.90	-5.58	15,013.48	0.00	223.00	O:P
Total LYRA	1,115			-15,007.90	-5.58	15,013.48	0.00	223.00	
MU	298	50.3900	51.3000	-15,016.22	-1.49	15,017.71	0.00	271.18	O
Total MU	298			-15,016.22	-1.49	15,017.71	0.00	271.18	
NDACU	1,485	10.1100	10.1200	-15,013.35	-7.42	15,020.78	0.00	14.85	O:P
Total NDACU	1,485			-15,013.35	-7.42	15,020.78	0.00	14.85	
PING	449	33.4500	33.8500	-15,019.05	-2.24	15,021.30	0.00	179.60	O:P
Total PING	449			-15,019.05	-2.24	15,021.30	0.00	179.60	
PLRX	538	27.9000	28.9300	-15,010.20	-2.69	15,012.89	0.00	554.14	O
Total PLRX	538			-15,010.20	-2.69	15,012.89	0.00	554.14	
PPSRU	1,364	11.0100	11.0400	-15,017.64	-6.82	15,024.46	0.00	40.92	O
PPSRU	4	11.1200	11.5400	-44.48	-0.46	44.94	0.00	1.68	O:P
Total PPSRU	1,368			-15,062.12	-7.28	15,069.40	0.00	42.60	
RVMD	645	23.2500	24.2900	-14,996.25	-3.22	14,999.48	0.00	670.80	O
Total RVMD	645			-14,996.25	-3.22	14,999.48	0.00	670.80	
SPRO	790	19.0100	18.7300	-15,017.90	-3.95	15,021.85	0.00	-221.20	O
Total SPRO	790			-15,017.90	-3.95	15,021.85	0.00	-221.20	
SVFAU	1,200	12.5100	12.5500	-15,012.00	-6.00	15,018.00	0.00	48.00	O
Total SVFAU	1,200			-15,012.00	-6.00	15,018.00	0.00	48.00	
TCRR	-1,100	29.9500	29.4400	32,945.00	-6.36	-14,877.50	18,061.14	561.00	C
TCRR	713	21.0100	20.8800	-14,980.13	-3.56	14,983.70	0.00	-92.69	O:P
Total TCRR	-387			17,964.87	-9.92	106.19	18,061.14	468.31	
Total				-257,938.34	-116.71	285,440.23	27,385.18	2,743.72	
Total in AUD				-334,059.32	-151.71	371,214.46	37,003.43	3,623.18	
Symbol	Quantity	T. Price	Proceeds	Comm in AUD	MTM in AUD	Code			
Forex									
USD									
AUD.USD	-100,000	0.76575	76,575.00	-2.62	481.71				
AUD.USD	-6,950	0.7914	5,500.23	-0.14	2.29				
AUD.USD	-18,956	0.7922	15,016.94	-0.38	121.52				





### Withholding Tax

Date	Description	Amount	Code	Date	Description	Amount	Code
2020-09-05	MCHP(US5950171042) Cash Dividend USD 0.368 per Share - US Tax	-8.56		2020-09-05	MCHP(US5950171042) Cash Dividend USD 0.368 per Share (Ordinary Dividend)	57.04	
2020-10-01	GLW(US2193501051) Cash Dividend USD 0.22 per Share - US Tax	-16.50		2020-10-01	GLW(US2193501051) Cash Dividend USD 0.22 per Share (Ordinary Dividend)	110.00	
2020-12-05	MCHP(US5950171042) Cash Dividend USD 0.3685 per Share - US Tax	-8.57		2020-12-05	MCHP(US5950171042) Cash Dividend USD 0.3685 per Share (Ordinary Dividend)	57.12	
2020-12-19	GLW(US2193501051) Cash Dividend USD 0.22 per Share - US Tax	-16.50		2020-12-19	GLW(US2193501051) Cash Dividend USD 0.22 per Share (Ordinary Dividend)	110.00	
2021-03-09	MCHP(US5950171042) Cash Dividend USD 0.39 per Share - US Tax	-9.07		2021-03-09	MCHP(US5950171042) Cash Dividend USD 0.39 per Share (Ordinary Dividend)	60.45	
2021-03-31	GLW(US2193501051) Cash Dividend USD 0.24 per Share - US Tax	-18.00		2021-03-31	GLW(US2193501051) Cash Dividend USD 0.24 per Share (Ordinary Dividend)	120.00	
2021-06-05	MCHP(US5950171042) Cash Dividend USD 0.413 per Share - US Tax	-9.60		2021-06-05	MCHP(US5950171042) Cash Dividend USD 0.413 per Share (Ordinary Dividend)	64.02	
2021-06-30	GLW(US2193501051) Cash Dividend USD 0.24 per Share - US Tax	-18.00		2021-06-30	GLW(US2193501051) Cash Dividend USD 0.24 per Share (Ordinary Dividend)	120.00	
<b>Total</b>		<b>-104.80</b>		<b>Total</b>		<b>698.63</b>	
<b>Total in AUD</b>		<b>-139.90</b>		<b>Total in AUD</b>		<b>932.63</b>	

