





## Section B: Cancellation

### 5 Are you cancelling a previous transfer balance account event?

No  Yes

Complete this report exactly the same as the original event. If you previously reported multiple events you only need to include details of the event/s you wish to cancel. Refer to the instructions for more information on how to cancel a previous report.

## Section C: Fund/Supplier/Provider details

**!** If you are an SMSF trustee completing this report for a member of your SMSF you may leave questions 6 and 7 blank.

### 6 Intermediary/Supplier name

### 7 Australian business number (ABN)

Your contact details are required in case we need to speak to you about details supplied on this report. Provide your contact details in Section G.

### 8 Fund/Provider name

### 9 Fund/Provider ABN

### 10 Fund/Provider TFN

**!** You don't have to provide the TFN to us. However, if you do, it will help us identify the fund/provider correctly and process your report quickly. For more information on privacy, refer to [ato.gov.au/privacy](https://ato.gov.au/privacy)



## Section D-1: Event one

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a second event in Section D-2.

### 11 Is the first event:

A response to a commutation authority  Go to question 12.

An income stream that commenced prior to 1 July 2017  Go to question 13.

An income stream that commenced on or after 1 July 2017  Go to question 13.

A limited recourse borrowing arrangement repayment  Go to question 13.

A different transfer balance cap event  Go to question 14.

Additional information to calculate a member's total super balance or concessional contributions – Go to questions 15 and 16.

## Transfer balance cap event

### 12 Commutation authority

An event that occurred in response to a commutation authority issued by the ATO.

**!** A commutation authority is a notice the Commissioner issues to a super income stream provider requiring the provider to commute an amount out of a specified super income stream.

#### Event type:

Commutation authority – commuted in full

Commutation authority – commuted in part

Commutation authority – deceased

Commutation authority – defined benefit

**➤** Go to Event details on the next page.

### 13 Pre-existing or new income stream event or a limited recourse borrowing arrangement (LRBA) repayment

A transfer balance cap event in relation to an income stream in existence just before 1 July 2017; a new income stream that commenced on or after 1 July 2017; or an LRBA repayment.

**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

Super income stream

Reversionary income stream

LRBA repayment

**!** If you select one of the below events you can only report one event in this report. Refer to the instructions for more information.

Child death benefit income stream

Child reversionary income stream

**➤** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

Member commutation

Income stream stops being in retirement phase

Structured settlement – post 1 July 2017

**➤** Go to Event details on the next page.



## Section D-2: Event two

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a third event in Section D-3.

### 11 Is the second event:

A response to a commutation authority  Go to question 12.

An income stream that commenced prior to 1 July 2017  Go to question 13.

An income stream that commenced on or after 1 July 2017  Go to question 13.

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Commutation authority – defined benefit

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#### Event type:

Super income stream

Reversionary income stream

LRBA repayment

**>** Go to Event details on the next page.

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Any other transfer balance cap event.

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#### Event type:

Member commutation

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**>** Go to Event details on the next page.



## Section D-3: Event three

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section. You can report a fourth event in Section D-4.

### 11 Is the third event:

- A response to a commutation authority  Go to question 12.
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#### Event type:

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- Commutation authority – deceased
- Commutation authority – defined benefit

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**!** If you select either **child death benefit income stream** or **child reversionary income stream** you must complete Section F and you can only report one event on this report.

#### Event type:

- Super income stream
- Reversionary income stream
- LRBA repayment

**>** Go to Event details on the next page.

### 14 Other transfer balance cap event

Any other transfer balance cap event.

**!** If your member has voluntarily requested that you commute an amount, select **Member commutation** event type. Do not use this event type if you are responding to a commutation authority from the ATO.

#### Event type:

- Member commutation
- Income stream stops being in retirement phase
- Structured settlement – post 1 July 2017

**>** Go to Event details on the next page.



## Section D-4: **Event four**

**!** Refer to the instructions for more information on how to complete this section. Only report one event in this section.

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A response to a commutation authority  Go to question 12.

An income stream that commenced prior to 1 July 2017  Go to question 13.

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## Section G: Declarations

Complete the declaration that applies to you. Print your full name then sign and date the declaration.

**!** Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

### Trustee, director or authorised officer declaration

Complete this declaration if you are the trustee, director or authorised officer of the super provider.

*I declare that the information contained in the statement is true and correct.*

Name

Business hours phone number (include area code)

Trustee, director or authorised officer signature

Date   /   /

OR

### Authorised representative declaration

Complete this declaration if you are an authorised representative of the super provider or life insurance company.

*I declare that:*

- I have prepared the statement with the information supplied by the super provider or life insurance company
- I have received a declaration made by the super provider or life insurance company that the information provided to me for the preparation of this statement is true and correct
- I am authorised by the super provider or life insurance company to give the information in the statement to the ATO.

Name

Business hours phone number (include area code)

Authorised representative signature

Date   /   /

## Lodging this report

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- Business Portal if you are a business portal user
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Lodging through the Tax Agent Portal or Business Portal means you will receive an instant receipt.

If you are lodging by post, use the postal address below:

**Australian Taxation Office**  
**PO BOX 3006**  
**PENRITH NSW 2740**





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## Section F: **Third party details**

**!** You only need to complete Section F if the *Reporting event type* is **Child death benefit income stream** or **Child reversionary income stream**. Otherwise leave Section F blank. You must complete this section with the deceased person's details.

**25 Tax file number (TFN)**

**!** You don't have to provide the TFN to us. However, if you do, it will help us identify the third party correctly and process your report quickly. For more information on privacy, refer to [ato.gov.au/privacy](http://ato.gov.au/privacy)

### **26 Name**

Family name

First given name

Other given names

**27 Date of birth**  <sup>Day</sup> /  <sup>Month</sup> /  <sup>Year</sup>

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