



> Third Party Platform Pty Ltd  
 Trading as Bell Direct  
 A GPO Box 1630, Sydney NSW 2001  
 Level 30, One Farrer Place, Sydney NSW 2000  
 T 1300 786 199  
 E support@belldirect.com.au

**Mr Malcolm Aubrey + Ms Taryn Nicole Green <The Aubrey Superannuatl A/C>**  
**53A HARRIS ROAD**  
**VALE PARK SA 5081**

Sell Order Instruction		Tax Invoice S21947643	
Order Number	102602110	Trade Date	17-Mar-22
Time/Date Created	05-Jan-22 02:51 PM AEST	As at Date	17-Mar-22
Order Creator	Malcolm Aubrey	Settlement Date	21-Mar-22
Time/Date Last Amended	05-Jan-22 02:51 PM AEST	Amount	\$1,962.50
Last Instruction	Sell 250 YMAX @ \$7.91	Settlement Account	Direct Investment Account
Current Status	Completed		

**A/C 159099 - Mr Malcolm Aubrey + Ms Taryn Nicole Green <The Aubrey Superannuatl A/C>**

**Sell Contract S21947643**

**We have sold for you:**

ASX Code YMAX  
 Description BETASHARES AUS TOP 20 EQUITY YIELD MAX FUND (MANAGED FUND)  
 BETASHARES AUS TOP20 EQY YIELD MAX (MANAGED FUND)

Quantity	Basis of Quotation	Condition Code	Serial #	Price	Consideration
250			1630021958	\$7.91	\$1,977.50
250				\$7.91	(average)

Trade Value	\$1,977.50
Brokerage	\$15.00
Net Proceeds	\$1,962.50
Includes GST of	\$1.36

Third Party Platform Pty Ltd is a Trading Participant of both ASX and Chi-X. Your order may have been executed on either or both markets of which Third Party Platform Pty Ltd is a Participant.

This confirmation is issued subject to (a) the directions, decisions and requirements of the Market Operator, the ASIC Market Integrity Rules, the Market Operating Rules, the ASX Clear Operating Rules and where relevant the ASX Settlement Operating Rules; (b) the customs and usages of the Market and (c) the correction of errors and omissions.

If condition code is 'XT' or 'SA' then all or part of that transaction was crossed. Explanation of Basis of Quotation abbreviations can be obtained from [http://www.asx.com.au/prices/status\\_notes.htm](http://www.asx.com.au/prices/status_notes.htm)



# BetaShares

ABN: 78 574 772 039  
Place of Incorporation: NSW



269818 02552  
MR MALCOLM AUBREY &  
MS TARYN NICOLE GREEN  
<THE AUBREY SUPER FUND A/C>  
53A HARRIS ROAD  
VALE PARK SA 5081

## CHESS HOLDING STATEMENT

For statement enquiries contact  
your CHESS Sponsor:  
**THIRD PARTY PLATFORM PTY LTD**  
GPO BOX 1630  
SYDNEY, NSW 2001  
☎ 1300 786 299

Holder ID Number (HIN):	0060370826
CHESS Sponsor's ID (PID):	02552
Statement Period:	March 2022
Page:	1 of 1

### YMAX - BETASHARES AUS TOP20 EQY YIELD MAX (MANAGED FUND)

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
	<b>Balance Brought Forward from 18 Nov 13</b>					250
18 Mar 22	Movement of Securities due to Purchase, Sale or Transfer	0255263558480800			250	0

#### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.

*Refer overleaf for additional important information*



Issued By:

ASX Settlement Pty Limited | ABN 49 008 504 532 | PO Box H227, Australia Square, Sydney NSW 1215

#### Share Registry Details:

LINK MARKET SERVICES LIMITED  
LOCKED BAG A14,  
SYDNEY SOUTH NSW 1235  
Ph: 02 82807111







# BetaShares

ABN: 78 574 772 039  
Place of Incorporation: NSW

## CHESS HOLDING STATEMENT

For statement enquiries contact  
your CHESS Sponsor:

**THIRD PARTY PLATFORM PTY LTD**

GPO BOX 1630  
SYDNEY, NSW 2001

☎ 1300786199



135684 02552

MR MALCOLM AUBREY &  
MS TARYN NICOLE GREEN  
<THE AUBREY SUPERANNUATI A/C>  
29 WEST STREET  
SEACLIFF PARK SA 5049

**Holder ID Number (HIN):** 0060370826

**CHESS Sponsor's ID (PID):** 02552

**Statement Period:** November 2013

**Page:** 1 of 1

## YMAX - TRADING MANAGED FUND UNITS FULLY PAID

Date	Transaction Type	Transaction ID	Ex/Cum Status	Quantity		Holding Balance
				On	Off	
18 Nov 13	Movement of Securities due to Purchase, Sale or Transfer	0255262389283600		250		250

### FOR YOUR INFORMATION

- ☛ To obtain full terms and conditions of an Issuer's securities contact the Issuer's Registrar or the Issuer directly.
- ☛ For information about CHESS Depository Interests (CDIs) and to obtain a free copy of the Financial Services Guide (FSG) or any supplementary FSG for CHESS Depository Nominees Pty Ltd go to [www.asx.com.au/cdis](http://www.asx.com.au/cdis) or phone 131 279.
- ☛ ASX Settlement may by law need to disclose information in CHESS Holdings to third parties.
- ☛ Do you have a small shareholding? Donate to Australian charities using ShareGift's brokerage-free service & receive tax deduction when over \$2. [www.sharegiftaustralia.org.au](http://www.sharegiftaustralia.org.au)

