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Financial statements and reports for the year ended  
30 June 2021

BA & SJ Carlton Superannuation Fund

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Prepared for: Burke Carlton and Sally Carlton

# BA & SJ Carlton Superannuation Fund

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# BA & SJ Carlton Superannuation Fund

## Trustees Declaration

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The trustees have determined that the Fund is not a reporting entity and that these special purpose financial statements should be prepared in accordance with the accounting policies described in Note 1 to the financial statements.

The trustees declare that:

- (i) the financial statements and notes to the financial statements for the year ended 30 June 2021 present fairly, in all material respects, the financial position of the Superannuation Fund at 30 June 2021 and the results of its operations for the year ended on that date in accordance with the accounting policies described in Note 1 to the financial statements;
- (ii) the financial statements and notes to the financial statements have been prepared in accordance with the requirements of the trust deed; and
- (iii) the operation of the superannuation fund has been carried out in accordance with its trust deed and in compliance with the requirements of the Superannuation Industry (Supervision) Act 1993 and associated Regulations during the year ended 30 June 2021.

Specifically, the trustees declare that:

- in accordance with s120 of the Superannuation Industry (Supervision) Act 1993, no individual trustee has been or is a disqualified person;
- the Fund has satisfactory title to all assets, all assets are unencumbered and free from charge as prescribed by s50 of the Superannuation Industry (Supervision) Act 1993 and reg13.14 of the Superannuation Industry (Supervision) Regulations 1994; and
- to the knowledge of the trustees, there have been no events or transactions subsequent to the balance date which could have a material impact on the Fund. Where such events have occurred, the effect of such events has been accounted and noted in the Fund's financial statements.

Signed in accordance with a resolution of the trustees by:

.....  
Burke Carlton

Trustee

.....  
Sally Carlton

Trustee

24 August 2021

# BA & SJ Carlton Superannuation Fund

## Statement of Taxable Income

For the year ended 30 June 2021

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	<b>2021</b>
	<b>\$</b>
Benefits accrued as a result of operations	6,316.99
<b>Less</b>	
Increase in MV of investments	3,124.22
Tax Losses Deducted	380.00
Realised Accounting Capital Gains	(12,499.22)
	<hr/>
	(8,995.00)
SMSF Annual Return Rounding	(0.99)
	<hr/>
<b>Taxable Income or Loss</b>	<b>15,311.00</b>
	<hr/>
Income Tax on Taxable Income or Loss	2,296.65
	<hr/>
<b>CURRENT TAX OR REFUND</b>	<b>2,296.65</b>
	<hr/>
Supervisory Levy	259.00
Income Tax Instalments Paid	(302.00)
	<hr/>
<b>AMOUNT DUE OR REFUNDABLE</b>	<b>2,253.65</b>
	<hr/>

**BA & SJ Carlton Superannuation Fund****Operating Statement**

For the year ended 30 June 2021

	Note	2021	2020
		\$	\$
<b>Income</b>			
<b>Investment Income</b>			
Interest Received		1,012.29	2,012.85
Other Investment Income		0.00	(18,852.61)
<b>Contribution Income</b>			
Employer Contributions		3,197.70	0.00
Personal Concessional		12,000.00	21,000.00
<b>Total Income</b>		<u>16,209.99</u>	<u>4,160.24</u>
<b>Expenses</b>			
Accountancy Fees		0.00	3,880.00
ATO Supervisory Levy		518.00	0.00
Auditor's Remuneration		0.00	660.00
		<u>518.00</u>	<u>4,540.00</u>
<b>Investment Losses</b>			
Changes in Market Values	7	9,375.00	5,652.22
<b>Total Expenses</b>		<u>9,893.00</u>	<u>10,192.22</u>
<b>Benefits accrued as a result of operations before income tax</b>			
		<u>6,316.99</u>	<u>(6,031.98)</u>
Income Tax Expense	8	791.19	(622.22)
<b>Benefits accrued as a result of operations</b>		<u>5,525.80</u>	<u>(5,409.76)</u>

