

---

We provide these reports for your records. You may also view this information on-demand via Morgan Stanley's website at [www.morganstanley.com.au](http://www.morganstanley.com.au).

If you have any queries about the information contained in your pack, please contact your Financial adviser or the Wealth Management Products team on 1800 632 400.

Period ending 30 September 2021

## September Quarterly Report

---

The Trustees of AUSTITALIA INVESTMENT & SPECIALISED ROOFING SYSTEMS SUPERANNUATION FUND  
50 AVELAND AVENUE

TRINITY GARDENS SA 5068  
AUS

## Table of contents

---

### 1 Portfolio valuation by Model

This report provides the value of a portfolio's unrealised holdings as at the date requested. Assets are grouped according to their model.

### 2 Portfolio performance

A one page snapshot of what has influenced a portfolio's performance for the period, which includes:

- Movement in value
- Total dollar return (before and after expenses)
- Percentage returns (before and after expenses)
- Value versus cumulative net investment chart
- Cumulative returns over time chart

### 3 Investment movements

This report summarises the movements of a portfolio's underlying investments over the period due to market movements, transactions and income.

### 4 Income and tax credits

This report lists the details of income and tax credits receivable during the reporting period.

### 5 Cash transactions

This report displays the bank account transactions and a running balance for bank accounts associated with your portfolio.

### 6 Transaction history

This report details all trading activity, corporate actions and adjustments made within the reported period.

### 7 Fee Amount

## Portfolio valuation by model

As at 30 Sep 2021

Net portfolio value \$1,636,109.75

Asset		Quantity	Unit price \$	Market value \$	Portfolio weight %
<b>TENCENT ORD</b>					
700.HKG	TENCENT ORD	381	82.5843	31,464.62	1.92%
<b>Totals</b>				<b>31,464.62</b>	<b>1.92%</b>
<b>APPLE ORD</b>					
AAPL.NSM	APPLE ORD	220	197.1851	43,380.71	2.65%
<b>Totals</b>				<b>43,380.71</b>	<b>2.65%</b>
<b>ASSOCIATED BRITISH FOODS ORD</b>					
ABF.LSE	ASSOCIATED BRITISH FOODS ORD	959	34.805	33,378.01	2.04%
<b>Totals</b>				<b>33,378.01</b>	<b>2.04%</b>
<b>ALNYLAM PHARMACEUTICALS ORD</b>					
ALNY.NSM	ALNYLAM PHARMACEUTICALS ORD	39	263.1132	10,261.41	0.63%
<b>Totals</b>				<b>10,261.41</b>	<b>0.63%</b>
<b>AMAZON COM ORD</b>					
AMZN.NSM	AMAZON COM ORD	10	4,577.8149	45,778.15	2.8%
<b>Totals</b>				<b>45,778.15</b>	<b>2.8%</b>
<b>ARK INNOVATION ETF</b>					
ARKK.PSE	ARK INNOVATION ETF	84	154.0273	12,938.29	0.79%
<b>Totals</b>				<b>12,938.29</b>	<b>0.79%</b>
<b>ACTIVISION BLIZZARD ORD</b>					
ATVI.NSM	ACTIVISION BLIZZARD ORD	375	107.8456	40,442.10	2.47%
<b>Totals</b>				<b>40,442.10</b>	<b>2.47%</b>
<b>BROADCOM ORD</b>					
AVGO.NSM	BROADCOM ORD	59	675.7664	39,870.22	2.44%
	INCOME DECLARED BUT NOT PAID	245.18	1.00	245.18	0.02%
<b>Totals</b>				<b>40,115.40</b>	<b>2.45%</b>
<b>ALIBABA GROUP HOLDING ADR REP 1 ORD</b>					
BABA.NYS	ALIBABA GROUP HOLDING ADR REP 1 ORD	116	206.3127	23,932.27	1.46%

## Portfolio valuation by model

As at 30 Sep 2021

Net portfolio value \$1,636,109.75 continued

Asset		Quantity	Unit price \$	Market value \$	Portfolio weight %
<b>ALIBABA GROUP HOLDING ADR REP 1 ORD</b>					
<b>Totals</b>				<b>23,932.27</b>	<b>1.46%</b>
<b>BIOGEN IDEC ORD</b>					
BIIB.NSM	BIOGEN IDEC ORD	39	394.3562	15,379.89	0.94%
<b>Totals</b>				<b>15,379.89</b>	<b>0.94%</b>
<b>DANONE ORD</b>					
BN.PAR	DANONE ORD	172	95.4419	16,416.02	1%
<b>Totals</b>				<b>16,416.02</b>	<b>1%</b>
<b>CAPGEMINI ORD</b>					
CAP.PAR	CAPGEMINI ORD	129	291.1747	37,561.54	2.3%
<b>Totals</b>				<b>37,561.54</b>	<b>2.3%</b>
<b>CRH ORD</b>					
CRH.LSE	CRH ORD	435	65.3062	28,408.19	1.74%
	INCOME DECLARED BUT NOT PAID	135.28	1.00	135.28	0.01%
<b>Totals</b>				<b>28,543.47</b>	<b>1.74%</b>
<b>SALESFORCE.COM ORD</b>					
CRM.NYS	SALESFORCE.COM ORD	120	377.9543	45,354.52	2.77%
<b>Totals</b>				<b>45,354.52</b>	<b>2.77%</b>
<b>WALT DISNEY COMPANY ORD</b>					
DIS.NYS	WALT DISNEY COMPANY ORD	186	235.7441	43,848.41	2.68%
<b>Totals</b>				<b>43,848.41</b>	<b>2.68%</b>
<b>FACEBOOK CL A ORD</b>					
FB.NSM	FACEBOOK CL A ORD	102	472.9515	48,241.05	2.95%
<b>Totals</b>				<b>48,241.05</b>	<b>2.95%</b>
<b>ALPHABET CL A ORD</b>					
GOOGL.NSM	ALPHABET CL A ORD	12	3,725.641	44,707.69	2.73%
<b>Totals</b>				<b>44,707.69</b>	<b>2.73%</b>

