

Auzki Super Fund  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

17 June 2022

Dear Robin & Roz,

## Auzki Super Fund

On behalf of the above self-managed super fund, please find enclosed the following documentation:

- a) 2021 Financial Statements including Declaration by Trustees and Members Statements
- b) 2021 Income Tax Return
- c) Engagement Letter
- d) Management Representation Letter
- e) Investment Strategy
- f) Trustees' Minutes/Resolutions
- g) 2021-22 Pension Review Statements
- h) Gainful Employment Declaration
- i) 2021 TBAR Declaration

Would you please sign each document where indicated and return all documents to our office for lodgment with the Australian Taxation Office (ATO) and filing in your fund register.

The fund income tax return must be lodged by 30 June 2022. We estimate fund income tax of **\$259.00** is payable in respect of the 2021 financial year and is also due for payment by this date. Payment should be made using any of the methods outlined on the payment slip attached. We remind you that failure to pay by the due date will result in the imposition of a late payment penalty and interest charges.

The S.I.S. Act 1993 requires that trustees of superannuation funds review their written investment strategy annually to ensure that it conforms to the actual spread and type of investments held by the fund. You should note that the minutes from the meeting of trustees indicate that your current investment strategy has been reviewed for the year ended 30 June 2021.

We have provided a copy of your last written investment strategy for your perusal. If the fund's portfolio of investments does not conform to your written investment strategy either now or at a future time, would you please prepare an updated investment strategy and forward this to us, in order to comply with the act.

Please note that for the current financial year, the following minimum total pension payments must be drawn by the respective member/s from the fund before 30 June 2022.

Member	Minimum Annual Pension Payment	Maximum Annual Pension Payment
Robin Dick	\$340	\$13,365
Rosalind Miller	\$370	\$14,577



Please be aware that should further contributions be made to your pensions benefits in the fund during the financial year further pension withdrawals will need to be made, as the pension minimums will increase with your pension benefits. Should you decide to undertake the pension strategy below, this will also change the above amounts.

For your retention, please find enclosed a bound copy of the fund's financial statements, and a copy of the income tax return.

#### **Contributing over 65**

As you are currently over age 65 you are now restricted in your ability to contribute to your superannuation fund. Robin you will be unable to contribute from the 2022 financial year onwards. Rosalind, if you wish to make further contributions to your fund you will need to pass the 'work test' as outlined in the Superannuation Industry Supervision Act (SIS). If you would like to contribute to your fund, please contact our office before doing so to ensure you pass these restrictions.

#### **Audit**

Upon receipt of your signed documentation, we will forward all required superannuation records to our auditor so that an independent audit report may be prepared for the year and ensure your fund's ongoing compliance. A copy of this audit report, together with any comments or recommendations provided by the auditor, will be forwarded to you in due course.

#### **Our Fees**

Since we have now completed your Income Tax Return, we have enclosed an invoice for our services. The invoice gives you a detailed description of our work performed. Our payment terms are 14 days from invoice, and payment options are shown on our invoice for your convenience. Please note we are unable to lodge your return/s until payment of our fees have been made.

#### **Guarantee & Referral**

We are committed to providing you with the highest quality of personalised service. To reinforce this commitment, we promise to continue to work with you until you are completely satisfied with the services we have provided within the agreed scope of your work. As such, please don't hesitate to contact us should you have any concerns.

Upon the finalisation of your work, if you are happy with our dedication to high quality service, the greatest compliment you can pay us is by referring family, friends, and any other people whom you feel would benefit from the use of our services. By our encouraging client referrals, you help us grow a business with like-minded quality clients such as yourself.

Should you have any queries in relation to the above matters, please don't hesitate to contact our office.

Thank you for using our services.

Regards,

#### **INITIATIVE**

Chartered Accountants & Financial Advisers

Auzki Super Fund  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

18 June 2022

Dear Trustees,

## **Superannuation Fund Audit Engagement**

### **Scope**

You have requested I act as auditor of the Auzki Super Fund as of, and for the year ended 30 June 2021. I am pleased to confirm my acceptance of the appointment and would now like to set out my understanding of the terms of this engagement.

### **Audit of the Financial Report**

In accordance with *Section 35C of the Superannuation Industry (Supervision) Act 1993* ("SIS"), the financial report of a regulated superannuation fund must be audited by an approved auditor. The auditor must give the trustee a report on the special purpose financial report in the approved form within the prescribed time after the year of income to which the financial report relates.

The work undertaken by me to form an opinion is permeated by judgement, in particular regarding the nature, timing and extent of the audit procedures for gathering of audit evidence and the drawing of conclusions based on the audit evidence gathered. In addition, there are inherent limitations in any audit, and these include the use of testing, the inherent limitations of any internal control structure, the possibility of collusion to commit fraud, and the fact that most audit evidence is persuasive rather than conclusive. As a result, my audit can only provide reasonable – not absolute – assurance that the financial report is free from material misstatement.

I direct your attention to the fact that it is each trustee's responsibility for the maintenance of adequate accounting records and internal controls, the safeguarding of superannuation fund assets, the selection of accounting policies, the preparation of the special purpose financial report and returns, and compliance with SIS. I note each trustee is responsible for providing access to all information that is relevant to the preparation of the financial report, and any additional information that may be required as part of the audit. In particular, I note each trustee is responsible for the implementation and operation of accounting and internal control systems that are designed to prevent and detect fraud and error.

The audit of the financial report does not relieve the trustee of their individual responsibilities.

My audit will be conducted in accordance with Australian Auditing Standards. The objective of the audit is to obtain a reasonable assurance about whether the special purpose financial report as a whole is free from material misstatement, whether due to fraud or error and to issue an audit report on the financial report.

My audit work involves examination, on a test basis, of evidence supporting the amounts and other disclosures in the financial report in order to form an opinion as to whether, in all material respects, the financial report is fairly stated in accordance with the accounting policies described in the notes thereto.

My audit will be planned and conducted primarily to enable me to express my professional opinion as to whether the financial report complies with Australian Accounting Standards and other reporting requirements as adopted by the trustee, but, also, so as to have reasonable expectation of detecting

material misstatements arising as a result of irregularities which would have a material effect on the financial report. Unless otherwise agreed to, I assume no responsibility to design audit procedures to identify matters that may be appropriate to report to you.

As part of my audit, I will request from the trustees written confirmation concerning representations made to me in connection with the audit.

I am required by the Australian Auditing Standards to include an Emphasis of Matter paragraph in my audit report, and I note the anticipated wording will be:

*Without modifying my opinion, I draw attention to note 1 of the financial report, which describes the basis of accounting. The financial report has been prepared to assist the fund meet the requirements of the SMSF's governing rules, the Superannuation Industry (Supervision) Act 1993 and the Superannuation Industry (Supervision) Regulations 1994. As a result, the financial report may not be suitable for another purpose.*

#### **Audit of SIS Compliance**

I am also required to form an opinion in respect of compliance with certain aspects of SIS and the Regulations thereto. My report must refer to the following Sections and Regulations:

Sections: 17A, 35AE, 35B, 35C(2), 62, 65, 66, 67, 67A, 67B, 82-85, 103, 104, 104A, 105, 109, 126K;  
and  
Regulations: 1.06(9A), 4.09, 4.09A, 5.03, 5.08, 6.17, 7.04, 8.02B, 13.12, 13.13, 13.14, 13.18AA.

#### **Report on Significant Matters**

Under *Section 129* of SIS, I am required to report to you in writing, if during the course of, or in connection with, my audit, I become aware of certain contraventions of the Act or Regulations which I believe has occurred, are occurring or may occur. There are instances where I will be obligated to report the contravention(s) to the Australian Taxation Office ("ATO"). I am also required under *Section 130* to report to you and the ATO if I believe the fund may be about to become or may be in an unsatisfactory financial position.

#### **Report on Other Matters**

I am required to inform you of certain uncorrected misstatements identified during the audit, and I must obtain representations from you acknowledging the uncorrected misstatements have been brought to your attention, and that you have considered the effects of these misstatements to be either material or immaterial individually, and in aggregate to the financial report.

At the completion of the audit, I will prepare an audit management letter to advise you of any matters encountered during the course of the audit that I believe should be brought to your attention. I am also required to form an opinion on the accounting policies adopted in preparing the financial report. Please note you should not assume that matters reported to you, or that a report that there are no matters to be communicated, indicates that there are no additional matters that you should be aware of in meeting your responsibilities.

#### **Privacy and Quality Control**

The conduct of my audit in accordance with Australian Auditing Standards means that information acquired by me in the course of my audit is subject to strict confidentiality requirements. I am also subject to the *Privacy Act 1988* in the handling of personal information. I will not use any personal information obtained during the audit for any purpose other than for the purpose of conducting the audit.

I will keep secure personal information obtained during the audit to ensure it is not misused, lost, or improperly accessed, modified or disclosed. After completing the audit, I will return to you any documents containing personal information that I obtain from you during the course of the audit, except for copies or extracts as required to be retained by auditing standards.

Information will not be disclosed by me to other parties except as required or allowed for by law or professional standards, or with your authority. My audit files may be subject to review as part of the quality control review program of The Certified Practicing Accountants ("CPA Australia") which monitors compliance with professional standards by its members. My audit files may also be subject to review by the ATO and the Australian Securities and Investments Commission ("ASIC"). I advise you that by signing this letter you acknowledge that, if requested, my audit files relating to this audit will be made available under any of these review programs. The same strict confidentiality requirements apply under the CPA Australia, ATO and ASIC reviews that apply to me as your auditor.

**Fees**

My fees, which will be billed as work progresses, are based on the time required to complete the engagement, plus direct out-of-pocket expenses. It is policy that my fees be collected within 14 days from the date the invoice was issued, and I trust that this arrangement is acceptable to you.

This letter will be effective for future years unless I advise you of its amendment or replacement, or if the engagement is terminated. Please sign and return the attached copy of this letter to indicate that it is in accordance with your understanding of the arrangements for my audit.

Prior to my accepting this appointment as auditor, I am obligated to contact your current auditor. Your signature below permits me to do this. Should you not wish me to contact your current auditor, please contact me to discuss.

Yours faithfully,

Tony Boys ASIC Auditor No: 100014140

I understand and agree with the terms of this engagement.  
Acknowledged on behalf of, Auzki Super Fund by

*Robin E Dick*  
.....

Signed

Robin Dick  
Trustee

.....  
Dated

*Rosalind Miller*  
.....

Signed

Rosalind Miller  
Trustee

.....  
Dated

Auzki Super Fund  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

18 June 2022

Tony Boys  
702/20 Hindmarsh Square  
ADELAIDE SA 5000

Dear Sir,

## **Auzki Super Fund Audit Representation Letter Year ended 30 June 2021**

In connection with your examination of the special purpose financial report of the Auzki Super Fund as of 30 June 2021, we acknowledge our responsibility for ensuring the financial report is in accordance with the accounting standards detailed in Note 1 to the financial statements. We confirm we have fulfilled our responsibility for the preparation of the financial report in accordance with the relevant financial reporting framework and confirm that the financial report is free of material misstatement, including omissions, and that we have approved the financial report, as evidenced by our signature on the trustee declaration attached to the financial report.

The following representations are made which are true to the best of our knowledge and belief:

### **Accounting Policies**

All the significant accounting policies of the fund are adequately described in Note 1 to the financial statements and are consistent with the policies adopted last year, unless otherwise detailed in the notes to the financial statements.

### **Fund Books / Records / Minutes**

As agreed in the terms of the audit engagement, all financial books, records and related data have been made available to you, including relevant minutes of the trustee's meetings and this information has been retained in the appropriate format for the required period of time.

We confirm all transactions have been recorded and are reflected in the financial report.

### **Asset Form**

The assets of the fund are being held in a form suitable for the benefit of the members of the fund.

### **Ownership and Pledging of Assets**

- a) The fund has satisfactory title to all assets disclosed in the statement of financial position; and
- b) No assets of the fund have been pledged to secure liabilities of the fund (unless the charge is permitted by the legislation) or of others.

**Investments**

- a) Investments as of 30 June 2021 are carried in the books at market value. Such amounts are considered reasonable in the light of present circumstances;
- b) There are no commitments, fixed or contingent, for the purchase or sale of long-term investments, that have not been disclosed in the financial report;
- c) The investment strategy has been determined with due regard to risk, return, liquidity and diversification; and
- d) Every effort has been made to ensure investments are acquired, maintained and disposed of on an arm's length basis.

**Trust Deed**

The fund is being conducted in accordance with its governing rules.

**Income Tax Assessment Act, Superannuation Industry (Supervision) Act and Regulations**

The fund is in compliance with the requirements of the relevant Income Tax Assessment Act, and the fund is being conducted in accordance with the Superannuation Industry (Supervision) Act 1993, and Superannuation Industry (Supervision) Regulations 1994 ("SIS") (with the exception of any contraventions as identified by you as the auditor) and we specifically confirm:

- a) The trustees/directors of corporate trustee have been nominated and may only be removed in such manner and circumstances as are allowed in the trust deed;
- b) The trustee has complied with all the trustee standards set out in the regulations and the covenants prescribed by SIS Section 52B;
- c) No trustee/director of the corporate trustee is a disqualified person;
- d) The trustee has complied with the investment standards set out in SIS; and
- e) Information retention obligations have been complied with.

All known instances of non-compliance or suspected non-compliance with the relevant Income Tax Assessment Act or the SIS legislation whose effects should be considered when preparing the financial report, or that impact your obligation to report certain matters to the Australian Taxation Office have been disclosed to you.

**Internal Controls**

We acknowledge our responsibility for the design, implementation and maintenance of internal controls to prevent and detect fraud. We have established and maintained an adequate internal control structure to facilitate the preparation of a reliable financial report and to ensure that the assets of the fund are safeguarded from fraud or error. We have assessed the risk that the financial report may be materially mis-stated as a result of fraud and advise we have no knowledge of any actual, suspected or alleged fraud affecting the fund.

The fund does not have a formal process for identifying, estimating or assessing business risks relevant to the financial reporting objectives, and this is considered appropriate in the circumstances. There are no specific risks arising from the information technology utilised by the fund that require attention by the trustee.

To monitor internal controls over financial reporting, we review all reports provided by the information technology systems utilised by the fund for accuracy. This assists in determining if the information is sufficiently reliable for financial reporting purposes.

In instances where the fund uses a custodian, we confirm we have not been advised of any fraud, non-compliance with laws and regulations or uncorrected misstatements that would affect the financial report of the fund.

**Contributions**

Contributions, if any, received by the fund have been reviewed in line with the limits imposed by the legislation, taking into account contributions paid to other superannuation funds, and any excessive contributions will be dealt with as required by the ATO.

**Legal Matters**

We confirm you have been advised of all significant legal matters, and that all known actual or possible litigation and claims have been adequately accounted for and been appropriately disclosed in the financial report.

Any minutes of meetings with the fund’s legal counsel have been provided for audit review.

**Related Parties**

All related party transactions have been brought to your attention.

**Subsequent Events**

Other than those reported, there are no events subsequent to year end, nor any new litigation or claims referred to the fund’s legal counsel, that would require adjustment to, or disclosure in, the financial report.

**Going Concern Assumption**

We confirm we have no knowledge of any events or conditions that would cast significant doubt on the fund’s ability to continue as a going concern.

**Safeguarding Assets**

We have considered the importance of safeguarding the assets of the fund, and we confirm we have the following procedures in place to achieve this:

- a) Authorised signatories on bank and investment accounts are regularly reviewed and considered appropriate; and
- b) Tangible assets are, where appropriate, adequately insured and appropriately stored.

**Risk of Fraud**

The nature, extent and frequency of assessments undertaken by us to conclude that there is a low risk that the financial report is materially misstated due to fraud, are informal and ad-hoc given the nature of the fund, however we confirm:

- a) The trustees are signatories on all transactions, no other party has the authority to act on behalf of the trustee; and
- b) Reconciliations are undertaken by the trustee or the fund’s accountant for both investments held and all bank accounts maintained by the fund.

We have not identified any specific risks of fraud; however, we acknowledge that if fraud existed within the fund, the most likely areas of concern would be investments or cash being mis-appropriated or contributions being intercepted prior to being banked into the fund’s bank account.

**Procedures for Identifying and Responding to Fraud**

As trustee we believe adequate controls are in place to reduce the risk of fraud, however, should fraudulent activity be identified, the trustee would ensure all trustees are aware of the situation and the fund’s accountant and auditor would be informed.