# BA & SJ Carlton Superannuation Fund

## Detailed Operating Statement

For the year ended 30 June 2021

	2021	2020
	\$	\$
<b>Income</b>		
Interest Received		
ANZ Online Saver	19.59	20.05
ANZTD910256283	541.47	1,086.99
ANZTD910332397	451.23	905.81
	<u>1,012.29</u>	<u>2,012.85</u>
Other Investment Income		
Spectrum Live BBY Online Trading Platform	0.00	(18,855.61)
Spectrum Live Bby U487215	0.00	3.00
	<u>0.00</u>	<u>(18,852.61)</u>
<b>Contribution Income</b>		
Employer Contributions - Concessional		
Burke Carlton	3,197.70	0.00
	<u>3,197.70</u>	<u>0.00</u>
Personal Contributions - Concessional		
Burke Carlton	0.00	10,000.00
Sally Carlton	12,000.00	11,000.00
	<u>12,000.00</u>	<u>21,000.00</u>
<b>Total Income</b>	<u>16,209.99</u>	<u>4,160.24</u>
<b>Expenses</b>		
Accountancy Fees	0.00	3,880.00
ATO Supervisory Levy	518.00	0.00
Auditor's Remuneration	0.00	660.00
	<u>518.00</u>	<u>4,540.00</u>
<b>Investment Losses</b>		
Realised Movements in Market Value		
Other Assets		
EUR U487215 Open position	5,256.22	0.00
US 487215 - Open Position	2,555.00	0.00
	<u>7,811.22</u>	<u>0.00</u>
Shares in Unlisted Private Companies (Australian)		
Deed of Debt Craig Turnbull - ex Aspire Group Holdings	4,688.00	0.00
	<u>4,688.00</u>	<u>0.00</u>
Unrealised Movements in Market Value		
Other Assets		
EUR U487215 Open position	(5,256.22)	5,652.22
US 487215 - Open Position	(2,555.00)	0.00
	<u>(7,811.22)</u>	<u>5,652.22</u>
Shares in Unlisted Private Companies (Australian)		
Deed of Debt Craig Turnbull - ex Aspire Group Holdings	4,687.00	0.00
	<u>4,687.00</u>	<u>0.00</u>
<b>Changes in Market Values</b>	<u>9,375.00</u>	<u>5,652.22</u>
<b>Total Expenses</b>	<u>9,893.00</u>	<u>10,192.22</u>

**BA & SJ Carlton Superannuation Fund**  
**Detailed Operating Statement**

For the year ended 30 June 2021

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	<b>2021</b>	<b>2020</b>
	<b>\$</b>	<b>\$</b>
<b>Benefits accrued as a result of operations before income tax</b>	<u>6,316.99</u>	<u>(6,031.98)</u>
<b>Income Tax Expense</b>		
Income Tax Expense	791.19	(622.22)
<b>Total Income Tax</b>	<u>791.19</u>	<u>(622.22)</u>
<b>Benefits accrued as a result of operations</b>	<u>5,525.80</u>	<u>(5,409.76)</u>

# BA & SJ Carlton Superannuation Fund

## Statement of Financial Position

As at 30 June 2021

	Note	2021 \$	2020 \$
<b>Assets</b>			
<b>Investments</b>			
Shares in Unlisted Private Companies (Australian)	2	0.00	9,375.00
<b>Total Investments</b>		<u>0.00</u>	<u>9,375.00</u>
<b>Other Assets</b>			
Formation Expenses		945.61	945.61
Premium Cash Mgmt Chq		1,611.15	5,642.90
Spectrum Live BBY Online Trading Platform		0.00	24,247.02
ANZ Online Saver		75,408.02	36,951.01
ANZTD910256283		60,000.00	60,000.00
ANZTD910332397		50,000.00	50,000.00
Deferred Tax Asset		1,447.33	0.00
<b>Total Other Assets</b>		<u>189,412.11</u>	<u>177,786.54</u>
<b>Total Assets</b>		<u>189,412.11</u>	<u>187,161.54</u>
Less:			
<b>Liabilities</b>			
Income Tax Payable		2,473.35	1,150.45
Sundry Creditors		0.00	4,540.00
Deferred Tax Liability		0.00	58.13
<b>Total Liabilities</b>		<u>2,473.35</u>	<u>5,748.58</u>
<b>Net assets available to pay benefits</b>		<u>186,938.76</u>	<u>181,412.96</u>
Represented by:			
<b>Liability for accrued benefits allocated to members' accounts</b>	4, 5		
Carlton, Burke - Accumulation		63,841.32	63,724.61
Carlton, Sally - Accumulation		123,097.44	117,688.35
<b>Total Liability for accrued benefits allocated to members' accounts</b>		<u>186,938.76</u>	<u>181,412.96</u>



## BA & SJ Carlton Superannuation Fund

# Detailed Statement of Financial Position

As at 30 June 2021

	Note	2021 \$	2020 \$
<b>Assets</b>			
<b>Investments</b>			
Shares in Unlisted Private Companies (Australian)	2		
Deed of Debt Craig Turnbull - ex Aspire Group Holdings		0.00	9,375.00
<b>Total Investments</b>		<u>0.00</u>	<u>9,375.00</u>
<b>Other Assets</b>			
Bank Accounts	3		
ANZ Online Saver		75,408.02	36,951.01
Premium Cash Mgmt Chq		1,611.15	5,642.90
Spectrum Live BBY Online Trading Platform		0.00	24,247.02
Term Deposits	3		
ANZTD910256283		60,000.00	60,000.00
ANZTD910332397		50,000.00	50,000.00
Formation Expenses		945.61	945.61
Deferred Tax Asset		1,447.33	0.00
<b>Total Other Assets</b>		<u>189,412.11</u>	<u>177,786.54</u>
<b>Total Assets</b>		<u>189,412.11</u>	<u>187,161.54</u>
Less:			
<b>Liabilities</b>			
Income Tax Payable		2,473.35	1,150.45
Sundry Creditors		0.00	4,540.00
Deferred Tax Liability		0.00	58.13
<b>Total Liabilities</b>		<u>2,473.35</u>	<u>5,748.58</u>
<b>Net assets available to pay benefits</b>		<u>186,938.76</u>	<u>181,412.96</u>
Represented By :			
<b>Liability for accrued benefits allocated to members' accounts</b>	4, 5		
Carlton, Burke - Accumulation		63,841.32	63,724.61
Carlton, Sally - Accumulation		123,097.44	117,688.35
<b>Total Liability for accrued benefits allocated to members' accounts</b>		<u>186,938.76</u>	<u>181,412.96</u>

# BA & SJ Carlton Superannuation Fund

## Notes to the Financial Statements

For the year ended 30 June 2021

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### Note 1: Summary of Significant Accounting Policies

The trustees have prepared the financial statements on the basis that the Superannuation Fund is a non-reporting entity because there are no users dependent on general purpose financial statements. The financial statements are therefore special purpose financial statements that have been prepared in order to meet the requirements of the Superannuation Industry (Supervision) Act 1993 and associated Regulations, the trust deed of the Fund and the needs of members.

The financial statements have been prepared on a cash basis and are based on historical costs, except for investments which have been measured at market value.

The following significant accounting policies, which are consistent with the policies applied in the previous period unless otherwise stated, have been adopted in the preparation of the financial statements.

The financial statements were authorised for issue by the Trustee(s).

#### a. Measurement of Investments

The Fund initially recognises:

- (i) an investment when it controls the future economic benefits expected to flow from the asset. For financial assets, the trade date is considered to be the date on which control of the future economic benefits attributable to the asset passes to the Fund; and
- (ii) a financial liability on the date it becomes a party to the contractual provisions of the instrument.

Investments of the Fund have been measured at market value, which refers to the amount that a willing buyer could reasonably be expected to pay to acquire an asset from a willing seller if the following assumptions were made:

- that the buyer and the seller deal with each other at arm's length in relation to the sale;
- that the sale occurred after proper marketing of the asset; and
- that the buyer and the seller acted knowledgeably and prudentially in relation to the sale.

Market value has been determined as follows:

- (i) shares and other securities listed on the Australian Securities Exchange by reference to the relevant market quotations at the end of the reporting period;
- (ii) units in managed funds by reference to the unit redemption price at the end of the reporting period;
- (iii) fixed-interest securities by reference to the redemption price at the end of the reporting period;
- (iv) unlisted investments are stated at trustees' assessment based on estimated market value at balance date or where necessary, an external valuer's opinion; and
- (v) investment properties at the trustees' assessment of the market value or where necessary a qualified independent valuer's opinion at the end of reporting period.

Financial liabilities, such as trade creditors and other payables, are measured at the gross value of the outstanding balance at the end of the reporting period. The trustees have determined that the gross values of the Fund's financial liabilities is equivalent to their market values. Any remeasurement changes in the gross values of non-current financial liabilities (including liabilities for members' accrued benefits) are recognised in the operating statement in the periods in which they occur.

#### b. Cash and Cash Equivalents

Cash and cash equivalents include cash on hand and at call, deposits with banks and short-term, highly liquid investments that are readily convertible to cash and subject to an insignificant risk of change in value.

#### c. Revenue

Revenue is recognised to the extent that it is probable that the economic benefits will flow to the Fund and the revenue can be reliably measured. Revenue is recognised at the fair value of the consideration received or receivable.

# Notes to the Financial Statements

For the year ended 30 June 2021

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## Interest revenue

Interest revenue is recognised in respect of fixed-interest securities, and cash and cash equivalent balances. Interest revenue is recognised upon receipt.

## Dividend revenue

Dividend revenue is recognised when the dividend has been paid or, in the case of dividend reinvestment schemes, when the dividend is credited to the benefit of the fund.

## Rental revenue

Rental revenue arising from operating leases on investment properties is recognised upon receipt.

## Distribution revenue

Distributions from unit trusts and managed funds are recognised as at the date the unit value is quoted ex-distribution and if not received at the end of the reporting period, are reflected in the statement of financial position as a receivable at market value.

## Remeasurement changes in market values

Remeasurement changes in the market values of assets are recognised as income and determined as the difference between the market value at year-end or consideration received (if sold during the year) and the market value as at the prior year-end or cost (if acquired during the period).

## Contributions

Contributions and transfers in are recognised when the control and the benefits from the revenue have been attained and are recorded by the Fund, gross of any taxes, in the period to which they relate.

## d. Liability for Accrued Benefits

The liability for accrued benefits represents the Fund's present obligation to pay benefits to members and beneficiaries, and has been calculated as the difference between the carrying amount of the assets and the carrying amount of the other payables and income tax liabilities as at the end of the reporting period.

## e. Income Tax

The income tax expense (income) for the year comprises current income tax expense (income) and deferred tax expense (income).

Current tax expense charged to profit or loss is the tax payable on taxable income. Current tax liabilities (assets) are therefore measured at the amounts expected to be paid to (recovered from) the relevant taxation authority.

Deferred income tax expense reflects movements in deferred tax liability balances during the year as well as unused tax losses.

No deferred income tax is recognised from the initial recognition of an asset or liability where there is no effect on accounting or taxable profit or loss.

Deferred tax assets and liabilities are calculated at the tax rates that are expected to apply to the period when the asset is realised or the liability is settled, and their measurement also reflects the manner in which the trustees expect to recover or settle the carrying amount of the related asset or liability.

Deferred tax assets relating to temporary differences and unused tax losses are recognised only to the extent that it is probable that future taxable profit will be available against which the benefits of the deferred tax asset can be utilised.

## f. Critical Accounting Estimates and Judgements

The preparation of financial statements requires the trustees to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets and liabilities, income and expenses. Actual results may differ from these estimates.

**BA & SJ Carlton Superannuation Fund**  
**Notes to the Financial Statements**

For the year ended 30 June 2021

Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in the period in which the estimate is revised and in any future period affected.

**Note 2: Shares in Unlisted Private Companies (Australian)**

	<b>2021</b>	<b>2020</b>
	\$	\$
Deed of Debt Craig Turnbull - ex Aspire Group Holdings	0.00	9,375.00
	<hr/>	<hr/>
	0.00	9,375.00
	<hr/>	<hr/>

**Note 3: Banks and Term Deposits**

	<b>2021</b>	<b>2020</b>
	\$	\$
<b>Banks</b>		
ANZ Online Saver	75,408.02	36,951.01
Premium Cash Mgmt Chq	1,611.15	5,642.90
Spectrum Live BBY Online Trading Platform	0.00	24,247.02
	<hr/>	<hr/>
	77,019.17	66,840.93
	<hr/>	<hr/>

	<b>2021</b>	<b>2020</b>
	\$	\$
<b>Term Deposits</b>		
ANZTD910256283	60,000.00	60,000.00
ANZTD910332397	50,000.00	50,000.00
	<hr/>	<hr/>
	110,000.00	110,000.00
	<hr/>	<hr/>

**Note 4: Liability for Accrued Benefits**

	<b>2021</b>	<b>2020</b>
	\$	\$
Liability for accrued benefits at beginning of year	181,412.96	186,822.72
Benefits accrued as a result of operations	5,525.80	(5,409.76)
Current year member movements	0.00	0.00
	<hr/>	<hr/>
Liability for accrued benefits at end of year	186,938.76	181,412.96
	<hr/>	<hr/>

**Note 5: Vested Benefits**

Vested benefits are benefits that are not conditional upon continued membership of the fund (or any factor other than resignation from the plan) and include benefits which members were entitled to receive had they terminated their fund membership as at the end of the reporting period.

**Notes to the Financial Statements**

For the year ended 30 June 2021

	2021 \$	2020 \$
Vested Benefits	186,938.76	181,412.96

**Note 6: Guaranteed Benefits**

No guarantees have been made in respect of any part of the liability for accrued benefits.

**Note 7: Changes in Market Values****Unrealised Movements in Market Value**

	2021 \$	2020 \$
<b>Other Assets</b>		
EUR U487215 Open position	5,256.22	(5,652.22)
US 487215 - Open Position	2,555.00	0.00
	7,811.22	(5,652.22)
<b>Shares in Unlisted Private Companies (Australian)</b>		
Deed of Debt Craig Turnbull - ex Aspire Group Holdings	(4,687.00)	0.00
	(4,687.00)	0.00
<b>Total Unrealised Movement</b>	3,124.22	(5,652.22)

**Realised Movements in Market Value**

	2021 \$	2020 \$
<b>Other Assets</b>		
EUR U487215 Open position	(5,256.22)	0.00
US 487215 - Open Position	(2,555.00)	0.00
	(7,811.22)	0.00
<b>Shares in Unlisted Private Companies (Australian)</b>		
Deed of Debt Craig Turnbull - ex Aspire Group Holdings	(4,688.00)	0.00
	(4,688.00)	0.00
<b>Total Realised Movement</b>	(12,499.22)	0.00
<b>Changes in Market Values</b>	(9,375.00)	(5,652.22)

**Note 8: Income Tax Expense**

**BA & SJ Carlton Superannuation Fund****Notes to the Financial Statements**

For the year ended 30 June 2021

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	2021	2020
	\$	\$
The components of tax expense comprise		
Current Tax	2,296.65	0.00
Deferred Tax Liability/Asset	(1,505.46)	(622.22)
Income Tax Expense	<u>791.19</u>	<u>(622.22)</u>

The prima facie tax on benefits accrued before income tax is reconciled to the income tax as follows:

Prima facie tax payable on benefits accrued before income tax at 15%	947.55	(904.80)
Less:		
Tax effect of:		
Increase in MV of Investments	468.63	0.00
Tax Losses Deducted	57.00	0.00
Realised Accounting Capital Gains	(1,874.88)	0.00
Add:		
Tax effect of:		
Decrease in MV of Investments	0.00	847.83
Tax Losses	0.00	57.00
Rounding	(0.15)	(0.03)
Income Tax on Taxable Income or Loss	2,296.65	0.00
Less credits:		
Current Tax or Refund	<u>2,296.65</u>	<u>0.00</u>

# BA & SJ Carlton Superannuation Fund

## Members Statement

Burke Albert Carlton  
 Unit 1/191 Rockingham Beach Road  
 Rockingham, Western Australia, 6168, Australia

### Your Details

Date of Birth : Provided  
 Age: 59  
 Tax File Number: Not Provided  
 Date Joined Fund: 01/07/2017  
 Service Period Start Date:  
 Date Left Fund:  
 Member Code: CARBUR00001A  
 Account Start Date: 01/07/2017  
 Account Phase: Accumulation Phase  
 Account Description: Accumulation

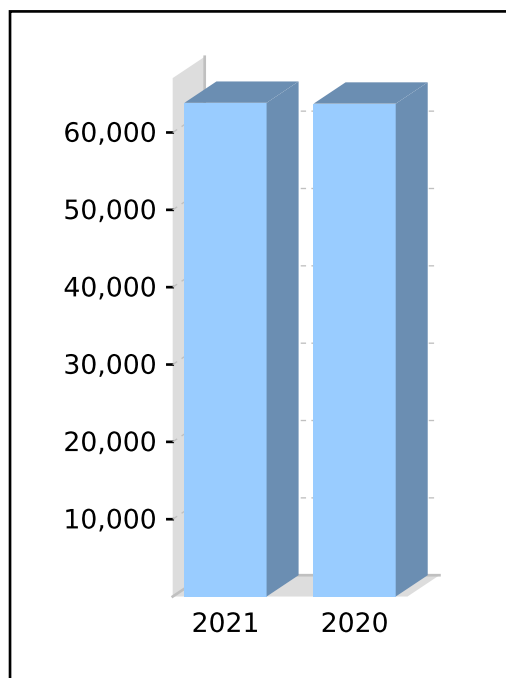
Nominated Beneficiaries N/A  
 Vested Benefits 63,841.32  
 Total Death Benefit 63,841.32

### Your Balance

Total Benefits 63,841.32

Preservation Components  
 Preserved 63,841.32  
 Unrestricted Non Preserved  
 Restricted Non Preserved

Tax Components  
 Tax Free 12,425.24  
 Taxable 51,416.08  
 Investment Earnings Rate -4.06%