## Portfolio valuation by model

As at 30 Sep 2021

Net portfolio value \$1,636,109.75 continued

Asset		Quantity	Unit price \$	Market value \$	Portfolio weight %
<b>ICICI BANK ADR REP 2 ORD</b>					
IBN.NYS	ICICI BANK ADR REP 2 ORD	1,479	26.296	38,891.76	2.38%
	INCOME DECLARED BUT NOT PAID	91.59	1.00	91.59	0.01%
<b>Totals</b>				<b>38,983.35</b>	<b>2.38%</b>
<b>LOCKHEED MARTIN CORP ORD SHS</b>					
LMT.NYS	LOCKHEED MARTIN CORP ORD SHS	42	480.9086	20,198.16	1.23%
<b>Totals</b>				<b>20,198.16</b>	<b>1.23%</b>
<b>LVMH MOET HENNESSY LOUIS VUITTON SE ORD</b>					
MC.PAR	LVMH MOET HENNESSY LOUIS VUITTON SE ORD	26	1,002.2617	26,058.80	1.59%
<b>Totals</b>				<b>26,058.80</b>	<b>1.59%</b>
<b>MERCADOLIBRE ORD</b>					
MELI.NSM	MERCADOLIBRE ORD	27	2,340.301	63,188.13	3.86%
<b>Totals</b>				<b>63,188.13</b>	<b>3.86%</b>
<b>MODERNA ORD</b>					
MRNA.NSQ	MODERNA ORD	292	536.3155	156,604.12	9.57%
<b>Totals</b>				<b>156,604.12</b>	<b>9.57%</b>
<b>MICROSOFT ORD</b>					
MSFT.NSM	MICROSOFT ORD	89	392.8651	34,964.99	2.14%
<b>Totals</b>				<b>34,964.99</b>	<b>2.14%</b>
<b>NESTLE N ORD</b>					
NESN.SWX	NESTLE N ORD	127	168.0322	21,340.09	1.3%
<b>Totals</b>				<b>21,340.09</b>	<b>1.3%</b>
<b>NORTHROP GRUMMAN ORD</b>					
NOC.NYS	NORTHROP GRUMMAN ORD	94	501.8813	47,176.84	2.88%
<b>Totals</b>				<b>47,176.84</b>	<b>2.88%</b>
<b>PALO ALTO NETWORKS ORD</b>					
PANW.NYS	PALO ALTO NETWORKS ORD	85	667.5028	56,737.74	3.47%

## Portfolio valuation by model

As at 30 Sep 2021

Net portfolio value \$1,636,109.75 continued

Asset	Quantity	Unit price \$	Market value \$	Portfolio weight %
<b>PALO ALTO NETWORKS ORD</b>				
<b>Totals</b>			<b>56,737.74</b>	<b>3.47%</b>
<b>PINTEREST CL A ORD</b>				
PINS.NYS PINTEREST CL A ORD	241	71.0006	17,111.13	1.05%
<b>Totals</b>			<b>17,111.13</b>	<b>1.05%</b>
<b>PALANTIR TECHNOLOGIES CL A ORD</b>				
PLTR.NYS PALANTIR TECHNOLOGIES CL A ORD	630	33.5006	21,105.35	1.29%
<b>Totals</b>			<b>21,105.35</b>	<b>1.29%</b>
<b>PAYPAL HOLDINGS ORD</b>				
PYPL.NSM PAYPAL HOLDINGS ORD	125	362.6115	45,326.44	2.77%
<b>Totals</b>			<b>45,326.44</b>	<b>2.77%</b>
<b>QUALCOMM ORD</b>				
QCOM.NSM QUALCOMM ORD	146	179.738	26,241.75	1.6%
<b>Totals</b>			<b>26,241.75</b>	<b>1.6%</b>
<b>ROCHE HOLDING PAR</b>				
ROG.SWX ROCHE HOLDING PAR	57	509.8368	29,060.70	1.78%
<b>Totals</b>			<b>29,060.70</b>	<b>1.78%</b>
<b>STARBUCKS ORD</b>				
SBUX.NSM STARBUCKS ORD	266	153.7207	40,889.72	2.5%
<b>Totals</b>			<b>40,889.72</b>	<b>2.5%</b>
<b>SEA ADS REP CL A ORD</b>				
SE.NYS SEA ADS REP CL A ORD	158	444.1611	70,177.45	4.29%
<b>Totals</b>			<b>70,177.45</b>	<b>4.29%</b>
<b>SHOPIFY CL A SUB VTG ORD</b>				
SHOP.NYS SHOPIFY CL A SUB VTG ORD	42	1,889.3255	79,351.67	4.85%
<b>Totals</b>			<b>79,351.67</b>	<b>4.85%</b>
<b>SONOS ORD</b>				
SONO.NSM SONOS ORD	1,297	45.0948	58,487.90	3.57%

## Portfolio valuation by model

As at 30 Sep 2021

Net portfolio value \$1,636,109.75 continued

Asset		Quantity	Unit price \$	Market value \$	Portfolio weight %
<b>SONOS ORD</b>					
<b>Totals</b>				<b>58,487.90</b>	<b>3.57%</b>
<b>SQUARE CL A ORD</b>					
SQ.NYS	SQUARE CL A ORD	146	334.2252	48,796.88	2.98%
<b>Totals</b>				<b>48,796.88</b>	<b>2.98%</b>
<b>TJX ORD</b>					
TJX.NYS	TJX ORD	457	91.9454	42,019.04	2.57%
<b>Totals</b>				<b>42,019.04</b>	<b>2.57%</b>
<b>VISA ORD CL A</b>					
V.NYS	VISA ORD CL A	128	310.4097	39,732.44	2.43%
<b>Totals</b>				<b>39,732.44</b>	<b>2.43%</b>
<b>WORKDAY CL A ORD</b>					
WDAY.NSM	WORKDAY CL A ORD	78	348.2302	27,161.96	1.66%
<b>Totals</b>				<b>27,161.96</b>	<b>1.66%</b>
<b>WESTERN DIGITAL ORD</b>					
WDC.NSM	WESTERN DIGITAL ORD	467	78.6511	36,730.04	2.25%
<b>Totals</b>				<b>36,730.04</b>	<b>2.25%</b>
<b>Managed Account Cash AUD</b>					
MACAUD	MACAUD	10,115.8	1.00	10,115.80	0.62%
<b>Totals</b>				<b>10,115.80</b>	<b>0.62%</b>
<b>Outside of model</b>					
Minimum cash		16,243.64	1.00	16,243.64	0.99%
Variances*		-241.65	1.00	-241.65	-0.01%
Pending withdrawals		803.7	1.00	803.70	0.05%
<b>Totals</b>				<b>16,805.69</b>	<b>1.03%</b>

Portfolio valuation by model

As at 30 Sep 2021

Net portfolio value \$1,636,109.75 continued

Asset	Quantity	Unit price \$	Market value \$	Portfolio weight %
Portfolio totals			1,636,109.75	100%
Net portfolio totals			1,636,109.75	100%

\* Variances may occur as a result of timing differences between the receipt of domestic and international asset prices, foreign exchange rates, and the actual transactions.