Depending on the situation, steps would be implemented to cease the fraudulent activity and further controls would be put in place to limit any future activity from affecting the fund.

**Existence of Fraud**

We confirm we have no knowledge of any actual, suspected or alleged fraud affecting the fund.

Yours faithfully,

*Robin E Dick*  
 .....  
 Trustee

*Rosalind Miller*  
 .....  
 Trustee



**Robin Dick and Rosalind Miller ATF  
Auzki Super Fund  
Minutes of a Meeting of Directors/Trustees**

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Held:	10 Lake Kawana Boulevard, Birtinya Qld 4575
Date:	30 June 2013
Time:	4.00 pm
Present:	Robin Dick Rosalind Miller
Minutes of Previous Meeting:	The minutes of the previous meeting were read and confirmed as correct.
Business:	To formulate an investment strategy.
Investment Strategy:	The Trustees considered the circumstances of the Fund and the Members, including all circumstances required to be considered by the Trustees under the Superannuation Industry (Supervision) Act and related regulations.
Investment Objectives:	<p>The Trustees considered the circumstances of the Fund and the Members, including all circumstances required to be recorded under the Superannuation Industry (Supervision) Act and related regulations.</p> <p>The Trustees determined the Fund has the following investment objectives:</p> <ul style="list-style-type: none"><li>• a net return above the inflation rate over a rolling average of five years;</li><li>• appropriate diversity, in line with expected risk and return;</li><li>• appropriate liquidity, in line with the Trustee's expected future net cash flows with a particular emphasis on meeting obligations to pay member retirement benefits; and</li><li>• appropriate risk connected to making, holding and realising investments including any risk connected to inadequate diversification.</li></ul>
Investment Strategy:	<p>The Trustees confirmed the Fund may invest in:</p> <ul style="list-style-type: none"><li>• equities, including dividend reinvestment programs and similar arrangements;</li><li>• property trusts and associated investments;</li><li>• managed investments and associated products thereof;</li><li>• direct property investment;</li><li>• derivatives of the above; and</li><li>• any other investment permitted by its trust deed.</li></ul>

The Trustees considered the Fund's mix of investments, including its diversity and liquidity, and resolved that this mix of investments suited the Fund and optimised the interests of the members and their retirements.

Insurance Matters and other Matters:

The Trustees considered the Fund's existing position regarding life insurance, trauma insurance, total and permanent disability insurance and income continuance arrangements for members, and similar insurances. The Trustees then resolved that this position was appropriate to the circumstances of the Fund and the members and no changes were needed.

The Trustees considered the Fund's existing position regarding other matters required to be considered by the Trustees under the Superannuation Industry (Supervision) Act and related regulations and resolved that the existing position was appropriate to the circumstances of the Fund and the members.

Investment Records and Accounting:

The Trustees resolved to keep the assets of the Fund separate from those held by the Trustees in any other capacity and to ensure that wherever practicable assets were recorded by other persons as being owned by the Trustees in their capacity as trustees of the Fund.

The Trustees resolved to value assets at market value for reporting purposes for the year ending 30 June 2013 and later years.

Regular and Ongoing Reviews:

The Trustees confirmed that the Fund's investment strategy has been regularly reviewed over the last year. These reviews were on-going and occurred frequently as the Trustees applied their minds to the Fund's investments including risk, diversity and liquidity.

The Trustees noted that due to their ongoing and informal nature, and high frequency, these reviews were not recorded in the minutes of the Trustees' meetings.

The Trustees resolved to continue to regularly review the Fund's investment strategy and all other strategies required to be regularly up-dated under the Superannuation Industry (Supervision) Act and related regulations.

The Trustees resolved to complete these reviews as frequently as needed to comply with the Superannuation Industry (Supervision) Act and related regulations. The Trustees noted that many of these reviews would not be recorded in the minutes

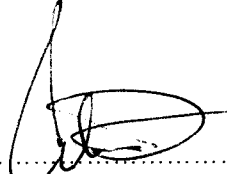
of the meetings, due to their informal nature and high frequency.

The Trustees resolved to formally record these on-going investment strategy reviews once a year in writing, or when an event occurred requiring a significant change to the Fund's investment strategy.

Closure:

There being no other business the meeting closed

Signed as a true and correct record on the date stated below.

A handwritten signature in black ink, consisting of a large, stylized initial 'L' followed by a series of loops and a horizontal stroke.

.....  
Trustee

# Auzki Super Fund

## Trustee Declaration

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In the opinion of the Trustees of the Auzki Super Fund.

The Fund is not a reporting entity and this special purpose financial report should be prepared in accordance with the accounting policies described in Note 1 to these financial statements.

- (i) the financial statements and notes to the financial statements for the year ended 30 June 2021 present fairly the financial position of the Fund at 30 June 2021 and the results of its operations for the year then ended in accordance with the accounting policies described in Note 1 to the financial statements; and
- (ii) the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the Trust Deed; and
- (iii) the operation of the Fund has been carried out in accordance with its Trust Deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 during the year ended 30 June 2021.

Signed in accordance with a resolution of the trustees by:

*Robin E Dick*

..... Dated: ...../...../.....

**Robin Dick**  
Trustee

*Rosalind Miller*

..... Dated: ...../...../.....

**Rosalind Miller**  
Trustee

**MINUTES OF THE MEETING OF THE TRUSTEES OF  
AUZKI SUPER FUND  
HELD ON ...../...../..... AT  
HOPE ISLAND MARINA OFFICE 10 SANTA BARBARA RD, HOPE ISLAND QLD**

**PRESENT**

Robin Dick  
Rosalind Miller

**ALLOCATION OF NET  
INCOME:**

It was resolved that the income of the fund be proportionally allocated to members based on the members' daily weighted average balances. The following amounts of income, and related amounts of tax, are to be credited to / debited from member accounts:

	Income	Fund Tax	Conts Tax	Direct Tax
<i>Ms Rosalind Miller</i>				
Accumulation	270	0	0	0
ABP - 100% Tax Free 2	1,933	0	0	0
ABP - 100% Tax Free 4	1,851	0	0	0
<i>Mr Robin Dick</i>				
Accumulation	270	0	0	0
ABP - 100% Tax Free 3	1,389	0	0	0
ABP - 100% Tax Free 4	1,853	0	0	0

**REPORTING ENTITY  
CONCEPT:**

It was resolved that, in the opinion of the trustee, the fund is not a reporting entity because it is unlikely that users exist who are unable to command the preparation of reports tailored so as to satisfy specifically all their information needs.

Therefore, the financial statements for the fund are to be prepared on the basis of the fund being a "non-reporting entity", and will therefore take the form of Special Purpose Financial Reports.

**REPORTS AND  
STATEMENTS:**

The Financial Reports consisting of Statement of Financial Position, Operating Statement and Notes to the Financial Statements, Trustee's Declaration, Compilation Report, Auditor's Report and Member Statement for the period ended 30 June 2021 were tabled for consideration at the meeting.

It was resolved that the financial statements be adopted in their present format and that the statement by the trustees attached to the financial reports be signed by the Trustees, stating that:

1. the financial statements are drawn up so as to present fairly the financial position of the fund as at 30 June 2021, the benefits accrued as a result of operations and its cash flow for the year then ended;
2. the financial statements have been prepared in accordance with the requirements of the trust deed and Australian Accounting Standards as noted in Note 1 to the Financial Statements and
3. the fund has operated substantially in accordance with the trust deed and the requirements of the *Superannuation Industry (Supervision) Act 1993 (SISA)*, during the year ended 30 June 2021.

**INCOME TAX RETURN:**

The completed Self-Managed Superannuation Fund Annual Return for the financial year ended 30 June 2021 was tabled for consideration at the meeting.

It was resolved that:

1. the particulars contained in the 2021 income tax return and the relevant records used to ascertain the taxable income, as shown, derived by the fund from all sources in and out of Australia during the year of income are true and correct and;
2. the fund satisfies the statutory requirements and conditions applicable to be classified as a 'Regulated Superannuation Fund/Complying Superannuation Fund' for the year of income and;
3. the income tax return be adopted in its present format and that the Return be signed by the Trustees.

**REVIEW OF INVESTMENT STRATEGY:**

The fund's investment performance for the year ended 30 June 2021 and existing investment strategy have been reviewed by the Trustees, after considering:

1. the risk involved in making, holding and realising, and the likely return from, the fund's investments having regard to its objectives and its expressed cash flow requirements;
2. the composition of the fund's investments as a whole including the extent to which the investments are diverse or involve the funds being exposed to risks from inadequate diversification;
3. the liquidity of the fund's investments having regard to its expected cash flow requirements;
4. the ability of the fund to discharge its existing and prospective liabilities;
5. whether the fund should hold a contract of insurance that provides insurance cover for members of the fund; and
6. the effect of the fund's investments on the above requirements and all matters relating to the prudential nature of the investment being continuously monitored, regularly reviewed and to make sure they adhere to fund's investment objectives and relevant legislation.

It was resolved that the aims and objectives of the investment strategy were being achieved and that the said investment strategy requires no further modification or adoption at this time.

**TRUSTEE AND MEMBER STATUS:**

Each of the trustee(s) confirmed that they are qualified to act as trustee(s) of the fund and that they are not disqualified persons as defined by Section 120 of the SISA.

Each of the member(s) confirmed that they are a member of the fund and agreed to be bound by the provisions contained within the Trust Deed of the fund (and any subsequent amendments).

**AUDITOR:**

It was resolved that

Anthony Boys  
of  
Australian Super Audits Pty Ltd  
702/20 Hindmarsh Square  
ADELAIDE, SA 5000

act as the auditor of the fund for the next financial year.

**TAX AGENT:**

It was resolved that

Mrs Kim Jay  
of  
Initiative Group  
Suite 2 The Edge East  
10 Lake Kawana Blvd  
BIRTINYA, QLD 4575

act as the tax agent of the fund for the next financial year.

**CLOSURE:**

There being no further business the meeting was closed.

*Robin E Dick*

.....  
Robin Dick  
Trustee

Dated ...../...../.....

*Rosalind Miller*

.....  
Rosalind Miller  
Trustee

Dated ...../...../.....

Robin Dick  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

18 June 2022

The Trustees  
Auzki Super Fund  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

Dear Sir/Madam,

I confirm that during the year ended 30 June 2021 and prior to making the relevant contributions, I was gainfully employed for at least 40 hours in a period of no more than 30 consecutive days.

Yours faithfully,

*Robin E Dick*

.....  
Robin Dick  
Fund Member



Rosalind Miller  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

18 June 2022

The Trustees  
Auzki Super Fund  
Hope Island Marina Office  
10 Santa Barbara Rd  
HOPE ISLAND QLD 4212

Dear Sir/Madam,

I confirm that during the year ended 30 June 2021 and prior to making the relevant contributions, I was gainfully employed for at least 40 hours in a period of no more than 30 consecutive days.

Yours faithfully,

*Rosalind Miller*

.....  
Rosalind Miller  
Fund Member

# Transfer Balance Account Report Declaration

This declaration is to be completed and provided to a tax agent who is lodging a transfer balance account report (TBAR) statement on a fund's behalf. It is the responsibility of the fund trustee(s) to keep a record of the declaration for five years after the declaration has been made.

THIS FORM CANNOT BE LODGED WITH THE ATO

## Fund Details

Name:

Auzki Super Fund

ABN

30784165787

## Trustee Declaration

- I am authorised to make this declaration;
- I declare that the information provided in this statement is true and correct; and
- I authorise the agent to lodge the fund's TBAR statement to the ATO.

*Robin E Dick*

..... Dated: ...../...../.....

Robin Dick

Chairperson

Period From:

01/07/2020

To:

30/06/2021

## Reportable events for Ms Rosalind Hilda Miller

Account Type	Account Name	Account Number	Event Type	Event Date	Value
IS1	ABP - 100% Tax Free 4	5	Superannuation Income Stream	24/06/2021	8,081.57

## Reportable events for Mr Robin Edward Dick

Account Type	Account Name	Account Number	Event Type	Event Date	Value
IS1	ABP - 100% Tax Free 4	4	Superannuation Income Stream	24/06/2021	8,089.64

# Annual Pension Review Statement For the Financial Year 2021 / 2022

## 1. Fund Details

Fund Name	Auzki Super Fund
Fund ABN	30 784 165 787
Fund Address	Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212

## 2. Member Details

Member's Name	Mr Robin Dick
Date of Birth	13 July 1946
Gender	Male
Tax File Number Held	Yes
Claim Tax Free Threshold	Yes

## 3. Pension Details

Pension Account	ABP - 100% Tax Free 3
Pension Type	Account Based
Start Date	9 May 2016
Reversionary Beneficiary	Not recorded
Purchase Price	43,040.97
Account Balance	3,422.69
Date of Balance	30 June 2021
Tax Free Proportion	100.00%

## Income Stream Requirements

Minimum Pension	90.00
Maximum Pension*	Not Applicable

\* Maximum Pension applies only to Transition to Retirement pensions, a limit of 10% of the member's account balance in income that can be drawn each year.

## Pension Payment Details

Payment Frequency	Yearly
Selected Amount Strategy	Minimum
Selected Amount	90.00

## 4. Member Declaration

I intend on drawing income from my Account Based account during the 2021 / 2022 financial year in accordance with the above. I understand it is the Trustee's responsibility to ensure that the member draws an income stream in accordance with the relevant legislation.

Signature Robin E Dick Date      /      /

# Annual Pension Review Statement For the Financial Year 2021 / 2022

## 1. Fund Details

Fund Name	Auzki Super Fund
Fund ABN	30 784 165 787
Fund Address	Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212

## 2. Member Details

Member's Name	Mr Robin Dick
Date of Birth	13 July 1946
Gender	Male
Tax File Number Held	Yes
Claim Tax Free Threshold	Yes

## 3. Pension Details

Pension Account	ABP - 100% Tax Free 4
Pension Type	Account Based
Start Date	24 June 2021
Reversionary Beneficiary	Not recorded
Purchase Price	8,089.64
Account Balance	9,942.59
Date of Balance	30 June 2021
Tax Free Proportion	100.00%

## Income Stream Requirements

Minimum Pension	250.00
Maximum Pension*	Not Applicable

\* Maximum Pension applies only to Transition to Retirement pensions, a limit of 10% of the member's account balance in income that can be drawn each year.

## Pension Payment Details

Payment Frequency	Yearly
Selected Amount Strategy	Minimum
Selected Amount	250.00

## 4. Member Declaration

I intend on drawing income from my Account Based account during the 2021 / 2022 financial year in accordance with the above. I understand it is the Trustee's responsibility to ensure that the member draws an income stream in accordance with the relevant legislation.

Signature Robin E Dick Date      /      /

# Annual Pension Review Statement For the Financial Year 2021 / 2022

## 1. Fund Details

Fund Name	Auzki Super Fund
Fund ABN	30 784 165 787
Fund Address	Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212

## 2. Member Details

Member's Name	Ms Rosalind Miller
Date of Birth	19 October 1951
Gender	Female
Tax File Number Held	Yes
Claim Tax Free Threshold	Yes

## 3. Pension Details

Pension Account	ABP - 100% Tax Free 2
Pension Type	Account Based
Start Date	9 May 2016
Reversionary Beneficiary	Not recorded
Purchase Price	48,734.39
Account Balance	4,644.89
Date of Balance	30 June 2021
Tax Free Proportion	100.00%

## Income Stream Requirements

Minimum Pension	120.00
Maximum Pension*	Not Applicable

\* Maximum Pension applies only to Transition to Retirement pensions, a limit of 10% of the member's account balance in income that can be drawn each year.

## Pension Payment Details

Payment Frequency	Yearly
Selected Amount Strategy	Minimum
Selected Amount	120.00

## 4. Member Declaration

I intend on drawing income from my Account Based account during the 2021 / 2022 financial year in accordance with the above. I understand it is the Trustee's responsibility to ensure that the member draws an income stream in accordance with the relevant legislation.

