

Self-Managed Super Fund Audit Request



Name of Fund: Round Super Fund
 Fund ABN: 14 462 543 578
 Accountant / Administrator: Sue Lawson
 Email: sue@cleave.com.au Phone: (07) 3359 3311
 Address: PO Box 165, Virginia QLD 4014

Audit Period: Financial Year Ended 30 June 2019

Are all members either directors of the Trustee Company or trustees of the fund?

Yes/ No

Have there been any known breaches of the SIS Act during the audit period?

Yes/ No

Notes:

Does the fund have any "in house"/related party assets?

Yes/ No

If yes are the assets pre-99?

Yes/ No

Notes:

Financial Audit

Current Year Fund Financial Statements
 Current Year Fund General Ledger Report
 Current Year Fund Income Tax Return
 Member Information Statements
 Fund Trial Balance & Working Papers
 Bank Statements
 Invoices / Receipts evidencing payments
 Fund Share Dividend Statements (if applicable)
 Fund Share Buy / Sell Contracts (if applicable)
 Fund Term Deposit Statements (if applicable)
 Fund Managed Fund Statements (if applicable)
 Fund Rental Statements (if applicable)
 Title Deed for Property
 Contribution Confirmations / s290-170 letter
 Work Test Declaration (if over 65)
 Valuations from Independent parties (if applicable)
 Unit Trust Financial Statements
 Life Insurance policy documents (if applicable)
 Collectables / Artwork documents & Insurance
 Other:

| YES | NO | N/A | Notes | S/R |
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Compliance Audit

Fund Trust Deed & Amendments (if not previously provided)
 Fund Investment Strategy
 Fund Minutes of Meetings of Trustees
 ASIC Statement of corporate trustee (if applicable)
 Bare Trust Documentation (if applicable)

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Pension / Benefits YES / NO - N/A

Copy of request from member to receive benefits/pension
 Projected Pension Calculation – 2020 year
 Actuarial Certificate (if required)
 PAYG Summary /ETP Summary

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Signed Documents (Returned to Auditor)

Auditor Engagement Letter
 Trustee Representation Letter

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Other: