



Commonwealth Securities Limited  
 AFSL 238814  
 ABN 60067254399  
 Level 4E  
 1 Harbour Street  
 Sydney NSW 2000  
 Telephone 1800 245 698  
 Email options@commsec.com.au

# Monthly Options Statement

For the month of November 2020

OTTA INTERNATIONAL PTY LTD <OTTA INTL  
 P/L S/F A/C>  
 73 CARRINGTON ROAD  
 WAHROONGA NSW 2076 AUS

Account no: 832762

## Current Open Position Details

As at close of business on 30/11/2020

Expiry Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Reference	Market Price (\$)	Unrealised P&L (\$)
<b>BLDW97 BORAL LIMITED. 18-MAR-2021 P 4.41 (100 Shares per lot;European style options)</b>									
18/03/2021	4.41	Put		50	0.5000	17/09/2020	C106395684	0.1500	1,750.00 CR
<b>Total</b>									<b>1,750.00 CR</b>
<b>NCMF88 NEWCREST MINING LIMITED 17-DEC-2020 P 24.01 (100 Shares per lot;European style options)</b>									
17/12/2020	24.01	Put		20	1.2700	04/06/2020	C100862198	0.0700	2,400.00 CR
<b>Total</b>									<b>2,400.00 CR</b>
<b>NCMZ38 NEWCREST MINING LIMITED 17-DEC-2020 P 25.01 (100 Shares per lot;European style options)</b>									
17/12/2020	25.01	Put		50	1.2600	22/05/2020	C100051138	0.1800	5,400.00 CR
<b>Total</b>									<b>5,400.00 CR</b>
<b>ORI5H7 ORICA LIMITED 17-DEC-2020 P 15.51 (100 Shares per lot;European style options)</b>									
17/12/2020	15.51	Put		30	0.3600	21/08/2020	C104817449	0.1550	615.00 CR
<b>Total</b>									<b>615.00 CR</b>
<b>ORI5N7 ORICA LIMITED 17-DEC-2020 P 16.51 (100 Shares per lot;European style options)</b>									
17/12/2020	16.51	Put		55	0.8000	11/08/2020	C104234703	0.6100	1,045.00 CR
<b>Total</b>									<b>1,045.00 CR</b>
<b>SHLW67 SONIC HEALTHCARE LIMITED 18-FEB-2021 P 33.01 (100 Shares per lot;European style options)</b>									
18/02/2021	33.01	Put		50	0.9100	10/11/2020	C108958272	1.3850	2,375.00 DR
<b>Total</b>									<b>2,375.00 DR</b>
<b>STOVB7 SANTOS LIMITED 17-DEC-2020 C 7.01 (100 Shares per lot;European style options)</b>									
17/12/2020	7.01	Call		60	0.1100	06/08/2020	C104032146	0.0300	480.00 CR
<b>Total</b>									<b>480.00 CR</b>
<b>TAHRT7 TABCORP HOLDINGS LIMITED 18-MAR-2021 P 4.27 (100 Shares per lot;European style options)</b>									
18/03/2021	4.27	Put		50	0.8850	16/09/2020	C106327298	0.5550	1,650.00 CR
<b>Total</b>									<b>1,650.00 CR</b>
<b>WPLTB9 WOODSIDE PETROLEUM LTD 17-DEC-2020 P 17.92 (100 Shares per lot;European style options)</b>									
17/12/2020	17.92	Put		50	0.6500	29/09/2020	C106872127	0.0060	3,220.00 CR
<b>Total</b>									<b>3,220.00 CR</b>
<b>Total Unrealised P&amp;L:</b>									<b>14,185.00 CR</b>

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
	Brought Forward Balance			0.00 DR			
10/11/2020	S 50 SHLW67 @ 0.910000 33.01 PUT OPTION EXPIRING 18-FEB-2021		4,507.90	4,507.90 CR	124,110.00 DR	293,104.50 CR	4,507.90 CR
11/11/2020	Direct Transfer - Payee OTTA INTERNATIONAL PTY LTD <OTTA INTL P/L S/F A/C>	4,507.90		0.00 DR	120,477.50 DR	296,862.70 CR	0.00 DR
	<b>Total:</b>	<b>4,507.90</b>	<b>4,507.90</b>	<b>0.00 DR</b>			

## Collateral Holdings

Lodgement ID	Type	Units	Cover Value (\$)	Date Lodged
<b>Collateral Type: Shares</b>				
<b>ALD Ampol Limited</b>				
P0000000	Shares	1,000	21,455.00	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>BKW Brickworks Limited</b>				
P0000000	Shares	4,000	61,728.00	23/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>COL Coles Group Limited</b>				
P0000000	Shares	277	3,463.05	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>IGO IGO Limited</b>				
P0000000	Shares	42,000	137,886.00	03/04/2017
OTTA INTERNATIONAL PTY LTD<SUPERANNUATION FUND A/C>,73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>PNV Polynovo Limited</b>				
P0000000	Shares	5,000	11,235.00	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>QUB Qube Logistics Holdings Limited</b>				
P0000000	Shares	2,527	5,059.05	02/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>STO Santos Ltd</b>				
P0000000	Shares	6,862	29,588.94	09/07/2019
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>TAH Tabcorp Holdings Ltd</b>				
P0000000	Shares	5,400	17,625.60	31/08/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>WES Wesfarmers Ltd</b>				
P0000000	Shares	277	10,958.12	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>Total Cover Value (\$):</b>			<b>298,998.76</b>	

### Explanatory Notes:

- Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
  - The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.
- Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.
- Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.
- Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.