Signature Rosalind Miller Date      /      /

# Annual Pension Review Statement

## For the Financial Year 2021 / 2022

### 1. Fund Details

Fund Name	Auzki Super Fund
Fund ABN	30 784 165 787
Fund Address	Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212

### 2. Member Details

Member's Name	Ms Rosalind Miller
Date of Birth	19 October 1951
Gender	Female
Tax File Number Held	Yes
Claim Tax Free Threshold	Yes

### 3. Pension Details

Pension Account	ABP - 100% Tax Free 4
Pension Type	Account Based
Start Date	24 June 2021
Reversionary Beneficiary	Not recorded
Purchase Price	8,081.57
Account Balance	9,932.66
Date of Balance	30 June 2021
Tax Free Proportion	100.00%

### Income Stream Requirements

Minimum Pension	250.00
Maximum Pension*	Not Applicable

\* Maximum Pension applies only to Transition to Retirement pensions, a limit of 10% of the member's account balance in income that can be drawn each year.

### Pension Payment Details

Payment Frequency	Yearly
Selected Amount Strategy	Minimum
Selected Amount	250.00

### 4. Member Declaration

I intend on drawing income from my Account Based account during the 2021 / 2022 financial year in accordance with the above. I understand it is the Trustee's responsibility to ensure that the member draws an income stream in accordance with the relevant legislation.

Signature Rosalind Miller Date      /      /

# Annual Pension Review Statement For the Financial Year 2021 / 2022

## 1. Fund Details

Fund Name	Auzki Super Fund
Fund ABN	30 784 165 787
Fund Address	Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212

## 2. Member Details

Member's Name	Ms Rosalind Miller
Date of Birth	19 October 1951
Gender	Female
Tax File Number Held	Yes
Claim Tax Free Threshold	Yes

## 3. Pension Details

Pension Account	ABP - 79.19% Tax Free
Pension Type	Account Based
Start Date	1 July 2014
Reversionary Beneficiary	Not recorded
Purchase Price	14,108.67
Account Balance	0.00
Date of Balance	30 June 2021
Tax Free Proportion	79.19%

## Income Stream Requirements

Minimum Pension	0.00
Maximum Pension*	Not Applicable

\* Maximum Pension applies only to Transition to Retirement pensions, a limit of 10% of the member's account balance in income that can be drawn each year.

## Pension Payment Details

Payment Frequency	Yearly
Selected Amount Strategy	Minimum
Selected Amount	0.00

## 4. Member Declaration

I intend on drawing income from my Account Based account during the 2021 / 2022 financial year in accordance with the above. I understand it is the Trustee's responsibility to ensure that the member draws an income stream in accordance with the relevant legislation.

Signature Rosalind Miller Date      /      /

# Electronic lodgment declaration (Form MS)

(for self-managed superannuation funds)

## Part A: Taxpayer's declaration

This declaration is to be completed where the tax return is to be lodged via an approved ATO electronic channel. It is the responsibility of the taxpayer to retain this declaration for a period of five years after the declaration is made, penalties may apply for failure to do so.

### Privacy

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). The ATO will use the TFNs to identify each partner or beneficiary or entity in our records. It is not an offence not to provide the TFNs. However, you cannot lodge your tax return electronically if you do not quote your TFN.

Taxation law authorises the ATO to collect information and disclose it to other government agencies, including personal information about the person authorised to sign the declaration. For information about privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

### The Australian Business Register

The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this tax return to maintain the integrity of the register.

Please refer to the privacy statement on the Australian Business Register (ABR) website ([www.abr.gov.au](http://www.abr.gov.au)) for further information – it outlines our commitment to safeguarding your details.

### Electronic funds transfer – direct debit

Where you have requested an EFT direct debit some of your details will be provided to your financial institution and the Tax Office's sponsor bank to facilitate the payment of your taxation liability from your nominated account.

Tax file number	Fund name	Year of return
937749571	Auzki Super Fund	2021

I authorise my tax agent to electronically transmit this tax return via an approved ATO electronic channel.

**Important:** Before making this declaration please check to ensure that all income has been disclosed and the tax return is true and correct in every detail. If you are in doubt about any aspect of the tax return, place all the facts before the Tax Office. The tax law provides heavy penalties for false or misleading statements on tax returns.

### Declaration

I declare that:

- all of the information I have provided to the agent for the preparation of this document is true and correct
- I authorise the agent to give this document to the Commissioner of Taxation

Signature of trustee or director

Robin E Dick

Date

## Part B: Electronic funds transfer consent

This declaration is to be completed when an electronic funds transfer (EFT) of a refund is requested and the tax return is being lodged through an approved ATO electronic channel.

This declaration must be signed by the partner, trustee, director or public officer prior to the EFT details being transmitted to the Tax Office. If you elect for an EFT, all details below must be completed.

### Important:

Care should be taken when completing EFT details as the payment of any refund will be made to the account specified.

Agent's reference number	Account name
23067003	Mr R E Dick & Ms R H Miller ATF AUZKI Super Fund

I authorise the refund to be deposited directly to the specified account.

Signature of trustee or director

Date

## Part D: Tax agent's certificate (shared facility users only)

**Declaration:** I declare that:

- I have prepared this tax return in accordance with the information supplied by the trustees;
- I have received a declaration by the trustees that the information provided to me for the preparation of this tax return is true and correct, and;
- I am authorised by the trustees to lodge this tax return, including any applicable schedules.

Signature of tax agent

Date

Agent's contact name	Agent's phone	Agent's reference	Client's reference
MRS Kim Jay	07 54378888	23067003	AUZK0003





**Australian Government**  
**Australian Taxation Office**

**Agent** INITIATIVE ACCOUNTING PTY LTD  
**Client** THE TRUSTEE FOR AUZKI SUPER FUND  
**ABN** 30 784 165 787

## Payment options

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If you can't make a payment using BPAY®, credit or debit card, other payment options are also available. It may take up to five business days for your ATO account to reflect any payments.

<b>Account</b>	Income tax 551 THE TRUSTEE FOR AUZKI SUPER FUND
<b>Payment reference number</b>	551009377495712221
<b>Overdue</b>	\$0.00
<b>Balance</b>	\$259.00

BPAY®

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**Biller code** 75556  
**Ref** 551009377495712221

### Telephone and Internet Banking - BPAY®

Contact your bank or financial institution to make this payment from your cheque, savings, debit or credit card account. For more information see [www.bpay.com.au](http://www.bpay.com.au)

### Credit or Debit card

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Pay online with the Government EasyPay card payment service or ATO online (individuals).

A card payment fee will apply equal to the fee we incur from our bank.

<b>Payment reference number</b>	551009377495712221
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### Australia Post

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Payment can be made in person at Australia Post outlets using cash, cheque or money order.

<b>Payment reference number</b>	551009377495712221
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\*171 551009377495712221

# Auzki Super Fund

## Financial Statements and Reports For the period 30 June 2021

Initiative Accounting Group  
2/10 Lake Kawana Blvd  
Birtinya QLD 4575

Phone: 07 5437 8888  
Email: [info@initiativegroup.com.au](mailto:info@initiativegroup.com.au)

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# Auzki Super Fund

## Reports

### Table of Contents

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<b>Report Name</b>	<b>Page No.</b>
Cover Letter	1
Operating Statement (Profit and Loss) Report	2
Operating Statement (Profit and Loss) Report	3
Statement of Financial Position (Balance Sheet)	5
Statement of Financial Position (Balance Sheet)	6
Notes to the Financial Statements	7
Trustee Declaration Report	12
Compilation Report - SMSF	13
Statement of Taxable Income Report	14
Annual Return - SMSF	15
Annual Return CGT Schedule - SMSF	27
Annual Return Losses Schedule - SMSF	30
Electronic Lodgment Declaration	33
Annual Trustee Minutes/Resolutions	34
Member Statement	37
Member Summary Report	47
Contribution Cap Report	48
Investment Summary Report	52
Investment Allocation	53
Investment Performance	55

**Auzki Super Fund**  
**Operating Statement**  
For the period 1 July 2020 to 30 June 2021

	Note	2021 \$	2020 \$
<b>Income</b>			
Member Receipts			
Contributions			
Member		15,632	-
Investment Gains			
Increase in Market Value	8A	12,073	(7,106)
Investment Income			
Interest	7A	-	1
		<u>27,705</u>	<u>(7,104)</u>
<b>Expenses</b>			
Member Payments			
Pensions Paid		601	665
Other Expenses			
Accountancy Fee		1,807	1,977
Bank Fees		40	-
SMSF Supervisory Levy		259	259
Investment Losses			
Realised Capital Losses	8B	2,402	10,163
		<u>5,108</u>	<u>13,064</u>
<b>Benefits Accrued as a Result of Operations before Income Tax</b>		<b>22,597</b>	<b>(20,168)</b>
<b>Income Tax</b>		<u>-</u>	<u>-</u>
<b>Benefits Accrued as a Result of Operations</b>		<u><b>22,597</b></u>	<u><b>(20,168)</b></u>

*The accompanying notes form part of these financial statements.  
This report should be read in conjunction with the accompanying compilation report.*

**Auzki Super Fund**  
**Detailed Operating Statement**  
For the period 1 July 2020 to 30 June 2021

	Note	2021 \$	2020 \$
<b>Income</b>			
Member Receipts			
Contributions			
Member			
Personal Non-Concessional			
Mr Robin Dick		7,820	-
Ms Rosalind Miller		7,812	-
Investment Gains			
Increase in Market Value	8A		
Derivative Investments			
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020		1,922	-
Tv2u International Limited - Option Expiring 30-Mar-2019		-	347
Other Assets			
Crypto - ETH		3,218	-
Crypto - SHIB		(35)	-
Crypto - XRP		(898)	-
Shares in Listed Companies			
Antisense Therapeutics Limited - Ordinary Fully Paid		-	8,233
Botanix Pharmaceuticals Ltd		6,048	(18,250)
Caeneus Minerals Ltd		1,266	633
Immutep Limited		552	-
Maximus Resources Limited		-	1,724
Mgc Pharmaceuticals Ltd		-	604
Millennium Minerals Limited		-	(396)
Investment Income			
Interest	7A		
Cash At Bank			
Suncorp Business Saver a/c 505300428		-	1
Suncorp Cash Management a/c 452858517		-	-
		<b>27,705</b>	<b>(7,104)</b>

*The accompanying notes form part of these financial statements.  
This report should be read in conjunction with the accompanying compilation report.*

**Auzki Super Fund**  
**Detailed Operating Statement**  
For the period 1 July 2020 to 30 June 2021

<b>Expenses</b>		
Member Payments		
Pensions Paid		
Mr Robin Dick		
ABP - 100% Tax Free 3	205	285
Ms Rosalind Miller		
ABP - 100% Tax Free 2	395	380
Other Expenses		
Accountancy Fee	1,807	1,977
Bank Fees		
Cash At Bank		
Swyftx	40	-
SMSF Supervisory Levy	259	259
Investment Losses		
Realised Capital Losses	8B	
Derivative Investments		
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020	2,022	-
Tv2u International Limited - Option Expiring 30-Mar-2019	-	369
Other Assets		
Crypto - ETH	(2,295)	-
Crypto - Doge	(291)	-
Crypto - SHIB	8	-
Shares in Listed Companies		
Antisense Therapeutics Limited - Ordinary Fully Paid	-	7,935
Botanix Pharmaceuticals Ltd	2,590	(784)
Equus Mining Limited	124	-
Maximus Resources Limited	70	1,655
Mgc Pharmaceuticals Ltd	174	866
Millennium Minerals Limited	-	122
	<b>5,108</b>	<b>13,064</b>
<b>Benefits Accrued as a Result of Operations before Income Tax</b>	<b>22,597</b>	<b>(20,168)</b>
<b>Income Tax</b>		
	-	-
<b>Benefits Accrued as a Result of Operations</b>	<b>22,597</b>	<b>(20,168)</b>

*The accompanying notes form part of these financial statements.  
This report should be read in conjunction with the accompanying compilation report.*

**Auzki Super Fund**  
**Statement of Financial Position**  
**as at 30 June 2021**

	Note	2021 \$	2020 \$
<b>Assets</b>			
Investments			
Derivative Investments	6A	-	100
Other Assets	6B	6,147	-
Shares in Listed Companies	6C	21,795	5,134
Other Assets			
Cash At Bank		1	112
<b>Total Assets</b>		<u><u>27,943</u></u>	<u><u>5,346</u></u>
<b>Liabilities</b>			
<b>Total Liabilities</b>		<u><u>-</u></u>	<u><u>-</u></u>
<b>Net Assets Available to Pay Benefits</b>		<u><u>27,943</u></u>	<u><u>5,346</u></u>
<i>Represented by:</i>			
<b>Liability for Accrued Benefits</b>	2		
Mr Robin Dick		13,365	2,239
Ms Rosalind Miller		14,578	3,107
<b>Total Liability for Accrued Benefits</b>		<u><u>27,943</u></u>	<u><u>5,346</u></u>

*The accompanying notes form part of these financial statements.  
This report should be read in conjunction with the accompanying compilation report.*

**Auzki Super Fund**  
**Detailed Statement of Financial Position**  
**as at 30 June 2021**

	Note	2021 \$	2020 \$
<b>Assets</b>			
Investments			
Derivative Investments	6A		
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020		-	100
Other Assets	6B		
Crypto - ETH		3,492	-
Crypto - SHIB		339	-
Crypto - XRP		2,316	-
Shares in Listed Companies	6C		
Botanix Pharmaceuticals Ltd		16,000	4,290
Caeneus Minerals Ltd		2,110	844
Immutep Limited		3,685	-
Other Assets			
Cash At Bank			
Suncorp Business Everyday a/c 505300371		-	-
Suncorp Business Saver a/c 505300428		1	112
Suncorp Cash Management a/c 452858517		-	-
Swyftx		-	-
<b>Total Assets</b>		<b>27,943</b>	<b>5,346</b>
<b>Liabilities</b>			
<b>Total Liabilities</b>		<b>-</b>	<b>-</b>
<b>Net Assets Available to Pay Benefits</b>		<b>27,943</b>	<b>5,346</b>
<i>Represented by:</i>			
<b>Liability for Accrued Benefits</b>	2		
Mr Robin Dick			
ABP - 100% Tax Free 3		3,423	2,239
ABP - 100% Tax Free 4		9,943	-
Ms Rosalind Miller			
ABP - 100% Tax Free 2		4,645	3,107
ABP - 100% Tax Free 4		9,933	-
<b>Total Liability for Accrued Benefits</b>		<b>27,943</b>	<b>5,346</b>

*The accompanying notes form part of these financial statements.  
This report should be read in conjunction with the accompanying compilation report.*



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**Auzki Super Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2021**

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**Note 1 - Statement of Significant Accounting Policies**

The following significant accounting policies have been adopted in the preparation and presentation of the financial statements. They have been consistently applied in the current and previous periods unless otherwise stated to ensure the financial information satisfies the concept of relevance and reliability.

**(a) Statement of Compliance**

The trustees have prepared the financial statements on the basis that the superannuation fund is a non-reporting entity because the members are able to command the preparation of tailored reports so as to satisfy specifically all of their information needs and there are no other users dependent on the financial statements. The financial statements are therefore special purpose financial statements that have been prepared in accordance with the legislative requirements of the *Superannuation Industry (Supervision) Act 1993* and *Regulations 1994* and the provisions of the Trust Deed. The trustees have determined that the accounting policies adopted are appropriate to meet their needs.

**(b) Basis of Preparation**

The financial statements have been prepared on a cash basis using historical costs convention unless stated otherwise. For investments and financial liabilities, they are measured at market values.

The financial statements are presented in Australian dollars, which is the functional currency of the fund.

**(c) Use of Accounting Estimates and Judgments**

The preparation of financial statements requires the trustees to make judgments, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets and liabilities, income and expenses. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstance, the results of which form the basis of making the judgments. Actual results may differ from these estimates.

Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in the period in which the estimate is revised and in any future period affected.

**(d) Cash and Cash Equivalents**

Cash and cash equivalents include cash on hand, deposits held at call with banks or financial institutions and short-term, highly liquid investments that are readily convertible to cash and are subject to an insignificant risk of change in value.

**(e) Foreign Currency**

Any foreign currency transactions during the financial year are brought to account using the exchange rate in effect at the date of the transaction. Foreign currency monetary items at reporting date are translated at the exchange rate existing at reporting date. Exchange differences are recognised in the operating statement in the period in which they arise.

**(f) Valuation of Assets**

*Investment*

An investment is initially recognised when as a result of past transactions or events, the Fund controls the future economic benefits expected to flow from the asset.

