

COMMSEC INTERNAL BULK OFF MARKET TRANSFER

Commonwealth Securities Limited
 ABN 60 067 254 399 AFSL 238814 (CommSec)
 A Participant of the ASX Group and Chi-X Australia

Commonwealth Securities Limited
commsec.com.au
 Phone: 13 15 19
 Email: OMTRequests@CommSec.com.au

IMPORTANT INFORMATION | READ BEFORE PROCEEDING

Use this form to transfer the ownership of multiple stocks from one CommSec account to another CommSec account.

A fee of \$54 PER SECURITY TRANSFER (GST inclusive) will be debited from the bank account linked to the buyer's trading account.

- Any alterations made to this form MUST be initialled by both the Buyer(s) and the Seller(s).
- Any increase to the amount of securities being transferred will not be accepted, even if initialled, you will need to complete a new form without amendments to the amount.
- This form is used to transfer a maximum of 8 securities. Please print a separate form to transfer additional securities.
- The transfer of stocks could give rise to tax consequences. You should ensure that you have considered the tax implications of the transfer before

completing and returning this form. Information in relation to the tax implications of stock transfers is available on the ATO website. You should seek independent, professional tax advice before making any decision in relation to the transfer.

- CBA is not a registered tax (financial) adviser under the Tax Agent Services Act 2009 and is unable to provide you with tax advice in relation to this communication.
- For general information on Off Market Transfers or assistance in completing the form, please refer to the **Guidelines for completing this form**. Or contact us on 13 15 19, 8am and 7pm (Sydney time) Monday to Friday.

Next Steps:

- Once completed, print, sign and scan the form back to us at OMTRequests@CommSec.com.au.

GUIDELINES FOR COMPLETING THIS FORM

SECTION A – SELLER(S)/TRANSFEROR(S) DETAILS

- ACCOUNT REGISTRATION NAME OF SELLER:** The given names and surname of the seller(s).
 Deceased estates should read "(the full names of the executors) as Executors of the estate of (name of deceased shareholder)".
 For companies, insert the company legal name.
 For superannuation fund, family trust or minor, if the trustee is an individual, please insert the trustee given names and surname.
 If the trustee is a company, please insert the company legal name.
 *For account designation, ensure you insert the name of the Superannuation fund, trust name as per trust deed, or minor's name as per birth certificate.
- REGISTERED ADDRESS:** Insert the full address including the postcode exactly as printed on seller's holding statement.
- TRADING A/C:** Insert the CommSec account number where securities are currently held.
 Please ensure that the registration details (name & address) correspond to those registered under the CommSec account and HIN. Mismatched registration details may result in the transfer being returned to you for clarification.
- HOLDER IDENTIFICATION NUMBER (HIN):** This number can be found on a CHES Holding Statement. Failure to include the seller's HIN may result in the transfer being returned to you for clarification.
- CONTACT PHONE NUMBER OF SELLER:** Insert the seller's contact phone number(s) including area code.

SECTION B – BUYER(S)/TRANSFEREE(S) DETAILS

- ACCOUNT REGISTRATION NAME OF BUYER:** Full names of all buyers (a maximum of three joint holders). Securities can only be registered in the name of a living person or an incorporated company.
 For companies, insert the company legal name. Fund, Trust or Estate names are to be inserted as an account designation.
 If the trust or superannuation fund trustee is an individual, please insert the trustee given names and surname.
 If the trustee is a company, please insert the company legal name.
 *For account designation, ensure you insert name of the Superannuation fund, trust name as per trust deed, or minor's name as per birth certificate.
- REGISTERED ADDRESS:** Insert the full address including the postcode exactly as printed on buyer's holding statement.

- TRADING A/C:** Insert the CommSec account number where securities are to be held.
 Please ensure that the registration details (name & address) correspond to those registered under the CommSec account and HIN. Mismatched registration details may result in the transfer being returned to you for clarification.
- HOLDER IDENTIFICATION NUMBER (HIN):** The buyer's HIN, so that any previous holdings may be amalgamated. This number can be found on a CHES Holding Statement. Failure to include the buyer's HIN may result in the transfer being returned to you for clarification.
- CONTACT PHONE NUMBER OF BUYER:** Insert the buyer's contact phone number(s) including area code.