### Deposits & Withdrawals

Date	Description	Amount
AUD		
2021-02-23	Electronic Fund Transfer	100,000.00
<b>Total</b>		<b>100,000.00</b>

### Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
<b>Starting Dividend Accruals in AUD</b>										
<b>Stocks</b>										
<b>USD</b>										
GLW	2020-08-27	2020-08-27	2020-09-30	500	16.50	0.00	0.22	110.00	93.50	Po
GLW	2020-10-01	2020-08-27	2020-09-30	500	-16.50	0.00	0.22	-110.00	-93.50	Re
GLW	2020-11-12	2020-11-12	2020-12-18	500	16.50	0.00	0.22	110.00	93.50	Po
GLW	2020-12-19	2020-11-12	2020-12-18	500	-16.50	0.00	0.22	-110.00	-93.50	Re
GLW	2021-02-25	2021-02-25	2021-03-30	500	18.00	0.00	0.24	120.00	102.00	Po
GLW	2021-03-31	2021-02-25	2021-03-30	500	-18.00	0.00	0.24	-120.00	-102.00	Re
GLW	2021-05-27	2021-05-27	2021-06-29	500	18.00	0.00	0.24	120.00	102.00	Po
GLW	2021-06-30	2021-05-27	2021-06-29	500	-18.00	0.00	0.24	-120.00	-102.00	Re
MCHP	2020-08-20	2020-08-20	2020-09-04	155	8.55	0.00	0.37	57.04	48.49	Po
MCHP	2020-08-20	2020-08-20	2020-09-04	155	8.56	0.00	0.37	57.04	48.48	Po
MCHP	2020-08-20	2020-08-20	2020-09-04	155	-8.55	0.00	0.37	-57.04	-48.49	Re
MCHP	2020-09-05	2020-08-20	2020-09-04	155	-8.56	0.00	0.37	-57.04	-48.48	Re
MCHP	2020-11-19	2020-11-19	2020-12-04	155	8.57	0.00	0.37	57.12	48.55	Po
MCHP	2020-12-05	2020-11-19	2020-12-04	155	-8.57	0.00	0.37	-57.12	-48.55	Re
MCHP	2021-02-19	2021-02-19	2021-03-08	155	9.07	0.00	0.39	60.45	51.38	Po
MCHP	2021-03-09	2021-02-19	2021-03-08	155	-9.07	0.00	0.39	-60.45	-51.38	Re

# Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
MCHP	2021-05-20	2021-05-20	2021-06-04	155	9.61	0.00	0.41	64.01	54.40	Po
MCHP	2021-05-20	2021-05-20	2021-06-04	155	9.60	0.00	0.41	64.02	54.42	Po
MCHP	2021-05-20	2021-05-20	2021-06-04	155	-9.61	0.00	0.41	-64.01	-54.40	Re
MCHP	2021-06-05	2021-05-20	2021-06-04	155	-9.60	0.00	0.41	-64.02	-54.42	Re
<b>Total</b>					<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	
<b>Total in AUD</b>					<b>-0.71</b>	<b>0.00</b>		<b>-4.72</b>	<b>-4.01</b>	
<b>Ending Dividend Accruals in AUD</b>									<b>0.00</b>	

# Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
<b>Stocks</b>							
ADCT	ADC THERAPEUTICS SA	421686551	CH0499880968	NYSE	1	COMMON	
AKRO	AKERO THERAPEUTICS INC	371602087	US00973Y1082	NASDAQ	1	COMMON	
ALEC	ALECTOR INC	352677820	US0144421072	NASDAQ	1	COMMON	
ANET	ARISTA NETWORKS INC	153912055	US0404131064	NYSE	1	COMMON	
ARCT	ARCTURUS THERAPEUTICS HOLDIN	370889432	US03969T1097	NASDAQ	1	COMMON	
BCACU	BROOKLINE CAPITAL ACQUISITIO	443737063	US11374E2037	NASDAQ	1	UNIT	
BOEL	ATRECA INC - A	371602088	US04965G1094	NASDAQ	1	COMMON	
BMRN	BIOMARIN PHARMACEUTICAL INC	6857447	US05061G1013	NASDAQ	1	COMMON	
COLIU	COLICITY INC	473315574	US1941702052	NASDAQ	1	UNIT	
CPUH U	COMPUTE HEALTH ACQUISITION C	469676944	US2048332066	NYSE	1	UNIT	
CRNT	CERAGON NETWORKS LTD	9969533	IL0010851660	NASDAQ	1	COMMON	
CRU U	CRUCIBLE ACQUISITION CORP	463451439	US22877P2074	NYSE	1	UNIT	
DTIL	PRECISION BIOSCIENCES INC	358913705	US74019P1084	NASDAQ	1	COMMON	
EDIT	EDITAS MEDICINE INC	221232456	US28106W1036	NASDAQ	1	COMMON	
EPHYU	EPiPHANY TECHNOLOGY ACQUISIT	464416108	US29429X2080	NASDAQ	1	UNIT	
FISV	FISERV INC	269315	US3377381088	NASDAQ	1	COMMON	
FIXX	HOMOLOGY MEDICINES INC	312274438	US4380831077	NASDAQ	1	COMMON	
GLW	CORNING INC	7655	US2193501051	NYSE	1	COMMON	
GOSS	GOSSAMER BIO INC	352937297	US38341P1021	NASDAQ	1	COMMON	
HARP	HARPOON THERAPEUTICS INC	352954211	US41358P1066	NASDAQ	1	COMMON	
HOOK	HOOKIPA PHARMA INC	361201687	US43906K1007	NASDAQ	1	COMMON	
IMM	IMMUTEP LTD	298064707	AU000000IMM6	ASX	1	COMMON	
KLDO	KALEIDO BIOSCIENCES INC	355335175	US4833471000	NASDAQ	1	COMMON	
LMACU	LIBERTY MEDIA ACQUISITION CO	467270308	US53073L2034	NASDAQ	1	UNIT	
LOGC	LOGICBIO THERAPEUTICS INC	337888499	US54142F1021	NASDAQ	1	COMMON	
LYRA	LYRA THERAPEUTICS INC	420256176	US55234L1052	NASDAQ	1	COMMON	
MAY	MELBANA ENERGY LTD	4109707	AU000000MAY8	ASX	1	COMMON	
MAYXE	MELBANE ENERGY OPT	288650047	QS0003261060	VALUE	1	COMMON	
MCHP	MICROCHIP TECHNOLOGY INC	271568	US5950171042	NASDAQ	1	COMMON	
MED	MERLIN DIAMONDS LTD	118651079	AU000000MED4	VALUE	1	COMMON	
MU	MICRON TECHNOLOGY INC	9939	US5951121038	NASDAQ	1	COMMON	
MYL	MYANMAR METALS LTD	287341155	AU000000MYL5	ASX	1	COMMON	
NDACU	NIGHTDRAGON ACQUISITION CORP	474514877	US65413D2045	NASDAQ	1	UNIT	
PANW	PALO ALTO NETWORKS INC	110619459	US6974351057	NYSE	1	COMMON	
PING	PING IDENTITY HOLDING CORP	383620776	US72341T1034	NYSE	1	COMMON	
PLRX	PLIANT THERAPEUTICS INC	424996630	US7291391057	NASDAQ	1	COMMON	
PRSRU	PROSPECTOR CAPITAL CORP	464411239	KYG7273A1215	NASDAQ	1	UNIT	

# Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
REGN	REGENERON PHARMACEUTICALS	273733	US75886F1075	NASDAQ	1	COMMON	
RVMID	REVOLUTION MEDICINES INC	403666731	US76155X1000	NASDAQ	1	COMMON	
SPRO	SPERO THERAPEUTICS INC	294729611	US84833T1034	NASDAQ	1	COMMON	
SQ	SQUARE INC - A	212671971	US8522341036	NYSE	1	COMMON	
STOK	STOKE THERAPEUTICS INC	371470388	US86150R1077	NASDAQ	1	COMMON	
SVFAU	SVF INVESTMENT CORP	464411327	KYG8601L1106	NASDAQ	1	UNIT	
TCRR	TCR2 THERAPEUTICS INC	353437407	US87808K1060	NASDAQ	1	COMMON	

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
AI	Allocation	MEX	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

