

ADMISSION OF AN ADULT MEMBER

2020-21

Document provided by

TOWNSENDS BUSINESS & CORPORATE LAWYERS

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CHECKLIST

1. Confirm that the fund ("the Fund") currently has less than the maximum permitted number of members (currently four but this is proposed to increase to six).

(If the Fund already has the maximum permitted number of members, the applicant cannot be admitted as a member.)

2. Confirm that the Applicant is not disqualified from participating as a trustee or director of a corporate trustee of a self managed superannuation fund.

(If the Applicant is disqualified from participating in the management of the Fund (eg an undischarged bankrupt) the Applicant cannot be admitted as a member.)

3. If the Applicant is under the age of 18, use the "Admission of a Child Member" template.

4. The Applicant should generally be an Australian tax resident to be admitted as a member.

(If the Applicant is or about to become a non-resident for Australian tax purposes, the admission of the Applicant may adversely affect the Fund's entitlement to concessional tax treatment as a complying superannuation fund.)

5. Provide the Applicant with a copy of the current General Product Disclosure Statement.

6. The Applicant must complete and sign the Application for Membership.

7. The trustees/directors must sign the resolutions accepting the Application and resolving to admit the Applicant as a Member.

8. Notify the ATO of the admission of the Applicant as a Member. Use ATO Form 3036, AUSkey or an ATO Digital Certificate.

(If the Applicant is also to be appointed as a trustee or as a director of the company which acts as the trustee, and the admission and appointment occur on the same day, both events can be reported to the ATO using the one form. If the admission and the appointment occur on different days, then separate forms must be completed and submitted to the ATO. The forms must be submitted to the ATO within 28 days of the occurrence of the relevant event.)

9. Accept contributions for the Applicant.

10. Consider whether the Fund's current investment strategy needs to be reviewed given the admission of the Applicant.

11. If not already appointed, the Applicant should be appointed as a trustee/director of the corporate trustee of the Fund. This appointment must occur before or at the same time as the admission of the member. If the appointment is made after the admission of the member, the Fund may not satisfy the definition of “self managed superannuation fund”.

(This can be done by completing the “Appointment of Trustee/Director to a self managed superannuation fund” Toolkit document. However, in the case of individual trustees, many third parties (such as banks) require the appointment to be effected by a Deed of Appointment of Trustee.)

12. Variables are indicated in red text.

APPLICATION FOR ADMISSION as a member of the ANA Equity Super Fund ABN 52 679 868 251 (“the Superannuation Fund”)

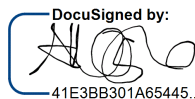
I hereby apply for membership of the Superannuation Fund and agree to be bound by the Governing Rules of the Superannuation Fund as they are amended from time to time.

I confirm I have received a copy of the General Product Disclosure Statement in respect of the Superannuation Fund which was issued by the trustee of the Superannuation Fund.

I understand that the Superannuation Fund is a regulated superannuation fund and also a self managed superannuation fund.

I confirm that:

- (a) I have attained the age of 18 years;
- (b) no notice of disqualification has been made in respect of me pursuant to s126A of the *Superannuation Industry (Supervision) Act 1993*;
- (c) I am not an insolvent under administration;
- (d) no civil penalty order under the *Superannuation Industry (Supervision) Act 1993* has been made against me; and
- (e) I have not been convicted (whether in Australia or elsewhere) of any offence involving dishonest conduct.

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Applicant (signature)

Full name of the Applicant	Annabelle Barter
Residential address of the Applicant	226 Mitchell Parade Mollymook Beach NSW 2539
Date of birth of the Applicant07...../.....January...../.... 1973.....

WRITTEN RESOLUTION of the trustee in respect of the ANA Equity Super Fund ABN 52 679 868 251 (“the Superannuation Fund”)

1. Purpose of resolution

The purpose of this resolution is to:

- (a) authorise the issue of a general Product Disclosure Statement in respect of superannuation interests to be issued by the Superannuation Fund including accumulation interests and pension interests;
- (b) admit the Applicant as a new Member of the Superannuation Fund;
- (c) accept contributions made by or in respect of the Applicant;
- (d) accept benefit transfers and rollovers in respect of the Applicant; and
- (e) authorise various actions to be undertaken as a consequence of the admission of the Applicant as a new Member and the acceptance of contributions, benefit transfers and rollovers in respect of the Applicant.