**Refer overleaf for additional important information**

### Share Registry Details:

SHAREBPO PTY LTD  
LEVEL 1  
51-57 PITT STREET  
SYDNEY NSW 2000  
Ph: 02 9247 3326

*New  
Link member  
nominee*



**ASX**

SETTLEMENT CORPORATION

### Issued By:

ASX Settlement Pty Limited | ABN 49 008 504 532 | PO Box H227, Australia Square, Sydney NSW 1215

# Sample CHES Holding Statement

**ASX Settlement Pty Limited**  
 CHES Holding Statement

For statement enquiries contact your CHES sponsor  
**FIDELITY INTERNATIONAL  
 AUSTRALIA PTY LTD**  
 LEVEL 10, 655 SOUTH  
 BRIDGE, SYDNEY  
 NSW 2000  
 AUSTRALIA

Holder HIN: 9999 9999 9999 9999  
 CHES ID: 123456789  
 HIN: 9999 9999 9999 9999  
 CHES ID: 123456789  
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 CHES ID: 123456789

**02J - ORDINARY FULLY PAID**

Date	Transaction Type	Transaction ID	Ex/Cum Status	Security On	Security Off	Holding Balance
01 Jan 08	Balance brought Forward from 31 Dec 07					200
01 Jan 08	Balance Issue Adjustment	4234567890123000		100		300

**FOR YOUR INFORMATION**

- For more information on Settlement, please refer to the Settlement Rules and Conditions.
- For more information on CHES, please refer to the CHES Rules and Conditions.
- For more information on the ASX, please refer to the ASX Rules and Conditions.

See ASX Settlement Pty Limited website for additional important information and disclosures.

**ASX** ASX Settlement Pty Limited  
 ASX Settlement Pty Limited  
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 ASX Settlement Pty Limited

## Further Explanatory Notes:

- 1) CHES Holding Statements are similar in form to a bank statement. They will detail those transactions that occurred in a calendar month and will progressively calculate the holding balance brought forward to the closing balance at the end of each month.
- 2) CHES Holding Statements are only issued if there has been a change in your CHES holding balance. You will receive a CHES Statement shortly after the end of the month for each security in which a transaction changed your CHES holding balance.
- 3) CHES Holding Statements for Holdings in renounceable rights registered in CHES are not readily issued. However, a statement for these securities or offers can be requested through your CHES Sponsor or the Share Registry, though they may charge you a fee.
- 4) An Ex or Cum status may appear if the transaction is processed between the Ex Date and the Record Date for a corporate action, i.e., the Ex Period. An entitlement will be included for a buyer when the status is Cum and for a seller when it is Ex. Contact your CHES Sponsor for further details.

Disclaimer: ASX Settlement Pty Limited (ASX Settlement) operates CHES. The following is issued by ASX Settlement under the ASX Settlement Holding Rules (Rules). ASX Settlement Pty Limited is responsible for the statement, and for the administration of CHES. ASX Settlement's responsibility is limited to the accuracy of any data or information provided. The closing balance shown on this statement includes only transactions that have not been effected on the CHES subregistry as of the last of the financial month indicated. Please do not rely on the accuracy of the statement, as being a holding record of the current holding.



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**Mr Malcolm Aubrey + Ms Taryn Nicole Green**  
**<The Aubrey Superannuat A/C>**  
**29 WEST STREET**  
**SEACLIFF PARK SA 5049**

Buy Order Instruction		Tax Invoice B1464918	
Order Number	2247727	Trade Date	13-Nov-13
Time/Date Created	25-Oct-13 01:20 PM AEST	As at Date	13-Nov-13
Order Creator	Malcolm Aubrey	Settlement Date	18-Nov-13
Time/Date Last Amended	25-Oct-13 01:20 PM AEST	Amount	\$2,940.00
Last Instruction	Buy 250 YMAX @ \$11.70	Settlement Account	Direct Cash Account
Current Status	Completed		

**A/C 159099 - Mr Malcolm Aubrey + Ms Taryn Nicole Green <The Aubrey Superannuat A/C>**

**Buy Contract B1464918**

We have bought for you:

ASX Code: YMAX  
Description: BETASHARES AUS TOP20 EQUITY YIELD MAX FUND (M FUND)  
TRADING MANAGED FUND UNITS FULLY PAID

Quantity	Basis of Quotation	Condition Code	Serial #	Price	Consideration
250			1730139505	\$11.70	\$2,925.00
<b>250</b>				<b>\$11.70</b>	<b>(average)</b>

Trade Value	\$2,925.00
Brokerage	\$15.00
Amount Payable	\$2,940.00
<i>Includes GST of:</i>	\$1.36

This confirmation is issued subject to the: Article, Rules, directions and requirements of the Australian Securities Exchange ('ASX'), and the Clearing Rules and where relevant, the Settlement Rules; the customs and usages of the Market; and the correction of errors and omissions. If condition code is 'XT' or 'SA' then all or part of that transaction was crossed. Explanation of Basis of Quotation abbreviations can be obtained from [http://www.asx.com.au/research/announcements/status\\_notes.htm](http://www.asx.com.au/research/announcements/status_notes.htm)