SECTION C – SECURITY DETAILS

- CODE:** The unique trading code of the security used by the Australian Stock Exchange (ASX) (e.g. the ASX code for the Commonwealth Bank is CBA, for Woolworths Limited is WOW, for Reef Casino Trust is RCT, etc.).
- SECURITY NAME:** The full name of the company or trust in which the securities are held (e.g. Commonwealth Bank of Australia, Woolworths Limited, Reef Casino Trust, etc.).
- UNITS:** Number of securities being transferred.
- CONSIDERATION:** The full amount paid in settlement of the transfer of securities. You may set your own consideration.
- DATE OF TRANSFER:** Insert date of transfer. Please note this is not the date of the purchase agreement but the date of completion of the transfer. This should be on or before the date in points 17 and 19.

SECTION D – SIGNATURES

- SELLERS SIGNATURE:**
 Joint holders – all holders must sign.
 Under Power of Attorney – if not already noted, a certified copy of the Power of Attorney must be sent with the transfer form.
 Deceased Estate – all executors should sign and, if not already provided, a certified copy of Probate or Letters of Administration must be sent with the transfer form.
 Company – signatures of two directors (or a director and the secretary) or if there is a sole director, signature of that director.
- DATE SIGNED:** Insert the date signed by the seller(s). This should be the same as or after the date of transfer.
- BUYERS SIGNATURE:** Same requirements as point 16.
- DATE SIGNED:** Insert the date signed by the buyer(s). This should be the same as or after the date of transfer.

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SECTION A – SELLER(S)/TRANSFEROR(S) DETAILS A

A fee of \$54 PER SECURITY TRANSFER (GST inclusive) will be debited from the bank account linked to the buyer's trading account.

1. Account registration name (Full Name(s), Company Name or Name of Estate – this must match the registration details exactly)

QINGUI XIE

Account Designation¹ (if applicable for your Trust account)

2. Registered address

35 Noorong Avenue, Bundoora

State **VIC**

Postcode **3083**

3. Trading Account Number

2699251

4. HIN

71393461

5. Contact Number

61 0451182669

SECTION B – BUYER(S)/TRANSFeree(S) DETAILS B

6. Account registration name (Full Name(s), Company Name – this must match the registration details exactly)

TIME INVEST PTY LTD<XIES SUPER FUND A/C>

Account Designation¹ (if applicable for your Trust account)

7. Registered address

35 Noorong Avenue, Bundoora

State **VIC**

Postcode **3083**

8. Trading Account Number

4706081

9. HIN

109682195

10. Contact Number

61 0451182669

SECTION C – SECURITY DETAILS C

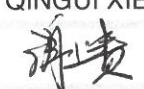

11. Code	12. Security Name	13. Units	14. Consideration (\$A)
PLS	Pilbara Minerals Ltd	10000	2450
BUB	Bubs Australia Ltd	31600	12956
LIT	LITHIUM AUSTRALIA NL	600000	35496.12

Note that for CommSec Pocket Accounts, only approved securities will be transferred.

15. Date Of Purchase (Optional)

SECTION D – SIGNATURES D

I/We the registered holder(s) and undersigned Seller(s) for the above consideration(s) do hereby transfer to the above name(s) hereinafter called the Buyer(s) the securities as specified above standing in my/our name(s) in the books of the above named Company, subject to the several conditions on which I/we held the same at the time of signing hereof and I/we the Buyer(s) do hereby agree to accept the said securities subject to the same conditions. I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed.

	Security Holder 1	Security Holder 2	Security Holder 3
16. Print full name(s) of Seller(s)	QINGUI XIE		
All Seller(s) must sign here (digital signatures not accepted)			
17. Date Signed (mandatory)	04/04/2022		
18. Print full name(s) of Buyer(s)	TIME INVEST PTY LTD<XIES SUPER FUND A/C>		
All Buyer(s) must sign here (digital signatures not accepted)			
19. Date Signed (mandatory)	04/04/2022		