



Rollover benefits statement

 Use this form for all rollover benefits transactions other than death benefit rollovers.

If you need to rollover a death benefit, use NAT 74924-06.2017.

If you need to correct an error for a payment made before 1 July 2013, use NAT 70944-05.2007.

Complete this form (or a similar form you create that collects the same information) if you are a trustee of a superannuation fund or provider of a retirement savings account (RSA) and any of the following apply:

- you are paying a rollover superannuation benefit other than a death benefit rollover to another fund or RSA, and you are not already providing all of this information electronically under the rollover data standards
- you have paid a rollover superannuation benefit to another fund or RSA and are providing a statement about the rollover to your member
- you are the trustee of a non-complying fund and are paying member benefits to another superannuation fund or RSA (complete section **D** instead of section **C**).

1 You must provide your member with a member statement using this form (or a similar form you create that includes the same information) for all rollovers, including if you applied the data standards and you didn't use this form for the fund-to-fund transaction.

Completing this statement

- Print clearly in BLOCK LETTERS using a black pen only.
- Place **X** in ALL applicable boxes.
- Use a separate form for each rollover payment you are making.

1 Read the instructions carefully. Penalties may apply if you make a false or misleading statement on this form without taking reasonable care.

Section A: **Receiving fund**

1 Australian business number (ABN) 90 460 323 116

2 Fund name

DOULLAS SUPERANNULATION FUN?

3 Postal address

39 PATRICK STREET

Suburb/town/locality

menemether

State/territory

N	S	W
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Postcode

2	2	9	/
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Country if other than Australia

[illegible]

4 (a) Unique superannuation identifier (USI)

[illegible]

(b) Member client identifier 001

001

Section B: Member's details

5 Tax file number (TFN) 189 723 390

6 Full name

Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other

Family name

DOUGLAS

First given name

SCOTT

Other given names

ROBERT

7 Residential address

39 PATRICK STREET

Suburb/town/locality

MEREWETHER

State/territory

NSW

Postcode

2291

Country if other than Australia

8 Date of birth Day Month Year 10 / 06 / 1975

9 Sex Male ☒ Female ☐

10 Daytime phone number (include area code)

0427399865

11 Email address (if applicable)

scott.douglas10@gmail.com

Section C: Rollover transaction details

! Include dollars and cents. The totals at item 13 and 14 must both equal the amount of the rollover payment.

12 Service period start date Day Month Year 12 / 01 / 1994

13 Tax components

Tax-free component \$

KiwiSaver tax-free component \$

Taxable component:

Element taxed in the fund \$

Element untaxed in the fund \$

Tax components TOTAL \$

! Make sure you apply the proportioning rule to the tax components if you are not rolling over the member's full interest in your superannuation fund.

14 Preservation amounts

Preserved amount \$, 3 , 0 0 0 .

KiwiSaver preserved amount \$, , .

Restricted non-preserved amount \$, , .

Unrestricted non-preserved amount \$, , .

Preservation amounts TOTAL \$, 3 , 0 0 0 .

! If the rollover payment contains a **KiwiSaver preserved amount**, you can't make the rollover payment to a self-managed superannuation fund (SMSF) under the preservation rules.

Section D: Non-complying funds

! Only complete this section if you are a trustee of a non-complying fund.

15 Contributions made to a non-complying fund on or after 10 May 2006

\$, , .

Section E: Transferring fund

16 Fund ABN 6 7 3 4 9 6 0 6 4 0

17 Fund name

DOUGLAS SUPERANNUATION FUND

18 Contact name

Title: Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other

Family name

DOUGLAS

First given name

SCOTT

Other given names

ROBERT

19 Daytime phone number (include area code)

0 4 2 7 3 9 9 8 6 5

20 Email address (if applicable)

scott.douglas10@gmail.com

Section F: Declaration

Complete the declaration that applies to you. Print your full name then sign and date declaration.

- !** Before you sign the declaration, check that you have provided true and correct information. Penalties may be imposed for giving false or misleading information.