### Your Detailed Account Summary

	This Year	Last Year
Opening balance at 01/07/2020	63,724.61	63,109.67
<u>Increases to Member account during the period</u>		
Employer Contributions	3,197.70	
Personal Contributions (Concessional)		10,000.00
Personal Contributions (Non Concessional)		
Government Co-Contributions		
Other Contributions		
Proceeds of Insurance Policies		
Transfers In		
Net Earnings	(3,125.12)	(9,163.84)
Internal Transfer In		
<u>Decreases to Member account during the period</u>		
Pensions Paid		
Contributions Tax	479.66	1,500.00
Income Tax	(523.79)	(1,278.78)
No TFN Excess Contributions Tax		
Excess Contributions Tax		
Refund Excess Contributions		
Division 293 Tax		
Insurance Policy Premiums Paid		
Management Fees		
Member Expenses		
Benefits Paid/Transfers Out		
Superannuation Surcharge Tax		
Internal Transfer Out		
Closing balance at 30/06/2021	63,841.32	63,724.61

**BA & SJ Carlton Superannuation Fund**  
**Members Statement**

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**Trustee's Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. Every effort has been made by the Trustee to ensure the accuracy and completeness of this Statement. The Trustee does not accept any liability for any error, omission or misprint. All amounts shown in relation to benefits do not take into account any amounts which may be withheld to satisfy the requirements imposed by the Income Tax Assessment Act 1936.

Signed by all the trustees of the fund

\_\_\_\_\_  
Burke Albert Carlton  
Trustee

\_\_\_\_\_  
Sally Janette Carlton  
Trustee



# BA & SJ Carlton Superannuation Fund

## Members Statement

Sally Janette Carlton  
 Unit 1/191 Rockingham Beach Road  
 Rockingham, Western Australia, 6168, Australia

### Your Details

Date of Birth : Provided  
 Age: 58  
 Tax File Number: Not Provided  
 Date Joined Fund: 01/07/2017  
 Service Period Start Date:  
 Date Left Fund:  
 Member Code: CARSAL00001A  
 Account Start Date: 01/07/2017  
 Account Phase: Accumulation Phase  
 Account Description: Accumulation

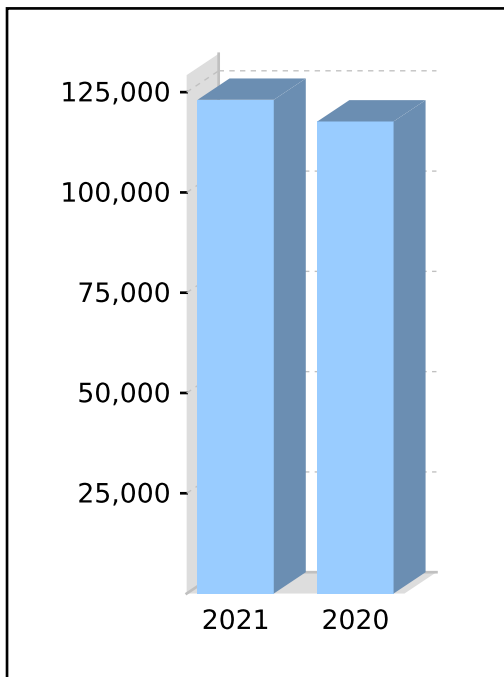
Nominated Beneficiaries N/A  
 Vested Benefits 123,097.44  
 Total Death Benefit 123,097.44

### Your Balance

Total Benefits 123,097.44

Preservation Components  
 Preserved 123,097.44  
 Unrestricted Non Preserved  
 Restricted Non Preserved

Tax Components  
 Tax Free 12,425.25  
 Taxable 110,672.19  
 Investment Earnings Rate -4.06%



### Your Detailed Account Summary

	This Year	Last Year
Opening balance at 01/07/2020	117,688.35	123,713.05
<u>Increases to Member account during the period</u>		
Employer Contributions		
Personal Contributions (Concessional)	12,000.00	11,000.00
Personal Contributions (Non Concessional)		
Government Co-Contributions		
Other Contributions		
Proceeds of Insurance Policies		
Transfers In		
Net Earnings	(5,755.59)	(17,868.14)
Internal Transfer In		
<u>Decreases to Member account during the period</u>		
Pensions Paid		
Contributions Tax	1,800.00	1,650.00
Income Tax	(964.68)	(2,493.44)
No TFN Excess Contributions Tax		
Excess Contributions Tax		
Refund Excess Contributions		
Division 293 Tax		
Insurance Policy Premiums Paid		
Management Fees		
Member Expenses		
Benefits Paid/Transfers Out		
Superannuation Surcharge Tax		
Internal Transfer Out		
Closing balance at 30/06/2021	123,097.44	117,688.35

**BA & SJ Carlton Superannuation Fund**  
**Members Statement**

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**Trustee's Disclaimer**

This statement has been prepared by the Trustee for the member whose name appears at the top of this statement. Every effort has been made by the Trustee to ensure the accuracy and completeness of this Statement. The Trustee does not accept any liability for any error, omission or misprint. All amounts shown in relation to benefits do not take into account any amounts which may be withheld to satisfy the requirements imposed by the Income Tax Assessment Act 1936.