## Portfolio performance

01 Jul 2021 to 30 Sep 2021

### Movement in value

<b>Starting market value</b>	<b>1,480,088.15</b>
Net addition	58,583.76
Realised and unrealised gains/losses	100,481.06
Total income net of foreign tax paid	1,334.94
Total expenses	-4,360.74
<b>Ending market value</b>	<b>1,636,109.75</b>
Movement in value	156,021.60

### Portfolio returns

Realised and unrealised gains/losses	100,481.06
Total income	1,540.03
Franking credits	-
<b>Total dollar return before expenses</b>	<b>102,021.09</b>
Total expenses	-4,360.74
<b>Total dollar return after expenses</b>	<b>97,660.35</b>

Periods to 30 Sep 2021	3 mths	1 yr
<b>Portfolio return after expenses (TWR)</b>	<b>6.59%</b>	<b>42.46%</b>
MSCI WORLD EX AUSTRALIA NET INDEX AUD END OF DAY	3.99%	27.76%

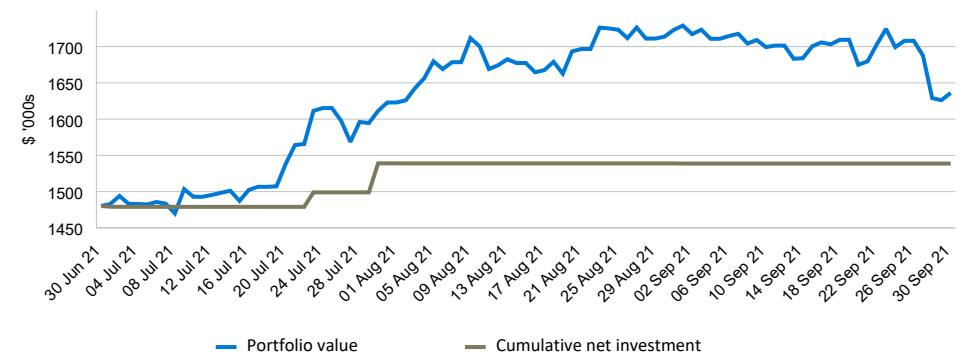
The portfolio's inception date for performance calculation purposes is 20 Nov 2019.

Your closing balance (ending market value) is the amount you would have received if you closed your account at 30 Sep 2021 (before any outstanding fees were deducted). The current value may have changed because of the movement in value of the underlying investments in your portfolio and any fees since 30 Sep 2021.

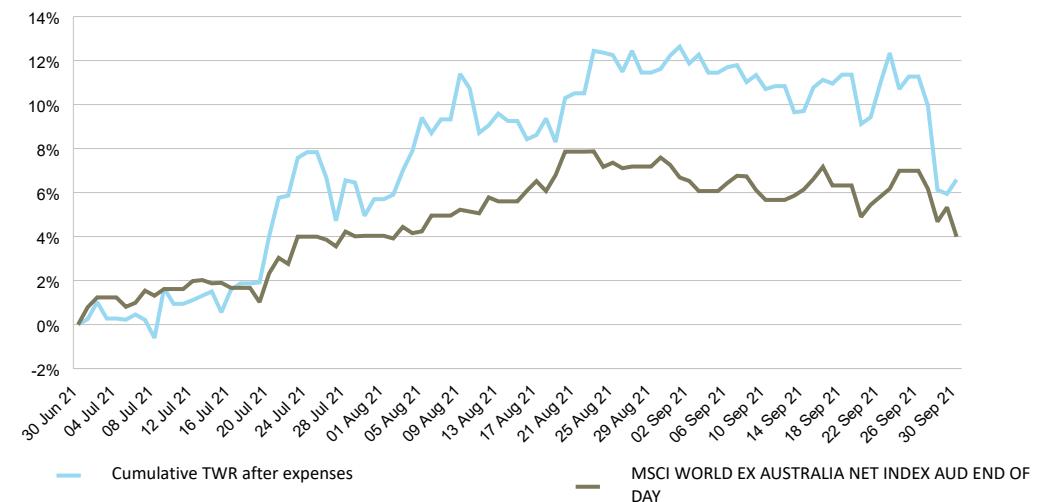
Portfolio total dollar and percentage returns are inclusive of franking credits. Percentage returns have been calculated using the Time Weighted Return (TWR) method.

Past performance is not an indication of future performance.

### Value versus cumulative net investment



### Returns over time



## Investment movements

01 Jul 2021 to 30 Sep 2021

### Investments

Investments		Investment movements					Income						
		Starting market value	Purchases	Sales	Net adj and other	Gain/loss	Ending market value	Income	Franking credits	Total return	Total return	Capital return	Income return
Asset		\$	\$	\$	\$	\$	\$	\$	\$	\$	%	%	%
Euronext Paris													
BN.PAR	DANONE ORD	16,171.43	-	-	-	244.59	16,416.02	-	-	244.59	1.51%	1.51%	-
CAP.PAR	CAPGEMINI ORD	33,094.64	-	-	-	4,466.90	37,561.54	-	-	4,466.90	13.5%	13.5%	-
MC.PAR	LVMH MOET HENNESSY LOUIS VUITTON SE ORD	27,228.57	-	-	-	-1,169.77	26,058.80	-	-	-1,169.77	-4.3%	-4.3%	-
Totals		76,494.64	-	-	-	3,541.72	80,036.36	-	-	3,541.72	4.63%	4.63%	-
Hong Kong Stock Exchange													
700.HKG	TENCENT ORD	35,245.39	2,223.85	-	-	-6,004.62	31,464.62	-	-	-6,004.62	-16.03%	-16.03%	-
Totals		35,245.39	2,223.85	-	-	-6,004.62	31,464.62	-	-	-6,004.62	-16.03%	-16.03%	-
London Stock Exchange													
ABF.LSE	ASSOCIATED BRITISH FOODS ORD	28,457.79	10,140.47	-	-	-5,220.25	33,378.01	-	-	-5,220.25	-13.52%	-13.52%	-
CRH.LSE	CRH ORD	29,309.80	-	-	-	-901.61	28,408.19	136.10	-	-765.51	-2.61%	-3.07%	0.46%
Totals		57,767.59	10,140.47	-	-	-6,121.86	61,786.20	136.10	-	-5,985.76	-8.81%	-9.01%	0.2%
NASDAQ													
AAPL.NSM	APPLE ORD	40,108.09	-	-	-	3,272.62	43,380.71	65.63	-	3,338.25	8.32%	8.16%	0.16%
ALNY.NSM	ALNYLAM PHARMACEUTICALS ORD	8,800.37	-	-	-	1,461.04	10,261.41	-	-	1,461.04	16.6%	16.6%	-
AMZN.NSM	AMAZON COM ORD	45,792.48	-	-	-	-14.33	45,778.15	-	-	-14.33	-0.03%	-0.03%	-
ATVI.NSM	ACTIVISION BLIZZARD ORD	-	40,806.08	-	-	-363.98	40,442.10	-	-	-363.98	-0.89%	-0.89%	-
AVGO.NSM	BROADCOM ORD	37,449.00	-	-	-	2,421.22	39,870.22	288.45	-	2,709.67	7.24%	6.47%	0.77%
BIIB.NSM	BIOGEN IDEC ORD	17,976.08	-	-	-	-2,596.19	15,379.89	-	-	-2,596.19	-14.44%	-14.44%	-
FB.NSM	FACEBOOK CL A ORD	47,209.88	-	-	-	1,031.17	48,241.05	-	-	1,031.17	2.18%	2.18%	-
GOOGL.NSM	ALPHABET CL A ORD	39,003.63	-	-	-	5,704.06	44,707.69	-	-	5,704.06	14.62%	14.62%	-
MELI.NSM	MERCADOLIBRE ORD	55,987.13	-	-	-	7,201.00	63,188.13	-	-	7,201.00	12.86%	12.86%	-
MSFT.NSM	MICROSOFT ORD	32,093.31	-	-	-	2,871.68	34,964.99	67.61	-	2,939.29	9.16%	8.95%	0.21%
PYPL.NSM	PAYPAL HOLDINGS ORD	48,499.17	-	-	-	-3,172.73	45,326.44	-	-	-3,172.73	-6.54%	-6.54%	-
QCOM.NSM	QUALCOMM ORD	-	29,839.82	-	-	-3,598.07	26,241.75	136.37	-	-3,461.70	-11.6%	-12.06%	0.46%
SBUX.NSM	STARBUCKS ORD	38,547.47	1,126.95	-	-	1,215.30	40,889.72	164.32	-	1,379.62	3.48%	3.07%	0.41%
SONO.NSM	SONOS ORD	62,276.79	-	-1,735.85	-	-2,053.04	58,487.90	-	-	-2,053.04	-3.3%	-3.3%	-
WDAY.NSM	WORKDAY CL A ORD	24,787.65	-	-	-	2,374.31	27,161.96	-	-	2,374.31	9.58%	9.58%	-
WDC.NSM	WESTERN DIGITAL ORD	47,462.46	-	-2,948.55	-	-7,783.87	36,730.04	-	-	-7,783.87	-16.4%	-16.4%	-