Trustee, director or authorised officer declaration

Complete this declaration if you are the trustee, director or authorised officer of the superannuation fund or other provider shown in section E.

I declare that the information contained in the statement is true and correct.

Name (BLOCK LETTERS)

SCOTT DOUGLAS

Trustee, director or authorised officer signature



Date

Day Month Year
04 / 03 / 2019

OR

Authorised representative declaration

Complete this declaration if you are an authorised representative of the superannuation fund or other provider shown in section E.

I declare that:

- ☒ I have prepared the statement with the information supplied by the superannuation provider
- ☒ I have received a declaration made by the superannuation provider that the information provided to me for the preparation of this statement is true and correct
- ☒ I am authorised by the superannuation provider to give the information in the statement to the ATO.

Name (BLOCK LETTERS)

SCOTT DOUGLAS

Authorised representative signature



Date

Day Month Year
04 / 03 / 2019

Tax agent number (if you are a registered tax agent)

000000 0000

Where to send this form

- !** Do not send this form to the ATO.

If the rollover data standards do not apply to the transaction, you must do all of the following:

- ☒ send the form to the receiving fund in section **A** within seven days of paying the rollover
- ☒ provide a copy to the member in section **B** within 30 days of paying the rollover
- ☒ keep a copy in your records for five years.

If the rollover data standards do apply to the transaction, you must do all of the following:

- ☒ comply with the data standard requirements for the fund-to-fund interaction (do not send this form to the receiving fund in section **A**)
- ☒ use this form only to provide a statement to the member in section **B** within 30 days of paying the rollover
- ☒ keep a copy of the member statement in your records for five years.

Douglas Superannuation Fund (Fund)

To whom it may concern

Trustee Certificate of Compliance —

(This is not the "Notice of Compliance" that the Australian Taxation Office provides)

This Trustee's Certificate of Compliance is to be used when the member "rolls over" (transfers) benefits from another fund to the Douglas Superannuation Fund.

The Trustee(s) of the Fund certify/ies that the Fund:

- 1 Is a regulated superannuation fund under the *Superannuation Industry (Supervision) Act* 1993 (SIS Act).
- 2 Is a complying superannuation fund within the meaning of section 42A of the SIS Act.
- 3 Is not subject to a direction under Section 63 of the SIS Act and is therefore able to accept employer contributions.
- 4 Is empowered by the Fund's trust deed to receive rolled over or transferred benefits.
- 5 Has received consent to the rollover from the relevant member, as set out below, in accordance with r6.28(i)(b) of the *Superannuation Industry (Supervision) Regulations* 1994.

Signed for and on behalf of the Trustee(s):

Signature of Trustee:



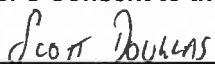
Name:



Date:



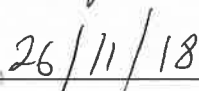
Member's Consent to the "rollover"

I,  (member name), by signing this form, consent to the rollover of my benefits into the **Douglas Superannuation Fund**.

Signature of Member:



Date:



Douglas Superannuation Fund

Instructions for use of Certificate of Compliance

Used when the member “rolls over” (transfers) benefits from another fund to the Douglas Superannuation Fund.

What is the certificate for?

If a member transfers money from another super fund into the member's SMSF, then the other fund may require one of these certificates.

What is certified?

By signing the Certificate the trustee(s) of the Fund certifies that:

- 1** It is a regulated superannuation fund under the SIS Act;
- 2** It is a complying superannuation fund under the SIS Act;
- 3** It is not subject to a direction under Section 63 of the SIS Act; and
- 4** Its trust deed permits the receipt of rolled over or transferred benefits.

What should the trustee do before signing?

IMPORTANT: Before the fund's trustee(s) sign the certificate they must make sure that:

- items 1-4 above are true; and
- the Fund must both have chosen to be regulated by the Australian Taxation Office (ATO) and have told the ATO of that choice — which the Fund does on the form used to apply for an ABN (Australian Business Number).