

Transaction Summary

1 JULY 2019 - 30 JUNE 2020



SHARES - 3054937 - HIN 82313575

CARUSO AND JOHNSON PTY LTD <REGAN AND ROSS SF A/C>

Total Buys and Sells		2019 - 2020 Financial Year
Total Buys (inc. Brokerage + GST)		\$846,809.00
Total Sells (inc. Brokerage + GST)		\$833,444.45

AC8 - AUSCANN GRP HLGS LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
05-Jun-2020	Buy	176,761	\$1.1700	\$30,049.37	\$36.06	\$3.28	100915302	\$30,085.43
04-Jun-2020	Buy	55,604	\$1.1700	\$9,452.68	\$19.95	\$1.81	100877005	\$9,472.63
12-Mar-2020	Sell	-100,000	\$1.1894	-\$18,941.33	\$29.95	\$2.72	96488090	-\$18,911.38
10-Mar-2020	Sell	-57,000	\$2.2100	-\$11,970.00	\$29.95	\$2.72	96339446	-\$11,940.05
07-Feb-2020	Buy	157,000	\$2.2900	\$45,530.00	\$54.64	\$4.97	95251965	\$45,584.64
02-Jan-2020	Sell	-130,750	\$2.2850	-\$37,263.75	\$44.72	\$4.07	94504554	-\$37,219.03
20-Dec-2019	Buy	78,250	\$2.2400	\$18,777.59	\$29.95	\$2.72	94383231	\$18,807.54
19-Dec-2019	Buy	52,500	\$2.2400	\$12,600.00	\$29.95	\$2.72	94373334	\$12,629.95
Sub Total					\$275.17	\$25.01		\$48,509.73

AIZ - AIR NEW ZEALAND FPO NZX (ORDINARY FULLY PAID FOREIGN EXEMPT NZX)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
08-May-2020	Sell	-22,553	\$1.1750	-\$26,499.78	\$31.80	\$2.89	99384974	-\$26,467.98
07-May-2020	Sell	-8,597	\$1.1800	-\$10,144.46	\$29.95	\$2.72	99378485	-\$10,114.51
06-May-2020	Buy	31,150	\$1.1850	\$36,912.75	\$44.30	\$4.03	99288864	\$36,957.05
Sub Total					\$106.05	\$9.64		\$374.56

AMP - AMP LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
13-Aug-2019	Sell	-2,500	\$1.8500	-\$4,625.00	\$19.95	\$1.81	91848425	-\$4,605.05
13-Aug-2019	Sell	-10,000	\$1.8825	-\$18,825.00	\$29.95	\$2.72	91839391	-\$18,795.05
15-Jul-2019	Buy	1,000	\$1.8350	\$1,835.00	\$19.95	\$1.81	91181690	\$1,854.95
15-Jul-2019	Buy	11,500	\$1.8500	\$21,275.00	\$29.95	\$2.72	91181055	\$21,304.95
Sub Total					\$99.80	\$9.06		-\$240.20

ASB - AUSTAL LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
05-May-2020	Sell	-12,750	\$2.9000	-\$36,975.00	\$44.37	\$4.03	99215741	-\$36,930.63
01-May-2020	Buy	12,750	\$2.5700	\$32,767.50	\$39.33	\$3.58	99064175	\$32,806.83
Sub Total					\$83.70	\$7.61		-\$4,123.80

Transaction Summary

1 JULY 2019 - 30 JUNE 2020



AZY - ANTIPA MINERALS LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
20-Jan-2020	Sell	-2,370,000	\$0.0130	-\$30,810.00	\$36.97	\$3.36	94828260	-\$30,773.03
09-Jan-2020	Buy	2,370,000	\$0.0130	\$30,810.00	\$36.97	\$3.36	94633128	\$30,846.97
Sub Total					\$73.94	\$6.72		\$73.94

BEN - BENDIGO AND ADELAIDE FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
27-May-2020	Sell	-6,000	\$6.3800	-\$38,280.00	\$45.94	\$4.18	100337125	-\$38,234.06
15-May-2020	Buy	6,000	\$5.6200	\$33,720.00	\$40.47	\$3.68	99754511	\$33,760.47
Sub Total					\$86.41	\$7.86		-\$4,473.59

CCL - COCA-COLA AMATIL FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
30-Apr-2020	Sell	-3,770	\$8.7000	-\$32,799.00	\$39.36	\$3.58	99041808	-\$32,759.64
17-Apr-2020	Buy	3,770	\$8.5700	\$32,308.90	\$38.78	\$3.53	98422394	\$32,347.68
Sub Total					\$78.14	\$7.11		-\$411.96