Signed by all the trustees of the fund

\_\_\_\_\_  
Burke Albert Carlton  
Trustee

\_\_\_\_\_  
Sally Janette Carlton  
Trustee

# BA & SJ Carlton Superannuation Fund

## Investment Summary Report

As at 30 June 2021

Investment	Units	Market Price	Market Value	Average Cost	Accounting Cost	Unrealised Gain/(Loss)	Gain/(Loss)%	Portfolio Weight%
<b>Cash/Bank Accounts</b>								
ANZ Online Saver		75,408.020000	75,408.02	75,408.02	75,408.02			40.32 %
ANZTD910256283		60,000.000000	60,000.00	60,000.00	60,000.00			32.08 %
ANZTD910332397		50,000.000000	50,000.00	50,000.00	50,000.00			26.74 %
Premium Cash Mgmt Chq		1,611.150000	1,611.15	1,611.15	1,611.15			0.86 %
			<b>187,019.17</b>		<b>187,019.17</b>			<b>100.00 %</b>
			<b>187,019.17</b>		<b>187,019.17</b>		<b>0.00 %</b>	<b>100.00 %</b>

# BA & SJ Carlton Superannuation Fund

## Investment Performance

As at 30 June 2021

Investment	Opening Value	Purchases / Additions	Sales / Reductions	Closing Value	Realised Market Gain	Unrealised Market Gain	Net Income	Income and Market Gain	Return %
<b>Bank Accounts</b>									
ANZ Online Saver	36,951.01	0.00	0.00	75,408.02	0.00	0.00	19.59	19.59	0.05 %
Premium Cash Mgmt Chq	5,642.90	0.00	0.00	1,611.15	0.00	0.00	0.00	0.00	0.00 %
Spectrum Live BBY Online Trading Platform	24,247.02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00 %
	<b>66,840.93</b>	<b>0.00</b>	<b>0.00</b>	<b>77,019.17</b>	<b>0.00</b>	<b>0.00</b>	<b>19.59</b>	<b>19.59</b>	<b>0.03 %</b>
<b>Term Deposits</b>									
ANZTD910256283	60,000.00	0.00	0.00	60,000.00	0.00	0.00	541.47	541.47	0.90 %
ANZTD910332397	50,000.00	0.00	0.00	50,000.00	0.00	0.00	451.23	451.23	0.90 %
	<b>110,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>110,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>992.70</b>	<b>992.70</b>	<b>0.90 %</b>
<b>Other Assets</b>									
EUR EUR U487215 Open position	0.00	0.00	5,256.22	0.00	(5,256.22)	5,256.22	0.00	0.00	0.00 %
US 487215 US 487215 - Open Position	0.00	0.00	2,555.00	0.00	(2,555.00)	2,555.00	0.00	0.00	0.00 %
	<b>0.00</b>	<b>0.00</b>	<b>7,811.22</b>	<b>0.00</b>	<b>(7,811.22)</b>	<b>7,811.22</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00 %</b>
<b>Shares in Unlisted Private Companies (Australian)</b>									
Aspire Deed of Debt Craig Turnbull - ex Aspire Group Holdings	9,375.00	0.00	4,688.00	0.00	(4,688.00)	(4,687.00)	0.00	(9,375.00)	(200.02) %
	<b>9,375.00</b>	<b>0.00</b>	<b>4,688.00</b>	<b>0.00</b>	<b>(4,688.00)</b>	<b>(4,687.00)</b>	<b>0.00</b>	<b>(9,375.00)</b>	<b>(200.02) %</b>
	<b>186,215.93</b>	<b>0.00</b>	<b>12,499.22</b>	<b>187,019.17</b>	<b>(12,499.22)</b>	<b>3,124.22</b>	<b>1,012.29</b>	<b>(8,362.71)</b>	<b>(4.81) %</b>