The investment assets are firstly recorded at cost, being the fair value of the consideration given. After initial recognition, they are measured at market value. Gains or losses arising from changes in market value are recognised on the Operating Statement in the periods in which they occur.

Market value as defined in s10 of *SISA 1993*, in relation to an asset, means the amount that a willing buyer of the asset could reasonably be expected to pay to acquire the asset from a willing seller if the following assumptions were made:

- i. that the buyer and the seller dealt with each other at arm's length in relation to the sale;
- ii. that the sale occurred after proper marketing of the asset;
- iii. that the buyer and the seller acted knowledgeably and prudentially in relation to the sale.

As disposal costs are generally immaterial unless otherwise stated, market value approximates fair value.

*This report should be read in conjunction with the accompanying compilation report.*

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# Auzki Super Fund

## Notes to the Financial Statements

### As at 30 June 2021

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Market values for various types of investment have been determined as follows:

- i. listed securities, government and other fixed interest securities for which there is a readily available market quotation, the valuation is recorded as the last quoted sale price as at the close of business on reporting date. If the listed securities are foreign, they are also converted to Australian dollars using the exchange rate at the close of business on the reporting date;
- ii. unit trusts and managed funds are stated by reference to the unit redemption price quoted by the fund manager at the end of the reporting period;
- iii. unlisted investments are stated at the Trustees' valuation based on estimated market value at balance date; or where necessary, upon external valuers' expert opinions;
- iv. Investment properties are carried at market value and are held for the purpose of generating long-term rental yields and capital appreciation. The Trustees give consideration to the value of the investment property each financial year and revalue when a significant event occurs or when deemed appropriate. Where an external valuation has been obtained, the valuation is based on objective and supportable data and has been carried out by a property valuation service provider or qualified independent valuer as appropriate.

#### *Financial Liabilities*

The Fund initially recognises a financial liability on the date it becomes a party to the contractual provisions of the instrument.

Financial liabilities including credit balances of hedging instruments and derivatives are measured at market values as at the reporting date. Any change in market values of the financial liabilities since the beginning of the reporting period shall be included in the profit or loss for the reporting period. As disposal costs are generally immaterial, unless otherwise stated, market value approximates fair value.

#### *Receivables and Payables*

Current assets such as accounts receivable, which are expected to be recovered within twelve months after the reporting period, are carried at nominal amounts which approximate the fair values.

Accounts payable are recognised when the Fund becomes obliged to make future payments resulting from the goods and services received, whether or not billed to the Fund and are carried at nominal amounts which are equivalent to fair values.

### **(g) Revenue Recognition**

Revenue is recognised to the extent that it is probable that the economic benefits will flow to the Fund and the revenue can be reliably measured. Revenue is measured at the fair value of consideration received or receivable. The following recognition criteria relate to the specific items of revenue the Fund receives:

#### *Interest*

The interest revenue is recognised by the Fund on a cash receipt basis, unless the Fund chooses the accrual method and the amount can be reliably measured by reference to the principal outstanding and using the effective interest rate of the instrument calculated at the acquisition or origination date.

#### *Dividend Revenue*

The entitlement to a dividend is based on the date the shares are quoted ex-dividend; the actual dividend revenue is recognised by the Fund when it is received.

#### *Distribution Revenue*

Distributions from unit trusts and managed funds are recognised as at the date the unit value is quoted ex-distributions and if not received at the end of the reporting period, are reflected in the statement of financial position as a receivable at market value.

#### *Rental Income*

Rent from investment properties is recognised by the Fund on a cash receipt basis.

#### *Movement in market values*

Changes in the market value of investments are determined as the difference between the market value at balance date or consideration received (if sold during the year) and the market value as at the prior year end or cost (if the investment was acquired during the period). All movements are recognised in the Operating Statement.

*This report should be read in conjunction with the accompanying compilation report.*

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# Auzki Super Fund

## Notes to the Financial Statements

### As at 30 June 2021

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#### Contributions and Rollovers In

Contributions and rollovers in are recognised when the control and the benefits from the revenue have been attained and are recorded by the Fund, gross of any taxes, in the period to which they relate.

The financial report was authorised for issue on 17 June 2022 by the trustee of the fund.

#### Note 2 – Liability for Accrued Benefits

The liability for accrued benefits represents the fund's present obligation to pay benefits to members and beneficiaries and has been calculated as the difference between the carrying amount of the assets and the carrying amount of the other payables and income tax liabilities as at the end of the reporting period. Changes in the Liability for Accrued Benefits are as follows:

	<b>Current</b>
<b>Liability for Accrued Benefits at beginning of period</b>	5,346
Benefits Accrued during the period	23,198
Benefits Paid during the period	(601)
<b>Liability for Accrued Benefits at end of period</b>	<u><u>27,943</u></u>

Any amount in the Unallocated Contributions account represent amounts that have been received by the fund from either the members of the fund or a third party but have not been allocated to any specific member as at the reporting date. It is the intention of the trustee to allocate any such amounts recorded as unallocated contributions within 28 days following the end of the month to specific fund member, which will increase the liability for members accrued benefits.

#### Note 3 – Vested Benefits

Vested benefits are benefits which are not conditional upon continued membership of the fund (or any factor other than resignation from the plan) and include benefits which members were entitled to receive had they terminated their fund membership as at the reporting period.

	<b>Current</b>
<b>Vested Benefits at beginning of period</b>	5,346
Benefits Accrued during the period	23,198
Benefits Paid during the period	(601)
<b>Vested Benefits at end of period</b>	<u><u>27,943</u></u>

#### Note 4 – Guaranteed Benefits

No guarantees have been made in respect of any part of the liability for accrued benefits.

#### Note 5 – Funding Arrangements

No fixed funding arrangements were in place for the Fund as at year end.

#### Note 6A – Derivative Investments

	<b>Current</b>
At market value:	
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020	0
	<u><u>0</u></u>

#### Note 6B – Other Assets

	<b>Current</b>
At market value:	
Crypto - ETH	3,492
Crypto - SHIB	339
Crypto - XRP	2,316
	<u><u>6,147</u></u>

*This report should be read in conjunction with the accompanying compilation report.*

**Auzki Super Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2021**

**Note 6C – Shares in Listed Companies**

	<b>Current</b>
At market value:	
Botanix Pharmaceuticals Ltd	16,000
Caeneus Minerals Ltd	2,110
Immutep Limited	3,685
	<b>21,795</b>

**Note 7A – Interest**

	<b>Current</b>
Suncorp Business Saver a/c 505300428	0
Suncorp Cash Management a/c 452858517	0
	<b>0</b>

**Note 8A – Increase in Market Value**

	<b>Current</b>
<b>Derivative Investments</b>	
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020	1,922
Tv2u International Limited - Option Expiring 30-Mar-2019	0
<b>Other Assets</b>	
Crypto - ETH	3,218
Crypto - SHIB	(35)
Crypto - XRP	(898)
<b>Shares in Listed Companies</b>	
Antisense Therapeutics Limited - Ordinary Fully Paid	0
Botanix Pharmaceuticals Ltd	6,048
Caeneus Minerals Ltd	1,266
Immutep Limited	552
Maximus Resources Limited	0
Mgc Pharmaceuticals Ltd	0
Millennium Minerals Limited	0
	<b>12,073</b>

**Note 8B – Realised Capital Losses**

	<b>Current</b>
<b>Derivative Investments</b>	
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020	2,022
Tv2u International Limited - Option Expiring 30-Mar-2019	0
<b>Other Assets</b>	
Crypto - ETH	(2,295)
Crypto - Doge	(291)
Crypto - SHIB	8
<b>Shares in Listed Companies</b>	
Antisense Therapeutics Limited - Ordinary Fully Paid	0
Botanix Pharmaceuticals Ltd	2,590
Equus Mining Limited	124
Maximus Resources Limited	70
Mgc Pharmaceuticals Ltd	174
Millennium Minerals Limited	0
	<b>2,402</b>

*This report should be read in conjunction with the accompanying compilation report.*

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**Auzki Super Fund**  
**Notes to the Financial Statements**  
**As at 30 June 2021**

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**Note 9 – Subsequent Events**

Subsequent to the end of the financial year there have been considerable impacts in Australia and globally arising from the Coronavirus (COVID-19) pandemic, and Government actions to reduce the spread of the virus.

At the date of signing the financial statements the Trustees are unable to determine what financial effects the outbreak of the virus could have on the fund in the coming financial period.

No financial effects arising from the economic impacts of the virus have been included in the financial statements for the year ended 30 June 2020. The impacts may include a significant reduction in the carrying value of the SMSF assets and investments. The Trustees acknowledge their responsibility to continuously monitor the situation and evaluate this impact including whether the fund remains a going concern and its ability to pay its liabilities and future retirement benefits.

*This report should be read in conjunction with the accompanying compilation report.*

# Auzki Super Fund

## Trustee Declaration

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In the opinion of the Trustees of the Auzki Super Fund.

The Fund is not a reporting entity and this special purpose financial report should be prepared in accordance with the accounting policies described in Note 1 to these financial statements.

- (i) the financial statements and notes to the financial statements for the year ended 30 June 2021 present fairly the financial position of the Fund at 30 June 2021 and the results of its operations for the year then ended in accordance with the accounting policies described in Note 1 to the financial statements; and
- (ii) the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the Trust Deed; and
- (iii) the operation of the Fund has been carried out in accordance with its Trust Deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 during the year ended 30 June 2021.

Signed in accordance with a resolution of the trustees by:

..... Dated: ...../...../.....  
**Robin Dick**  
Trustee

..... Dated: ...../...../.....  
**Rosalind Miller**  
Trustee

# Compilation Report to the Trustees and Members of Auzki Super Fund

ABN 30 784 165 787  
For the period 1 July 2020 to 30 June 2021

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On the basis of the information provided by the Trustees of Auzki Super Fund, we have compiled the accompanying special purpose financial statements of Auzki Super Fund for the period ended 30 June 2021, which comprise the Statement of Financial Position, Operating Statement, a summary of significant accounting policies and other explanatory notes.

The specific purpose for which the special purpose financial statements have been prepared is to provide information relating to the performance and financial position of Auzki Super Fund that satisfies the information needs of the trustees and the members.

## The Responsibility of Trustees

The Trustees of Auzki Super Fund are solely responsible for the information contained in the special purpose financial statements and have determined that the basis of accounting adopted and financial reporting framework used are appropriate to meet the needs of the members.

## Our Responsibility

On the basis of information provided by the Trustees of Auzki Super Fund, we have compiled the accompanying special purpose financial statements in accordance with the same financial reporting framework/basis of accounting used above and **APES 315: *Compilation of Financial Information***.

Our procedures use accounting expertise to collect, classify and summarise the financial information, which the Trustees provided, in compiling the financial statements. Our procedures do not include verification or validation procedures. No audit or review has been performed and accordingly no assurance is expressed.

The special purpose financial statements were compiled exclusively for the benefit of the Trustees and members of the fund and purpose identified above. We do not accept responsibility to any other person for the contents of the special purpose financial statements.

Signature of Accountant

Dated: 17 June 2022



**Name of Signatory:** Mrs Kim Jay

**Address:** Suite 2 The Edge East  
10 Lake Kawana Blvd  
BIRTINYA, QLD 4575

<sup>1</sup> Refer to AUASB Standards for the issuance of audit opinions and review conclusions

**Auzki Super Fund**  
**Statement of Taxable Income**  
For the Period from 1 July 2020 to 30 June 2021

Description	Tax Return Ref.	Amount
<b><u>Income</u></b>		
<b>Section B</b>		
Total Assessable Income	■	0
<b><u>Deductions</u></b>		
<b>Section C</b>		
Total Investment Expenses	I	22
Total Management and Administration Expenses	J	1,806
Total Other Deductions	L	259
Total Deductions	■	2,087
Taxable Income or Loss	(V - N) O	-2,087.00
<b><u>Income Tax Calculation Statement</u></b>		
<b>Section D</b>		
Gross Tax		
Total Gross Tax	■	0
Rebates and Offsets	C	0
SUBTOTAL	■	0
Total Eligible Credits	■	0
Net Tax Payable	■	0
Total Supervisory Levy	L	259
Total Amount Due / (Refundable)	■	259



# Self-managed superannuation fund annual return

# 2021

## Who should complete this annual return?

Only self-managed superannuation funds (SMSFs) can complete this annual return. All other funds must complete the *Fund income tax return 2021* (NAT 71287).

! The *Self-managed superannuation fund annual return instructions 2021* (NAT 71606) (the instructions) can assist you to complete this annual return.

— The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via [ABR.gov.au](http://ABR.gov.au) or complete the Change of details for superannuation entities form (NAT 3036).

## To complete this annual return

- Print clearly, using a BLACK pen only.
- Use BLOCK LETTERS and print one character per box.

S M I T H S T

- Place X in ALL applicable boxes.

➤ Postal address for annual returns:

**Australian Taxation Office**  
GPO Box 9845  
[insert the name and postcode  
of your capital city]

## Section A: Fund information

1 **Tax file number (TFN)** 937749571

! The ATO is authorised by law to request your TFN. You are not obliged to quote your TFN but not quoting it could increase the chance of delay or error in processing your annual return. See the Privacy note in the Declaration.

➤ To assist processing, write the fund's TFN at the top of pages 3, 5, 7 and 9.

2 **Name of self-managed superannuation fund (SMSF)**

Auzki Super Fund

3 **Australian business number (ABN)** (if applicable) 30784165787

4 **Current postal address**

Suite 2 The Edge East

10 Lake Kawana Blvd

Suburb/town

BIRTINYA

State/territory

QLD

Postcode

4575

5 **Annual return status**

Is this an amendment to the SMSF's 2021 return?

A No  Yes

Is this the first required return for a newly registered SMSF?

B No  Yes

Fund's tax file number (TFN) **6 SMSF auditor**

Auditor's name

Title: 

Family name

First given name

Other given names

SMSF Auditor Number

Auditor's phone number

Postal address

Suburb/town

State/territory

Postcode

Date audit was completed

**A**

Day Month Year

Was Part A of the audit report qualified?

**B** No  Yes 

Was Part B of the audit report qualified?

**C** No  Yes 

If Part B of the audit report was qualified, have the reported issues been rectified?

**D** No  Yes **7 Electronic funds transfer (EFT)**

We need your self-managed super fund's financial institution details to pay any super payments and tax refunds owing to you.

**A Fund's financial institution account details**

This account is used for super contributions and rollovers. Do not provide a tax agent account here.

Fund BSB number Fund account number 

Fund account name

I would like my tax refunds made to this account.  Go to C.**B Financial institution account details for tax refunds**

This account is used for tax refunds. You can provide a tax agent account here.

BSB number Account number 

Account name

**C Electronic service address alias**

Provide the electronic service address alias (ESA) issued by your SMSF messaging provider. (For example, SMSFdataESAAlias). See instructions for more information.

Fund's tax file number (TFN)

**8 Status of SMSF** Australian superannuation fund **A** No  Yes  Fund benefit structure **B**  Code  
 Does the fund trust deed allow acceptance of the Government's Super Co-contribution and Low Income Super Amounts? **C** No  Yes

**9 Was the fund wound up during the income year?**  
 No  Yes  If yes, provide the date on which the fund was wound up  Have all tax lodgment and payment obligations been met? No  Yes

**10 Exempt current pension income**  
 Did the fund pay retirement phase superannuation income stream benefits to one or more members in the income year?

To claim a tax exemption for current pension income, you must pay at least the minimum benefit payment under the law. Record exempt current pension income at Label **A**.

No  Go to Section B: Income.

Yes  Exempt current pension income amount **A** \$ .00

Which method did you use to calculate your exempt current pension income?

Segregated assets method **B**

Unsegregated assets method **C**  Was an actuarial certificate obtained? **D** Yes

Did the fund have any other income that was assessable?

**E** Yes  Go to Section B: Income.

No  Choosing 'No' means that you do not have any assessable income, including no-TFN quoted contributions. Go to Section C: Deductions and non-deductible expenses. (Do **not** complete Section B: Income.)

If you are entitled to claim any tax offsets, you can list these at Section D: Income tax calculation statement.

## Section B: Income

**Do not complete this section** if all superannuation interests in the SMSF were supporting superannuation income streams in the retirement phase for the **entire year**, there was **no** other income that was assessable, and you **have not** realised a deferred notional gain. If you are entitled to claim any tax offsets, you can record these at Section D: Income tax calculation statement.

