



OTTA INTERNATIONAL P/L S/F
 UAD 06/29/94
 OTTA INTERNATIONAL PTY LTD
 TTEE AMD 02/05/16
 73 CARRINGTON ROAD
 WAHROONGA NSW 2076 AUS

July 1, 2020 - July 31, 2020
 Account Number: 0AC-877360
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Portfolio at a Glance

| | This Period |
|--|-------------|
| BEGINNING ACCOUNT VALUE | 2,352.11 |
| Withdrawals (Cash & Securities) | -1,820.05 |
| Net Change in Portfolio¹ | -532.06 |
| ENDING ACCOUNT VALUE | 0.00 |

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

| Percent | Asset Type | Last Period | This Period |
|---------|----------------------|-----------------|-------------|
| 0% | Equities | 2,352.11 | 0.00 |
| 0% | Account Total | 2,352.11 | 0.00 |

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number: 000

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail Address:
 commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

| Enrollment | Communication |
|-------------------------------------|----------------------------------|
| <input checked="" type="checkbox"/> | Statements and Reports |
| <input checked="" type="checkbox"/> | Trade Confirmations |
| <input checked="" type="checkbox"/> | Tax Documents |
| <input checked="" type="checkbox"/> | Notifications |
| <input checked="" type="checkbox"/> | Prospectus |
| <input checked="" type="checkbox"/> | Proxy/Shareholder Communications |

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

Exchange Rate Table

| Currency | AUD Equivalent | Currency per AUD |
|--------------|----------------|------------------|
| U.S. DOLLARS | 1.3947 | 0.7170 |

Exchange rates are based on interbank exchange rates as of 07/31/2020. Exchange rates can vary.

Activity Summary *(All amounts shown are in base currency)*

| | Credits This Period | Debits This Period | Net This Period | Credits Year-to-Date | Debits Year-to-Date | Net Year-to-Date |
|-------------------------|---------------------|--------------------|------------------|----------------------|---------------------|------------------|
| Securities | | | | | | |
| Securities Sold | 1,874.97 | 0.00 | 1,874.97 | 1,874.97 | 0.00 | 1,874.97 |
| Total Securities | 1,874.97 | 0.00 | 1,874.97 | 1,874.97 | 0.00 | 1,874.97 |
| Cash | | | | | | |
| Withdrawals | 0.00 | -1,820.05 | -1,820.05 | 0.00 | -1,820.05 | -1,820.05 |
| Total Cash | 0.00 | -1,820.05 | -1,820.05 | 0.00 | -1,820.05 | -1,820.05 |
| Totals | 1,874.97 | -1,820.05 | 54.92 | 1,874.97 | -1,820.05 | 54.92 |



July 1, 2020 - July 31, 2020
 OTTA INTERNATIONAL P/L S/F
 Base Currency: AUSTRALIAN DOLLAR (AUD)

Transactions in Date Sequence

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | Currency |
|------------------------------------|-------------------------------|---------------------|--|----------|--------|------------------|-----------|----------|
| 07/09/20 | 07/07/20 | SOLD | MEI PHARMA INC COM NEW UNSOLICITED ORDER | -392.000 | 3.3800 | | 1,304.98 | USD |
| | | MEIP | ALLOCATED ORDER YOUR BROKER ACTED AS AGENT | | | | 1,874.97 | AUD |
| 07/31/20 | | CUSTOMER AUTHORIZED | TRANS TO OAC-700000 | | | | -1,304.98 | USD |
| | | TRANSFER | | | | | -1,820.05 | AUD |
| | | USD999997 | | | | | | |
| Total Value of Transactions | | | | | | 0.00 | 0.00 | USD |
| Total AUD Equivalent | | | | | | 0.00 | 54.92 | AUD |

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

European Union Shareholder Rights Directive II, effective on September 3, 2020, requires the disclosure of shareholder details when the issuer of equity securities with a registered office within the European Economic Area makes a request. If you identified your account to your financial organization as an objecting beneficial owner, this election will remain effective only for non-European Union issuer requests.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice,



Important Information and Disclosures *(continued)*

The Role of Pershing *(continued)*

if any, to you and in general, for the ongoing relationship that it has with you.

- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330**.