## Investment movements

01 Jul 2021 to 30 Sep 2021

### Investments continued

Investments continued		Investment movements					Income						
		Starting market value	Purchases	Sales	Net adj and other	Gain/loss	Ending market value	Income	Franking credits	Total return	Total return	Capital return	Income return
Asset		\$	\$	\$	\$	\$	\$	\$	\$	\$	%	%	%
NASDAQ													
Totals		545,993.51	71,772.85	-4,684.40	-	7,970.19	621,052.15	722.38	-	8,692.57	1.41%	1.29%	0.12%
NYSE - New York Stock Exchange													
BABA.NYS	ALIBABA GROUP HOLDING ADR REP 1 ORD	35,016.95	-	-	-	-11,084.68	23,932.27	-	-	-11,084.68	-31.66%	-31.66%	-
BX.NYS	BLACKSTONE GROUP UNT	-	-	-	-	-	-	-	-	-	-	-	-
CRM.NYS	SALESFORCE.COM ORD	39,018.17	-	-	-	6,336.35	45,354.52	-	-	6,336.35	16.24%	16.24%	-
DIS.NYS	WALT DISNEY COMPANY ORD	42,114.61	1,435.77	-	-	298.03	43,848.41	-	-	298.03	0.68%	0.68%	-
IBN.NYS	ICICI BANK ADR REP 2 ORD	33,665.09	-	-	-	5,226.67	38,891.76	105.89	-	5,332.56	15.84%	15.53%	0.31%
LMT.NYS	LOCKHEED MARTIN CORP ORD SHS	21,152.35	-	-	-	-954.19	20,198.16	150.06	-	-804.13	-3.8%	-4.51%	0.71%
NOC.NYS	NORTHROP GRUMMAN ORD	45,474.10	-	-	-	1,702.74	47,176.84	201.81	-	1,904.55	4.19%	3.75%	0.44%
PANW.NYS	PALO ALTO NETWORKS ORD	40,994.54	1,096.22	-	-	14,646.98	56,737.74	-	-	14,646.98	34.8%	34.8%	-
PINS.NYS	PINTEREST CL A ORD	25,327.05	-	-	-	-8,215.92	17,111.13	-	-	-8,215.92	-32.44%	-32.44%	-
PLTR.NYS	PALANTIR TECHNOLOGIES CL A ORD	22,105.56	-	-	-	-1,000.21	21,105.35	-	-	-1,000.21	-4.52%	-4.52%	-
SE.NYS	SEA ADS REP CL A ORD	59,214.91	-	-1,756.76	-	12,719.30	70,177.45	-	-	12,719.30	21.48%	21.48%	-
SHOP.NYS	SHOPIFY CL A SUB VTG ORD	81,678.75	-	-	-	-2,327.08	79,351.67	-	-	-2,327.08	-2.85%	-2.85%	-
SQ.NYS	SQUARE CL A ORD	46,082.66	1,529.23	-	-	1,184.99	48,796.88	-	-	1,184.99	2.49%	2.49%	-
TJX.NYS	TJX ORD	41,012.90	-	-	-	1,006.14	42,019.04	160.24	-	1,166.38	2.84%	2.45%	0.39%
V.NYS	VISA ORD CL A	39,838.88	-	-	-	-106.44	39,732.44	55.59	-	-50.85	-0.13%	-0.27%	0.14%
Totals		572,696.52	4,061.22	-1,756.76	-	19,432.68	594,433.66	673.59	-	20,106.27	3.49%	3.37%	0.12%
NYSE - Arca													
ARKK.PSE	ARK INNOVATION ETF	14,622.99	-	-	-	-1,684.70	12,938.29	-	-	-1,684.70	-11.52%	-11.52%	-
Totals		14,622.99	-	-	-	-1,684.70	12,938.29	-	-	-1,684.70	-11.52%	-11.52%	-
SIX Swiss Exchange													
NESN.SWX	NESTLE N ORD	21,145.44	-	-	-	194.65	21,340.09	-	-	194.65	0.92%	0.92%	-
ROG.SWX	ROCHE HOLDING PAR	28,709.47	-	-	-	351.23	29,060.70	-	-	351.23	1.22%	1.22%	-
Totals		49,854.91	-	-	-	545.88	50,400.79	-	-	545.88	1.09%	1.09%	-
Consolidated Issue Listed on NASDAQ Global Select Market													
MRNA.NSQ	MODERNA ORD	113,541.08	21,577.19	-61,315.92	-	82,801.77	156,604.12	-	-	82,801.77	61.28%	61.28%	-

## Investment movements

01 Jul 2021 to 30 Sep 2021

### Investments continued

Asset	Investment movements					Income		Total return	Total return	Capital return	Income return
	Starting market value	Purchases	Sales	Net adj and other	Gain/loss	Ending market value	Income				
	\$	\$	\$	\$	\$	\$	\$	\$	%	%	%
<b>Consolidated Issue Listed on NASDAQ Global Select Market</b>											
<b>Totals</b>	<b>113,541.08</b>	<b>21,577.19</b>	<b>-61,315.92</b>	-	<b>82,801.77</b>	<b>156,604.12</b>	-	-	<b>82,801.77</b>	<b>61.28%</b>	<b>61.28%</b>
<b>Totals for all assets</b>	<b>1,466,216.63</b>	<b>109,775.58</b>	<b>-67,757.08</b>	-	<b>100,481.06</b>	<b>1,608,716.19</b>	<b>1,532.07</b>	-	<b>102,013.13</b>	<b>6.47%</b>	<b>6.37%</b>