### 11 Income

Did you have a capital gains tax (CGT) event during the year? **G** No  Yes

If the total capital loss or total capital gain is greater than \$10,000 or you elected to use the transitional CGT relief in 2017 and the deferred notional gain has been realised, complete and attach a *Capital gains tax (CGT) schedule 2021*.

Have you applied an exemption or rollover? **M** No  Yes

Code

Net capital gain **A** \$  -00

Gross rent and other leasing and hiring income **B** \$  -00

Gross interest **C** \$  -00

Forestry managed investment scheme income **X** \$  -00

Gross foreign income	<b>D1</b> \$ <input type="text"/> -00	Net foreign income	<b>D</b> \$ <input type="text"/> -00	Loss <input type="checkbox"/>
----------------------	---------------------------------------	--------------------	--------------------------------------	----------------------------------

Australian franking credits from a New Zealand company **E** \$  -00

Transfers from foreign funds **F** \$  -00

Number

Gross payments where ABN not quoted **H** \$  -00

**Calculation of assessable contributions**

Assessable employer contributions

**R1** \$  -00

plus Assessable personal contributions

**R2** \$  -00

plus **\*\*No-TFN-quoted contributions**

**R3** \$  0-00  
(an amount must be included even if it is zero)

less Transfer of liability to life insurance company or PST

**R6** \$  -00

Gross distribution from partnerships **I** \$  -00

\*Unfranked dividend amount **J** \$  -00

\*Franked dividend amount **K** \$  -00

\*Dividend franking credit **L** \$  -00

\*Gross trust distributions **M** \$  -00

Loss

Code

**Assessable contributions (R1 plus R2 plus R3 less R6)**

**R** \$  -00

**Calculation of non-arm's length income**

\*Net non-arm's length private company dividends

**U1** \$  -00

plus \*Net non-arm's length trust distributions

**U2** \$  -00

plus \*Net other non-arm's length income

**U3** \$  -00

\*Other income **S** \$  -00

\*Assessable income due to changed tax status of fund **T** \$  -00

Code

**Net non-arm's length income (subject to 45% tax rate) (U1 plus U2 plus U3)**

**U** \$  -00

#This is a mandatory label.

\*If an amount is entered at this label, check the instructions to ensure the correct tax treatment has been applied.

**GROSS INCOME (Sum of labels A to U)** **W** \$  -00

Exempt current pension income **Y** \$  -00

**TOTAL ASSESSABLE INCOME (W less Y)** **V** \$  -00

Loss

Loss

Fund's tax file number (TFN)

## Section C: Deductions and non-deductible expenses

### 12 Deductions and non-deductible expenses

Under 'Deductions' list all expenses and allowances you are entitled to claim a deduction for. Under 'Non-deductible expenses', list all other expenses or normally allowable deductions that you cannot claim as a deduction (for example, all expenses related to exempt current pension income should be recorded in the 'Non-deductible expenses' column).

	DEDUCTIONS	NON-DEDUCTIBLE EXPENSES
Interest expenses within Australia	<b>A1</b> \$ <input type="text" value="0"/> -00	<b>A2</b> \$ <input type="text" value="0"/> -00
Interest expenses overseas	<b>B1</b> \$ <input type="text" value="0"/> -00	<b>B2</b> \$ <input type="text" value="0"/> -00
Capital works expenditure	<b>D1</b> \$ <input type="text" value="0"/> -00	<b>D2</b> \$ <input type="text" value="0"/> -00
Decline in value of depreciating assets	<b>E1</b> \$ <input type="text" value="0"/> -00	<b>E2</b> \$ <input type="text" value="0"/> -00
Insurance premiums – members	<b>F1</b> \$ <input type="text" value="0"/> -00	<b>F2</b> \$ <input type="text" value="0"/> -00
SMSF auditor fee	<b>H1</b> \$ <input type="text" value="0"/> -00	<b>H2</b> \$ <input type="text" value="0"/> -00
Investment expenses	<b>I1</b> \$ <input type="text" value="22"/> -00	<b>I2</b> \$ <input type="text" value="17"/> -00
Management and administration expenses	<b>J1</b> \$ <input type="text" value="1806"/> -00	<b>J2</b> \$ <input type="text" value="0"/> -00
Forestry managed investment scheme expense	<b>U1</b> \$ <input type="text" value="0"/> -00	<b>U2</b> \$ <input type="text" value="0"/> -00
Other amounts	<b>L1</b> \$ <input type="text" value="259"/> -00 <span style="border: 1px solid black; padding: 2px;">Code 0</span>	<b>L2</b> \$ <input type="text" value="0"/> -00 <span style="border: 1px solid black; padding: 2px;">Code  </span>
Tax losses deducted	<b>M1</b> \$ <input type="text" value="0"/> -00	

**TOTAL DEDUCTIONS**  
**N** \$ -00  
 (Total **A1** to **M1**)

**TOTAL NON-DEDUCTIBLE EXPENSES**  
**Y** \$ -00  
 (Total **A2** to **L2**)

**#TAXABLE INCOME OR LOSS** Loss  
**O** \$ -00 L  
 (TOTAL ASSESSABLE INCOME less TOTAL DEDUCTIONS)

**TOTAL SMSF EXPENSES**  
**Z** \$ -00  
 (N plus Y)

#This is a mandatory label.

Fund's tax file number (TFN)

## Section D: Income tax calculation statement

**#Important:**

Section B label **R3**, Section C label **O** and Section D labels **A, T1, J, T5** and **I** are mandatory. If you leave these labels blank, you will have specified a zero amount.

### 13 Calculation statement

Please refer to the *Self-managed superannuation fund annual return instructions 2021* on how to complete the calculation statement.

#Taxable income	<b>A</b>	\$	<input type="text" value="0"/>	<b>-00</b>
<i>(an amount must be included even if it is zero)</i>				
#Tax on taxable income	<b>T1</b>	\$	<input type="text" value="0"/>	
<i>(an amount must be included even if it is zero)</i>				
#Tax on no-TFN-quoted contributions	<b>J</b>	\$	<input type="text" value="0"/>	
<i>(an amount must be included even if it is zero)</i>				

Gross tax **B** \$   
*(T1 plus J)*

Foreign income tax offset	<b>C1</b>	\$	<input type="text" value="0"/>	
Rebates and tax offsets	<b>C2</b>	\$	<input type="text" value=""/>	
<b>Non-refundable non-carry forward tax offsets</b>				
<b>C</b> \$ <input type="text" value="0"/>				
<i>(C1 plus C2)</i>				

SUBTOTAL 1  
**T2** \$   
*(B less C – cannot be less than zero)*

Early stage venture capital limited partnership tax offset	<b>D1</b>	\$	<input type="text" value="0"/>	
Early stage venture capital limited partnership tax offset carried forward from previous year	<b>D2</b>	\$	<input type="text" value="0"/>	
Early stage investor tax offset	<b>D3</b>	\$	<input type="text" value="0"/>	
Early stage investor tax offset carried forward from previous year	<b>D4</b>	\$	<input type="text" value="0"/>	
<b>Non-refundable carry forward tax offsets</b>				
<b>D</b> \$ <input type="text" value="0"/>				
<i>(D1 plus D2 plus D3 plus D4)</i>				

SUBTOTAL 2  
**T3** \$   
*(T2 less D – cannot be less than zero)*

Complying fund's franking credits tax offset	<b>E1</b>	\$	<input type="text" value=""/>	
No-TFN tax offset	<b>E2</b>	\$	<input type="text" value=""/>	
National rental affordability scheme tax offset	<b>E3</b>	\$	<input type="text" value=""/>	
Exploration credit tax offset	<b>E4</b>	\$	<input type="text" value=""/>	
<b>Refundable tax offsets</b>				
<b>E</b> \$ <input type="text" value="0"/>				
<i>(E1 plus E2 plus E3 plus E4)</i>				

#TAX PAYABLE **T5** \$   
*(T3 less E – cannot be less than zero)*

Section 102AAM interest charge  
**G** \$

Fund's tax file number (TFN)

Credit for interest on early payments – amount of interest	<b>H1 \$</b> <input type="text"/>
Credit for tax withheld – foreign resident withholding (excluding capital gains)	<b>H2 \$</b> <input type="text"/>
Credit for tax withheld – where ABN or TFN not quoted (non-individual)	<b>H3 \$</b> <input type="text" value="0"/>
Credit for TFN amounts withheld from payments from closely held trusts	<b>H5 \$</b> <input type="text"/>
Credit for interest on no-TFN tax offset	<b>H6 \$</b> <input type="text"/>
Credit for foreign resident capital gains withholding amounts	<b>H8 \$</b> <input type="text"/>
<b>Eligible credits</b>	<b>H \$</b> <input type="text" value="0"/> <i>(H1 plus H2 plus H3 plus H5 plus H6 plus H8)</i>

<b>#Tax offset refunds</b> (Remainder of refundable tax offsets)	<b>I \$</b> <input type="text" value="0"/> <i>(unused amount from label E – an amount must be included even if it is zero)</i>
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**PAYG instalments raised**

**K \$**

**Supervisory levy**

**L \$**

**Supervisory levy adjustment for wound up funds**

**M \$**

**Supervisory levy adjustment for new funds**

**N \$**

<b>AMOUNT DUE OR REFUNDABLE</b> A positive amount at <b>S</b> is what you owe, while a negative amount is refundable to you.	<b>S \$</b> <input type="text" value="259"/> <i>(T5 plus G less H less I less K plus L less M plus N)</i>
---	--

#This is a mandatory label.

## Section E: Losses

### 14 Losses

**!** If total loss is greater than \$100,000, complete and attach a *Losses schedule 2021*.

Tax losses carried forward to later income years	<b>U \$</b> <input type="text" value="2087"/> <b>-00</b>
Net capital losses carried forward to later income years	<b>V \$</b> <input type="text" value="380"/> <b>-00</b>

Fund's tax file number (TFN)

## Section F: Member information

### MEMBER 1

Title:

Family name

First given name

Other given names

Member's TFN

See the Privacy note in the Declaration.

Date of birth

### Contributions

OPENING ACCOUNT BALANCE \$

**!** Refer to instructions for completing these labels.

Employer contributions

**A** \$

ABN of principal employer

**A1**

Personal contributions

**B** \$

CGT small business retirement exemption

**C** \$

CGT small business 15-year exemption amount

**D** \$

Personal injury election

**E** \$

Spouse and child contributions

**F** \$

Other third party contributions

**G** \$

Proceeds from primary residence disposal

**H** \$

Receipt date

**H1**

Assessable foreign superannuation fund amount

**I** \$

Non-assessable foreign superannuation fund amount

**J** \$

Transfer from reserve: assessable amount

**K** \$

Transfer from reserve: non-assessable amount

**L** \$

Contributions from non-complying funds and previously non-complying funds

**T** \$

Any other contributions (including Super Co-contributions and Low Income Super Amounts)

**M** \$

**TOTAL CONTRIBUTIONS N** \$

(Sum of labels **A** to **M**)

### Other transactions

Allocated earnings or losses

**O** \$

Loss

Inward rollovers and transfers

**P** \$

Outward rollovers and transfers

**Q** \$

Lump Sum payments

**R1** \$

Income stream payments

**R2** \$

Code

Code

Accumulation phase account balance  
**S1** \$

Retirement phase account balance – Non CDBIS  
**S2** \$

Retirement phase account balance – CDBIS  
**S3** \$

TRIS Count

**CLOSING ACCOUNT BALANCE S** \$

(**S1** plus **S2** plus **S3**)

Accumulation phase value **X1** \$

Retirement phase value **X2** \$

Outstanding limited recourse borrowing arrangement amount **Y** \$



Fund's tax file number (TFN) 937749571

MEMBER 2

Title: MS

Family name Miller

First given name Rosalind

Other given names Hilda

Member's TFN See the Privacy note in the Declaration. 423863487

Date of birth 19/10/1951

Contributions

OPENING ACCOUNT BALANCE \$ 3107.28

Refer to instructions for completing these labels.

Proceeds from primary residence disposal H \$ 0

Employer contributions A \$ 0

Receipt date H1

ABN of principal employer A1

Assessable foreign superannuation fund amount I \$ 0

Personal contributions B \$ 7811.89

Non-assessable foreign superannuation fund amount J \$ 0

CGT small business retirement exemption C \$ 0

Transfer from reserve: assessable amount K \$ 0

CGT small business 15-year exemption amount D \$ 0

Transfer from reserve: non-assessable amount L \$ 0

Personal injury election E \$ 0

Contributions from non-complying funds and previously non-complying funds T \$ 0

Spouse and child contributions F \$ 0

Any other contributions (including Super Co-contributions and Low Income Super Amounts) M \$ 0

Other third party contributions G \$ 0

TOTAL CONTRIBUTIONS N \$ 7811.89 (Sum of labels A to M)

Other transactions

Allocated earnings or losses O \$ 4053.64

Loss

Accumulation phase account balance S1 \$ 0

Inward rollovers and transfers P \$ 0

Retirement phase account balance - Non CDBIS S2 \$ 14577.55

Outward rollovers and transfers Q \$ 0

Retirement phase account balance - CDBIS S3 \$ 0

Lump Sum payments R1 \$

Income stream payments R2 \$ 395.26

Code

Code M

0 TRIS Count

CLOSING ACCOUNT BALANCE S \$ 14577.55 (S1 plus S2 plus S3)

Accumulation phase value X1 \$

Retirement phase value X2 \$

Outstanding limited recourse borrowing arrangement amount Y \$

Fund's tax file number (TFN)

Section H: **Assets and liabilities**

**15 ASSETS**

**15a Australian managed investments**

Listed trusts **A** \$ -00  
 Unlisted trusts **B** \$ -00  
 Insurance policy **C** \$ -00  
 Other managed investments **D** \$ -00

**15b Australian direct investments**

**Limited recourse borrowing arrangements**  
 Australian residential real property  
**J1** \$ -00  
 Australian non-residential real property  
**J2** \$ -00  
 Overseas real property  
**J3** \$ -00  
 Australian shares  
**J4** \$ -00  
 Overseas shares  
**J5** \$ -00  
 Other  
**J6** \$ -00  
 Property count  
**J7**

Cash and term deposits **E** \$ -00  
 Debt securities **F** \$ -00  
 Loans **G** \$ -00  
 Listed shares **H** \$ -00  
 Unlisted shares **I** \$ -00  
 Limited recourse borrowing arrangements **J** \$ -00  
 Non-residential real property **K** \$ -00  
 Residential real property **L** \$ -00  
 Collectables and personal use assets **M** \$ -00  
 Other assets **O** \$ -00

**15c Other investments**

Crypto-Currency **N** \$ -00

**15d Overseas direct investments**

Overseas shares **P** \$ -00  
 Overseas non-residential real property **Q** \$ -00  
 Overseas residential real property **R** \$ -00  
 Overseas managed investments **S** \$ -00  
 Other overseas assets **T** \$ -00

**TOTAL AUSTRALIAN AND OVERSEAS ASSETS** **U** \$ -00  
 (Sum of labels **A** to **T**)

**15e In-house assets**

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year?  
**A** No  Yes  \$ -00

Fund's tax file number (TFN)

**15f Limited recourse borrowing arrangements**

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution? **A** No  Yes

Did the members or related parties of the fund use personal guarantees or other security for the LRBA? **B** No  Yes

**16 LIABILITIES**

Borrowings for limited recourse borrowing arrangements	<b>V1</b> \$ <input type="text" value="0"/> -00	
Permissible temporary borrowings	<b>V2</b> \$ <input type="text" value="0"/> -00	
Other borrowings	<b>V3</b> \$ <input type="text" value="0"/> -00	
	Borrowings	<b>V</b> \$ <input type="text" value="0"/> -00
Total member closing account balances (total of all <b>CLOSING ACCOUNT BALANCES</b> from Sections F and G)		<b>W</b> \$ <input type="text" value="27942"/> -00
	Reserve accounts	<b>X</b> \$ <input type="text" value="0"/> -00
	Other liabilities	<b>Y</b> \$ <input type="text" value="0"/> -00
<b>TOTAL LIABILITIES</b>		<b>Z</b> \$ <input type="text" value="27942"/> -00

**Section I: Taxation of financial arrangements**

**17 Taxation of financial arrangements (TOFA)**

Total TOFA gains **H** \$  -00

Total TOFA losses **I** \$  -00

**Section J: Other information**

**Family trust election status**

If the trust or fund has made, or is making, a family trust election, write the four-digit **income year specified** of the election (for example, for the 2020–21 income year, write **2021**). **A**

If revoking or varying a family trust election, print **R** for revoke or print **V** for variation, and complete and attach the *Family trust election, revocation or variation 2021*. **B**

**Interposed entity election status**

If the trust or fund has an existing election, write the earliest income year specified. If the trust or fund is making one or more elections this year, write the earliest income year being specified and complete an *Interposed entity election or revocation 2021* for each election. **C**

If revoking an interposed entity election, print **R**, and complete and attach the *Interposed entity election or revocation 2021*. **D**

Section K: **Declarations**

 Penalties may be imposed for false or misleading information in addition to penalties relating to any tax shortfalls.

