





> Third Party Platform Pty Ltd  
Trading as Bell Direct  
A GPO Box 1630, Sydney NSW 2001  
Level 30, One Farrer Place, Sydney NSW 2000  
T 1300 786 199  
E support@belldirect.com.au

**Mr Malcolm Aubrey + Ms Taryn Nicole Green <The Aubrey Superannuati A/C>**  
**53A HARRIS ROAD**  
**VALE PARK SA 5081**

Sell Order Instruction		Tax Invoice S21694768	
Order Number	102602043	Trade Date	05-Jan-22
Time/Date Created	05-Jan-22 02:44 PM AEST	As at Date	05-Jan-22
Order Creator	Malcolm Aubrey	Settlement Date	07-Jan-22
Time/Date Last Amended	05-Jan-22 02:44 PM AEST	Amount	\$501.00
Last Instruction	Sell 300 NGI @ \$1.72	Settlement Account	Direct Investment Account
Current Status	Completed		

**A/C 159099 - Mr Malcolm Aubrey + Ms Taryn Nicole Green <The Aubrey Superannuati A/C>**

**Sell Contract S21694768**

**We have sold for you:**

ASX Code NGI  
Description NAVIGATOR GLOBAL INVESTMENTS LIMITED ORDINARY FULLY PAID

Quantity	Basis of Quotation	Condition Code	Serial #	Price	Consideration
116			1520293095	\$1.72	\$199.52
184			1520296409	\$1.72	\$316.48
<b>300</b>				<b>\$1.72</b>	<b>(average)</b>

Trade Value	\$516.00
Brokerage	\$15.00
Net Proceeds	\$501.00
Includes GST of	\$1.36

Third Party Platform Pty Ltd is a Trading Participant of both ASX and Chi-X. Your order may have been executed on either or both markets of which Third Party Platform Pty Ltd is a Participant.

This confirmation is issued subject to (a) the directions, decisions and requirements of the Market Operator, the ASIC Market Integrity Rules, the Market Operating Rules, the ASX Clear Operating Rules and where relevant the ASX Settlement Operating Rules; (b) the customs and usages of the Market and (c) the correction of errors and omissions.

If condition code is 'XT' or 'SA' then all or part of that transaction was crossed. Explanation of Basis of Quotation abbreviations can be obtained from [http://www.asx.com.au/prices/status\\_notes.htm](http://www.asx.com.au/prices/status_notes.htm)



194592 02552  
MR MALCOLM AUBREY &  
MS TARYN NICOLE GREEN  
<THE AUBREY SUPER FUND A/C>  
53A HARRIS ROAD  
VALE PARK SA 5081

**CHESS HOLDING STATEMENT**

For statement enquiries contact  
your CHESS Sponsor;  
**THIRD PARTY PLATFORM PTY LTD**  
GPO BOX 1630  
SYDNEY, NSW 2001  
☎ 1300 786 299

Holder ID Number (HIN):	0060370826
CHESS Sponsor's ID (PID):	02552
Statement Period:	January 2022
Page:	1 of 1

**NGI - ORDINARY FULLY PAID**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 03 Jul 19</b>					300
06 Jan 22	Movement of Securities due to Purchase, Sale or Transfer	0255263513705900			300	0

**FOR YOUR INFORMATION**

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



**Share Registry Details:**

LINK MARKET SERVICES LIMITED  
LOCKED BAG A14,  
SYDNEY SOUTH NSW 1235  
Ph: 02 82807111

# Sample CHESS Holding Statement

**The Issuer's name and logo** - Points to the ASX logo and the issuer's name: **ASX CHESS Holding Statement**

**Your name and address as registered in CHESS** - Points to the recipient's name and address: **Mr JOHN ANDERSON**, 1234 ST GEORGE ROAD, SYDNEY NSW 2000

**The security to which this statement relates** - Points to the security name: **QZ2 - ORDINARY FULLY PAID**

**Date the transaction is recorded in your CHESS holding** - Points to the transaction date: **18 Jan 20**

**Description of the transaction** - Points to the transaction description: **Balance Brought Forward from 17 Dec 20**

**The Transaction ID is a supplementary reference and may be useful for enquiries** - Points to the Transaction ID: **123456789012345**

**Important messages will appear here** - Points to the 'FOR YOUR INFORMATION' section.

**Details of your CHESS Sponsor - your first point of contact** - Points to the sponsor's contact information: **FIRST INTERNATIONAL N.Y. AUSTRALIA EQUITIES LTD**, LEVEL 30, 200 MARK PLACE, SYDNEY NSW 2000

**Your unique CHESS number. Keep your HIN confidential and only disclose it in dealings with your CHESS Sponsor** - Points to the Holding ID Number: **1234567890**

**Your balance in the security after being adjusted by the transaction** - Points to the Holding Balance: **100**

**Number of units that will increase or decrease your balance** - Points to the Quantity: **100**

**Ex/Cum status of a transaction processed in the Ex Period may appear here** - Points to the Ex/Cum status: **EX**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity	Holding Balance
18 Jan 20	Balance Brought Forward from 17 Dec 20	123456789012345	EX	100	100

## Further Explanatory Notes:

- 1) CHESS Holding Statements are similar in form to a bank statement. They will detail those transactions that occurred in a calendar month and will progressively calculate the holding balance brought forward to the closing balance at the end of each month.
- 2) CHESS Holding Statements are only issued if there has been a change in your CHESS holding balance. You will receive a CHESS Statement after the end of the month for each security in which a transaction changed your CHESS holding balance.
- 3) CHESS holding statements for holdings in certain call rights registered in CHESS are not routinely issued. However, a statement for these securities, if others, can be requested through your CHESS Sponsor or the 'Share Registry', though they may charge you a fee.
- 4) An Ex/Cum status may appear if the transaction is processed between the Ex Date and the Record Date for a corporate action (e.g. the Ex Period for a dividend) will be included for a higher value (the status is Cum) and for a lower value (the status is Ex). Contact your CHESS Sponsor for further details.