### Other assets and liabilities

Asset or liability	Investment activity								Closing balance
	Opening balance	Purchases & sales	Other	Income	Interest receipts	Internal transfers	Expenses	Deposits	
	\$	\$	\$	\$	\$	\$	\$	\$	\$
<b>Cash</b>									
MAIN CASH ACCOUNT	12,734.81	-42,018.50	-	1,274.86	7.96	137.28	-4,360.74	60,000.00	26,359.43
PENDING WITHDRAWALS	1,035.31	-	-	-	-	-137.28	-	-	898.03
<b>Totals</b>	<b>13,770.12</b>	<b>-42,018.50</b>	-	<b>1,274.86</b>	<b>7.96</b>	-	<b>-4,360.74</b>	<b>60,000.00</b>	<b>27,257.46</b>
<b>Receivables and payables</b>									
Income receivable	101.40	-	-	-	-	-	-	-	136.10
<b>Totals</b>	<b>101.40</b>	-	-	-	-	-	-	-	<b>136.10</b>
<b>Other assets and liabilities</b>	<b>13,871.52</b>	<b>-42,018.50</b>	-	<b>1,274.86</b>	<b>7.96</b>	-	<b>-4,360.74</b>	<b>60,000.00</b>	<b>27,393.56</b>

#### Gain/loss

The gain/loss is the net of the realised and unrealised gain/loss for the performance period. The gain/loss for short options will include a realised gain from the option premium received within the period.

The performance gain/loss is different from the gain/loss shown in valuation or taxation reports, which is calculated using the original cost base at a tax lot level.

Please note, accurate gain/loss reporting is dependent on the provision of complete and accurate cost information across the portfolio.

#### Total return amount for investments

This is the total dollar return based on the realised and unrealised Gain/loss for the period before expenses, plus income and franking credits accrued. Investment movement amounts are included on an accrual basis, net of transaction costs such as brokerage.

#### Percentage investment returns

The percentage return for each investment is before expenses and has been calculated using the approximate return method. This method calculates the percentage total return by dividing the total return amount by the starting market value plus net investment movements within the performance period. If the ending value is zero, the total return amount including franking credits is just divided by starting value.

The percentage return attributable to income is the sum of total income and franking credits divided by the starting value plus the net of investment movements, expressed as a percentage.

The percentage capital return, which is the percentage return attributable to the investment's realised and unrealised Gain/loss, is the total percentage return minus the percentage income return.

01 Jul 2021 to 30 Sep 2021

Past performance is not an indication of future performance.

## Income by ex-date

01 Jul 2021 to 30 Sep 2021

### Income transactions

Asset	Ex date	Payment date	Total income \$	DRP \$	Cash \$	Receivable \$	WHT \$	Foreign tax paid \$	Franking credits \$
<b>Included assets</b>									
<b>Foreign dividends</b>									
AAPL.NSM	APPLE ORD	6/08/2021	12/08/2021	65.63	-	55.78	-	9.85	-
AVGO.NSM	BROADCOM ORD	21/09/2021	30/09/2021	288.45	-	245.18	-	43.27	-
CRH.LSE	CRH ORD	9/09/2021	8/10/2021	136.10 <sup>(a)</sup>	-	-	136.10	-	-
IBN.NYS	ICICI BANK ADR REP 2 ORD	28/07/2021	6/09/2021	105.89	-	94.33	-	11.56	-
LMT.NYS	LOCKHEED MARTIN CORP ORD SHS	31/08/2021	24/09/2021	150.06	-	127.55	-	22.51	-
MSFT.NSM	MICROSOFT ORD	18/08/2021	9/09/2021	67.61	-	57.47	-	10.14	-
NOC.NYS	NORTHROP GRUMMAN ORD	27/08/2021	15/09/2021	201.81	-	171.53	-	30.28	-
QCOM.NSM	QUALCOMM ORD	1/09/2021	23/09/2021	136.37	-	115.92	-	20.45	-
SBUX.NSM	STARBUCKS ORD	11/08/2021	27/08/2021	164.32	-	139.67	-	24.65	-
TJX.NYS	TJX ORD	11/08/2021	2/09/2021	160.24	-	136.20	-	24.04	-
V.NYS	VISA ORD CL A	12/08/2021	1/09/2021	55.59	-	47.25	-	8.34	-
Foreign dividends totals			<b>1,532.07</b>	-	<b>1,190.88</b>	<b>136.10</b>	-	<b>205.09</b>	-
<b>Totals for included assets</b>			<b>1,532.07</b>	-	<b>1,190.88</b>	<b>136.10</b>	-	<b>205.09</b>	-
<b>Excluded assets</b>									
<b>Interest from cash</b>									
AUDCASH	MAIN CASH ACCOUNT	1/09/2021	1/09/2021	3.40	-	3.40	-	-	-
AUDCASH	MAIN CASH ACCOUNT	2/08/2021	2/08/2021	2.51	-	2.51	-	-	-
AUDCASH	MAIN CASH ACCOUNT	1/07/2021	1/07/2021	2.05	-	2.05	-	-	-
Interest from cash totals			<b>7.96</b>	-	<b>7.96</b>	-	-	-	-
<b>Totals for excluded assets</b>			<b>7.96</b>	-	<b>7.96</b>	-	-	-	-
<b>Totals for all assets</b>			<b>1,540.03</b>	-	<b>1,198.84</b>	<b>136.10</b>	-	<b>205.09</b>	-

(a) This income amount is yet to be confirmed.