CGL - THE CITADEL GRP FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
05-Dec-2019	Sell	-5,000	\$4.1400	-\$20,700.00	\$29.95	\$2.72	94138393	-\$20,670.05
20-Nov-2019	Sell	-2,500	\$3.8400	-\$9,600.00	\$19.95	\$1.81	93826792	-\$9,580.05
31-Oct-2019	Buy	225	\$3.5700	\$803.25	\$10.00	\$0.91	93453753	\$813.25
25-Oct-2019	Buy	375	\$3.3000	\$1,237.50	\$19.95	\$1.81	93342803	\$1,257.45
16-Oct-2019	Buy	600	\$3.4900	\$2,094.00	\$19.95	\$1.81	93161440	\$2,113.95
03-Oct-2019	Buy	450	\$3.6000	\$1,620.00	\$19.95	\$1.81	92925916	\$1,639.95
30-Sep-2019	Buy	520	\$3.7100	\$1,929.20	\$19.95	\$1.81	92826674	\$1,949.15
13-Aug-2019	Buy	5,330	\$4.3900	\$23,398.70	\$29.95	\$2.72	91856205	\$23,428.65
05-Jul-2019	Sell	-3,850	\$5.0100	-\$19,288.50	\$29.95	\$2.72	91027857	-\$19,258.55
Sub Total					\$199.60	\$18.12		-\$18,306.25

CIM - CIMIC GROUP LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
29-Jan-2020	Sell	-500	\$28.7600	-\$14,380.00	\$29.95	\$2.72	95028172	-\$14,350.05
29-Jan-2020	Sell	-500	\$28.5100	-\$14,255.00	\$29.95	\$2.72	95014363	-\$14,225.05
28-Jan-2020	Sell	-687	\$28.0879	-\$19,296.38	\$29.95	\$2.72	94993079	-\$19,266.43
23-Jan-2020	Buy	738	\$28.0714	\$20,716.66	\$29.95	\$2.72	94918323	\$20,746.61
23-Jan-2020	Buy	949	\$28.1800	\$26,742.82	\$32.09	\$2.92	94921346	\$26,774.91
Sub Total					\$151.89	\$13.80		-\$320.01

Transaction Summary

1 JULY 2019 - 30 JUNE 2020



MOZ - MOSAIC BRANDS LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
23-Jan-2020	Sell	-16,212	\$1.6566	-\$26,856.48	\$32.23	\$2.93	94921119	-\$26,824.25
23-Jan-2020	Sell	-456	\$1.7050	-\$777.48	\$10.00	\$0.91	94917628	-\$767.48
20-Jan-2020	Buy	6,668	\$1.7050	\$11,368.94	\$29.95	\$2.72	94838669	\$11,398.89
16-Jan-2020	Buy	10,000	\$1.7050	\$17,050.00	\$29.95	\$2.72	94768428	\$17,079.95
Sub Total					\$102.13	\$9.28		\$887.11

NEA - NEARMAP LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
04-Feb-2020	Sell	-25,725	\$1.7700	-\$45,533.25	\$54.64	\$4.97	95163672	-\$45,478.61
30-Jan-2020	Buy	11,150	\$1.7700	\$19,735.50	\$29.95	\$2.72	95059576	\$19,765.45
30-Jan-2020	Buy	14,575	\$1.8296	\$26,665.84	\$32.00	\$2.91	95055231	\$26,697.84
Sub Total					\$116.59	\$10.60		\$984.68

NHC - NEW HOPE CORPORATION FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
02-Jun-2020	Sell	-28,532	\$1.3900	-\$39,659.48	\$47.59	\$4.33	100658820	-\$39,611.89
29-May-2020	Buy	28,532	\$1.3400	\$38,232.88	\$45.88	\$4.17	100493896	\$38,278.76
Sub Total					\$93.47	\$8.50		-\$1,333.13

NHF - NIB HOLDINGS LIMITED FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
20-Jan-2020	Sell	-2,000	\$5.7000	-\$11,400.00	\$29.95	\$2.72	94836368	-\$11,370.05
20-Jan-2020	Buy	2,000	\$5.7100	\$11,420.00	\$29.95	\$2.72	94829276	\$11,449.95
Sub Total					\$59.90	\$5.44		\$79.90

OSH - OIL SEARCH LTD FPO 10T (10 TOEA ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
16-Apr-2020	Sell	-12,525	\$2.5800	-\$32,314.50	\$38.78	\$3.53	98313354	-\$32,275.72
02-Apr-2020	Buy	12,525	\$2.6800	\$33,567.00	\$40.28	\$3.66	97718702	\$33,607.28
Sub Total					\$79.06	\$7.19		\$1,331.56

RSG - RESOLUTE MINING FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
20-Dec-2019	Sell	-17,500	\$1.0750	-\$18,812.50	\$29.95	\$2.72	94383122	-\$18,782.55
19-Dec-2019	Buy	17,500	\$1.0900	\$19,075.00	\$29.95	\$2.72	94368842	\$19,104.95
Sub Total					\$59.90	\$5.44		\$322.40