165738 02552  
 MR MALCOLM AUBREY &  
 MS TARYN NICOLE GREEN  
 <THE AUBREY SUPER FUND A/C>  
 53A HARRIS ROAD  
 VALE PARK SA 5081

## CHESSE HOLDING STATEMENT

For statement enquiries contact  
 your CHESSE Sponsor:

**THIRD PARTY PLATFORM PTY LTD**

GPO BOX 1630  
 SYDNEY, NSW 2001

☎ 1300786299

**Holder ID Number (HIN): 0060370826**

CHESSE Sponsor's ID (PID): 02552

Statement Period: July 2019

Page: 1 of 1

### NGI - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
03 Jul 19	Movement of Securities due to Purchase, Sale or Transfer	001739I4781CYA00		300		300

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESSE Depositary Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESSE Depositary Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESSE Holdings to third parties.

*Refer overleaf for additional important information*

#### Share Registry Details:

LINK MARKET SERVICES LIMITED  
 LOCKED BAG A14,  
 SYDNEY SOUTH NSW 1235  
 Ph: 02 82807111



Issued By:

ASX Settlement Pty Limited | ABN 49 008 504 532 | PO Box H227, Australia Square, Sydney NSW 1215



# Sample CHESS Holding Statement

The Issuer's name and logo

Your name and address as registered in CHESS.


The security to which this statement relates.

Date the transaction is recorded in your CHESS holding.

Description of the transaction.

The Transaction ID is a supplementary reference and may be useful for enquiries.

Important messages will appear here.



ASX  
ASX Clearing Pty Ltd  
Level 10, 100 Market Street  
Sydney, NSW 2000  
Australia  
Tel: 02 9551 8000  
Fax: 02 9551 8001  
www.asx.com.au

**CHESS HOLDING STATEMENT**

For statement enquiries contact your CHESS Sponsor

FIRST INTERNATIONAL B.Y. AUSTRALIA COURTESY LTD  
LEVEL 10, 100 MARKET STREET  
SYDNEY, NSW 2000  
TEL: 02 9551 4467

Holding Number (HIN):	CHESS HIN:
Account Number:	Account:
Statement Date:	Statement For:
Page: 1 of 1	


QZJ - ORDINARY FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
31 Dec 00	Balance Brought Forward from 31 Dec 00	123456789012345		300		300
31 Dec 00	Share Issue Movement	123456789012345		300		300

THE TRUST INFORMATION

- A Trust is a legal arrangement where property is held by one or more persons for the benefit of one or more other persons.
- The trustee of a trust is the person or persons who hold the property on behalf of the trust.
- The beneficiaries of a trust are the persons who are entitled to the benefit of the trust.

See www.asx.com.au for further information and assistance



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Level 10, 100 Market Street  
Sydney, NSW 2000  
Australia  
Tel: 02 9551 8000  
Fax: 02 9551 8001  
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Your unique CHESS number. Keep your HIN confidential and only disclose it in dealings with your CHESS Sponsor.

Your balance in the security after being adjusted by the transaction.

Number of units that will increase or decrease your balance.

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- 4) An Ex or Cum status may appear if the transaction is processed between the Ex Date and the Record Date for a capitalistic action (ie. the Ex Period). An statement will be included for a buyer when the status is Cum and for a seller when it is Ex. Contact your CHESS Sponsor for further details.



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**Mr Malcolm Aubrey + Ms Taryn Nicole Green**  
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**VALE PARK SA 5081**

Buy Order Instruction		Tax Invoice B4397626	
Order Number	6337064	Trade Date	01-Jul-19
Time/Date Created	01-Jul-19 01:37 PM AEST	As at Date	01-Jul-19
Order Creator	Malcolm Aubrey	Settlement Date	03-Jul-19
Time/Date Last Amended	01-Jul-19 01:37 PM AEST	Amount	\$1,203.00
Last Instruction	Buy 300 NGI @ \$3.96	Settlement Account	Bell Cash Trust
Current Status	Completed		

**A/C 159099 - Mr Malcolm Aubrey + Ms Taryn Nicole Green <The Aubrey Superannuati A/C>**

**Buy Contract B4397626**

**We have bought for you:**

ASX Code: NGI  
Description: NAVIGATOR GLOBAL INVESTMENTS LIMITED ORDINARY FULLY PAID

Quantity	Basis of Quotation	Condition Code	Serial #	Price	Consideration
300			1220221925	\$3.96	\$1,188.00
300				\$3.96	(average)

Trade Value	\$1,188.00
Brokerage	\$15.00
Amount Payable	\$1,203.00
<i>Includes GST of:</i>	\$1.36

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