**Important**

Before making this declaration check to ensure that all income has been disclosed and the annual return, all attached schedules and any additional documents are true and correct in every detail. If you leave labels blank, you will have specified a zero amount or the label was not applicable to you. If you are in doubt about any aspect of the annual return, place all the facts before the ATO.

**Privacy**

The ATO is authorised by the *Taxation Administration Act 1953* to request the provision of tax file numbers (TFNs). We will use the TFN to identify the entity in our records. It is not an offence not to provide the TFN. However if you do not provide the TFN, the processing of this form may be delayed.

Taxation law authorises the ATO to collect information and disclose it to other government agencies. For information about your privacy go to [ato.gov.au/privacy](http://ato.gov.au/privacy)

**TRUSTEE'S OR DIRECTOR'S DECLARATION:**

I declare that, the current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received a copy of the audit report and are aware of any matters raised therein. The information on this annual return, including any attached schedules and additional documentation is true and correct.

Authorised trustee's, director's or public officer's signature

Date  Day /  Month /  Year

**Preferred trustee or director contact details:**

Title:

Family name

First given name

Other given names

Phone number

Email address

Non-individual trustee name (if applicable)

ABN of non-individual trustee

Time taken to prepare and complete this annual return  Hrs

 The Commissioner of Taxation, as Registrar of the Australian Business Register, may use the ABN and business details which you provide on this annual return to maintain the integrity of the register. For further information, refer to the instructions.

**TAX AGENT'S DECLARATION:**

I declare that the *Self-managed superannuation fund annual return 2021* has been prepared in accordance with information provided by the trustees, that the trustees have given me a declaration stating that the information provided to me is true and correct, and that the trustees have authorised me to lodge this annual return.

Tax agent's signature

Date  Day /  Month /  Year

**Tax agent's contact details**

Title:

Family name

First given name

Other given names

Tax agent's practice

Tax agent's phone number

Reference number

Tax agent number

# Capital gains tax (CGT) schedule **2021**

## When completing this form

- Print clearly, using a black or dark blue pen only.
- Use BLOCK LETTERS and print one character in each box.

S M I T H S T

- Do not use correction fluid or covering stickers.
- Sign next to any corrections with your **full signature** (not initials).

- Use in conjunction with company, trust, fund income tax return or the self-managed superannuation fund annual return.
- Refer to the *Guide to capital gains tax 2021* available on our website at [ato.gov.au](http://ato.gov.au) for instructions on how to complete this schedule.

**Tax file number (TFN)**

- ! We are authorised by law to request your TFN. You do not have to quote your TFN. However, if you don't it could increase the chance of delay or error in processing your form.

**Australian business number (ABN)**

## Taxpayer's name

## 1 Current year capital gains and capital losses

	Capital gain		Capital loss
Shares in companies listed on an Australian securities exchange	<b>A</b> \$ <input type="text" value=""/> -00	<b>K</b> \$	<input type="text" value=""/> -00
Other shares	<b>B</b> \$ <input type="text" value=""/> -00	<b>L</b> \$	<input type="text" value=""/> -00
Units in unit trusts listed on an Australian securities exchange	<b>C</b> \$ <input type="text" value=""/> -00	<b>M</b> \$	<input type="text" value=""/> -00
Other units	<b>D</b> \$ <input type="text" value=""/> -00	<b>N</b> \$	<input type="text" value=""/> -00
Real estate situated in Australia	<b>E</b> \$ <input type="text" value=""/> -00	<b>O</b> \$	<input type="text" value=""/> -00
Other real estate	<b>F</b> \$ <input type="text" value=""/> -00	<b>P</b> \$	<input type="text" value=""/> -00
Amount of capital gains from a trust (including a managed fund)	<b>G</b> \$ <input type="text" value=""/> -00		
Collectables	<b>H</b> \$ <input type="text" value=""/> -00	<b>Q</b> \$	<input type="text" value=""/> -00
Other CGT assets and any other CGT events	<b>I</b> \$ <input type="text" value=""/> -00	<b>R</b> \$	<input type="text" value=""/> -00
Amount of capital gain previously deferred under transitional CGT relief for superannuation funds	<b>S</b> \$ <input type="text" value=""/> -00		
<b>Total current year capital gains</b>	<b>J</b> \$ <input type="text" value=""/> -00		

Add the amounts at labels **K** to **R** and write the total in item **2** label **A** – **Total current year capital losses**.

**2 Capital losses**

Total current year capital losses **A** \$ -00

Total current year capital losses applied **B** \$ -00

Total prior year net capital losses applied **C** \$ -00

Total capital losses transferred in applied  
(only for transfers involving a foreign bank branch or permanent establishment of a foreign financial entity) **D** \$ -00

Total capital losses applied **E** \$ -00

Add amounts at **B**, **C** and **D**.

**3 Unapplied net capital losses carried forward**

Net capital losses from collectables carried forward to later income years **A** \$ -00

Other net capital losses carried forward to later income years **B** \$ -00

Add amounts at **A** and **B** and transfer the total to label **V – Net capital losses carried forward to later income years** on your tax return.

**4 CGT discount**

Total CGT discount applied **A** \$ -00

**5 CGT concessions for small business**

Small business active asset reduction **A** \$ -00

Small business retirement exemption **B** \$ -00

Small business rollover **C** \$ -00

Total small business concessions applied **D** \$ -00

**6 Net capital gain**

Net capital gain **A** \$ -00

1J less 2E less 4A less 5D (cannot be less than zero). Transfer the amount at **A** to label **A – Net capital gain** on your tax return.

**7 Earnout arrangements**

Are you a party to an earnout arrangement? **A** Yes, as a buyer  Yes, as a seller  No   
 (Print  in the appropriate box.)

**!** If you are a party to more than one earnout arrangement, copy and attach a separate sheet to this schedule providing the details requested here for each additional earnout arrangement.

How many years does the earnout arrangement run for? **B**

What year of that arrangement are you in? **C**

If you are the seller, what is the total estimated capital proceeds from the earnout arrangement? **D** \$ -00

Amount of any capital gain or loss you made under your non-qualifying arrangement in the income year. **E** \$ -00 /  <sup>LOSS</sup>

**!** **Request for amendment**

If you received or provided a financial benefit under a look-through earnout right created in an earlier income year and you wish to seek an amendment to that earlier income year, complete the following:

Income year earnout right created **F**

Amended net capital gain or capital losses carried forward **G** \$ -00 /  <sup>LOSS</sup>

**8 Other CGT information required (if applicable)**

Small business 15 year exemption – exempt capital gains **A** \$ -00 /  <sup>CODE</sup>

Capital gains disregarded by a foreign resident **B** \$ -00

Capital gains disregarded as a result of a scrip for scrip rollover **C** \$ -00

Capital gains disregarded as a result of an inter-company asset rollover **D** \$ -00

Capital gains disregarded by a demerging entity **E** \$ -00

**2021****Losses schedule**

Companies and trusts that do not join consolidated groups should complete and attach this schedule to their 2021 tax return.

Superannuation funds should complete and attach this schedule to their 2021 tax return.

Print neatly in BLOCK LETTERS with a black or blue ballpoint pen only. Print one letter or number in each box. Do not use correction fluid or tape.

Place  in all applicable boxes.

Refer to *Losses schedule instructions 2021*, available on our website [ato.gov.au](http://ato.gov.au) for instructions on how to complete this schedule.

Tax file number (TFN)

937749571

Name of entity

Auzki Super Fund

Australian business number

30784165787

## Part A – Losses carried forward to the 2021–22 income year – excludes film losses

### 1 Tax losses carried forward to later income years

Year of loss		
2020–21	<b>B</b>	2087.00
2019-20	<b>C</b>	.00
2018-19	<b>D</b>	.00
2017-18	<b>E</b>	.00
2016-17	<b>F</b>	.00
2015-16 and earlier income years	<b>G</b>	.00
<b>Total</b>	<b>U</b>	2087.00

Transfer the amount at **U** to the **Tax losses carried forward to later income years** label on your tax return.

### 2 Net capital losses carried forward to later income years

Year of loss		
2020-21	<b>H</b>	380.00
2019-20	<b>I</b>	.00
2018-19	<b>J</b>	.00
2017-18	<b>K</b>	.00
2016-17	<b>L</b>	.00
2015-16 and earlier income years	<b>M</b>	.00
<b>Total</b>	<b>V</b>	380.00

Transfer the amount at **V** to the **Net capital losses carried forward to later income years** label on your tax return.



**Part B – Ownership and business continuity test** – company and listed widely held trust only

Complete item 3 of **Part B** if a loss is being carried forward to later income years and the business continuity test has to be satisfied in relation to that loss.

Do not complete items 1 or 2 of **Part B** if, in the 2020–21 income year, no loss has been claimed as a deduction, applied against a net capital gain or, in the case of companies, losses have not been transferred in or out.

**1 Whether continuity of majority ownership test passed**

**Note:** If the entity has deducted, applied, transferred in or transferred out (as applicable) in the 2020-21 income year loss incurred in any of the listed years, print **X** in the **Yes** or **No** box to indicate whether the entity has satisfied the continuity of majority ownership test in respect of that loss.

Year of loss		Yes	No
2020-21	<b>A</b>	<input type="checkbox"/>	<input type="checkbox"/>
2019-20	<b>B</b>	<input type="checkbox"/>	<input type="checkbox"/>
2018-19	<b>C</b>	<input type="checkbox"/>	<input type="checkbox"/>
2017-18	<b>D</b>	<input type="checkbox"/>	<input type="checkbox"/>
2016-17	<b>E</b>	<input type="checkbox"/>	<input type="checkbox"/>
2015-16 and earlier income years	<b>F</b>	<input type="checkbox"/>	<input type="checkbox"/>

**2 Amount of losses deducted/applied for which the continuity of majority ownership test is not passed but the business continuity test is satisfied** – excludes film losses

Tax losses	<b>G</b>	<input type="text"/>	-00
Net capital losses	<b>H</b>	<input type="text"/>	-00

**3 Losses carried forward for which the business continuity test must be satisfied before they can be deducted/applied in later years** – excludes film losses

Tax losses	<b>I</b>	<input type="text"/>	-00
Net capital losses	<b>J</b>	<input type="text"/>	-00

**4 Do current year loss provisions apply?**

Is the company required to calculate its taxable income or tax loss for the year under Subdivision 165-B or its net capital gain or net capital loss for the year under Subdivision 165-CB of the *Income Tax Assessment Act 1997* (ITAA 1997)?

**K** Yes  No

**Part C – Unrealised losses** – company only

**Note:** These questions relate to the operation of Subdivision 165-CC of ITAA 1997.

Has a changeover time occurred in relation to the company after 1.00pm by legal time in the Australian Capital Territory on 11 November 1999?

**L** Yes  No

If you printed **X** in the **No** box at **L**, do not complete **M**, **N** or **O**.

At the changeover time did the company satisfy the maximum net asset value test under section 152-15 of ITAA 1997?

**M** Yes  No

If you printed **X** in the **No** box at **M**, has the company determined it had an unrealised net loss at the changeover time?

**N** Yes  No

If you printed **X** in the **Yes** box at **N**, what was the amount of unrealised net loss calculated under section 165-115E of ITAA 1997?

**O**  -00

**Part D – Life insurance companies**Complying superannuation class tax losses carried forward to later income years **P**  -00Complying superannuation net capital losses carried forward to later income years **Q**  -00**Part E – Controlled foreign company losses**Current year CFC losses **M**  -00CFC losses deducted **N**  -00CFC losses carried forward **O**  -00**Part F – Tax losses reconciliation statement**Balance of tax losses brought forward from the prior income year **A**  -00**ADD** Uplift of tax losses of designated infrastructure project entities **B**  -00**SUBTRACT** Net forgiven amount of debt **C**  -00**ADD** Tax loss incurred (if any) during current year **D**  -00**ADD** Tax loss amount from conversion of excess franking offsets **E**  -00**SUBTRACT** Net exempt income **F**  -00**SUBTRACT** Tax losses forgone **G**  -00**SUBTRACT** Tax losses deducted **H**  -00**SUBTRACT** Tax losses transferred out under Subdivision 170-A  
(only for transfers involving a foreign bank branch or a PE of a foreign financial entity) **I**  -00Total tax losses carried forward to later income years **J**  -00Transfer the amount at **J** to the **Tax losses carried forward to later income years** label on your tax return.

**MINUTES OF THE MEETING OF THE TRUSTEES OF  
AUZKI SUPER FUND  
HELD ON ...../...../..... AT  
HOPE ISLAND MARINA OFFICE 10 SANTA BARBARA RD, HOPE ISLAND QLD**

**PRESENT**

Robin Dick  
Rosalind Miller

**ALLOCATION OF NET  
INCOME:**

It was resolved that the income of the fund be proportionally allocated to members based on the members' daily weighted average balances. The following amounts of income, and related amounts of tax, are to be credited to / debited from member accounts:

	Income	Fund Tax	Conts Tax	Direct Tax
<i>Ms Rosalind Miller</i>				
Accumulation	270	0	0	0
ABP - 100% Tax Free 2	1,933	0	0	0
ABP - 100% Tax Free 4	1,851	0	0	0
<i>Mr Robin Dick</i>				
Accumulation	270	0	0	0
ABP - 100% Tax Free 3	1,389	0	0	0
ABP - 100% Tax Free 4	1,853	0	0	0

**REPORTING ENTITY  
CONCEPT:**

It was resolved that, in the opinion of the trustee, the fund is not a reporting entity because it is unlikely that users exist who are unable to command the preparation of reports tailored so as to satisfy specifically all their information needs.

Therefore, the financial statements for the fund are to be prepared on the basis of the fund being a "non-reporting entity", and will therefore take the form of Special Purpose Financial Reports.

**REPORTS AND  
STATEMENTS:**

The Financial Reports consisting of Statement of Financial Position, Operating Statement and Notes to the Financial Statements, Trustee's Declaration, Compilation Report, Auditor's Report and Member Statement for the period ended 30 June 2021 were tabled for consideration at the meeting.

It was resolved that the financial statements be adopted in their present format and that the statement by the trustees attached to the financial reports be signed by the Trustees, stating that:

1. the financial statements are drawn up so as to present fairly the financial position of the fund as at 30 June 2021, the benefits accrued as a result of operations and its cash flow for the year then ended;
2. the financial statements have been prepared in accordance with the requirements of the trust deed and Australian Accounting Standards as noted in Note 1 to the Financial Statements and
3. the fund has operated substantially in accordance with the trust deed and the requirements of the *Superannuation Industry (Supervision) Act 1993 (SISA)*, during the year ended 30 June 2021.

**INCOME TAX RETURN:**

The completed Self-Managed Superannuation Fund Annual Return for the financial year ended 30 June 2021 was tabled for consideration at the meeting.

It was resolved that:

1. the particulars contained in the 2021 income tax return and the relevant records used to ascertain the taxable income, as shown, derived by the fund from all sources in and out of Australia during the year of income are true and correct and;
2. the fund satisfies the statutory requirements and conditions applicable to be classified as a 'Regulated Superannuation Fund/Complying Superannuation Fund' for the year of income and;
3. the income tax return be adopted in its present format and that the Return be signed by the Trustees.

**REVIEW OF INVESTMENT STRATEGY:**

The fund's investment performance for the year ended 30 June 2021 and existing investment strategy have been reviewed by the Trustees, after considering:

1. the risk involved in making, holding and realising, and the likely return from, the fund's investments having regard to its objectives and its expressed cash flow requirements;
2. the composition of the fund's investments as a whole including the extent to which the investments are diverse or involve the funds being exposed to risks from inadequate diversification;
3. the liquidity of the fund's investments having regard to its expected cash flow requirements;
4. the ability of the fund to discharge its existing and prospective liabilities;
5. whether the fund should hold a contract of insurance that provides insurance cover for members of the fund; and
6. the effect of the fund's investments on the above requirements and all matters relating to the prudential nature of the investment being continuously monitored, regularly reviewed and to make sure they adhere to fund's investment objectives and relevant legislation.

