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# Monthly Options Statement

For the month of January 2021

OTTA INTERNATIONAL PTY LTD <OTTA INTL  
 P/L S/F A/C>  
 73 CARRINGTON ROAD  
 WAHROONGA NSW 2076 AUS

Account no: 832762

## Current Open Position Details

As at close of business on 31/01/2021

Expiry Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Reference	Market Price (\$)	Unrealised P&L (\$)
<b>BLDW97 BORAL LIMITED 18-MAR-2021 P 4.41 (100 Shares per lot;European style options)</b>									
18/03/2021	4.41	Put		50	0.5000	17/09/2020	C106395684	0.1050	1,975.00 CR
<b>Total</b>									<b>1,975.00 CR</b>
<b>CSLJB9 CSL LIMITED 18-MAR-2021 P 280.01 (100 Shares per lot;European style options)</b>									
18/03/2021	280.01	Put		20	18.7000	18/01/2021	C112037476	17.1050	3,190.00 CR
<b>Total</b>									<b>3,190.00 CR</b>
<b>NCM9H7 NEWCREST MINING LIMITED 18-MAR-2021 P 20.00 (100 Shares per lot;American style options)</b>									
18/03/2021	20.00	Put	80		0.0750	18/12/2020	C110884247	0.0600	120.00 DR
<b>Total</b>									<b>120.00 DR</b>
<b>NCMFR7 NEWCREST MINING LIMITED 18-MAR-2021 P 26.50 (100 Shares per lot;American style options)</b>									
18/03/2021	26.50	Put		80	1.2500	18/12/2020	C110863412	2.1250	7,000.00 DR
<b>Total</b>									<b>7,000.00 DR</b>
<b>ORI5K9 ORICA LIMITED 18-MAR-2021 P 17.01 (100 Shares per lot;European style options)</b>									
18/03/2021	17.01	Put		55	1.6500	16/12/2020	C110751753	1.8400	1,045.00 DR
<b>Total</b>									<b>1,045.00 DR</b>
<b>ORIUQ9 ORICA LIMITED 18-MAR-2021 P 14.25 (100 Shares per lot;American style options)</b>									
18/03/2021	14.25	Put	55		0.2850	17/12/2020	C110812614	0.2250	330.00 DR
<b>Total</b>									<b>330.00 DR</b>
<b>SHLW67 SONIC HEALTHCARE LIMITED 18-FEB-2021 P 33.01 (100 Shares per lot;European style options)</b>									
18/02/2021	33.01	Put		50	0.9100	10/11/2020	C108958272	0.3400	2,850.00 CR
<b>Total</b>									<b>2,850.00 CR</b>
<b>STOSZ7 SANTOS LIMITED 18-MAR-2021 C 8.01 (100 Shares per lot;European style options)</b>									
18/03/2021	8.01	Call		68	0.2150	13/01/2021	C111805321	0.0350	1,224.00 CR
<b>Total</b>									<b>1,224.00 CR</b>
<b>TAHRT7 TABCORP HOLDINGS LIMITED 18-MAR-2021 P 4.27 (100 Shares per lot;European style options)</b>									
18/03/2021	4.27	Put		50	0.8850	16/09/2020	C106327298	0.3600	2,625.00 CR
<b>Total</b>									<b>2,625.00 CR</b>
<b>Total Unrealised P&amp;L:</b>									<b>3,369.00 CR</b>

## Account Transactions

Currency AUD

Date	Description	Debit (\$)	Credit (\$)	Balance (\$)	Total Margin (\$)	Collateral Cover (\$)	Cash Collateral Cover(\$)
	Brought Forward Balance			0.00 DR			
13/01/2021	S 68 STOSZ7 @ 0.215000 8.01 CALL OPTION EXPIRING 18-MAR-2021		1,417.33	1,417.33 CR	264,327.00 DR	347,993.09 CR	1,417.33 CR
14/01/2021	Direct Transfer - Payee OTTA INTERNATIONAL PTY LTD <OTTA INTL P/L S/F A/C>	1,417.33		0.00 DR	266,280.00 DR	348,379.34 CR	0.00 DR
18/01/2021	B 20 CSLK87 @ 16.300000 284.01 PUT OPTION EXPIRING 21-JAN-2021	32,716.79		32,716.79 DR			
18/01/2021	S 20 CSLJB9 @ 18.700000 280.01 PUT OPTION EXPIRING 18-MAR-2021		37,266.44	4,549.65 CR	261,725.50 DR	345,977.06 CR	4,549.65 CR
19/01/2021	Direct Transfer - Payee OTTA INTERNATIONAL PTY LTD <OTTA INTL P/L S/F A/C>	4,549.65		0.00 DR	261,829.00 DR	349,891.71 CR	0.00 DR
	<b>Total:</b>	<b>38,683.77</b>	<b>38,683.77</b>	<b>0.00 DR</b>			