## Cash transactions

01 Jul 2021 to 30 Sep 2021

## MAIN CASH ACCOUNT

Date	Transaction	Narration	Withdrawal \$	Deposit \$	Balance \$
01/07/2021	Opening Balance				12,734.81
01/07/2021	Internal Transfer In	Transfer from Pending Withdrawals account to fund payment (Pay Ref 1310436406)	-	906.08	13,640.89
01/07/2021	Withdrawal	Dividend payment, paid via Direct Entry, (Ref=1310436406)	-906.08	-	12,734.81
01/07/2021	Interest Receipt	Monthly Interest	-	2.05	12,736.86
01/07/2021	Internal Transfer Out	Interest Payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/07/2021 (Pay Ref 1310565108)	-2.05	-	12,734.81
02/07/2021	Internal Transfer In	Transfer from Pending Withdrawals account to fund payment (Pay Ref 1310565108)	-	228.68	12,963.49
02/07/2021	Withdrawal	Dividend payment, paid via Direct Entry, (Ref=1310565108)	-226.63	-	12,736.86
02/07/2021	Withdrawal	Interest payment, paid via Direct Entry, (Ref=1310565108)	-2.05	-	12,734.81
07/07/2021	Advice Fee	Ongoing advice Fees for the month ended 30 Jun 2021	-1,003.23	-	11,731.58
07/07/2021	Portfolio Fee	Non advice Fees for the month ended 30 Jun 2021	-279.33	-	11,452.25
09/07/2021	Income	ABF Dividend (Pay Ref 1312190945)	-	80.12	11,532.37
09/07/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/08/2021 (Pay Ref 1312190945)	-80.12	-	11,452.25
23/07/2021	Deposit	Direct Debit from Bank Account 182-512 967150434	-	20,000.00	31,452.25
27/07/2021	Income	BX Tax Refund	-	3.86	31,456.11
30/07/2021	Deposit	Direct Debit from Bank Account 182-512 967150434	-	40,000.00	71,456.11
02/08/2021	Internal Transfer In	Transfer from Pending Withdrawals account to fund payment (Pay Ref 1312190945)	-	80.12	71,536.23
02/08/2021	Withdrawal	Dividend payment, paid via Direct Entry, (Ref=1312190945)	-80.12	-	71,456.11
02/08/2021	Interest Receipt	Monthly Interest	-	2.51	71,458.62
02/08/2021	Internal Transfer Out	Interest Payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/08/2021 (Pay Ref 1312285182)	-2.51	-	71,456.11
03/08/2021	Internal Transfer In	Transfer from Pending Withdrawals account to fund payment (Pay Ref 1312285182)	-	2.51	71,458.62

## Cash transactions

01 Jul 2021 to 30 Sep 2021

## MAIN CASH ACCOUNT continued

Date	Transaction	Narration	Withdrawal \$	Deposit \$	Balance \$
03/08/2021	Withdrawal	Interest payment, paid via Direct Entry, (Ref=1312285182)	-2.51	-	71,456.11
04/08/2021	Stock Purchase	Net settlement for rebalance 23959 settling on 04/08/2021	-39,980.29	-	31,475.82
09/08/2021	Stock Sell	Net settlement for rebalance 23977 settling on 09/08/2021	-	13,097.82	44,573.64
09/08/2021	Advice Fee	Ongoing advice Fees for the month ended 31 Jul 2021	-1,084.34	-	43,489.30
09/08/2021	Portfolio Fee	Non advice Fees for the month ended 31 Jul 2021	-388.71	-	43,100.59
12/08/2021	Income	AAPL Dividend (Pay Ref 1313587650)	-	55.78	43,156.37
12/08/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/09/2021 (Pay Ref 1313587650)	-55.78	-	43,100.59
27/08/2021	Income	SBUX Dividend (Pay Ref 1313587650)	-	139.67	43,240.26
27/08/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/09/2021 (Pay Ref 1313587650)	-139.67	-	43,100.59
30/08/2021	Stock Purchase	Net settlement for rebalance 24096 settling on 30/08/2021	-21,577.19	-	21,523.40
31/08/2021	Stock Sell	Net settlement for rebalance 24103 settling on 31/08/2021	-	6,441.16	27,964.56
01/09/2021	Internal Transfer In	Transfer from Pending Withdrawals account to fund payment (Pay Ref 1313587650)	-	195.45	28,160.01
01/09/2021	Withdrawal	Dividend payment, paid via Direct Entry, (Ref=1313587650)	-195.45	-	27,964.56
01/09/2021	Interest Receipt	Monthly Interest	-	3.40	27,967.96
01/09/2021	Internal Transfer Out	Interest Payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/09/2021 (Pay Ref 1313675673)	-3.40	-	27,964.56
01/09/2021	Income	V Dividend (Pay Ref 1315486821)	-	47.25	28,011.81
01/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-47.25	-	27,964.56
02/09/2021	Internal Transfer In	Transfer from Pending Withdrawals account to fund payment (Pay Ref 1313675673)	-	3.40	27,967.96



## Cash transactions

01 Jul 2021 to 30 Sep 2021

## MAIN CASH ACCOUNT continued

Date	Transaction	Narration	Withdrawal \$	Deposit \$	Balance \$
02/09/2021	Withdrawal	Interest payment, paid via Direct Entry, (Ref=1313675673)	-3.40	-	27,964.56
02/09/2021	Income	TJX Dividend (Pay Ref 1315486821)	-	136.20	28,100.76
02/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-136.20	-	27,964.56
06/09/2021	Income	IBN Dividend (Pay Ref 1315797909)	-	94.33	28,058.89
06/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315797909)	-94.33	-	27,964.56
07/09/2021	Advice Fee	Ongoing advice Fees for the month ended 31 Aug 2021	-1,194.45	-	26,770.11
07/09/2021	Portfolio Fee	Non advice Fees for the month ended 31 Aug 2021	-410.68	-	26,359.43
09/09/2021	Income	MSFT Dividend (Pay Ref 1315486821)	-	57.47	26,416.90
09/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-57.47	-	26,359.43
15/09/2021	Income	NOC Dividend (Pay Ref 1315486821)	-	171.53	26,530.96
15/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-171.53	-	26,359.43
23/09/2021	Income	QCOM Dividend (Pay Ref 1315486821)	-	115.92	26,475.35
23/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-115.92	-	26,359.43
24/09/2021	Income	LMT Dividend (Pay Ref 1315486821)	-	127.55	26,486.98
24/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-127.55	-	26,359.43
30/09/2021	Income	AVGO Dividend (Pay Ref 1315486821)	-	245.18	26,604.61
30/09/2021	Internal Transfer Out	Dividend payment, Transfer to Pending Withdrawal Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-245.18	-	26,359.43
Opening balance					
			\$	\$	\$
MAIN CASH ACCOUNT summary			12,734.81	-68,613.42	82,238.04
					<b>26,359.43</b>