It was resolved that the aims and objectives of the investment strategy were being achieved and that the said investment strategy requires no further modification or adoption at this time.

**TRUSTEE AND MEMBER STATUS:**

Each of the trustee(s) confirmed that they are qualified to act as trustee(s) of the fund and that they are not disqualified persons as defined by Section 120 of the SISA.

Each of the member(s) confirmed that they are a member of the fund and agreed to be bound by the provisions contained within the Trust Deed of the fund (and any subsequent amendments).

**AUDITOR:**

It was resolved that

Anthony Boys  
of  
Australian Super Audits Pty Ltd  
702/20 Hindmarsh Square  
ADELAIDE, SA 5000

act as the auditor of the fund for the next financial year.

**TAX AGENT:**

It was resolved that

Mrs Kim Jay  
of  
Initiative Group  
Suite 2 The Edge East  
10 Lake Kawana Blvd  
BIRTINYA, QLD 4575

act as the tax agent of the fund for the next financial year.

**CLOSURE:**

There being no further business the meeting was closed.

.....  
Robin Dick  
Trustee

.....  
Rosalind Miller  
Trustee

Dated ...../...../.....

Dated ...../...../.....

**Auzki Super Fund**  
(ABN: 30 784 165 787)

**Consolidated Member Benefit Totals**

Period	Member Account Details
<b>1 July 2020 - 30 June 2021</b>	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
<b>Member</b>	Date of Birth: 13 July 1946
<b>Mr Robin Edward Dick</b>	Date Joined Fund: 1 July 2012
	Eligible Service Date: 1 July 2012
	Tax File Number Held: Yes

*Note: this report provides a consolidated view of the Member's interests in the SMSF  
Refer to the Member Benefit Statements produced for each member account for further details*

**Your Accounts**

<b>Withdrawal Benefit</b> as at 1 Jul 2020	
Accumulation	-
ABP - 100% Tax Free 3	2,239
ABP - 100% Tax Free 4	-
<b>Total</b> as at 1 Jul 2020	<u><u>2,239</u></u>
<b>Withdrawal Benefit</b> as at 30 Jun 2021	
Accumulation	-
ABP - 100% Tax Free 3	3,423
ABP - 100% Tax Free 4	9,943
<b>Total</b> as at 30 Jun 2021	<u><u>13,365</u></u>

**Your Tax Components**

Tax Free	13,365
Taxable - Taxed	-
Taxable - Untaxed	-

**Your Preservation Components**

Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	13,365

**Your Insurance Benefits**

No insurance details have been recorded

**Your Beneficiaries**

**Non Lapsing Binding Death Nomination\***

100% Rosalind Hilda Miller (Spouse)

\* Nomination in effect from **1 July 2012**

**For Enquiries:**

phone **0416370909**

mail **Auzki Super Fund, Hope Island Marina Office 10 Santa Barbara Rd, HOPE ISLAND QLD 4212**

# Auzki Super Fund

(ABN: 30 784 165 787)

## Member Benefit Statement

Period	Member Account Details
1 July 2020 - 30 June 2021	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
Member Number: DICKR0	Date of Birth: 13 July 1946
Mr Robin Edward Dick	Date Joined Fund: 1 July 2012
Accumulation Account	Eligible Service Date: 1 July 2012
Accumulation	Tax File Number Held: Yes
	Account Start Date: 1 July 2012

Your Account Summary	
Withdrawal Benefit as at 1 Jul 2020	-
<i>Increases to your account:</i>	
Member Contributions	7,820
Share Of Net Fund Income	270
<u>Total Increases</u>	<u>8,090</u>
<i>Decreases to your account:</i>	
Transfers Out	8,090
<u>Total Decreases</u>	<u>8,090</u>
Withdrawal Benefit as at 30 Jun 2021	<u>-</u>

Your Tax Components	
Tax Free	0.0000 %
Taxable - Taxed	-
Taxable - Untaxed	-

Your Preservation Components	
Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	-

Your Insurance Benefits	
No insurance details have been recorded	

Your Beneficiaries	
<b>Non Lapsing Binding Death Nomination*</b>	
100% Rosalind Hilda Miller (Spouse)	
* Nomination in effect from 1 July 2012	

### For Enquiries:

phone 0416370909

mail Auzki Super Fund, Hope Island Marina Office 10 Santa Barbara Rd, HOPE ISLAND QLD 4212

# Auzki Super Fund

(ABN: 30 784 165 787)

## Member Benefit Statement

Period	Member Account Details
1 July 2020 - 30 June 2021	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
Member Number: DICKR0	Date of Birth: 13 July 1946
Mr Robin Edward Dick	Date Joined Fund: 1 July 2012
Pension Account	Eligible Service Date: 1 July 2012
ABP - 100% Tax Free 3	Tax File Number Held: Yes
	Account Start Date: 9 May 2016

Your Account Summary	
Withdrawal Benefit as at 1 Jul 2020	2,239
<i>Increases to your account:</i>	
Share Of Net Fund Income	1,389
<u>Total Increases</u>	<u>1,389</u>
<i>Decreases to your account:</i>	
Pension Payments	205
<u>Total Decreases</u>	<u>205</u>
Withdrawal Benefit as at 30 Jun 2021	<u><u>3,423</u></u>

Your Tax Components		
Tax Free	100.0000 %	3,423
Taxable - Taxed		-
Taxable - Untaxed		-

Your Preservation Components	
Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	3,423

### Your Insurance Benefits

No insurance details have been recorded

### Your Beneficiaries

#### Non Lapsing Binding Death Nomination\*

100% Rosalind Hilda Miller (Spouse)

\* Nomination in effect from 1 July 2012

For Enquiries:

phone 0416370909

mail Auzki Super Fund, Hope Island Marina Office 10 Santa Barbara Rd, HOPE ISLAND QLD 4212



# Auzki Super Fund

(ABN: 30 784 165 787)

## Member Benefit Statement

Period	Member Account Details
1 July 2020 - 30 June 2021	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
Member Number: DICKR0	Date of Birth: 13 July 1946
Mr Robin Edward Dick	Date Joined Fund: 1 July 2012
Pension Account	Eligible Service Date: 1 July 2012
ABP - 100% Tax Free 4	Tax File Number Held: Yes
	Account Start Date: 24 June 2021

### Your Account Summary

Withdrawal Benefit as at 1 Jul 2020	-
<i>Increases to your account:</i>	
Share Of Net Fund Income	1,853
Transfers In	8,090
<u>Total Increases</u>	<u>9,943</u>
Withdrawal Benefit as at 30 Jun 2021	<u><u>9,943</u></u>

### Your Tax Components

Tax Free	100.0000 %	9,943
Taxable - Taxed		-
Taxable - Untaxed		-

### Your Preservation Components

Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	9,943

### Your Insurance Benefits

No insurance details have been recorded

### Your Beneficiaries

#### Non Lapsing Binding Death Nomination\*

100% Rosalind Hilda Miller (Spouse)

\* Nomination in effect from 1 July 2012

For Enquiries:

phone 0416370909

mail Auzki Super Fund, Hope Island Marina Office 10 Santa Barbara Rd, HOPE ISLAND QLD 4212

**Trustees**

The Trustees of the Fund are as follows:

Robin Dick and  
Rosalind Miller

**Availability of Other Fund Information**

Additional information regarding your membership is available on request. What your Fund can do is governed by the provisions of its Trust Deed, which is available for inspection. If you require further information or clarification of any aspect of your membership of the Fund, please contact your Fund's Administrator or Trustees.

**Trustees Disclaimer**

This statement has been prepared by the Trustees for the member whose name appears at the top of this statement. While every effort has been made by the Trustees to ensure the accuracy and completeness of this statement, the Trustees do not accept any liability for any errors, omissions or misprints.

Signed on behalf of the Trustees of the Fund

.....  
Robin Dick  
Trustee

.....  
Rosalind Miller  
Trustee

Statement Date: 30 June 2021

**Auzki Super Fund**  
(ABN: 30 784 165 787)

**Consolidated Member Benefit Totals**

Period	Member Account Details
<b>1 July 2020 - 30 June 2021</b>	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
<b>Member</b>	Date of Birth: 19 October 1951
<b>Ms Rosalind Hilda Miller</b>	Date Joined Fund: 1 July 2012
	Eligible Service Date: 13 April 2006
	Tax File Number Held: Yes

*Note: this report provides a consolidated view of the Member's interests in the SMSF  
Refer to the Member Benefit Statements produced for each member account for further details*

**Your Accounts**

<b>Withdrawal Benefit</b> as at 1 Jul 2020	
Accumulation	-
ABP - 100% Tax Free 2	3,107
ABP - 100% Tax Free 4	-
<b>Total</b> as at 1 Jul 2020	<u><u>3,107</u></u>
<b>Withdrawal Benefit</b> as at 30 Jun 2021	
Accumulation	-
ABP - 100% Tax Free 2	4,645
ABP - 100% Tax Free 4	9,933
<b>Total</b> as at 30 Jun 2021	<u><u>14,578</u></u>

**Your Tax Components**

Tax Free	14,578
Taxable - Taxed	-
Taxable - Untaxed	-

**Your Preservation Components**

Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	14,578

**Your Insurance Benefits**

No insurance details have been recorded

**Your Beneficiaries**

**Non Lapsing Binding Death Nomination\***

100% Robin Edward Dick (Spouse)

\* Nomination in effect from **1 July 2012**

**For Enquiries:**

phone **0416370909**

mail **Auzki Super Fund, Hope Island Marina Office 10 Santa Barbara Rd, HOPE ISLAND QLD 4212**

**Auzki Super Fund**  
(ABN: 30 784 165 787)

**Member Benefit Statement**

Period	Member Account Details
<b>1 July 2020 - 30 June 2021</b>	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
<b>Member</b> Number: MILLER0	Date of Birth: 19 October 1951
<b>Ms Rosalind Hilda Miller</b>	Date Joined Fund: 1 July 2012
<b>Accumulation Account</b>	Eligible Service Date: 13 April 2006
<b>Accumulation</b>	Tax File Number Held: Yes
	Account Start Date: 1 July 2012

Your Account Summary	
<b>Withdrawal Benefit</b> as at 1 Jul 2020	-
<i>Increases to your account:</i>	
Member Contributions	7,812
Share Of Net Fund Income	270
<u>Total Increases</u>	<u>8,082</u>
<i>Decreases to your account:</i>	
Transfers Out	8,082
<u>Total Decreases</u>	<u>8,082</u>
<b>Withdrawal Benefit</b> as at 30 Jun 2021	<u>-</u>

Your Tax Components	
Tax Free	0.0000 %
Taxable - Taxed	-
Taxable - Untaxed	-

Your Preservation Components	
Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	-

Your Insurance Benefits	
No insurance details have been recorded	

Your Beneficiaries	
<b>Non Lapsing Binding Death Nomination*</b>	
100% Robin Edward Dick (Spouse)	
* Nomination in effect from 1 July 2012	

**Auzki Super Fund**  
(ABN: 30 784 165 787)

**Member Benefit Statement**

Period	Member Account Details
<b>1 July 2020 - 30 June 2021</b>	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
<b>Member</b> Number: MILLER0	Date of Birth: 19 October 1951
<b>Ms Rosalind Hilda Miller</b>	Date Joined Fund: 1 July 2012
<b>Pension Account</b>	Eligible Service Date: 13 April 2006
<b>ABP - 100% Tax Free 2</b>	Tax File Number Held: Yes
	Account Start Date: 9 May 2016

Your Account Summary		Your Tax Components	
<b>Withdrawal Benefit</b> as at 1 Jul 2020	<b>3,107</b>	Tax Free	100.0000 % 4,645
<i>Increases to your account:</i>			
Share Of Net Fund Income	1,933	Taxable - Taxed	-
<u>Total Increases</u>	<u>1,933</u>	Taxable - Untaxed	-
<i>Decreases to your account:</i>			
Pension Payments	395		
<u>Total Decreases</u>	<u>395</u>		
<b>Withdrawal Benefit</b> as at 30 Jun 2021	<b><u>4,645</u></b>		

Your Preservation Components	
Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	4,645

**Your Insurance Benefits**

No insurance details have been recorded

**Your Beneficiaries**

**Non Lapsing Binding Death Nomination\***

100% Robin Edward Dick (Spouse)

\* Nomination in effect from 1 July 2012

# Auzki Super Fund

(ABN: 30 784 165 787)

## Member Benefit Statement

Period	Member Account Details
1 July 2020 - 30 June 2021	Residential Address: Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND, QLD 4212
Member Number: MILLER0	Date of Birth: 19 October 1951
Ms Rosalind Hilda Miller	Date Joined Fund: 1 July 2012
Pension Account	Eligible Service Date: 13 April 2006
ABP - 100% Tax Free 4	Tax File Number Held: Yes
	Account Start Date: 24 June 2021

### Your Account Summary

Withdrawal Benefit as at 1 Jul 2020	-
<i>Increases to your account:</i>	
Share Of Net Fund Income	1,851
Transfers In	8,082
<u>Total Increases</u>	<u>9,933</u>
Withdrawal Benefit as at 30 Jun 2021	<u><u>9,933</u></u>

### Your Tax Components

Tax Free	100.0000 %	9,933
Taxable - Taxed		-
Taxable - Untaxed		-

### Your Preservation Components

Preserved	-
Restricted Non Preserved	-
Unrestricted Non Preserved	9,933

### Your Insurance Benefits

No insurance details have been recorded

### Your Beneficiaries

#### Non Lapsing Binding Death Nomination\*

100% Robin Edward Dick (Spouse)

\* Nomination in effect from 1 July 2012

For Enquiries:

phone 0416370909

mail Auzki Super Fund, Hope Island Marina Office 10 Santa Barbara Rd, HOPE ISLAND QLD 4212

## Trustees

The Trustees of the Fund are as follows:

Robin Dick and  
Rosalind Miller

## Availability of Other Fund Information

Additional information regarding your membership is available on request. What your Fund can do is governed by the provisions of its Trust Deed, which is available for inspection. If you require further information or clarification of any aspect of your membership of the Fund, please contact your Fund's Administrator or Trustees.

## Trustees Disclaimer

This statement has been prepared by the Trustees for the member whose name appears at the top of this statement. While every effort has been made by the Trustees to ensure the accuracy and completeness of this statement, the Trustees do not accept any liability for any errors, omissions or misprints.