## Notes/Legal Notes

### Notes

1. This statement has been prepared by Interactive Brokers Australia Pty Ltd AFSL 453554, ABN 98 166 929 568, Level 40, Grosvenor Place, 255 George Street, Sydney NSW to confirm the Transactions covered herein. IBA is a participant of ASX market, the ASX 24 market and the Chi-X Australia market.
  2. Transactions in products on Australia exchanges which settle via CHES (including ordinary shares, units, bonds, hybrids, CDs, exchange-traded Australian Government Bonds (AGBs), exchange-traded products (including ETFs), warrants and instalments) settle on trade date plus two days, i.e. T+2, and options and futures settle on trade date plus one day, i.e. T+1. Shares (including stock) transactions on international exchanges generally settle on trade date plus 3 days, i.e. T+3 (some exchanges have longer or shorter settlement periods) and options and futures traded on international exchanges settle on the trade date plus one day, i.e. T+1. A reference to a "trade date" is a reference to the trade date in the place where the market for the relevant product is located. Ending settled cash reflects the cash which has actually settled.
  3. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
  4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
  5. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
  6. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
  7. Times are displayed in Australian Eastern Standard Time (AEST)/Australian Eastern Daylight Savings Time (AEDT); there will therefore be a difference between the times reported in this statement/report and the times that a trade is reported by the exchange to have occurred in markets which operate in a different time zone. This report covers the period which begins at 10am on a given day through to 10am on the day following the given day unless otherwise indicated.
  8. You are responsible for promptly checking this statement. You must immediately notify IBA if you become aware that there is an error in this statement. We are entitled to assume that the trades confirmed in this statement are correct unless you notify us of any error within 24 hours following IBA (or someone on its behalf) giving you this statement. If IBA confirms execution or cancellation in error and you delay reporting such error, IBA reserves the right to remove the Transaction from the account or require you to accept the Transaction, in IBA's absolute discretion. Please contact the Interactive Brokers Australia Customer Service Department through by logging a ticket via account management or via the other means outlined on our website. You may also contact IB by phone, but if you report an error by phone, you should reconfirm such oral communication in writing.
  9. Unless otherwise noted, Interactive Brokers acted as agent in the execution of all exchange traded products. IBA acts as principal in all over-the-counter transactions, including foreign currency spot and contracts for difference.
  10. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IBA, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
  11. All reports, statements and confirmations issued by IBA are subject to the Applicable Laws, the directions, decisions and requirements of the relevant market operator, the clearing rules and where relevant, the relevant settlement rules, the customs and usages of the relevant financial market and the correction of errors and omissions.
  12. Trades in Cash Market Products (as that term is defined in the relevant market integrity rules) on ASX and Chi-X Australia are cleared by BNP Paribas Securities Services, ARBN 149 440 291, AFSL 402467, who is a participant of ASX Clear Pty Ltd and ASX Settlement Pty Ltd. Trades in Derivative Products on ASX and all products on ASX 24 are cleared by Interactive Brokers Australia as a participant of ASX Clear Pty Ltd and ASX Clear (Futures) Pty Ltd. If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
  13. Applicable fees for your transactions is available on the Interactive Brokers Australia website [www.interactivebrokers.com.au](http://www.interactivebrokers.com.au) under "Pricing".
  14. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
  15. The closing prices on this Activity Statement are indicative and may come from third-party sources. While Interactive Brokers Australia reasonably believes the information presented in this statement is correct, Interactive Brokers Australia does not warrant the accuracy of the prices provided by third-party sources.
  16. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
  17. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
- Offshore Markets Notes: The following specific notices relate to transactions occurring on non-Australian markets only:**
18. Affiliates of Interactive Brokers Australia receive compensation from fund companies in connection with the purchase and holding of mutual fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees which are paid out of the funds assets. The source and amount of any remuneration received will be furnished upon written request of the customer.
  19. The following entities clear non-Australian exchange transactions: Interactive Brokers LLC; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; Timber Hill LLC; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Paribas Securities Services, Milan Branch; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB.; Skandinaviska Enskilda Merckbank, Oslo Branch
  20. Trades marked with the exchange indicator of TMBR were effected by IBA as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
  21. Affiliates of IBA may also receive liquidity rebates from Alternative Trading Systems and exchanges for certain orders. Affiliates of IBA may receive payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. Affiliates of IBA do not generally accept payment for order flow for security futures orders. The source and nature of any compensation received by an affiliate of IBA in connection with any transaction is available upon written request of the customer. For further information, including a full list of IBA's affiliates, check the IB AU website or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).