Transaction Summary

1 JULY 2019 - 30 JUNE 2020



SAR - SARACEN MINERAL FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
05-Dec-2019	Sell	-3,750	\$3.0450	-\$11,418.75	\$29.95	\$2.72	94138126	-\$11,388.80
20-Nov-2019	Buy	3,750	\$3.0500	\$11,437.50	\$29.95	\$2.72	93827059	\$11,467.45
Sub Total					\$59.90	\$5.44		\$78.65

SZL - SEZZLE INC. CDI FORUS (CDI 1:1 US PERSON PROHIBITED EXCLUDING QIB)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
02-Apr-2020	Sell	-35,000	\$0.9700	-\$33,950.00	\$40.74	\$3.70	97708646	-\$33,909.26
13-Mar-2020	Buy	35,000	\$0.8200	\$28,700.00	\$34.44	\$3.13	96529423	\$28,734.44
30-Jan-2020	Sell	-13,500	\$1.9800	-\$26,730.00	\$32.08	\$2.92	95055080	-\$26,697.92
30-Jan-2020	Sell	-10,000	\$1.9737	-\$19,736.92	\$29.95	\$2.72	95059274	-\$19,706.97
29-Jan-2020	Buy	9,000	\$1.9800	\$17,820.00	\$29.95	\$2.72	95011359	\$17,849.95
29-Jan-2020	Buy	7,125	\$1.9900	\$14,178.75	\$29.95	\$2.72	95014945	\$14,208.70
29-Jan-2020	Buy	7,375	\$1.9500	\$14,381.25	\$29.95	\$2.72	95028794	\$14,411.20
23-Jan-2020	Sell	-10,000	\$2.0000	-\$20,000.00	\$29.95	\$2.72	94917666	-\$19,970.05
20-Jan-2020	Buy	10,000	\$2.0200	\$20,200.00	\$29.95	\$2.72	94828893	\$20,229.95
14-Jan-2020	Sell	-10,000	\$1.7800	-\$17,800.00	\$29.95	\$2.72	94703624	-\$17,770.05
09-Jan-2020	Sell	-2,500	\$1.8714	-\$4,678.45	\$19.95	\$1.81	94629819	-\$4,658.50
09-Jan-2020	Sell	-1,500	\$1.8800	-\$2,820.00	\$19.95	\$1.81	94629774	-\$2,800.05
09-Jan-2020	Sell	-12,500	\$1.8700	-\$23,375.00	\$29.95	\$2.72	94629669	-\$23,345.05
03-Jan-2020	Buy	26,500	\$1.4500	\$38,425.00	\$46.11	\$4.19	94523867	\$38,471.11
Sub Total					\$432.87	\$39.32		-\$14,952.50

VAH - VIRGIN AUS HLDG LTD FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
12-Mar-2020	Sell	-160,000	\$0.0570	-\$9,120.00	\$19.95	\$1.81	96490677	-\$9,100.05
10-Mar-2020	Buy	160,000	\$0.0730	\$11,680.00	\$29.95	\$2.72	96340803	\$11,709.95
Sub Total					\$49.90	\$4.53		\$2,609.90

VUK - VIRGIN MONEY UK PLC CDI 1:1 (CDI 1:1 FOREIGN EXEMPT LSE)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
14-May-2020	Sell	-25,524	\$1.3200	-\$33,691.68	\$40.43	\$3.68	99692109	-\$33,651.25
12-May-2020	Buy	25,524	\$1.4300	\$36,499.32	\$43.80	\$3.98	99549453	\$36,543.12
Sub Total					\$84.23	\$7.66		\$2,891.87

WHC - WHITEHAVEN COAL FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
03-Jan-2020	Sell	-14,500	\$2.6600	-\$38,570.00	\$46.29	\$4.21	94522616	-\$38,523.71

Transaction Summary

1 JULY 2019 - 30 JUNE 2020



WHC - WHITEHAVEN COAL FPO (ORDINARY FULLY PAID)

Date	Type	Quantity	Unit Price	Trade Value	Brokerage+GST	GST	CNote	Total Value
02-Jan-2020	Buy	14,500	\$2.5790	\$37,394.89	\$44.87	\$4.08	94508015	\$37,439.76
19-Dec-2019	Sell	-7,250	\$2.5900	-\$18,777.50	\$29.95	\$2.72	94368591	-\$18,747.55
19-Dec-2019	Sell	-5,000	\$2.6001	-\$13,000.50	\$29.95	\$2.72	94370622	-\$12,970.55
05-Dec-2019	Buy	12,250	\$2.7100	\$33,197.50	\$39.84	\$3.62	94139447	\$33,237.34
15-Jul-2019	Sell	-5,500	\$3.8225	-\$21,023.50	\$29.95	\$2.72	91180905	-\$20,993.55
05-Jul-2019	Buy	5,500	\$3.6200	\$19,910.00	\$29.95	\$2.72	91029395	\$19,939.95
Sub Total					\$250.80	\$22.79		-\$618.31