



ANZ SHARE INVESTING

# BUY CONFIRMATION

Mailing Address:

MR ROBERT HARTMAN + MRS HEATHER MARY HARTMAN <THE HARTMAN S/F A/C>  
33 Trusty Park Way  
BALDIVIS WA  
AUSTRALIA 6171

## BUY CONFIRMATION DETAILS

Account No. 1110231  
Confirmation No. 14258387  
Transaction Date 09/04/2021  
As at Date  
Settlement Date 13/04/2021

Financial Product GOLD ETFS PHYSICAL GOLD  
Issuer ETFS METALS.

QUANTITY	PRICE	CONSIDERATION
50	214.2500	\$10,712.50
	Brokerage	\$18.14
	Misc Fees & Charges	\$0.00
	Order Fee	\$0.00
	Application Money	\$0.00
	Total GST Payable	\$1.81
Total Amount Payable: (AUD)		\$10,732.45

## CONFIRMATION COMMENTS

Order Complete Order  
Comments  
Condition  
Crossed

## REGISTRATION DETAILS

MR ROBERT HARTMAN  
MRS HEATHER MARY HARTMAN  
<THE HARTMAN S/F A/C>  
33 TRUSTY PARK WAY  
BALDIVIS WA 6171

## BASIS OF MOVEMENT

This confirmation issued is subject to our terms and conditions and any terms and conditions set out on reverse.

# TERMS AND CONDITIONS

The Client (**You**) specified in this Confirmation issued by CMC Markets Stockbroking Limited (**we, us or our**) has agreed to be bound by the terms and conditions set out below.

Capitalised terms used in this Confirmation that are not defined herein have the same meaning as the defined terms in the CMC Markets Stockbroking Limited Terms and Conditions.

This Confirmation is issued by us as required under the Corporations Act and the ASIC Rules.

This Transaction is subject to and this Confirmation is issued subject to:

- (a) the terms of your Agreement with us;
- (b) the Corporations Act;
- (c) the directions, decisions and requirements of the ASX, SSX, Chi-X, and the Rules, as applicable;
- (d) the customs and usages of the market; and
- (e) the correction of errors and omissions.

You authorise us to, and agree that we may, request or agree to the cancellation or amendment of the Transaction, without your consent where:

- (a) we decide, in our absolute discretion, that it is desirable to cancel or amend the Transaction, having regard to the interest of maintaining a fair and orderly market;
- (b) the ASX, SSX, or Chi-X requests or directs us to cancel or amend the Transaction; or
- (c) the cancellation or amendment is effected pursuant to, or as contemplated by, the Rules. ASX, SSX or Chi-X may also, under the Rules that are applicable, cancel or vary a Transaction in some circumstances. If the Transaction is cancelled, our respective obligations relating to the settlement of the Transaction cease to apply from the time it is cancelled. If the Transaction is amended, our respective obligations relating to the settlement of the Transaction are amended with effect from the time it is amended.

## SETTLEMENT

### Buy Confirmations

If we do not hold sufficient monies on your behalf to settle a transaction, payment in full (by one of the methods described in the attached file) must be received by us by 11am on the Settlement Date (or other date specified by ASX, SSX, Chi-X or ASX Clear).

If we do not receive payment in full by this time, we reserve the right to charge You a default charge on the amount outstanding, at a rate which we would be required to pay on an overdraft facility with our current bankers at such time. Any such sale or purchase will be at your risk and expense (including all applicable brokerage, administration fees and any other duty or taxes). We may apply any amount we receive from You or for your benefit (whether pursuant to this Transaction or otherwise) against any amount You owe to us.

In addition to the above, if, as a result of failure by You to settle, we suffer any claim, liability, direct or consequential loss or incur any cost, charge or expense of any nature, You must on demand fully indemnify us and keep us fully indemnified in respect of that claim, liability, loss, cost, charge or expense.

### Sell Confirmations

To ensure settlement of the Transaction, You must provide all documents and security holder information, including, if applicable:

- i) the relevant holder identification number; and/or
- ii) the shareholder reference number,

by T+1 (that is, 1 day after the date of the Transaction referred to in this Confirmation) or other date specified by ASX, SSX, Chi-X or ASX Clear, as applicable.

If You fail to do so, we may charge an administration fee calculated by reference to the additional cost which may be incurred as a result of your failure to deliver. You must pay or reimburse to us such administration fee immediately upon demand or at our option, we may deduct it from any sale proceeds.

In addition to the above, if, as a result of failure by You to settle, we suffer any claim, liability, direct or consequential loss or incur any cost, charge or expense of any nature, You must on demand fully indemnify us and keep us fully indemnified in respect of that claim, liability, loss, cost, charge or expense.

Any consideration due to You will not be transferred until the later of:

- (a) the time the Transaction is settled on the Settlement Date; or
- (b) all the documents required to settle the Transaction are received by us (and verified through the CHESS settlement facility if a CHESS participant sponsored holding security has been sold).