## Cash transactions

01 Jul 2021 to 30 Sep 2021

## PENDING WITHDRAWALS

Date	Transaction	Narration	Withdrawal \$	Deposit \$	Balance \$
01/07/2021	Opening Balance				1,035.31
01/07/2021	Internal Transfer Out	Transfer to Main Cash account to fund payment (Pay Ref 1310436406)	-906.08	-	129.23
01/07/2021	Internal Transfer In	(Interest) Transfer from Cash Account in preparation for payment on 01/07/2021 (Pay Ref 1310565108)	-	2.05	131.28
02/07/2021	Internal Transfer Out	Transfer to Main Cash account to fund payment (Pay Ref 1310565108)	-228.68	-	-97.40
09/07/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/08/2021 (Pay Ref 1312190945)	-	80.12	-17.28
02/08/2021	Internal Transfer Out	Transfer to Main Cash account to fund payment (Pay Ref 1312190945)	-80.12	-	-97.40
02/08/2021	Internal Transfer In	(Interest) Transfer from Cash Account in preparation for payment on 01/08/2021 (Pay Ref 1312285182)	-	2.51	-94.89
03/08/2021	Internal Transfer Out	Transfer to Main Cash account to fund payment (Pay Ref 1312285182)	-2.51	-	-97.40
12/08/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/09/2021 (Pay Ref 1313587650)	-	55.78	-41.62
27/08/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/09/2021 (Pay Ref 1313587650)	-	139.67	98.05
01/09/2021	Internal Transfer Out	Transfer to Main Cash account to fund payment (Pay Ref 1313587650)	-195.45	-	-97.40
01/09/2021	Internal Transfer In	(Interest) Transfer from Cash Account in preparation for payment on 01/09/2021 (Pay Ref 1313675673)	-	3.40	-94.00
01/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	47.25	-46.75
02/09/2021	Internal Transfer Out	Transfer to Main Cash account to fund payment (Pay Ref 1313675673)	-3.40	-	-50.15
02/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	136.20	86.05
06/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315797909)	-	94.33	180.38

## Cash transactions

01 Jul 2021 to 30 Sep 2021

## PENDING WITHDRAWALS continued

Date	Transaction	Narration	Withdrawal \$	Deposit \$	Balance \$
09/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	57.47	237.85
15/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	171.53	409.38
23/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	115.92	525.30
24/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	127.55	652.85
30/09/2021	Internal Transfer In	(Dividend) Transfer from Cash Account in preparation for payment on 01/10/2021 (Pay Ref 1315486821)	-	245.18	898.03
Opening balance					
			\$	\$	\$
PENDING WITHDRAWALS summary			1,035.31	-1,416.24	898.03

## Transaction history

01 Jul 2021 to 30 Sep 2021

## Transactions

Asset	Type	Transaction date	Settlement date	Quantity	Net amount \$	Average price \$	Disposal method	Narration	Brokerage \$	GST \$
SEA ADS REP CL A ORD SE.NYS	Sell	27/08/2021	31/08/2021	-4	-1,756.76 USD 1,282.79	439.19	Minimise		5.04	0.50
SONOS ORD SONO.NSM	Sell	27/08/2021	31/08/2021	-31	-1,735.85 USD 1,267.52	55.9952	Minimise		5.01	0.50
WESTERN DIGITAL ORD WDC.NSM	Sell	27/08/2021	31/08/2021	-34	-2,948.55 USD 2,153.03	86.7221	Minimise		6.67	0.66
MODERNA ORD MRNA.NSQ	Buy	26/08/2021	30/08/2021	39	21,577.19 USD 15,585.47	553.2613			32.00	3.20
TENCENT ORD 700.HKG	Buy	5/08/2021	9/08/2021	29	2,223.85 HKD 12,781.08	76.6845			3.00	0.30
ACTIVISION BLIZZARD ORD ATVI.NSM	Buy	5/08/2021	9/08/2021	375	40,806.08 USD 30,159.18	108.8162			57.83	5.78
WALT DISNEY COMPANY ORD DIS.NYS	Buy	5/08/2021	9/08/2021	6	1,435.77 USD 1,061.16	239.295			4.59	0.46
MODERNA ORD MRNA.NSQ	Sell	5/08/2021	9/08/2021	-110	-61,315.92 USD 45,317.70	557.4175	Minimise		86.34	8.63
PALO ALTO NETWORKS ORD PANW.NYS	Buy	5/08/2021	9/08/2021	2	1,096.22 USD 810.20	548.11			4.13	0.41
STARBUCKS ORD SBUX.NSM	Buy	5/08/2021	9/08/2021	7	1,126.95 USD 832.91	160.9929			3.79	0.37
SQUARE CL A ORD SQ.NYS	Buy	5/08/2021	9/08/2021	4	1,529.23	382.3075			4.37	0.43

## Transaction history

01 Jul 2021 to 30 Sep 2021

## Transactions continued

Asset	Type	Transaction date	Settlement date	Quantity	Net amount \$	Average price \$	Disposal method	Narration	Brokerage \$	GST \$
					USD 1,130.23					
ASSOCIATED BRITISH FOODS ORD ABF.LSE	Buy	2/08/2021	4/08/2021	262	10,140.47 GBP 5,362.94	38.7041			16.37	1.63
QUALCOMM ORD QCOM.NSM	Buy	2/08/2021	4/08/2021	146	29,839.82 USD 21,926.08	204.3823			43.24	4.32

## Summary of transactions

	Net amount \$	Brokerage \$	GST \$	GST claimable \$	Unsettled as at 30 Sep 2021 \$
Acquisitions	109,775.58	169.32	16.90	-	-
Disposals	-67,757.08	103.06	10.29	-	-
		<b>272.38</b>	<b>27.19</b>	-	-
Turnover	67,757.08				
Average portfolio value from 01/07/21 to 30/09/21	1,637,419.29				
% Turnover	4.14%				

Turnover: The lesser of Acquisitions or Disposals

% Turnover: Turnover divided by the Average portfolio value

## Fee amounts

01 Jul 2021 to 30 Sep 2021

## Fee amounts

Billing date	Payment date	Fee description	Excl GST \$	GST \$	Total amount \$	GST refundable \$
<b>Non advice</b>						
31/07/2021	9/08/2021	<b>Administration Fee</b> Fee calculated based on average balance for the month of \$1,530,875.02 (excludes loans). First \$250,001 at 0.263636%, next \$250,000 at 0.2%, next \$500,000 at 0.118182%, next \$530,874.02 at 1e-010% p.a. excl GST = \$145.83 for the month.	145.83	14.58	160.41	-
31/07/2021	9/08/2021	<b>Administration Fee</b> Fee calculated based on average balance for the month of \$1,510,785.51 (intl direct equities only) at 0.072727% p.a. excl GST = \$91.56 for the month.	91.56	9.16	100.72	-
31/07/2021	9/08/2021	<b>MS Admin Fee</b> Fee calculated based on average balance for the month of \$1,530,875.02 (excludes loans). First \$1,530,875.02 at 0.090909% p.a. excl GST = \$115.98 for the month.	115.98	11.60	127.58	-
31/08/2021	7/09/2021	<b>Administration Fee</b> Fee calculated based on average balance for the month of \$1,686,334.69 (excludes loans). First \$250,001 at 0.263636%, next \$250,000 at 0.2%, next \$500,000 at 0.118182%, next \$686,333.69 at 1e-010% p.a. excl GST = \$145.83 for the month.	145.83	14.58	160.41	-
31/08/2021	7/09/2021	<b>Administration Fee</b> Fee calculated based on average balance for the month of \$1,646,072.54 (intl direct equities only) at 0.072727% p.a. excl GST = \$99.76 for the month.	99.76	9.98	109.74	-
31/08/2021	7/09/2021	<b>MS Admin Fee</b> Fee calculated based on average balance for the month of \$1,686,334.69 (excludes loans). First \$1,686,334.69 at 0.090909% p.a. excl GST = \$127.75 for the month.	127.75	12.78	140.53	-
30/09/2021	7/10/2021	<b>Administration Fee</b> Fee calculated based on average balance for the month of \$1,696,945.25 (excludes loans). First \$250,001 at 0.263636%, next \$250,000 at 0.2%, next \$500,000 at 0.118182%, next \$696,944.25 at 1e-010% p.a. excl GST = \$145.83 for the month.	145.83	14.58	160.41	-
30/09/2021	7/10/2021	<b>Administration Fee</b> Fee calculated based on average balance for the month of \$1,669,432.28 (intl direct equities only) at 0.072727% p.a. excl GST = \$101.18 for the month.	101.18	10.12	111.30	-