Signed on behalf of the Trustees of the Fund

.....  
Robin Dick  
Trustee

.....  
Rosalind Miller  
Trustee

Statement Date: 30 June 2021

## Auzki Super Fund

### Members Summary Report - For the period 1/07/2020 to 30/06/2021

Member's Detail	Opening Balance	Increases				Decreases					Closing Balance	
		Contrib	Tran In	Profit	Ins Proc	Tax	Exp	Ins Prem	Tran Out	Ben Paid		
<b>Mr Robin Dick</b>												
Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND QLD 4212												
Accumulation	<b>Accumulation</b>	0	7,820	0	270	0	0	0	0	(8,090)	0	0
Pension	<b>ABP - 100% Tax Free 3</b>	2,239	0	0	1,389	0	0	0	0	0	(205)	3,423
Pension	<b>ABP - 100% Tax Free 4</b>	0	0	8,090	1,853	0	0	0	0	0	0	9,943
		2,239	7,820	8,090	3,512	0	0	0	0	(8,090)	(205)	13,365
<b>Ms Rosalind Miller</b>												
Hope Island Marina Office 10 Santa Barbara Rd HOPE ISLAND QLD 4212												
Accumulation	<b>Accumulation</b>	0	7,812	0	270	0	0	0	0	(8,082)	0	0
Pension	<b>ABP - 100% Tax Free 2</b>	3,107	0	0	1,933	0	0	0	0	0	(395)	4,645
Pension	<b>ABP - 100% Tax Free 4</b>	0	0	8,082	1,851	0	0	0	0	0	0	9,933
		3,107	7,812	8,082	4,054	0	0	0	0	(8,082)	(395)	14,578
		5,346	15,632	16,171	7,566	0	0	0	0	(16,171)	(601)	27,943



**Auzki Super Fund**  
**Contribution Caps**  
**For the Period From 1 July 2020 to 30 June 2021**

**Mr Robin Dick**

**Date of Birth:** 13 Jul 1946  
**Age:** 74 (at 30/06/2021)  
**Status:** Only employer or personal contributions allowed subject to the work test

**Contributions Summary**

**Prior Year Contributions**

The 'Bring Forward Rule' was unavailable in previous 2 years; member was 65 or older  
 3-year cap in effect from previous years N/A  
 Total non-concessional contributions in previous 2 years N/A

Current Year Contributions	Note	Concessional	Non-Concessional
Caps	1,2	25,000	100,000
Cumulative Available Unused Cap	3	50,000	0
Contributions made (to this fund)	4	0	7,820
Contributions made (to other funds)		0	0
Contributions as allocated		0	7,820
Amount above caps	5	0	0
Available		75,000	92,180

**Notes**

- 1 . 'Bring Forward Rule' can NOT be triggered this year; member was 67 or older
- 2 . Non-concessional cap shown applies to current year only
- 3 . Member may be eligible to make catch-up concessional contributions
- 4 . Excludes any unmatched deposits
- 5 . Any excess concessional contributions are treated as non-concessional

**Contributions Breakdown**

<u>Income Type</u>	<u>Contribution Type</u>	<u>Amount</u>
<b>Concessional</b>	Employer	0
	Personal	0
	Family and friends	0
	Foreign superannuation fund	0
	Transfers from reserve	0
	<b>Contributions as allocated</b>	<b>0</b>
<b>NonConcessional</b>	Personal	7,820
	Spouse	0
	Child	0
	Transfers from reserve	0
	Foreign superannuation fund	0
	<b>Contributions as allocated</b>	<b>7,820</b>
<b>Other</b>	CGT small business 15-year exemption	0
	CGT small business retirement exemption	0
	Government Co-Contributions	0
	Directed termination payment (taxed)	0
	Directed termination payment (untaxed)	0
	Personal injury election	0
	Downsizer Contribution	0
	<b>Total Other contributions</b>	<b>0</b>

**Transactions**

Date	Contribution Type	Concessional	Non-Concessional	Other	Source
15/02/2021	Personal		2		manual
15/02/2021	Personal		15		manual
03/03/2021	Personal		50		manual
30/03/2021	Personal		85		manual
16/04/2021	Personal		7,436		manual
16/04/2021	Personal		24		manual
22/04/2021	Personal		8		manual

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**Auzki Super Fund**  
**Contribution Caps**  
**For the Period From 1 July 2020 to 30 June 2021**

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**Mr Robin Dick**

<b>Date</b>	<b>Contribution Type</b>	<b>Concessional</b>	<b>Non-Concessional</b>	<b>Other</b>	<b>Source</b>
13/05/2021	Personal		13		manual
13/05/2021	Personal		3		manual
19/05/2021	Personal		18		manual
20/05/2021	Personal		15		manual
21/05/2021	Personal		15		manual
25/05/2021	Personal		20		manual
08/06/2021	Personal		23		manual
12/06/2021	Personal		20		manual
16/06/2021	Personal		30		manual
18/06/2021	Personal		25		manual
22/06/2021	Personal		20		manual
	<b>Totals:</b>		<b>7,820</b>		

**Auzki Super Fund**  
**Contribution Caps**  
**For the Period From 1 July 2020 to 30 June 2021**

**Ms Rosalind Miller**

**Date of Birth:** 19 Oct 1951  
**Age:** 69 (at 30/06/2021)  
**Status:** Member must meet work test criteria, other conditions apply

**Contributions Summary**

**Prior Year Contributions**

The 'Bring Forward Rule' was unavailable in previous 2 years; member was 65 or older  
 3-year cap in effect from previous years N/A  
 Total non-concessional contributions in previous 2 years N/A

Current Year Contributions	Note	Concessional	Non-Concessional
Caps	1,2	25,000	100,000
Cumulative Available Unused Cap	3	50,000	0
Contributions made (to this fund)	4	0	7,812
Contributions made (to other funds)		0	0
Contributions as allocated		0	7,812
Amount above caps	5	0	0
Available		75,000	92,188

**Notes**

- 1 . 'Bring Forward Rule' can NOT be triggered this year; member was 67 or older
- 2 . Non-concessional cap shown applies to current year only
- 3 . Member may be eligible to make catch-up concessional contributions
- 4 . Excludes any unmatched deposits
- 5 . Any excess concessional contributions are treated as non-concessional

**Contributions Breakdown**

<u>Income Type</u>	<u>Contribution Type</u>	<u>Amount</u>
<b>Concessional</b>	Employer	0
	Personal	0
	Family and friends	0
	Foreign superannuation fund	0
	Transfers from reserve	0
	<b>Contributions as allocated</b>	<b>0</b>
<b>NonConcessional</b>	Personal	7,812
	Spouse	0
	Child	0
	Transfers from reserve	0
	Foreign superannuation fund	0
	<b>Contributions as allocated</b>	<b>7,812</b>
<b>Other</b>	CGT small business 15-year exemption	0
	CGT small business retirement exemption	0
	Government Co-Contributions	0
	Directed termination payment (taxed)	0
	Directed termination payment (untaxed)	0
	Personal injury election	0
	Downsizer Contribution	0
	<b>Total Other contributions</b>	<b>0</b>

**Transactions**

Date	Contribution Type	Concessional	Non-Concessional	Other	Source
15/02/2021	Personal		2		manual
15/02/2021	Personal		15		manual
03/03/2021	Personal		50		manual
30/03/2021	Personal		85		manual
16/04/2021	Personal		7,436		manual
16/04/2021	Personal		24		manual
13/05/2021	Personal		13		manual

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**Auzki Super Fund**  
**Contribution Caps**  
**For the Period From 1 July 2020 to 30 June 2021**

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**Ms Rosalind Miller**

<b>Date</b>	<b>Contribution Type</b>	<b>Concessional</b>	<b>Non-Concessional</b>	<b>Other</b>	<b>Source</b>
13/05/2021	Personal		3		manual
19/05/2021	Personal		18		manual
20/05/2021	Personal		15		manual
21/05/2021	Personal		15		manual
25/05/2021	Personal		20		manual
08/06/2021	Personal		23		manual
12/06/2021	Personal		20		manual
16/06/2021	Personal		30		manual
18/06/2021	Personal		25		manual
22/06/2021	Personal		20		manual
<b>Totals:</b>			<b>7,812</b>		

**Auzki Super Fund**  
**Investment Summary as at 30 June 2021**

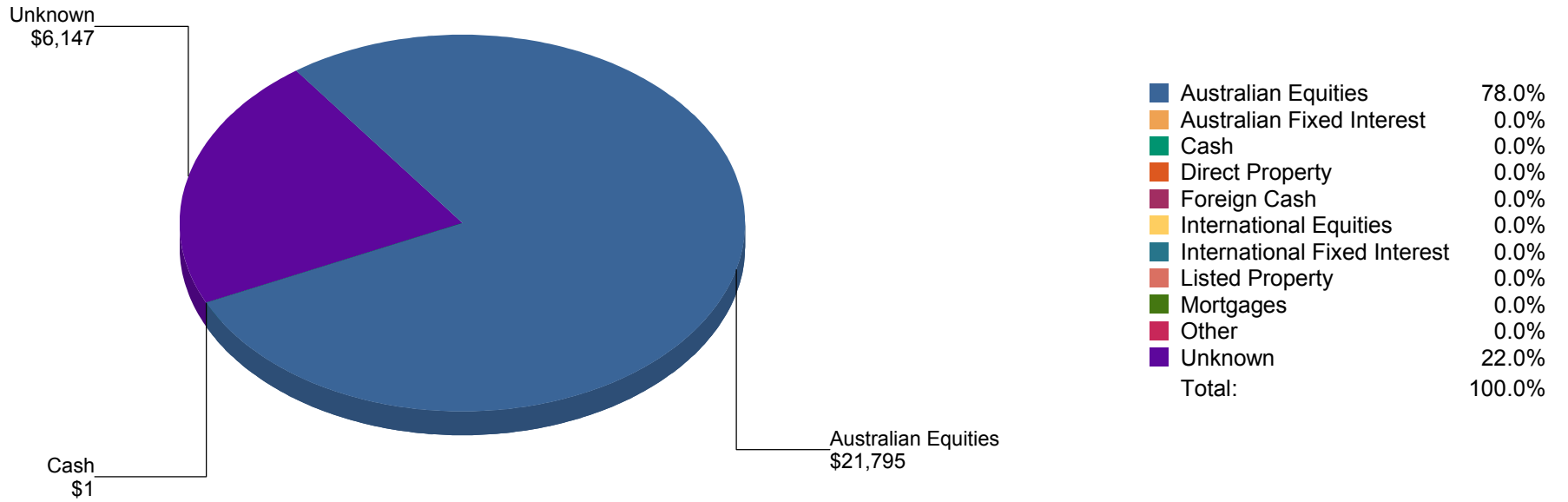
Investment	Units	Average Cost Price	Market Price	Accounting Cost	Market Value	Unrealised Accounting Gain/(Loss)	Accounting Gain/(Loss) (%)	Portfolio Weight (%)
<b><u>Bank</u></b>								
Suncorp Business Everyday a/c 505300371				0	0			-%
Suncorp Business Saver a/c 505300428				1	1			-%
Suncorp Cash Management a/c 452858517				0	0			-%
Swyftx				0	0			-%
				<b>1</b>	<b>1</b>			<b>-%</b>
<b><u>Listed Securities Market</u></b>								
Botanix Pharmaceuticals Ltd (ASX:BOT)	200,000	0.0923	0.0800	18,452	16,000	(2,452)	(13)%	57%
Caeneus Minerals Ltd (ASX:CAD)	211,000	0.0370	0.0100	7,799	2,110	(5,689)	(73)%	8%
Immutep Limited (ASX:IMM)	6,700	0.4676	0.5500	3,133	3,685	552	18%	13%
				<b>29,384</b>	<b>21,795</b>	<b>(7,589)</b>	<b>(26)%</b>	<b>78%</b>
<b><u>Unlisted Market</u></b>								
Crypto - ETH	1	222.8385	2,848.0179	273	3,492	3,218	1,178%	12%
Crypto - SHIB	30,000,000	0.0000	0.0000	374	339	(35)	(9)%	1%
Crypto - XRP	2,600	1.2363	0.8908	3,214	2,316	(898)	(28)%	8%
				<b>3,861</b>	<b>6,147</b>	<b>2,286</b>	<b>59%</b>	<b>22%</b>
				<b>33,246</b>	<b>27,943</b>	<b>(5,304)</b>	<b>(16)%</b>	<b>100%</b>

The accounting cost is the original cost base adjusted by any subsequent capital call/improvement or capital return transactions. In many cases, it is not the same as the adjusted or reduced cost base, or the reset cost base resulting from the CGT relief. To view the tax cost base and unrealised gain/(loss) for tax purposes refer to the Unrealised Capital Gains Report or change the report parameter to tax cost base.

# Auzki Super Fund

Investment Allocation as at 30 June 2021

## Current Asset Allocation



## Auzki Super Fund

### Investment Allocation as at 30 June 2021

	Australian Equities	Cash	Unknown	Total (\$)
Botanix Pharmaceuticals Ltd (ASX:BOT)	16,000.00 100.00%	0.00 0.00%	0.00 0.00%	16,000.00 100.00%
Caeneus Minerals Ltd (ASX:CAD)	2,110.00 100.00%	0.00 0.00%	0.00 0.00%	2,110.00 100.00%
Crypto - ETH	0.00 0.00%	0.00 0.00%	3,491.67 100.00%	3,491.67 100.00%
Crypto - SHIB	0.00 0.00%	0.00 0.00%	338.92 100.00%	338.92 100.00%
Crypto - XRP	0.00 0.00%	0.00 0.00%	2,316.10 100.00%	2,316.10 100.00%
Immutep Limited (ASX:IMM)	3,685.00 100.00%	0.00 0.00%	0.00 0.00%	3,685.00 100.00%
Suncorp Business Everyday a/c 505300371	0.00 0.00%	0.05 100.00%	0.00 0.00%	0.05 100.00%
Suncorp Business Saver a/c 505300428	0.00 0.00%	1.11 100.00%	0.00 0.00%	1.11 100.00%
Suncorp Cash Management a/c 452858517	0.00 0.00%	0.12 100.00%	0.00 0.00%	0.12 100.00%
Swyftx	0.00 0.00%	-0.14 100.00%	0.00 0.00%	-0.14 100.00%
<b>Total</b>	<b>21,795</b> <b>78%</b>	<b>1</b> <b>0%</b>	<b>6,147</b> <b>22%</b>	<b>27,943</b> <b>100%</b>

**NOTE: Investment Totals include Unsettled Amounts.**

**Auzki Super Fund**  
**Investment Performance**  
**For the period from 1 July 2020 to 30 June 2021**

<b>Investment</b>	<b>Opening Value</b>	<b>Acquisitions</b>	<b>Disposals</b>	<b>Closing Value</b>	<b>Change in Value</b>	<b>Income</b>	<b>Total Return Value</b>	<b>Total Return</b>
<i><u>Bank</u></i>								
Suncorp Business Everyday a/c 505300371	0	173	173	0	0	0	0	0%
Suncorp Cash Management a/c 452858517	0	34,335	34,335	0	0	0	0	0%
Suncorp Business Saver a/c 505300428	112	8,238	8,349	1	0	0	0	0%
Swyftx	0	4,272	4,272	0	0	0	0	N/A *
Suncorp Trading a/c 602786	0	34,821	34,821	0	0	0	0	0%
	112	77,567	77,678	1	0	0	0	0%
<i><u>Listed Derivatives Market</u></i>								
Caeneus Minerals Ltd - Option Expiring 31-Dec-2020 (ASX:CADO)	100	0	0	0	(100)	0	(100)	(100)%
	100	0	0	0	(100)	0	(100)	(100)%



**Auzki Super Fund**  
**Investment Performance**  
**For the period from 1 July 2020 to 30 June 2021**

<b>Investment</b>	<b>Opening Value</b>	<b>Acquisitions</b>	<b>Disposals</b>	<b>Closing Value</b>	<b>Change in Value</b>	<b>Income</b>	<b>Total Return Value</b>	<b>Total Return</b>
<i>Listed Securities Market</i>								
Botanix Pharmaceuticals Ltd (ASX:BOT)	4,290	18,452	10,200	16,000	3,458	0	3,458	41%
Caeneus Minerals Ltd (ASX:CAD)	844	0	0	2,110	1,266	0	1,266	150%
Equus Mining Limited (ASX:EQE)	0	542	418	0	(124)	0	(124)	(23%)
Immutep Limited (ASX:IMM)	0	3,133	0	3,685	552	0	552	19%
Mgc Pharmaceuticals Ltd (ASX:MXC)	0	652	478	0	(174)	0	(174)	(27%)
Maximus Resources Limited (ASX:MXR)	0	509	438	0	(70)	0	(70)	(17%)
	5,134	23,287	11,534	21,795	4,908	0	4,908	45%

**Auzki Super Fund**  
**Investment Performance**  
**For the period from 1 July 2020 to 30 June 2021**

<b>Investment</b>	<b>Opening Value</b>	<b>Acquisitions</b>	<b>Disposals</b>	<b>Closing Value</b>	<b>Change in Value</b>	<b>Income</b>	<b>Total Return Value</b>	<b>Total Return</b>
<i>Unlisted Market</i>								
Crypto - Doge	0	318	610	0	291	0	291	92%**
Crypto - ETH	0	273	2,295	3,492	5,513	0	5,513	2,018%
Crypto - SHIB	0	427	45	339	(43)	0	(43)	(20%)
Crypto - XRP	0	3,214	0	2,316	(898)	0	(898)	(55%)
	0	4,232	2,949	6,147	4,863	0	4,863	744%
<b>Fund Total</b>	5,346	105,087	92,161	27,943	9,671	0	9,671	82%

\* Investments marked with N/A have been excluded from performance calculations

\*\* Due to issues inherent in the Dietz calculation methodology, which can produce an invalid return in circumstances of extreme intra-period asset appreciation (and realisation of these gains), a Simple Rate of Return has been substituted for the purposes of this return.