# BA & SJ Carlton Superannuation Fund

## Investment Movement Report

As at 30 June 2021

Investment	Opening Balance		Additions		Disposals			Closing Balance		
	Units	Cost	Units	Cost	Units	Cost	Accounting Profit/(Loss)	Units	Cost	Market Value
<b>Bank Accounts</b>										
ANZ Online Saver		36,951.01		40,457.01		(2,000.00)			75,408.02	75,408.02
Premium Cash Mgmt Chq		5,642.90		2,000.00		(6,031.75)			1,611.15	1,611.15
Spectrum Live BBY Online Trading Platform		24,247.02				(24,247.02)			0.00	0.00
		<b>66,840.93</b>		<b>42,457.01</b>		<b>(32,278.77)</b>			<b>77,019.17</b>	<b>77,019.17</b>
<b>Term Deposits</b>										
ANZTD910256283		60,000.00							60,000.00	60,000.00
ANZTD910332397		50,000.00							50,000.00	50,000.00
		<b>110,000.00</b>							<b>110,000.00</b>	<b>110,000.00</b>
<b>Other Assets</b>										
EUR U487215 Open - EUR U487215 Open position	1.00	5,256.22			(1.00)	(5,256.22)	(5,256.22)		0.00	
US 487215 - Open - US 487215 - Open Position	1.00	2,555.00			(1.00)	(2,555.00)	(2,555.00)		0.00	
		<b>7,811.22</b>				<b>(7,811.22)</b>	<b>(7,811.22)</b>		<b>0.00</b>	
<b>Shares in Unlisted Private Companies (Australian)</b>										
001 - Aspire Turnbull - Deed of Debt Craig Turnbull - ex Aspire Group Holdings	1.00	4,688.00			(1.00)	(4,688.00)	(4,688.00)		0.00	

**BA & SJ Carlton Superannuation Fund**  
**Investment Movement Report**

As at 30 June 2021

Investment	Opening Balance		Additions		Disposals			Closing Balance		Market Value
	Units	Cost	Units	Cost	Units	Cost	Accounting Profit/(Loss)	Units	Cost	
		4,688.00				(4,688.00)	(4,688.00)		0.00	
		189,340.15		42,457.01		(44,777.99)	(12,499.22)		187,019.17	187,019.17

# BA & SJ Carlton Superannuation Fund

## Detailed Schedule of Fund Assets

As at 30 June 2021

Transaction Date	Description	Units	Amount \$
<b>Other Assets (76000)</b>			
<u>EUR U487215 Open position (EUR U487215 Open)</u>			
30/06/2017	Opening balances - investments	1.00	6,499.00
30/06/2018	Spectrum Live annual report nett movements		396.00
30/06/2019	Nett movement in assets under liquidation	0.00	(1,242.78)
30/06/2019	Revaluation - 30/06/2018 @ \$6,895.000000 (Exit) - 1.000000 Units on hand		1,242.78
30/06/2019	Revaluation - 30/06/2019 @ \$5,652.220000 (Exit) - 1.000000 Units on hand		(1,242.78)
30/06/2020	Revaluation - 30/06/2020 @ \$0.000000 (Exit) - 1.000000 Units on hand		(5,652.22)
30/06/2021	Disposal of BBY Open Positions and also the Deed of Debt Craig Turnbull	(1.00)	(5,256.22)
30/06/2021	Unrealised Gain writeback as at 30/06/2021		5,256.22
		0.00	0.00
<u>US 487215 - Open Position (US 487215 - Open)</u>			
30/06/2017	Opening balances - investments	1.00	2,555.00
30/06/2018	Revaluation - 30/06/2018 @ \$0.000000 (Exit) - 1.000000 Units on hand		(2,555.00)
30/06/2021	Disposal of BBY Open Positions and also the Deed of Debt Craig Turnbull	(1.00)	(2,555.00)
30/06/2021	Unrealised Gain writeback as at 30/06/2021		2,555.00
		0.00	0.00
<b>Shares in Unlisted Private Companies (Australian) (77800)</b>			
<u>Deed of Debt Craig Turnbull - ex Aspire Group Holdings (001 - Aspire Turnbull)</u>			
30/06/2017	Opening balances - investments	1.00	4,688.00
30/06/2018	Revaluation - 30/06/2018 @ \$9,375.000000 (Exit) - 1.000000 Units on hand		4,687.00
30/06/2021	Disposal of BBY Open Positions and also the Deed of Debt Craig Turnbull	(1.00)	(4,688.00)
30/06/2021	Unrealised Gain writeback as at 30/06/2021		(4,687.00)
		0.00	0.00