## Fee amounts

01 Jul 2021 to 30 Sep 2021

## Fee amounts continued

Billing date	Payment date	Fee description	Excl GST \$	GST \$	Total amount \$	GST refundable \$
<b>Non advice</b>						
30/09/2021	7/10/2021	<b>MS Admin Fee</b> Fee calculated based on average balance for the month of \$1,696,945.25 (excludes loans). First \$1,696,945.25 at 0.090909% p.a. excl GST = \$128.56 for the month.	128.56	12.86	141.42	-
			<b>1,102.28</b>	<b>110.24</b>	<b>1,212.52</b>	-
<b>Advice</b>						
31/07/2021	9/08/2021	<b>Adviser Fee up to 2% p.a. ex GST</b> Fee calculated based on average balance for the month of \$1,530,875.02 (excludes loans) at 0.7727% p.a. excl GST = \$985.76 for the month.	985.76	98.58	1,084.34	98.58 <sup>(a)</sup>
31/08/2021	7/09/2021	<b>Adviser Fee up to 2% p.a. ex GST</b> Fee calculated based on average balance for the month of \$1,686,334.69 (excludes loans) at 0.7727% p.a. excl GST = \$1,085.86 for the month.	1,085.86	108.59	1,194.45	108.59 <sup>(a)</sup>
30/09/2021	7/10/2021	<b>Adviser Fee up to 2% p.a. ex GST</b> Fee calculated based on average balance for the month of \$1,696,945.25 (excludes loans) at 0.7727% p.a. excl GST = \$1,092.69 for the month.	1,092.69	109.27	1,201.96	109.27 <sup>(a)</sup>
			<b>3,164.31</b>	<b>316.44</b>	<b>3,480.75</b>	<b>316.44</b>
<b>Total fees</b>			<b>4,266.59</b>	<b>426.68</b>	<b>4,693.27</b>	<b>316.44</b>

(a) If this investor is registered for GST, then GST may be refundable up to the amount shown.

# Important notices

---

## Complaint Handling

Morgan Stanley Wealth Management Australia Pty Ltd is committed to a high level of client service and responding to any concerns or complaints promptly, fairly, consistently and in a professional manner. As such we have a dedicated Wealth Management Complaints policy that can be accessed from our public website at: <https://www.morganstanley.com.au/resources>. If you have any concerns about a Morgan Stanley Wealth Management Australia Pty Ltd product or service, we would encourage you in the first instance to speak to your financial adviser or the relevant State Manager. If you are not satisfied with their response for any reason, you can contact the Compliance Department directly via the following: Telephone: 1800 008 161, Email: [confidential\\_feedback@morganstanley.com](mailto:confidential_feedback@morganstanley.com) or Post: Level 26, Chifley Tower 2 Chifley Square Sydney NSW 2000. If you have made a complaint and have not received a response within the designated period as documented in our Wealth Management Complaints policy; or if you are unhappy with the outcome; you may have the right to take your complaint to the Australian Financial Complaint Authority ("AFCA"). For more information relating to AFCA's role, please refer to our Wealth Management Complaints policy, or contact AFCA directly via the following: Telephone: 1800 931 678, Email: [info@afca.org.au](mailto:info@afca.org.au) Website: [www.afca.org.au](http://www.afca.org.au) or Post: GPO Box 3 Melbourne VIC 3001.

## General notices

The information provided on this report is not intended to influence any person in making a decision in relation to a particular financial product, class of financial products, or any interest in either. Taxation is only one of the matters that must be considered when making a decision in relation to a financial product. However, to the extent that advice is provided on this report, it does not take into account any person's particular objectives, financial situation or needs. These should be considered to determine the appropriateness of the advice, before acting on it.

### **This report is NOT intended to be advice**

This report is provided by Morgan Stanley Wealth Management Australia Pty Ltd ("Morgan Stanley Wealth Management") (ABN 19 009 145 555, AFSL No. 240813) a Participant of ASX Group. You acknowledge that this information is being provided to you as an accommodation and that Morgan Stanley Wealth Management has not undertaken any independent due diligence or review of the information. Holdings and cost price data may be based on information provided by the client, and as such Morgan Stanley Wealth Management cannot guarantee its accuracy or completeness. Any reference to the terms of executed transactions is preliminary only and subject to written confirmation. Although the information made available has been obtained from sources that we believe to be reliable, we do not guarantee its accuracy and it may be incomplete or condensed. All information [and estimates] presented in this report are [is] applicable as at the date of issue, and are subject to change without notice. The report is subject to the correction of errors and omissions. You acknowledge that any transactions undertaken by us on your behalf are subject to the terms and conditions provided by us from time to time. You acknowledge that we will not be liable to you for any damages suffered or losses incurred by you as a result of any errors in and omissions from this report. This report does not represent accountancy or taxation advice and clients should seek professional advice on these matters. © 2021 Morgan Stanley Wealth Management Australia Pty Ltd. All rights reserved. Any unauthorised use, duplication, redistribution or disclosure is prohibited by law and will result in prosecution. Morgan Stanley Wealth Management, its employees or affiliates, from time to time perform investment banking services and may hold the securities of the companies mentioned in this report. If you make an investment or undertake a transaction through Morgan Stanley Wealth Management, Morgan Stanley Wealth Management or other third parties may receive fees arising from the investment or transaction, which will be disclosed by your financial adviser. Please ask your financial adviser for additional details, or if you become aware of any error or omissions. This report is NOT intended to constitute the provision of financial product advice (as defined in the Corporations Act 2001). Nothing in this material should be treated by you as financial product advice or a recommendation by Morgan Stanley Wealth Management, and you acknowledge that Morgan Stanley Wealth Management does not provide legal or tax advice of any kind. The information provided on this report is not intended to influence any person in making a decision in relation to a particular financial product, class of financial products, or any interest in either. Taxation is only one of the matters that must be considered when making a decision in relation to a financial product. However, to the extent that advice is provided on this report, it does not take into account any persons particular objectives, financial situation or needs. These should be considered to determine the appropriateness of the advice, before acting on it.