

## Rocky Li

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**From:** Serena Zhao  
**Sent:** 12 December 2023 16:55  
**To:** Rocky Li  
**Subject:** FW: Annual information

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

FYI.

Should you have any queries, please do not hesitate to contact us.

Best regards,

**Serena Zhao**

Director

[Business Advisory](#) | [Tax Advisory](#) | [Financial Planning](#) |

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**From:** Nicholas Gruen (Lateral Economics) <[ngruen@gmail.com](mailto:ngruen@gmail.com)>  
**Sent:** Tuesday, December 12, 2023 4:41 PM  
**To:** Serena Zhao <[serena@tridentfinancial.com.au](mailto:serena@tridentfinancial.com.au)>  
**Subject:** Fwd: Annual information

fyi

NG

Nicholas Gruen  
Lateral Economics

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----- Forwarded message -----

From: **Lachlan Wheeler** <[lachlan@halaxy.com](mailto:lachlan@halaxy.com)>

Date: Tue, 12 Dec 2023 at 16:22

Subject: RE: Annual information

To: Nicholas Gruen <[ngruen@gmail.com](mailto:ngruen@gmail.com)>

Cc: Alison Hardacre <[alison@halaxy.com](mailto:alison@halaxy.com)>

Hi Nicholas,

Nice to hear from you and thanks for your email.

We can confirm:

- There were no events from Jun 2022 to June 2023 to justify a change in market value
- There are no dividends or distributions paid

Let us know if you need any clarification and look forward to catching up sometime soon.

Regards,

Lachlan

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**From:** Nicholas Gruen <[ngruen@gmail.com](mailto:ngruen@gmail.com)>

**Sent:** Sunday, December 10, 2023 4:59 PM

**To:** Lachlan Wheeler <[lachlan@halaxy.com](mailto:lachlan@halaxy.com)>; Alison Hardacre <[alison@halaxy.com](mailto:alison@halaxy.com)>

**Subject:** Annual information

Hi Alison and Lachlan,

I hope you and the company are continuing to travel well and have put another great year behind you. From the updates you've sent it seems so.

As you know, each year I need some documentation from you for my super fund. I am hoping you can provide me with this information regarding the securities I hold with Halaxy or its holding company that provides information on:

- Any events between 30 June 2022 and 30 June 2023 that would justify a change to the market value that we provided in our return for the previous financial year — which also came from you last year. If so, please provide us with details including information that would enable us to determine the new market value of our holding.
- Any dividend or distributions paid during the year ended 30 June 2023

Thanks in advance.

cheers,

Nicholas

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