2. Issue of General Product Disclosure Statement

The General Product Disclosure Statement describes both accumulation benefits and account pension benefits (namely, account-based, transition to retirement and market-linked pensions) which can be provided by the Superannuation Fund.

2.1 Trustee resolution – adoption & issue of PDS

Resolved that the General Product Disclosure Statement is adopted by the trustee and its issue to new members and prospective members is authorised.

3. Admission of applicant as a member

3.1 Resolutions in relation to the admission of the Applicant

Noted that the Applicant is eligible to be admitted as a member of the Superannuation Fund and that the Applicant is not disqualified from participating in the management of the Superannuation Fund.

Noted that the admission of the Applicant as a member will not cause the Superannuation Fund to cease to satisfy the definition of “self managed superannuation fund” as the Applicant has been or will be appointed as a director of the company which acts as trustee or has been or will be appointed as a trustee (as may be appropriate) and the admission of the Applicant will not cause the number of members to exceed four.

Noted that as the Applicant is an Australian resident for taxation purposes, the admission of the Applicant and the acceptance of contributions by or in respect of the Applicant, and the acceptance of transfers and rollovers in respect of the Applicant, will not prejudice the status of the Superannuation Fund as an Australian superannuation fund.

Noted that the trustee has received an application to admit the Applicant as a new Member of the Superannuation Fund. The application has been signed by the Applicant.

Resolved that the Applicant is admitted as a member of the Superannuation Fund with immediate effect.

Resolved that the administrator of the Superannuation Fund be instructed to notify the ATO of the change in the persons associated with the Superannuation Fund by reason of the admission of the Applicant as a member and appointment as a trustee or director of the company which acts as trustee (as may be appropriate) of the Superannuation Fund by either completing and submitting form NAT 3036 or online using an AUSkey or an ATO Digital Certificate.

Noted that the ATO must be notified of the Applicant's admission as a member within 28 days of the date of these resolutions.

4. Contributions in respect of applicant

Noted that the Applicant has advised that contributions (whether concessional or non-concessional or other types) for the Applicant will be made to the Superannuation Fund.

Further noted that in accordance with the Governing Rules the Superannuation Fund may accept contributions in respect of the Applicant so long as the SIS Regulations permitted the Superannuation Fund to accept such contributions.

5. Contributions resolution – applicant

Resolved to accept any contributions made by or in respect of the Applicant subject to the contributions acceptance rules being satisfied in respect of those contributions and that any contributions be credited to the member's account of the Applicant.

6. Benefit transfers and rollovers in respect of applicant

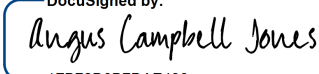
Noted that the Applicant has advised that benefit transfers and rollovers in respect of the Applicant may be made to the Superannuation Fund.

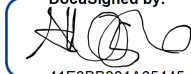
Further noted that in accordance with the Governing Rules of the Superannuation Fund, the trustee may accept benefit transfers and rollovers in respect of the Applicant so long as the SIS Regulations permitted the Superannuation Fund to accept such transfers and rollovers.


Resolved to accept any benefit transfers and rollovers in respect of the Applicant subject to the contributions acceptance rules being satisfied in respect of those benefit transfers and rollovers and that any transfers and rollovers are credited to the member's account of the Applicant.

ANA Equity Pty Ltd

Signed as written resolutions of ANA Equity Pty Ltd ACN 149 568 178 the trustee of the ANA Equity Super Fund
ABN 52 679 868 251

DocuSigned by:

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Angus Campbell Jones – Trustee / Director

DocuSigned by:

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Annabelle Barter – Trustee / Director

Dated:11...../...03...../ 2021.....
DocuSigned by:

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