## Liquidation Advice

### MATCHOUT

Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
CSL	CSLK87	CSL LIMITED 21-JAN-2021 P 284.01 (100 Shares per lot;European style options)							
18/01/2021	284.01	Put		20	5.150	07/12/2020	10,261.15 CR		
18/01/2021	284.01	Put	20		16.300	18/01/2021	32,716.79 DR		
						<b>Total:</b>	<b>22,455.64 DR</b>		

### EXPIRED

Close Out Date	Strike Price	Option Type	Buy	Sell	Price (\$)	Trade Date	Traded Value (\$)	Fee Rate	Fee Value inc-GST (\$)
CSL	CSLKC7	CSL LIMITED 21-JAN-2021 P 240.01 (100 Shares per lot;European style options)							
21/01/2021	240.01	Put	20		0.225	08/12/2020	487.81 DR		
						<b>Total:</b>	<b>487.81 DR</b>		

## Collateral Holdings

Lodgement ID	Type	Units	Cover Value (\$)	Date Lodged
<b>Collateral Type: Shares</b>				
<b>BKW Brickworks Limited</b>				
P0000000	Shares	4,000	59,552.00	23/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>COL Coles Group Limited</b>				
P0000000	Shares	277	3,530.92	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>IGO IGO Limited</b>				
P0000000	Shares	42,473	190,873.66	03/04/2017
OTTA INTERNATIONAL PTY LTD<SUPERANNUATION FUND A/C>,73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>PNV Polynovo Limited</b>				
P0000000	Shares	5,000	9,205.00	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>QUB Qube Logistics Holdings Limited</b>				
P0000000	Shares	2,527	5,041.37	02/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>STO Santos Ltd</b>				
P0000000	Shares	6,862	31,270.13	09/07/2019
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>TAH Tabcorp Holdings Ltd</b>				
P0000000	Shares	5,400	18,314.10	31/08/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>WES Wesfarmers Ltd</b>				
P0000000	Shares	277	12,101.58	10/03/2020
OTTA INTERNATIONAL PTY LTD<OTTA INTL P/L S/F A/C>, 73 CARRINGTON ROADWAHROONGA NSW 2076				HIN:8291802
<b>Total Cover Value (\$):</b>			<b>329,888.76</b>	

### Explanatory Notes:

- Unrealised P&L is unrealised profit or loss on the position based on current market price; does not include brokerage and fees.
- Total Margin is the combined margin requirements of ASX Clear and CommSec for written Option contracts.
- ASX Clear Margin is the margin requirement of ASX Clear for written Option contracts.
- Collateral Cover is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- Cover Value is the value of securities lodged with ASX Clear, net of haircut/discount applied by ASX Clear to the market value of the securities.
- For cash settlement of XJO Index Options, the ASX Opening Price Index Calculation (OPIC) value is available from the ASX website by searching for 'Index derivatives settlement history'.

This Monthly Statement is issued by Commonwealth Securities Limited and is issued subject to:

- the current General Conditions of Trade of Commonwealth Securities Limited ("CommSec");
  - The directions, decisions and requirements of the ASX Limited (ASX), the ASIC Market Integrity Rules, the ASX Operating Rules, the Clearing Rules and where relevant, the Settlement Rules, the customs and usages of the market and the corrections of any errors and or omissions.
- Under the Rules of ASIC and ASX, CommSec is required to act consistently with a fair and orderly market. CommSec or the ASX is entitled to cancel a trade without further reference to you as permitted by the current General Conditions of Trade and the ASX Operating Rules.
- Under the Corporations Act 2001, CommSec is deemed to be the issuer of options recorded on this Trading Confirmation.
- Commonwealth Securities Limited ABN 60 067 254 399, AFSL 238814 is a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia ABN 48 123 123 124, AFSL 234945 and a participant of the ASX Group and Chi-X Australia.